

**Hypertrophic Cardiomyopathy:
Pathophysiology, Genetics and Invasive Treatment**

*Hypertrofische cardiomyopathy:
Pathofysiologie, genetica en invasieve behandeling*

Michelle Michels



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**HYPERTROPHIC CARDIOMYOPATHY:
PATHOPHYSIOLOGY, GENETICS and INVASIVE TREATMENT**

*Hypertrofische cardiomyopathy:
Pathofysiologie, genetica en invasieve behandeling*

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Promotoren: Prof.dr. M.L. Simoons
Prof.dr. B.A. Oostra

Overige leden: Dr. C.R. Bezzina
Prof. dr. A.J.J.C. Bogers
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Prof. dr. E. Sijbrands
Prof. dr. F. Zijlstra

Copromotor: Dr. F.J. ten Cate

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Voor Anne, Floris en Puck

ABBREVIATIONS

ACTC1	Cardiac α -actin
ASA	Alcohol septal ablation
CFR	Coronary flow reserve
ECG	Electrocardiogram
HCM	Hypertrophic cardiomyopathy
HTX	Heart transplant
IVS	Interventricular septum
LAD	Left anterior descending coronary artery
LV	Left ventricle/ ventricular
LVH	Left ventricular hypertrophy
LVOT	Left ventricular outflow tract
MLE	Mitral leaflet extension
MYBPC3	Cardiac myosin binding protein C
MYH7	Beta-cardiac myosin heavy chain
MYL2	Cardiac-regulatory myosin light chain
MYL3	Cardiac-essential myosin light chain
NYHA	New York Heart Association functional class
PTSMA	Percutaneous transluminal septal myocardial ablation
RF	Risk factors
SAM	Systolic anterior movement
S(C)D	Sudden (cardiac) death
TDI	Tissue Doppler imaging
TNNI3	Cardiac troponin I
TNNT2	Cardiac troponin T
TPM1	α -Tropomyosin

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Chapter 1

Introduction



INTRODUCTION

Hypertrophic cardiomyopathy (HCM) is the most common inheritable cardiac disorder with a phenotypic prevalence of 1:500. It is defined by the presence of left ventricular hypertrophy (LVH) in the absence of loading conditions (hypertension, valve disease) sufficient to cause the observed abnormality¹. Hundreds of mutations scattered among at least 25 putative HCM susceptibility genes encoding various sarcomere, Z-disk, calcium-handling, and mitochondrial proteins are known to cause HCM and are found in up to 60% of cases². Besides the genetic heterogeneity, HCM is also characterized by phenotypic heterogeneity; ranging from negligible to extreme hypertrophy, absent or severe left ventricular outflow tract obstruction, normal longevity or premature sudden cardiac death (SCD), even in patients carrying the same pathogenic HCM mutation^{3, 4}.

Since the introduction of HCM as a clinical entity in 1958, extensive research has been performed regarding diagnosis, prognosis, therapy and genetics. However, correct diagnosis and optimal management of HCM patients and their asymptomatic affected family members still offers difficulties to the physician.

This thesis describes the findings of HCM patients followed at the ErasmusMC in Rotterdam for years. It is directed towards the different pheno- and genotypical aspects of this disease. Furthermore results of basic sarcomere investigations, pathophysiologic characteristics to explain clinical findings, therapeutic measures both with and without surgery and natural history are described.

The reduction of coronary flow reserve in HCM predisposes to myocardial ischemia, systolic dysfunction and cardiac death⁵. In **chapter 2** we investigated to which extent hemodynamic, echocardiographic, and histological parameters contribute to this reduction. In **chapter 3 and 4** relatively new echocardiographic techniques; Tissue Doppler imaging and speckle tracking are evaluated in HCM patients and in mutation carriers without LVH. In **chapter 5** we go from bedside to bench by studying cardiomyocytes from HCM patients with two known founder mutations in *cardiac myosin binding protein C*⁶.

The identification of the genetic defect in one of the HCM genes allows accurate pre-symptomatic detection of mutation carriers in a family. Cardiac evaluation of at risk relatives enables early diagnosis and identification of those patients at high risk for SCD, which can be the first manifestation of the disease in asymptomatic persons (**chapter 6 and 7**)⁷. In **chapter 8** the natural history of 3 Dutch founder mutations is described.

The clinical heterogeneity in HCM, even in patients carrying the same underlying pathogenic HCM mutation suggests the presence of disease modifiers⁸. In **chapter 9 and 10** we investigated genetic modifiers in HCM patients carrying one of 3 Dutch founder mutations.

Severely symptomatic patients with obstructive HCM despite maximally tolerated medications are candidates for septal reduction therapy, either surgical or percutaneous⁹.

1. In selected patients with obstructive HCM and elongated anterior mitral valve leaflet
2. septal reduction therapy is combined with mitral leaflet extension, the long-term results
3. of this approach are studied in **chapter 11**. In **chapter 12 and 13** we describe the results
4. of alcohol septal ablation during long-term follow-up and on microvascular function.

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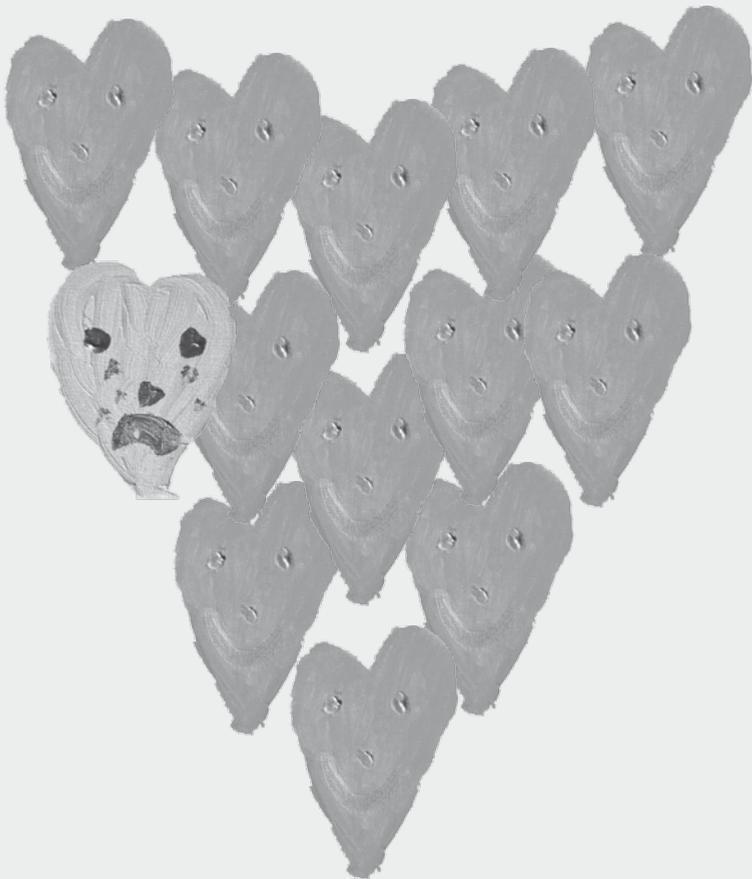
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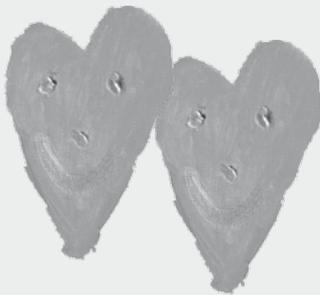
Part I

Pathophysiology of hypertrophic cardiomyopathy



Chapter 2

Coronary flow reserve in hypertrophic cardiomyopathy: relation with microvascular dysfunction and pathophysiologic characteristics



Marcel J. Kofflard, **Michelle Michels**, Rob Krams, Mike Kliffen,
Marcel L. Geleijnse, Folkert J. Ten Cate, Patrick W. Serruys

Neth Heart J 2007;15(6):209-15

ABSTRACT

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Background

The decrease in coronary flow reserve (CFR) in hypertrophic cardiomyopathy (HCM) predisposes to myocardial ischemia, systolic dysfunction and cardiac death. In this study we investigate to which extent hemodynamic, echocardiographic, and histological parameters contribute to the reduction of CFR.

Methods

In 10 HCM patients (mean age 44 ± 14 years) and 8 heart transplant (HTX) patients (mean age 51 ± 6 years) CFR was calculated in the left anterior descending coronary artery. In all subjects hemodynamic, echocardiographic -and histological parameters were assessed. The relationship between these variables and CFR was determined using linear regression analysis.

Results

CFR was reduced in HCM compared to HTX patients (1.6 ± 0.7 vs. 2.6 ± 0.8 , $p < 0.01$). An increase in septal thickness ($p < 0.005$), indexed left ventricular (LV) mass ($p < 0.005$), LV end-diastolic pressure ($p < 0.001$), LV outflow tract gradient ($p < 0.05$) and a decrease in arteriolar lumen size ($p < 0.05$) were all related to a reduction in CFR.

Conclusion

In HCM patients hemodynamic (LV end-diastolic pressure, LV outflow tract gradient), echocardiographic (indexed LV mass) and histological (% luminal area of the arterioles) changes are responsible for a decrease in CFR.

1. INTRODUCTION

2.

3. Hypertrophic cardiomyopathy (HCM) is characterized by a hypertrophied left ventricle
4. (LV) in the absence of apparent causes for hypertrophy such as aortic valve stenosis and
5. hypertension¹. Angina is frequently present in patients with HCM despite the presence
6. of normal coronary arteries. A reduction in coronary flow reserve (CFR) is a recognized
7. feature in patients with HCM. Indeed, the inadequate increase of myocardial blood flow
8. on demand in HCM predisposes patients to myocardial ischemia, which in turn, can
9. result in syncope, an abnormal blood pressure response to exercise, LV systolic and dia-
10. stolic function and sudden death²⁻⁶. Recent studies have shown that severe impairment
11. of the coronary vasodilator response to dipyridamole is strongly associated with “end
12. stage” disease, long-term clinical deterioration and cardiac death in patients with HCM
13.^{7,8}. A number of mechanisms are associated with the decrease in CFR, including diastolic
14. dysfunction^{9,10}, systolic compression of septal coronary branches^{11,12}, the existence and
15. degree of LV outflow tract (LVOT) gradient¹³, small vessel disease of the intramyocardial
16. arterioles¹⁴⁻¹⁶ and inadequate capillary density relative to the increase in myocardial mass
17.¹⁷. Although all mechanisms have a role in creating an imbalance between oxygen supply
18. and demand, their relative contribution to the decrease in CFR has not yet been evaluated
19. in HCM patients. The aim of the present study is to investigate to which extent each of the
20. aforementioned variables contribute to the reduction of CFR in HCM patients.

21.

22.

23. METHODS

24.

25. Patient population

26. Hemodynamic, echocardiographic, and histological changes in the coronary vascular
27. bed in symptomatic obstructive (LVOT gradient > 50 mm Hg at rest or provocation)
28. HCM patients (N = 10, mean age 44 ± 14 years, range 20-63 years) were compared with
29. a control group of asymptomatic HTX patients (N = 8, mean age 51 ± 6 years, range
30. 40-61 years). In HCM patients septal myocardium was obtained at the time of Morrow
31. myectomy (18). In HTX patients septal myocardium was obtained at the time of routine
32. follow-up right ventricular biopsy 1 to 5 years after HTX. These data were compared with
33. left-sided septal myocardial tissue from victims of traffic accidents (N = 10, mean age 38
34. ± 19, range 8-58 years) without apparent cardiovascular disease. The institutional review
35. board approved the study and patients gave oral and written informed consent.

36.

37. Cardiac Catheterization

38. Cardiovascular therapy was continued during the study in all patients. After intrave-
39. nous administration of 10.000 IU heparin and 250 mg acetylsalicylic acid right heart

catheterization was carried out with a 7F balloon-tipped flow-directed thermodilution catheter. Left heart catheterization was performed with an 8F double-sensor high fidelity pigtail catheter (Cordis 811-180, Roden, The Netherlands). In each patient, baseline mean pulmonary wedge pressure, LV systolic and end-diastolic pressure, peak LV-aortic gradient, and cardiac index were noted. In addition, coronary angiography was performed according to standard Judkins techniques.

Coronary flow velocity measurements and analysis

After right and left cardiac catheterization, a 0.014-inch Doppler guide wire with a floppy distal end (Cardiometrics, Inc., Mountain View, USA) was introduced through an 8F guiding catheter and positioned at the midsegment of the left anterior descending coronary artery (LAD) to measure Doppler flow velocity at rest and after hyperaemia. In both groups, hearts were paced at a constant heart rate of 100 beats per minute to avoid metabolic vasodilatation during CFR determination. After optimization of the settings of the velocity signal, baseline recordings of flow velocity and perfusion pressure were collected and digitized at a sample rate of 125 Hz for off-line analysis. Maximal hyperaemia was induced by an intracoronary bolus of 18 μ g adenosine¹⁹. The sample volume from the Doppler wire was positioned at a distance of 5.2 mm from the transducer and has an approximated width of 2.25 mm due to a divergent ultrasound beam. After real-time processing of the quadrature audio signal, a fast-Fourier algorithm was used to increase the reliability of the analysis²⁰. The flow velocity measurements obtained with this system have been validated in vitro and in an animal model using simultaneous electromagnetic flow measurements for comparison²¹. CFR was defined as hyperaemic divided by basal velocity.

Quantitative angiographic measurements

A validated on-line system operating on digital images (ACA-DCI, Philips, Eindhoven, The Netherlands) was used during the catheterization procedure. With this system, the end-diastolic and end-systolic diameter of the LAD and the proximal part of the first septal branch²² was determined in the segment of the LAD in which the sample volume of the Doppler wire was located. The derived vessel diameters at the tip of the Doppler guidewire were used to calculate the vessel lumen area assuming a circular shape of the vessel. This vessel area was multiplied with the spatially averaged Doppler velocity (assuming a parabolic profile) to obtain coronary flow (ml/min).

Echocardiography

Two-dimensional echocardiographic studies were performed with commercially available equipment (Toshiba, Tokyo, Japan). Septal and posterior LV wall thickness was measured in end-diastole from both the parasternal short axis and long axis views²³. LV

1. mass was calculated according to the Devereux method and subsequently indexed for
2. body surface area²⁴.

3.

4. **Morphometric analysis of arterioles**

5. The myocardial specimens from HCM patients, HTX patients and victims of car accidents
6. were fixed by immersion in 4% phosphate-buffered formaldehyde. Care was taken that
7. only specimens from the endocardial septal site was utilised for analysis in all three groups.
8. After fixation, the myocardial tissue was processed for paraffin sectioning and staining.
9. For identification and analysis of intramyocardial small arteries an elastic von Giesson
10. staining was used according to Tanaka *et al.*¹⁴. In brief, each preparation was magnified
11. with a light microscope (Zeiss, Oberkochen, Germany) and digitized for further analysis
12. with a quantitative morphometric system (IBAS system, Kontron, Germany). Only
13. round appearing vessels without side branches were studied. For each arteriole, the wall
14. (intima plus media) and total vessel area were traced. The adventitia was not included in
15. the measurements since the distinction between adventitia and perivascular fibrosis was
16. difficult to visualize. The % luminal area was calculated as $L / (W+L) \times 100\%$, where $L =$
17. lumen area and $W =$ wall area. The external diameter (D) of the intramyocardial coronary
18. arterioles was calculated by the formula: $D = 2 \times \sqrt{((\text{wall} + \text{lumen area}) / \pi)}$. In each
19. subject at least 10 arterioles were identified and used for analysis.

20.

21. **Morphometric analysis of capillaries**

22. In order to elucidate capillary density in the myocardial specimens, sections (4μ) from
23. formalin fixed, paraffin embedded tissue were stained with antibodies directed against
24. the CD-34 epitope (Biogenex, San Ramon, USA) as described below. After deparaf-
25. finization and rehydration sections were rinsed with phosphate-buffered saline and
26. incubated with normal goat serum (DAKO, Glostrup, Denmark), antibodies directed
27. against the CD-34 epitope, biotinylated secondary antibodies (Multilink, Biogenex,
28. San Ramon, USA) and peroxidase labelled streptavidin (Biogenex, San Ramon, USA),
29. respectively. The subsequent immunoprodukt was visualised with 3,3' diaminobenzi-
30. dine. In negative controls the primary antibody was replaced by normal mouse serum
31. (DAKO, Glostrup, Denmark). In our experience, staining by Jones' silver methanamine,
32. which was used by Rakusan *et al.*²⁵ to analyse capillary density in human myocardium,
33. provided suboptimal results, because discrimination of individual capillaries was more
34. difficult. Quantitative morphometric analysis of the sections with an area of 62.500
35. μm^2 was performed with a specially developed software program applied to a mor-
36. phometric system (Clemex Techn Inc., Quebec, Canada). We paid special attention
37. that cross-sections were originating from the endo- or midmyocardium, only regions
38. with transversely cut cardiomyocytes were selected and no or only minimal fibrosis
39. was present. For each patient at least five different regions were selected. The number

of cardiac myocytes, and therefore the capillary-myocyte ratio was not determined because of the inability to outline myocytes correctly.

Statistical analysis

Data are presented as mean \pm SD. To evaluate differences between groups unpaired student t-test was utilized. The relationship between CFR and hemodynamic, echocardiographic and morphometric variables was evaluated by linear regression analysis. A P-value of <0.05 was considered significant.

Interobserver variability

The % luminal area of the arterioles and the capillary density was independently quantified by two different observers, the variance was $< 3\%$ and $< 5\%$, respectively.

Table 1. Demographic, hemodynamic, echocardiographic, and morphometric data of patients with HCM and HTX recipients.

	AGE/ SEX	NYHA	Therapy	IVS (mm)	LVPW (mm)	LV mass index (g/m ²)	Cardiac Index (l/min/ m ²)	LVEDP (mm Hg)	LVOT- gradient (mm Hg)	% lumen IMSA	Capillaries (n/mm ²)	CFR
HCM												
# 1	45 F	II	-	20	11	168	2.8	12	32	-	-	3.10
# 2	59 M	III	B	23	13	202	2.4	28	100	14.9	1379	1.33
# 3	35 F	II	V	30	18	276	3.1	23	124	18.9	849	1.12
# 4	27 F	III	B	25	12	205	2.9	26	100	16.0	864	1.20
# 5	44 F	II	V	20	11	122	3.2	14	100	22.1	1541	2.10
# 6	20 M	II	V	23	11	182	2.9	23	58	27.6	1768	1.22
# 7	41 F	III	B,V	24	18	199	1.8	37	124	19.7	969	0.97
# 8	63 M	III	B	17	13	147	2.3	16	74	18.4	1686	1.13
# 9	62 M	III	V	22	12	184	3.4	20	88	30.7	1496	1.41
# 10	43 M	III	B	22	12	186	2.0	13	84	23.4	2451	1.68
HTX												
# 1	49 M	I	A,C,N,P	11	10	91	3.4	9	-	26.1	1308	3.38
# 2	61 M	I	C,D,N,P	12	10	88	3.2	6	-	26.6	1747	4.01
# 3	40 M	I	A,C,N,P	11	10	82	4.8	10	-	35.3	2079	2.00
# 4	57 M	I	C,D,N,P	11	11	119	4.1	11	-	31.2	2373	2.70
# 5	51 M	I	C,D,N,P	11	10	84	3.4	5	-	31.2	1511	2.25
# 6	55 M	I	A,C,N,P	10	10	73	3.2	7	-	33.7	2050	3.13
# 7	50 M	I	A,C,D,V	10	9	71	2.6	11	-	28.0	2245	1.97
# 8	52 M	I	A,C,N,P	11	10	100	3.9	13	-	31.5	1279	1.72

Therapy: A=aspirin, B= β -blocker, C=cyclosporin, D=dipyridamole, N=nifedipin, V=verapamil, P=pravastatin. CFR=coronary flow reserve, IMSA=intramyocardial small arteries, IVS=interventricular septum, LVEDP=left ventricular enddiastolic pressure, LVmass=left ventricular mass, LVOT-gradient=left ventricular outflow tract gradient, LVPW=left ventricular posterior wall, NYHA=New York Heart Association functional class.

1. RESULTS

2.

3. Patient characteristics

4. Demographic, hemodynamic, echocardiographic, and histological data of individual
 5. HCM and HTX patients are presented in **Table 1**. As seen in **Table 2**, compared to HTX
 6. patients, HCM patients had increased thickness of the septal (2.3 ± 0.3 vs. 1.1 ± 0.1 cm,
 7. $P < 0.0001$) and posterior wall (1.3 ± 0.3 vs. 1.1 ± 0.1 cm, $P < 0.01$), and increased indexed
 8. LV mass (187 ± 41 vs. 89 ± 15 g/m², $P < 0.0001$).

9. HCM and HTX patients had comparable stroke volume. However, because of lower
 10. resting heart rates (70 ± 13 beats vs. 97 ± 13 beats per minute, $P < 0.005$), HCM patients had
 11. a lower cardiac index (2.7 ± 0.5 vs. 3.5 ± 0.7 L/min/m², $P < 0.01$). LV end-diastolic pressure
 12. was significantly higher in HCM patients (21 ± 8 mmHg vs. 9 ± 3 mmHg, $P < 0.001$).

13. None of the HCM and HTX patients had epicardial coronary artery disease or systolic
 14. septal perforator artery compression. End-systolic and end-diastolic luminal diameters
 15. of the LAD and first septal branch were comparable in HCM and HTX patients.

16.

17. **Table 2.** Comparison of patient characteristics between HCM, HTX patients and victims of car accidents.

	HCM patients (N = 10)	HTX patients (N = 8)	Car accidents (N = 10)
20. Age (years)	44 ± 15	51 ± 6	38 ± 19
21. Septal wall thickness (mm)	26 ± 3	11 ± 1 [#]	
22. Posterior wall thickness (mm)	15 ± 4	10 ± 1 [§]	
23. LV mass index (g/m ²)	187 ± 41	89 ± 15 [#]	
24. Cardiac Index (L/min/m ²)	2.7 ± 0.5	3.5 ± 0.7 [§]	
25. LV end-diastolic pressure (mm Hg)	21 ± 8	9 ± 3 [#]	
26. End-diastolic LAD (mm)	3.3 ± 1.0	3.1 ± 0.5	
27. End-systolic LAD (mm)	3.1 ± 0.9	3.1 ± 0.5	
28. End-diastolic SA (mm)	1.5 ± 0.4	1.3 ± 0.2	
29. End-systolic SA (mm)	1.3 ± 0.4	1.2 ± 0.2	
30. External Diameter of IMSA (µm)	42.0 ± 7.8	46.1 ± 12.7	49.9 ± 13.4
31. % Luminal area	21.3 ± 5.2	30.4 ± 3.3	30.9 ± 5.7
32. Capillary density (number/mm ²)	1,445 ± 514 [#]	1,824 ± 425 [#]	3,883 ± 798
33. <u>Coronary flow reserve</u>	1.6 ± 0.7	2.7 ± 0.8 [§]	

34. Data are presented as mean values ± SD. # = $P < 0.0001$. § = $P < 0.01$. LV = left ventricular. L = lumen area,
 35. LAD = left descending coronary artery (diameter), SA = septal artery (diameter), W = wall area.

36.

37. Histological analysis

38. As seen in **Table 2**, the external calibre of the intramyocardial arterioles in HCM patients
 39. (42.0 ± 7.8 µm) and HTX patients (46.1 ± 12.7 µm) were not significantly different from
 40. those in victims of car accidents (49.9 ± 13.4 µm). In contrast, the % luminal area in
 41. HCM patients ($21.3 \pm 5.2\%$) was significantly reduced, both compared to HTX patients

($30.4 \pm 3.3\%$, $P < 0.001$) and victims of car accidents ($30.9 \pm 5.7\%$, $P < 0.001$). In victims of car accidents the number of capillaries ($3,883 \pm 798 \text{ mm}^{-2}$) was higher, both compared to HCM patients ($1,445 \pm 514 \text{ mm}^{-2}$, $P < 0.0001$) and HTX patients ($1,824 \pm 425 \text{ mm}^{-2}$, $P < 0.0001$). **Figure 1** shows an example of capillary density in a HCM patient and a victim of a car accident, respectively. Capillary density in HCM patients tended to be lower than in HTX patients ($1,445 \pm 514 \text{ mm}^{-2}$ vs. $1,824 \pm 425 \text{ mm}^{-2}$, $p = 0.11$).

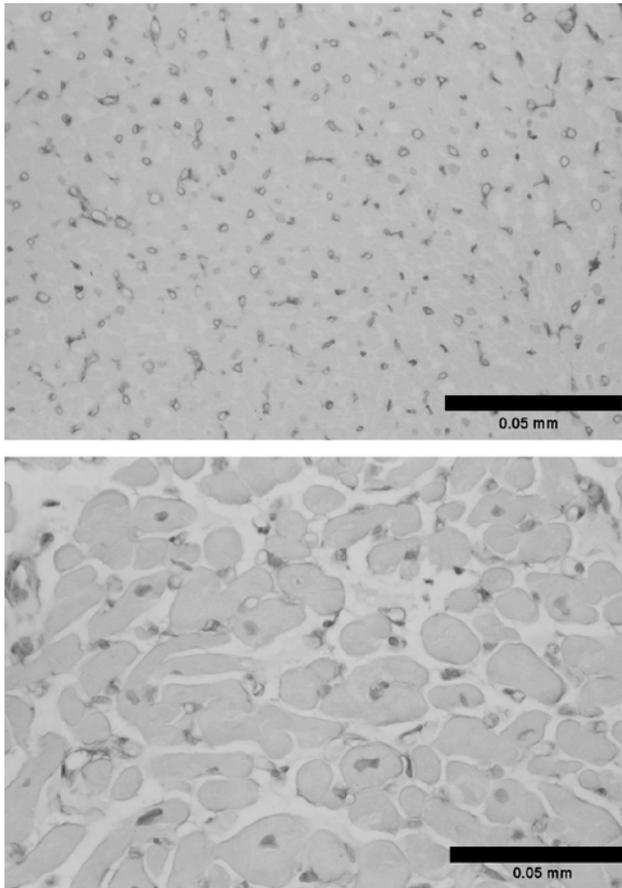


Figure 1:

The capillary density of a car accident victim is represented in the upper panel. In the lower panel the capillary density of a HCM patient is displayed. Note the decrease in the number of capillary vessels in HCM (magnification 40 \times)

Variables related to CFR

CFR in HCM patients was significantly reduced compared to HTX patients (1.6 ± 0.7 vs. 2.6 ± 0.8 , $P < 0.01$). An increase in LV septal thickness ($P < 0.005$), indexed LV mass ($P < 0.005$, **Figure 2a**), LV end-diastolic pressure ($P < 0.001$, **Figure 2b**), LVOT gradient

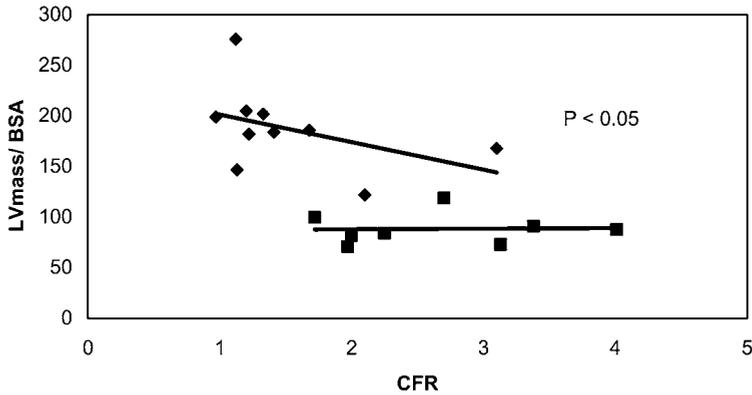


Figure 2A:

The relation between CFR and LV mass corrected for body surface area (LV mass/BSA, g/m²) in HCM patients (◆) and HTX patients (■).

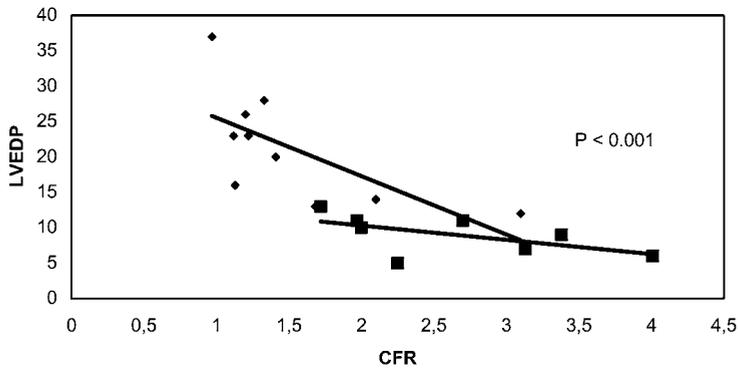


Figure 2B:

The relation between CFR and LV end-diastolic pressure (mmHg) in HCM patients (◆) and HTX patients (■).

($P < 0.05$), and a decrease in % luminal area of the arterioles ($P < 0.05$) were all related to the reduction of CFR. The reduction in capillary density in HCM patients was not significantly related to the reduction in the vasodilatory reserve ($p = 0.10$). Multivariate analysis was not performed because of the small patient number.

DISCUSSION

The decrease in CFR is an important phenomenon in HCM, since it predisposes patients to myocardial ischemia and moreover decrements in CFR are a strong predictor of clinical deterioration and death²⁻⁵. In previous studies the reduction of CFR in HCM patients has been related to single hemodynamic¹³, echocardiographic^{9, 10} and histological

characteristics¹⁴⁻¹⁷. This is the first study to relate a combination of hemodynamic (LV end-diastolic pressure, LVOT gradient), echocardiographic (indexed LV mass) and histological (% luminal area of the arterioles) characteristics to the reduction in CFR.

Histological analysis: the role of small vessel disease.

In HCM patients post-mortem histological analysis of LV myocardium has revealed the presence of abnormal intramyocardial arterioles with markedly thickened walls and narrowed lumens²⁵. Abnormal intramyocardial arteries are considered one of the possible mechanisms for a reduction in CFR in these patients^{13, 14}. Indeed in the present study a positive relationship between the degree of arteriolar dysplasia and the decrement in the CFR was present. Since myocardial tissue was obtained from different sites (LV septal endocardium for HCM patients, right ventricular septal endocardium in HTX patients), this could have affected our results. Nevertheless, Tanaka *et al.*¹⁴ demonstrated that the % luminal area of the intramyocardial arterioles was identical in the right, middle and left thirds of the ventricular septum in HCM hearts and in normal hearts. To evaluate the role of small vessel disease in HTX patients the % luminal area of intramyocardial arterioles in this group was compared to that of normal hearts and no significant differences were found.

Histological analysis: the role of the capillary density

Although small arteries and arterioles mainly control the blood flow of the coronary circulation, the capillary density and distribution are of crucial importance in the process of exchange between blood and tissue²⁶. In HCM patients ischemia might be due to an increased oxygen diffusion distance caused by an inadequate growth of capillaries. The existence of this phenomenon has been confirmed in animals with pressure-overload LV hypertrophy (LVH)²⁷. However, there are only few studies that have investigated the coronary vascular microcirculation in the normal human population and in patients with pressure-overload LVH. Rakusan *et al.*²⁵ showed that human LV capillary density is comparable to other mammalian species, and that pressure-overload LVH in children is associated with proportional capillary angiogenesis, whereas in adults, LVH appears to be associated with failure of compensatory angiogenesis. To the best of our knowledge, there are no studies concerning the role of capillary angiogenesis in HCM. In our study, we showed that there was a clear reduction in capillary density in HCM patients compared to patients without cardiovascular disease and HTX patients, although the latter comparison did not reach statistical significance. There was only a weak relation between the reduction in CFR and the decrease in capillary density ($P = 0.11$). However, the patient population could have been too small for differences to appear.

1. Limitations of the study

2. The use of HTX patients as control group is debatable; nevertheless Vassali *et al.* showed
 3. that CFR is comparable with the normal population 1 to 6 years after transplantation ²⁸.
 4. Importantly none of our HTX patients had signs of acute allograft rejection or epicardial
 5. CAD, conditions which are known to diminish CFR ^{29,30}. From the parameters we studied
 6. which could influence CFR, the reduction in capillary density in HTX patients was the
 7. most striking difference in comparison with the normal situation. Also, the sample size of
 8. the patient populations we studied was relatively small, as a consequence only univariate
 9. analysis could be performed and only clear differences in patient characteristics showed
 10. significance.

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13. CONCLUSIONS

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15. In HCM patients hemodynamic (LV end-diastolic pressure, LVOT gradient), echocardiographic (indexed LV mass) and histological (% luminal area of the arterioles) changes are responsible for a decrease in CFR (Figure 3).

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Figure 3:

33. Mechanisms underlying the reduction in CFR in HCM. LVEDP=LV end-diastolic pressure.

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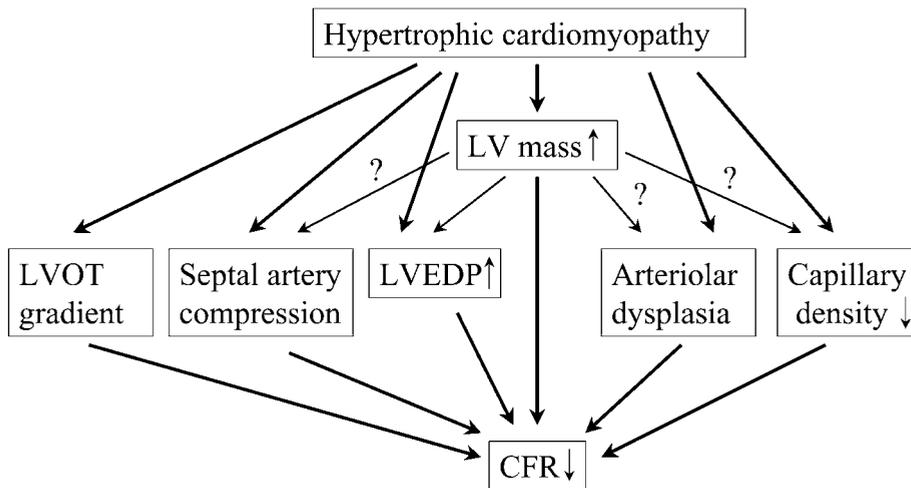
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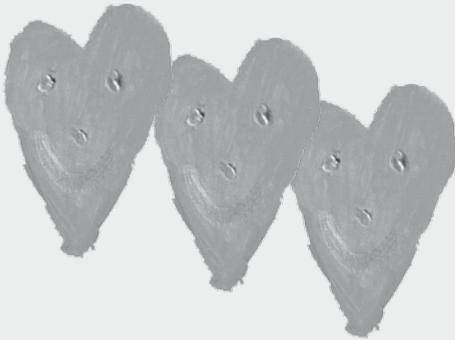
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Chapter 3

Diastolic abnormalities as first feature of hypertrophic cardiomyopathy in Dutch myosin binding protein C founder mutations



Michelle Michels, Osama I.I. Soliman, PhD, Marcel J.Kofflard, PhD, Yvonne M. Hoedemaekers, Dennis Dooijes, Danielle Majoor-Krakauer, and Folkert J. ten Cate,

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ABSTRACT

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Objectives

To test the hypothesis that carriers of Dutch founder mutations in *cardiac myosin binding protein C (MYBPC3)*, without left ventricular hypertrophy (LVH) or electrocardiographic abnormalities have diastolic dysfunction on tissue Doppler imaging (TDI), which can be used for the screening of family members in the Dutch hypertrophic cardiomyopathy (HCM) population.

Background

TDI is a more sensitive technique for the assessment of left ventricular dysfunction compared to conventional echocardiography.

Methods

Echocardiographic studies including TDI were performed in genotyped HCM patients (genotype positive, G+/ LVH+; n = 27), mutation carriers without LVH (G+/ LVH-; n = 27) and healthy controls (n = 55). The identified mutations in *MYBPC3* in the G+/ LVH+ subjects were c.2864_2865delCT (12 subjects), c.2373dupG (8 subjects) and p. Arg943X (7 subjects). In the G+/ LVH- subjects the following mutations were identified c.2864_2865delCT (11 subjects), c.2373dupG (8 subjects) and p. Arg943X (8 subjects).

Results

Mean TDI-derived systolic (Sa) and early (Ea) and late (Aa) diastolic mitral annular velocities were significantly lower in the G+/ LVH+ subjects compared to the other groups. However, there was no difference between controls and G+/ LVH- subjects. Mean TDI-derived Aa velocities were significantly higher in the G+/ LVH- subjects compared to controls and G+/ LVH+ subjects. Using a cut-off value of mean + 2SD, an abnormal Aa was found in 14 (51%) of G+/LVH- patients. There was no difference between the 3 different mutations.

Conclusions

In contrast to earlier reports mean Sa and Ea velocities were not reduced in G+/ LVH- subjects and TDI velocities were not sufficiently sensitive for determination of the affected status of an individual. Our findings however, do support the theory that diastolic dysfunction is a primary component of preclinical HCM.

1. INTRODUCTION

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3. Hypertrophic cardiomyopathy (HCM) is defined by the presence of left ventricular
 4. hypertrophy (LVH) in the absence of loading conditions (hypertension, valve disease)
 5. sufficient to cause the observed abnormality (1). In most cases it is a familial disease with
 6. an autosomal dominant pattern of inheritance caused by mutations in genes that encode
 7. different proteins of the cardiac sarcomere. However, LVH is absent in a significant
 8. number of mutation carriers because of the variable penetrance of the mutations and
 9. confounding effects of modifier genes, gender and environmental factors. Overall, LVH
 10. is neither very sensitive nor very specific in HCM diagnosis (2-4).

11. An alternative approach to the early diagnosis of HCM is genetic testing, which can
 12. identify mutation carriers before development of LVH. However, using the current
 13. techniques sarcomeric mutations are identified in 50 to 60 % of HCM patients, making
 14. screening of family members by genetic testing impossible in up to 50 % of HCM families
 15. (5).

16. Experimental data suggest that cardiac myocyte contractile function in HCM is reduced
 17. and that the hypertrophy is compensatory (6,7). These data, in conjunction with myocyte
 18. disarray, the characteristic hallmark of HCM, led to the hypothesis that tissue Doppler
 19. imaging (TDI) with its possibility to identify contraction and relaxation abnormalities
 20. would be more sensitive for the diagnosis of HCM than conventional echocardiography.
 21. This principle has been proven by several studies in both animals and patients carrying
 22. different sarcomeric mutations (8-10).

23. The current study was performed to test the hypothesis that TDI can be used for the
 24. screening of family members in the Dutch HCM population. The Dutch HCM popula-
 25. tion is special because the majority of HCM in the Netherlands is caused by one of three
 26. founder mutations in *cardiac myosin binding protein C (MYBPC3)*; c.2373dupG (11),
 27. c.2864_2865delCT and p.Arg943X.

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30. METHODS

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32. Study population

33. At our cardio genetic outpatient clinic systematic family screenings of genotyped HCM
 34. patients are performed. The mutation carriers (G+/LVH-; n = 27) were selected from
 35. consecutive genotyped family members without major or minor criteria for the diagnosis
 36. of HCM on routine echocardiography or ECG as described by McKenna (12). The control
 37. group consisted of 55 healthy age and sex matched subjects without cardiovascular disease
 38. or diabetes mellitus and with normal left atrial dimension, LV dimension and function.
 39. The third group included 27 HCM patients (LV wall thickness \geq 15 mm) based on one

of the Dutch founder mutations. All subjects did provide informed written consent. The study complies with the declaration of Helsinki and the Erasmus University MC Review Board has approved the study.

Two-dimensional echocardiography

Echocardiographic studies were performed with a Sonos 7500 ultrasound system with a S3 transducer or an iE33 system with a S5-1 transducer (Philips Medical Systems, Best, The Netherlands). The acquired data were digitally stored and subsequently analyzed by an observer, who was blinded for the clinical data. From the second harmonic M-mode recordings, the following data were acquired: left atrial dimension, LV septal and posterior wall thickness, and LV end-diastolic and end-systolic dimension. From the Doppler mitral-inflow pattern, early (E) and late (A) LV filling velocities, the E/A ratio, E-velocity deceleration time and the duration of the A were measured. Pulmonary vein systolic flow, diastolic flow and flow during atrial contraction were also measured. LV ejection fraction was assessed on two-dimensional echocardiography using the bi-plane Simpson method.

Tissue Doppler imaging

TDI was performed by placing the sample volume at the side of the medial, lateral, inferior, anterior, posterior and anteroseptal mitral annulus in the standard apical views. Gain and filter settings were adjusted as needed to eliminate background noise and to allow for a clear tissue signal. To acquire the highest tissue velocities the angle between the Doppler beam and the longitudinal motion of the investigated structure was adjusted to a minimal level. The mitral annular systolic (Sa), early (Ea) and late (Aa) diastolic velocities were recorded end-expiratory at a sweep speed of 100 mm/s and measured using electronic callipers with Enconcert software (Philips, Best, The Netherlands). TDI-derived Sa, Ea, and Aa mitral annular velocities from 6 mitral annular regions were measured. The individual average for the 6 measurements was used for analysis. The different mitral annular regions were also compared. The dimensionless ratio of E/Ea was computed at all corners, this parameter is an index that corrects for the influence of LV relaxation on mitral peak E velocity and provides a good estimate of left ventricular filling pressures in HCM (13).

Diastolic function was subsequently graded 0 to 4 as previously described (14). Based on color Doppler and TDI measurements, normal LV diastolic function (stage 0) was defined as a combination of E/A ratio between 0.75 and 1.50, deceleration time between 150 and 220 ms, pulmonary vein systolic flow > diastolic flow, duration of the pulmonary vein flow during atrial contraction < A-wave duration+30ms, mean Ea>10.0 cm/s, and E/Ea<9. Stage 1 diastolic dysfunction (impaired LV relaxation) was defined as E/A ratio<0.75 and deceleration time >220 ms. Stage 2 diastolic dysfunction (pseudo-normal LV filling) was defined as an E/A ratio between 0.75 and 1.5 with a mean Ea<7 cm/s and E/Ea>15. Stage 3 diastolic dysfunction (reversible restrictive LV filling) was defined as

1. E/A ratio > 1.5 with a deceleration time < 150 ms, mean Ea < 7 cm/s, and E/Ea > 15 reversing to pseudonormal or even impaired relaxation during the Valsalva maneuver. Stage 4 diastolic dysfunction (fixed restrictive) was defined as E/A ratio > 1.5 with a deceleration time < 150 ms, mean Ea < 7 cm/s, and E/Ea > 15 without change with Valsalva (14-16).

6. Statistical Analyses

7. All statistics were performed using the SPSS 14 for Windows (SPSS Inc, Chicago, IL, USA). Descriptive data were computed as a mean value \pm SD. Variables among the 3 groups were compared by ANOVA using the Games-Howell corrections for post-hoc analysis. Statistical significance was defined by $P \leq 0.05$. The Welch and Brown-Forsyth test confirmed the standard statistics.

14. RESULTS

16. Study population

17. The subject characteristics are displayed in Table 1. The mean age and the gender of controls and G+/LVH- subjects were similar. In the G+/LVH+ population, the mean age was significantly higher and there were significantly more males compared with the other two groups. In the G+/LVH- subjects the distribution of the MYBPC3 mutations was: c.2864_2865delCT in 11, c.2373dupG in 8 and p.Arg943X in 8 subjects. In the G+/LVH+ subjects the distribution of the MYBPC3 mutations was: c.2864_2865delCT in 12, c.2373dupG in 8 and p.Arg943X in 7 subjects.

25. **Table 1: Subject characteristics**

	G+/LVH+ (n=27)	G+/LVH- (n=27)	Controls (n=55)
27. Age	*+47 \pm 12	37 \pm 12	37 \pm 10
28. Percentage male	*+63	33	37
29. Identified mutation			
30. 2864delCT	12	11	0
30. 2373insG	8	8	0
31. R943X	7	8	0

33. Between groups Games-Howell-corrected P-value:

34. *p < 0.01 versus controls;

35. †p < 0.01 versus G+/LVH- subjects.

(G = genotype; LVH = left ventricular hypertrophy)

37. Echocardiographic analysis

38. All subjects had 2D and Doppler studies satisfactory for analysis. The results of the echocardiographic analysis are displayed in Table 2.

Table 2. Echocardiographic Characteristics of the Study Population

	G+/LVH+ subjects (n=27)	G+/LVH- subjects (n=27)	Controls (n=55)
2 D Echocardiography			
LVEDD (mm)	46 ± 6	49 ± 5	50 ± 5
LVESD (mm)	28 ± 8	30 ± 5	31 ± 5
FS (%)	39 ± 9	38 ± 7	36 ± 7
EF (%)	61 ± 11	61 ± 5	62 ± 7
IVS (mm)	§19 ± 5	10 ± 2	9 ± 1
LVPW (mm)	11 ± 2	9 ± 2	9 ± 2
LA (mm)	§49 ± 9	36 ± 5	32 ± 5
Diastolic dysfunction			
Stage 0	5	27	55
Stage 1	5	0	0
Stage 2	7	0	0
Stage 3	7	0	0
Stage 4	3	0	0

Between groups Bonferroni- or Games-Howell-corrected P-value:

§p<0.01 versus controls;

‡p<0.01 versus G+/LVH- subjects.

By definition, mean septal and posterior wall thickness were significantly higher in the G+/LVH+ subjects compared with the control group and the G+/LVH-subjects. There were no differences in LV end-diastolic diameter, LV end-systolic diameter, fractional shortening and LV ejection fraction between the three groups. Left atrial dimension was significantly higher in the G+/LVH+ subjects compared with the other two groups.

All patients with definite HCM were in sinus rhythm. None had a LVOT gradient > 50 mmHg. Most patients, 16 (60%) were asymptomatic; 11 (40%) were in NYHA class II. Medical treatment was used by 12 (44%) of HCM patients and consisted of beta blockers in 6 (22%) patients; a combination of a beta blockers and diuretics in 2 (7%) patients; a combination of a beta blockers, diuretics and angiotensin converting-enzyme inhibitors in 3 (11%) patients; amiodarone was used by 1 (4%) HCM patient.

Diastolic function was normal (grade 0) in all controls and all G+/LVH- subjects. In the majority of G+/LVH+ subjects diastolic dysfunction was present.

Mean Sa, Ea and Aa velocities were significantly lower in the G+/LVH+ subjects compared with controls and G+/LVH- subjects. Mean Sa and Ea were not different between controls and G+/LVH- subjects. However, mean Aa velocities were significantly higher in the G+/LVH- subjects- compared with controls and G+/LVH+ subjects (Figure 1). Using a cut-off value of mean + 2SD (>97 mm/s), an abnormal Aa was found in 14 (51%) of G+/LVH- patients. G+/LVH+ patients have abnormal regional velocities as compared to G+/LVH- and controls (Figure 2). In the G+/LVH- patients Aa velocity was abnormal in most of mitral annular regions. There was no difference between the 3 different mutations.

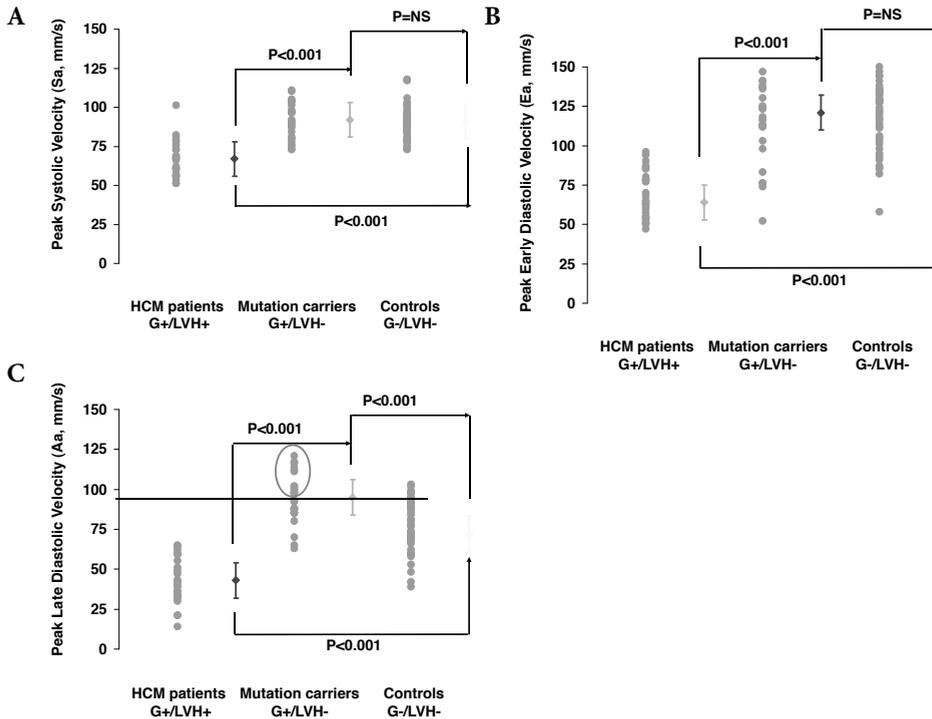


Figure 1. Individual Mean Values of Tissue Doppler Velocity

The individual mean values of the peak early systolic (A), peak early diastolic (B), and peak late diastolic (C) components of longitudinal velocity obtained from tissue Doppler imaging, which are averaged from 6 different mitral annular sites (anterior, anteroseptal, inferior, lateral, posterior, and posteroseptal) in the hypertrophic cardiomyopathy (HCM) patients, family members, and healthy controls. Aa = late mitral annular diastolic velocity; Ea = early mitral annular diastolic velocity; G+/LVH+ = genotyped hypertrophic cardiomyopathy patients; G+/LVH- = carriers of Dutch myosin-binding protein C founder mutations without left ventricular hypertrophy; NS = not significant; Sa = mitral annular systolic velocity.

DISCUSSION

HCM is a primary disorder of cardiac myocytes, characterized by hypertrophy in absence of increased external load. In most cases it is an autosomal-dominant disease and routine echocardiography and electrocardiography are used to screen family members (12). However, LVH is absent in a significant number of mutation carriers. Early and accurate phenotypic diagnosis of affected family members by TDI could provide an opportunity to prevent or modify the clinical manifestations of HCM and would complement genetic testing, which is complicated by allelic and non-allelic heterogeneity. In contrast to earlier reports, in our study population mean Sa and mean Ea velocities were not reduced in G+/LVH- subjects compared with controls and could not be used to differentiate the G+/LVH- subjects from the controls. The reasons for this difference could be the underlying

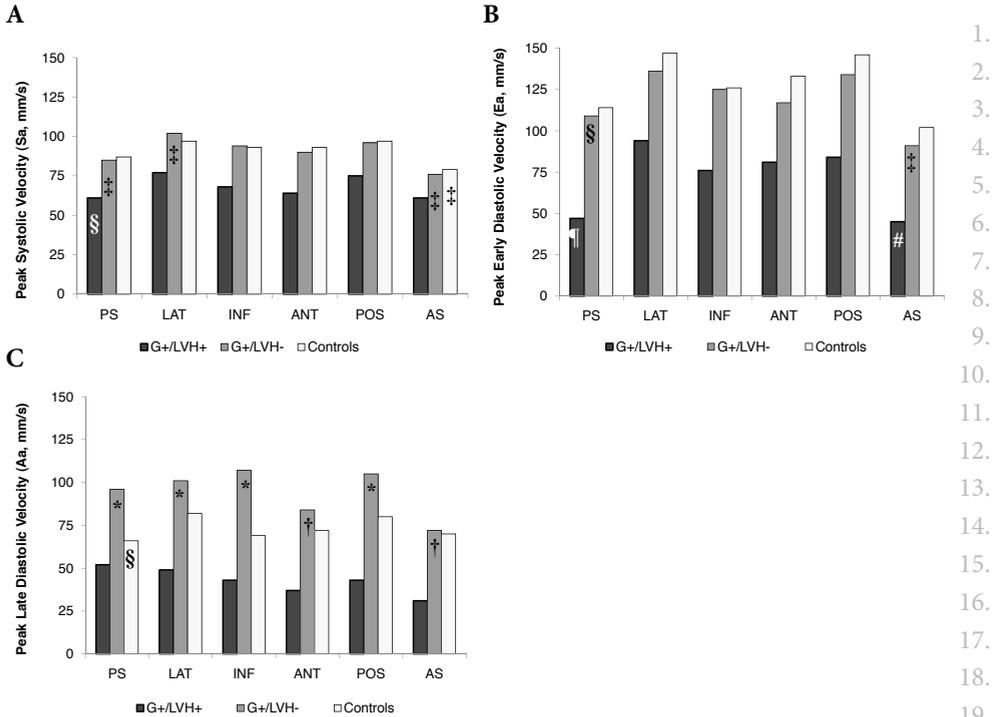


Figure 2: Mean regional values of peak systolic tissue Doppler velocity.

Mean regional values of the 3 components of tissue Doppler longitudinal velocity from 6 mitral annular sites during systole (A), early diastole (B), and late diastole (C) in genotyped hypertrophic cardiomyopathy patients (G+/LVH+), mutation carriers without left ventricular hypertrophy (G+/LVH-), and controls.

Mitral annular regions in sequence are as follows: PS = posteroseptal; LAT = lateral; INF = inferior; ANT = anterior; POS = posterior; and AS = anteroseptal. Between-groups Games-Howell-corrected p value: all G+/LVH+ mean values of mitral annular systolic velocity (Sa), early mitral annular diastolic velocity (Ea), and late mitral annular diastolic velocity (Aa), averaged from 6 mitral annular sites and individual sites, are significantly different from other 2 groups ($p < 0.001$). Between mutation carriers and controls: * $p < 0.01$ mutation carriers versus controls; † $p < 0.05$ mutation carriers versus controls. Within-group Games-Howell-corrected p value: ‡ $p < 0.01$ versus the other 5 segments; § $p < 0.05$ versus lateral and posterior segments; ¶ $p < 0.05$ versus posteroseptal, lateral, inferior, and anterior segments; and # $p < 0.05$ versus lateral, inferior, anterior, and posterior segments.

sarcomeric mutation. Unlike previous studies our study population consisted only of subjects with a truncating mutation in *MYBPC3*, which are known for its later onset of HCM compared with mutations in *beta-myosin heavy chain (MYH7)* (2).

We did however, find an increased Aa velocity in the majority of G+/LVH- subjects carrying one of three truncating Dutch founder mutations in *MYBPC3*. To our knowledge this is the first study to describe an isolated increase in mean Aa velocity in G+/LVH- subjects. An increase of mean Aa velocity means that the mitral annulus displacement is increased during late diastole. This could be a very early sign of diastolic abnormality, preceding other signs of myocardial contraction and relaxation abnormalities and LVH (1).

1. Prediction of genetic abnormalities in patients with a clinical diagnosis of HCM and
2. vice versa has been investigated in several studies (17-19). Fifty to 60 % of HCM patients
3. have abnormalities in the sarcomeric genes (20). Most HCM mutations are found in
4. *MYH7*, which encodes a thick myofilament protein and in *MYBPC3*, which encodes an
5. intermediate myofilament protein. Mutations in both genes cause an indistinguishable
6. disease phenotype in which the ventricular septum has characteristic reverse curve in
7. approximately 40% of cases (20). One of the great benefits of genetic analyses in HCM
8. families is the identification of at-risk family members, which will allow early detection
9. and possible prevention of poor outcome (21).

10. In the present study *MYBPC3* mutation carriers have, almost all, an increased LV
11. late diastolic lengthening in the form of high Aa peak velocity on TDI. In contrast with
12. HCM mutations in other sarcomeric genes, which are mostly missense mutations, most
13. *MYBPC3* mutations are truncating mutations. Haploinsufficiency is therefore thought to
14. be an important disease mechanism in *MYBPC3*-associated HCM. The 3 described muta-
15. tions in this study are truncating mutations, thought to lead to a reduction in *MYBPC3*
16. protein because of a lack of expression from the mutated allele by the cellular surveillance
17. mechanism of nonsense mediated decay (22). The regulatory role of *MYBPC3* on con-
18. traction is still controversial. Although it has been shown that removal of the *MYBPC3*
19. can increase the velocity of shortening, force output, and force redevelopment in skinned
20. preparation (23-26). Pohlmann et al. investigated consequences of removal of *MYBPC3*
21. in ventricular myocytes and left atria from *MYBPC3* knockout mice compared with wild
22. type. Both sarcomere shortening and Ca^{2+} transient were prolonged in *MYBPC3* knock-
23. out mice. Isolated left atria of *MYBPC3* knockout mice exhibited a marked increase in
24. sensitivity to external Ca^{2+} and low micro molar Ca^{2+} . The main consequence of removal
25. of *MYBPC3* in the *MYBPC3* knockout mice was a defect in diastolic relaxation and a
26. smaller dynamic range of cell shortening, both of which likely result from the increased
27. myofilament Ca^{2+} sensitivity (27). *MYBPC3* is required for complete relaxation in intact
28. myocytes. Therefore, *MYBPC3* might function as a restraint on myosin-actin interaction
29. at low Ca^{2+} and short sarcomere length to allow complete relaxation during diastole.
30. When applying these experimental findings to our findings, the late diastolic abnormal-
31. ity can be a very early sign or pre-clinical phenotypic expression of disease in the G+/
32. LVH- subjects.

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35. CONCLUSIONS

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37. Based on the current study we conclude that TDI velocities are not sufficiently sensitive
38. for determination of affected status of an individual. Our findings however, do support
39. the theory that diastolic dysfunction is a primary component of preclinical HCM.

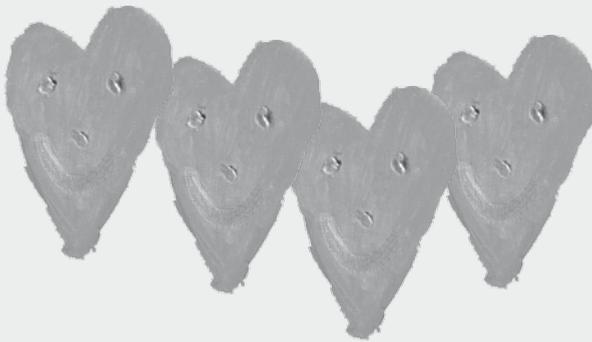
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Chapter 4

Delayed left ventricular untwisting in hypertrophic cardiomyopathy



van Dalen BM, Kauer F, **Michels M**, Soliman OI, Vletter WB, van der Zwaan HB,
ten Cate FJ, Geleijnse ML

J Am Soc Echocardiogr 2009;22:1320-6

ABSTRACT

Background

Almost all hypertrophic cardiomyopathy (HCM) patients have some degree of left ventricular (LV) diastolic dysfunction. Nevertheless, the pathophysiology remains incompletely characterized. Conceptually, an ideal therapeutic agent should target the underlying mechanisms that cause LV diastolic dysfunction. Assessment of diastolic LV untwisting could potentially be helpful to gain insight into the mechanism of diastolic dysfunction. The purpose of this study was to investigate LV untwisting in HCM patients and control subjects.

Methods

LV untwisting parameters were assessed by speckle tracking echocardiography in 75 consecutive HCM patients and compared to 75 healthy control subjects.

Results

Untwisting at 5%, 10%, and 15% of diastole was lower in HCM patients (all $P < 0.001$) compared to control subjects. Peak diastolic untwisting velocity (-92 ± 32 degrees/sec vs. -104 ± 39 degrees/sec, $P < 0.05$) and untwisting rate from peak systolic twist to mitral valve opening (MVO) (-37 ± 20 degrees/sec vs. -46 ± 22 degrees/sec, $P < 0.01$) were lower, while the normalized time-to-peak diastolic untwisting velocity ($17 \pm 9\%$ vs. $13 \pm 9\%$, $P < 0.05$) was higher in HCM patients. Untwisting rate from peak systolic twist to MVO was negatively correlated to E/A ratio ($R^2 = 0.15$, $P < 0.01$). Peak diastolic untwisting velocity and untwisting rate from peak systolic twist to MVO were increased in mild, but decreased in moderate and severe diastolic dysfunction compared to control subjects.

Conclusion

LV untwisting is delayed in HCM, which probably significantly contributes to diastolic dysfunction.

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1. INTRODUCTION

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3. Despite the heterogeneity in the phenotypic expression of hypertrophic cardiomyopathy
4. (HCM), almost all HCM patients have some degree of left ventricular (LV) diastolic dys-
5. function.¹ The need for objective evidence of diastolic dysfunction has led to an extensive
6. search for accurate, noninvasive methods to quantify its severity.² Conceptually, an ideal
7. therapeutic agent should target the underlying mechanisms that cause LV diastolic dys-
8. function. Given the complex interplay of factors causing diastolic dysfunction in HCM, it
9. should not be surprising that so far no single noninvasive measure has been validated to
10. be accurate.³ Furthermore, currently available noninvasive measurements (pulsed-wave
11. Doppler flow velocity of the LV inflow, pulmonary veins flow, and mitral annular veloc-
12. ity using tissue Doppler imaging) represent events that occur after mitral valve opening
13. (MVO), thus evaluating the later stages of diastole. Before MVO, in the isovolumic
14. relaxation period, untwist of the obliquely oriented fibres of the LV contributes to the
15. generation of the intraventricular pressure gradient, which leads to LV diastolic suction,
16. a major determinant of early LV filling.⁴ LV untwisting can be measured noninvasively
17. using speckle tracking echocardiography.^{5,6} The purpose of this study was to investigate
18. LV untwisting in HCM patients and control subjects and to relate LV untwisting param-
19. eters to conventional Doppler-derived parameters of LV diastolic function.

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22. METHODS

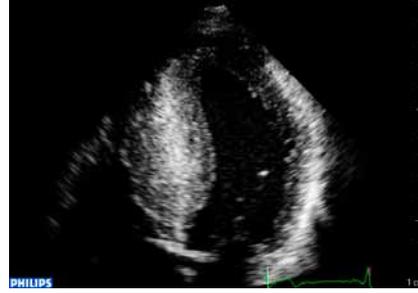
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24. Study participants

25. The study population consisted of 75 consecutive non-selected patients in sinus rhythm
26. with HCM (mean age 42 ± 15 year, 54 men) and good echocardiographic image quality
27. that allowed for complete segmental assessment of LV rotation at both the basal and apical
28. LV level. During the enrolment of these 75 HCM patients, 31 other patients (29%) were
29. excluded because of suboptimal echocardiographic image quality not fulfilling this crite-
30. rion. These patients were compared to 75 healthy - for age and gender matched - control
31. subjects, without hypertension or diabetes, and with normal left atrial dimensions, LV
32. dimensions, and LV systolic and diastolic function. HCM was characterized morphologi-
33. cally and defined by a hypertrophied, nondilated LV in the absence of another systemic
34. or cardiac disease that is capable of producing the magnitude of wall thickening seen.⁷
35. By consensus reading between two observers, HCM patients could be subdivided into
36. 24 patients (32%) with a typical sigmoidal, and 40 (53%) with a reverse septal curvature
37. (Figure 1).⁸ In the remaining 11 patients (15%) the two observers disagreed or an atypi-
38. cal morphology was seen. An informed consent was obtained from all subjects and the
39. institutional review board approved the study.



Sigmoidal septal curvature



Reverse septal curvature

Figure 1:

Examples of a sigmoidal and reverse septal curvature.

Echocardiography

Two-dimensional grayscale harmonic images were obtained in the left lateral decubitus position using a commercially available ultrasound system (iE33, Philips, Best, The Netherlands), equipped with a broadband (1-5MHz) S5-1 transducer (frequency transmitted 1.7MHz, received 3.4MHz). All echocardiographic measurements were averaged from three heartbeats. From the M-mode recordings the following data were acquired: LV end-diastolic septal and posterior wall thickness, and LV end-diastolic and end-systolic dimension. LA atrial volume was measured using the biplane area-length formula and indexed for body surface area. LV ejection fraction was calculated from LV volumes by the modified biplane Simpson rule. LV mass was assessed with the two-dimensional area-length method.⁹ LVOT gradient was measured with continuous-wave Doppler in the apical 5-chamber view. LVOT obstruction was defined as a gradient ≥ 30 mmHg.¹⁰ From the mitral-inflow pattern, peak early (E-wave velocity) and late (A-wave velocity) filling velocities, E/A ratio, and E-wave velocity deceleration time were measured. Tissue Doppler was applied end-expiratory in the pulsed-wave Doppler mode at the level of the inferoseptal side of the mitral annulus from an apical 4-chamber view. To acquire the highest wall tissue velocities, the angle between the Doppler beam and the longitudinal motion of the investigated structure was adjusted to a minimal level. The spectral pulsed-wave Doppler velocity range was adjusted to obtain an appropriate scale. The timing of the beginning and ending of the isovolumic relaxation time were determined using pulsed wave Doppler. HCM patients were stratified by grade of diastolic dysfunction (grades 1-3): grade 1, abnormal relaxation: E/A ratio < 0.75 and E-wave velocity deceleration time > 240 ms; grade 2, pseudonormal filling: $0.75 < \text{E/A ratio} < 1.5$ and E-wave velocity deceleration time 140-200 ms; and grade 3, restrictive filling: E/A ratio > 1.5 and E-wave velocity deceleration time < 140 ms.^{11, 12} In addition, all patients with diastolic dysfunction were required to have an E-wave velocity / peak early diastolic wave velocity of the septal mitral annulus ratio (E/Em ratio) of more than 8. Twenty HCM patients could not

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1. perfectly fulfill all of the criteria for a particular diastolic dysfunction group, and were
 2. therefore excluded from this part of the analysis.
 3. To optimize speckle tracking echocardiography, images were obtained at a frame rate
 4. of 60 to 80 frames/s. Parasternal short-axis images at the LV basal level (showing the tips
 5. of the mitral valve leaflets) with the cross section as circular as possible were obtained
 6. from the standard parasternal position, defined as the long-axis position in which the
 7. LV and aorta were most in-line with the mitral valve tips in the middle of the sector.
 8. To obtain a short-axis image at the LV apical level (just proximal to the level with end-
 9. systolic LV luminal obliteration) the transducer was positioned 1 or 2 intercostal spaces
 10. more caudal as previously described by us.¹³ From each short-axis image, three consecu-
 11. tive end-expiratory cardiac cycles were acquired and transferred to a QLAB workstation
 12. (Philips, Best, The Netherlands) for off-line analysis.

13.

14. Speckle tracking analysis

15. Analysis of the datasets was performed using speckle tracking echocardiography by
 16. QLAB Advanced Quantification Software version 6.0 (Philips, Best, The Netherlands),
 17. which was recently validated against magnetic resonance imaging for assessment of LV
 18. twist.¹⁴ To assess LV rotation, six tracking points were placed manually (after gain cor-
 19. rection) on the mid-myocardium, regardless wall thickness, on an end-diastolic frame in
 20. each parasternal short-axis image. Tracking points were separated about 60° from each
 21. other and placed on 1 (30°, anteroseptal insertion into the LV of the right ventricle), 3
 22. (90°), 5 (150°), 7 (210°), 9 (270°, inferoseptal insertion into the LV of the right ventricle),
 23. and 11 (330°) o'clock to fit the total LV circumference (Figure 2). After positioning the
 24. tracking points, the program tracked these points on a frame-by-frame basis by use of a
 25. least squares global affine transformation. The rotational component of this affine trans-
 26. formation was then used to generate rotational profiles.

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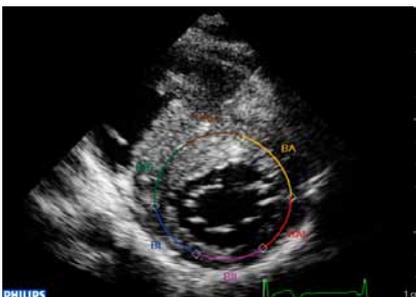
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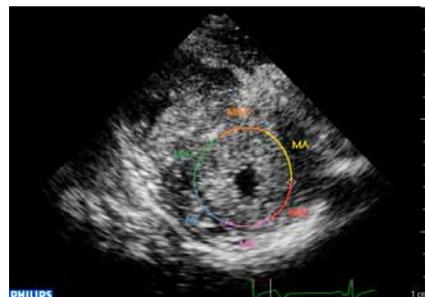
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36. Basal level



36. Apical level

37. Figure 2:

38. Examples of positioning of the tracking points at the left ventricular basal and apical level in HCM
 39. patients.

Data were exported to a spreadsheet program (Excel, Microsoft Corporation, Redmond, WA) to determine LV peak systolic rotation during ejection, instantaneous LV peak systolic twist (defined as the maximal value of instantaneous apical systolic rotation – basal systolic rotation), and LV untwisting at 5%, 10%, 15%, and 50% of diastole. The degree of untwisting was expressed as a percentage of maximum systolic twist: untwisting = (peak systolic twist – twist at time t) / peak systolic twist x 100%. Furthermore, peak diastolic de-rotation velocity and untwist velocity, and the timing of these parameters were assessed. Peak diastolic de-rotation velocity represents the *peak* velocity of de-rotation, the diastolic reversal of systolic LV rotation, and was determined at both the LV basal and apical level. Peak diastolic untwist velocity represents the *peak* velocity of LV untwisting, the diastolic reversal of systolic LV Untwisting rate was defined as the *mean* diastolic untwisting velocity from peak systolic twist to MVO and calculated as: (twist at MVO – peak systolic twist) / time interval from peak systolic twist to MVO. To adjust for intra- and intersubject differences in heart rate, the time sequence of systolic and diastolic events was normalized to the percentage of systolic and diastolic duration, respectively. End-systole was defined as the point of aortic valve closure. In each study it was verified that the heart rate for the cardiac cycle in which the timing of aortic valve closure was assessed, was the same as the cardiac cycle used for analysis of untwisting.

Statistical Analysis

Measurements are presented as mean \pm SD. Variables were compared using Student's *t* test, ANOVA, or Chi-square test when appropriate. Linear regression analysis of peak diastolic untwisting velocity, time-to-peak diastolic untwisting velocity, and untwisting rate from peak systolic twist to MVO against conventional parameters of diastolic function (LA volume, E-wave velocity, A-wave velocity, E/A ratio, Em, and E/Em ratio) was performed. A P value < .05 was considered statistically significant. Intraobserver and interobserver variability for assessment of LV twist by speckle tracking echocardiography in our center are 6% \pm 6% and 9% \pm 5%, respectively.¹⁵

RESULTS

Characteristics of the study population

In **Table 1**, clinical and echocardiographic characteristics of HCM patients and control subjects are shown. LA volume indexed by body surface area, LV mass, maximal LV wall thickness, interventricular septal, and LV posterior wall dimensions were higher, whereas LV end-diastolic and end-systolic dimensions were lower in HCM patients (all P < 0.001). Furthermore, E-wave velocity and Em septal were lower, whereas E-wave velocity deceleration time, E/Em ratio, and isovolumic relaxation time were higher in HCM patients.

Table 1. Clinical and echocardiographic characteristics of the study population.

	HCM patients (n = 75)	Control subjects (n = 75)
3. Age, year	42 ± 15	40 ± 14
4. Male, n (%)	54 (72)	54 (72)
5. Heart rate, beats/min	64 ± 11	63 ± 11
6. Systolic blood pressure, mmHg	126 ± 18	123 ± 14
7. Diastolic blood pressure, mmHg	77 ± 9	74 ± 8
Echocardiographic characteristics		
8. Left atrial volume, mL/m ²	53 ± 21†	23 ± 6
9. IVS _d , cm	2.0 ± 0.5†	1.0 ± 0.2
10. LVPW _d , cm	1.2 ± 0.3†	1.0 ± 0.1
11. LV-EDD, cm	4.5 ± 0.5†	4.9 ± 0.5
12. LV-ESD, cm	2.5 ± 0.5†	3.3 ± 0.6
13. LV ejection fraction, %	60 ± 10	61 ± 7
14. LV mass, g	305 ± 88†	175 ± 50
15. Maximal LV wall thickness, cm	2.1 ± 0.5†	1.0 ± 0.2
16. E-wave velocity, cm/s	65 ± 20*	72 ± 16
17. A-wave velocity, cm/s	52 ± 20	53 ± 17
18. E/A ratio	1.5 ± 0.7	1.5 ± 0.6
19. E-wave velocity deceleration time, ms	195 ± 70*	173 ± 33
20. Em septal, cm/s	5.6 ± 2.4†	9.9 ± 2.6
21. E/Em ratio	13.3 ± 7.7†	7.6 ± 2.0
22. Isovolumic relaxation time, ms	84 ± 23†	70 ± 14

23. HCM = hypertrophic cardiomyopathy, IVS_d = interventricular septum thickness (diastole), LVPW_d = left
 24. ventricular posterior wall thickness (diastole), LV-EDD = left ventricular end-diastolic dimension, LV-ESD
 25. = left ventricular end-systolic dimension, E-wave velocity = peak early phase filling velocity, A-wave
 26. velocity = peak atrial phase filling velocity, Em = peak early diastolic wave velocity. * P <0.05, † P <0.001
 27. vs. control subjects

28. LV rotation and twist

29. HCM patients had higher basal peak systolic rotation (-5.5 ± 2.6 degrees vs. -3.6 ± 2.0
 30. degrees, $P < 0.001$), and comparable apical peak systolic rotation (7.0 ± 3.9 degrees vs. 7.3
 31. ± 2.9 degrees, $P = \text{NS}$), resulting in higher peak systolic twist (11.8 ± 4.6 degrees vs. 10.4
 32. ± 3.2 degrees, $P < 0.05$) (Table 2).

33. LV de-rotation and untwisting

34. Untwisting at 5% ($12 \pm 12\%$ vs. $21 \pm 19\%$), 10% ($23 \pm 19\%$ vs. $37 \pm 23\%$), and 15% ($36 \pm$
 35. 22% vs. $49 \pm 21\%$) of diastole was lower in HCM patients (all $P < 0.001$). Peak diastolic
 36. untwisting velocity (-92 ± 32 degrees/sec vs. -104 ± 39 degrees/sec, $P < 0.05$) and untwist-
 37. ing rate from peak systolic twist to MVO (-37 ± 20 degrees/sec vs. -46 ± 22 degrees/sec,
 38. $P < 0.01$) were lower, while the normalized time-to-peak diastolic untwisting velocity (17
 39. $\pm 9\%$ vs. $13 \pm 9\%$, $P < 0.05$) was higher in HCM patients (Table 2, Figure 3). Untwisting
 rate from peak systolic twist to MVO correlated positively to peak systolic twist in HCM
 patients ($R^2 = 0.34$, $P < 0.001$) (Figure 4).

Table 2. Left ventricular rotation parameters in hypertrophic cardiomyopathy patients and control subjects

	HCM patients (n = 75)				Control subjects (n = 75)
	All	Septal morphology			
		Sigmoidal (n = 24)	Reverse (n = 40)		
Basal rotation and de-rotation velocity					
Peak systolic rotation, degrees	-5.5 ± 2.6†	-5.5 ± 2.4*	-5.7 ± 2.6†	-3.6 ± 2.0	
Peak diastolic de-rotation velocity, degrees/sec	59 ± 21	58 ± 26	60 ± 18	61 ± 26	
Normalized time-to-peak diastolic de-rotation velocity, %	17 ± 12	17 ± 12	17 ± 10	14 ± 8	
Apical rotation and de-rotation velocity					
Peak systolic rotation, degrees	7.0 ± 3.9	9.2 ± 4.2‡	5.7 ± 3.0	7.3 ± 2.9	
Peak diastolic de-rotation velocity, degrees/sec	-57 ± 24\$	-66 ± 22	-50 ± 23\$	-70 ± 28	
Normalized time-to-peak diastolic de-rotation velocity, %	20 ± 15\$	22 ± 13*	21 ± 10*	14 ± 11	
Twist and untwist					
Peak systolic twist, degrees	11.8 ± 4.6*	14.3 ± 5.0†‡	10.4 ± 3.7	10.4 ± 3.2	
Untwisting at 5% of diastole, %	12 ± 12†	10 ± 10*	11 ± 13*	21 ± 19	
Untwisting at 10% of diastole, %	23 ± 19†	20 ± 15\$	21 ± 20\$	37 ± 23	
Untwisting at 15% of diastole, %	36 ± 22†	33 ± 22\$	35 ± 21\$	49 ± 21	
Untwisting at 50% of diastole, %	77 ± 15	72 ± 15	79 ± 15	78 ± 14	
Peak diastolic untwisting velocity, degrees/sec	-92 ± 32*	-96 ± 30	-91 ± 29	-104 ± 39	
Normalized time-to-peak diastolic untwisting velocity, %	17 ± 9*	18 ± 14	17 ± 6	13 ± 9	
Untwisting rate from peak systolic twist to MVO, degrees/sec	-37 ± 20\$	-46 ± 24\$	-31 ± 16\$	-46 ± 22	

HCM = hypertrophic cardiomyopathy, MVO = mitral valve opening. *P < 0.05, \$P < 0.01, †P < 0.001 vs. control subjects; ‡P < 0.05, †P < 0.01 vs. reverse septal curvature

Relation between LV untwisting and conventional parameters of LV diastolic function in HCM patients.

Untwisting rate from peak systolic twist to MVO correlated positively to A-wave velocity ($R^2 = 0.11$, $P < 0.05$), and negatively to E/A ratio ($R^2 = 0.15$, $P < 0.01$) (Figure 5), whereas no relation could be identified between E-wave velocity, Em, E/Em ratio or LA volume indexed by body surface area and any of the LV untwisting parameters. According to grade of diastolic dysfunction, HCM patients with an unambiguous defined grade of diastolic dysfunction (n = 55) could be divided in 13 patients (24%) with grade 1, 36 (65%)

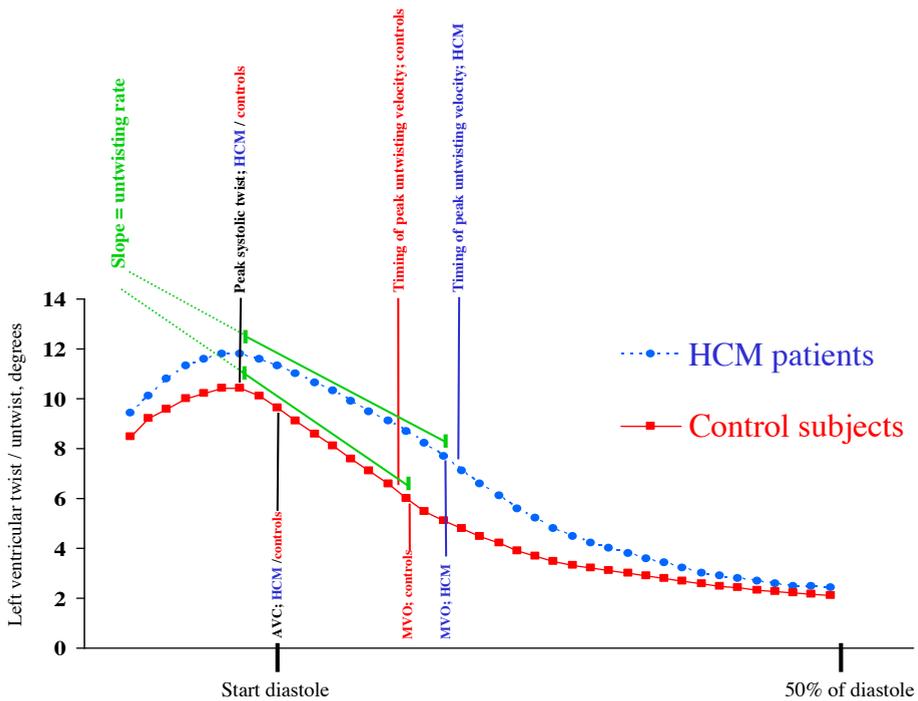


Figure 3: Schematic left ventricular twist/untwist curves (based on averaged values of peak systolic twist, and twist at aortic valve closure (AVC), mitral valve opening (MVO), and 50% of diastole) in HCM patients and control subjects, highlighting the differences of left ventricular peak systolic twist, untwisting rate, and the timing of peak untwisting velocity.

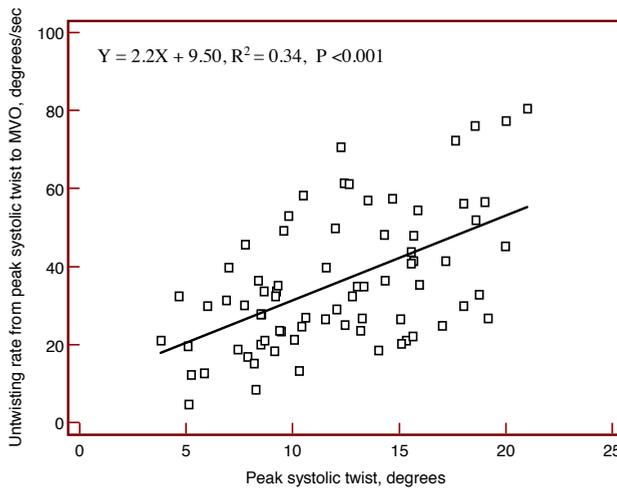


Figure 4: Linear regression between peak systolic twist and untwisting rate from peak systolic twist to MVO.

with grade 2, and 6 (11%) with grade 3 diastolic dysfunction. Peak systolic twist was higher in HCM patients with grade 1 (13.2 ± 4.9 degrees, $P < 0.05$), whereas it was normal in HCM patients with grade 2 (11.5 ± 5.0 degrees, $P = \text{NS}$) or 3 (11.0 ± 2.6 degrees, $P = \text{NS}$) diastolic dysfunction as compared to control subjects (10.4 ± 3.2 degrees). Peak diastolic untwisting velocity and untwisting rate from peak systolic twist to MVO were higher in grade 1 (-119 ± 35 degrees/sec and -58 ± 27 degrees/sec, respectively), but lower in grade 2 (-82 ± 28 degrees/sec and -30 ± 19 degrees/sec, respectively) diastolic dysfunction as compared to control subjects (-104 ± 39 degrees/sec and -46 ± 22 degrees/sec, respectively, all $P < 0.05$).

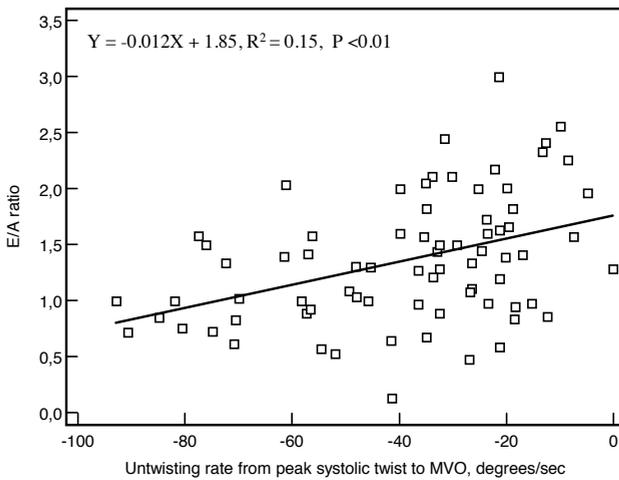


Figure 5:

Linear regression between untwisting rate and the ratio between early and active left ventricular filling velocity (E/A ratio).

Relation between the pattern of LVH and conventional echocardiographic parameters and LV rotation parameters

Maximal LV wall thickness was higher (2.2 ± 0.4 cm vs. 1.8 ± 0.4 cm, $P < 0.001$), whereas LVOT gradient was lower (15 ± 22 mmHg vs. 31 ± 25 mmHg, $P < 0.01$) in HCM patients with reverse compared to sigmoidal septal curvature. Also, a significant LVOT obstruction was less often present in the patients with a reverse septal curvature (5 patients (13%) vs. 10 patients (42%), $P < 0.05$). A-wave velocity (44 ± 14 cm/s vs. 68 ± 20 cm/s, $P < 0.001$) was lower, whereas E/A ratio (1.7 ± 0.8 vs. 1.1 ± 0.4 , $P < 0.01$) was higher in HCM patients with a reverse septal curvature. Of the HCM patients, 25 (63%) with a reverse and 19 (79%, $P = \text{NS}$) with a sigmoidal septal curvature had an unambiguous defined grade of diastolic dysfunction. Grade 1 diastolic dysfunction was identified in 4 (16%) vs. 7 (37%), grade 2 in 16 (64%) vs. 12 (63%), and grade 3 in 5 (20%) vs. 0 of the HCM patients

1. with a reverse vs. sigmoidal septal curvature (all $P = \text{NS}$). The average grade of diastolic
2. dysfunction was 1.6 ± 0.5 in HCM patients with a sigmoidal vs. 2.0 ± 0.6 in HCM patients
3. with a reverse septal curvature ($P < 0.05$). Duration of the isovolumic relaxation time was
4. comparable between HCM patients with a sigmoidal and a reverse septal curvature.

5. There was a striking difference in apical peak systolic rotation (5.7 ± 3.0 degrees vs. 9.2
6. ± 4.2 degrees, $P < 0.01$) and peak systolic twist (10.4 ± 3.7 degrees vs. 14.3 ± 5.0 degrees,
7. $P < 0.01$) between patients with reverse and sigmoidal septal curvature, respectively.
8. Furthermore, untwisting rate from peak systolic twist to MVO (-31 ± 16 degrees/sec vs.
9. -46 ± 24 degrees/sec, $P < 0.05$) was lower in HCM patients with reverse septal curvature
10. (Table 2).

11.

12.

13. DISCUSSION

14.

15. Echocardiography has been used since its early days to gain insight into the complex
16. pathophysiology of HCM, because it provides a practical and comprehensive assessment
17. of cardiac structure and function.^{16, 17} HCM is usually associated with alterations in LV
18. diastolic function, whereas global systolic function is preserved until the later stages of
19. the disease. In the present study delayed LV untwisting, reflecting ineffective diastolic
20. uncoiling of the hypertrophic myocardium, is shown in HCM patients. However, HCM
21. patients with mild or early-stage (grade 1) diastolic dysfunction showed more LV twist
22. and a higher peak diastolic untwisting velocity and untwisting rate from peak systolic
23. twist to MVO.

24.

25. LV untwisting physiology

26. In systole, the LV apex rotates counterclockwise (as viewed from the apex), whereas the
27. base rotates clockwise, creating a twisting deformation, originating from the dynamic
28. interaction of oppositely oriented epicardial and endocardial myocardial fibres.^{18, 19} The
29. direction of LV twist is governed by the epicardial fibres, mainly owing to their longer
30. arm of movement.²⁰ Untwisting starts just slightly before the end of systole (marked
31. by aortic valve closure) after the peak of LV twist. The twisting deformation of the LV
32. during systole results not only in ejection but also in storage of potential energy. During
33. the isovolumic relaxation period the twisted fibres behave like a compressed coil that
34. springs open while abruptly releasing the potential energy. This process may be actively
35. supported by still depolarized subendocardial fibres that are – in contrast to the systolic
36. period – now not opposed by active contraction of the subepicardial fibres. Untwist gen-
37. erates expansion of the apex and the intraventricular pressure gradient that helps filling
38. the LV at a low pressure.⁴

39.

LV untwisting in HCM

The current study is the first to show that LV untwisting is delayed in HCM, reflecting ineffective diastolic uncoiling of the hypertrophic myocardium. The higher peak systolic twist in HCM is supposed to store more potential energy and thereby lead to increased LV untwisting. However, whereas subendocardial ischemia²¹⁻²³ might be the cause of the increased peak systolic twist by loss of counteraction of the subendocardial fibre helix, it might also lead to loss of the active untwisting normally caused by the subendocardial fibres during early diastole. Furthermore, the impaired compliance of the hypertrophied LV will prevent optimal transformation of the potential energy stored in systolic LV twisting into kinetic energy. Apparently, the factors impairing the process of LV untwisting in HCM outweigh potentially enhancing factors, leading to delayed LV untwisting. Furthermore, Takeuchi et al.²⁴ found delayed LV untwisting in hypertension patients with versus without LVH. It seems that LVH per se may lead to delayed LV untwisting, irrespective of the cause of hypertrophy. However, in the study by Takeuchi et al., LV twist was not increased in hypertension patients with LVH, in contrast to the HCM patients in the current study. Since LV twist and untwist are tightly coupled, increased LV twist in HCM may be expected to lead to preservation of LV untwisting. Therefore, the delayed LV untwisting found in HCM patients in the current study may be rather surprising. One may hypothesize that there are specific factors in HCM, such as the asymmetrical distribution of hypertrophy and the presence of myocardial fibre disarray, that lead to specific changes in LV rotational mechanics. Future studies, comparing hypertension patients and HCM patients with a similar degree of LVH and LV twist, may be warranted in order to investigate the specific influence of factors related to the cause of hypertrophy on the successfulness of transfer of potential energy stored in systolic LV twisting to diastolic LV untwisting.

LV twist, untwisting rate from peak systolic twist to MVO, and peak diastolic untwisting velocity were higher in HCM patients with mild or early-stage (grade 1) diastolic dysfunction, and lower in the more advanced (grade 2-3) stages of diastolic dysfunction. These data confirm findings of a study by Park et al.²⁵ in a smaller group of HCM patients with diastolic dysfunction, although it should be noted that grading diastolic function by echocardiography in HCM patients may have limited accuracy.¹ Since the untwisting rate from peak systolic twist to MVO can be increased in the presence of impaired LV relaxation, untwisting rate and relaxation do not rely on a similar mechanism. It has been suggested that increased untwisting rate might be a compensatory mechanism, preventing the need to increase left atrial pressure.^{4, 25, 26} Failure to increase untwisting rate might necessitate an increase in left atrial pressure, with the associated detrimental effects.

1. Influence of the pattern of hypertrophy on LV untwisting

2. Because of its heterogeneous expression and clinical course,^{27, 28} HCM frequently presents uncertainty and represents a management dilemma. Creation of more homogeneous subgroups of HCM patients might be helpful to better predict prognosis. Recently, septal morphology was linked to the underlying genetic substrate, and best predicted the presence of a myofilament mutation.²⁹ Furthermore, our group has recently shown a phenotype-functional relationship in HCM by relating the pattern of hypertrophy to LV twist.³⁰ Twist_{max} was higher in HCM patients with a sigmoidal versus a reverse septal curvature due to a higher apical Rot_{max}, a finding confirmed in the current study. This difference could not be explained by regional differences in LV rotation, since in HCM patients with a sigmoidal septal curvature both apical septal and lateral LV rotation were higher as compared to patients with a reverse septal curvature. The current study is the first to relate LV untwisting to septal morphology. In HCM patients with a sigmoidal septal curvature, untwisting rate from peak systolic twist to MVO was normal, whereas it was decreased in HCM patients with a reverse septal curvature. As mentioned in the previous section, LV untwisting rate depends on passive properties and the amount of potential energy stored by systolic LV twist that can be converted into kinetic energy used for LV untwisting, and active properties such as the contribution of still depolarized subendocardial fibres in early diastole. In HCM patients with a reverse septal curvature, less systolic twist, impaired compliance, and subendocardial ischemia may all contribute to a reduced untwisting rate from peak systolic twist to MVO. On the other hand, in HCM patients with a sigmoidal septal curvature, the higher amount of energy stored from increased systolic twist seems to fully compensate for the loss of active LV untwisting and impaired compliance (which may also be less impaired because of the relatively normal apex). The findings of the current study provide insight into the pathophysiology of HCM. Whether measurement of LV untwisting has any added diagnostic or prognostic value should be investigated in future studies.

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30. LIMITATIONS

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32. In the current study, only patients with good echocardiographic image quality that allowed for complete segmental assessment of LV rotation at both the basal and apical LV level, were included. This inclusion criterion led to exclusion of 29% of the patients; a percentage in-line with previous data on the feasibility of speckle tracking by QLAB.¹⁵ However, this limitation may hamper the clinical implementation of LV untwisting.

37. Direct comparison of HCM patients with hypertension or aortic stenosis patients may reveal the influence of specific factors related to HCM, apart from LVH, on LV untwisting, such as the distribution of hypertrophy or the presence of myocardial fibre disarray.

Unfortunately, there is currently no consensus on the definition of untwisting rate. Both Takeuchi et al.²⁴ and Park et al.²⁵ define untwisting rate as a mean velocity during the isovolumic relaxation phase. However, Takeuchi et al. use the mean velocity from end-systolic twist to MVO, whereas Park et al. use the mean velocity from peak twist to MVO, the definition also used in the current study. Even more discrepantly, Wang et al.³¹ defined untwisting rate as the peak diastolic time derivative of twist.

Finally, E/A ratio and E/Em ratio are weakly correlated to LV filling pressures in HCM patients.³² Therefore, conclusions drawn from the presence or absence of correlations between LV untwisting parameters and conventional parameters of LV diastolic function provided in this paper, should be taken with caution. Correlation of LV untwisting to invasively assessed parameters of LV diastolic function may be required in order to provide a definite judgement on the role of LV untwisting in LV diastolic function in HCM.

CONCLUSION

Speckle tracking echocardiography offers novel non-invasive indices to assess LV diastolic function. In HCM patients, delayed LV untwisting is seen, which probably significantly contributes to diastolic dysfunction.

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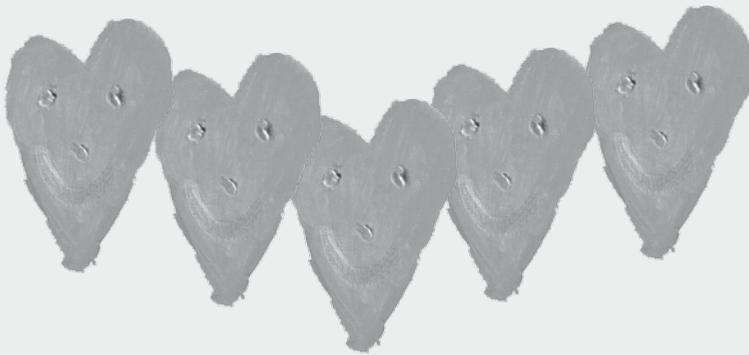
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Chapter 5

Cardiac myosin-binding protein C mutations and hypertrophic cardiomyopathy: haploinsufficiency, deranged phosphorylation and cardiomyocyte dysfunction



van Dijk SJ, Dooijes D, dos Remedios C, **Michels M**, Lamers JM, Winegrad S,
Schlossarek S, Carrier L, ten Cate FJ, Stienen GJ, van der Velden J

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ABSTRACT

Background

Mutations in the *MYBPC3* gene, encoding cardiac myosin-binding protein C (cMyBP-C), are a frequent cause of familial hypertrophic cardiomyopathy (FHCM). In the present study we investigated if protein composition and function of the sarcomere are altered in a homogenous FHCM patient group with frameshift mutations in *MYBPC3* (*MYBPC3_{mut}*).

Methods and Results

Comparisons were made between cardiac samples from *MYBPC3* mutant carriers (c.2373dupG, n=7; c.2864_2865delCT, n=4) and non-failing donors (n=13). Western blots using antibodies directed against cMyBP-C did not reveal truncated cMyBP-C in *MYBPC3_{mut}*. Protein expression of cMyBP-C was significantly reduced in *MYBPC3_{mut}* by 33±5%. Cardiac MyBP-C phosphorylation in *MYBPC3_{mut}* samples was similar to the values in donor samples, whereas the phosphorylation status of troponin I (cTnI) was reduced by 84±5%, indicating divergent phosphorylation of the two main contractile target proteins of the beta-adrenergic pathway. Force measurements in mechanically isolated Triton-permeabilized cardiomyocytes demonstrated a decrease in maximal force per crosssectional area of the myocytes in *MYBPC3_{mut}* (20.2±2.7 kN/m²) compared to donor (34.5±1.1 kN/m²). Moreover, Ca²⁺-sensitivity was higher in *MYBPC3_{mut}* (pCa₅₀=5.62±0.04) than in donor (pCa₅₀=5.54±0.02), consistent with reduced cTnI phosphorylation. Treatment with exogenous protein kinase A, to mimic β-adrenergic stimulation, did not correct reduced maximal force, but abolished the initial difference in Ca²⁺-sensitivity between *MYBPC3_{mut}* (pCa₅₀=5.46±0.03) and donor (pCa₅₀=5.48±0.02).

Conclusions

Frameshift *MYBPC3* mutations cause haploinsufficiency, deranged phosphorylation of contractile proteins and reduced maximal force generating capacity of cardiomyocytes. The enhanced Ca²⁺-sensitivity in *MYBPC3_{mut}* is due to hypophosphorylation of troponin I secondary to mutation-induced dysfunction.

1. INTRODUCTION

2.

3. Familial hypertrophic cardiomyopathy (FHCM) is the most frequent inheritable cardiac
4. disease with a prevalence of 0.2%.^{1,2} FHCM causing mutations are identified in 13 genes
5. encoding sarcomeric proteins.³ Mutations in the *MYBPC3* gene encoding cardiac myo-
6. sin-binding protein C (cMyBP-C) represent more than 40% of all FHCM cases.⁴ Most
7. *MYBPC3* mutations are predicted to produce C-terminally truncated proteins, lacking
8. titin and/or major myosin binding sites.⁴⁻⁶ Studies in FHCM patients carrying a *MYBPC3*
9. mutation failed to reveal truncated cMyBP-C protein⁷⁻⁹ suggesting that *MYBPC3* muta-
10. tions may lead to haploinsufficiency.

11. Evidence suggests that the first step in the pathogenesis of FHCM involves mutation-
12. induced sarcomeric dysfunction.^{2,3} Myocardial dysfunction in this group of patients has
13. been attributed at least partly to myocyte hypertrophy, disarray and interstitial fibrosis.¹⁰
14. However, direct evidence for both reduced cMyBP-C expression and sarcomeric dysfunc-
15. tion in *MYBPC3* mutant carriers is missing.

16. Approximately 35% of the FHCM patients in the Netherlands have founder muta-
17. tions in the *MYBPC3* gene (c.2373dupG and c.2864_2865delCT)¹¹ that both encode
18. C-terminally truncated proteins (**Figure 1**).^{8,9} This allowed us to investigate whether
19. truncating mutations in the *MYBPC3* gene alter sarcomeric protein composition and
20. function in a rather homogeneous patient group. Cardiac MyBP-C mRNA and protein
21. expression and phosphorylation status of sarcomeric proteins were analyzed in concert
22. with cardiomyocyte function in *MYBPC3* mutation carriers and compared with non-
23. failing donor samples.

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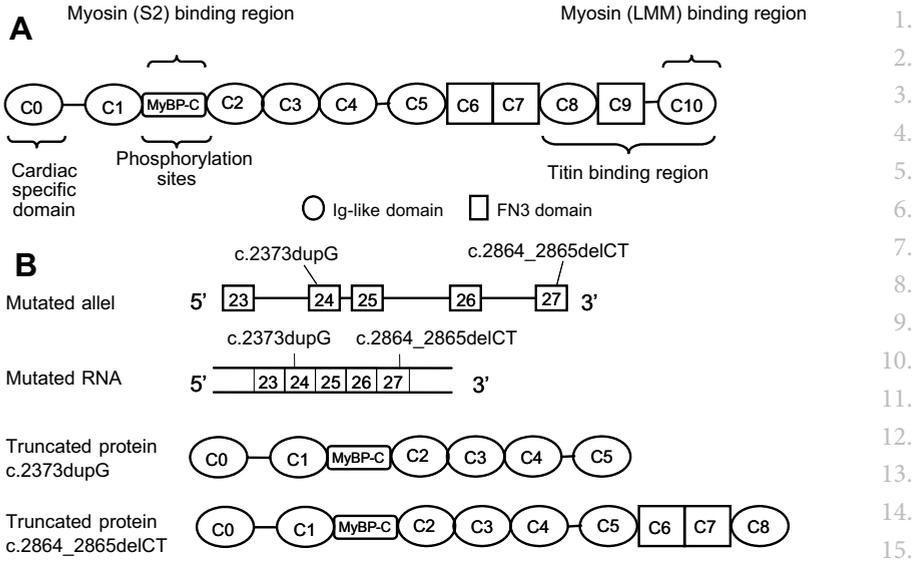
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26. METHODS

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28. Cardiac Biopsies

29. Cardiac tissue was obtained from the left ventricular (LV) septum of 11 patients with a
30. founder mutation^{11,12} in the *MYBPC3* gene encoding cMyBP-C (*MYBPC3*_{mut}: c.2373dupG,
31. n=7; 32-69 years of age, mean 50±5 years; 2/5 male/female; c.2864_2865delCT, n=4;
32. 32-62 years of age, mean 44±6 years; 2/2 male/female), that underwent alcohol ablation
33. or myectomy to relieve left ventricular outflow obstruction. Echocardiographic and clini-
34. cal data of the patients are given in **Table 1**. Hypertrophic obstructive cardiomyopathy
35. was evident from increased septal thickness (21±1 mm; normal value <13 mm)¹³ and
36. high LVOTPG (78±6 mmHg; normal value <30 mmHg).¹⁴ LV ejection fraction was mod-
37. erately depressed (44±2%). Both mutations encode for slightly different C-terminally
38. truncated proteins with a theoretical mass of 93 and 116 kDa for c.2373dupG⁸ and
39. c.2864_2865delCT⁹, respectively (**Figure 1**).^{15,16}

**Figure 1.**

A: Schematic representation of cMyBP-C structure and MYBPC3 mutations.

Cardiac MyBP-C consists of 8 immunoglobulin (Ig) like and 3 fibronectin (FN3) domains, 15,16 with binding sites for myosin and titin. The N-terminal C0 is specific for cMyBPC, as is the MyBPC like motif between C1 and C2, which contains 3 phosphorylation sites. **B.** Localisation of the mutations in cMyBPC. The c.2373dupG mutation creates a splice donor site, resulting in reading frame shift.⁸ Consequently, the C-terminus of the protein is altered and terminated after the C5 region, leading to a protein with a predicted weight of ~93 kD. The CT deletion in exon 27 (c.2864_2865delCT)⁹ creates a premature termination codon in exon 29, which is located 42-bp upstream of the 3'-end. The expected truncated protein of 116 kDa contains 1049 amino-acids including 89 new ones and a termination of the translation at the end of the C8 domain

Table 1. Patient characteristics

Mutation	Age (yrs)	Sex M/F	LVOTPG (mmHg)	ST (mm)	LVEDD (mm)	LVESD (mm)	LVEF (%)	Medication
c.2373dupG	32	F	100	30	40	20	50	BB
c.2373dupG	39	F	60	20	38	21	45	CCB, D
c.2373dupG	45	F	94	20	40	20	50	CCB
c.2373dupG	62	M	64	23	39	22	44	ATII, BB, S
c.2373dupG	44	F	60	17	42	24	43	CCB
c.2373dupG	69	M	74	19	44	30	32	BB, D, S
c.2373dupG	57	F	74	24	41	20	51	BB
c.2864_2865delCT	39	M	116	23	48	26	46	CCB, vitD, Ca
c.2864_2865delCT	44	M	70	20	40	25	38	CCB, BB
c.2864_2865delCT	62	F	67	15	39	24	38	BB, D, S, AA
c.2864_2865delCT	32	F	121	23	44	21	52	BB, CCB, D

M/F, male/female; LVOTPG, left ventricular transaortic pressure gradient; LVEDD, LV end-diastolic diameter; LVESD, LV end-systolic diameter; LVEF, LV ejection fraction, calculated as (LVEDD-LVESD)/LVEDDx100%. Medication: ATII, angiotensin II receptor antagonist; CCB, calcium channel blocker; BB, beta blocker; D, diuretics; S, statins; vitD, vitamin D; Ca, calcium; AA, anti-arrhythmic agent.

1. Non-failing cardiac tissue from the free LV wall was obtained from donor hearts
 2. (n=13; 13-65 years of age, mean 34±5 years; 10/3 male/female) when no suitable trans-
 3. plant recipient was found. The donors had no history of cardiac disease, a normal cardiac
 4. examination, normal ECG and normal ventricular function on echocardiography within
 5. 24 h of heart explantation. It should be noted that the donor group was slightly younger
 6. and included relatively more males compared to the FHCM group.

7. All samples were immediately frozen and stored in liquid nitrogen. The study protocol
 8. was approved by the local ethics committees and written informed consent was obtained.
 9.

10. **Quantitative mRNA Analyses**

11. Total RNA was extracted from 5-40 mg of 4 non-failing and 4 FHCM frozen cardiac
 12. tissues using the SV Total RNA Isolation kit (Promega) according to the manufacturer's
 13. instructions. RNA concentration, purity and quality were determined using the Nano-
 14. Drop ND-1000 spectrophotometer (Thermo Scientific). Reverse transcription was
 15. performed using oligo-dT primers with the Superscript III (Invitrogen) from 50-100
 16. ng RNA. Quantitative determination of wild-type (WT) and mutant cMyBP-C mRNAs
 17. was performed by real-time PCR using the TaqMan[®] ABI Prism[®] 7900HT sequence
 18. detection system (Applied Biosystems) and TaqMan[®] probes and primers specified as
 19. follows (Figure 2A). For the c.2373dupG mutation, primers were designed in exons 23
 20. (F5'-CCT CAC AGT CAA GGT CAT CG-3') and 25 (R5'-TCC ACC GGT AGC TCT
 21. TCT TC-3'). Specific TaqMan[®] probes were designed to recognize either the WT mRNA
 22. in exon 24 (F5'-GAG CCG CCT GCC TAC GAT-3') or the mutant mRNA at the junction
 23. between the smaller exon 24 (-40bp due to the new cryptic donor splice site) and exon 25
 24. (F5'-GCA CAG TAC AGG CTA CAT CCT G-3'). For the c.2864_2865delCT mutation,
 25. primers were designed in exons 27 (F5'-AGT GCG GGC ACA CAA TAT G-3') and 28
 26. (R5'-GGG ATG AGA AGG TTC ACA GG-3'). The WT probe recognized a WT sequence
 27. in exon 27 (F5'-TGG AGC CCC TGT TAC CAC C-3') and the mutant probe recognized
 28. a mutant sequence (deleted of CT) in exon 27 (F5'-CTG GAG CCC GTT ACC ACC
 29. A-3'). GAPDH was used as endogenous control to normalize the quantification of the
 30. target mRNAs for difference in the amount of cDNA added to each reaction. All analyses
 31. were performed in triplicates with the software ABI 7900HT SDS 2.2. The mRNA amount
 32. was estimated according to the comparative Ct method with the 2- $\Delta\Delta$ Ct formula. The
 33. amount of both WT and mutant mRNA was reported to the mean of the WT obtained
 34. from the 4 non-failing samples for each exon amplification.

35.

36. **Protein Analysis**

37. Cardiac samples (11 MYBPC3_{mut}, 8 donor) were treated with trichloro acetic acid prior
 38. to protein analysis to preserve the endogenous phosphorylation status of the sarcomeric
 39. proteins.¹⁷

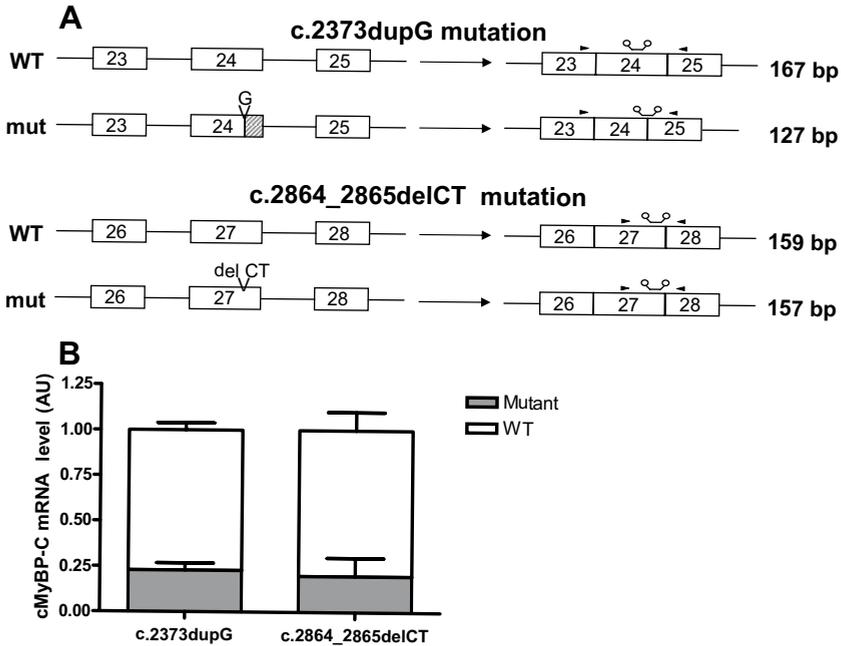


Figure 2: Detection and quantification of mRNA by real-time PCR.

A. Scheme illustrating primers and probes used in real-time PCR using the Taqman system. WT=wild type, MUT=mutant.

B. The amount of mutant mRNA was about 20% of total cMyBP-C mRNAs in both patient groups

Western Immunoblotting

Proteins were separated by one-dimensional gel electrophoresis on a 15% polyacrylamide SDS-gel and subsequently transferred to nitrocellulose paper by wet blotting. Polyclonal antibodies (diluted 1:1000) raised against recombinant C0C2, C5 and C8C9 produced from human cDNA encoding cMyBP-C¹⁵ (Figure 1) were used for detection of cMyBP-C (Dr. S. Winegrad, University of Pennsylvania, Philadelphia, USA). Primary antibody binding was visualized using a secondary goat-anti-rabbit antibody (diluted 1:2000) and enhanced chemiluminescence (Amersham).

To detect truncated cMyBP-C, the two antibodies were used, which are directed to the N-terminal part of cMyBP-C (C0C2) and the middle region of cMyBP-C (C5) (Figure 1). The sensitivity of the two antibodies to detect low amounts of truncated cMyBP-C under the experimental conditions used was assessed using a dilution series of a non-failing donor sample (0.04 µg to 5 µg). The dilution at which the cMyBP-C band was still discernable was defined as the lower detection limit and amounted to 1.6% (0.08 µg) for the C0C2 antibody and 3.2% (0.16 µg) for the C5 antibody (data not shown).

In addition, phosphorylation of cMyBP-C at Ser282 (P-cMyBP-C; dilution 1:1000) and bisphosphorylation of cardiac troponin I (cTnI) at Ser23/24 (i.e. PKA sites, rabbit

1. polyclonal antibody; dilution 1:500, Cell signaling) were analyzed and normalized to
2. cMyBP-C (C0C2 antibody) and cTnI (8I-7, mouse monoclonal antibody; dilution 1:6000,
3. Spectral Diagnostics), respectively, to correct for differences in protein loading.

4.

5. *SYPRO Ruby and ProQ Diamond Staining of Gradient Gels*

6. Proteins were separated on 4-15% pre-cast Tris-HCl gels (BioRad) and stained with
7. SYPRO Ruby and ProQ Diamond to determine sarcomeric protein levels and phosphory-
8. lation, respectively, as described previously.¹⁷ The phosphorylation status of sarcomeric
9. proteins was expressed relative to α -actinin, except when noted otherwise. Protein values
10. of MYBPC3_{mut} are given as fraction (or percentage) of the value found for donor samples,
11. which was set to 1 (or 100%).

12.

13. **Isometric Force Measurements**

14. Cardiomyocytes were mechanically isolated from small tissue samples as described previ-
15. ously.¹⁸ Triton-permeabilized cardiomyocytes were glued between a force transducer and
16. a piezoelectric motor and stretched to a sarcomere length of $\sim 2.2 \mu\text{m}$. Force measurements
17. were performed at various calcium concentrations (pCa , $-\log_{10}[\text{Ca}^{2+}]$, values ranging from
18. 4.5 to 6.0) as described previously.^{18,19} Force measurements were performed in single car-
19. diomyocytes isolated from 10 MYBPC3_{mut} (34 cardiomyocytes) and 13 donor samples (47
20. cardiomyocytes). On average 2-5 cardiomyocytes were studied for each patient/donor.
21. One MYBPC3_{mut} biopsy was too small to isolate cardiomyocytes and was used for protein
22. analysis only. Cross-sectional area ($\text{width} \times \text{depth} \times \pi/4$) of the cardiomyocytes determined
23. at a sarcomere length of $2.2 \mu\text{m}$ was significantly higher in MYBPC3_{mut} ($508 \pm 67 \mu\text{m}^2$)
24. than in donor ($374 \pm 26 \mu\text{m}^2$). Length between the attachments did not significantly dif-
25. fer and amounted to $62 \pm 5 \mu\text{m}$ in MYBPC3_{mut} and $72 \pm 4 \mu\text{m}$ in donor. Passive tension
26. (F_{passive}) was determined by shortening the cell in relaxation solution (pCa 9.0) by 30 %.
27. Maximal calcium activated tension (F_{active} , i.e. maximal force/cross-sectional area) was
28. calculated by subtracting F_{passive} from the total force (F_{total}) at saturating $[\text{Ca}^{2+}]$ (pCa 4.5).
29. Ca^{2+} -sensitivity is denoted as pCa_{50} , i.e. pCa value at which 50% of F_{active} is reached. Force
30. measurements were repeated after incubation of cells for 40 minutes at 20°C in relaxing
31. solution containing the catalytic subunit of protein kinase A (PKA; 100 U/mL, Sigma) or
32. with the catalytic domain of protein kinase C (PKC; 0.25 U/mL, Sigma).

33.

34. **Data Analysis**

35. Data are presented as means \pm S.E.M. Cardiomyocyte force values were averaged per
36. sample and mean values for MYBPC3_{mut} and donor samples were compared using
37. unpaired Student *t*-tests. Effects of PKA/PKC were tested with 2-way ANOVA. $P < 0.05$
38. was considered significant. *Denotes significant difference between MYBPC3_{mut} and
39. donor, † Denotes significant difference before vs. after PKA/PKC treatment.

RESULTS

Reduced Mutant cMyBP-C mRNA Level in FHCM Ventricular Tissue

To investigate whether both WT and mutant cMyBP-C mRNAs were transcribed in FHCM ventricular tissues, real-time RT-PCR was performed using specific Taqman probes and primers for each mutation (Figure 2A). The c.2373dupG mutation was expected to induce a cryptic donor splice site in exon 24 and the skipping of 40 nucleotides (Figure 1B). We therefore designed WT and mutant probes accordingly. Similarly, a specific mutant probe was designed for the 2864-2865 CT deletion in exon 27 and the corresponding WT probe (Figure 2A). Mutant mRNA represented 23% and 20% of the total cMyBP-C mRNA in c.2373dupG and c.2864_2865delCT groups, respectively (Figure 2B). Since both mutations result in a frameshift and a premature termination codon (Figure 1B), the data suggest that both nonsense mutant mRNAs are partially subjected to degradation by the nonsense-mediated mRNA decay (NMD).²⁰

Reduced cMyBP-C Protein Level in FHCM Ventricular Tissue

The presence of truncated cMyBP-C in MYBPC3_{mut} patients was examined by Western Immunoblotting. After separation by gel electrophoresis proteins were transferred to nitrocellulose and visualized with Ponceau (Figure 3A). Figure 3B shows results using an antibody directed against the C0C2 region of cMyBP-C. None of the antibodies used (against C0C2, C5 or C8C9) revealed truncated cMyBP-C (predicted mass at 93 or 116 kDa) in any of the samples. Based on the sensitivity of our Western immunoblot analysis, levels of truncated cMyBP-C lower than 1.6% could not be detected. Hence, we cannot completely exclude the presence of trace amounts of truncated cMyBP-C in MYBPC3_{mut}. However, overloading of MYBPC3_{mut} samples (40 µg; 8x higher concentration) did not reveal protein bands at the predicted mass (not shown), indicating the trace amounts of truncated protein, if present, would be even less than 0.2%.

To determine the levels of full-length cMyBP-C, proteins were separated by 1D gel electrophoresis and stained with SYPRO Ruby (Figure 3C). The α -actinin intensity was used as loading control. The cMyBP-C/ α -actinin protein ratio on the SYPRO Ruby stained gels was 33% lower in MYBPC3_{mut} (n=11) compared to donor (n=8)(Figure 3D). Western immunoblot analysis confirmed reduced level of full-length cMyBP-C (Figure 3B) in MYBPC3_{mut} compared to donor myocardium. On average Western blot data using the C0C2 antibody showed a 23% lower amount of cMyBP-C (normalized to Ponceau-stained actin on the same blot) in MYBPC3_{mut} compared to donor. However, the coefficient of variation of the Western immunoblot analysis was much higher than that of SYPRO Ruby staining (56.3% and 25.8%, respectively). Therefore the SYPRO values are considered to represent the protein levels more accurately.

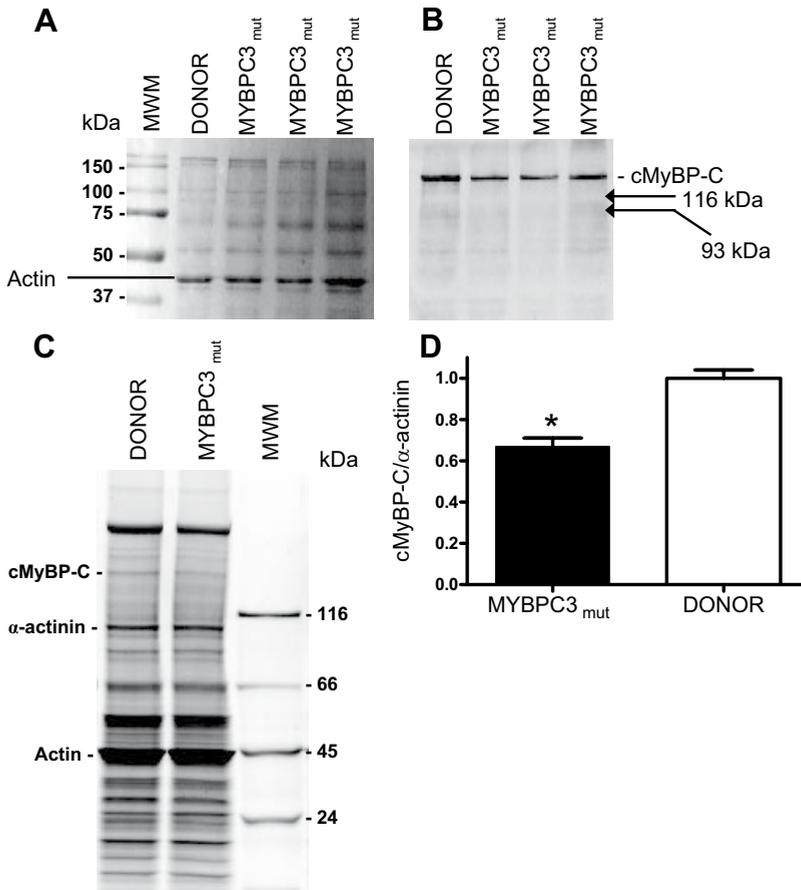


Figure 3: Cardiac MyBP-C protein levels.

A. Western immunoblots were stained with Ponceau to visualize proteins. **B.** Western immunoblot using a C0C2 antibody illustrates reduced expression of full-length cMyBP-C (~140 kD) in cardiac biopsies from MyBP-C_{mut} patients compared to donor (MWM, molecular weight marker). No traces were found of truncated cMyBP-C (theoretical mass: 93 kDa (c.2373dupG); 116 kDa (c.2864_2865delCT)). **C.** Representative cardiac samples on a gradient gel stained with SYPRO Ruby (donor vs MYBPC3_{mut}). **D.** Relative expression of cMyBP-C is significantly lower in MYBPC3_{mut} (n=11) compared to donor (n=8). *P<0.05.

Deranged Phosphorylation

The SYPRO Ruby stained gels were also stained with ProQ Diamond, which selectively stains phosphorylated serine, threonine and tyrosine residues (Figure 4A). Phosphorylation of cMyBP-C normalized to α -actinin was reduced by $47\pm 7\%$ in the MYBPC3_{mut} compared to donor myocardium ($P<0.0001$; Figure 4B). Interestingly, phosphorylation of cMyBP-C normalized to its own protein level (determined with SYPRO) was similar between MYBPC3_{mut} and donor myocardium ($79\pm 11\%$; $P=0.14$; Figure 4C).

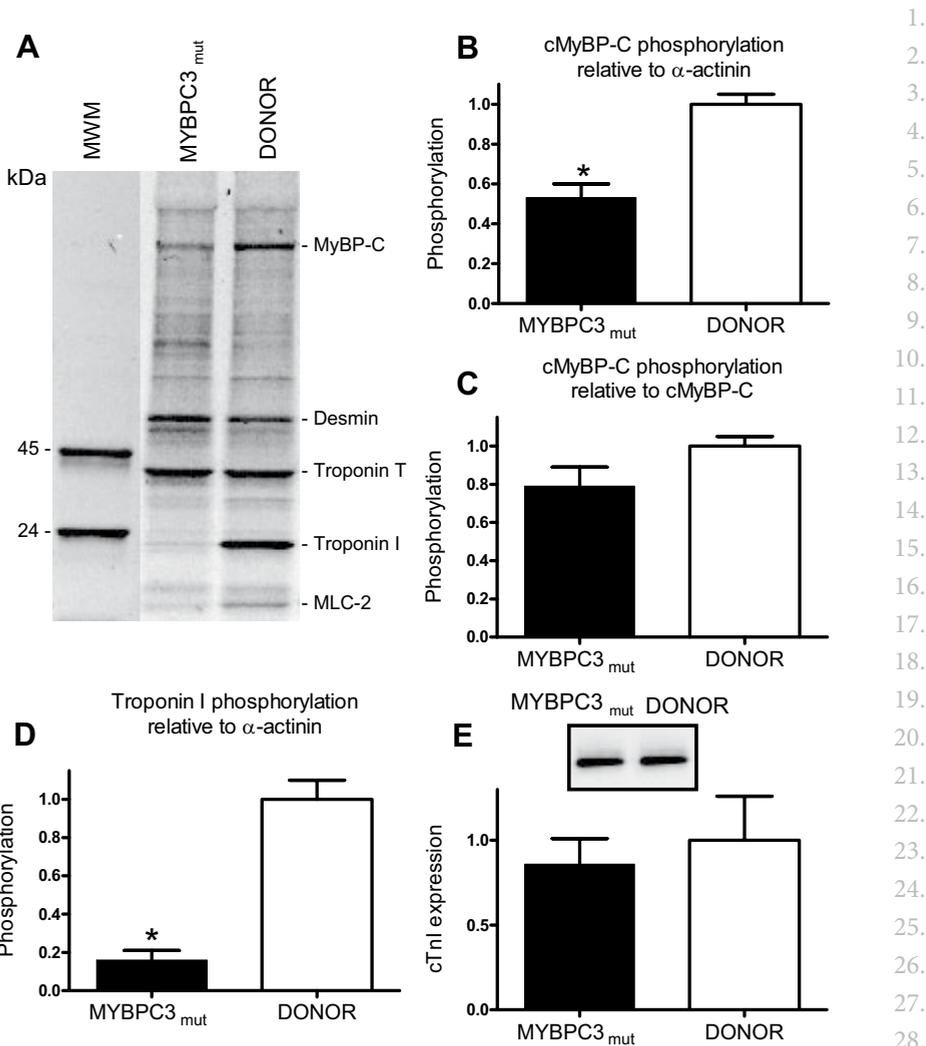


Figure 4: Phosphorylation status of cMyBP-C and cTnI.

A. ProQ diamond-stained gradient gel. **B.** The phosphorylation status of cMyBP-C (relative to SYPRO Ruby stained α -actinin) is lower in MYBPC3_{mut} (n=11) compared to donors (n=8), while phosphorylation of cMyBP-C normalized to its own expression level is comparable between MYBPC3_{mut} and donors (**C**). **D.** The phosphorylation status of cTnI (relative to SYPRO Ruby stained α -actinin) is lower in MYBPC3_{mut} compared to donors. **E.** cTnI relative to Ponceau-stained actin did not differ between MYBPC3_{mut} and donor myocardium *P<0.05

In addition, massive dephosphorylation of troponin I (cTnI) was observed in MYBPC3_{mut} relative to donor by $84 \pm 5\%$ ($P < 0.0001$; **Figure 4D**). As the reduced ProQ Diamond signals for cTnI in MYBPC3_{mut} may be due to reduced cTnI expression, we have analyzed the steady-state level of cTnI by Western blot. The amount of cTnI relative to Ponceau-stained actin did not differ between MYBPC3_{mut} and donor myocardium (**Figure 4E**).

1. Hence, the reduced ProQ Diamond signals represent reduced phosphorylation of cTnI in
2. MYBPC3_{mut} compared to donor. Phosphorylation of other sarcomeric proteins desmin,
3. troponin T (cTnT) and myosin light chain 2 (MLC-2) was also significantly reduced in
4. MYBPC3_{mut} by, respectively, 24±8%, 41±7% and 61±4% relative to donor samples.
5. Western immunoblot analysis (Figure 5) revealed significantly lower bisphosphoryla-
6. tion of PKA-sites (Ser23/24) in cTnI in MYBPC3_{mut} compared to donors, whereas phos-
7. phosphorylation of cMyBP-C at Ser282 was similar in MYBPC3_{mut} and donor myocardium.
8. This confirms the data obtained with ProQ Diamond stain (Figure 4).

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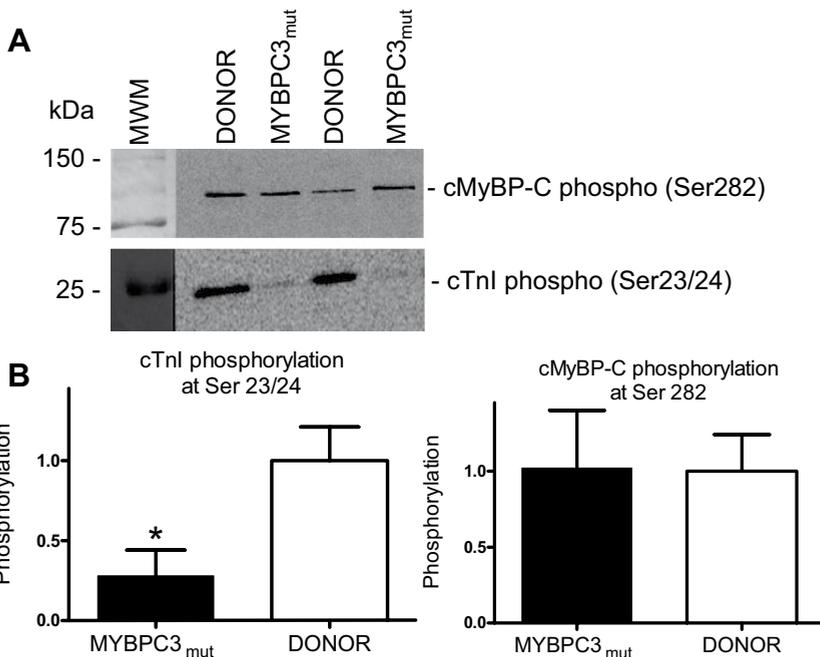


Figure 5:

Western immunoblot analysis using a specific antibody against cTnI phosphorylated at PKA-sites (Ser-23/24) revealed reduced cTnI phosphorylation

31. Depressed Force Development

32. Functional implications of the MYBPC3 mutations were investigated by cardiomyocyte
33. force measurements in c.2373dupG (n=7; 25 cells) and c.2864_2865delCT (n=3; 9 cells).
34. A representative cardiomyocyte and a recording at saturating Ca²⁺-concentration (pCa
35. 4.5) from a MYBPC3_{mut} patient are shown in Figures 6A,B. Maximal force development
36. (F_{active}) was significantly depressed in MYBPC3_{mut} (20.2±2.7 kN/m²) compared to donor
37. (34.5±1.1 kN/m²; n=13; 47 cells)(Figure 6C). Passive force (F_{passive}) in MYBPC3_{mut}
38. (3.8±0.7 kN/m²) was somewhat elevated compared to donor (3.4±0.4 kN/m²), but the
39. difference was not significant (Figure 6D). Inter-patient variation in F_{active} and F_{passive}

in the MYBPC3_{mut} group was larger than in the donor group (Figures 6E,F). F_{active} and $F_{passive}$ were lower in c.2864_2865delCT than in c.2373dupG (ns).

Cells from MYBPC3_{mut} (c.2373dupG, n=4, 9 cells; c.2864_2865delCT; n=2; 6 cells) and donors (n=6; 18 cells) were incubated with protein kinase A,²¹ after which F_{active} and $F_{passive}$ measurements were repeated to determine whether β adrenergic stimulation could correct depressed force in MYBPC3_{mut}. PKA treatment resulted in a minor decrease in F_{active} in MYBPC3_{mut} (before vs after: 14.2 ± 2.9 and 13.5 ± 2.5 kN/m²), which was similar to the PKA-effect in donor (before vs after: 27.9 ± 3.5 and 25.8 ± 3.2 kN/m²) (P=0.03; 2-way ANOVA). Moreover, PKA significantly decreased $F_{passive}$ in both groups (MYBPC3_{mut} before vs after: 2.3 ± 0.3 and 2.1 ± 0.2 kN/m²; donor before vs after: 3.3 ± 0.5 and 2.7 ± 0.4 kN/m²) (P=0.001; 2-way ANOVA).

As increased protein kinase C activity/expression has been associated with depressed maximal force generating capacity of myofilaments,²² force measurements were repeated after incubation with the catalytic domain of PKC. F_{active} in MYBPC3_{mut} (c.2373dupG, n=3, 5 cells) was significantly reduced after PKC by 2.6 ± 0.6 kN/m². A similar decrease (3.3 ± 0.7 kN/m²) was found in cardiomyocytes from donor hearts (n=5; 9 cells). PKC slightly decreased $F_{passive}$ in both groups, although the effect was not significant (data not shown).

Enhanced Ca²⁺-sensitivity

Ca²⁺-sensitivity of the sarcomeres was significantly higher in MYBPC3_{mut} ($pCa_{50} = 5.62 \pm 0.04$) than in donor cells ($pCa_{50} = 5.54 \pm 0.02$). The average force-pCa relationships obtained in MYBPC3_{mut} and donor cardiomyocytes are shown in Figure 7A. Ca²⁺-sensitivity was similar in c.2864_2865delCT ($pCa_{50} = 5.63 \pm 0.05$) and c.2373dupG ($pCa_{50} = 5.60 \pm 0.09$). Figure 7B illustrates that inter-patient variation in pCa_{50} was larger in the MYBPC3_{mut} than in the donor group.

Treatment with exogenous PKA significantly reduced Ca²⁺-sensitivity in both groups. The reduction in pCa_{50} was significantly larger in MYBPC3_{mut} ($\Delta pCa_{50} = 0.18 \pm 0.03$) than in donor ($\Delta pCa_{50} = 0.06 \pm 0.01$) cells (Figure 7C). PKA treatment abolished the initial difference in Ca²⁺-sensitivity between MYBPC3_{mut} and donor (Figure 7D). ProQ-diamond staining of a MYBPC3_{mut} sample which was incubated without (CTRL, control incubation) and with protein kinase A (PKA) showed a 4-fold increase in cTnI phosphorylation, while the increase in cMyBP-C phosphorylation was only small (Figure 7E).

Similar as PKA, PKC significantly reduced pCa_{50} in both groups. However, in contrast to PKA, the PKC-induced shift in pCa_{50} did not significantly differ between MYBPC3_{mut} ($\Delta pCa_{50} = 0.11 \pm 0.04$) and donor cells ($\Delta pCa_{50} = 0.08 \pm 0.01$) and thus, does not explain the baseline difference in Ca²⁺-sensitivity between MYBPC3_{mut} and donor myocardium.

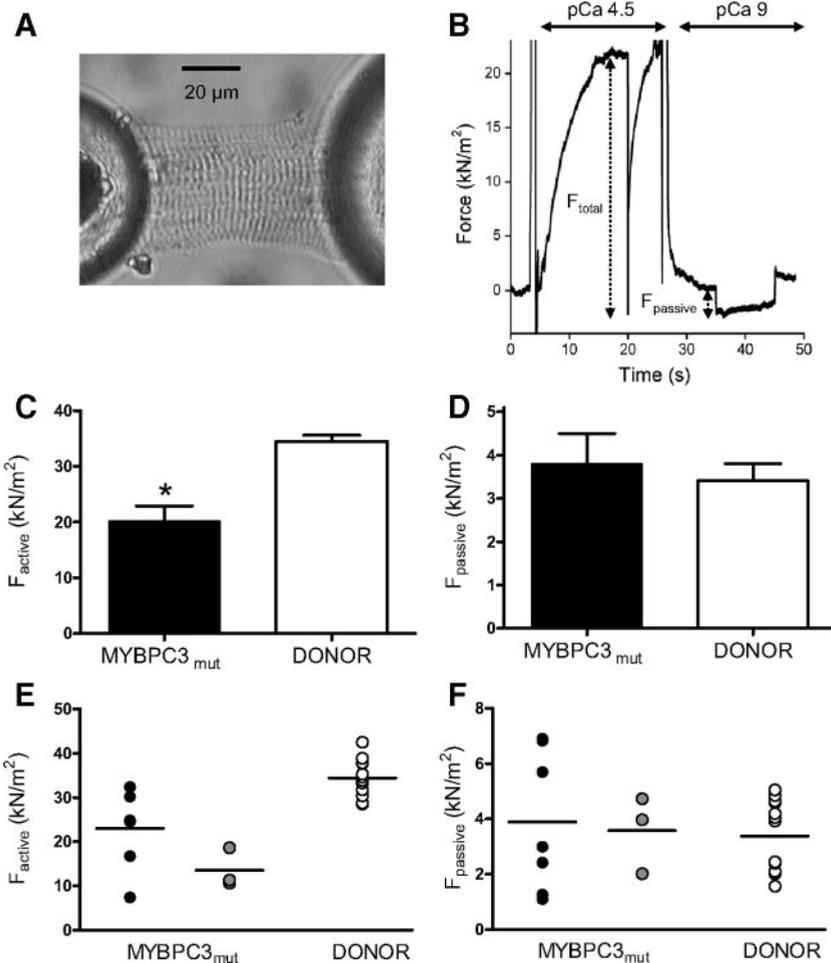


Figure 6:

Force measurements. Permeabilized cardiomyocyte (**A**) and force recording (**B**) of a MYBPC3_{mut} sample. When a maximal steady force level was reached at saturating [Ca²⁺]_i (pCa 4.5), the cell was quickly shortened by 30% to determine the baseline of the force recording and total force (F_{total}). Subsequently, the cell was transferred to relaxing solution (pCa 9.0) and shortened for a period of 10 seconds to determine passive force ($F_{passive}$). **C**. Active force ($F_{active} = F_{total} - F_{passive}$) in MYBPC3_{mut} was significantly depressed, while $F_{passive}$ (**D**) was slightly but not significantly elevated compared to donor. **E,F**. Graphs illustrating the variation among mean force parameters of individuals among the MYBPC3_{mut} group (c.2373dupG, black symbols; c.2864_2865delCT, grey symbols) compared to the donor group (open symbols). *P<0.05.

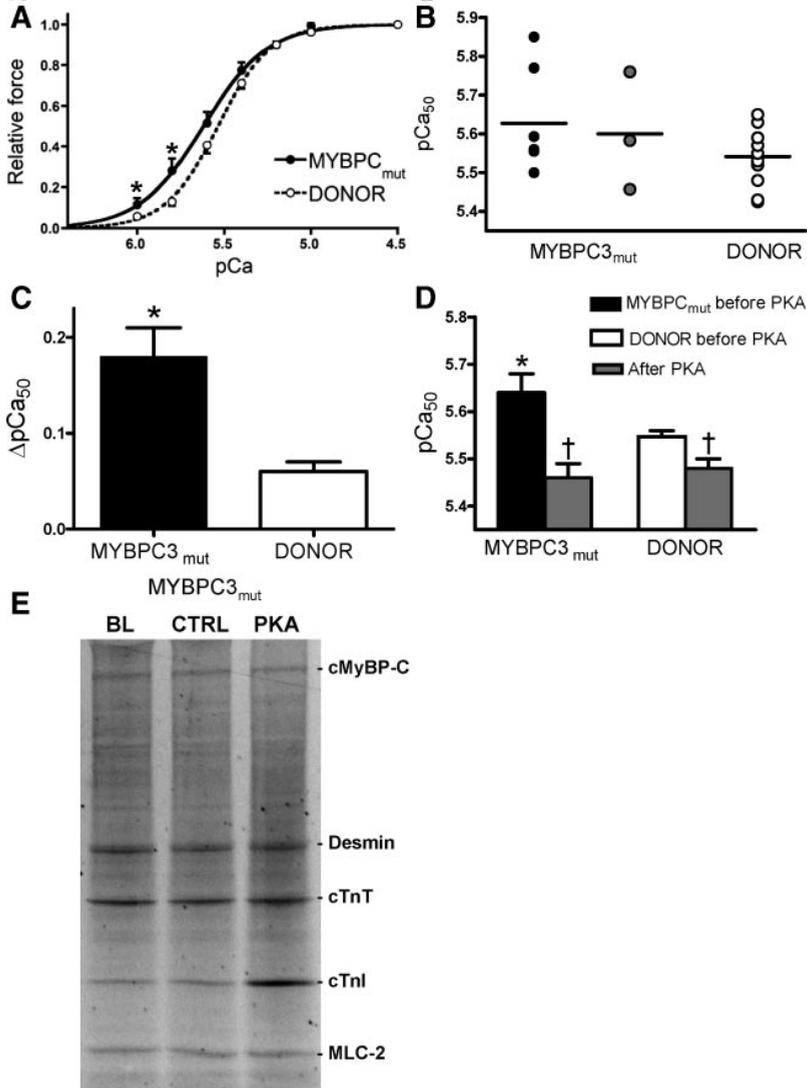


Figure 7:

A. Force measurements were carried out at maximal and submaximal $[Ca^{2+}]$ (pCa range 4.5-6). Force values obtained in solutions with submaximal $[Ca^{2+}]$ were normalized to the maximal force level at pCa 4.5. Force-pCa relations were fit to a modified Hill equation. Ca^{2+} -sensitivity of the sarcomeres (pCa₅₀) was significantly higher in MYBPC_{mut} than in donor. **B.** Graph illustrating the variation among mean pCa₅₀ parameters of individuals among the MYBPC_{mut} group (c.2373dupG, black symbols; c.2864_2865delCT, grey symbols) compared to the donor group (open symbols). **C.** Treatment of cardiomyocytes with exogenous PKA induced a larger reduction in pCa₅₀ in MYBPC_{mut} than in donor. **D.** PKA treatment abolished the initial difference in Ca^{2+} -sensitivity between MYBPC_{mut} and donor. * $P < 0.05$ MYBPC_{mut} vs. donor; † $P < 0.05$ before vs. after PKA treatment. **E.** ProQ-diamond staining of MYBPC_{mut} myocardium, which was directly frozen (BL, baseline) or incubated without PKA (CTRL, control) or with PKA (PKA; 100 U/ml). Phosphorylation of troponin I (cTnI) increased upon incubation with PKA. Abbreviations: cMyBP-C, myosin-binding protein C; cTnT, troponin T; MLC-2, myosin light chain 2.

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1. DISCUSSION

2.

3. Our study provides direct evidence for reduced cMyBP-C protein level and contractile
 4. dysfunction in a group of FHCM patients with *MYBPC3* frameshift mutations. Consistent
 5. with previous studies,⁷⁻⁹ no truncated cMyBP-C protein was detected, and the amount of
 6. full-length cMyBP-C was 33% lower in FHCM compared to donor myocardium. Our
 7. data therefore indicate that the pathomechanism involves haploinsufficiency, rather
 8. than a poison polypeptide. Using adenoviral gene transfer of cardiomyocytes Sarikas et
 9. al.²³ showed rapid and quantitative degradation of truncated forms of cMyBP-C by the
 10. ubiquitin-proteasome system (UPS), which could in turn inhibit UPS-mediated degra-
 11. dation of other cellular proteins.²³ Thus, the absence of truncated cMyBP-C, in FHCM
 12. patients in the present study suggests that the UPS may degrade truncated cMyBP-C. The
 13. full-length C-protein in contrast compensates for the absence of truncated protein. The
 14. fact that mutant mRNAs were detected in both FHCM groups supports the involvement
 15. of the UPS in the degradation of truncated protein. On the other hand, the lower level
 16. of mutant vs WT cMyBP-C mRNA in both FHCM groups suggests partial instability of
 17. nonsense mutant mRNA, which could be degraded by the NMD.²⁰

18. The maximum force generating capacity (i.e. F_{active}) of cardiomyocytes from MYBP-
 19. C3_{mut} carriers was significantly reduced by 42% compared to non-failing myocardium.
 20. Recent studies²⁴⁻²⁸ revealed an important role for cMyBP-C in cross-bridge kinetics.
 21. Loss of cMyBP-C accelerates cross-bridge cycling and impairs kinetics of contraction
 22. and relaxation.^{24,25,27} Complete knockout of cMyBP-C resulted in profound cardiac
 23. hypertrophy and impaired contractile function in mice.^{29,30} Surprisingly, transgenic mice
 24. harboring only 40% of the normally expressed full-length cMyBP-C did not have left
 25. ventricular hypertrophy and showed preserved cardiac function.²⁶ In contrast, our study
 26. shows that an approximately 33% reduction of full-length cMyBP-C level is sufficient to
 27. trigger left ventricular hypertrophy and contractile dysfunction in human. Intriguingly,
 28. reduced cMyBP-C levels per se does not seem to explain the decline in maximum force in
 29. MYBPC3_{mut} as F_{active} did not correlate with the level of full-length cMyBP-C (not shown),
 30. but may rather involve reduced expression and altered phosphorylation of cMyBP-C.

31. Cardiac MyBP-C is phosphorylated by protein kinase A upon adrenergic stimula-
 32. tion.³¹ Apart from PKA, cMyBP-C can be phosphorylated by Ca²⁺-calmodulin dependent
 33. kinase (CaMK)^{32,33} and protein kinase C (PKC).^{34,35} Transgenic mice hearts in which
 34. the phosphorylation sites of cMyBP-C were changed to nonphosphorylatable alanines
 35. displayed reduced contractility and altered sarcomeric structure indicating that phos-
 36. phorylation of cMyBP-C is essential for normal cardiac function.³⁶ Reduced cMyBP-C
 37. phosphorylation has been observed in animal models of cardiac hypertrophy and fail-
 38. ure^{36,37} and in human with end-stage idiopathic and ischemic cardiomyopathy.^{21,37} The
 39. discrepant phosphorylation levels of cMyBP-C and cTnI in MYBPC3_{mut} are in contrast

with previous observations in non-FHCM (idiopathic and ischemic cardiomyopathy) and donor myocardium, which revealed parallel changes in the main target proteins of the β -adrenergic pathway.^{21,37} Hence it is possible that this discrepancy causes contractile dysfunction. As protein kinase A did not correct the reduction in F_{active} , other (mal)adaptive signaling routes are responsible for divergent phosphorylation of cMyBP-C and cTnI and sarcomeric dysfunction. In a recent study, increased protein kinase C expression level in two models of heart failure (pressure overload and ischemic) in rat was associated with reduced maximal force generating capacity of myofilaments.²² To test whether this applies to human tissue, force measurements were performed in single human cardiomyocytes from MYBPC3_{mut} and donor hearts before and after incubation with PKC. The effects of PKC on cardiomyocyte force parameters (F_{active} , F_{passive} and $p\text{Ca}_{50}$) were similar in MYBPC3_{mut} and donor cardiomyocytes, indicating that impaired myofilament function in MYBPC3_{mut} does not seem to be related to a difference in PKC-mediated phosphorylation of myofilament proteins. Interestingly, Yuan et al.³⁸ revealed differential phosphorylation of cMyBP-C upon myocardial stunning and suggested a role for altered calcium handling and activation of CaMK. Thus, in combination with the evidence presented in the literature, our experiments suggest that the reduced maximal force generating capacity of cardiomyocytes is not a direct consequence of haploinsufficiency, but rather might be caused by differential phosphorylation of cMyBP-C resulting from (mal)adaptive neurohumoral signaling in hearts of MYBPC3_{mut} carriers.

Similarly, the higher Ca^{2+} -sensitivity of force development in MYBPC3_{mut} patients may either be a direct or an indirect consequence of the cMyBP-C haploinsufficiency. Previous studies of FHCM mutations in the thin filament proteins, troponin and tropomyosin, reported enhanced myofilament Ca^{2+} -sensitivity, in contrast to a reduction in Ca^{2+} -sensitivity which is considered characteristic for mutations found in familial dilated cardiomyopathy.³⁹⁻⁴¹ Robinson et al.⁴¹ proposed that the mutant-induced enhanced Ca^{2+} -sensitivity reflects changes in Ca^{2+} binding affinity, which may directly alter Ca^{2+} transient and trigger hypertrophic signaling routes.⁴² Extraction of cMyBP-C by ~30-70% from rat cardiomyocytes resulted in an increase in Ca^{2+} -sensitivity.^{43,44} Similarly, a greater myofilament Ca^{2+} sensitivity was found in skinned myocytes at short sarcomere length from cMyBP-C knock-out (KO) mice,⁴⁵ and a greater sensitivity to external Ca^{2+} was found in cMyBP-C KO intact atrial tissue.²⁵ Hence, the frameshift MYBPC3 mutations inducing cMyBP-C haploinsufficiency may directly increase Ca^{2+} -sensitivity. On the other hand, increased myofilament Ca^{2+} -sensitivity has also been found in end-stage failing human myocardium (idiopathic dilated cardiomyopathy) without known mutations in sarcomeric proteins.^{19,46,47} This enhanced Ca^{2+} -sensitivity has been ascribed to hyperactivation of the β -adrenergic signaling pathway in response to reduced cardiac pump function. Chronic activation of the β -adrenergic receptor pathway results in down-regulation and desensitization of the receptors in failing myocardium and a

1. subsequent parallel reduction in phosphorylation of the PKA-target proteins, cMyBP-C
2. and cTnI.^{17,21,37} In healthy myocardium, the main effect of PKA-mediated phosphoryla-
3. tion of cTnI is reduced Ca²⁺-sensitivity, which contributes to appropriate myocardial
4. relaxation.^{27,48,49} Reduced phosphorylation of cTnI and PKA-mediated increase in cTnI
5. phosphorylation and correction of Ca²⁺-sensitivity to donor values in MYBPC3_{mut} sug-
6. gest that heart failure-induced β-adrenergic desensitization underlies the increase in
7. Ca²⁺-sensitivity. Hence, on the basis of our data we postulate that the enhanced Ca²⁺-
8. sensitivity of sarcomeres in MYBPC3_{mut} is a secondary consequence of the frameshift
9. mutation-induced cardiac dysfunction, which triggers adrenergic hyperactivation. The
10. ensuing defects in β-adrenergic signaling may impair phosphorylation of Ca²⁺ handling
11. proteins and subsequent alterations in cellular Ca²⁺ transient may activate kinases, such
12. as CaMK, involved in differential phosphorylation of cMyBP-C and cTnI.

13. It should be noted that our data may be confounded by differences in medication and
14. in the origin of LV tissue (septum versus free wall). Moreover, we cannot exclude that age
15. and sex differences affected our analysis. However, the unique MYBPC3 founder muta-
16. tions allowed us to characterize contractile properties in a relatively homogenous group of
17. FHCM patients. The combined analysis of sarcomere protein composition and function
18. revealed haploinsufficiency and reduced contractility in patients carrying a frameshift
19. MYBPC3 mutation. The sarcomeric phenotype in MYBPC3_{mut} is the complex resultant
20. of the mutation and secondary alterations in the sarcomeric phosphoproteome due to
21. maladaptive alterations in neurohumoral signaling and/or Ca²⁺ homeostasis. Therefore
22. our data support the concept that contractile dysfunction is a pivotal link between the
23. mutant sarcomeric protein and pathological hypertrophic cardiomyopathy.

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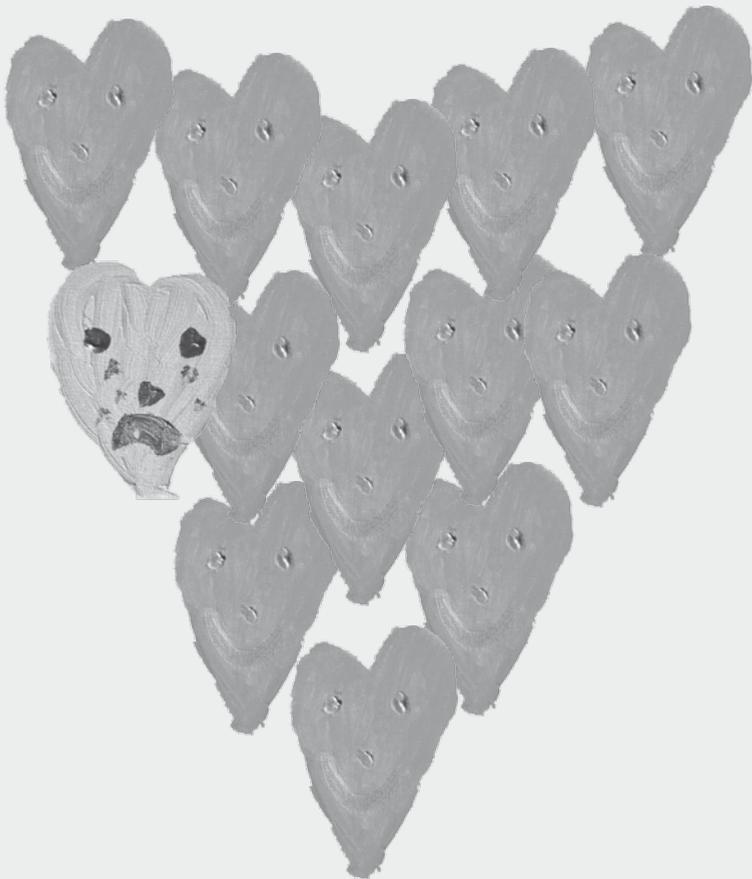
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Part II

Genetics in hypertrophic cardiomyopathy



Chapter 6

Familial screening and genetic counseling in hypertrophic cardiomyopathy: the Rotterdam experience



Michels M, Hoedemaekers YM, Kofflard MJ,
Frohn-Mulder I, Dooijes D, Majoor-Krakauer D, Ten Cate FJ

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ABSTRACT

Hypertrophic cardiomyopathy (HCM) is a disease characterized by unexplained left ventricular hypertrophy (LVH) (i.e. LVH in the absence of another cardiac or systemic disease that could produce a similar degree of hypertrophy), electrical instability and sudden death (SD).

Germline mutations in genes encoding for sarcomere proteins are found in more than half of the cases of unexplained LVH. The autosomal dominant inherited forms of HCM are characterized by incomplete penetrance and variability in clinical and echocardiographic features, prognosis and therapeutic modalities. The identification of the genetic defect in one of the HCM genes allows accurate pre-symptomatic detection of mutation carriers in a family. Cardiac evaluation of at risk relatives enables early diagnosis and identification of those patients at high risk for SD, which can be the first manifestation of the disease in asymptomatic persons.

In this article we present our experience with genetic testing and cardiologic screening in our HCM population and give an overview of the current literature available on this subject.

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1. **INTRODUCTION**

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3. The prevalence of unexplained left ventricular hypertrophy (LVH) in the general popu-
 4. lation is estimated to be 1 in 500^{1,2}. Hypertrophic cardiomyopathy (HCM) caused by
 5. genetic defects in sarcomere genes may account for up to 60% of cases of LVH. This
 6. makes HCM the most common genetic cardiovascular disorder^{3,4}. The first HCM gene
 7. was identified in 1990⁵, since then more than 11 HCM genes, mostly encoding for one of
 8. the sarcomere proteins, have been identified.

9. HCM is the most common cause of sudden death (SD) in the young and a major cause
 10. of morbidity and mortality in elderly⁶. SD may be the first manifestation of the disease in
 11. an asymptomatic individual. Many studies in HCM have shown extensive variability in
 12. expression, prognosis and therapy even within families. Identification of disease causing
 13. genetic defects in familial HCM allows reliable identification of at risk relatives. Cardiac
 14. evaluation of at risk relatives enables early diagnosis and identification of those patients
 15. at high risk for SD.

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18. **GENETIC STUDIES IN HCM**

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20. At present, more than 400 disease causing mutations in 11 genes, mostly encoding one
 21. of the myocardial contractile proteins (Table 1) have been identified in familial HCM.
 22. In approximately 60 % of HCM families a sarcomere mutation is identified^{3,7,8}. Disease
 23. causing mutations are identified in 60% (142/ 236) of the HCM families currently fol-
 24. lowed and completely genotyped for eight HCM genes.

25. In the Netherlands a large number of HCM cases is associated with one of three
 26. founder mutations (2373insG, R943X and 2864delCT) in the *cardiac myosin binding*
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28. **Table 1:** Genes identified in familial hypertrophic cardiomyopathy

29. (modified from Ho and Seidman, Circulation 2006;113;858-862).

Gene	Encoding protein	Locus
MyBPC3	Myosin Binding Protein C	11p11.2
MYH7	β-Myosin Heavy Chain	14q12
TNNT2	Troponin T	1q32
TNNI3	Troponin I	19q13.4
TPM1	α-Tropomyosin	15q22.1
MYL2	Regulatory Myosin Light Chain	12q23-q24.3
MYL3	Essential Myosin Light Chain	3p21.3-p21.2
ACTC	α-Actin	15q11-q14
TTN	Titin	2q24.3
MYH6	α-Myosin Heavy Chain	14q12
PRKAG2	Protein kinase A (γ-subunit)	7q36

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*protein C (MYBPC3)*⁹. Mutations in the *beta-cardiac myosin heavy chain (MYH7)* and other sarcomere genes are less frequent.

At the cardio-genetics clinic of the Erasmus MC in Rotterdam, the molecular analysis of HCM involves initial screening for the three Dutch founder mutations in the *MYBPC3* gene. In absence of the founder mutations, subsequently the *MYBPC3* and the *MYH7*, *cardiac troponin T (TNNT2)*, *cardiac troponin I (TNNI3)*, *regulatory – and essential myosin light chain*, α - *Actin*, α -*tropomyosin* are sequenced. DNA sequencing is time consuming and expensive, molecular analysis of all HCM genes will take about 6 months. In families where the molecular defect has been identified, test results for family members are available within 6 weeks.

Given the high prevalence of HCM in the general population it is not surprising to encounter compound heterozygosity, especially in patients with severe, early onset of symptoms. Since it has been demonstrated that occurrence of multiple HCM gene mutations in a single patient is associated with a more severe phenotype, we pursue molecular analysis of HCM genes in patients with severe phenotype after the identification of a single mutation^{7,10}.

Genotype/ phenotype correlation in HCM

HCM is the final common pathway of several different sarcomere defects. There is a continuing debate over the prognostic significance of HCM causing mutations. Several highly penetrant genetic defects have been identified for Mendelian inherited forms of HCM. However, the clinical variability of HCM observed even within families suggests that the disease is at least partially the result of the additive or synergetic effect of risk factors, thus precluding the use of the genotype as the main clinical and prognostic tool for individual patients. At least until the mechanisms, underlying the clinical variability are elucidated.

Cardiac myosin binding protein-C gene (*MYBPC3*)

Generally, mutations in the *MYBPC3* are the most common genetic defect in HCM with a prevalence ranging from 20 to 42%^{3,11}. In the Rotterdam HCM population 70% (100/142) of the HCM families with an identified mutation had a *MYBPC3* mutation.

The study by Richard et al. showed a relatively benign or intermediate prognosis in 90 % of the families carrying a mutation in the *MYBPC3*³. Controversy, others reported that *MYBPC3* associated disease was not statistically different from families with any of the other genetic defects according to several parameters, including age at diagnosis, frequency of surgery or need for implantation of an ICD and the distribution of hypertrophy⁷. Our own data indicate that the R943X and 2864delCT founder mutations in *MYBPC3* are associated with a malignant prognosis¹².

1. ***Beta-cardiac myosin heavy chain gene (MYH7)***

2. Mutations in the *MYH7* gene have been reported frequently, accounting for up to 40
 3. % of cases³. In the Rotterdam HCM families the contribution of *MYH7* mutations was
 4. 18% (25/142). Generally, mutations the *MYH7* gene are associated with a younger age at
 5. diagnosis and a more profound hypertrophy^{8,11}.

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8. **GENETIC COUNSELING, PRESYMPTOMATIC TESTING AND**

9. **SCREENING OF AT RISK RELATIVES**

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11. After the clinical diagnosis of HCM is established at our HCM outpatient clinic, the
 12. importance of molecular confirmation, also in patients with no family history of HCM
 13. or SD, is discussed with the patient by the cardiologist (Figure 1). Supported by the new
 14. classification of cardiomyopathies as proposed by Maron et al. we think that part of the
 15. diagnosis of HCM should be molecular classification¹³. After informed consent blood
 16. samples are drawn for DNA analysis of the proband.

17. Subsequently, patients are referred to the department of clinical genetics for the
 18. ascertainment of the family history, allowing the identification of relatives at increased
 19. risk for HCM. Initially, we intend to inform first- and second degree relatives about the
 20. occurrence of HCM in the family and invite them for genetic counseling by a clinical
 21. geneticist and/or a genetic nurse. Expanding the family history allows the identification
 22. of more at risk relatives that are subsequently invited for genetic counseling (Figure 1).

23. The results of the DNA analysis are conveyed at the department of clinical genetics,
 24. where the consequences of these results for the patient and their relatives are discussed.
 25. In HCM families in which we were able to identify a mutation in one of the HCM genes,
 26. presymptomatic DNA testing is offered to adults at risk. The results of presymptomatic
 27. testing of at risk relatives are received within 6 weeks. No further cardiologic screening
 28. is indicated for relatives without the disease causing mutation. The mutation carriers
 29. are referred for cardiologic screening by medical history, clinical examination, echo-
 30. cardiography, exercise-ECG and 24-hours Holter monitoring. Relatives who decline
 31. presymptomatic DNA testing and at risk relatives from families, where we were not able
 32. to detect a disease causing mutation, are referred for cardiac screening by medical history,
 33. clinical examination, electrocardiography and echocardiography. In 1997 McKenna et al.
 34. proposed new diagnostic criteria for HCM in adult members of affected families based
 35. on echocardiographic and electrocardiographic findings (Table 2). The diagnosis would
 36. be fulfilled in the presence of one major criterion; two minor echocardiograph criteria;
 37. or one minor echocardiographic plus two minor electrocardiographic criteria¹⁴. In rela-
 38. tives fulfilling the diagnostic criteria for HCM we continue with an exercise-ECG and
 39. 24-hours Holter monitoring for risk stratification.

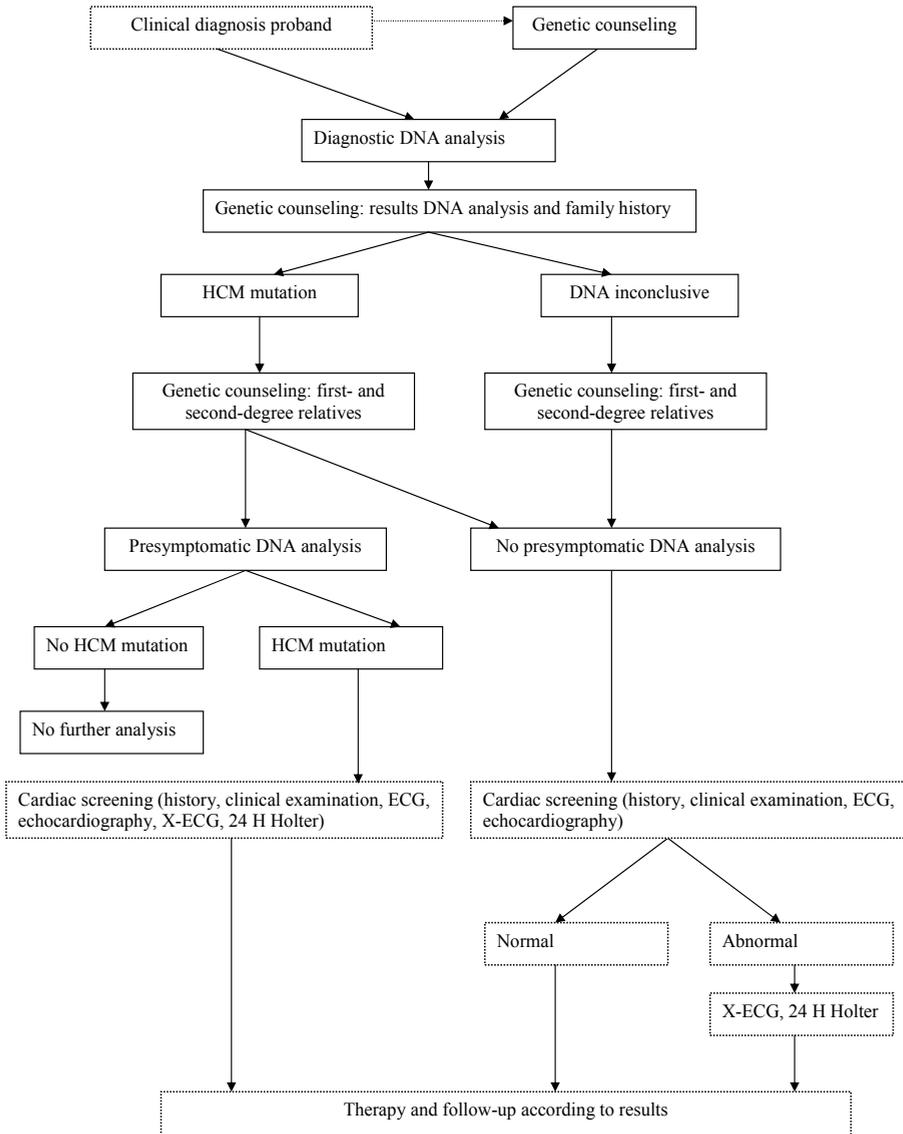


Figure 1:

Flow chart used at the cardio-genetics department in the Erasmus MC University Hospital, showing the multidisciplinary approach by the cardiologist (dashed boxes) and clinical genetic nurses and medical doctors (un-dashed boxes). (X-ECG=exercise test, 24 H Holter= 24 hours Holtermonitoring)

In mutation carriers and in relatives in whom the genotype is not known this cardiac screening is repeated according to the results, and therapy is initiated when necessary. In adult persons repeated screening is indicated after 3 to 5 years when all tests are normal (Figure 1)^{15,16}.

Table 2: Diagnostic criteria for hypertrophic cardiomyopathy in adult members of affected families (modified from McKenna, Heart, 1997. 77(2): p. 130-2)

	Major criteria	Minor criteria
3.	<i>Echocardiography</i>	
4.	Anterior septum or posterior wall ≥ 13 mm	Anterior septum or posterior wall 12 mm
5.	Posterior septum or free wall ≥ 15 mm	Posterior septum or free wall 14 mm
6.	Severe SAM (septal-leaflet contact)	Moderate SAM (no septal-leaflet contact)
7.		Redundant MV leaflets
8.	<i>Electrocardiography</i>	
9.	LVH + repolarisation changes	Complete BBB or (minor) interventricular conduction defect (in LV leads)
10.	T wave inversion in leads I and aVL (≥ 3 mm), V3-V6 (≥ 3 mm) or II, III, aVF (≥ 5 mm)	Minor repolarisation changes in LV leads
11.	Abnormal Q (> 40 ms or $> 25\%$ R wave) in at least 2 leads from II, III, aVF, V1-V4; or I, aVL, V5-V6	Deep S V2 (> 25 mm)

13.

14. The goal for the next 3 years is to further develop our cardio-genetic outpatient clinic
 15. and to identify all affected at risk relatives of the HCM patients in the Rijnmond region.

16.

17. **Screening for HCM in children**

18. Cardiologic screening by medical history, physical examination, ECG and echocardiogram
 19. in children of HCM families is performed after the age of 10. Cardiologic screening
 20. of younger children will be done when there is suspicion of HCM symptoms in the child,
 21. when the family history involves early onset HCM or SD, upon parental request or if the
 22. child with suspicion of HCM enrolls in competitive sports.

23. Presymptomatic genetic testing in children remains controversial, since the medical
 24. benefit of presymptomatic diagnosis in HCM in childhood has not been established.
 25. Some clinicians have emphasized the potential benefit of testing in HCM¹⁷, however the
 26. only medical implication is a regular follow-up in mutation carriers, which will allow the
 27. detection of clinical expression of the disease very early. Others consider presymptomatic
 28. testing in children more deleterious than beneficial, because of the lack of efficient treat-
 29. ment to prevent clinical expression child bearing a mutation, combined with possible
 30. adverse psychological effects^{8,18}.

31. If HCM is diagnosed by echocardiography, the diagnosis may be confirmed by screen-
 32. ing for the familial mutation. Pre-symptomatic genotyping of children is, like in other
 33. mostly late adult-onset diseases, only done in exceptional cases¹⁹.

34.

35. **Genetic counseling and prenatal diagnosis**

36. In autosomal dominantly inherited HCM; there is a risk of 50% of transmitting the
 37. genetic defect to a child. In families where the disease causing mutations has been identi-
 38. fied, there is a possibility for prenatal diagnosis of HCM with chorionic villus sampling
 39. as early as 10 weeks of gestation, or by amniocentesis in the 16th week of the pregnancy.

However, prenatal diagnosis of HCM is almost never indicated because of the variability in expression of the disease and the small risk of cardiac disease at young age. Although early expression of HCM is extremely rare, pregnant women are offered a structural sonography in the 18th – 20th week of pregnancy.

RECOMMENDATIONS FOR FOLLOW-UP OF MUTATION CARRIERS AND AT RISK RELATIVES

The variability in age at onset of HCM and lack of cardiological pathology in a proportion of known HCM mutation carriers and at risk relatives mandates long-term follow-up, even when they are asymptomatic at cardiac screening. In the Netherlands these mutation carriers are invited to participate in the Escape study, which is supported by the ICIN and focuses on the prognostic factors of these individuals.

Cardiac follow-up

Age at presentation varies in HCM, even in families carrying the same HCM mutation²⁰⁻²². In a long-term follow-up study of patients with a mutation in *MyBPC3* disease penetrance was 100% in patients aged > 50 years²⁰. Follow-up at regular intervals, including echocardiography, exercise testing and 24-hours Holter monitoring is therefore mandatory. During echocardiography in these patients we focus on subtle abnormalities and perform Tissue Doppler imaging (TDI), since low systolic and diastolic velocities can be a predictor of the development of hypertrophy²³.

In asymptomatic carriers and at risk relatives repeated clinical screening is advised, every 2 years between the age of 10 to 22 years, and every 5 years in older mutation carriers¹⁶.

Sport participation

According to the guidelines all patients with a probable or unequivocal clinical diagnosis of HCM should be excluded from most competitive sports. Some moderate and low intensity level recreational sports like swimming, brisk walking, biking and skating are permitted²⁴. For asymptomatic mutation carriers and at risk relatives there are no compelling data available to prohibit competitive sports, particularly in the absence of cardiac symptoms or a family history of SD. Athletes with preclinical HCM should be carefully examined every 12 to 18 months with electrocardiogram, echocardiography, exercise testing and ambulatory Holter electrocardiogram^{25,26}.

1. **Socio-economic consequences of presymptomatic testing for HCM**

2. In an unselected patients population HCM is a benign disease with a incidence of cardiac
 3. death of less than 1 % per year²⁷. However, these studies on prognosis did not include
 4. mutation carriers, whose prognosis is suspected to be at least similar and most likely
 5. better. Until follow-up studies of mutation carriers are available insurance companies
 6. will work with the available studies, which will mean higher insurance premium for life
 7. insurance, disability, critical illness, and long-term care insurance²⁸. Especially in young
 8. patients this can be a reason to postpone presymptomatic testing.

10. **Future perspective in the delay of onset or prevention of HCM**

11. There are promising results from animal studies using calcium channel blockers²⁹ and
 12. statins³⁰ showing delay in onset of HCM. In patients with non obstructive HCM treatment
 13. with angiotensin II blockade³¹ seems to be beneficial. Studies from our Institution have
 14. shown that alterations of hypertrophy in HCM patients are influenced by polymorphisms
 15. in the renin-angiotensin-aldosterone system genes, these results support the use of agents
 16. that influence this system in HCM patients³²⁻³⁴.

19. **TREATMENT OF PATIENTS WITH CLINICAL DIAGNOSIS OF HCM**

21. The HCM patients are treated according to the guidelines³⁵. Medical treatment is first-line
 22. therapy, traditionally with either β -blockers or nondihydropyridine calcium channel block-
 23. ers to facilitate diastolic filling and to reduce intracavitary gradients. The negative inotropic
 24. effect of disopyramide also may be beneficial in reducing obstructive physiology³⁶. Sig-
 25. nificant (> 50 mmHg at rest or > 100 mmHg at provocation) intracavitary obstruction
 26. with refractory symptoms can be addressed by surgical myectomy or by ethanol septal
 27. ablation. It is important to assess the risk of sudden death (SD) in these patients, because
 28. the prophylactic implantation of a cardioverter-defibrillator can be defended in some cases.
 29. In a study by Elliot et al. several risk factors were identified demonstrating that patients with
 30. ≥ 2 risk factors (table 3) had a 4 to 5 % estimated annual SD risk, where as in the absence of
 31. risk factors this risk was < 1 %³⁷. A recent study from the same group showed that LVOT
 32. obstruction in asymptomatic patients with no other SD risk markers was not related with
 33. increased mortality and did not warrant aggressive interventions³⁸. Prophylactic pharma-
 34. cological therapy with beta-blockers or calcium channel antagonist has frequently been
 35. used, but efficacy in SD prevention has not been established. Empiric use of amiodarone
 36. has been reported to be associated with improved survival in one observational study with
 37. historical controls³⁹. Supported by other data we believe that implantation of a cardioverter-
 38. defibrillator is the preferred therapy for primary prevention in high-risk patients and for
 39. secondary prevention in patients who survived life threatening arrhythmias^{40,41}.

Table 3: Risk factors for sudden death in patients with hypertrophic cardiomyopathy

Nonsustained ventricular tachycardia on ambulatory electrocardiography	1.
Syncope	2.
Blood pressure rise < 25 mmHg during exercise in patients < 40 years	3.
Family history of SD	4.
Left ventricular wall thickness > 30 mm	5.

CONCLUSIONS

The identification of autosomal dominantly inherited genetic defects in a HCM gene provides new opportunities for accurate identification of at risk relatives and effective screening of the relatives of HCM patients. The variability in age at onset of symptoms of HCM demands repeated cardiac screening of adults at risk for HCM, whether carriers of known mutations or relatives of families where no genetic defect has been identified.

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Chapter 7

Disease penetrance and risk stratification for sudden cardiac death in asymptomatic hypertrophic cardiomyopathy mutation carriers



Michels M, Soliman OI, Phefferkorn J, Hoedemaekers YM,
Kofflard MJ, Dooijes D, Majoor-Krakauer D, Ten Cate FJ

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ABSTRACT**Aims**

To investigate the outcome of cardiac evaluation and the risk stratification for sudden cardiac death (SCD) in asymptomatic hypertrophic cardiomyopathy (HCM) mutation carriers.

Methods and results

Seventy-six HCM mutation carriers from 32 families identified by predictive DNA testing underwent cardiac evaluation including history, examination, electrocardiography, Doppler-echocardiography, exercise testing and 24-hour Holter monitoring. The published diagnostic criteria for HCM in adult members of affected families were used to diagnose HCM.

Thirty-three (43%) men and 43 (57%) women with a mean age of 42 years (range 16-79) were examined; in 31 (41%) HCM was diagnosed. Disease-penetrance was age related and men were more often affected than women ($p = 0.04$). *Myosin Binding Protein C (MYBPC3)* mutation carriers were affected at higher age than *Myosin Heavy Chain (MYH7)* mutation carriers ($p = 0.01$). Risk factors for SCD were present in affected and unaffected carriers.

Conclusion

HCM was diagnosed in 41% of carriers. Disease penetrance was age dependent, warranting repeated cardiologic evaluation. *MYBPC3* mutation carriers were affected at higher age than *MYH7* mutation carriers. Risk factors for SCD were present in carriers with and without HCM. Follow-up studies are necessary to evaluate the effectiveness of risk stratification for SCD in this population.

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1. INTRODUCTION

2.

3. The prevalence of unexplained left ventricular hypertrophy (LVH) in the general popu-
4. lation is estimated to be 1 in 500^{1,2}. Hypertrophic cardiomyopathy (HCM) caused by
5. genetic defects in sarcomeric genes may account for up to 60% of cases of LVH. This
6. makes HCM the most common genetic cardiovascular disorder^{3,4}. The first HCM gene
7. was identified in 1990⁵, since then more than 11 HCM genes, mostly encoding for one
8. of the sarcomeric proteins, have been identified⁶. Identification of pathogenic defects in
9. sarcomere genes in familial forms of HCM allows reliable identification of at risk rela-
10. tives. Accurate and early identification, especially of asymptomatic family members, is
11. important because SCD may be the first manifestation of HCM⁷. Cardiac evaluation of
12. at risk relatives enables early diagnosis and identification of those patients at high risk
13. for SCD. In this study we investigated the outcome of cardiac evaluation and the risk
14. stratification for SCD in asymptomatic HCM mutation carriers, who were identified by
15. predictive DNA testing.

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18. METHODS

19.

20. Study population

21. At our outpatient cardio-genetic clinic all HCM patients are offered genetic counseling
22. and genetic testing. DNA analysis consists of direct sequencing of all coding intron-exon
23. boundaries of the following 12 sarcomere and Z-disc genes: Myosin Binding Protein C
24. (MYBPC3), cardiac β -Myosin Heavy Chain (MYH7), cardiac Troponin T gene (TNNT2),
25. cardiac Troponin I gene (TNNI3), cardiac-regulatory Myosin Light Chain (MYL2),
26. cardiac-essential Myosin Light Chain (MYL3), α -Tropomyosin gene (TPM1), cardiac
27. α -Actin (ACTC), cardiac Troponin C (TNNC1), Cysteine and Glycine Rich Protein
28. (CSR3) and Theletin (TCAP). If a sarcomeric mutation is identified in the index
29. patient presymptomatic genetic testing is offered to adult first-degree relatives⁸. In 2007
30. and 2008 a pathogenic HCM mutation was identified in 76 asymptomatic family members
31. of 32 unrelated HCM patients. All subjects did provide informed written consent. The
32. study complies with the declaration of Helsinki and the Erasmus University MC Review
33. Board has approved the study.

34.

35. Cardiac evaluation

36. All HCM mutation carriers were referred for cardiac evaluation including medical his-
37. tory, clinical examination, electrocardiography (ECG), Doppler-echocardiography, exer-
38. cise testing and 24-hour Holter monitoring. Two cardiologists performed medical history
39. and clinical examination. The ECG's were recorded with a Mortara Portrait (Mortara,

Milwaukee, USA) and subsequently scored by a cardiologist, who was blinded for the clinical data. Echocardiographic studies were performed with an iE33 system with a S5-1 transducer (Philips Medical Systems, Best, The Netherlands). The acquired data were digitally stored and subsequently analyzed by an observer, who was blinded for the clinical data. For the diagnosis of HCM the published diagnostic criteria for HCM in adult members of affected families are used (Table 1)⁹. The diagnosis is fulfilled in presence of one major criterion; 2 minor echocardiographic criteria or 1 minor echocardiographic and 2 minor ECG criteria.

Table 1 Diagnostic criteria for HCM in adult members of affected families.

Major criteria	Minor criteria
<i>Echocardiography</i>	
Anterior septum or posterior wall ≥ 13 mm	Anterior septum or posterior wall 12 mm
Posterior septum or free wall ≥ 15 mm	Posterior septum or free wall 14 mm
Severe SAM (septal-leaflet contact)	Moderate SAM (no septal-leaflet contact)
	Redundant MV leaflets
<i>Electrocardiography</i>	
LVH + repolarisation changes	Complete BBB or (minor) interventricular conduction defect (in LV leads)
T wave inversion in leads I and aVL (≥ 3 mm), V3-V6 (≥ 3 mm) or II, III, aVF (≥ 5 mm)	Minor repolarisation changes in LV leads
Abnormal Q (> 40 ms or $> 25\%$ R wave) in at least 2 leads from II, III, aVF, V1-V4; or I, aVL, V5-V6	Deep S V2 (> 25 mm)

HCM diagnosis is made in presence of one major criterion; 2 minor echocardiographic criteria or 1 minor echocardiographic and 2 minor electrocardiographic criteria.

HCM = hypertrophic cardiomyopathy, SAM = septal anterior movement, MV = mitral valve, LVH = left ventricular hypertrophy, BBB = bundle branch block, LV = left ventricular. Modified from McKenna, Heart, 1997. **77**(2): p. 130-2

Risk stratification for SCD

The established risk factors (RF) for SCD in HCM were assessed in accordance with guidelines¹⁰. A history of syncope was defined as an unexplained loss of consciousness. For a positive family history of SCD three different definitions were used: SCD in ≥ 1 relative, regardless of age or degree of relationship, SCD in a first-degree relative < 40 year and SCD in > 1 first-degree relative < 40 year. The exercise test was performed with a Welch Allyn (Welch Allyn, Skaneateles Falls, USA) upright bicycle test using a 20-Watt protocol. An abnormal blood pressure response was defined as a failure to increase systolic blood pressure > 20 mmHg, or a fall in blood pressure during upright exercise testing. The 24-hour Holter monitoring were recorded using the North East Monitoring DR 180 + Pacemaker system (North East Monitoring, Maynard, USA). Nonsustained ventricular tachycardia was defined as a run of three or more consecutive beats at a rate of ≥ 120 beats/min, lasting < 30 s during Holter monitoring. Maximal wall thickness was measured on the echocardiogram and counted as a risk factor when ≥ 30 mm¹¹.

1. **Statistical methods**

2. All statistics were performed using the SPSS 16 for Windows (SPSS Inc, Chicago, IL,
3. USA). Kolmogorov-Smirnov and Shapiro-Wilk tests were used for testing normality of
4. distribution. Descriptive data were computed as a mean value \pm SD. Variables among the
5. groups were compared by ANOVA or the Chi square test when appropriate. The homo-
6. geneity of variance in the data for HCM and no HCM groups was checked with Levene's
7. test. Statistical significance was defined by $P \leq 0.05$.

10. **RESULTS**

12. **Index patients**

13. The 32 index patients had a mean age at diagnosis of 35 ± 14 years (range 3 months – 56
14. years) and 16 (50%) were men. SCD was the first presentation in 9 (28%) index patients at
15. a mean age of 37 ± 12 (range 20 – 55 years). A septal reduction therapy was performed in
16. 12 (38%) index patients at a mean age of 46 ± 11 (range 23 – 63 years). Most index patients
17. (21, 66 %) had one of the three Dutch founder mutations in *MYBPC3*: c.2373dupG (10
18. patients), 2864_2865delCT (5 patients) and p.Arg943X (6 patients). Four patients had
19. other *MYBPC3* mutations: IVS 22-2del A (1 patient), IVS5+1G>A (1 patient), 3776delA
20. (1 patient) and Q1061X (1 patient). One (3%) index patient was compound heterozygote
21. for the c.2373dupG and R943X in *MYBPC3*; he was diagnosed with very severe HCM
22. and died at the age of 4 months. Mutations in *MYH7* were present in 4 (13%) patients:
23. T1929M, A326P, H576R and D928V. One (3%) index patient had a mutation in *TNNT2*
24. (p.Arg92Trp) and one (3%) a mutation *TPM1* (E62Q).

26. **Asymptomatic HCM mutation carriers**

27. Seventy-six asymptomatic HCM mutation carriers, 33 (43%) men and 43 (57%) women
28. with a mean age of 40 ± 14 years (range 16-79) were referred for cardiac evaluation
29. and risk stratification for SCD. The majority, 64 (84%) of the carriers had a mutation
30. in *MYBPC3*: c.2373dupG (17 subjects), 2864_2865delCT (17 subjects), p.Arg943X (19
31. subjects), IVS22-2delA (3 subjects), IVS5+1G>A (5 subjects), 3776delA (2 subjects) and
32. Q1061X (1 subject). *MYH7* mutations were identified in 10 (13%) subjects: A326P (2
33. subjects), D928V (3 subjects), H576R (2 subjects) and T1929M (3 subjects). One (1%)
34. subject carried the p.Arg92Trp mutation in *TNNT2* and one (1%) subject carried the
35. E62Q mutation in *TPM1*.

37. **Cardiac evaluation**

38. HCM was diagnosed in 31 (41%) asymptomatic HCM carriers, 18 men (58%) and 13
39. (42%) women with a mean age of 44 ± 14 years (range 22-79). The mean age of the

asymptomatic HCM carriers with HCM was not significantly different from the 45 relatives not meeting the diagnostic criteria for HCM (41 ± 18 years). The majority of asymptomatic relatives without HCM (30, 67%) were women; in our study population men had a significantly higher risk for HCM than women ($p = 0.04$). There was no significant difference in age between the affected men and women; respectively 44 ± 16 (range 25-71) and 42 ± 18 (range 22-72) years.

MYBPC3 mutations were involved in 23 (74%) of the relatives with HCM: c.2373dupG (8 subjects), 2864delCT (6 subjects), p.Arg943X (7 subjects), IVS22-2delA (1 subject) and IVS5+1G>A (1 subject). Indicating that 35% of carriers of a *MYBPC3* gene defect had HCM. These patients had a mean age of 48 ± 16 years; their age did not differ significantly compared to *MYBPC3* carriers without HCM (41 ± 29 years).

Mutations in *MYH7* were present in 6 (19%) relatives with HCM: A326P (2 subjects), H576R (1 subjects) and D928V (3 subjects). The mean age of the affected relatives with a *MYH7* mutation was 30 ± 10 years (range 24-51), their age did not differ significantly compared to *MYH7* carriers without HCM (43 ± 17 years). Mutations in *MYH7* were associated with an earlier age at onset of HCM than mutations in *MYBPC3* in this study ($p = 0.01$). Indicating that 60% of carriers of a *MYH7* gene defect had HCM, which was 1.7 fold higher as for carriers of a *MYBPC3* mutation. Both relatives carrying a mutation in the *TNNT2* and the *TPM1* gene were affected (Table 2).

Table 2. Cardiac evaluation of HCM mutation carriers.

	HCM	No HCM	P-value
Total population (n=76)	31 (41%)	45 (59 %)	
Men (n=33)	18 (55%)	15 (45%)	
Women (n=43)	13 (30%)	30 (70%)	
Age	44 ± 14	41 ± 28	ns
<i>MYBPC3</i> (n=64)	23 (36%)	41 (64%)	
Age	48 ± 16	41 ± 29	ns
<i>MYH7</i> (n=10)	6 (60%)	4 (40%)	
Age	30 ± 10	43 ± 17	ns
<i>TNNT2</i> (n=1)	1	0	
<i>TPM1</i> (n=1)	1	0	

HCM = hypertrophic cardiomyopathy; *MYBPC3* = Myosin Binding Protein C; *MYH7* = cardiac β -Myosin Heavy Chain; *TNNT2* = cardiac Troponin T gene and *TPM1* = α -Tropomyosin gene.

The HCM diagnosis was based on both echocardiographic and electrocardiographic criteria in 16 (52%) carriers, 12 (39%) carriers had only ECG criteria and 3 (9%) carriers only echocardiographic criteria. Two carriers (3%) had a significant obstruction in the left ventricular outflow tract (> 50 mmHg at rest).

In 12 (16%) carriers only minor criteria were present not fulfilling the diagnostic criteria for HCM. Their mean age was 43 ± 14 year (range 23-64) and 7 (58%) were male. Eleven (92%) had a mutation in the *MYBPC3* gene: 2864delCT (4 subjects), 2373insG (2

1. subjects), R943X (1 subject), 3776delA (1 subject) and IVS5+1G>A (3 subjects). A *MYH7*
 2. mutation (H576R) was present in 1 subject (8%).

3. In 33 (43%) mutation carriers ECG and echocardiography showed no minor or major
 4. diagnostic criteria. They had a mean age of 35 ± 11 years (range 16-57), which was signifi-
 5. cantly lower than the age of the mutation carriers with HCM ($p = 0.01$). Of these subjects,
 6. 11 (29%) were men. The majority, 29 (88%) carried a mutation in *MYBPC3*: 2864delCT
 7. (7 subjects), 2373insG (7 subjects), IVS22-2 del A (2 subjects), R943X (11 subjects),
 8. IVS5+1G>A (1 subject) and Q1061X (1 subject). *MYH7* mutations were present in 4
 9. (12%): T1929M (3 subjects) and 3776delA (1 subject).

10.

11. Risk stratification for SCD

12. None of the carriers experienced syncope. None of the carriers had ≥ 2 first-degree rela-
 13. tives who experienced SCD < 40 years. Four (5%) carriers had one first-degree relative
 14. that experienced SCD < 40 years, two with and two without HCM. The majority of car-
 15. riers, 56 (74%) had a relative who died suddenly regardless the degree of relationship
 16. or the age of the relative. Nonsustained ventricular tachycardia was present in 3 (4%)
 17. carriers during 24 hour Holter monitoring, two with and one without HCM. During
 18. upright exercise test an abnormal blood pressure response was present in 4 (5%) carriers,
 19. three with and one without HCM. None of the relatives had a maximal wall thickness \geq
 20. 30 mm (Table 3).

21.

Table 3. Risk factors for sudden cardiac death in HCM mutation carriers.

	HCM	No HCM
23. NSVT	2	1
24. Abnormal blood pressure response	3	1
25. Syncope	0	0
26. MWT ≥ 30 mm	0	0
26. Positive family history		
27. ≥ 2 First-degree SCD < 40 years	0	0
28. 1 First-degrees SCD < 40 years	2	2
28. Any SCD	27	25

29.

HCM = hypertrophic cardiomyopathy; NSVT = non-sustained ventricular tachycardia;

30.

MWT = maximal wall thickness; SCD = sudden cardiac death.

31.

32.

33. DISCUSSION

34.

35. In our study population HCM was diagnosed in 41% of asymptomatic carriers of a
 36. pathogenic mutation in a sarcomere gene. In accordance with previous studies HCM
 37. was more frequent in men than women and was related to the genetic defect and age;
 38. *MYH7* gene mutation carriers were affected at a younger age than carriers of a muta-
 39. tion in the *MYBPC3* gene^{12,13}. There was no difference in age between the asymptomatic

carriers with HCM and those without HCM. However, the relatives without any ECG or echocardiographic criteria were significantly younger than the carriers diagnosed with HCM, suggesting an age dependent disease penetrance, which warrants repeated cardiac evaluation up to advanced age.

In half of the affected HCM mutation carriers both ECG and echocardiographic criteria were present to support the diagnosis of HCM. The ECG alone lead to the diagnosis in 39% of the affected HCM mutation carriers. This is in accordance with earlier reports describing the high sensitivity of the ECG in family studies¹⁴.

Genotype-phenotype relations

The majority of subjects in our study population had a mutation in *MYBPC3*; as the result of the three highly prevalent *MYBPC3* founder mutations in the Dutch population¹⁵. These truncating mutations are thought to lead to a reduction in *MYBPC3* protein because of a lack of expression from the mutated allele by the cellular surveillance mechanism of nonsense mediated decay¹⁶. Only mutations without a high mortality rate before reproductive age can be transmitted and become founder mutations. This suggests that these mutations have mild effects in the first three decades of life. However when the disease develops, the phenotype can be severe. This is illustrated by the high percentage of families with SCD and with septal reduction therapy in our study population.

Mutations in *MYH7* are generally associated with a younger age of onset, moderate to severe hypertrophy, high penetrance and variable prognosis¹⁷. In our study population carriers of a *MYH7* gene mutation were affected at a younger age than carriers of a *MYBPC3* gene mutation. However, also families with mild hypertrophy, low penetrance and good prognosis have been described¹⁸. In our study population, the oldest (64 years) carrier without any abnormality on ECG or echocardiography had a mutation in *MYH7*.

HCM caused by *tropoin T* mutations is generally characterized by less hypertrophy and a higher incidence of SCD¹⁹. In contrast to other sarcomere genes SCD has been reported in carriers of mutations of the *TNNT2* gene without LVH²⁰.

Mutations in the *TPM1* gene are a rare cause of familial HCM. Some mutations have been associated with a high risk of the development of end-stage disease²¹.

In conclusion genotype-phenotype correlations in HCM associated with mutations in sarcomere genes are confounded by phenotypic heterogeneity, with variable clinical expression in patients carrying the same pathogenic mutation, indicating epigenetic or environmental influences in the development of clinical features.

Risk stratification for SCD

Risk stratification for SCD is important in HCM in order to select patients for prophylactic ICD therapy. The annual rate of SCD secondary to HCM ranges from 0.5 to 1.5% per year for most age groups²². However, the proportion of HCM patients identified during

1. family screening are seldom reported in published survival studies²³. Furthermore, there
2. are currently no data available about the survival rate of unaffected carriers of pathogenic
3. sarcomere mutations or the value of risk stratification for SCD in this specific population.
4. None of the screened carriers were symptomatic, had a history of syncope or had
5. severe hypertrophy (≥ 30 mm). An abnormal blood pressure response during exercise
6. testing was present in 4 (5 %) of the mutation carriers, in 3 HCM was diagnosed. In HCM
7. patients an abnormal blood pressure response during exercise is present in approximately
8. one third and has prognostic significance, especially in patients younger than 45 years
9. old^{24,25}. However, exercise hypotension has also been described in the normal popula-
10. tion^{26,27}. Non-sustained ventricular tachycardia on Holter monitoring was present in
11. 3 (4%) of the mutation carriers, in 2 HCM was diagnosed. In about one fifth of HCM
12. patients non-sustained ventricular tachycardia is present and has prognostic significance,
13. but in apparently healthy individuals there is no prognostic significance^{7,11,27}. The defi-
14. nition of a positive family history for SCD varies throughout literature in terms of the
15. number of SCD, the ages at which SCD occurs and the degree of relationship. Some stud-
16. ies have used strict definitions to define a positive family history, such as premature SCD
17. in ≥ 2 first-degree relatives < 40 years old¹¹. Others have used more inclusive criteria,
18. such as ≥ 1 SCD in the family, regardless of age or degree of relationship^{7,20}. Possibly, the
19. detailed family histories, which are continuously expanded by ongoing family studies, as
20. ascertained at our outpatient cardio-genetic clinic, enhanced the identification of SCD in
21. the majority of the HCM families in this study. Using the more inclusive criteria this will
22. mean that all these screened family members have at least one RF for SCD and that in
23. the presence of HCM one more RF is sufficient to propose an ICD to these individuals²⁸.
24. ICD therapy has been shown to be effective in aborting SCD in high-risk HCM patients,
25. however there are potential adverse effects on morbidity and quality of life, especially in
26. young patients^{29,30}. However, the current algorithm used for risk stratification in HCM
27. has limitations. In the first place is has never been evaluated in asymptomatic mutation
28. carriers before. Secondly, SCD also occurs in the absence of conventional criteria for
29. high-risk status⁷. At last, because of the heterogeneous clinical course of HCM and the
30. relatively low annual event rate long-term follow-up studies requiring many years are
31. necessary to establish the natural history of this specific group. The current study is
32. limited by the absence of follow-up data.

33. Possible additional criteria for the definition of a high-risk status for SCD are the
34. presence of extensive delayed enhancement on contrast-enhanced cardiovascular mag-
35. netic resonance (CMR) imaging, left ventricular outflow tract obstruction, myocardial
36. ischemia, specific mutations (troponin T and I), intense physical exertion and atrial
37. fibrillation²⁸. CMR scarring in HCM correlates with the histological finding of fibrosis
38. and thus represents a likely substrate for ventricular tachyarrhythmias^{31,32}. A recent study
39. in asymptomatic or mildly symptomatic HCM patients showed that those with delayed

enhancement on CMR had a significantly increased frequency of ventricular tachyarhythmias on Holter monitoring compared to patients without delayed enhancement on CMR³³. Before the implementation of CMR in the current risk stratification long-term CMR-based studies in large patient populations are necessary. Because of the risk of SCD during exercise HCM patients are excluded from most competitive sports. According to the Bethesda Conference # 36 unaffected mutations carriers are not precluded from participation in competitive sports. In contrast, the ESC document is more restrictive and excludes these individuals from competitive sports³⁴. Because of the fact that mutation carriers can be unaffected up to advanced age, we currently do not exclude them from competitive sports, but evaluate them on a yearly basis.

Follow-up studies are necessary to evaluate the value of risk stratification for SCD in unaffected or mildly affected carriers of a pathogenic sarcomere mutation and to establish the natural history of asymptomatic carriers.

CONCLUSIONS

In 41% of asymptomatic relatives with a sarcomere mutation, HCM was diagnosed. Disease penetrance in HCM mutation carriers is age dependent, warranting repeated cardiologic evaluation. *MYBPC3* carriers were affected at higher age than *MYH7* mutation carriers. Classical RF for SCD were present in asymptomatic mutation carriers with and without HCM. Follow-up studies are necessary to establish the value of risk stratification for SCD in this specific population.

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Chapter 8

Natural history of three hypertrophic cardiomyopathy founder mutations in Myosin Binding Protein C



Yvonne M. Hoedemaekers, **Michels M**, Wout Deelen, Raoul van der Graaf, Marielle Alders, Danielle F. Majoor-Krakauer, Marcel M.A.M. Mannens, Elena Biagini, Marcel J.M. Kofflard, Dicky J.J. Halley, Folkert J. ten Cate, Dennis Dooijes

Submitted

ABSTRACT

Background

Hypertrophic cardiomyopathy (HCM) is an autosomal dominantly inherited disease, characterised by incomplete penetrance and variable phenotypic expression. Mutations in 24, mostly sarcomeric, genes are known to cause HCM. Earlier studies have associated mutations in the sarcomere Myosin Binding Protein C gene (*MYBPC3*) with late onset benign HCM. Our aim was to analyse the contribution and natural history of *MYBPC3*-HCM in the Dutch HCM population.

Methods and results

We performed molecular analysis of *MYBPC3* in 327 unrelated Dutch HCM index patients. A genetic variant was identified in 45% (148/327) of the patients. Fifty-one different variants, including 35 novel ones, were found. In addition to the c.2373dupG founder mutation, found in 14.4% of the patients, we identified the two new *MYBPC3* founder mutations p.Arg943X in 6.7% and c.2864_2865delCT in 4.6% of the HCM patients. Family studies show a malignant prognosis in 33%, 38% and 56% of the c.2373dupG, p.Arg943X and c.2864_2865delCT families respectively. Identical survival estimates were computed for all three truncating c.2373dupG, p.Arg943X and c.2864_2865delCT founder mutations.

Conclusion

Truncating *MYBPC3* founder mutations show a comparable natural history likely due to haploinsufficiency.

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1. INTRODUCTION

2.

3. Hypertrophic cardiomyopathy (HCM) is characterised by unexplained left ventricular
 4. hypertrophy (LVH), myocardial fibrillar disarray and interstitial fibrosis. HCM has an
 5. estimated prevalence of 1:500 in the population. It is the most common cause of sud-
 6. den cardiac death (SCD) in the young and a major cause of morbidity and mortality
 7. in the elderly.¹ Approximately 65% of HCM is hereditary, transmitted as an autosomal
 8. dominant trait with incomplete penetrance and high inter- and intra-familial variability.²

9. Thus far 24 different genes have been associated with HCM. Over 400 different sarco-
 10. meric mutations have been reported³, many occurring in single families; founder effects
 11. seem to be exceptional.⁴⁻⁷ In familial HCM, mutations are most frequently observed
 12. in the β -myosin heavy chain gene (*MYH7*, 30-40%) and the cardiac troponin-T gene
 13. (*TNNT2*, 10-20%). The reported prevalence of mutations in the Myosin Binding Protein
 14. C gene (*MYBPC3*) varies between 15-20%^{2, 8} to 38-42%^{5, 9} from more recent surveys in
 15. Finland and France. Genetic defects, with a prevalence of less than 5% include mutations
 16. in the *ACTC1*, *CSRP3*, *MYH6*, *MYL2*, *MYL3*, *TCAP*, *TNNC1*, *TNNI3*, *TPM1*, *TTN*, *PLN*,
 17. *CASQ2*, *MYOZ2* and *CALR3* genes.^{2, 8, 10, 11} Mutations in the *MYH7* and *TNNT2* genes
 18. are generally missense mutations and appear to be almost completely penetrant by the
 19. second or third decade of life. *TNNT2* mutations are associated with a malignant form
 20. of HCM with high risk of cardiac failure and sudden death at a young age.¹² In contrast,
 21. *MYBPC3* mutations are almost invariably truncating mutations, reported to be associ-
 22. ated with slowly progressive hypertrophy, late onset of clinical features and a benign or
 23. intermediate prognosis in 90% of the patients.^{4, 9, 13, 14}

24. Previously, the c.2373dupG mutation in the *MYBPC3* gene, demonstrated to create a
 25. novel splice donor site within exon 24 of the *MYBPC3* coding sequence,¹⁵ was identified
 26. as an ancient *MYBPC3* founder mutation present in a large proportion of HCM patients
 27. in the Netherlands.^{15, 16}

28. To establish the contribution of *MYBPC3* mutations in the Dutch HCM population,
 29. we performed *MYBPC3* mutation analysis in 327 Dutch unrelated HCM probands.
 30. Two additional founder mutations in exon 27 of the *MYBPC3* gene were identified: the
 31. p.Arg943X and c.2864_2865delCT mutations, present in 6.7% and 4.6% of the analysed
 32. HCM patients respectively.

33. Although the majority of genetic causes of HCM have been identified, the molecular
 34. mediators determining the high inter- and intra-familial variability in clinical expres-
 35. sion and cardiac phenotypes remain elusive.¹⁷ Genotype-phenotype studies have been
 36. hampered by extensive allelic heterogeneity and the individual nature of the causative
 37. sarcomere gene mutations, precluding accurate risk stratification and tailored cardiologic
 38. monitoring and management in HCM families. The occurrence of founder mutations
 39. in the Dutch HCM population provides the unique opportunity to investigate the

genotype-phenotype association in groups of HCM patients sharing the identical molecular sarcomere defect. Clinical signs and symptoms were studied in families with the p.Arg943X and c.2864_2865delCT founder mutations. Prognosis and survival estimates were computed for all three currently known truncating founder mutations in the *MYBPC3* gene (c.2373dupG, p.Arg943X and c.2864_2865delCT). These results provide the first robust genotype-phenotype relations for individual *MYBPC3* mutations.

METHODS

Patients

The study population comprised 327 HCM index patients from the Rotterdam area in the Netherlands. Patients were referred to the Department of Clinical Genetics of the Erasmus MC in Rotterdam, the Netherlands, for genetic counselling and molecular diagnosis of HCM. Family studies were initiated for all *MYBPC3* mutation patients and included genetic counselling, cardiologic evaluation and (presymptomatic) molecular testing of first and second-degree relatives. Following the identification of the p.Arg943X and c.2864_2865delCT founder mutations, evaluation of phenotypic severity in mutation carriers was performed using history of symptoms (fatigue, palpitations, dyspnoea, chest pain), ECG, exercise ECG, 24-hour ambulatory ECG monitoring, two-dimensional and Doppler echocardiography.

We classified prognosis in families based on family history at the time of diagnosis as described previously.⁹ Prognosis was considered malignant when two or more major cardiac events (MCE; i.e. sudden cardiac death (SCD), cardiac-related stroke, resuscitation, appropriate implantable cardioverter defibrillator (ICD) discharge) were documented in a patient's family before the age of 60 years (proband was excluded from the analysis). One reported MCE in the family led to an intermediate prognosis classification and when no MCE had taken place, prognosis was considered benign. In sporadic HCM patients, absence of family history prevented prognostic classification.

Genetic testing

Genomic DNA from 327 HCM index patients was isolated from peripheral blood samples using standard procedures. All coding regions and intron-exon boundaries of the *MYBPC3* gene were analysed using direct sequence analysis (reference sequence NM_000256.3). Analysis PCR products was carried out on an ABI3730xl capillary sequencer using Big-Dye Terminator v 3.1 chemistry (Applied Biosystems). (Details of methods and primer sequences are available upon request.) Analysis of sequence data was performed using SeqScape analysis software (v2.5, Applied Biosystems). To detect possible genomic rearrangements in *MYBPC3*, MLPA analysis was performed (MRC

1. Holland kit P100). Exons of *MYBPC3* were numbered 1-34 according to international
2. standards with the Adenine of the translation initiation start site (ATG) numbered 1
3. and the ATG in exon 1.¹⁸ In case of novel DNA variants, a panel of 384 Dutch control
4. chromosomes was screened to identify common polymorphisms. Unknown missense
5. variants were considered a mutation when they segregated with disease in a family *and*
6. were not present on control chromosomes *and* were pathogenic according to prediction
7. software.^{19, 20} Although this does not formally prove pathogenicity, these three criteria
8. combined were thought to provide the best evidence for pathogenicity. In case of isolated
9. mutations, segregation with disease could not be used as a criterion. DNA variants not
10. fulfilling these criteria were labelled unclassified variants (UV).

11.

12. **Haplotype analysis**

13. Extended haplotype analysis, using five intragenic SNPs and four extragenic polymorphic
14. markers flanking *MYBPC3* (D11S1344, D11S4137, D11S986 and D11S4109), was per-
15. formed to construct haplotypes segregating with the p.Arg943X and c.2864_2865delCT
16. mutations as described previously.¹⁶ Validity of the constructed haplotypes was checked
17. by segregation analysis in families of patients whose family members were available for
18. testing.

19.

20. **Statistics**

21. Data on survival of 117 mutation carriers from 26 c.2373dupG mutation families,
22. 50 mutation carriers from 16 p.Arg943X families and 62 mutation carriers from 12
23. c.2864_2865delCT mutation families were used to compute Kaplan–Meier survival
24. curves for each founder mutation separately.

25.

26.

27. **RESULTS**

28.

29. **Mutation analysis**

30. Diagnostic *MYBPC3* screening in 327 independent HCM probands identified 51 differ-
31. ent genetic variants, including 35 novel ones, in 148 (45%) patients (Table 1), making this
32. the highest percentage of *MYBPC3*-HCM reported thus far. The majority of pathogenic
33. *MYBPC3* mutations were truncating mutations (i.e. nonsense, frame shift or splice site
34. mutations), predicted to lead to shortened or absent protein due to nonsense-mediated
35. decay (NMD).²¹ Only three different pathogenic missense mutations were identified in
36. six patients. Twenty-one different unclassified variants (UVs) were identified, mostly
37. missense variants predicted by *in silico* analyses to affect protein function.^{19, 21} Several
38. rare and common sequence polymorphisms, both novel and previously published ones,
39. were observed during this study (Table 2).

Table 1. MYBPC3 pathogenic mutations and unclassified variants in 327 Dutch HCM patients

Intron	Nucleotide change	Protein	No. index patients	Status	Consequence	Ref.
Exon						
3	c.312delG*	p.Ala105fs	1	MUT	Frameshift in exon 3	
4	c.442G>A	p.Gly148Arg	2	UV	Missense	
4	c.478C>G	p.Arg160Gly	1	UV	Missense	
4	c.481C>T	p.Pro161Ser	4	MUT	Missense	16
5	c.530G>A	p.Arg177His	1	UV	Missense	
5	c.646G>A	p.Ala216Thr	1	UV	Missense	
5	c.655+1G>A		2	MUT	Affects splice donor site	
6	c.676_701dup26	p. Gly235fs	1	MUT	Frameshift in exon 6	
6	c.772G>A		1	MUT	Affects splice donor site	32
7	c.821+1G>A		1	MUT	Affects splice donor site	4
9	c.897delG	p.Lys301fs	3	MUT	Frameshift in exon 9	
11	c.913_914delTT	p.Phe305fs	1	MUT	Frameshift in exon 11	
11	c.927-2A>G		4	MUT	Affects splice acceptor site	
12	c.932C>A	p.Ser311X	2	MUT	Nonsense	
12	c.961G>A	p.Val321Met	1	UV	Missense	
12	c.977G>A	p.Arg326Gln	2	UV	Missense	5, 14
12	c.989delC	p.Pro330fs	1	MUT	Frameshift in exon 12	
12	c.1008C>T†	p.=	1	UV	Silent	
16	c.1458-1G>C		1	MUT	Affects splice acceptor site	
17	c.1468G>A	p.Gly490Arg	1	UV	Missense	31
17	c.1484G>A	p.Arg495Gln	1	MUT	Missense	14, 32
17	c.1501T>C	p.Tyr501His	1	UV	Missense	
17	c.1591G>A	p.Gly531Arg	1	UV	Missense	
17	c.1624+1G>A		1	MUT	Affects splice donor site	
18	c.1765C>G	p.Arg589Gly	1	UV	Missense	
18	c.1790G>A		1	MUT	Affects splice donor site	
18	c.1791-1G>A		1	MUT	Affects splice acceptor site	
19	c.1800delA	p.Lys600fs	1	MUT	Frameshift in exon 19	9
19	c.1827C>G†	p.=	1	UV	Silent	
19	c.1831G>A	p.Glu611Lys	2	UV	Missense	
22	c.2149-9C>A		1	UV	Affects splice acceptor site	
22	c.2149-2delA		2	MUT	Affects splice acceptor site	15
23	c.2164G>A	p.Glu722Lys	1	UV	Missense	
23	c.2308G>A		1	MUT	Affects splice donor site	
23	c.2149-?_2737+?		2	MUT	Deletion exons 23-26	
24	c.2346C>T†	p.=	1	UV	Silent	
24	c.2373dupG		47	MUT	Novel splice donor in ex.24	15

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Intron	Nucleotide change	Protein	No. index patients	Status	Consequence	Ref.
Exon						
25	c.2432A>G	p.Lys811Arg	1	MUT	Missense	9
25	c.2497G>A	p.Ala833Thr	5	UV	Missense	15, 31
25	c.2542G>A	p.Ala848Thr	1	UV	Missense	
25	c.2574C>A	p.Ser858Arg	1	UV	Missense	
27	c.2827C>T	p.Arg943X	22	MUT	Nonsense	32
27	c.2864_2865delCT	p.Pro955fs	15	MUT	Frameshift in exon 27	9, 32
27	c.2869A>T	p.Thr957Ser	1	UV	Missense	
29	c.3181C>T	p.Gln1061X	1	MUT	Nonsense	5
31	c.3332_3335dup	p.Trp1112X	1	MUT	Nonsense	
31	c.3392T>C	p.Ile1131Thr	3	UV	Missense	15, 31
31	c.3407_3409delACT	p.Tyr1136del	1	UV	Single amino acid deletion	
31	c.3490+1G>T		1	MUT	Affects splice donor site	
32	c.3628-41_3628-17del25		2	MUT	Affects splice acceptor site	33
33	c.3776delA	p.Gln1259fs	5	MUT	Frameshift in exon 33	

* Novel mutations, identified during this study, are in bold.

† The silent UVs c.1008C>T, c.1827C>G and c.2346C>T likely represent rare polymorphisms, although pathogenic character cannot formally be excluded.

Some *MYBPC3* mutations were observed frequently. In 47/327 patients (14.4%) the c.2373dupG founder mutation was present.¹⁶ In 22 (6.7%) and 15 (4.6%) of the patients respectively we detected the truncating p.Arg943X (c.2827C>T) and c.2864_2865delCT mutations in exon 27 of *MYBPC3*.

Haplotype analysis

Using extended haplotype analysis, we demonstrated a founder effect for the p.Arg943X and c.2864_2865delCT mutations in the Dutch population; a shared haplotype was identified for the p.Arg943X mutation carriers analysed (Table 3). A different common haplotype segregating with the c.2864_2865delCT mutation was observed (Table 4). Of the 15 p.Arg943X carriers analysed, at least three did not share the common allele for the distal marker D11S4109 and one other patient did not share the common allele for the most proximal marker D11S986. This accounts for at least three p.Arg943X haplotypes in the population for the small 0.9 cM region tested (Table 3). The 11 tested index patients carrying the c.2864_2865delCT mutation showed a similar pattern. At least three haplotypes

exist for the c.2864_2865delCT mutation for the same region indicating a similar age as the p.Arg943X mutation in the population. The high frequencies of both mutations and the observed recombination frequency in the 0.9 cM region between polymorphic markers D11S986 and D11S4109 suggest that both founder mutations p.Arg943X and c.2864_2865delCT arose about 15-20 generations ago in the Dutch population (calculated according to Bergman et al).²²

Table 2. MYBPC3 Polymorphisms in the Dutch population.

	Intron	U91629	Nucleotide change	Amino acid	RefSNP ID (dbSNP129)	Allele freq. (total = 136)
Exon						
	2	g.2484G>C	c.292+41G>C		rs3729985	<0.01
	4	g.3634G>A	c.472G>A	p.Val158Met	rs3729986	0.05
	4	g.3654C>T	c.492C>T		rs3218719	0.15
	4	g.3712insG	c.506-46insG			<0.01
	4	g.3746insC	c.506-12dupC		rs11570050	0.20
	5	g.3789C>T	c.537C>T		rs11570051	0.04
	6	g.5190A>G	c.706A>G	p.Ser236Gly*	rs3729989	0.07
	7	g.5788C>T	c.786C>T		rs11570058	0.07
	11	g.7078C>A	c.926+25C>A		rs3729991	0.02
	12	g.9994G>A	c.1091-31G>A		rs7940442	<0.01
	12	g.10001T>C	c.1091-24T>C		rs2856650	0.71
	13	g.10186G>A	c.1223+29G>A		rs11570078	0.07
	15	g.10693A>C	c.1252-21A>C			<0.01
	19	g.12559G>A	c.1897+47G>A		rs11570086	0.03
	23	g.15148C>T	c.2308+18C>G		rs3729948	0.03
	25	g.16203C>T	c.2547C>T		rs3729953	0.03
	25	g.16288C>T	c.2602+30C>T			<0.01
	26	g.17721G>A	c.2686G>A	p.Val896Met	rs35078470	0.02
	26	g.17784C>T	c.2737+12C>T		rs3729936	0.02
	26	g.17788C>G	c.2737+16C>G			<0.01
	29	g.20294A>G	c.3191-21A>G		rs11570115	0.09
	30	g.20412G>A	c.3288G>A		rs1052373	0.38
	31	g.20757G>A	c.3413G>A	p.Arg1138His		<0.01
	31		c.3491-29dupC			†
	33	g.21700C>T	c.3815-66C>T		rs2290146	0.28

* The p.Ser236Gly polymorphism was found in coupling with the c.786C>T and c.1223+29G>A polymorphisms.

† We found every sample tested to be homozygous for c.3491-29dupC when compared to U91629, probably indicating a mistake in the reference sequence rather than a true polymorphism.

Table 3. Shared haplotypes of 15 carriers of the p.Arg943X mutation in *MyBPC3*

	1		2		3		4		5		6	
D11S986	157	153	157	153	157	153	157	157	157	157	157	153
D11S4137	268	276	268	268	268	268	268	276	268	272	268	268
D11S1344	278	287	278	280	278	286	278	286	278	284	278	284
492C>T	nd	nd	C	C	C	C	C	C	nd	nd	C	C
IVS4-12insC	nd	nd	-	-	-	+	-	+	nd	nd	-	+
IVS12-24T>C	nd	nd	C	C	C	C	C	C	nd	nd	C	C
R943X	nd	nd	T	C	T	C	T	C	nd	nd	T	C
3288G>A	nd	nd	G	G	G	A	G	A	nd	nd	G	A
IVS33-66C>T	nd	nd	C	C	C	C	C	T	nd	nd	C	C
D11S4109	174	166	174	174	174	170	174	153	174	172	174	166

	7		8		9		10		11		12	
D11S986	157	157	157	157	157	159	157	134	157	135	155	155
D11S4137	268	268	268	268	268	268	268	268	268	268	268	268
D11S1344	278	276	278	280	278	284	278	274	278	274	278	286
492C>T	C	C	C	C	C	C	C	C	C	C	nd	nd
IVS4-12insC	-	-	-	-	+	+	-	+	-	+	nd	nd
IVS12-24T>C	C	T	C	C	C	C	C	C	C	C	nd	nd
R943X	T	C	T	C	T	C	T	C	T	C	nd	nd
3288G>A	G	G	G	G	G	A	G	A	G	A	nd	nd
IVS33-66C>T	C	C	C	C	C	C	C	C	C	C	nd	nd
D11S4109	174	172	174	168	174	172	174	176	174	176	174	166

	13			14			15		
D11S986	157	145	157	135	157	159	157	159	
D11S4137	268	276	268	268	268	268	268	272	
D11S1344	278	286	278	274	278	278	274	274	
492C>T	C	C	C	C	nd	nd	nd	nd	
IVS4-12insC	-	-	-	-	nd	nd	nd	nd	
IVS12-24T>C	C	C	C	T	nd	nd	nd	nd	
R943X	T	C	T	C	nd	nd	nd	nd	
3288G>A	G	G	G	G	nd	nd	nd	nd	
IVS33-66C>T	C	C	C	C	nd	nd	nd	nd	
D11S4109	178	178	178	164	178	170	170	170	

Extended haplotype analysis using 5 intragenic SNPs and 4 extragenic polymorphic markers flanking the *MYBPC3* gene was used to construct haplotypes segregating with the p.Arg943X. Validity of the constructed haplotypes was checked by segregation analysis in the affected families of patients whose family members were available for analysis (not shown). nd=not determined

Clinical features of the two new MYBPC3 founder mutations

Cardiologic evaluation of 23 mutation carriers from 16 p.Arg943X families and 20 mutation carriers from 12 c.2864_2865delCT families showed patients who developed atrial fibrillation (AF): 22% of the p.Arg943X and 30% of the c.2864_2865delCT carriers. Most of these patients underwent several electrical cardioversions. These numbers

are comparable with previous reports demonstrating that AF occurs in 25% of HCM patients. A pedigree of a c.2864_2865delCT mutation-family is shown in **Figure 1**, demonstrating intrafamilial variability of disease. The results of the clinical evaluation of all mutation carriers are summarised in **Table 5**. Post mortem molecular analysis identified the p.Arg943X mutation in two patients, who suffered a sudden cardiac death at age 11 years and 31 years respectively and were diagnosed at autopsy with severe hypertrophic cardiomyopathy. They had not been diagnosed with HCM during their lives.

Notably, there was a significant increase in echocardiographic measurements of the left atrium (LA) at follow-up in the p.Arg943X carriers ($p=0.0001$) and the c.2864_2865delCT carriers ($p=0.004$) (**Table 6**). This may be a reflection of increased left ventricular (LV) filling pressure because of LVH or decreased LV compliance.

Table 4. Shared haplotypes of 11 carriers of the 2864_2865delCT mutation in *MYBPC3*.

	1		2		3		4		5		6	
D11S986	159	161	159	135	159	153	159	157	159	157	159	135
D11S4137	268	268	268	276	268	268	268	279	268	268	268	268
D11S1344	270	284	270	287	270	284	270	284	270	274	270	278
492C>T	nd	nd	C	T	nd	nd	C	C	nd	nd	C	C
IVS4-12insC	nd	nd	-	-	nd	nd	-	-	nd	nd	-	+
IVS12-24T>C	nd	nd	T	T	nd	nd	T	T	nd	nd	T	C
2864delCT	nd	nd	+	-	nd	nd	+	-	nd	nd	+	-
3288G>A	nd	nd	G	G	nd	nd	G	G	nd	nd	G	G
IVS33-66C>T	nd	nd	C	C	nd	nd	C	C	nd	nd	C	C
D11S4109	166	162	166	162	166	170	166	166	166	176	166	166

	7		8		9		10		11	
D11S986	159	157	159	153	155	157	157	155	159	147
D11S4137	268	283	268	285	268	285	272	276	268	268
D11S1344	270	284	270	274	270	286	274	276	282	289
492C>T	C	C	C	T	C	C	nd	nd	C	C
IVS4-12insC	-	-	-	-	-	-	nd	nd	-	-
IVS12-24T>C	T	T	T	C	T	C	nd	nd	C	C
2864delCT	+	-	+	-	+	-	nd	nd	+	-
3288G>A	G	G	G	A	G	G	nd	nd	G	A
IVS33-66C>T	C	C	C	T	C	C	nd	nd	C	T
D11S4109	166	163	166	160	166	172	166	166	172	172

Extended haplotype analysis using 5 intragenic SNPs and 4 extragenic polymorphic markers flanking the *MYBPC3* gene was used to construct haplotypes segregating with the c.2864_2865delCT. Validity of the constructed haplotypes was checked by segregation analysis in the affected families of patients whose family members were available for analysis (not shown). nd=not determined

Table 5. Clinical features in p.Arg943X and c.2864_2865delCT mutation carriers

	p.Arg943X	c.2864_2865delCT
1.		
2.	Number of patients (index patients)	23 (16)
3.	Male / female	16 / 7
4.	Family history	
5.	Positive for HCM	10 (63%)
6.	Positive for SCD	9 (56%)
7.	Ascertainment of patients	
8.	Cardiac symptoms	16 (70%)
9.	Family screening	5 (22%)
10.	Asymptomatic carriers	7 (30%)
11.	Age at diagnosis	
12.	Mean \pm SD (yrs)	35 \pm 16
13.	< 18 yrs, n	3 (13%)
14.	Follow-up duration	
15.	Mean \pm SD (yrs)	8 \pm 10
16.	Range (yrs)	0 - 28
17.	Treatment	
18.	No medical treatment	9 (39%)
19.	B-blocker	4 (17%)
20.	Other	10 (44%)
21.	Intervention	
22.	Myectomy	3 (13%)
23.	PTSMA*	0 (0%)
24.	ICD	1 (4%)
25.	NYHA class at first evaluation	
26.	I	14 (61%)
27.	II	5 (22%)
28.	III	4 (17%)
29.	IV	0 (0%)
30.	NYHA class at follow-up	
31.	I	10 (53%)
32.	II	7 (37%)
33.	III	2 (10%)
34.	IV	0 (0%)
35.	Complications	
36.	Atrial fibrillation (AF)	5 (22%)
37.	Mean age AF (+/- SD)	57 (+/- 6.4)
38.	Range age AF	50-64
39.	Cardiac arrest survival	1 (4%)
40.	Follow-up	
41.	Unknown	2 (9%)
42.	Deceased	6 (26%)
43.	Periodic cardiologic examination	15 (65%)

Legend. * PTSMA = Percutaneous Transluminal Septal Myocardial Ablation

† NYHA = New York Heart Association classification

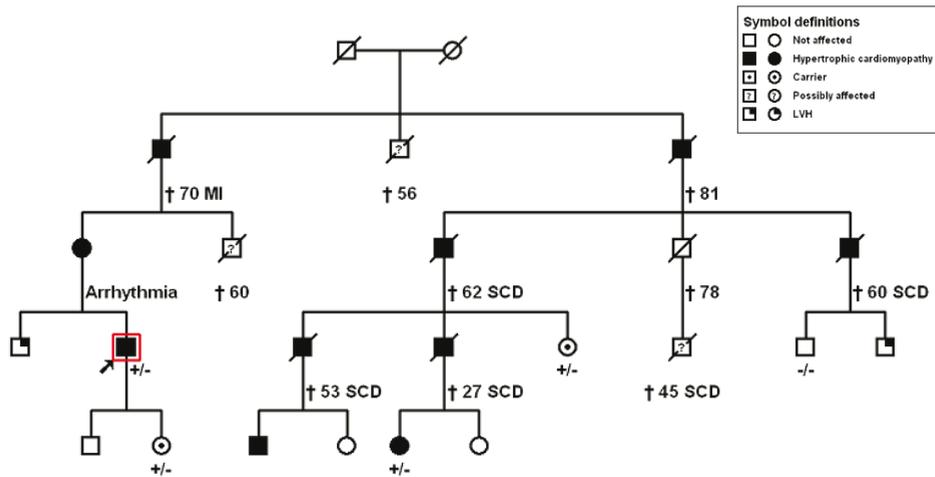


Figure 1:
Family with *MYBPC3* mutation c.2864_2865delCT

Table 6. Echocardiographic findings in p.Arg943X and c.2864_2865delCT mutation carriers

	LVWT (mm)	IVS (mm)	PW (mm)	LVOTG (mmHg)	SAM (n, %)	MR	LVED (mm)	LA (mm)
p.Arg943X								
First visit	21 ± 7	20 ± 7	11 ± 3	20 ± 12	10 (43%)	9 (39%)	45 ± 6	41 ± 7
Follow-up	20 ± 5	19 ± 5	12 ± 2	18 ± 14	6 (26%)	13 (57%)	48 ± 7	49 ± 11
p-value	NS	NS	NS	NS			NS	0.001
c.2864_2865delCT								
First visit	20 ± 4	19 ± 4	11 ± 2	44 ± 34	9 (45%)	8 (40%)	43 ± 7	40 ± 6
Follow-up	19 ± 4	19 ± 4	12 ± 2	32 ± 31	4 (20%)	13 (65%)	46 ± 9	47 ± 6
p-value	NS	NS	NS	NS			0.05	0.004

Prognosis and Survival in families with founder mutations

Evaluation of available family histories allowed assessment of the natural history, including prognosis and survival, of the three c.2373dupG, p.Arg943X and c.2864_2865delCT *MYBPC3* founder mutations (Table 7). Nine out of 27 (33%) analysed c.2373dupG mutation families had a malignant prognosis and 12 (44%) had an intermediate prognosis. Five of 13 (38%) p.Arg943X families had a malignant prognosis, seven (54%) were intermediate and one was benign (8%). Of nine c.2864_2865delCT families five (56%) families were malignant and four (44%) were intermediate. A remarkable proportion of patients was unaware of the familial nature of the disease at diagnosis, although SCD had sometimes occurred in one of their (close) relatives.

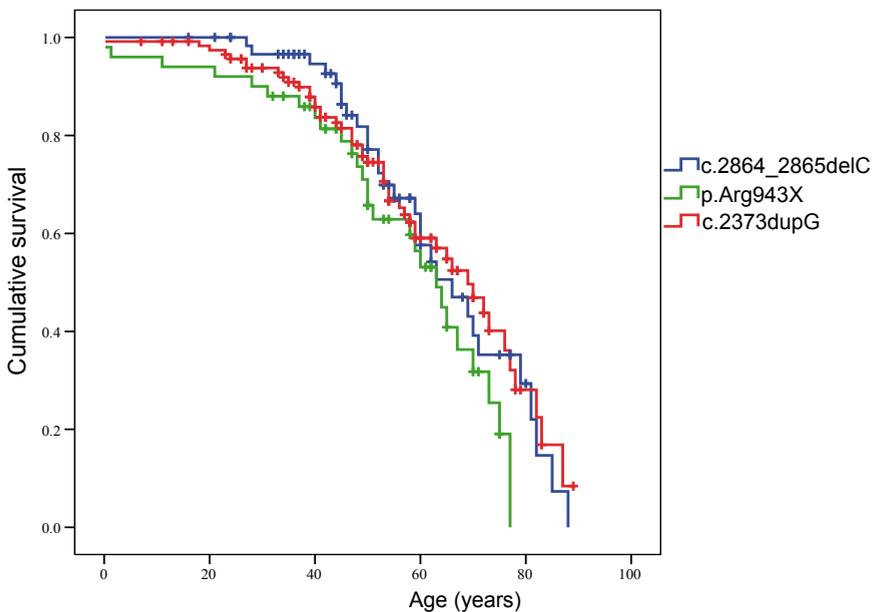
Kaplan-Meier survival curves were computed for all three *MYBPC3* founder mutations (Figure 2). The survival curves for all three mutations were not statistically different (p=0.119 for p.Arg943X and c.2373dupG; p=0.134 for c.2864_2865delCT and p.Arg943X

1. and $p=0.952$ for c.2864_2865delCT and c.2373dupG). Remarkably, the p.Arg943X
 2. founder mutation survival curve showed three deaths before the age of 20 years.

3.
 4. **Table 7.** Prognosis in families with Dutch founder mutations

	Benign n (%)	Intermediate* n (%)	Malignantn (%)	Total families n
c.2373dupG mutation	6 (22)	12 (44)	9 (33)	27
p.Arg943X mutation	1 (8)	7 (54)	5 (38)	13
c.2864_2865delCT mutation	0	4 (44)	5 (56)	9

8. Legend. * Assessment of prognosis was made based on the occurrence of MCE in available pedigrees



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 27. **Figure 2:**
 28. Survival associated with truncating *MYBPC3* mutations

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 31. **DISCUSSION**

32.
 33. This study describes the molecular analysis of the *MYBPC3* gene in a large cohort of 327
 34. probands with familial or sporadic HCM followed at the adult cardiogenetic outpatient
 35. clinic of the Erasmus MC Rotterdam. HCM is a genetically heterogeneous autosomal
 36. dominant disease and familial disease is caused by a single pathogenic mutation in one of
 37. the 24 currently identified HCM genes. Our approach to analyse a single HCM gene in a
 38. large HCM population does not take into account the possibility of multiple pathogenic
 39. mutations in additional HCM genes. Double mutations have been reported in literature

in 3 to 5 % of genotype-positive patients.^{5,9} However, these percentages are likely to be an over-estimation since in reported HCM patients with double mutations it is often uncertain whether the second mutation is truly pathogenic. In addition, real double-mutation patients appear to have a different disease expression than is seen in classical HCM including very early onset and severe clinical presentation, making it less likely that an important proportion of our 'adult cohort' would constitute double mutation patients.

We report the highest percentage of *MYBPC3*-HCM thus far, emphasising the importance of *MYBPC3* in the aetiology of HCM.^{5,9} The high percentage of *MYBPC3* mutations in the analysed Dutch population can be explained by the presence of three founder mutations: the c.2373dupG, p.Arg943X and c.2864_2865delCT mutations. These founder mutations together are present in 84/148 (59%) of the *MYBPC3*-HCM patients and are found in 84/327 (26%) of the Dutch HCM patients. Based on a population prevalence of HCM of 0.2% it can be estimated that approximately 8320 HCM patients in the Netherlands carry one of the three *MYBPC3* founder mutations (population 16 million). The discovery of three highly prevalent *MYBPC3*-HCM founder mutations in the Dutch population has important implications for molecular HCM screening in this population. The efficacy of initial *MYBPC3* analysis is high, especially when the three founder mutations are tested first.

Most HCM causing mutations are rare and occur in only one or a few families worldwide and founder effects are exceptional.^{4-7,23} Only mutations that do not impose an adverse effect on reproduction may be transmitted repeatedly and become founder mutations. The discovery of strong founder effects for three *MYBPC3* mutations suggest that *MYBPC3*-HCM does not pose a reproductive burden. In other HCM genes, like beta-myosin heavy chain (*MYH7*) and troponin T (*TNNT2*), strong founder effects are not frequently observed. This difference may be explained by the observation that *MYBPC3* associated HCM has in general a 10-year later age-of-onset than *MYH7*-HCM and *TNNT2*-HCM. *MYH7*-HCM and *TNNT2*-HCM may be expressed during the reproductive period and therefore there is evolutionary selective pressure against mutations in these genes. In general *MYBPC3*-HCM is expressed when the biological reproductive period is already over and therefore *MYBPC3* mutations are not counter-selected and founder mutations in this gene are more easily tolerated.

In most populations HCM is highly heterogeneous. Limited numbers of distinct genotypes and families have placed constraints on genotype-phenotype analyses. In the current study, we used family history to analyse clinical phenotype and prognosis associated with two new founder mutations. In the current study, only 22%, 8% and none of the c.2373dupG, p.Arg943X and c.2864_2865delCT families respectively, were associated with a benign prognosis. Moreover, in 33%, 38% and 56% of the c.2373dupG, p.Arg943X and c.2864_2865delCT families respectively, two or more HCM associated MCE were recorded before the age of 60 years, indicating a malignant course of the disease in these

1. families. However, our results may represent an over-estimation of the malignancy of
2. these mutations since ascertainment bias in patient collection may have played a role
3. because the Erasmus MC is a tertiary referral centre.

4. The three founder mutations show identical Kaplan-Meier survival estimates. This
5. finding is likely explained by the suggestion from several studies that haploinsufficiency
6. is the pathogenic mechanism for HCM caused by truncating *MYBPC3* mutations.²⁴⁻²⁶
7. Therefore, we hypothesise that most – if not all – truncating *MYBPC3* mutations will have
8. identical survival curves and an identical natural history as the c.2373dupG, p.Arg943X
9. and c.2864_2865delCT mutations.

10. As reported previously, general measurements of LV function are not disturbed in
11. HCM patients, whereas during clinical follow-up LV remodelling occurs.²⁷ This is
12. reflected in our study by the LA enlargement during follow-up as a sign of decreased
13. LV compliance and by the high prevalence of AF in this population, reflecting both
14. decrease of LA function and LV remodelling. Also, if detailed LV function measurements
15. such as LV strain are used, it is expected that in mutation carriers a higher prevalence of
16. abnormalities will be identified, compared to when only conventional echocardiography
17. is performed.

18. Variability in clinical features of HCM presentation is high, even within families. Both
19. environmental and genetic modifying factors may contribute to the intrafamilial clinical
20. variability. The assessment of the influence of possible modifying genes on development
21. of heart failure has been pursued in a large number of studies, yielding contradicting
22. results. In particular, the importance of the well known insertion/deletion (I/D) poly-
23. morphism in the angiotensin-converting enzyme (ACE) and several polymorphisms in
24. the Renin-Angiotensin-Aldosterone system (RAAS) have been considered as potential
25. disease modifiers in HCM.²⁸⁻³¹ RAAS plays an important role in normal cardiovascular
26. physiology and disease and the (I/D)-ACE polymorphism has been implicated in 'hyper-
27. tension induced' LVH and HCM. A study in one large family carrying the c.2373dupG
28. mutation reported a correlation between LV mass and number of 'pro-LVH' polymor-
29. phisms present in each mutation carrier.²⁹ However, a recent study failed to confirm
30. such a general relationship in a large population of HCM patients; in contrast to *MYH7*-
31. HCM, a significant pro-LVH effect of the DD-ACE genotype could be demonstrated in
32. *MYBPC3*-HCM only.³⁰ The discovery of three important founder mutations in the Dutch
33. HCM population provides an opportunity to study the role of additional genetic and
34. environmental modifying factors in the clinical presentation of HCM.

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Chapter 9

Renin-angiotensin-aldosterone system polymorphisms do not affect phenotypic expression of hypertrophic cardiomyopathy in a large set of carriers of Dutch functionally-equivalent *MYBPC3* founder mutations



Iris Kolder*, **Michels M***, Imke Christiaan, Folkert J. Ten Cate, Danielle Majoor-Krakauer, Alexander H.J. Danser, Marcel M.A. Mannens, Michael W.T. Tanck, Arthur A.M. Wilde, Connie R. Bezzina, Dennis Dooijes

** These authors contributed equally*

Submitted

ABSTRACT

Background

Hypertrophic cardiomyopathy (HCM) is characterized by profound clinical heterogeneity even among patients carrying the same underlying primary pathogenic mutation. In view of its regulatory role in cardiac function, blood pressure, and electrolyte homeostasis, genetic variation in genes encoding components of the renin-angiotensin-aldosterone system (RAAS) is a plausible candidate for modifying phenotypic expression. However, such studies have so far been hampered by small patient numbers and by genetic heterogeneity with respect to the primary genetic defect. In this study we used a large cohort of subjects carrying 3 founder functionally-equivalent truncating mutations in the *MYBPC3* gene, to investigate whether RAAS polymorphisms modulate phenotypic expression as assessed by echocardiography in *MYBPC3*-associated HCM.

Methods

Family based association analysis was performed to analyze the effects of 5 candidate polymorphisms in genes of the RAAS system (*ACE*, *AGTR1*, *CMA*, *AGT*, *CYP11B2*) in 368 subjects carrying one of 3 functionally-equivalent truncating mutations in the *MYBPC3* gene. Interventricular septum (IVS) thickness and Wigle score were assessed by 2D-echocardiography. SNPs in the RAAS system were analyzed for effects on these phenotypes, both separately as well as in aggregate as a pro-left ventricular hypertrophy (pro-LVH) score.

Results

Analyzing the 5 polymorphisms separately for effects on IVS thickness and Wigle score only uncovered 2 modest associations. Carriers of the CC genotype in the *AGT* gene (homozygous T235 on the protein level) displayed lesser IVS thickness as compared to CT and TT genotype carriers. The direction of this effect differed from previous studies which demonstrated pro-LVH effects and greater serum angiotensin levels for this genotype. In addition, the DD polymorphism in the *ACE* gene was associated with a high Wigle score ($p=0.01$). No association was detected between the pro-LVH score and IVS thickness or Wigle score.

Conclusions

In contrast to previous studies, our study which investigated a large set of HCM patients carrying functionally-equivalent founder mutations in the *MYBPC3* gene, did not uncover marked effects of genetic variation within genes of the RAAS system and expression of HCM.

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1. INTRODUCTION

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3. Hypertrophic cardiomyopathy (HCM) is the most common inheritable cardiac disorder
 4. with a phenotypic prevalence of 1:500.¹ It is defined by the presence of left ventricular
 5. hypertrophy (LVH) in the absence of loading conditions (hypertension, valve disease)
 6. sufficient to cause the observed abnormality.² Hundreds of mutations scattered among at
 7. least 25 putative HCM susceptibility genes encoding various sarcomere, Z-disk, calcium-
 8. handling, and mitochondrial proteins are known to cause HCM and are found in up to
 9. 60% of cases.³ In the Netherlands, approximately one third of all HCM cases are caused
 10. by the truncating c.2373dupG, c.2864_2865delCT and c.2827C>T (p.Arg943X) muta-
 11. tions in the myosin binding protein C gene (*MYBPC3*).⁴⁻⁶ Immunoblotting studies on
 12. myocardial tissue from carriers of the c.2373dupG and c.2864_2865delCT truncating
 13. mutations have demonstrated absence of the truncated MyBPC-3 protein product coupled
 14. to a decreased total (full-length) MyBPC-3 content, strongly suggesting a mechanism
 15. of haploinsufficiency.⁵ These mutations can therefore be considered to be functionally
 16. similar. Although not functionally investigated, the c.2827C>T mutation associated with
 17. a premature stop codon at residue 943, encodes for a similarly C-terminally truncated
 18. protein, and is therefore also expected to lead to haploinsufficiency.

19. Extensive phenotypic heterogeneity exists among HCM mutation carriers and even
 20. individuals with the same underlying genetic substrate express a broad spectrum of clinical
 21. severity.^{7,8} This indicates that the disease course is not solely determined by the nature
 22. of the pathogenic mutation but that environmental factors and/or other genetic factors
 23. (genetic modifiers) must play an important role in determining the ultimate clinical
 24. expression of the disease.

25. Genetic variants in the renin-angiotensin-aldosterone system (RAAS) have long been
 26. considered important candidates for these modifying effects. The RAAS system contrib-
 27. utes to LVH through effects mediated by circulating angiotensin as well as local activation
 28. of RAAS in the myocardium.⁹ Angiotensin (Ang) I, produced from angiotensinogen
 29. (AGT), is converted to Ang II predominantly by angiotensin I-converting enzyme (ACE)
 30. and possibly by chymase (CMA). Ang II binds primarily to the Ang II type 1 receptor
 31. (AGTR1) to promote cell growth and hypertrophy. It is also converted to aldosterone by
 32. aldosterone synthase (CYP11B2) which promotes fluid retention and cardiac fibrosis.¹⁰

33. A number of studies have suggested a role for genetic variation in genes encoding
 34. important components of this pathway (*ACE*, *AGTR1*, *CMA*, *AGT*, *CYP11B2*) in modula-
 35. tion of severity of LVH in HCM patients.^{11,12} Furthermore, a combined pro-LVH profile
 36. of 5 polymorphisms in these genes was proposed to underlie a higher degree of LVH
 37. among 26 *MYBPC3* mutation carriers from a single family and in a set of 228 unrelated
 38. patients that were genotype-negative for myofilament gene mutations.^{12,13}

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Studies addressing effects of genetic modifiers in HCM have however been hampered by small patient numbers and by genetic heterogeneity with respect to the primary genetic defect since HCM mutations are often private and HCM patient sets consequently include patients with different causal mutations. In this study we used a large cohort of subjects carrying 3 functionally-equivalent truncating founder mutations in the *MYBPC3* gene, to investigate whether polymorphisms in RAAS modulate disease severity as assessed by echocardiography in *MYBPC3*-associated HCM.

METHODS

Subjects

In the Netherlands, genetic counseling and genetic testing is offered to all HCM patients visiting cardio-genetics outpatient clinics. Upon the identification of the causal mutation in a proband, genetic testing is extended to relatives whenever possible (cascade screening).¹⁴ For this study 368 subjects, including probands and relatives, carrying any one of 3 truncating founder mutations in the *MYBPC3* gene (c.2373dupG, c.2864_2865delCT, c.2827C>T) were selected from 2 university hospitals in the Netherlands; the Amsterdam Medical Centre in Amsterdam and the Erasmus Medical Centre in Rotterdam. All subjects were normotensive (blood pressure < 140/90 mmHg) and did not take medication known to influence the RAAS. All subjects provided written informed consent. The study complies with the declaration of Helsinki and the local review boards of the respective hospitals approved the study.

Echocardiographic evaluation

Echocardiography was performed in all subjects using commercially available equipment. The acquired data were digitally stored and subsequently analyzed by 2 physicians who were blinded to the clinical and genetic data. Interventricular septum (IVS) thickness was measured in diastole from the parasternal short-axis view at the level of the papillary muscles. For relatives ≥ 16 years a IVS thickness ≥ 13 mm was considered as abnormal.¹⁵ For subjects <16 years IVS thickness was corrected for height and weight and was considered abnormal if the z-score was >2. The extent of hypertrophy was assessed by a semi-quantitative score method developed by Wigle et al. A maximum of 10 points are given: 1 to 4 points for IVS thickness (1 point for IVS thickness between 15-19 mm; 2 points for IVS thickness between 20-24 mm; 3 points for IVS thickness 25-29 mm and 4 points if IVS thickness ≥ 30 mm), 2 points for extension of hypertrophy beyond the level of the papillary muscles (basal two thirds of the IVS), 2 points for extension of hypertrophy to the apex (total IVS involvement), and 2 points for extension of hypertrophy into the lateral wall.¹⁶

1. SNP genotyping

2. The following 5 polymorphisms in the *ACE*, *AGTR1*, *CMA*, *AGT* and *CYP11B2* genes,
 3. encoding major components of the RAAS pathway, were genotyped in all subjects. Spe-
 4. cifically, these polymorphisms included: (1) an insertion/deletion (I/D) polymorphism
 5. in intron 16 of the gene encoding *ACE*; (2) a polymorphic A/C at position 1166 of
 6. *AGTR1* gene; (3) a C>T polymorphism at position -344 of the *CYP11B2*; (4) an A>G
 7. polymorphism at position -1903 of the *CMA* gene; (5) a T>C (M235T) polymorphism in
 8. the *AGT* gene. Patient genomic DNA was extracted from peripheral blood lymphocytes
 9. using standard protocols. Genotyping was carried out as described previously. Pro-LVH
 10. genotypes were defined as described previously, namely as DD-*ACE*, CC-*AGTR1*, AA-
 11. *CMA*, CC-*AGT*, and CC-*CYP11B2*. The pro-LVH score was calculated for each patient
 12. by adding the number of pro-LVH genotypes present.^{12, 13}

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14. Statistical analyses

15. Phenotypic data for probands and relatives were normally distributed and are reported
 16. as mean \pm standard deviation. Pedigree information was available for all related sub-
 17. jects. We assumed that each polymorphism-phenotype relationship followed a recessive
 18. genetic model as previously reported.^{13,14} Effects on IVS thickness and Wigle score were
 19. estimated using a linear mixed model with adjustment for sex, age and proband status,
 20. whereas effects on the dichotomous variables IVS thickness ≥ 13 or ≥ 30 mm were esti-
 21. mated using a logistic regression model with adjustment for sex and age. Next to models
 22. with a single SNP or the pro-LVH score, a model with all five SNPs and their interactions
 23. was also used. To account for the relatedness of study subjects, either the linear mixed
 24. model from the Kinship package or the generalized estimation equations from the gee-
 25. pack package in R were used (R foundation for Statistical Computing, Vienna, Austria).
 26. P-values < 0.05 were considered significant.

27. Based on the present sample size (n=368), our study had 90% power to detect a 0.30
 28. mm difference in IVS thickness between the genotype groups and a correlation coef-
 29. ficient of 0.167 ($\pm 3\%$ explained variance) between IVS thickness and the pro-LVH score
 30. ($\alpha = 0.05$ two-sided).

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33. RESULTS

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35. Study Population

36. DNA and echocardiography data were available for 368 subjects, all carrier of any one
 37. of the 3 founder mutations. These included 100 probands and 268 relatives. The age
 38. distribution of probands and relatives was similar (Table 1). By definition all probands
 39. had an IVS thickness ≥ 13 mm. Extreme hypertrophy (IVS thickness ≥ 30 mm), a known

risk factor for sudden death was present in 9 (10 %) probands^{18, 19}. There was a higher frequency of males in the proband group (64% vs. 47%, $p = 0.007$). LVH (defined as IVS ≥ 13 mm)¹⁶ was present in 107 (40%) of relatives and was extreme in 1 (0.4%) relative.

The distribution of MYBPC3 founder mutation among the study subjects is presented in Table 2. The most common founder mutation was c.2373dupG which was carried by 70% of the individuals. The distribution of RAAS polymorphisms is presented in Table 3.

Table 1: Characteristics of the HCM population studied.

	Probands	Relatives	p-value
N	100	268	
Age	42±14	41±17	0,64
Sex n (% male)	64 (64%)	127 (47%)	0,007
Septum thickness (mm)	22±5	13±4	0.0017
Septum > 13 mm, n (%)	100 (100%)	107 (40%)	
Septum > 30 mm n (%)	9 (10%)	1 (0.4%)	0,0001
Wigle score (0-10)	4.2±2.5	0.7±1.7	2,36E-33

Data depicts mean ± standard deviation, n (%).

Table 2: MYBPC3 mutation distribution among the HCM population studied.

Mutation	Probands	Relatives
c.2373_2374insG	70	187
c.2827C>T	18	56
c.2864_2865delCT	12	25

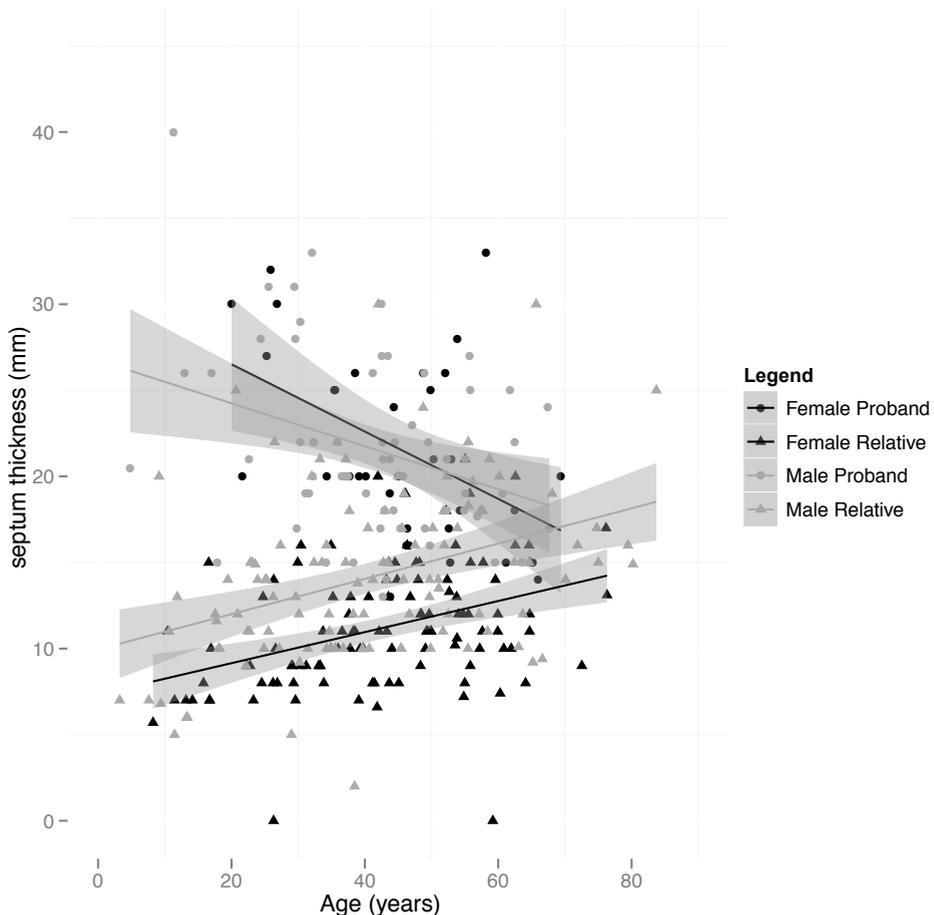
Table 3: Number of patients per genotype group for each polymorphism studied.

ACE, I/D	II	ID	DD
probands	29	48	23
relatives	73	125	69
AGT, C>T (M235T)	TT	TC	CC
probands	41	39	20
relatives	123	107	38
AGTR1, 1166A>C	AA	AC	CC
probands	50	41	9
relatives	125	119	24
CMA1, -1903 A>G	GG	AG	AA
probands	26	44	30
relatives	61	133	74
CYP11B2, -344C>T	TT	TC	CC
probands	36	43	21
relatives	96	142	30

Influence of age, sex and proband status

Proband status, age and gender had a significant effect on IVS thickness. Probands displayed a greater mean IVS thickness compared to relatives (22±5 versus 13±4 mm) (Table

1. **1**). While in the relatives group, IVS thickness was greater in older individuals; within
 2. the proband group, it was smaller in older individuals. On average men had a thicker
 3. IVS than women (17 ± 6 mm versus 14 ± 6 mm; $p=0.0014$). This influence of male sex is
 4. especially clear for the relatives (**Figure 1**).



30. **Figure 1:**

31. Scatterplot and linear regression lines including the 95% confidence intervals, illustrating the effects of
 32. sex and age on septum thickness in probands and relatives.

34. SNP association analyses

35. The 5 polymorphisms were analyzed both separately, as well as in aggregate - as a pro-
 36. LVH score -, for (i) effects on IVS thickness, (ii) association with IVS thickness ≥ 13 mm,
 37. (iii) association with IVS thickness ≥ 30 mm, and (iv) effects on the Wigle score (**Table 4**).

38. Analyzing the 5 polymorphisms separately with correction for sex, age and proband
 39. status uncovered an effect of the T>C (M235T) polymorphism in the AGT gene on IVS

Table 4: Single SNP association results

Trait	AGTR1, -1903		CMA1, AGT, 704T>C		CYP11B2, Pro-LVH -344C>T		P-value	SE	β	P-value	SE	β	P-value	SE	β	P-value	SE	P-value			
	ACE, //D	1166A>C	A>G	(M235T)	A>G	score															
^I Septum thickness (mm)	I/H//D	A/A+A/C	G+A/G	T/T+T/C	T/T+T/C	T/T+T/C															
	vs. D/D	vs. C/C	vs. A/A	vs. C/C	vs. C/C	vs. C/C	β	SE	β	P-value											
^J Wigle score																					
# Septum thickness > 13 mm	OR	95%CI	P-value	OR	95%CI	P-value	OR	95%CI	P-value	OR	95%CI	P-value	OR	95%CI	P-value	OR	95%CI	P-value	OR	95%CI	P-value
	1.23	0.68-2.23	0.49	1.57	0.64-3.83	0.32	0.78	0.49-1.25	0.30	0.95	0.52-1.73	0.86	2.21	0.95-5.14	0.07	1.19	0.93-1.53	0.17			
^J Septum thickness > 30 μ m	OR	95%CI	P-value	OR	95%CI	P-value	OR	95%CI	P-value	OR	95%CI	P-value	OR	95%CI	P-value	OR	95%CI	P-value	OR	95%CI	P-value
	1.99	0.63-6.31	0.24	2.44	0.47-12.63	0.29	0.58	0.15-2.27	0.43	0.65	0.08-5.15	0.68	*	*	*	0.93	0.50-1.74	0.82			

Data depict association results for the traits studied using a recessive genetic model. P-values < 0.05 are shown in bold text.

^IThe total patient set (n=368) was included in this analysis.

n=207 individuals with septum thickness > 13 mm and n=161 individuals with septum thickness < 13 mm were included in this analysis.

^J n=10 individuals with septum thickness > 30 mm and n=358 individuals with septum thickness < 30 mm were included in this analysis.

* No individuals with septum thickness > 30 had the CC genotype.

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1. thickness (Table 4, $p=0.02$), with the CC genotype (homozygous for threonine at position
 2. 235) being associated with less IVS thickness as compared to the other two genotypes
 3. (TC, TT). The DD genotype at the ACE I/D polymorphism was associated with a high
 4. Wigle score ($p=0.01$) as compared to the ID and DD genotypes. Interaction analyses
 5. between the 5 polymorphisms were negative. No significant interactions were found
 6. between the 5 polymorphisms for any of the four outcomes tested.
 7. No association was detected between pro-LVH score and IVS thickness or Wigle score
 8. in probands or relatives (Tables 4 and 5).

Table 5 : Septum thickness according to pro-LVH genotype score

Pro-LVH genotype score	Probands <16 yr (n=3)	Probands >16 yr (n=97)	Relatives <16 yr (n=19)	Relatives >16 yr (n=247)	Total Probands (n=100)	Total Relatives (n=268)	Total Population (n=368)
0	26±0	21±5	9±6	13±4	21±5	12±4	15±6
1	-	22±6	8±3	13±5	22±6	13±5	15±7
2	30±14	21±4	9±4	13±4	22±6	13±4	16±7
3	-	18±3	6±0	12±2	18±3	11±3	14±5
4	-	19±2	-	14±0	19±2	14±0	17±3
Total	29± 10	21±5	8±4	13±4	22±5	13±4	15±6
p-value	0.84	0.52	0.44	0.93	0.74	0.80	0.49

Data depict mean ± standard deviation. Yr = years

DISCUSSION

In a large cohort of subjects carrying anyone of 3 functionally-equivalent truncating mutations in *MYBPC3*, we uncovered only minor effects of candidate SNPs in the RAAS system on IVS thickness and Wigle score. These effects were limited to (i) an association of the CC genotype of the *AGT* T>C (M235T) polymorphism with a smaller IVS, and (ii) an association of the DD genotype of the *ACE* I/D polymorphism with a higher Wigle score. There was no effect of the previously described pro-LVH score on IVS thickness or Wigle score.^{12, 13}

The T>C polymorphism in the *AGT* gene has been described as a predisposing factor for cardiac hypertrophy in patients with hypertension, in endurance athletes and in sporadic cases of HCM.²⁰⁻²² The *AGT* gene encodes angiotensinogen and this polymorphism is associated with elevated angiotensinogen serum concentrations.²³ Although we found an association between the CC genotype of the *AGT* T>C polymorphism and IVS thickness, it is important to note that the direction of the effect in our patient population was opposite to what is predicted based on these previous studies. The fact that the association with IVS thickness in our study was not reflected in a decreased risk for having an IVS thickness of $\geq 13\text{mm}$ or $\geq 30\text{mm}$ is suggestive of a spurious association. Additionally no association was detected for this polymorphism with Wigle score.

Tissue levels of angiotensin converting enzyme are increased in patients with the DD-*ACE* genotype and this genotype is considered to be a pro-LVH genotype.²⁴ It may modify the clinical phenotype in a gene-specific manner, one study reported that in *MYBPC3* related HCM an IVS thickness ≥ 30 mm was only present in carriers of the DD-genotype.^{13, 24} However, in our study probands with extreme IVS thickness were equally divided among subjects carrying DD-*ACE*, ID-*ACE* and II-*ACE*. In our study the *ACE*-DD genotype only was a significant modifier of the extent of hypertrophy as described by the Wigle score and did not influence IVS thickness.

There was no effect of the combined pro-LVH genotypes in the pro-LVH score on IVS thickness or Wigle score. This is in contrast to the report by Ortlev et al, describing a single small HCM family (48 individuals, 26 of which mutation carriers) in which cardiac hypertrophy was associated with the burden of pro-LVH genotypes¹². The absence of a relation between the pro-LVH score and hypertrophy in our much larger set suggests that cardiac hypertrophy in *MYBPC3*-related HCM is not influenced by the pro-LVH score.

IVS thickness in HCM mutation carriers increases progressively with age.^{8,9} In our study this was the case for relatives carrying *MYBPC3* mutations but not for probands. The striking difference between probands and relatives is their reason for cardiac evaluation. Probands are being referred because of symptoms, abnormalities at routine physical examination or electrocardiography, i.e. before non-cardiac surgery; whereas relatives are being referred after presymptomatic DNA testing. This will lead to a sampling or referral bias; HCM patients with severe IVS thickening at young age are more likely referred because of symptoms than those with minimal or moderate thickening. The fact that older age groups displayed less extensive IVS thickness than the younger ones also might be due to the fact that probands with larger IVS thickness die at younger age (the so-called healthy survivor phenomenon). Besides this explanation it is known that about 5-10% of HCM patients progress to an end-stage form, which is characterized by systolic dysfunction, dilatation of the left ventricle and wall thinning^{25, 26}.

Males and females differ in their presentation of HCM, with cohorts usually having a predominance of males.^{27, 28} In our study there is a sex effect in the relatives, with men being affected at younger age than women. This is possibly explained by a protective role of estrogens in the hypertrophic response and the evidence that exposure of cardiac myocytes to androgen results in hypertrophy.^{29, 30} Furthermore the HCM phenotype is influenced by sex hormone receptor variants.³¹ However, in the probands in our study the sex effect is less clear, female tend to be more heavily affected at young age, but there is considerable overlap between males and females (figure 2). This illustrates that there are other, currently unknown modifiers of phenotypic expression in HCM.

In conclusion, we have investigated the role of genetic polymorphisms in genes of the RAAS in patients with HCM in the context of a patient population that was large and homogeneous with respect to the underlying causal genetic defect. Our findings do

1. not provide support for a marked effect of genetic variation in the RAAS on phenotypic
2. expression of hypertrophy in this disorder. It is therefore unlikely that identification of
3. RAAS polymorphisms may help to risk-stratify HCM mutation carriers and to expect
4. benefit from the prescription of drugs, known to influence the RAAS system on disease
5. expression.

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8. **LIMITATIONS**

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10. Although the approach to look at these 5 SNPs is plausible we are currently not informed
11. on the effect of other genes and their effect on phenotypic expression.

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14. **ACKNOWLEDGEMENTS**

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19. collection, analysis and interpretation of data, in the writing of this paper and in the
20. decision to submit the paper for publication.

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Chapter 10

Complex sarcomeric genetic status is not an important modifier of disease severity in MYBPC3 associated hypertrophic cardiomyopathy



Michels M, Yvonne M. Hoedemaekers, M. Van Tienhoven, Osama I.I. Soliman, Danielle Majoor-Krakauer, Folkert J. ten Cate, Dennis Dooijes

Submitted

ABSTRACT

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Objectives

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To analyse whether a complex genotype is an important modifier of disease severity in hypertrophic cardiomyopathy (HCM).

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Background

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Genotype-phenotype studies in HCM have been hampered by the genetic heterogeneity and individual nature of HCM mutations. Disease variability is expected to be partially explained by the effect of additional mutations in sarcomere genes.

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Methods

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Nine sarcomere HCM genes (*MYH7*, *MYBPC3*, *MYL2*, *MYL3*, *TNNT2*, *TNNI3*, *TNNC1*, *ACTC1* and *TMPI*) were completely analysed in carriers of Dutch truncating *MYBPC3* founder mutations (c.2373dupG, p.Arg943X and c.2864_2865delCT). Carriers were diagnosed as having “mild” or “severe” phenotype based on the following criteria: age at diagnosis < 25 years, maximal wall thickness \geq 30 mm, left ventricular outflow tract obstruction > 30 mmHg, sudden cardiac death \geq 2 first-degree family member < 40 years and the necessity for septal reduction therapy or an implantable cardioverter defibrillator. A mild phenotype was defined as the absence of any of these criteria and a severe phenotype was defined as the presence of \geq 2 criteria.

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Results

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A total of 87 carriers were included; 44 with mild and 43 with severe phenotype. No additional pathogenic mutations were found in the nine HCM genes. In total 59 “silent variants” and 9 “missense variants” were identified; none of these variants were significantly associated with a severe phenotype.

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Conclusions

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The severity of phenotypic expression of HCM in carriers of a truncating *MYBPC3* mutation is not primarily dependent on the modifying effects of secondary sarcomere mutations.

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1. INTRODUCTION

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3. Hypertrophic cardiomyopathy (HCM) is the most common inheritable cardiac disorder
4. with a phenotypic prevalence of 1:500. It is defined by the presence of left ventricular
5. hypertrophy in the absence of loading conditions (hypertension, valve disease) sufficient
6. to cause the observed abnormality. Hundreds of mutations scattered among at least
7. 25 putative HCM susceptibility genes encoding various sarcomere, Z-disk, calcium-
8. handling, and mitochondrial proteins are known to cause HCM and are found in up to
9. 60% of cases.¹⁻⁵ The genetic heterogeneity of HCM together with the fact that most HCM
10. mutations are unique and occur in single families, have hampered studies establishing
11. genotype-phenotype relations. The observed variability in disease severity may partially
12. be explained by complex genotypes i.e. the effect of additional mutations in sarcomere
13. genes.⁶⁻⁹

14. In the Netherlands, approximately one third of all HCM cases are caused by the trun-
15. cating c.2373dupG, p.Arg943X and c.2864_2865delCT founder mutations in the myosin
16. binding protein C gene (*MYBPC3*).¹⁰ These mutations lead to haplo-insufficiency and
17. are thought to be functionally identical.¹¹ We completely analysed 9 sarcomere genes
18. in 87 patients from this group, homogeneous with respect to the primary HCM defect,
19. to analyse whether a complex sarcomere genotype is a modifier of disease severity in
20. *MYBPC3* associated HCM.

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23. MATERIALS AND METHODS

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25. Subjects

26. Subjects were selected from carriers of Dutch founder mutations (c.2373dupG, p.Arg943X
27. and c.2864_2865delCT in *MYBPC3*), including probands as well as family members,
28. consecutively diagnosed at the cardiogenetics clinic at the Erasmus MC in Rotterdam
29. between 2006 and 2008. All carriers were clinically diagnosed as either having “mild” or
30. “severe” phenotype based on the following criteria: age at diagnosis < 25 years, maximal
31. wall thickness ≥ 30 mm, left ventricular outflow tract obstruction > 30 mmHg, sudden
32. cardiac death ≥ 2 first-degree family member < 40 years and the necessity for septal
33. reduction therapy or an implantable cardioverter defibrillator for primary or secondary
34. prevention of sudden cardiac death. A mild phenotype was defined as the absence of any
35. of these criteria and a severe phenotype was defined as the presence of ≥ 2 criteria. Based
36. on these definitions 87 carriers; 41 probands and 46 family members from 52 different
37. HCM families, were included in the current study. For the diagnosis of HCM in family
38. members the published diagnostic criteria for HCM in adult members of affected families
39. were used.¹²

Genetic analysis

Complete DNA sequence analysis was performed of all coding regions of 9 HCM genes; *MYBPC3* (NM_000256.3), β -myosin heavy chain (*MYH7*; NM_000257.2), *cardiac troponin C* (*TNNC1*; NM_003280.1), *cardiac troponin T* (*TNNT2*; NM_000364.2), *cardiac troponin I* (*TNNI3*; NM_000363.4), *cardiac-regulatory myosin light chain* (*MYL2*; NM_000432.3), *cardiac-essential myosin light chain* (*MYL3*; NM_000258.2), *cardiac α -actin* (*ACTC1*; NM005159.4), *α -tropomyosin* (*TPM1*; NM_000366.5).

Sequence analysis was carried out on an ABI3730xl capillary sequencer using Big-Dye Terminator v 3.1 chemistry (Applied Biosystems). (Details of methods and primer sequences available on request.) Analysis of sequence data was performed using SeqScape analysis software (v2.5, Applied Biosystems). All identified sequence variations were subjected to statistical analysis.

Nomenclature for the description of sequence variants was used according to the recommendations of Human Genome Variation Society (www.HGVS.org)

Statistical methods

All statistics were performed using the SPSS 16 for Windows (SPSS Inc, Chicago, IL, USA). Descriptive data were computed as a mean value \pm SD. Variables among the groups were compared by ANOVA. Statistical significance was defined by $P \leq 0.05$.

RESULTS

HCM mutation carriers

The descriptives of the study population are displayed in **Table 1**. A total of 87 subjects were included in the study; 44 with mild phenotype and 43 with severe phenotype. As expected significantly more probands had a severe phenotype compared with family members. The group with the severe phenotype was diagnosed at a significantly younger age. In this group the maximal wall thickness was significantly thicker and the obstruction in the left ventricular outflow tract was significantly higher compared to the group with a mild phenotype. By definition a positive family history for sudden cardiac death, septal reduction therapy or implantable cardioverter defibrillator implantation were absent in the group with a mild phenotype. Gender was not associated with the severity of HCM. There was no significant difference between the 3 founder mutations in disease severity.

DNA sequence analysis

Complete sequence analysis of nine sarcomere genes in 87 carriers with a truncating *MYBPC3* mutation resulted in the identification of 68 different sequence variations (**Table 2**). Forty-seven different sequence variations were found in the *MYBPC3* and

Table 1: Descriptives of the study population

	Total Group	Mild phenotype	Severe phenotype	P-value
Number of carriers	87	44	43	
Probands (n, %)	41 (47%)	9 (20%)	32 (74%)	0.001*
Family members (n, %)	46 (53%)	35 (80%)	11 (26%)	0.001*
Men (n, %)	48 (55%)	25 (57%)	23 (53%)	0.5
HCM diagnosis (n, %)	65 (75%)	22 (50%)	43 (100%)	0.001*
Age at diagnosis (yrs, mean \pm SD) (range)	38.4 \pm 13.5 (11 - 68)	44.7 \pm 10.9 (29 - 64)	35.7 \pm 13.7 (11 - 68)	0.006*
MWT (mm, mean \pm SD) (range)	18.7 \pm 7.2 (7 - 40)	15.1 \pm 5.9 (7 - 29)	23.8 \pm 5.3 (15 - 40)	0.001*
LVOT (mmHg, mean \pm SD) (range)	32.7 \pm 37.7 (3 - 130)	8.6 \pm 5.4 (3 - 29)	57.9 \pm 39.7 (5 - 130)	0.001*
Family history SCD (n, %)	18 (21%)	0 (0%)	18 (41%)	0.001*
Septal reduction therapy (n, %)	26 (30%)	0 (0%)	26 (59%)	0.001*
ICD (n, %)	9 (10%)	0 (0%)	9 (20%)	0.001*
c.2373dupG (p.Trp792fs) (n, %)	47 (54%)	22 (50%)	25 (58%)	0.5
c.2827C>T (p.Arg943X) (n, %)	24 (28%)	12 (27%)	12 (28%)	0.5
c.2864_2865delCT (p.Pro955fs) (n, %)	16 (18%)	10 (23%)	6 (14%)	0.3

HCM = hypertrophic cardiomyopathy; MWT = maximal wall thickness; LVOT = left ventricular outflowtract; SCD = sudden cardiac death; ICD = implantable cardioverter defibrillator; * = significant.

MYH7 genes. No or only a single sequence variant was observed in the *TNNC1*, *MYL3* and *ACTC1* genes.

Except for the c.2373dupG, p.Arg943X and c.2864_2865delCT founder mutations in *MYBPC3*, no additional clearly pathogenic mutation was found in the nine HCM genes. Fifty-nine variants were identified that were either in intronic sequences or did not have an effect on protein composition (i.e. 'silent variants'). For none of these 59 variants a significant difference in distribution could be demonstrated between the mildly affected group and the severely affected group (Table 2). The distribution of heterozygotes and homozygotes was in Hardy Weinberg equilibrium for all sequence variants analysed.

Table 2: Sequence variations in nine sarcomere genes in 87 carriers of a truncating *MYBPC3* mutation.

Gene	Observed variants (nucleotide)	Effect on Protein	Status variants (rs number)		
<i>MYBPC3</i>	p.Val158Met	p.Val158Met	rs3729986	1.	
	c.492C>T	p=	rs3218719	2.	
	c.506-12dupC		rs11570050	3.	
	c.537C>T	p=	rs11570051	4.	
	c.706A>G	p.Ser236Gly	rs3729989	5.	
	c.786C>T	p=	rs11570058	6.	
	c.926+25C>A		rs3729991	7.	
	c.1091-24T>C		rs2856650	8.	
	c.1223+29G>A		rs11570078	9.	
	c.1226+30G>A			10.	
	c.1352-21A>C			11.	
	c.1608T>A	p=		12.	
	c.2308+18C>G		rs3729948	13.	
	c.2497C>A	p.Ala833Thr		14.	
	c.2547C>T	p=	rs3729953	15.	
	c.2686G>A	p.Val896Met	rs35078470	16.	
	c.2737+12C>T		rs3729936	17.	
	c.3115C>T	p.His1039Tyr		18.	
	c.3191-21A>G		rs11570115	19.	
	c.3288G>A	p=	rs1052373	20.	
	c.3392T>C	p.Ile1131Thr		21.	
	<i>MYH7</i>	c.189C>T	p=	rs2069540	22.
		c.597A>G		rs2069541	23.
		c.732C>T	p=	rs2069542	24.
		c.895+17G>A		rs45580436	25.
		c.896-17C>T			26.
c.975C>T		p=	rs2231124	27.	
c.1062C>T		p=	rs735712	28.	
c.1095G>A		p=	rs735711	29.	
c.1128C>T		p=	rs2231126	30.	
c.1605A>G		p=	rs2069543	31.	
c.2733C>T		p=		32.	
c.2923-18G>A			rs7157087	33.	
c.2967T>C		p=	rs7157716	34.	
c.3153G>A		p=	rs45540831	35.	
c.3337-3dupC			rs45504498	36.	
c.3853+7C>T			rs45467397	37.	
				38.	
			39.		

	Severe phenotype total alleles n=86 (hetero-/homozygotes)	Mild phenotype Total alleles n=88 (hetero-/homozygotes)	P-value #	SIFT	PolyPhen
1.					
2.					
3.					
4.					
5.					
6.	0	6 (6/0)	0.029	Not tolerated *	Benign
7.	24 (24/0)	24 (20/2)	1.000		
8.	11 (11/0)	12 (12/0)	1.000		
9.	2 (2/0)	3 (3/0)	1.000		
10.	6 (6/0)	6 (6/0)	1.000	Tolerated *	Benign
11.	6 (6/0)	6 (6/0)	1.000		
12.	1 (1/0)	1 (1/0)	1.000		
13.	59 (19/20)	67 (17/25)	0.310		
14.	6 (6/0)	7 (7/0)	1.000		
15.	1 (1/0)	0	0.494		
16.	1 (1/0)	0	0.494		
17.	1 (1/0)	0	0.494		
18.	1 (1/0)	0	0.494	Not tolerated *	Benign
19.	1 (1/0)	1 (1/0)	1.000		
20.	1 (1/0)	0	0.494	Not tolerated *	Benign
21.	0	1 (1/0)	1.000		
22.	0	1 (1/0)	1.000	Not tolerated *	Probably damaging
23.	7 (7/0)	4 (4/0)	0.368		
24.	39 (27/6)	36 (18/9)	0.646		
25.	1 (1/0)	0	0.494	Not tolerated *	Possibly damaging
26.	38 (22/8)	46 (22/12)	0.293		
27.	1 (1/0)	1 (1/0)	1.000		
28.	13 (13/0)	22 (16/3)	0.131		
29.	1 (1/0)	0	0.494		
30.	1 (1/0)	0	0.494		
31.	1 (1/0)	1 (1/0)	1.000		
32.	3 (3/0)	7 (7/0)	0.330		
33.	10 (8/1)	7 (7/0)	0.454		
34.	10 (10/0)	9 (9/0)	0.812		
35.	0	3 (3/0)	0.246		
36.	0	2 (2/0)	0.497		
37.	0	3 (3/0)	0.246		
38.	26 (18/4)	29 (21/4)	0.746		
39.	4 (4/0)	1 (1/0)	0.208		
40.	3 (3/0)	8 (8/0)	0.212		
41.	0	1 (1/0)	1.000		

Gene	Observed variants (nucleotide)	Effect on Protein	Status variants (rs number)	
	c.3853+21C>T		rs45584435	1.
	c.3853+27T>A		rs2277475	2.
	c.3960G>A	p=		3.
	c.3972+15C>T		rs3729820	4.
	c.3973-30A>G		rs7159367	5.
	c.4472C>G	p.Ser1491 Cys	rs3729823	6.
	c.4520-25C>T		rs45503601	7.
	c.4566T>C	p=	rs2754155	8.
	c.4644+11_4644+12del			9.
	c.5106G>A	p=	rs3729830	10.
<i>TNNT2</i>	c.53-11_53-7del		rs45533739	11.
	c.207G>A	p=	rs3729845	12.
	c.318C>T	p=	rs3729547	13.
	c.382-94delC		rs35559054	14.
	c.583G>A	p.Glu195 Lys		15.
	c.758A>G	p.Lys253A rg	rs3730238	16.
<i>TNNI3</i>	c.15-50_15-47dup		rs34226453	17.
	c.25-8T>A		rs3729836	18.
	c.108+21G>A		rs3729837	19.
	c.204G>T	p=	rs3729711	20.
	c.537G>A	p=	rs3729841	21.
<i>MYL2</i>	c.132T>C	p=	rs2301610	22.
	c.274+33_274+34dup			23.
	c.274+17G>C			24.
	c.353+20delG		rs3833910	25.
	c.353+46dupC		rs3216817	26.
<i>MYL3</i>	c.*9C>T			27.
<i>TPM1</i>	c.453C>A	p=	rs1071646	28.
	c.486T>C	p=	rs11558747	29.
	c.639+22G>C		rs28730802	30.
<i>ACTC1</i>	c.927T>C	p=	rs2307493	31.

Total number of alleles of 68 analysed sequence variations in 9 analysed sarcomere genes. (No sequence variations were seen in the *TNNC1* gene.) dbSNP build 130. Missense variants are in bold.

After correction for multiple testing ($p = 0.0007$ is significant), no significant differences in sequence variations are observed between the severe and mild patient populations.

* This substitution may have been predicted to affect function just because the sequences used were not diverse enough. There is low confidence in this prediction.

(*MYBPC3* = Myosin binding protein C, *MYH7* = β -myosin heavy chain, *TNNT2* = cardiac troponin T, *TNNI3* = cardiac troponin I, *MYL2* = cardiac-regulatory myosin light chain, *MYL3* = cardiac-essential myosin light chain, *TPM1* = α -tropomyosin, *ACTC1* = cardiac α -actin)

	Severe phenotype total alleles n=86 (hetero-/homozygotes)	Mild phenotype Total alleles n=88 (hetero-/homozygotes)	P-value #	SIFT	PolyPhen
1.					
2.					
3.					
4.					
5.	1 (1/0)	3 (3/0)	0.621		
6.	26 (22/2)	32 (22/5)	0.424		
7.	1 (1/0)	0	0.494		
8.	1 (1/0)	0	0.494		
9.	28 (20/4)	33 (21/6)	0.528		
10.	0	1 (1/0)	1.000	Not tolerated *	Benign
11.	1 (1/0)	1 (1/0)	1.000		
12.	3 (3/0)	2 (2/0)	0.680		
13.	1 (1/0)	0	0.494		
14.	14 (10/2)	18 (18/0)	0.559		
15.	60 (18/21)	58 (22/18)	0.628		
16.	5 (5/0)	5 (5/0)	1.000		
17.	61 (13/24)	62 (20/21)	1.000		
18.	1 (1/0)	1 (1/0)	1.000		
19.	1 (1/0)	0	0.494	Tolerated	Benign
20.	0	1 (1/0)	1.000	Tolerated	Benign
21.	11 (11/0)	4 (4/0)	0.062		
22.	25 (21/2)	13 (11/1)	0.042		
23.	11 (9/1)	6 (4/1)	0.210		
24.	5 (5/0)	5 (5/0)	1.000		
25.	11 (9/1)	6 (4/1)	0.042		
26.	9 (7/1)	8 (6/1)	0.803		
27.	12 (3/4)	8 (4/2)	0.350		
28.	1 (1/0)	0	0.494		
29.	26 (18/4)	28 (14/6)	0.871		
30.	7 (7/0)	7 (5/1)	1.000		
31.	1 (1/0)	0	0.494		
32.	60 (22/19)	56 (26/15)	0.424		
33.	6 (6/0)	12 (10/1)	0.213		
34.	2 (2/0)	0	0.243		
35.	0	1 (1/0)	1.000		
36.					
37.					
38.					
39.					

A total of nine different missense variants (i.e. variants with an effect on protein composition) were identified. Missense variants are of interest because of their potential modifying effect on protein function and disease expression in HCM. In total, six missense variants were identified in *MYBPC3*, one missense variant in *MYH7* and 2 missense variants in *TNNT2*. The missense variants were analysed using the SIFT and PolyPhen prediction algorithms^{13, 14}. The predictions by SIFT were mostly of low confidence and the predictions of PolyPhen suggested seven missense variants to be benign and not have an adverse effect on protein function. Only the p.His1039Tyr and p.Ile1131Thr variants in the *MYBPC3* gene, observed in a mildly affected and a severely affected subject respectively were predicted by PolyPhen to have a deleterious effect on protein function.

There was no significant difference between the total number of missense variants (10) in the severely affected group and the total number of missense variants (15) in the mildly affected group ($p=0.389$). When the frequent polymorphism p.Ser236Gly in *MYBPC3*, present in equal numbers in both groups, is excluded from the analysis the difference remained non-significant ($p=0.249$).

The heterozygous p.Val158Met variant in the *MYBPC3* gene was found six times in the group with the mild phenotype and was absent in the group with severe phenotype ($p= 0.029$). However, after correction for multiple testing this difference was found to be non-significant.

DISCUSSION

Secondary sarcomere mutations did not explain the difference in disease severity in this group of carriers of a truncating *MYBPC3* founder mutation. No additional pathogenic mutations were found and the identified sarcomere sequence variations could not be associated with either a mild or a severe phenotype. We therefore conclude that severity of phenotypic expression of HCM is not primarily dependent on the modifying effects of a secondary sarcomere mutation or sequence variant on top of the primary genetic defect.

HCM is characterized by phenotypic heterogeneity; ranging from negligible to extreme hypertrophy, absent or severe left ventricular outflow tract obstruction, normal longevity or premature sudden cardiac death, even in patients carrying the same pathogenic HCM mutation.¹⁵ According to literature compound or double heterozygotes are detected in 3 - 5% of genotype-positive patients.⁶⁻⁸ These patients appear to have a more severe phenotype and an increased incidence of sudden cardiac death, suggesting a gene-dose effect might contribute to disease severity.^{7, 16} Compound heterozygosity for truncating *MYBPC3* mutations causes severe neonatal HCM leading to death in the first weeks or months of life.¹⁷ This is probably the result of the lack of functional MYBPC3 protein

1. (i.e. human *MYBPC3* knockouts). Since the youngest patient in our cohort was 11 years
2. old it is not surprising that we did not find compound heterozygosity for two truncat-
3. ing mutations in *MYBPC3*. Double heterozygosity, when two pathogenic mutations in
4. two different genes are present and at least one functional copy of the *MYBPC3* gene is
5. still active, has been described in adult patients without being responsible for a lethal
6. phenotype at a young age.^{6, 8} In a large cohort from the Mayo Clinic, patients with two
7. mutations in different genes were significantly younger at diagnosis, had more hyper-
8. trophy, and a higher incidence of myectomy and implantable cardioverter defibrillator
9. placement compared to patients with a single mutation.⁷ Based on these data additional
10. analysis of sarcomere genes in the presence of a severe phenotype was recommended,
11. posing important implications for genetic counselling and pre-symptomatic testing of
12. family members.⁸ However, the current study suggests otherwise for specific *MYBPC3*
13. founder mutations, unless severe hypertrophy or end stage heart failure is present at a
14. young age. It remains to be established whether these findings extend to other *MYBPC3*
15. and sarcomere mutations.

16. All three described Dutch founder mutations are functionally identical truncating
17. mutations, leading to haplo-insufficiency, and with identical Kaplan-Meier survival
18. estimates (personal communication).¹¹ The result of our study illustrates that the clini-
19. cal heterogeneity of HCM in this group is more likely to be modified by environmental
20. factors as well as by other non-sarcomere genetic factors than by multiple mutations in
21. sarcomere genes.¹⁸⁻²⁰ The most important subgroup of non-sarcomere polymorphisms
22. studied to date involve the major components of the renin-angiotensin-aldosteron
23. system. This system contributes to ventricular hypertrophy through effects mediated by
24. circulating angiotensin as well as local activation of renin-angiotensin-aldosteron system
25. in the myocardium.²¹ Polymorphisms in this pathway appear to influence the severity of
26. left ventricular hypertrophy in HCM patients carrying *MYBPC3* mutations.^{18, 22} Proteins
27. involved in hypertrophic pathways or mediators of calcium signalling in cardiomyocytes
28. are other promising candidates as modifier genes.²³⁻²⁵

29. Further studies are needed to identify modifier genes in HCM and to elucidate the
30. molecular mechanisms by which they influence cardiac hypertrophy; as this could pos-
31. sibly lead to new prognostic markers and new therapeutic targets for HCM.

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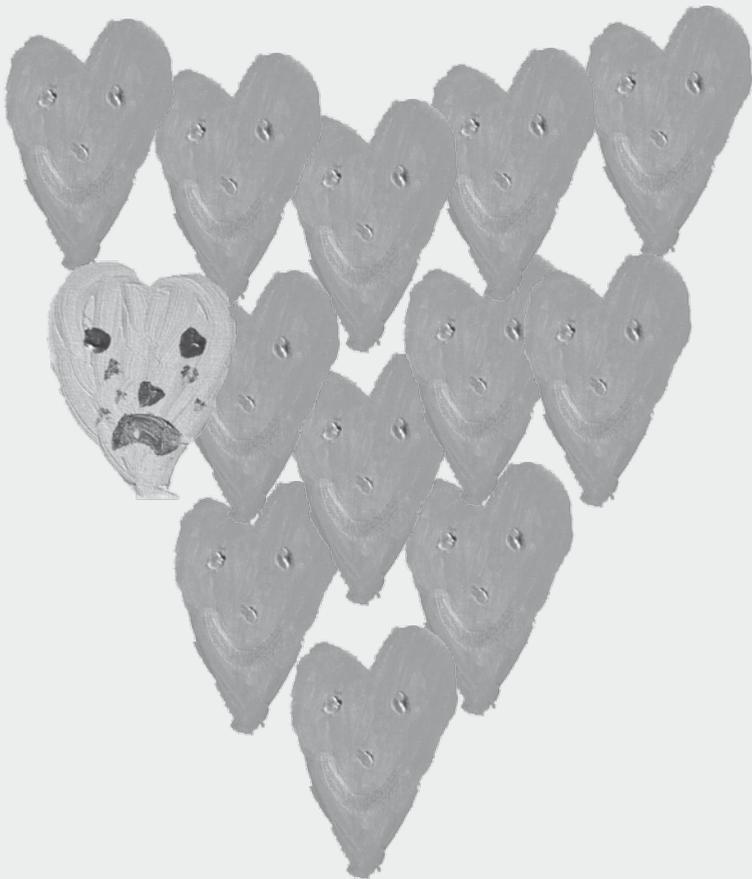
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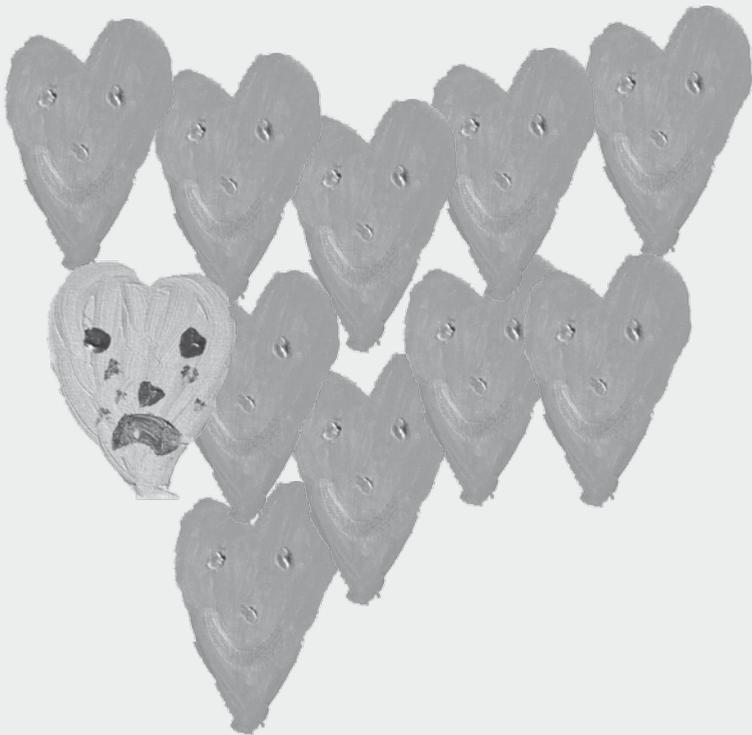
Part III

Invasive treatment in hypertrophic cardiomyopathy



Chapter 11

Long-term benefit after myectomy combined with anterior mitral leaflet extension in obstructive hypertrophic cardiomyopathy



Michels M, Lex A. van Herwerden, Marcel J.M. Kofflard, Peter L. de Jong, Marcel L. Geleijnse and Folkert J. Ten Cate

Submitted

ABSTRACT1.
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39.**Aims**

To describe the long-term course after myectomy combined with anterior mitral leaflet extension (MLE) in patients with obstructive HCM and enlarged anterior mitral valve leaflet.

Methods and Results

Between 1991 and 2009, 69 Patients (47.8 ± 15 years, 58% male), underwent myectomy with MLE. Mean follow-up was 8.1 years (1.1 - 19.2 yrs) and included mortality and change in clinical and echocardiographic characteristics. Mortality was compared with matched non-obstructive HCM patients and general population.

NYHA class reduced from 2.9 ± 0.3 to 1.3 ± 0.5 ; LVOT gradient from 94 ± 26 to 9 ± 8 mmHg; mitral valve regurgitation from grade 2.7 ± 0.7 to 0.6 ± 0.8 and SAM grade from 2.2 ± 0.9 to 0.1 ± 0.2 (all $p < 0.001$). There was no operative mortality. The 1-, 5-, 10-, and 15-year survival was 98%, 94%, 91%, and 86%, respectively; not different from the general population (99%, 97%, 94%, and 91%, respectively; log-rank $p = 0.25$) or non-obstructive HCM patients (98%, 97%, 89%, and 70%, respectively, log-rank $p = 0.11$).

Conclusion

In selected patients with obstructive HCM myectomy combined with MLE is safe and results in long-term symptom relief and survival similar to general population.

1. INTRODUCTION

2.

3. Hypertrophic cardiomyopathy (HCM) is a heterogeneous disease characterized by asym-
4. metrically distributed left ventricular hypertrophy. Up to 70% of patients with HCM have
5. obstruction in the left ventricular outflow tract (LVOT) at rest or during provocation¹.
6. This obstruction is induced by thickening of the interventricular septum (IVS) and
7. systolic anterior movement (SAM) of the mitral valve. In severely symptomatic patients
8. with obstructive HCM, despite optimal medical therapy, isolated myectomy is the golden
9. standard with excellent perioperative and long-term outcomes²⁻⁵. HCM can be associated
10. with intrinsic abnormalities of the mitral valve, including increased mitral leaflet area,
11. length, and laxity, as well as anterior displacement of the papillary muscles⁶⁻⁸, which may
12. predispose to residual SAM and result in suboptimal outcome after isolated myectomy⁵.
13. ⁹. In the subset of patients with obstructive HCM and enlargement of the anterior mitral
14. leaflet we therefore performed anterior mitral leaflet extension (MLE) in combination
15. with myectomy. We have shown that this approach resulted in favorable short- and mid-
16. term functional and hemodynamic outcome^{10,11}. However, there is no data on long-term
17. outcome of patients with HCM who underwent myectomy combined with mitral valve
18. surgery.

19. In the current report we present the long-term course after myectomy combined with
20. MLE including survival analysis in comparison with age and gender matched patients
21. with non-obstructive HCM and subjects from the general Dutch population.

22.

23.

24. METHODS

25.

26. Patient selection

27. Patients are selected for invasive therapy at our HCM center on the basis of the following
28. indications: (1) LVOT gradient ≥ 50 mmHg at rest or on provocation and (2) presence of
29. unacceptable symptoms despite maximally tolerated medications consisting of β -blocking
30. agents and/or calcium channel blockers. Myectomy combined with MLE is performed in
31. patients with enlargement of the anterior mitral valve area (> 12 cm²), calculated with the
32. formula previously validated by Klues et al^{10,11}. The final decision to perform MLE was
33. made preoperatively by the surgeon after visual inspection and epicardial echocardiogra-
34. phy. Between 1991 and 2009, 69 patients underwent myectomy combined with MLE. The
35. study complies with the Declaration of Helsinki. After informed consent, these patients
36. have been prospectively included in a registry, approved by the institutional review com-
37. mittee.

38.

39.

The outcome in the surgery group was compared with an age and gender matched cohort of patients with non-obstructive HCM followed at our HCM outpatient clinic during the same period and with the expected mortality in the general Dutch population.

Surgical Technique

The surgical technique has been described previously^{10,11}. In brief, an autologous pericardial patch is harvested, trimmed of fat and extraneous tissue, immersed for 6 minutes in 0.4 % glutaraldehyde, and then placed in a normal saline bath. After opening the ascending aorta by an oblique incision, myectomy is performed to the left of an imaginary line through the nadir of the right coronary cusp in the beginning with a locally designed electrocautery device, later by excision with scissors and a rongeur^{10,11}. After myectomy anterior MLE is performed. A gap is created in the anterior mitral leaflet through a longitudinal incision, starting at the sub aortic hinge point to the rough zone. Then, an oval autologous pericardial patch, of about 2.5 cm wide and 3 cm long, is grafted across the bending point of the mitral valve where SAM is maximal to stiffen the buckling AMVL. The patch extends the width but not the length of the AMVL, which shifts the centrally attached chordae laterally. As a result, the chordae are stretched and erected, which will enhance leaflet coaptation. Finally, because force produced by blood flow against the leaflet is proportional to its area, the increased leaflet will be pressed posterior, with a decrease in SAM and MR.

The surgical results were assessed with transoesophageal echocardiography immediately after weaning from cardiopulmonary bypass and at a systolic blood pressure of ≥ 100 mmHg. None of the patients had an indication for reinstitution of cardiopulmonary bypass.

Follow-up

The clinical characteristics collected before the intervention included assessment of symptoms, New York Heart Association (NYHA) functional class and prescribed drugs. Physical examination and baseline laboratory studies were performed, including electrocardiography, transthoracic echocardiography, and cardiac catheterization. The echocardiographic data were reviewed by a physician, unaware of the patient's medical history. Echocardiography was performed in the month prior to surgery and after surgery repeated at 1 week, 3 months and at yearly intervals. IVS thickness was calculated at the site of myectomy from the septal width in diastole from both the parasternal short-axis and long-axis views. The severity of the mitral valve regurgitation (MR) was graded on a 0 to 4 scale by color flow Doppler echocardiography. The severity of the SAM of the anterior mitral valve leaflet was determined from the 2D images and was graded on a scale from 0 to 3 depending on the mitral-septal distance (grade 0 indicating no SAM and grade 3 indicating brief or prolonged contact between mitral valve and septum). Peak

1. LVOT gradient was estimated with Doppler echocardiography by the modified Bernoulli
2. equation ($P = 4v^2$), where P is the pressure gradient and v is Doppler-determined blood
3. velocity.

4. Follow-up information was obtained at routine visits at the HCM outpatient clinic.
5. For 7 patients follow-up information was collected at their referring cardiologist. Follow-
6. up vital status and cause of death was obtained by reviewing the hospital records, from
7. general practitioners and civil registries. Sudden cardiac death (SCD) was defined as
8. instantaneous and unexpected death within 1 hour after a witnessed collapse in patients
9. who previously were in stable clinical condition, or nocturnal death with no antecedent
10. history of worsening symptoms. Follow-up data were complete for all patients.

11.

12. **Statistical Analysis**

13. Data are expressed as mean \pm SD or number (percentage). The normality distribution
14. for continuous data was examined with the Shapiro–Wilk test. Comparison of numerical
15. variables was performed using the two-sided Student’s t-test or Wilcoxon rank-sum
16. test, and the chi-square or Fisher’s exact tests were used to compare qualitative variables.
17. The p-values are two-sided; $p < 0.05$ was considered statistically significant. The survival
18. analysis model used proportional hazards regression methodology; Kaplan–Meier sur-
19. vival curves were compared using log-rank statistics. End point was all-cause mortality.
20. Long-term survival of patients who underwent myectomy combined with MLE was
21. compared with age- and gender matched patients with non-obstructive HCM and with
22. the expected survival curve for the general Dutch population. This expected survival
23. curve was generated from the database of Statistics Netherlands, which incorporates all-
24. cause mortality (www.CBS.nl). Each patient was matched to an age and –gender matched
25. subject from the Dutch population at the year of study entry.

26. All statistics were performed using the SPSS 16 for Windows (SPSS Inc, Chicago, IL,
27. USA). The authors had full access to the data and take responsibility for its integrity. All
28. authors have read and agree to the manuscript as written.

29.

30.

31. **RESULTS**

32.

33. **Baseline characteristics**

34. The baseline characteristics of the 69 patients with obstructive HCM and 69 age and
35. sex-matched patients with non-obstructive HCM are listed in **Table 1**. Because advanced
36. symptoms refractory to pharmacologic therapy represent the standard indication for
37. operation, patients who underwent myectomy combined with MLE expectedly showed
38. more severe functional disability at study entry; 88% in NYHA class III or IV compared
39. with 4% in the non-obstructive group ($p < 0.001$). Echocardiography in the myectomy

combined with MLE group showed significantly more severe hypertrophy and as expected higher LVOT gradient, SAM and severity of MR compared with the non-obstructive HCM group. Four (6%) patients were operated after a failed alcohol septal ablation (ASA). Significant coronary artery disease was demonstrated in a single patient in whom coronary bypass grafting was performed simultaneously during the myectomy combined with MLE procedure.

Table 1. Baseline clinical and demographic data among two cohorts of HCM patients

Parameter	Combined Myectomy (n = 69)	Non-obstructive (n = 69)	p Value
Age (yrs)	47.8 ± 14.7	48.9 ± 13	0.639
Male gender	40 (58%)	40 (58%)	0.833
NYHA			
Mean	2.9 ± 0.3	1.6 ± 0.6	<0.001
I	0 (0%)	45 (66%)	<0.001
II	8 (12%)	21 (30%)	<0.001
III	60 (87%)	3 (4%)	<0.001
IV	1 (1%)	0 (0%)	-
Medications			
Beta-blocker	47 (68%)	22 (32%)	<0.001
Calcium-blocker	41 (59%)	10 (14%)	<0.001
Amiodarone	3 (4%)	4 (6%)	0.882
ACE-inhibitors	0 (0%)	11 (16%)	0.002
Echocardiography			
IVS thickness (mm)	22.7 ± 5.4	19.5 ± 4.7	<0.001
LVEDD (mm)	43.6 ± 5.3	44.8 ± 5.3	0.186
LVOTG (mmHg)	93.5 ± 26.4	10.6 ± 8.7	<0.001
SAM (grade)	2.7 ± 0.7	0.4 ± 0.5	<0.001
MR (grade)	2.2 ± 0.9	0.5 ± 0.7	<0.001
Follow-up			
Duration (yrs)	8.1 ± 6	8.7 ± 5	0.527
Mode of death			
<i>Sudden</i>	2 (3%)	3 (4%)	0.886
<i>Heart failure</i>	3 (4%)	2 (3%)	0.886
<i>Non-cardiac</i>	0 (0%)	5 (7%)	0.076

(HCM = hypertrophic cardiomyopathy; NYHA = New York Heart Association; ACE = angiotensin converting enzyme; IVS = interventricular septum; LVEDD = left ventricular end-diastolic diameter; LVOTG = left ventricular outflow tract gradient; SAM = systolic anterior movement; MR = mitral valve regurgitation)

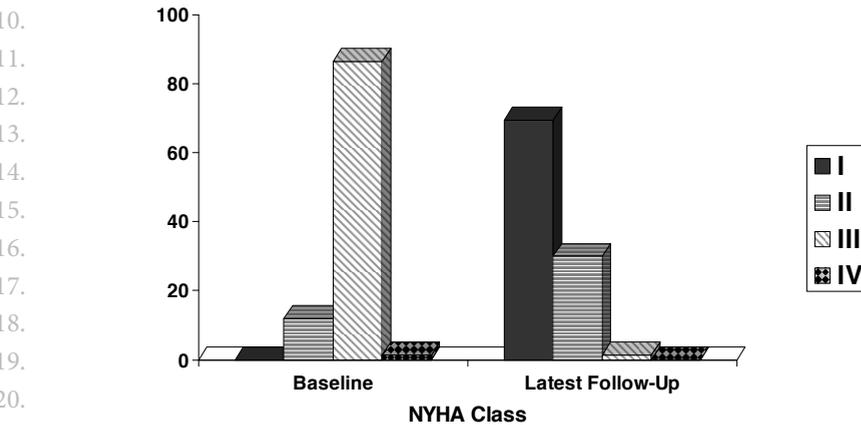
1. **Clinical benefits of myectomy**

2.

3. *Symptomatic relief*

4. The myectomy combined with MLE group experienced substantial symptomatic and
 5. hemodynamic improvement after surgery; 61 of 69 (88%) patients were in NYHA func-
 6. tional class III or IV before operation, whereas only 1 of 64 (2%) remaining patients at
 7. latest follow-up was in NYHA class III. The mean functional NYHA class was 2.9 ± 0.3
 8. before myectomy combined with MLE and 1.3 ± 0.5 at latest follow-up (Figure 1).

9.



21. **Figure 1:**

22. NYHA functional class at baseline and at latest follow-up in obstructive HCM patients treated with
 23. myectomy and MLE

24.

25. *Hemodynamic and echocardiographic changes*

26. Compared with preoperative data, there were significant immediate changes in peak
 27. LVOT gradient from 94 ± 26 preoperative to 9 ± 8 mmHg at latest follow-up. MR reduced
 28. from grade 2.7 ± 0.7 to 0.6 ± 0.8 and SAM grade from 2.2 ± 0.9 to 0.1 ± 0.2 at latest
 29. follow-up (Table 2).

30.

31. **Table 2** Long-term echocardiographic follow-up in 69 patients with obstructive HCM treated with
 myectomy and MLE

	Preoperative (n = 69)	Latest Follow-up (n = 64)	P-value
33. IVS, mm	23 ± 5	16 ± 3	<0.0001
34. LVEDD, mm	44 ± 5	49 ± 7	<0.0001
35. LVOTG, mmHg	94 ± 26	9 ± 8	<0.0001
36. MR, grade	2.7 ± 0.7	0.6 ± 0.8	<0.0001
37. SAM, grade	2.2 ± 0.9	0.1 ± 0.2	<0.0001

38. (HCM = hypertrophic cardiomyopathy; MLE = mitral leaflet extension; IVS = interventricular septum;
 39. LVEDD = left ventricular end-diastolic diameter; LVOTG = left ventricular outflow tract gradient; MR =
 mitral valve regurgitation; SAM = systolic anterior movement)

Non-fatal events after myectomy and MLE

Two (3%) patients, who were operated after failed ASA already hampering the conduction system, developed complete heart block during surgery. One received a permanent pacemaker, in the other this was combined with an implantable cardioverter defibrillator (ICD) because of the presence of high risk status for SCD. An ICD was implanted in 4 more patients for primary prevention of SCD based on current guidelines¹². There were no ICD-shocks during follow-up. Atrial fibrillation occurred in 19 (28%) patients during follow-up.

A single (1%) patient was re-operated for ongoing bleeding 6 hours after surgery, for which no specific cause could be identified. Pericardial effusion, requiring subxiphoid surgical approach occurred in 2 (3%) patients in the first two weeks after surgery. Residual obstruction was present in 1 (1%) patient, which was treated by re-myectomy at day 8. Patch-dehiscence requiring repair occurred in 2 (3%) patients, respectively 3 and 7 months after combined surgery. More than 10 years after myectomy combined with MLE 2 (3%) patients were re-operated; 1 (1%) because of central mitral regurgitation caused by left ventricular dilatation and 1 (1%) because of endocarditis (Table 3).

Table 3 Events during 20-year follow-up in 69 patients with obstructive HCM treated with myectomy and MLE

	Latest Follow-up
Death, n (%)	5 (7)
Operative mortality	0 (0)
Sudden cardiac death	2 (3)
End-stage heart failure	3 (4)
Atrial fibrillation, n (%)	19 (28)
Pacemaker, n (%)	1 (1)
ICD, n (%)	5 (7)
Re-operation, n (%)	5 (7)
Residual obstruction	1 (1)
Patch-dehiscence	2 (3)
Central MR	1 (1)
Endocarditis	1 (1)

(HCM = hypertrophic cardiomyopathy; MLE = mitral leaflet extension; ICD= implantable cardioverter defibrillator; MR = mitral valve regurgitation)

Survival after myectomy combined with MLE

None of the patients died during surgery or in hospital. Five (7 %) patients died during follow-up; 2 suffered SCD, respectively after 1.9 and 2.8 years. Three patients died of end-stage heart failure, respectively after 2.6, 2.8 and 6.9 years (Table 3).

Survival comparison with the general Dutch population: As seen in Figure 2; the 1-, 5-, 10-, and 15- year cumulative survival after myectomy combined with MLE was 98%, 94%, 91%, and 86%, respectively and did not differ from the age and gender matched general population (99%, 97 %, 94%, and 91%, respectively; log-rank $p = 0.25$).

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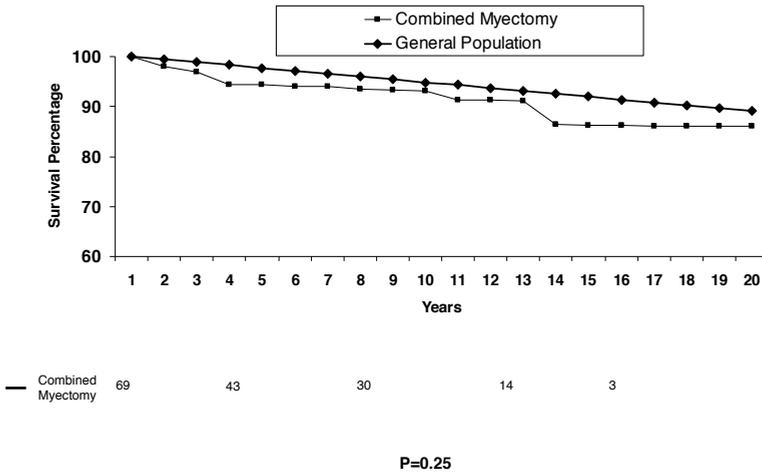


Figure 2:

Survival comparison between obstructive HCM patients treated with myectomy and MLE and age and gender matched subjects from the Dutch population

Survival comparison with non-obstructive HCM: Likewise, the 1-, 5-, 10-, and 15-year cumulative survival (98%, 97%, 89%, and 70%, respectively, log-rank $p = 0.11$) of age- and gender-matched non-obstructive HCM patients was not different from the patients who underwent myectomy combined with MLE (Figure 3). The number of cardiac related death in both groups was identical; however in the non-obstructive HCM group more patients died from non-cardiac causes during long-term follow-up.

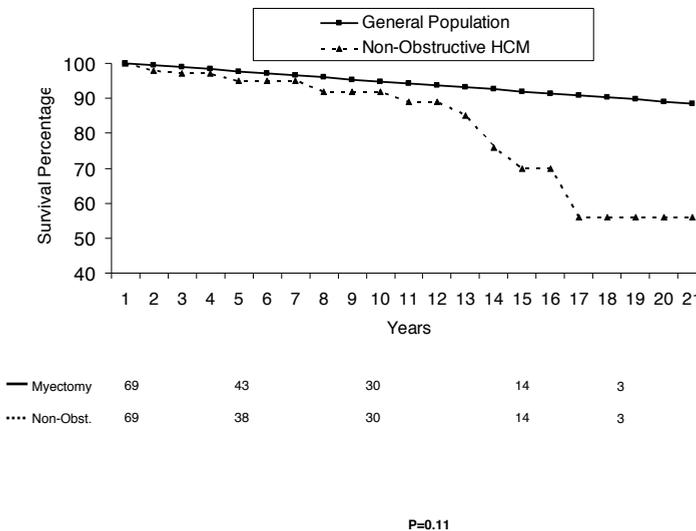


Figure 3:

Survival comparison between obstructive HCM patients treated with myectomy and MLE and age and gender matched non-obstructive HCM patients

DISCUSSION

This study shows that myectomy combined with MLE in selected obstructive HCM patients is a safe and successful procedure leading to long-term symptom relief and comparable survival to age and sex-matched patients with non-obstructive HCM and subjects from the general Dutch population.

LVOT obstruction is an important pathophysiological component of HCM. Clinical studies performed in large HCM populations have identified a consistent relationship between LVOT gradients at rest and heart failure symptoms and cardiovascular events; including overall probability of death due to HCM and progression to NYHA functional class III or IV^{13, 14}.

Severely symptomatic drug-refractory obstructive HCM patients are potential candidates for invasive septal reduction interventions as surgical myectomy or ASA^{13, 14}. However, obstructive HCM can also be associated with a variety of intrinsic abnormalities of the mitral valve, including increased mitral leaflet area^{6, 15}. These abnormalities may predispose to residual SAM and result in suboptimal outcome after isolated myectomy⁹.

The current study consisted of consecutive patients with enlarged mitral leaflet area and typical SAM as described in previous reports from our institute treated with myectomy combined with MLE^{10, 11}.

This myectomy combined with MLE has immediate beneficial effects on hemodynamics and NYHA functional class, and these benefits continue in 88 % up to 19 years. At the same time it is a safe procedure; without operative mortality and excellent long-term cumulative survival, equal to general Dutch population or age- and gender matched patients with non-obstructive HCM. This is comparable with the reported long-term survival benefit of obstructive HCM patients who underwent isolated myectomy from the Mayo Clinic and Toronto General Hospital^{2, 4}. In the larger Mayo Clinic series 10-year overall survival was 83%, which was equivalent to that expected in the general U.S. population. In the latter study patients who underwent myectomy combined with additional operative procedures were however excluded⁴. Another Mayo Clinic study describes 28 patients who underwent myectomy and mitral valve repair with different techniques with significant improvement in functional class, LVOT gradient, SAM and mitral valve regurgitation at midterm follow-up¹⁶. A study by Kaple et al reports overall survival after myectomy combined with a number of repair techniques at 1-, 5 - and 10 years of respectively 91%, 81% and 66%; the patients in this study were however significantly (59±14 versus 48±15, P<0.001) older than those in the current study¹⁵. The current study is to our knowledge the first to describe equivalent survival to the general population in HCM patients undergoing a myectomy combined with MLE.

During long-term follow-up 93 % patients were free of reoperation, which is comparable with the results of combined surgery in obstructive HCM reported by others at

1. 3 years follow-up¹⁵. Perfect mitral competence (grade 0 MR) was present in 31 (45 %)
2. of patients and only 2 (3 %) had grade 3+ MR at latest follow-up. There were no early
3. valve repair failures in our cohort leading to mitral valve replacement; two (3 %) patients
4. underwent mitral valve repair because of patch-dehiscence. More than 10 years after the
5. initial procedure 2 patients underwent mitral valve replacement combined with aortic
6. valve replacement in one.

7. In comparison with the age-and gender matched patients with non-obstructive HCM
8. cardiac related mortality in both groups was similar; 5 patients (7%) in each group died
9. during the follow-up period with a mean of 8 years. These numbers are inline with previ-
10. ously reported mortality rates in HCM patients during long-term follow-up^{17, 18}.

11.

12.

13. LIMITATIONS

14.

15. This is a single institution's consecutive experience with myectomy combined with MLE
16. in a relatively small number of selected obstructive HCM patients. These patients were
17. not candidates for isolated myectomy or ASA because of mitral valve abnormalities. We
18. were unable to include a third group of obstructive HCM patients treated by isolated
19. myectomy. This is largely caused by the fact that between 1999 and 2007 almost all
20. patients suitable for isolated myectomy underwent ASA, mostly because of patient pref-
21. erence^{19,20}. Based on the experience in our center with this specific technique there is also
22. a referral bias; especially obstructive HCM patients with enlarged mitral valve leaflets
23. are being referred for surgery. Despite the lack of a statistically significant difference in
24. the Kaplan-Meier survival analysis between patients who underwent myectomy combined
25. with MLE and the general Dutch population, the study may not be powered enough to
26. detect such a difference.

27.

28.

29. CONCLUSIONS

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31. In selected obstructive HCM patients myectomy combined with MLE is a safe procedure
32. leading to long-term symptom relief. In addition it conveys cumulative survival similar
33. to the general population over 19-year follow-up.

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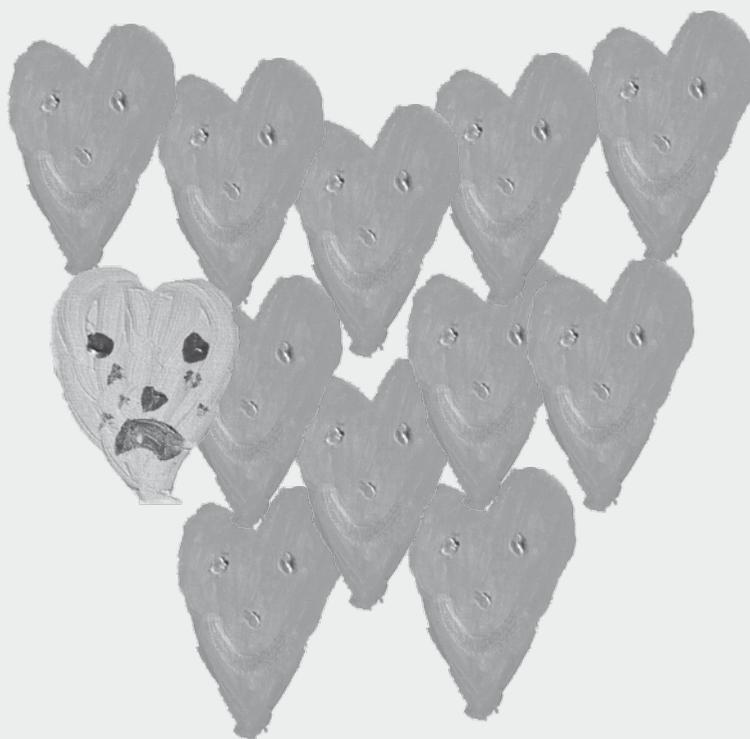
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Chapter 12

Long-term outcome of alcohol septal ablation in patients with obstructive hypertrophic cardiomyopathy: A word of caution



Folkert J. ten Cate, Osama I.I. Soliman, **Michels M**, Dominic A.M.J. Theuns, Peter L. de Jong, Marcel L. Geleijnse and Patrick W. Serruys

Circ. Heart Failure 2010; May 1;3(3)362-9

ABSTRACT

Background

The impact of alcohol septal ablation (ASA)-induced scar is not known. This study sought to examine the long-term outcome of ASA among patients with obstructive hypertrophic cardiomyopathy (HCM).

Methods and Results

Ninety-one consecutive patients (aged 54 ± 15 years) with obstructive HCM underwent ASA. Primary study endpoint was a composite of cardiac death and aborted sudden cardiac death (SCD) including appropriate cardioverter-defibrillator (ICD) discharges for fast VT/VF. Secondary endpoints were non-cardiac death and other non-fatal complications. Outcomes of ASA patients were compared with 40 HCM patients who underwent septal myectomy. During 5.4 ± 2.5 years primary and/or secondary endpoints were seen in 35 (38%) ASA patients, of whom 19 (21%) patients met the primary endpoint. The 1-, 5-, and 8-year survival-free from the primary endpoint was 96%, 86%, and 67%, respectively in ASA patients versus 100%, 96%, and 96%, respectively in myectomy patients during 6.6 ± 2.7 years (log rank, $P=0.01$). ASA patients had a ~4 fold increase in the estimated annual primary endpoint rate (4.4% vs. 0.9%) compared with myectomy patients. In a multivariable model including a propensity score, ASA was an independent predictor of the primary endpoint unadjusted HR 5.2: 95% CI 1.2 to 22.1, $P=0.02$; propensity score-adjusted HR 6.1: 95% CI 1.4 to 27.1, $P=0.02$.

Conclusions

This study shows that ASA has potentially unwanted long-term effects. This poses special precaution given the fact that ASA is practiced worldwide at increasing rate. We recommend myectomy as the preferred intervention in patients with obstructive HCM.

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1. INTRODUCTION

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3. Obstructive hypertrophic cardiomyopathy (HCM) is characterized by asymmetrical
4. septal hypertrophy and dynamic left ventricular (LV) outflow tract obstruction.¹ In
5. symptomatic obstructive HCM patients surgical resection (myectomy) of the basal sep-
6. tum relieves LV outflow obstruction with improvement in long-term outcome.^{2,3} Alcohol
7. septal ablation (ASA) is an alternative technique in which ethanol is injected into one
8. or more septal perforator branches of the left anterior descending coronary artery. The
9. efficacy of ASA has been proven with hemodynamic results mimicking those of myec-
10. tomy.³⁻⁷ The septal morphologic appearance post-ASA is one of a myocardial infarction.⁸
11. It is not well-known whether this may produce an arrhythmic substrate and possibly
12. trigger sudden cardiac death (SCD).^{9,10} Therefore, the present study sought to describe
13. long-term post-ASA outcome.

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16. METHODS

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18. Study Population

19. The study comprised 91 consecutive patients (aged 54±15 years) who underwent ASA
20. between 1999 and 2007 at the Erasmus MC Rotterdam. Eligibility criteria for ASA were
21. persistent New York Heart Association (NYHA) class III/IV dyspnoea and/or Canadian
22. Cardiovascular Society class III/IV angina, despite standard medical therapy; LV outflow
23. obstruction (gradient ≥50 mm Hg at rest or on Valsalva strain); ventricular septal thick-
24. ness ≥15 mm and absence of need for surgical correction of mitral valve or coronary artery
25. disease. Once indicated, patients were informed about ASA and myectomy as therapeutic
26. options. The ASA cohort in the present study included all patients (n=31) from our center
27. that were previously described in a multicenter center series.¹¹ All patients gave written
28. informed consent and the local institutional review board approved this study.

29.

30. Diagnostic Evaluation

31. HCM was defined as a hypertrophied and non-dilated LV in the absence of other cardiac
32. or systemic disease that could explain hypertrophy.¹ All patients underwent a standard
33. 2-dimensional echo-Doppler ultrasound examination,¹ and clinical risk assessment
34. including Holter monitoring and treadmill exercise testing.¹

35.

36. ASA procedure

37. ASA was performed as previously described.⁵ In brief, using the standard Judkins tech-
38. nique, a 6F pacemaker lead was placed in the right ventricle, a 6F pigtail catheter was
39. positioned into the LV, and a 7F Judkins guiding catheter in the ascending aorta. LV

outflow tract peak systolic gradient was continuously monitored throughout the whole procedure. After initial coronary angiography for localizing the origin of the septal perforating arteries, a 1.5-2.5 x 10 mm balloon catheter was introduced over a 0.014-inch guide-wire into the target perforator artery and inflated. ASA was performed with the assistance of myocardial contrast (1 ml SonoVue, Bracco, Geneva, Switzerland) echocardiography to identify the septal region by the selected septal branch. If no contrast was seen outside the thickened basal septum, 0.5 mL alcohol was injected over 30 seconds followed by saline flush under continuous hemodynamic and ECG surveillance. A successful procedure was defined as absence of a residual invasive dynamic gradient >25 mm Hg. If the target reduction in pressure gradient was not achieved, alcohol injections were repeated after 5 minutes (maximum 2.5 mL) within the same perforator branch. If not successful, the procedure was repeated in a second perforator branch. Once success was achieved, the balloon was deflated, and coronary angiography was repeated to confirm the occlusion of the septal branch and patency of the left anterior descending coronary artery. A temporary pacemaker lead was kept in place for at least 24 hours. A permanent pacemaker was used to treat a persistent high-grade AV block and a cardioverter defibrillator (ICD) implantation was considered at the discretion of the HCM cardiovascular specialist (FJTC).

ICD

ICD implantation for secondary prevention was considered for HCM patients who survived cardiac arrest and for primary prevention for HCM patients who had ≥ 2 conventional risk factors for HCM-related SCD.^{1, 12} In addition, ICD was used as an alternative to conventional pacemaker in a few patients with post-ASA persistent high-grade AV-block. Device implantations were performed according to customary practice, with defibrillation thresholds routinely tested to document successful termination of lethal arrhythmias. For all patients, ICD programming was intended to avoid inappropriate therapy and tailored according to the clinical presentation. Mean ventricular tachyarrhythmia detection rate was 349 ± 18 ms and the mean fibrillation detection rate was 283 ± 15 ms.

Device interrogation was performed on a 3-month basis and otherwise following patients' symptoms. Arrhythmias responsible for triggering defibrillator therapy were identified from the stored intracardiac electrograms.¹³ Defibrillator therapy was considered appropriate when triggered by VF or VT. Device therapy was considered inappropriate when triggered by "benign rhythms" with rates exceeding the programmed threshold, such as supraventricular arrhythmias, sinus tachycardia, or device malfunction. Appropriate ICD discharge for lethal arrhythmia (fast sustained VT/VF) was considered equivalent to SCD.

1. Study endpoints

2. The primary study endpoint was a composite of cardiac death and aborted SCD including ICD appropriate shocks for fast VT (defined as sustained VT with a rate >260 bpm) or VF. Secondary endpoints included non-cardiac death, procedural failure, and other non-fatal complications. A failed ASA was defined as the need for re-intervention for persistent symptoms due to residual LV outflow tract gradient.¹⁴

8. Myectomy patients

9. Outcome of ASA patients were compared to outcome of 40 consecutive patients with obstructive HCM who underwent modified septal myectomy during the same period of enrollment of the ASA patients.⁵

13. Follow-up

14. Two investigators (FJTC, MM) examined all patients at 3, 6 and 12-months and then on yearly basis with complete follow-up to 9 years. Cause of death was documented from hospital records, general practitioners records and civil registries. SCD was defined as instantaneous and unexpected death within 1 hour after a witnessed collapse in patients who previously were in stable clinical condition, or nocturnal death with no antecedent history of worsening symptoms.

21. Statistical analyses

22. Qualitative variables were expressed as percentages and quantitative variables as mean (standard deviation). The normality distribution for continuous data was examined with the Shapiro–Wilk test. Comparison of numerical variables was performed using the two-sided Student's t-test or Wilcoxon rank-sum test, and the chi-square or Fisher's exact tests were used to compare qualitative variables. A continuous propensity score analysis was performed to adjust for the intergroup (ASA vs. myectomy) differences in baseline characteristics caused by the selection bias inherent to the nonrandomized nature of the study. A propensity score representing the likelihood of having ASA as opposed to myectomy was calculated for each patient by using a logistic regression analysis that identified variables independently associated with the type of procedure. All variables listed on **Table 1** were included in a univariate regression analysis and variables exhibiting a P-value <0.20 were included in a multivariate model. Those variables (P<0.020) were: age, positive risk factors for HCM-related SCD, LV outflow tract peak gradient, of ventricular septum thickness, and LV end-systolic diameter. Kaplan-Meier curves were employed to delineate freedom from death or aborted SCD and compared with log-rank test. In addition, the incidence of post-procedural primary endpoint was further evaluated between groups as unadjusted, adjusted for propensity score in a multivariable regression analysis. The propensity score did not emerge as an independent predictor of

Table 1: Baseline characteristics of the HCM patients

	ASA (n=91)	Myectomy (n=40)	P Value
Demographics			
Age– yrs	54±15	49±15	0.08
Male sex	50(55%)	21(53%)	0.99
NYHA class I/II/III/IV	0/0/90/1	0/0/40/0	-
CCS class III/IV angina	17/2	8/1	-
Coronary artery disease	4(4%)	2(5%)	0.84
Risk factors for HCM-related SCD			
History of SCD	0	0	-
Spontaneous sustained VT	0	0	-
Family history of SCD	15(16%)	5(13%)	0.86
Non-sustained VT	10(11%)	5(13%)	0.97
Unexplained syncope	11(12%)	4(10%)	0.97
Abnormal blood pressure response to exercise	16(18%)	6(15)	0.87
Ventricular septum >30 mm	2(2%)	2(5%)	0.71
Co-morbidities and past medical history			
Diabetes mellitus	1(1%)	1(3%)	0.93
Systemic hypertension	7(8%)	2(5%)	0.81
Previous stroke	1(1%)	0	0.61
Prior ICD	0	4(10%)	--
Prior permanent pacemaker	0	0	--
Atrial fibrillation	5(5%)	2(5%)	0.67
Beta-blockers	61(67%)	24(60%)	0.57
Calcium antagonists	39(43%)	16(40%)	0.89
Amiodarone	2(2%)	1(3%)	0.78
Angiotensin-converting enzyme inhibitors	6(7%)	2(5%)	0.97
Diuretics	10(11%)	3(8%)	0.83
Echocardiographic data			
Resting LV outflow tract gradient– mmHg	92±25	86±19	0.48
Ventricular septal thickness– mm	23±4	24±5	0.23
LV posterior wall thickness– mm	14±2	14±3	0.99
LV end-diastolic diameter– mm	40±4	39±4	0.19
LV end-systolic diameter– mm	23±5	21±6	0.05
Mitral regurgitation– grade	1.5±0.9	1.7±0.8	0.23
Systolic anterior motion of the mitral valve– grade	2.3±0.9	2.4±0.9	0.56
LV ejection fraction– %	69±6	68±6	0.38
Left atrial diameter– mm	49±9	50±11	0.59

Values are mean±SD or n(%) and otherwise stated; CCS = Canadian Cardiovascular Society; HCM = Hypertrophic cardiomyopathy; ICD = Implantable cardioverter defibrillator; LV = Left ventricular; NYHA = New York Heart Association functional class; VF = VT ventricular fibrillation; VT = Ventricular tachycardia

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1. the primary endpoint, suggesting that differences attributed to the type of intervention by
 2. the initial Cox regression analyses were not explained by bias in patient selection on the
 3. basis of their baseline characteristics. Results were expressed as hazard ratios (HRs) with
 4. 95% confidence intervals (CIs). A P value <0.05 was considered significant. SPSS version
 5. 15.0 (SPSS Inc., Chicago, IL, USA) was used for statistical analysis.

6.

7.

8. RESULTS

9.

10. Baseline characteristics

11. **Table 1** lists baseline data of all patients. In 81 (89%) patients ASA was based solely on
 12. patient preference and 10 (11%) patients had a high surgical risk (**Table 2**).

13.

14. **Table 2. Cited Reasons for Selection of ASA or Myectomy**

15. ASA patients (n=91)	
16. Patient preference	81(89%)
17. Co-morbidities	10(11%)
18. Prior failed myectomy	0
19.	
20. Myectomy patients (n=40)	
21. Patient preference	2(5%)
22. Failed ASA	5(13%)
23. Mitral valve abnormalities	31(78%)
24. Three-vessel disease	1(3%)
24. Coronary anatomy not suitable for ASA	1(3%)
25.	

26.

27. Procedural data

28. Mean number of septal perforator arteries used for ASA was 1.1 ± 0.3 . Mean ethanol
 29. volume was 3.5 ± 1.5 mL with a larger volume in the early (1st 25 patients) versus late
 30. (4.5 ± 1.2 mL vs. 2.4 ± 1.0 mL) experience. LV outflow tract peak pressure gradient reduced
 31. from 92 ± 25 at baseline to 8 ± 17 mmHg ($P < 0.001$) immediately post-ASA.

32.

33. Primary endpoint

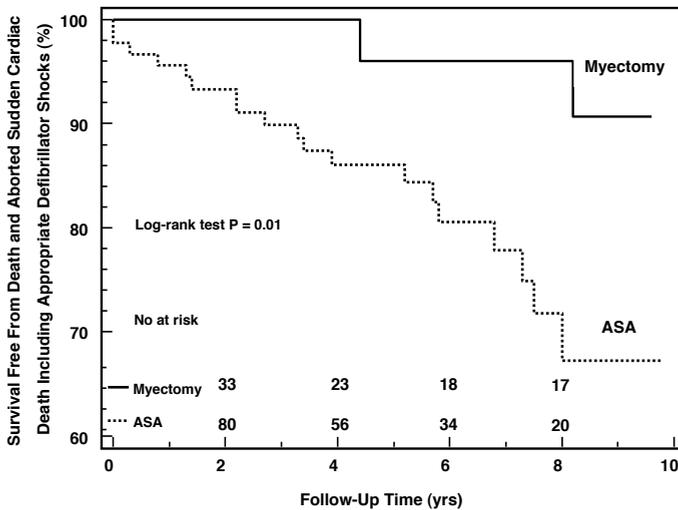
34. During 5.4 ± 2.5 years, one or more events were seen in 35 (38%) patients post-ASA (**Table**
 35. **3**), of whom 19 (21%) met the primary endpoint. Using Kaplan-Meier survival analysis,
 36. the estimated annual rate of the primary endpoint was 4.4% over 8-year (**Figure 1**). Dur-
 37. ing follow-up 11 (12%) patients died due to cardiac causes in 9 and non-cardiac causes
 38. in 2.

39.

Table 3. Study Endpoints Among ASA Patients (n=91)

	Early <30 days	Late ≥30 days	Total
Primary Endpoint (n=19)			
• Total no of patients (percentage)	6(6%)	13(14%)#	19(21%)#
• Cardiac mortality			
-Total	2(2%)	7(8%)	9(10%)
-SCD	1(1%)	6(7%)	7(8%)
-Other cardiac death	1(1%)	1(1%)	2(2%)
• Arrhythmic complications			
-Total	4(4%)	7(8%)	11(12%)
-Resuscitated SCD	4(4%)	3(3%)	7(8%)
-Appropriate ICD shocks	0	4(4%)	4(4%)
Secondary Endpoints (n=28)#			
• Non-cardiac death	0	2(2%)	2(2%)
• ICD implantation	8(9%)	8(9%)	16(18%)
• Inappropriate ICD therapy	0	2(2%)	2(2%)
• Acute myocardial infarction	2(2%)	0	2(2%)
• ASA failure	3(3%)	7(8%)	10(11%)
• Permanent pacemaker dependency	4(4%)	0	4(4%)
• Pericardial effusion	1(1%)	0	1(1%)
• Atrial fibrillation	1(1%)	10(11%)	11(12%)

Some patients had more than 1 endpoint.

**Figure 1:**

Survival free from death and aborted SCD including ICD appropriate therapy of patients with obstructive HCM: treated with ASA (n=91) and patients whom were treated with septal myectomy (n=40). Log-rank, $P=0.01$.

1. *In hospital and 30-days primary endpoint*

2. Procedure-related mortality was seen in 2 patients (2%) due to cardiac tamponade and
 3. intractable VF, respectively. This latter patient died during the ASA procedure after the
 4. first 0.5 mL ethanol injection. Autopsy did not reveal a specific cause. Four (4%) patients
 5. survived in-hospital cardiac arrest. One patient had VF on the day post-ASA; the patient
 6. refused to receive an ICD and died suddenly 2.3 years post-ASA. One patient had intra-
 7. procedural VF and follow-up went uneventful over 7.4 years. One patient survived 2
 8. episodes of in-hospital VF (day 4) and sustained VT 5.6 years post-ASA. One patient
 9. survived in-hospital sustained VT with hemodynamic instability on the day post-ASA. In
 10. these latter 3 patients an ICD was implanted for secondary prevention.

11.

12. *Long-term (>30 days) primary endpoint*

13. During follow-up, 6 patients (7%) died due to SCD and one patient (1%) died 5.8 years
 14. post ASA due to end-stage heart failure. Eleven (12%) patients survived 1 or more episodes
 15. of ventricular tachyarrhythmia. In 4 patients the arrhythmia was successfully terminated
 16. by ICD discharge, for fast VT/VF 0.3, 0.6, 1.3, and 2.6 years after ICD implantation. Cycle
 17. length was 200, 230, 230, 330 ms, respectively (Figure 2). In the remaining 7 patients
 18. without ICD cardiopulmonary resuscitation was needed. Of those 7 patients, one later
 19. died during follow-up) and 3 patients who survived sustained VT with hemodynamic
 20. instability 3.2, 4.4 year and 5.6 years, respectively post-ASA, received an ICD.

21.

22. **Secondary endpoints**

23. Twenty-eight patients (31%) had one or more secondary endpoints.

24.

25. *In hospital and 30-days secondary endpoints (Table 3)*

26. Eight (9%) patients had an ICD implantation. None of these patients had inappropri-
 27. ate discharge during the 30-days follow-up post-ASA. Two patients had non-fatal acute
 28. myocardial infarction due to coronary artery dissection and ethanol spill into the left
 29. anterior descending coronary artery. These 2 patients were managed successfully and
 30. underwent myectomy at a later date. As mentioned before, ASA failure was seen in 3
 31. patients due to technical causes. Four (4%) patients had a high-grade AV block and
 32. received a permanent pacemaker. One patient subsequently had extended hospitalization
 33. for pericardial effusion due to right ventricular perforation from temporary pacemaker
 34. placement. This patient underwent myectomy 2 months later due to unsuccessful reduc-
 35. tion of LV outflow pressure gradient.

36.

37. *Long-term (>30 days) secondary endpoints (Table 3)*

38. Two patients (2%) died due to non-cardiac causes (suicide and cancer 2 years post-ASA).
 39. Eight (9%) patients had an ICD implantation, of whom 2 patients had inappropriate

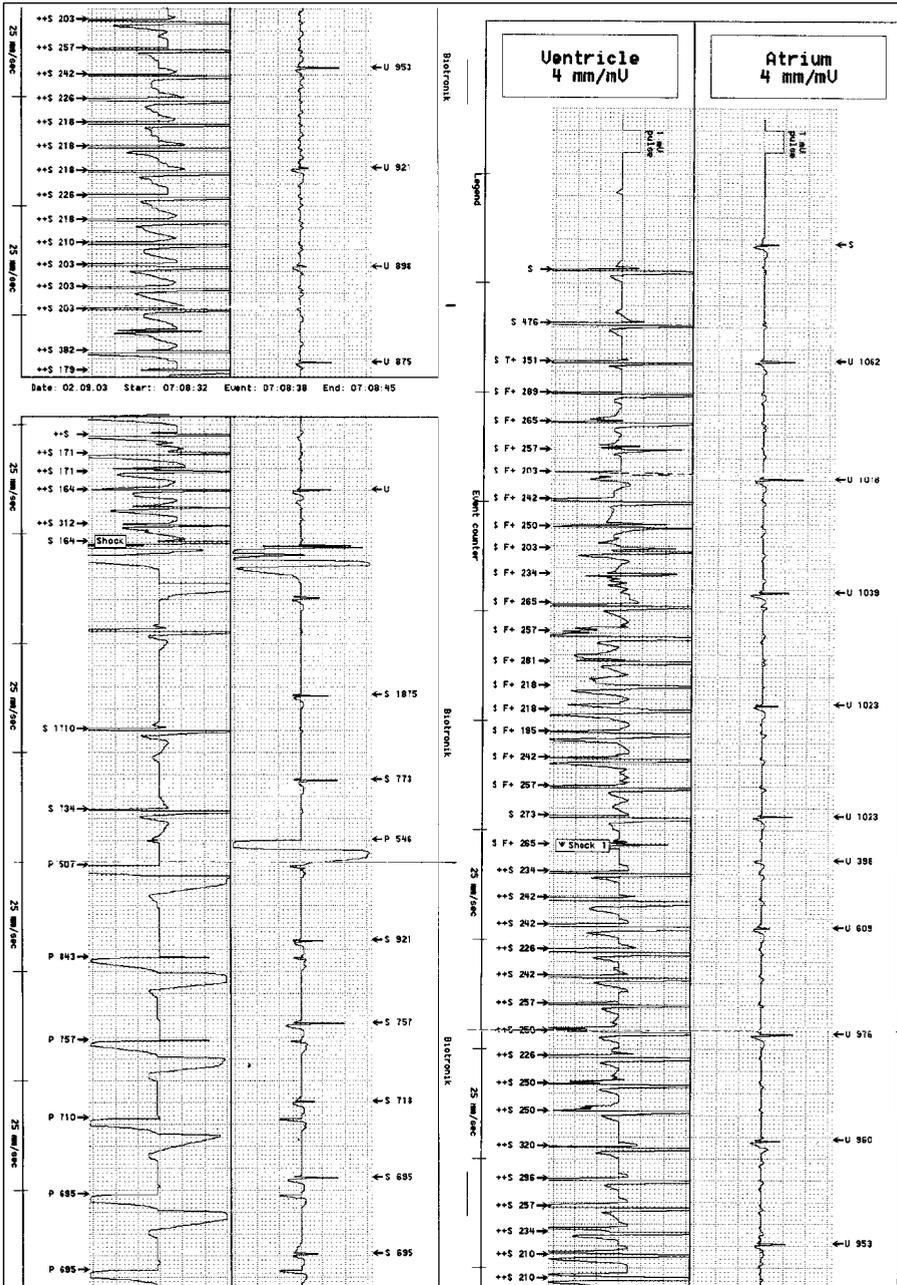


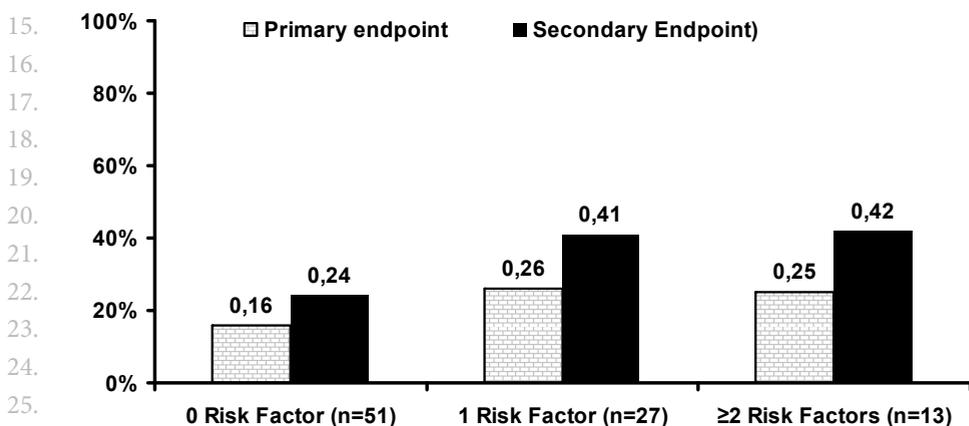
Figure 2: Appropriate ICD therapy for ventricular arrhythmia with atrio-ventricular dissociation. From top to bottom, bipolar atrial electrogram with marker annotations, and ventricular rate-sensing electrogram with marker annotations are shown. The rhythm before the marker annotation " Shock 1" displays a ventricular tachyarrhythmia (mean ventricular cycle length ~200 ms) detected in the ventricular fibrillation detection zone. The arrhythmia is terminated by shock therapy (marker annotation "Shock"), which restores sinus rhythm with atrio-ventricular sequential pacing.

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1. discharges due to atrial arrhythmias. At the most recent follow-up, 10 (11%) patients
 2. had failed ASA, of whom 7 (8%) patients had early successful reduction, but suffered
 3. progressive increase in LV outflow gradient associated with recurrence of symptoms. Five
 4. patients had repeat ASA and 5 patients underwent myectomy. One or more episodes of
 5. atrial fibrillation were reported in 10 (11%) patients.

7. Conventional risk factors for HCM-related SCD and the primary endpoint

8. Forty ASA patients (44%) had at least 1 conventional risk factor for HCM-related SCD,
 9. of whom 13 (14%) had 2 risk factors. No patient had a history of aborted SCD before
 10. ASA. In addition, there was no difference in the number of risk factors between patients
 11. with and without the primary endpoint (0.79 ± 0.79 versus 0.54 ± 0.72 , $P=0.21$). Likewise,
 12. as seen in [Figure 3](#), incidence of primary or secondary end-points was not related to the
 13. number of conventional risk factors for HCM-related SCD.



26. **Figure 3:**

27. Graphic display of the percentage of patients with the study endpoints who had 0, 1, or ≥2 risk factors for
 28. HCM-related SCD.

30. Outcome of myectomy versus ASA patients

31. As seen in [Table 1](#), patients who underwent myectomy had similar baseline character-
 32. istics as ASA patients. Also, comparable immediate reductions of LV outflow gradient
 33. were seen. There was 98% procedural success in myectomy patients and the in-hospital
 34. and 30 days post-operative clinical course went uneventful. During a mean follow-up of
 35. 6.6 ± 2.7 years, 2 (5%) patients died and none had aborted SCD. The 1-, 5-, and 8-years
 36. survival free from the primary endpoint was 100%, 96%, and 96%, respectively, which
 37. was better than seen in the ASA patients (96%, 86%, and 67%, respectively; $\chi^2=5.9$,
 38. log-rank $P=0.01$: [Figure 1](#)). ASA patients had about 4.8-fold annual rate of the primary
 39. endpoint (4.4% versus 0.9%) compared to myectomy patients. A total of 5 (6%) patients

had an ICD implantation in the myectomy group compared with a total of 16 (18%) in the ASA patients and 1 patient had permanent pacemaker for complete AV-block. ICD implantation was for primary prevention indication before (4 patients) and after (1 patient) myectomy. As abovementioned, ICD appropriate shocks were recorded in 4 (25%) of the ICD patients in the ASA group. None of the myectomy patients had an ICD shock during follow-up. Myectomy was repeated in 1 patient due to recurrent obstruction 8 days post-operative and in 1 patient due to dehiscence of the mitral valve patch 6 months post-operative. In both patients post-operative clinical course went uneventful with a follow-up of 8.6 and 3.8 years, respectively.

Cox proportional-hazards regression analysis among the total intervention group (n=131) including baseline variables that are listed on Table 1, type of intervention (ASA versus myectomy), ethanol volume and propensity score showed that only ASA was an independent predictor of the primary endpoint with an unadjusted HR 5.2: 95% CI 1.2 to 22.1, P=0.02; propensity score-adjusted HR 6.1: 95% CI 1.4 to 27.1, P=0.02. Of note large (>2 mL) ethanol volumes were not associated with the primary endpoint (P=0.72) (Figure 4). Importantly, only 1 of 10 (10%) ASA patients in whom myectomy was considered a high-risk procedure met the primary endpoint compared to 18 out of the remaining 81 (22%) low-to-moderate risk patients. The combined rate of post-procedural primary and secondary endpoints in myectomy patients was 15% compared with 38% among ASA patients, ($\chi^2=5.8$; adjusted P<0.02).

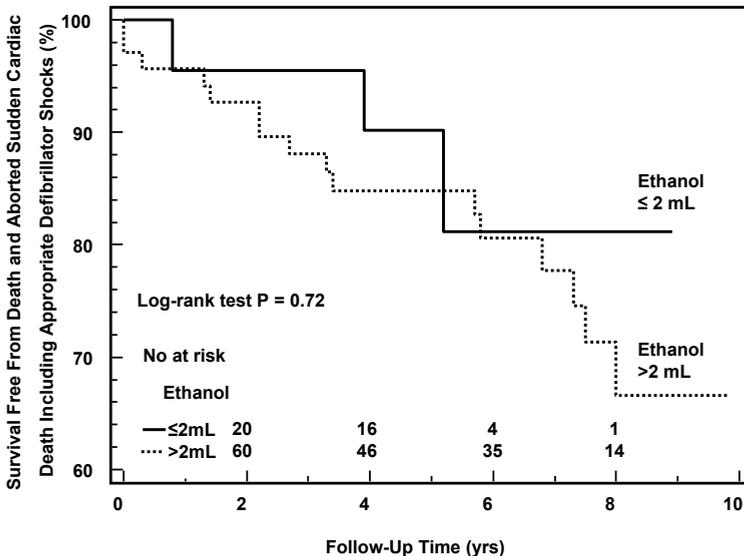


Figure 4: Survival free from death and aborted SCD including ICD appropriate therapy after ASA of patients treated with ≤2mL (in continuous line) and >2mL ethanol (in dotted line).

1. DISCUSSION

2.

3. The main finding of our study is that approximately 1 out of 3 HCM patients who under-
 4. went ASA had major cardiovascular complications during the procedure and follow-up,
 5. including cardiac death or resuscitated SCD in approximately 1 out of 5 patients. Com-
 6. pared with the myectomy patients, ASA patients had a 4.8-fold increase in the estimated
 7. annual primary endpoint, respectively.

8. Of note, the early (30-days) complication rate of ASA patients in our study was similar
 9. to the complication rate reported in a meta-analysis in ~3,000 ASA patients with respect
 10. to mortality (2.2% versus 1.5%), VF rate (3.3% versus 2.2%), permanent pacemaker
 11. dependency (11.0% versus 10.5%), pericardial effusion (1.1% versus 0.6%), and coronary
 12. artery dissection (1.1% versus 1.8%), whereas procedural failure occurred less often
 13. (3.3% versus 11.1%).¹⁵ Importantly, most serious adverse events were late and therefore,
 14. ASA carries a long-term risk. Of note, all ASA patients who died suddenly had no ICD,
 15. where there was no death among the patients who received an ICD. Since its introduction
 16. in 1994, the number of ASA procedures has exceeded the number of surgical procedures
 17. by 10- to 35-fold during the same time period worldwide.¹⁶ The notion of our study is to
 18. provide a word of caution to the increased number of ASA procedures done.

19.

20. Arrhythmogenic ASA-induced scar

21. The potential risk of arrhythmic events due to post-ASA healed myocardial infarction¹⁷ in
 22. patients prone to arrhythmias has been a matter of concern since early practice of ASA.¹⁸
 23. Our group described post-ASA regional hyperenhancement on contrast-enhanced
 24. magnetic resonance imaging in the basal septum in all patients.¹⁹ Mean infarction size
 25. was 20±9 g, corresponding to 10±5% and 31±16% of LV and septal mass, respectively.¹⁹
 26. Frequent episodes of non-sustained VT,^{20, 21} and sustained VT and VF^{9, 21-24} have been
 27. reported in several series early post-ASA. The high arrhythmogenic susceptibility of
 28. HCM patients could be attributed to myocardial fiber disarray.²⁵

29. In a large cohort of HCM patients, ICD interventions appropriately terminated VT/
 30. VF in 20% of patients with a 10.6% annual intervention rate for secondary prevention
 31. after aborted SCD and 3.6% per year for primary prevention of SCD.²⁶ In a recent study,
 32. annual ICD intervention rate was 2.8% over a 3-years period in HCM patients who
 33. underwent ASA and ICD implantation for primary prevention of SCD.²⁷

34. The relation between the size of post-ASA infarction and arrhythmogenic risk is
 35. not clearly understood. In a recent paper, a lower ethanol volume was an independent
 36. predictor of improved long-term survival following ASA,²⁸ a finding that we could not
 37. reproduce. Boekstegers et al. reported that electrophysiologic testing before and 4 to 6
 38. months post-ASA did not suggest enhanced arrhythmogenesis.²⁹ However, most of the

39.

arrhythmic complications in our series occurred after 4 years post-ASA. Of note, the size of the septal infarction in our series¹⁹ was not different from other series.¹⁷

ASA versus surgical treatment of obstructive HCM patients

Ideally, a randomized trial comparing myectomy and ASA should be performed to examine whether they are true equivalent therapeutic options. However, such a trial is unlikely to be undertaken.³⁰ Alternatively, comparison of ASA and myectomy outcomes can be performed by analyzing data from single center registries. Maron et al. found in a small series of ASA patients a 4-fold increase in appropriate ICD intervention rate (10.3% versus 2.6% per year, respectively) compared with patients who had previously undergone surgical septal myectomy.²⁶ In another report, myectomy patients with an ICD had over 10-fold fewer appropriate ICD discharges (0.2% versus 4.3% annually, respectively) compared to non-operated HCM patients with an ICD.³¹ In another report by Sorajja et al. surgical patients had significantly better symptom-free survival compared to ASA (89% versus 71%) over a 4-year follow-up.³² In our HCM cohort ASA induced relief of LV outflow obstruction with subsequent reduction in LV hypertrophy^{6, 11} and improvement in clinical status,^{6, 11, 33} and microcirculation.⁶ However, the incidence of arrhythmic complications and cardiac death was approximately 4-fold as compared to myectomy patients.

In contrast to the findings described above, Kwon et al. did not suggest excess mortality on long-term follow-up in ASA versus myectomy patients when statistically corrected for the higher risk profile of ASA patients.³⁴ However, their primary endpoint did not include arrhythmic complications, which occurred in ~half of patients with the primary endpoint in our series. In addition, “mortality” rate was 3-fold increased in the ASA patients compared to myectomy patients (24% versus 8%). Most of the ASA mortality occurred in patients >65 years old.³⁴ However, a log-rank test between the ASA and myectomy in 28 propensity matched patients was negative,³⁴ obviously because ASA patients >65 years old were excluded in this analysis. In addition, 6 (11%) of the ASA patients underwent myectomy after failed ASA despite being high-risk candidates.³⁴ It is not clear to what extent these crossed over patients to myectomy contributed to the mortality in that group. Fernandes et al. recently reported a 1.1% rate of SCD on long-term follow-up post-ASA³⁵ However, contrary to our study 8% of patients were lost to follow-up.³⁵ In addition, no data on arrhythmic complications were reported.

Risk factors of HCM-related SCD and post-ASA outcome

There is uncertainty as to precisely identify HCM patients at-greatest risk of SCD.³⁶ In some studies, a single risk factor for SCD was sufficient to justify prophylactic ICD,²⁶ whereas in other studies well known risk factors were not predictive of ICD therapy.²⁷ In our study the number of risk factors for SCD in ASA patients was very low and was

1. not different between patients with and without the primary endpoint. Moreover, the 9
2. patients who died due to cardiac cause without ICD had no major or minor risk factors
3. for SCD except for 1 patient who had a family history of SCD and had recurrent episodes
4. of non-sustained VT. A logical inference of our risk factor data is that ASA itself is a risk
5. factor for SCD independent of the amount of ethanol, age and co-morbidities.

6. Of note, appropriate ICD discharges are not equivalent to SCD. In addition, the small
7. sample size, might not rule out completely the possibility that large dosages of alcohol
8. would result in a larger myocardial infarction and thus more of a propensity to develop
9. ventricular arrhythmias.

10.

11.

12. **CONCLUSIONS**

13.

14. The therapeutic choice for ASA is limited by the lack of long-term safety data as com-
15. pared to myectomy. Since a randomized study between ASA and myectomy is unlikely to
16. be performed we can only rely on registries in single centers. Our data show that ASA is
17. effective but has potentially adverse long-term effects. This poses special precaution given
18. the fact that ASA is practiced worldwide at increasing rates. We recommend myectomy as
19. the preferred treatment of choice in patients with symptomatic obstructive HCM.

20.

21. **Acknowledgments**

22. The authors are grateful to Dr Eric Boersma, PhD (Department of Epidemiology and
23. Statistics, Erasmus University, Rotterdam, The Netherlands), for the commenting on
24. statistical analysis.

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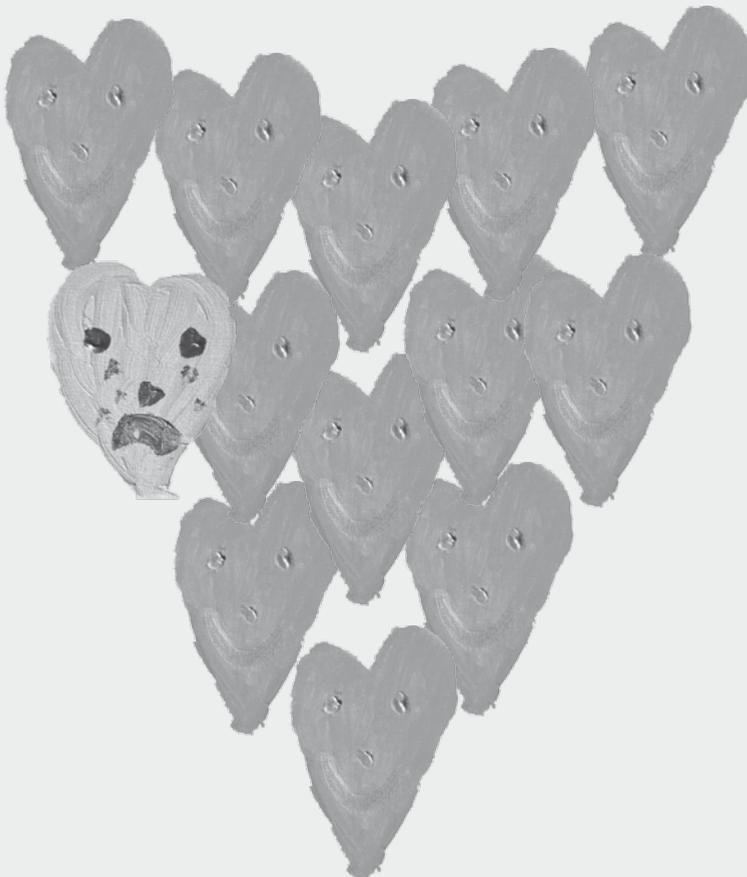
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Chapter 13

Effects of successful alcohol septal ablation on microvascular function in patients with obstructive hypertrophic cardiomyopathy



Soliman OI, Geleijnse ML, **Michels M**, Dijkmans PA, Nemes A, van Dalen BM,
Vletter WB Serruys PW, ten Cate FJ

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ABSTRACT

We hypothesized that relief of obstruction in patients with hypertrophic cardiomyopathy (HC) by percutaneous transluminal septal myocardial ablation (PTSMA) improves micro-vascular dysfunction by relief of extravascular compression. Microvascular dysfunction in obstructive HC is related to extravascular compression by increased left ventricular (LV) mass and LV end-diastolic pressure. The study included 14 patients with obstructive HC (mean age 55 ± 12 years, 11 men) who underwent successful PTSMA and 14 healthy volunteers (mean age 31 ± 4 years, 11 men). LV hemodynamics (by Doppler echocardiography) and intramyocardial flow dynamics (by adenosine myocardial contrast echocardiography) were evaluated in healthy volunteers and before and 6 months after PTSMA in patients with HC. LV end-diastolic pressure was estimated from the ratio of transmitral early LV filling velocity to early diastolic mitral annular velocity. PTSMA reduced the invasively measured LV outflow tract gradient (119 ± 35 vs 17 ± 16 mm Hg, $p < 0.0001$) and LV end-diastolic pressure (23 ± 3 vs 16 ± 2 mm Hg, $p < 0.001$). Six months after PTSMA, myocardial flow reserve improved (2.73 ± 0.56 vs 3.21 ± 0.49 , $p < 0.001$), but did not normalize compared with healthy controls (vs 3.95 ± 0.77 , $p < 0.001$). Also, septal hyperemic endo-to-epi myocardial blood flow ratio improved (0.70 ± 0.11 vs 0.92 ± 0.07 , $p < 0.001$). Changes in LV end-diastolic pressure, LV mass index, and LV outflow tract peak systolic gradient correlated well with changes in hyperemic perfusion (all $p < 0.05$). In conclusion, microvascular dysfunction improves after PTSMA due to relief of extravascular compression forces.

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1. INTRODUCTION

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3. The mechanism by which percutaneous transluminal septal myocardial ablation
4. (PTSMA) improves symptoms in patients with obstructive hypertrophic cardiomyopathy
5. (HC) is not well elucidated. Myocardial contrast echocardiography (MCE) has emerged
6. as a promising novel bedside technique that allows quantification of myocardial perfusion
7. at the microvascular level¹ and has been validated against several nuclear stress testing
8. techniques.¹⁻³ We hypothesized that reduction of left ventricular (LV) outflow tract peak
9. systolic gradient after PTSMA would decrease LV mass and wall stress, thereby improving
10. myocardial micro-vascular dysfunction.

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13. METHODS

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15. The study comprised 14 consecutive patients (mean age 55 ± 12 years, 11 men) with obstruc-
16. tive HC and normal epicardial coronary arteries who underwent successful PTSMA and
17. 14 healthy volunteers (mean age 31 ± 4 years, 11 men) who served as a control group. All
18. patients with HC were in New York Heart Association functional class III with or without
19. angina pectoris and had a LV outflow tract peak systolic gradient ≥ 50 mm Hg at rest or
20. provocation. The study protocol consisted of clinical evaluation, standard 2-dimensional
21. echocardiography, and adenosine MCE at baseline and 6 months after PTSMA. All sub-
22. jects gave informed consent and the hospital review board approved the study protocol.

23. The PTSMA procedure was performed as previously described.⁴ In brief, using stan-
24. dard Judkins technique, a 6F pacemaker lead was placed in the right ventricle, a 6F pigtail
25. catheter was positioned into the LV, and a 7F Judkins guiding catheter in the ascending
26. aorta. LV outflow tract peak systolic gradient was continuously monitored through-out
27. the whole procedure. After initial coronary angiography for localizing the origin of the
28. septal perforating arteries, a 1.5 to 2.5×10 mm balloon catheter was introduced over
29. a 0.014-inch guide wire into the target perforator artery and inflated. Contrast agent
30. (SonoVue, Bracco, Geneva, Switzerland) was then injected through the balloon catheter
31. shaft during simultaneous registration of transthoracic 2-dimensional echocardiography
32. to determine the part of the myocardium supplied by the targeted septal artery. If no
33. leakage of contrast occurred into the LV cavity, ethanol was slowly (1 ml/min) injected
34. to a maximum of 5 ml (mean 2.5 ml); 5 minutes after ethanol injection, the balloon was
35. deflated and coronary angiography was repeated. A successful procedure was defined
36. as the reduction in LV outflow tract peak systolic gradient of $\geq 50\%$ of baseline. If the
37. results were not satisfactory, the whole procedure was repeated in another septal branch.
38. LV end-diastolic pressure, LV end-systolic pressure, and LV outflow tract peak systolic
39. gradient were determined at baseline and immediately after PTSMA.

Echocardiography

In accordance to guidelines,⁵ all patients underwent a standard 2-dimensional echocardiographic examination with tissue Doppler imaging in spectral mode using a Sonos 7500 ultrasound system (Philips, Best, The Netherlands), including continuous- and pulsed-wave Doppler recording of LV outflow tract peak systolic gradient (at rest and after Valsalva maneuver), mitral inflow, and other Doppler parameters.⁶ Mitral regurgitation was graded on a scale from 0 (no regurgitation) to 4 (severe regurgitation). M-mode measurements of septal and posterior wall thickness, and LV dimensions were done in accordance to guidelines.⁷ LV end-diastolic and end-systolic volumes and LV ejection fraction (by the modified bi-plane Simpson rule) were calculated from the apical 4-chamber and 2-chamber views. LV mass index was assessed with the 2-dimensional area-length method.^{7,8} LV end-diastolic pressure was estimated as $E/E' \times 1.25 + 1.9$, where E/E' is the dimensionless ratio of transmitral early LV filling velocity to early diastolic tissue velocity of the lateral mitral annulus.⁹

Real-time MCE

All image acquisitions were performed by a single expert sonographer (WBV) as previously described,¹⁰ in accordance to guidelines.¹¹ Calcium antagonists and β -blockers were discontinued 48 hours before stress test. MCE was performed using a continuous infusion of contrast agent at a mean rate of 1.0 ml/min. A parallel infusion of 0.9% saline at a rate of 250 ml/h was performed via the same infusion set. The infusion rate was adjusted to obtain maximal opacification of the LV myocardium and to minimize basal attenuation because of intracavitary contrast. Image acquisition was started before contrast injection and during 4 minutes of continuous infusion of contrast agent to allow for steady blood concentration of the micro-bubbles. Images were acquired with the Sonos 7500 ultrasound system equipped with a broadband 1.8 to 4 MHz transducer. Machine settings were adjusted before contrast imaging for optimal gain and color settings and kept constant throughout the study. Contrast image acquisitions were recorded with a low mechanical index (0.1). These settings were interrupted with transient bursts (flash imaging) of high mechanical index (1.8) manually triggered at peak contrast intensity to allow for microbubbles destruction within the LV myocardium. After these flashes were applied, images were recorded for 15 cardiac cycles representing contrast replenishment within the LV myocardium. Image acquisition was done during quiet respiration to minimize cardiac motion and images from the same moment in the respiratory cycle were considered for analysis. All data were digitally stored and sent to a separate workstation for off-line analysis. Stress images were recorded after 8 minutes of adenosine infusion at a rate of 140 μ g/kg/min. During the stress study, heart rate and blood pressure were recorded every 3 minutes.

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1. Images were analyzed using QLAB (Philips, Best, The Netherlands) quantification
 2. software. End-systolic images were selected and fixed-size noninfarcted regions of interest
 3. were manually drawn according to segments defined by standard 16-segment model.¹²
 4. In addition, regions of interest were placed in the adjacent LV cavity to compensate for
 5. intracavitary contrast intensity. Then, contrast time-intensity curves were calculated for
 6. each available LV segment as previously described.¹ Logarithmic signal compression was
 7. removed, and linearized signal intensity data were expressed in arbitrary units. Absolute
 8. myocardial blood flow (MBF) was calculated using the model described by Vogel et al.²
 9. In brief, absolute MBF is calculated from the formula $MBF = rBV \times \beta / \rho \hat{O} = (A/ALV) \times \beta /$
 10. $\rho \hat{O}$, where rBV is the relative blood volume pool that can be calculated by dividing the
 11. plateau intensity of myocardial contrast (A) by the maximal contrast intensity of the
 12. adjacent LV regions of interest (ALV). β is the exchange frequency or velocity of this
 13. blood volume calculated from refill curves after microbubbles flash destruction. $\rho \hat{O}$ is
 14. the myocardial density in grams and equal to 1.05 g. Myocardial intensity data were cor-
 15. rected for noncontrast signals arising from the tissue by subtracting the signal intensity
 16. of the first frame after microbubbles destruction. β (s⁻¹) was derived from the frames
 17. after microbubbles destruction and then was transformed into min⁻¹. Signal averaging
 18. of all but the frames during microbubbles destruction including the first one after micro-
 19. bubbles destruction yielded the signal intensity of the LV ALV.

20. As previously described,¹⁰ myocardial perfusion parameters were calculated by averag-
 21. ing data from available non-infarcted myocardial segments. MBF was calculated in ml.min-
 22. 1.g-1 from relative blood volume (ml.ml⁻¹) and (s⁻¹), which is converted to minutes. Because
 23. at rest MBF is related to the rate-pressure product, corrected at rest MBF was calculated as
 24. $MBF \times \text{rate-pressure product}^{-1} \times 10K$. MBF reserve was calculated by dividing hyperemic
 25. and at rest values. Values of LV rate-pressure product— (LV outflow tract peak systolic
 26. gradient + systolic blood pressure) \times heart rate— were used for correction.

27. For evaluation of transmural MBF, 2 regions of interest were manually drawn in the
 28. midseptal and midlateral territories, 1 over the subendocardium, 1 over the subepicar-
 29. dium, and a third region of interest in the LV cavity as stated before. MBF values were
 30. calculated both at rest and during hyperemia. The subendocardial-to-subepicardial
 31. (endo-to-epi) MBF ratio at rest and during hyperemia was calculated.

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33. Statistical analysis

34. Continuous variables were expressed as mean \pm SD and categorical variables were
 35. expressed as number (percentage). The chi-square and Fischer exact tests were applied
 36. to compare categorical variables. Paired and unpaired t tests were used for the evaluation
 37. of effects of PTSMA. Correlations between changes in different parameters induced by
 38. PTSMA were assessed using linear regression analysis. Statistical significance was set at
 39. $p < 0.05$. All statistics were performed using SPSS version 12.0.2 for Windows (SPSS

Inc., Chicago, Illinois). Size of regions of interest and method of analysis were fixed for accurate comparison between baseline and follow-up MCE data and between observers. To determine the variability in MCE measurements, a second observer performed all MCE measurements before and after PTSMA. For assessment of intraobserver variability, the first investigator reanalyzed the whole study population after 1 month from the first analysis. The variability, expressed as percentage, was calculated for each patient as the absolute difference between 2 measurements divided by the mean of the 2 measurements. Each second analysis was done blinded to other results.

RESULTS

Baseline and 6 months post-PTSMA characteristics of the 14 patients with HC are summarized in [Table 1](#). New York Heart Association functional class decreased from 3.0 ± 0.3 to 1.2 ± 0.4 ($p < 0.0001$), and none of the patients had angina pectoris at 6-month follow-up after PTSMA. After-PTSMA medications such as β -blockers and calcium antagonists were withheld in most patients.

Table 1 Clinical, hemodynamic, and echocardiographic characteristics of the 14 patients with obstructive hypertrophic cardiomyopathy (HC) before and after percutaneous transluminal septal myocardial ablation (PTSMA)

Variable	Pre-PTSMA		Post-PTSMA		p Value
	(n	14)	(n	14)	
Age (yrs)	55 ± 12				
Men	11 (79%)				
β -blockers	11 (79%)		1 (7%)		< 0.0001
Calcium antagonists	7 (50%)		1 (7%)		0.04
NYHA functional class	3.0 ± 0.3		1.2 ± 0.4		< 0.0001
Angina pectoris	10 (71%)		0		< 0.0001
LV end-diastolic pressure (mm Hg)	23 ± 3		16 ± 2		< 0.0001
LVOT gradient (mm Hg)	82 ± 16		10 ± 7		< 0.001
Heart rate (beats/min)	60 ± 8		60 ± 7		NS
Systolic blood pressure (mmHg)	129 ± 9		132 ± 11		NS
IVS (mm)	23 ± 4		15 ± 3		< 0.001
LVPW (mm)	14 ± 2		11 ± 1		< 0.01
LV mass index (g/m ²)	196 ± 45		136 ± 22		< 0.001
LVED (mm)	39 ± 3		44 ± 4		< 0.001
MR	2.0 ± 0.4		0.5 ± 0.5		< 0.0001
SAM	1.0 ± 0.0		0.4 ± 0.5		< 0.0001
LV ejection fraction (%)	66 ± 5		60 ± 8		0.03
Mitral E-wave velocity (cm/s)	85 ± 20		75 ± 19		NS
Lateral mitral annular E'-wave (cm/s)	5.0 ± 0.7		6.9 ± 0.9		< 0.001
Lateral mitral annular E/E'ratio	18 ± 2		11 ± 2		< 0.001

p versus baseline

1. Immediately after PTSMA, the invasive LV outflow tract peak systolic gradient decreased
 2. from 119 ± 35 to 17 ± 16 mm Hg ($p < 0.0001$). After 6-months follow-up, structural reverse
 3. LV remodeling and improvement in diastolic function was evidenced by reduction in LV
 4. mass index and improvement in E/E' ratio, respectively. Furthermore, Doppler-estimated
 5. and catheter-based measurements of LV end-diastolic pressure ($r = 0.78$, $p < 0.001$), and
 6. LV outflow tract peak systolic gradient ($r = 0.83$, $p < 0.001$) correlated well.

7. About 80% of the myocardial segments were available for analysis of myocardial
 8. perfusion parameters by MCE. About 70% of the nonanalyzable segments were basal
 9. anterolateral. For calculation of the post-PTSMA myocardial perfusion parameters,
 10. the infarcted territories (anteroseptal basal segments) were excluded from analysis. The
 11. interobserver variability was 10%, 13%, and 18% for MBF, relative blood volume, and β ,
 12. respectively. The intraobserver variability was 8%, 8%, and 14% for MBF, relative blood
 13. volume, and β , respectively.

14. At rest global MBF corrected for LV rate-pressure product improved (0.92 ± 0.13 vs
 15. 0.99 ± 0.13 ml.min⁻¹.g⁻¹ $p < 0.01$; **Table 2**) after PTSMA but did not normalize (1.13 ± 0.25
 16. ml.min⁻¹.g⁻¹, $p < 0.001$) compared with healthy controls. Likewise, the hyperemic MBF
 17. showed improvement (2.51 ± 0.50 vs 3.18 ± 0.49 ml.min⁻¹.g⁻¹, $p < 0.001$) resulting in
 18. improved MBF reserve (2.73 ± 0.56 vs 3.21 ± 0.49 ml.min⁻¹.g⁻¹, $p < 0.001$) after PTSMA
 19. but did not reach normal values for hyperemic MBF (4.34 ± 0.78 ml.min⁻¹.g⁻¹, $p < 0.001$)
 20. and for MBF reserve (3.95 ± 0.77 ml.min⁻¹.g⁻¹, $p < 0.001$) compared with healthy controls
 21. (**Figures 1 and 2**).

22. At rest mean relative blood volume improved (0.093 ± 0.018 vs 0.113 ± 0.021 ml.ml⁻¹,
 23. $p < 0.001$) after PTSMA but did not normalize (0.138 ± 0.014 ml.ml⁻¹, $p < 0.001$) compared
 24. with healthy controls. Likewise, during hyperemia relative blood volume improved
 25. (0.107 ± 0.024 vs 0.153 ± 0.033 ml.ml⁻¹, $p < 0.001$) after PTSMA but did not normalize
 26. (0.188 ± 0.024 ml.min⁻¹, $p < 0.001$) compared with healthy controls. Mean β decreased at
 27. rest (9.7 ± 2.9 vs 8.8 ± 2.7 ml.ml⁻¹, $p < 0.01$) and during hyperemia (24.7 ± 6.6 vs 21.5 ± 5.7
 28. ml.ml⁻¹, $p < 0.01$) after PTSMA. All myocardial perfusion data are summarized in **Table 2**.

29. After PTSMA mean endo-to-epi MBF ratio in the mid-septal region improved dur-
 30. ing rest (0.84 ± 0.12 vs 0.96 ± 0.11 , $p < 0.001$) and during hyperemia (0.70 ± 0.11 vs 0.92
 31. ± 0.07 , $p < 0.001$). Furthermore, hyperemic mean endo-to-epi MBF ratio in the lateral wall
 32. improved (0.74 ± 0.23 to 0.89 ± 0.14 , $p < 0.01$) after PTSMA (**Table 2** and **Figure 2**).

33. Linear regression analysis revealed good correlations between percentage change
 34. (Δ) LV end-diastolic pressure and Δ hyperemic MBF ($r = 0.66$, $p < 0.01$), Δ MBF reserve
 35. ($r = 0.71$, $p < 0.01$), Δ hyperemic relative blood volume ($r = 0.66$, $p < 0.01$), and Δ hyperemic
 36. endo-to-epi ratio ($r = 0.78$, $p < 0.001$). Comparable correlations were found between Δ LV
 37. mass index and these perfusion variables. In addition, there was an increase in hyperemic
 38. endo-to-epi ratio and MBF reserve in almost all patients.

39.

Table 2 Myocardial perfusion data of the 14 patients with obstructive hypertrophic cardiomyopathy before and after percutaneous transluminal septal myocardial ablation

Variable	Pre-PTSMA (n = 14)	Post-PTSMA (6 months) (n = 14)	p Value†	Healthy Controls (n = 14)	p Value‡
Resting					
MBF (ml.min ⁻¹ .g ⁻¹)*	0.92±0.13	0.99±0.13	0.01	1.13±0.25	<0.001
Relative BV (ml. ml ⁻¹)	0.093±0.018	0.113±0.021	0.001	0.138±0.014	<0.001
BV exchange velocity (ml.min ⁻¹)	9.7±2.9	8.8±2.7	0.01	7.7±4.2	NS
Endo-to-epi ratio MBF (septal)	0.84±0.12	0.96±0.11	0.001	1.02±0.05	<0.01
Endo-to-epi ratio MBF (lateral)	0.91±0.18	0.94±0.14	0.389	1.05±0.10	<0.01
Hyperemic					
MBF (ml.min ⁻¹ .g ⁻¹)	2.51±0.50	3.18±0.49	0.001	4.34±0.78	<0.001
Relative BV (ml. ml ⁻¹)	0.107±0.024	0.153±0.033	0.001	0.188±0.024	<0.001
BV exchange velocity (ml. ml ⁻¹)	24.7±6.6	21.5±5.7	0.01	23.1±6.2	NS
Endo-to-epi ratio MBF (septal)	0.70±0.11	0.92±0.07	0.001	0.99±0.10	NS
Endo-to-epi ratio MBF (lateral)	0.74±0.23	0.89±0.14	0.01	1.07±0.11	NS
Reserve					
MBF (ml.min ⁻¹ .g ⁻¹)	2.73±0.56	3.21±0.49	0.001	3.95±0.77	<0.001
Relative myocardial BV (ml. ml ⁻¹)	1.15±0.12	1.35±0.17	0.01	1.36±0.20	NS
BV exchange velocity (ml. ml ⁻¹)	2.55±1.36	2.44±1.45	0.231	2.84±1.82	<0.001

*MBF is corrected for rate-pressure-product at rest

† p versus baseline

‡ p versus post-PTSMA

(MBF=myocardial blood flow, BV=blood volume)

DISCUSSION

The main finding of our study is that patients with obstructive HC and normal epicardial coronary arteries have impaired vasodilator reserve, in particular in the subendocardium, as evidenced by adenosine stress MCE. After successful PTSMA, a decrease in LV outflow tract peak systolic gradient, LV mass index, and LV end-diastolic pressure and an improvement in vasodilator reserve in non-infarcted myocardium, including the endo-to-epi ratio, were seen. The degree of reduction in LV outflow tract peak systolic gradient, LV mass index, and LV end-diastolic pressure was correlated to the degree of improvement in vasodilator reserve.

It is well known that myocardial perfusion and vasodilator reserve is blunted in patients with obstructive HC.¹³⁻¹⁷ However, the effects of PTSMA on myocardial perfusion in

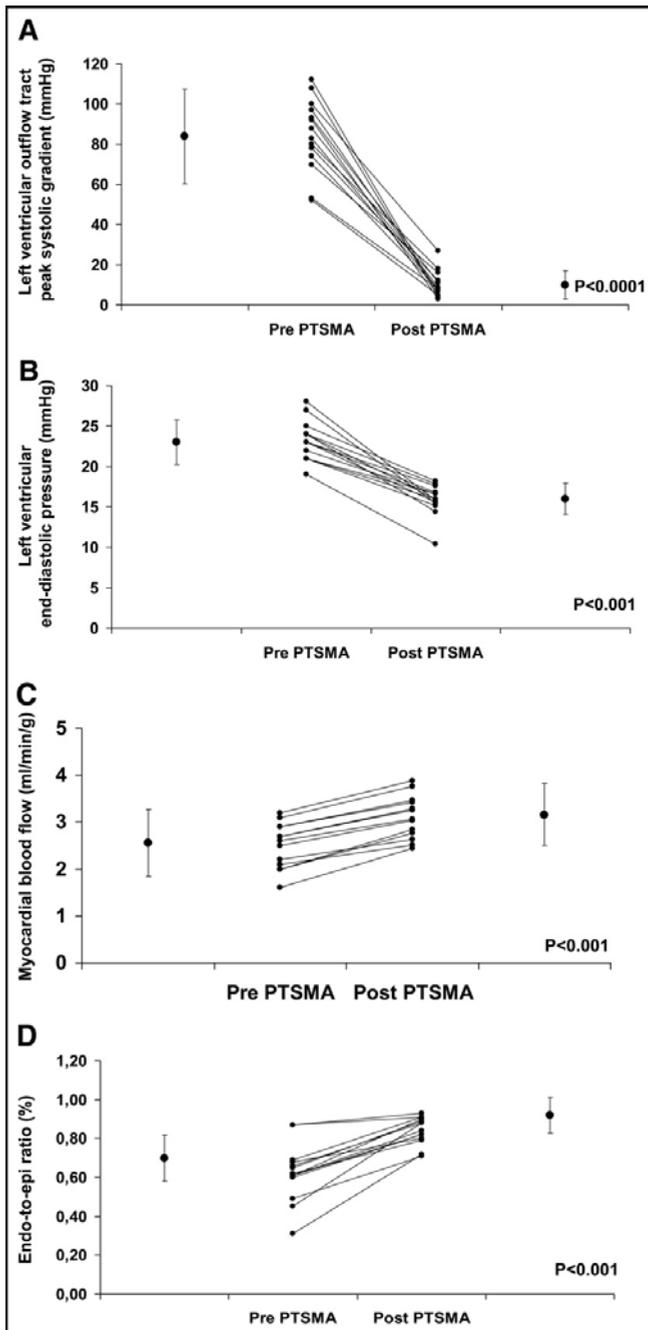
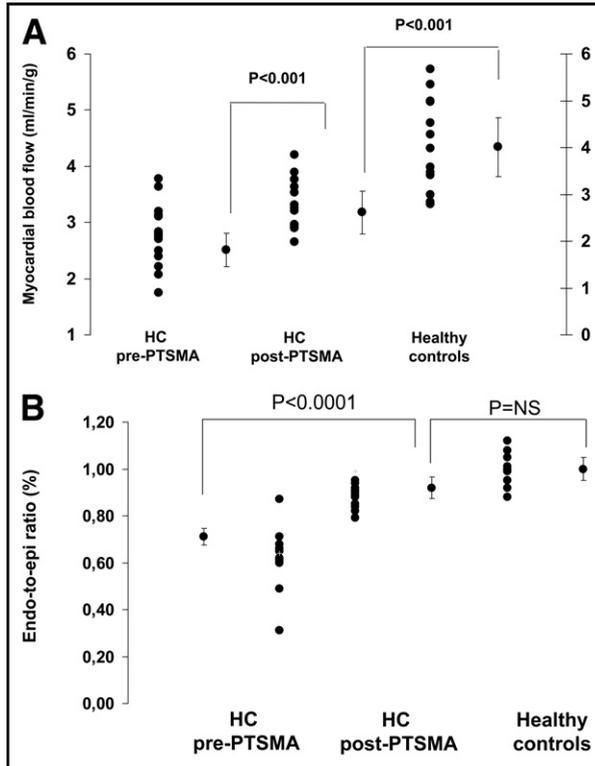


Figure 1:

Changes after ASA in the 14 patients with HCM: (A) LV outflow tract pressure gradient, (B) LV end-diastolic pressure, (C) myocardial flow reserve, and (D) hyperemic endo-to-epi ratio

**Figure 2:**

Baseline and hyperemic changes in MBF (A) and septal endo-to-epi ratio (B) in patients with obstructive HCM after ASA compared with values from healthy controls.

patients with HC are not well known. In a report of a single patient with nonobstructive HC, redistribution of MBF during pacing was shown by positron emission tomography.¹⁸ In an earlier report we showed that, in patients with obstructive HC, perfusion abnormalities could be reversed after PTSMA, evidenced by improved replenishment velocities derived from the myocardial contrast intensity curves.^{19, 20} Quantitative data about myocardial perfusion after PTSMA have not been published. MCE has several advantages compared with competing imaging techniques, such as superior spatial resolution, is free of radiation, and is not limited by contraindications such as claustrophobia and pacing devices. It has the unique property of accurate quantification of the intravascular relative blood volume as a surrogate of capillary density and myocardial blood flow in milliliter per gram per minute.²

In patients with HC, both intravascular and extravascular compression forces are possible mechanisms for myocardial perfusion abnormalities.¹⁰ Intravascular (or autoregularity) mechanisms include reduced myocardial capillary density and vascular remodeling resulting from perivascular and myocardial deposition of collagen,^{13, 21} seen

1. in histological specimens from patients with HC.^{22,23} In addition, patients with HC have
2. stiffened myocardium with impaired LV relaxation and elevated LV filling pressures that
3. may limit diastolic coronary perfusion due to increased extravascular compression.^{10,24}
4. The relation between extravascular compression and coronary perfusion is best depicted
5. from the inverse relation between elevated LV end-diastolic pressure and coronary
6. perfusion. Elevated LV end-diastolic pressure produces linear decrements in coronary
7. perfusion by reducing coronary perfusion pressure, which is a result of diastolic systemic
8. pressure and LV end-diastolic pressure. The extravascular compression forces seem to be
9. potentially modifiable factors that can be reversed by interventions such as PTSMA. In
10. some studies early and sustained improvement of LV diastolic function after PTSMA was
11. demonstrated.^{25,26} Therefore, it seems possible for PTSMA to improve myocardial flow
12. dynamics. Despite the relatively small number of patients in our study, we showed that
13. relief of LV outflow tract obstruction and improvement in diastolic relaxation with drop
14. in LV end-diastolic pressure were correlated with improvement in hyperemic myocardial
15. perfusion, in particular in the subendocardium. Notwithstanding the relief of extravas-
16. cular compressing forces, the myocardial perfusion does not normalize after PTSMA.
17. This can be explained by the residual vascular remodeling and fibrosis that contributes in
18. limiting myocardial perfusion in patients with HC.

19. Patients with HC suffer disabling symptoms, such as dyspnea and chest pain. These
20. symptoms have been related to a stiffened myocardium with diastolic dysfunction²⁷ and
21. perfusion abnormalities.²⁸ PTSMA has been shown to improve symptoms in selected
22. candidates with severe obstructive HC and refractory symptoms.²⁹ Such improvement
23. in symptoms may be related to improved diastolic function^{25,26,30} and myocardial perfu-
24. sion. In the present study, for the first time, we have shown that symptomatic improve-
25. ment may be, at least in part, related to improved myocardial perfusion after PTSMA.
26. Moreover, the correlation between the degree of improvement in vasodilator reserve and
27. the degree of reduction in extravascular compression by increments of LV outflow tract
28. peak systolic gradient, LV mass index, and LV end-diastolic pressure emphasizes our
29. previous finding that suggests a possible causative relation of extravascular compression
30. to myocardial perfusion.

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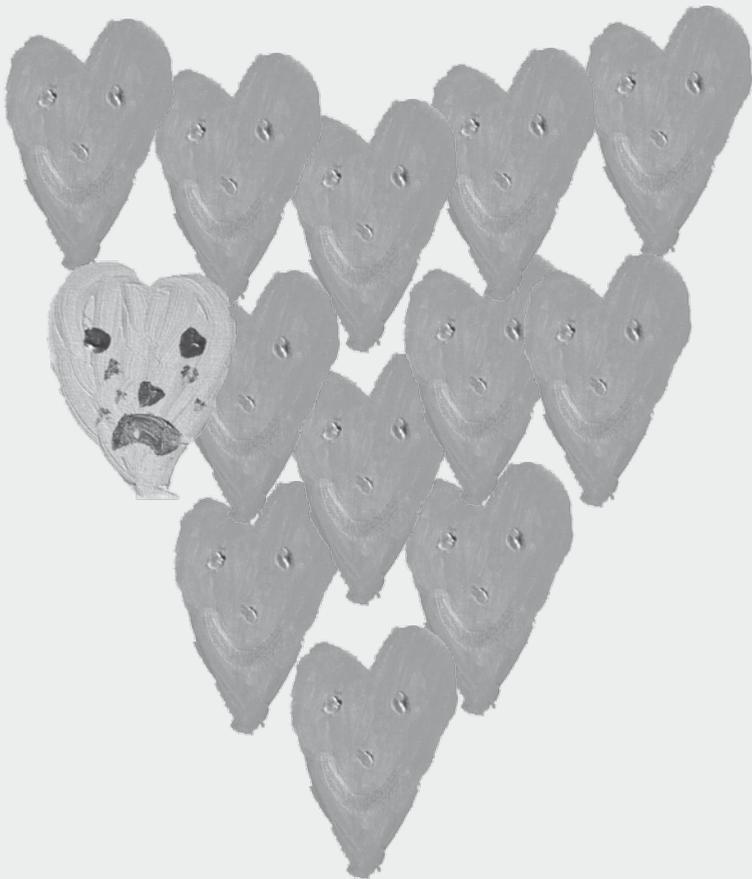
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Part IV

Summary and Conclusions



Chapter 14

Summary and Conclusions



1. SUMMARY

2.

3. Ever since the first description of hypertrophic cardiomyopathy (HCM) as a clinical
4. entity in 1958, the disease has intrigued both clinicians and researchers mainly because
5. of the heterogeneity in clinical, morphologic and genetic aspects of the disease.

6. HCM patients vary in presentation from being lifelong asymptomatic to sudden
7. cardiac death (SCD) at young age, development of heart failure, supraventricular and
8. ventricular arrhythmias and cerebrovascular events. Left ventricular hypertrophy (LVH)
9. can be mild to extreme (> 30 mm) and in most cases is asymmetrical with the septum
10. most heavily affected. However, LVH can also be symmetrical or apical. Up to 70% of
11. patients with HCM have obstruction in the left ventricular outflow tract (LVOT) at rest
12. or during provocation.

13.

14. Pathophysiology

15. One of the great challenges in HCM is to predict which patients are more prone to dete-
16. rioration. The decrease in coronary flow reserve (CFR) is an important phenomenon in
17. HCM, since it predisposes patients to myocardial ischemia and moreover decrements in
18. CFR are a strong predictor of clinical deterioration and death. In **chapter 2** we studied
19. CFR in the left anterior descending artery in 10 HCM patients and compared them with
20. 8 heart transplantation patients to investigate to which extent hemodynamic, echocardi-
21. ographic and histological parameters contribute to the reduction of CFR. The CFR reduc-
22. tion in HCM patients was related with increased septal thickness, increased indexed LV
23. mass, increased LVOT gradient and the degree of arteriolar dysplasia with thickened walls
24. and narrowed lumen, causing a decrease in % luminal area of the arterioles. A reduction
25. in capillary density was present in HCM patients, but this was not significantly related
26. with the reduction of CFR.

27. Diastolic dysfunction is present in almost all HCM patients and can be difficult to
28. manage. There have been reports describing diastolic dysfunction detected with tissue
29. Doppler imaging (TDI) in HCM mutation carriers without LVH and this could pos-
30. sibly be used for screening of family members in HCM. The latter would be especially
31. interesting in those HCM families in which presymptomatic DNA testing is not possible
32. because of the lack of identification of an underlying HCM mutation. In **chapter 3** we
33. investigated diastolic function by TDI in carriers of Dutch founder mutations in *cardiac*
34. *myosin protein C* (*MyBPC3*), with and without LVH and compared them to healthy
35. controls. We did find an abnormally ($>2SD$ in normal population) increased late mitral
36. annular diastolic velocity in half of the population of mutation carriers with normal basal
37. ECG and echocardiograms, supporting the theory that diastolic dysfunction is a primary
38. component of preclinical HCM. However, carriers could not be identified from healthy
39. controls based on TDI velocities.

Diastolic dysfunction in HCM is also the subject of **chapter 4**, in which we used speckle tracking to investigate LV untwisting in 75 HCM patients and 75 control subjects. In early diastole, before mitral valve opening, LV untwisting contributes to LV diastolic suction. LV untwisting rate depends on passive properties and the amount of potential energy stored by systolic LV twist that can be converted into kinetic energy used for LV untwisting, and active properties such as the contribution of still depolarized subendocardial fibres in early diastole. In HCM patients with a reverse septal curvature, less systolic twist, impaired compliance, and subendocardial ischemia may all contribute to a reduced untwisting rate. In HCM patients with a sigmoidal septal curvature, LV apical rotation and twist are increased and the higher amount of energy stored seems to fully compensate for the loss of active LV untwisting and impaired compliance.

In **chapter 5** we investigated if protein composition and function of the sarcomere are altered in a homogenous HCM patient group with frameshift mutations (c.2373dupG and c.2864_2865delCT) in *myosin binding protein C (MYBPC3)*. Comparisons were made between cardiac samples from 4 HCM patients carrying one of 2 mutations, collected during myectomy and 13 cardiac samples from non-failing donors. In the HCM patients protein expression of MYBPC3 was significantly reduced by 33%, indicating that the pathomechanism involves haploinsufficiency, rather than a poison peptide. The maximum force generating capacity of cardiomyocyte was significantly reduced by 42%. This data support the concept that contractile dysfunction is a pivotal link between the mutant sarcomeric protein and HCM.

Genetics

Since the identification of the first HCM gene in 1990 hundreds of mutations scattered among at least 25 putative HCM susceptibility genes encoding various sarcomere, Z-disk, calcium-handling, and mitochondrial proteins are known to cause HCM and are found in up to 60% of cases. The identification of the genetic defect in one of the HCM genes allows accurate pre-symptomatic detection of mutation carriers in a family.

In **chapter 6** we describe the background and our first experience with genetic testing in the HCM population of the Erasmus MC and genetic and cardiologic screening of family members at the cardiogenetic outpatient clinic. A flowchart for clinical and genetic diagnosis and family screening is included. This chapter discusses the importance of identifying autosomal dominantly inherited genetic defects in HCM, thus allowing accurate identification of at-risk relatives. It focuses particular on the phenotypic variability including non-penetrance and late onset demanding repeated cardiac screening.

In **chapter 7** we show that cardiac evaluation with electrocardiogram and echocardiography leads to the diagnosis of HCM in 41% of referred asymptomatic mutation carriers. Disease penetrance is age dependent, warranting repeated cardiologic evaluation. MYBPC3 carriers were affected at higher age than MYH7 mutation carriers. Classical risk

1. factors for sudden cardiac death (SCD) were present in asymptomatic mutation carriers
2. with and without HCM. Risk stratification for SCD is important in HCM in order to
3. select patients for prophylactic implantable cardioverter defibrillator (ICD) implantation.
4. Unfortunately there are currently no data available about the survival rate of unaffected
5. carriers of pathogenic mutations or the value of risk stratification for SCD in this specific
6. population.

7. In the Netherlands, approximately one third of all HCM cases are caused by the
8. truncating c.2373_2374insG, c.2864_2865delCT and c.2827C>T (p. Arg943X) muta-
9. tions in the *MYBPC3*. In chapter 5 we demonstrated that the c.2373_2374insG and
10. c.2864_2865delCT mutations lead to haploinsufficiency. Although not functionally
11. investigated, the c.2827C>T mutation which leads to a stop codon at residue 943, is also
12. expected to cause haploinsufficiency. In **chapter 8** we show that these truncating *MYBPC3*
13. mutations also have a similar natural history, with a less favorable disease outcome than
14. previously suggested in *MYBPC3* related HCM.

15. HCM patients carrying Dutch founder mutations present a genetic homogeneous
16. group with respect to the primary pathogenic HCM mutation and are used to study
17. effects of genetic modifiers in disease expression of HCM. In view of its regulatory role
18. in cardiac function, blood pressure, and electrolyte homeostasis, genetic variation in
19. genes encoding components of the renin-angiotensin-aldosterone system (RAAS) is a
20. plausible candidate for modifying phenotypic expression. The study described in **chapter**
21. **9**, however fails to show an important modifying effects of RAAS polymorphisms in a
22. large set of carriers of Dutch founder mutations in *MYBPC3*.

23. Disease variability in HCM is expected to be partially explained by the effect of addi-
24. tional mutations in sarcomere genes. In **chapter 10** we describe two groups of Dutch
25. founder mutation carriers; one with mild and one with severe phenotype. In these 87
26. HCM patients nine sarcomere HCM genes (*MYBPC3*, *MYH7*, *MYL2*, *MYL3*, *TNNT2*,
27. *TNNI3*, *TNNC1*, *ACTC1* and *TPM1*) were sequenced. There were no modifying effects of
28. secondary sarcomere mutations on phenotypic expression in these two groups.

29.

30. **Invasive treatment**

31. Up to 70% of patients with HCM have obstruction in the LVOT at rest or during provo-
32. cation. This obstruction is induced by thickening of the interventricular septum (IVS)
33. and systolic anterior movement (SAM) of the mitral valve. Severely symptomatic HCM
34. patients with obstruction despite optimal medical therapy are candidates for invasive
35. treatment, either surgically by myectomy or percutaneously by alcohol septal ablation
36. (ASA). HCM is often associated with a variety of intrinsic abnormalities of the mitral
37. valve, including increased enlargement of the anterior mitral leaflet, which may predis-
38. pose to residual SAM and result in suboptimal outcome after isolated myectomy.

39.

In **chapter 11** we describe the long-term follow-up of 69 HCM patients with enlargement of the anterior mitral leaflet treated with myectomy combined with anterior mitral leaflet extension and show that it leads to long-term symptom relief and survival similar to general population.

In **chapter 12** we describe the long-term results of ASA in 91 HCM patients. During ASA ethanol is injected into one or more septal perforator branches of the left anterior descending coronary artery inducing a myocardial infarction. We show that ASA patients have an increased risk for cardiac death and aborted sudden cardiac death, including appropriate ICD discharges compared with myectomy patients during long-term follow-up.

In **chapter 13** we studied LV hemodynamics by Doppler echocardiography and intramyocardial flow dynamics by adenosine myocardial contrast echocardiography in 14 obstructive HCM patients before and 6 months after ASA compared with healthy volunteers. Six months after ASA myocardial flow reserve improved, but did not normalize compared with healthy controls. We concluded that microvascular dysfunction improves after successful ASA due to relief of extravascular compression forces.

CONCLUSIONS

Microvascular dysfunction plays an important role in HCM since it predisposes patients to myocardial ischemia and is a predictor of clinical deterioration and death. In **chapter 2** we show that CFR reduction in HCM patients is related with increased septal thickness, increased indexed LV mass, increased LVOT gradient and arteriolar dysplasia.

Diastolic dysfunction is present in almost all HCM patients and can lead to symptoms that are difficult to manage. In **chapter 3** we show that diastolic abnormalities are found with TDI in HCM mutation carriers without LVH, however not sufficiently sensitive for determination of the affected status of the individual. The pathophysiology of diastolic dysfunction in HCM is still incompletely understood. In **chapter 4** we investigated LV untwisting parameters in HCM using speckle tracking echocardiography. LV untwisting is delayed in HCM, reflecting ineffective diastolic uncoiling of the hypertrophic myocardium. The increased LV twist found in HCM patients with a sigmoidal septal curvature and the higher amount of energy stored seems to fully compensate for the loss of active LV untwisting and impaired compliance.

The identification of autosomal dominantly inherited genetic defects in HCM, allows accurate identification of at-risk relatives (**chapter 6**). In asymptomatic mutation carriers the diagnosis of HCM is made in 41% at first cardiologic evaluation. Unfortunately genotype-phenotype relations in HCM are weak, so repeated cardiac screening is necessary. By identifying asymptomatic mildly or non-affected mutation carriers we are

1. encountering clinical difficulties in management of these subjects. They have not been
2. included in older studies regarding natural history or risk of SCD in HCM on which
3. expert opinions are based for the implantation of ICD or life-style advice (**chapter 7**).

4. In the Netherlands, approximately one third of all HCM cases are caused by the
5. truncating c.2373_2374insG, c.2864_2865delCT and c.2827C>T (p. Arg943X) mutations
6. in the *MYBPC3*. These mutations cause haploinsufficiency and have a similar natural his-
7. tory, with more severe disease outcome than previously suggested (**chapter 5, 8**). HCM
8. patients carrying Dutch founder mutations present a genetic homogeneous group with
9. respect to the primary pathogenic HCM mutation and are used to study effects of genetic
10. modifiers in disease expression of HCM. The HCM phenotype in these patients is not
11. influenced by RAAS polymorphisms or the presence of secondary sarcomere mutations
12. on phenotypic expression in these patients (**chapter 9 and 10**).

13. Symptomatic HCM patients with LVOT obstruction despite optimal medical therapy
14. are candidates for invasive treatment. In obstructive HCM patients with enlargement of
15. the anterior mitral leaflet the surgical treatment with anterior mitral leaflet extension
16. leads to long-term symptom relief and survival similar to the general population (**chapter**
17. **11**). Obstructive HCM patients treated with ASA have an increased risk for cardiac death
18. and aborted SCD, including appropriate ICD discharges during long-term follow-up.
19. This is probably caused by the myocardial scar induced by the injected ethanol (**chapter**
20. **12**). ASA should be reserved for those patients with a high surgical risk and possibly be
21. combined with ICD implantation. In **chapter 13** we show that microvascular dysfunction
22. is improved 6 months after successful ASA, due to relief of extravascular compression
23. forces.

24. Although HCM is a relatively benign disease in most cases, decisions on treatment like
25. ICD-implantation or invasive treatment for LVOT obstruction should ideally be made by
26. a dedicated team in a clinic where all therapeutic options are available.

27.

28.

29. **FUTURE PERSPECTIVES**

30.

31. Genotype-phenotype relations in HCM are still weak and incompletely understood. Future
32. studies are necessary to unravel the mystery how a certain mutation leads to HCM. The
33. functional effects on sarcomere function at the cellular level should be extended further
34. to possibly explain a number of clinical presentations based on an pathophysiology.

35. Modifier genes are likely to play an important role in disease expression. The situa-
36. tion in the Netherlands; with the presence of founder mutations and nation-wide cardio
37. genetic outpatient clinics creates an ideal climate for research.

38. HCM patients are treated based on their phenotype. Imaging plays a crucial role in
39. determine the phenotype. The value of new echocardiography techniques, like speckle

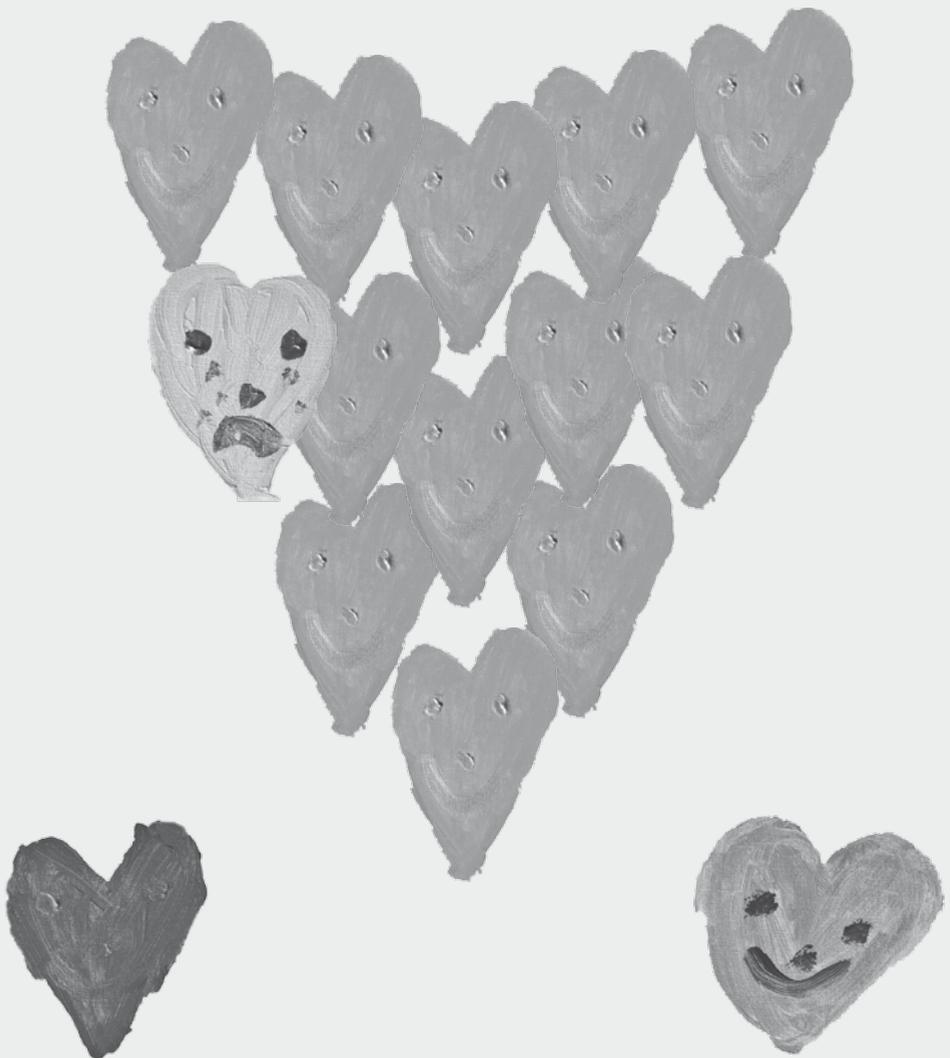
tracking, in detecting early disease manifestation have to be determined. Cardiac magnetic resonance imaging (CMR) can detect delayed enhancement, which correlates with the histological finding of fibrosis and thus represents a likely substrate for ventricular tachyarrhythmias. Before the implementation of CMR in the current risk stratification for SCD long-term CMR-based studies in large patient populations are necessary. The disease model of HCM seems ideal to study the effects of perfusion and function using PET-CT or PET-MRI machines in the future.

Although current techniques can identify DNA mutations in more than half of the HCM patients, there are still HCM patients and families in which no mutation can be identified. This group of so-called mutation-negative HCM needs further investigation as it can give insight in pathophysiologic aspects of the disease. New generation sequencing, as whole exome sequencing and whole genome sequencing will make simultaneous sequencing of great numbers of genes possible at relatively low costs and may lead to the identification of novel genetic defects in HCM, adding the challenge of determine whether these variants are pathogenic or represent unclassified variants.

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Chapter 15

Samenvatting en conclusies



1. SAMENVATTING

2.

3. In 1958 werd hypertrofische cardiomyopathie (HCM) voor het eerst beschreven. Bij HCM
 4. is er sprake van een verdikking van de hartspier, in afwezigheid van aandoeningen die
 5. deze verdikking kunnen verklaren (zoals hoge bloeddruk of aortaklepstenose). Het komt
 6. voor bij 1 op de 500 volwassenen en is in de meeste gevallen erfelijk met een autosomaal
 7. dominant overervingspatroon.

8. HCM patiënten kunnen levenslang asymptomatisch zijn, maar aan de andere kant is
 9. het ook de belangrijkste oorzaak van plots overlijden van jonge sporters. HCM kan leiden
 10. tot de ontwikkeling van hartfalen, ritmestoornissen en herseninfarcten.

11. De verdikking van de hartspier kan variëren van mild tot extreem (> 30 mm; normale
 12. dikte < 13 mm). Meestal is de verdikking asymmetrisch, waarbij het septum het meest
 13. verdikt is. De verdikking kan echter ook concentrisch zijn of juist de apex het meeste
 14. aantasten. Tot 70% van de HCM patiënten hebben in rust of bij inspanning een obstructie
 15. in de linker ventrikel uitstroombaan (LVOT), waardoor het hart hinder ondervindt bij
 16. het uitpompen van het bloed naar de aorta.

17. Uit eerder onderzoek was reeds bekend dat HCM in de meeste gevallen een familie-
 18. ziekte is. In 1990 werd dit bevestigd door het vinden van het eerste HCM-gen. Inmiddels
 19. zijn er honderden DNA mutaties gevonden verspreid over ten minste 25 genen, die
 20. HCM kunnen veroorzaken. Deze genen coderen voor eiwitten, die deel uitmaken van
 21. de hartspiercel. Mutaties in sarcomeer eiwitten komen het meeste voor, maar er zijn ook
 22. mutaties beschreven in de Z-schijf, calciumverwerkende -en mitochondriale eiwitten.
 23. Mutaties worden gevonden in ongeveer 60% van de HCM patiënten. De genetische
 24. verscheidenheid van HCM in combinatie met het feit dat de meeste HCM mutaties zich
 25. slechts voordoen in enkele families belemmeren studies naar genotype (aanwezige DNA
 26. mutatie)-fenotype (uitingsvorm van de ziekte) relaties.

27.

28. Pathofysiologie

29. Een van de grote uitdagingen van HCM is het voorspellen welke patiënten meer kans heb-
 30. ben op achteruitgang en complicaties. Een afname van de coronaire flow reserve (CFR)
 31. is een belangrijk fenomeen in HCM, omdat het aanleiding kan geven tot ischemie en een
 32. voorspeller is van klinische achteruitgang en cardiale dood. In **hoofdstuk 2** tonen we aan
 33. dat de afname van de CFR in HCM patiënten wordt veroorzaakt door een combinatie van
 34. hemodynamische, echocardiografische en histologische bevindingen. De CFR afname is
 35. gerelateerd aan de toename van de diastolische druk in de linker ventrikel, de obstructie
 36. in de uitstroombaan, de verhoogde linker ventrikel massa en mate van dysplasie van de
 37. arteriolen.

38. Bij HCM is er vaak sprake van diastolische disfunctie. In **hoofdstuk 3** tonen we met
 39. Tissue Doppler imaging (TDI) aan dat er ook bij HCM mutatiedragers zonder verdikking

van de hartspier sprake is van subtiele veranderingen in de diastolische functie. De veranderingen in TDI snelheden bleken helaas niet voldoende significant om HCM mutatie dragers betrouwbaar te onderscheiden van gezonde vrijwilligers. Speckle tracking echocardiografie is een nieuwe echocardiografische techniek, waarmee de systolische, maar ook de diastolische functie onderzocht kan worden. Het onderzoek beschreven in **hoofdstuk 4** toont aan dat de LV untwisting vertraagd is bij HCM patiënten. LV untwisting treedt op in de vroege diastole voor de opening van de mitralisklep en is voor een deel afhankelijk van de kinetische energie opgeslagen tijdens de twist van het hart tijdens systole. De toegenomen twist in HCM patiënten met een sigmoid septum zorgt voor een compensatie van de vertraagde untwisting. Dit in tegenstelling tot HCM patiënten met een reverse septum, waarbij de twist is afgenomen.

In **hoofdstuk 5** beschrijven we de resultaten van een studie naar het effect van 2 Nederlandse founder mutaties (c.2373dupG en c.2864_2865delCT) in *myosine binding protein C (MyBPC3)* op de eiwitsamenstelling en functie van het sarcomeer. De studie is uitgevoerd in myocardbiopsie materiaal verkregen tijdens chirurgische myectomie. In vergelijking met de controlegroep is de aanwezige hoeveelheid MYBPC3 met 33 % afgenomen en is er zodoende sprake van haploinsufficiëntie. Ook de maximale kracht genererende capaciteit van de hartspiercellen is afgenomen bij mutatie dragers. Contractiele disfunctie lijkt dus een belangrijke rol te spelen in HCM.

Genetica

Het vinden van een HCM mutatie in een HCM patiënt geeft de mogelijkheid eerstegraads familieleden te testen op aanwezigheid van deze mutatie (presymptomatische DNA diagnostiek). Als de mutatie gevonden wordt in een familielid komen de eerstegraads familieleden van deze persoon in aanmerking voor onderzoek (cascade screening). In **hoofdstuk 6** beschrijven we de achtergrond en onze eerste ervaring met cascade screening bij HCM op de polikliniek cardiovasculaire genetica in het Erasmus MC. In **hoofdstuk 7** laten we zien dat in 41% van de asymptomatische familieleden met een sarcomeer mutatie, HCM wordt gediagnosticeerd door middel van echocardiografie en electrocardiogram. De aanwezigheid van klinische tekenen van HCM is afhankelijk van de leeftijd, dit maakt herhaald cardiologisch onderzoek noodzakelijk. Bij *MYBPC3* mutatie dragers waren er op latere leeftijd tekenen van HCM dan bij *MYH7* mutatie dragers.

Het doel van familieonderzoek bij HCM is vroegtijdige diagnostiek om daarmee complicaties in de toekomst te voorkomen. Voor het inschatten van het risico op plotse dood van een HCM patiënt wordt gebruik gemaakt van evaluatie naar de aanwezigheid van bekende risicofactoren voor plotse dood. De bekende risicofactoren zijn een positieve familieanamnese voor plotse dood, extreme verdikking van de hartspier, plots flauwvallen, onvoldoende bloeddrukstijging bij inspanning en de aanwezigheid van ritmestoornissen op een 24-uurs monitor. Deze risicofactoren waren aanwezig bij asymptomatische

1. dragers met en zonder tekenen van HCM. De waarde van de aanwezigheid van risicofac-
 2. toren bij mutatiedragers zonder tekenen van HCM moet blijken uit follow-up studies van
 3. deze specifieke groep.

4. In Nederland wordt ongeveer een derde van alle HCM gevallen veroorzaakt door
 5. founder mutaties in *MyBPC3* (c.2373_2374insG, c.2864_2865delCT en c.2827C> T
 6. (p. Arg943X)). In hoofdstuk 5 hebben we aangetoond dat de c.2373_2374insG en
 7. c.2864_2865delCT mutaties leiden tot haploinsufficiëntie. Hoewel niet onderzocht, is het
 8. de verwachting dat ook de c.2827C> T mutatie haploinsufficiëntie veroorzaakt. In **hoofd-**
 9. **stuk 8** laten we zien dat dragers van deze 3 Nederlandse foundermutaties ook eenzelfde
 10. natuurlijk ziektebeeld kennen, wat ernstiger is dan werd voorspeld in eerdere studies.

11. HCM patiënten met Nederlandse foundermutaties vormen een genetisch homogene
 12. groep en kunnen worden gebruikt om onderzoek te doen naar genetische factoren die de
 13. ernst van de ziekte beïnvloeden.

14. Het renine-angiotensine-aldosteron systeem (RAAS) heeft invloed op de hartfunctie,
 15. bloeddruk en elektrolytenbalans en is een mogelijke kandidaat voor het beïnvloeden van
 16. de genotype-fenotype relatie in HCM. Uit de studie beschreven in **hoofdstuk 9** blijkt
 17. echter dat RAAS polymorfismen geen belangrijke rol spelen in de fenotypische expressie
 18. van HCM in dragers van Nederlandse foundermutaties in *MYBPC3*.

19. De ernst van het HCM ziektebeeld bij HCM mutatiedragers wordt naar verwachting
 20. gedeeltelijk verklaard door het effect van additionele mutaties in sarcomeer genen. In
 21. **hoofdstuk 10** beschrijven we twee groepen met Nederlandse founder mutaties, een met
 22. een mild en een met een ernstig fenotype. Er was geen sprake van additionele sarcomeer
 23. mutaties in de groep met een ernstig fenotype.

24.

25. **Invasieve behandeling**

26. Tot 70% van de HCM patiënten hebben een obstructie in de linker ventrikel outflow tract
 27. (LVOT) in rust of bij provocatie. Deze obstructie wordt veroorzaakt door de verdikking
 28. van het interventriculaire septum (IVS) en de voorwaartse beweging van de mitralisklep
 29. tijdens systole (SAM). HCM patiënten met obstructie, die ernstige klachten houden
 30. ondanks maximale medicamenteuze behandeling komen in aanmerking voor invasieve
 31. behandeling, hetzij chirurgisch (myectomie) of percutaan (alcohol septum ablatie
 32. (ASA)). HCM is vaak geassocieerd met intrinsieke afwijkingen van de mitralisklep, waar-
 33. onder een vergroting van het voorste mitralisklepblad. Hierdoor is er een grotere kans op
 34. residuele SAM en een suboptimaal resultaat van geïsoleerde myectomie. In **hoofdstuk 11**
 35. beschrijven we de lange-termijn follow-up van HCM patiënten met een vergroot voorste
 36. mitralisklepblad behandeld met myectomie in combinatie met een mitralisklepplastiek
 37. en laten zien dat dit leidt tot langdurige verlichting van de symptomen en overleving
 38. vergelijkbaar met de algemene bevolking.

39.

In **hoofdstuk 12** beschrijven we de lange termijn resultaten van ASA. Tijdens ASA wordt alcohol geïnjecteerd in een of meer septale takken van de linker kransslagader, waardoor een hartinfarct wordt geïnduceerd. Het afsterven van een deel van het verdikte septum en het ontstaan van een litteken zorgt voor een afname van de verdikking. Helaas blijkt uit lange termijn follow-up dat er een verhoogd risico is op plotse hartdood of terecht afgaan van de ICD, waarschijnlijk tengevolge van het litteken in het myocard. In **hoofdstuk 13** laten we met contrast echocardiografie zien dat microvasculaire disfunctie verbetert na een succesvolle ASA als gevolg van verlichting van extravasculaire drukkrachten.

CONCLUSIES

Microvasculaire disfunctie speelt een belangrijke rol bij HCM, omdat het predisponeert voor myocardiale ischemie en een voorspeller is van klinische achteruitgang en dood. Het wordt veroorzaakt door de verdikking van het septum, de toegenomen massa van de LV, de obstructie in de uitstroombaan en dysplasie van de arteriolen (**hoofdstuk 2**).

Diastolische disfunctie komt voor bij vrijwel alle HCM patiënten. In HCM mutatie-dragers zonder hypertrofie worden met TDI ook veranderingen in de diastole gevonden (**hoofdstuk 3**). Met speckle echocardiografie wordt een vertraagde LV untwisting gevonden in HCM patiënten (**hoofdstuk 4**).

Het vinden van een HCM mutatie in een HCM patiënt maakt nauwkeurige identificatie van at-risk familieleden mogelijk (**hoofdstuk 6**). Bij de eerste cardiologische controle van asymptomatische mutatie-dragers wordt bij 41% de diagnose HCM gesteld. De kans op de diagnose neemt toe bij hogere leeftijd, dit maakt herhaalde cardiale screening noodzakelijk. Wat de prognose is van niet-aangedane mutatie-dragers en wat de waarde is van de klassieke risicostratificatie moet blijken uit vervolgstudies (**hoofdstuk 7**).

In Nederland wordt ongeveer een derde van alle HCM gevallen veroorzaakt door het foundermutaties in MyBPC3(c.2373_2374insG, c.2864_2865delCT en c.2827C> T (p. Arg943X). Deze mutaties leiden tot haploinsufficiëntie en hebben een vergelijkbaar natuurlijk beloop, wat minder gunstig is dan eerder werd voorgesteld (**hoofdstuk 5, 8**). HCM patiënten met Nederlandse foundermutaties vormen een genetische homogene groep en worden gebruikt om onderzoek te doen naar genetische factoren die de ernst van het ziektebeeld beïnvloeden. De ernst van het ziektebeeld wordt niet beïnvloed door RAAS polymorfismen of additionele sarcomeer mutaties (**hoofdstuk 9 en 10**).

Symptomatische HCM patiënten met LVOT obstructie ondanks optimale medicamenteuze behandeling komen in aanmerking voor invasieve behandeling. In obstructieve HCM patiënten met een vergroot voorste mitralisklepblad leidt myectomie gecombineerd met mitralisklepplastiek tot langdurige verlichting van de symptomen en overleving

1. vergelijkbaar met de algemene bevolking (**hoofdstuk 11**). Obstructieve HCM patiënten
2. behandeld met ASA hebben een verhoogd risico op levensbedriegende ritmestoornissen
3. tijdens langdurige termijn follow-up. (**hoofdstuk 12**). ASA moet worden gereserveerd
4. voor patiënten met een hoog operatierisico en moet mogelijk worden gecombineerd met
5. ICD-implantatie. In **hoofdstuk 13** laten we zien dat microvasculaire disfunctie is verbe-
6. terd 6 maanden na een succesvolle ASA, door afname van de extravasculaire compressie
7. krachten.
8. Hoewel HCM in de meeste gevallen een relatief goedaardige ziekte is, moeten de
9. beslissingen over de behandeling, zoals ICD-implantatie of invasieve behandeling voor
10. LVOT obstructie idealiter worden gemaakt door een toegewijd team in een kliniek waar
11. alle therapeutische opties beschikbaar zijn.

12.

13.

14. TOEKOMSTPERSPECTIEVEN

15.

16. Genotype-fenotype relaties in HCM zijn zwak en onvolledig begrepen. Toekomstige
17. studies zijn noodzakelijk om het mysterie waarom en hoe een bepaalde mutatie tot HCM
18. leidt verder te ontrafelen. Het effect van mutaties op de sarcomeerfunctie moet verder
19. worden onderzocht om meer inzicht te krijgen in de pathofysiologie.

20. Modifierende genen spelen waarschijnlijk een belangrijke rol in de fenotypische
21. expressie van HCM. De situatie in Nederland, met de aanwezigheid van founder mutaties
22. en cardiogenetica poliklinieken in het hele land, vormt een ideaal klimaat voor dergelijk
23. onderzoek.

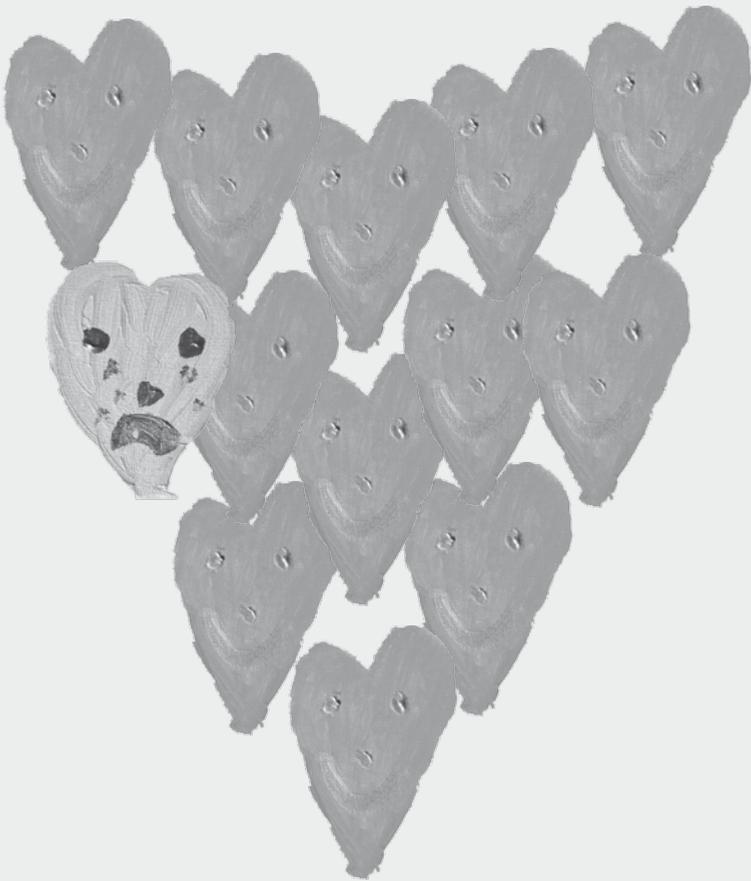
24. HCM patiënten worden behandeld op basis van hun fenotype. Beeldvorming speelt
25. hierin een cruciale rol. De waarde van de nieuwe echocardiografische technieken,
26. zoals speckle tracking, in het vroegtijdig opsporen van HCM moet worden bepaald.
27. Cardiale magnetic resonance imaging (CMR) kan delayed enhancement detecteren, die
28. correleert met de histologische bevinding van fibrose en waarschijnlijk een substraat is
29. voor ventriculaire ritmestoornissen. Vóór de toevoeging van CMR in de huidige risico-
30. stratificatie voor plotse dood zijn lange termijn studies in grote patiënten groepen nodig.
31. Nieuwe gecombineerde beeldvormende technieken als PET-MRI en PET-CT, waarbij
32. perfusie en functie worden gekoppeld lijken veelbelovend voor onderzoek bij HCM
33. patiënten.

34. Hoewel er met de huidige technieken DNA-mutaties worden gevonden in meer dan de
35. helft van de HCM patiënten, zijn er nog HCM patiënten en families waarin geen mutatie
36. kan worden geïdentificeerd. Deze groep van zogenaamde mutatie-negatieve HCM
37. patiënten moet nader onderzocht worden, omdat het inzicht kan geven in de pathofysio-
38. logische aspecten van de ziekte. Nieuwe moleculaire technieken maken het mogelijk om
39. grote aantallen genen tegelijk tegen relatief lage kosten te onderzoeken en dit kan leiden

tot de identificatie van nieuwe genetische defecten in HCM. Het zal hierbij een uitdaging zijn de pathogene mutaties te onderscheiden van unclassified variants.

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Part V Epilogue



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1. DANKWOORD

2.

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4. fantastische) combinatie van patiëntenzorg, onderwijs, onderzoek en de geboorte van
5. een gezin is op zijn zachtst gezegd uitdagend. Dit proefschrift was er dan ook niet geweest
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11. cardiologie opleiding (“dat is niks voor een vrouw/ moeder”), was ik in eerste instantie
12. licht verontwaardigd. De keuze voor de zorg voor HCM patiënten is echter de goede
13. gebleken. Alle facetten van de cardiologie zijn in deze bijzondere patiëntenpopulatie
14. vertegenwoordigd. Daarnaast geeft de genetica deze groep een extra dimensie. Bedankt
15. voor het vertrouwen dat je me hebt gegeven om datgene wat jij de afgelopen 30 jaar
16. zorgvuldig hebt opgebouwd verder uit te bouwen. Je kritische houding ten opzichte van
17. de literatuur (“eerst maar eens kijken of we dat kunnen reproduceren”), was de basis van
18. het TDI hoofdstuk. Je (vaak bespote) analoge HCM database is ook voor dit proefschrift
19. van onschatbare waarde geweest. Het siert je dat je er niet voor terugdeinst negatieve
20. resultaten, zoals de lange termijn follow-up na alcohol septum ablatie, wereldkundig te
21. maken. Het belang daarvan was tijdens de laatste ESC zeer duidelijk, toen dit artikel meer
22. dan eens werd gerefereerd.

23. Naast de wetenschap is er altijd ruimte voor gezelligheid, met de door jou geor-
24. ganiseerde diners tijdens de buitenlandse congressen voor fellows, laboranten, arts-
25. assistenten, technici en cardiologen van de echocardiografie afdeling als hoogtepunten.
26. Je dreigt vaak met je (vervroegde) pensioen, maar ik hoop dat we de komende jaren onze
27. prettige samenwerking kunnen verder zetten!

28.

29. Mijn promotoren professor dr. M.L. Simoons en professor dr. B.A. Oostra wil ik bedan-
30. ken voor de gelegenheid die ik heb gekregen dit onderzoek te doen. Beste Maarten,
31. bedankt voor de stimulerende gesprekken en je vertrouwen in een goede afloop. Beste
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33. samenwerking.

34.

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36. Bogers, professor dr. E. Sijbrands, professor dr. A.C. Van Rossum en professor dr. F.
37. Zijlstra wil ik bedanken voor hun bereidheid zitting te nemen in de promotiecommissie.

38.

39.

Dr. O.I.I. Soliman, dear Osama, you were often the first to read my papers. And, although Folkert once described you as “angry young man”; your comments were always constructive and inspiring. Thanks for all your help with my research (the use of colours in excel spread sheets is indeed useful!), computer problems and good discussions about cardiology, science and life.

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Bij cardiovasculaire genetica mogen de erfelijke ritmestoornissen natuurlijk niet onvermeld blijven en ik ben dan ook erg blij met de betrokkenheid van Dr. J.C.J. Res en Dr. N.M.S. De Groot van de afdeling electrofysiologie.

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1. Zo langzamerhand wordt de tafel tijdens onze vrijdagse cardiovasculaire genetica
2. lunchbespreking steeds voller, een goede afspiegeling van de groei van dit deelgebied en
3. ik wil alle betrokkenen hiervoor bedanken.
- 4.
5. Dr. M.J.M. Kofflard, beste Marcel, met plezier ben ik de afgelopen jaren in Dordrecht op
6. bezoek gekomen om echo's te scoren en patiëntengegevens op te halen. De gastvrijheid op
7. de verschillende locaties van het Albert Schweitzer ziekenhuis is groot. Je snelle en goede
8. commentaar op onze gezamenlijke artikelen waardeert ik zeer. Onze samenwerking stopt
9. niet bij het afronden van mijn promotie; ik ben erg benieuwd naar de resultaten van de
10. grote MRI studie.
- 11.
12. Drs. P.L. de Jong, beste Peter, jou wil ik bedanken voor het prettige overleg en de grote
13. toewijding waarmee je "onze" HCM patiënten opereert.
- 14.
15. Mijn collega cardiologen van de polikliniek, medium care en hartfalen-harttransplantatie
16. afdeling: Dr. K.M. Akkerhuis, Dr. A.H.M.M. Balk, Drs. K. Caliskan, Drs. J.A.A.E. Cuypers,
17. Dr. J.W. Deckers, Dr. T.W. Galema, Dr. M.L. Geleijnse, Dr. R.J.M. van Geuns, professor
18. dr. J.W. Roos-Hesselink, Dr. A.F.L. Schinkel en Dr. M. Witsenburg. Beste Martijn, Aggie,
19. Kadir, Judith, Jaap, Tjebbe, Marcel, Robert-Jan, Jolien, Arend en Maarten bedankt voor
20. de fijne collegiale samenwerking, waarin naast de cardiologie plaats is voor een heleboel
21. meer.
- 22.
23. Mijn kamergenoten Dr. P.P.T. De Jaegere en Dr. C.J. Schultz; Peter en Carl, wil ik bedan-
24. ken voor het bieden van onderdak toen ik op zoek was naar een rustigere kamer om dit
25. proefschrift af te schrijven, het heeft gewerkt!
- 26.
27. In de meeste hoofdstukken van dit boek speelt de echocardiografie een essentiële rol;
28. iedere diagnose begint immers bij een goed te interpreteren plaatje. Ik heb het enorme
29. geluk gehad dat de echo's van de HCM patiënten al sinds jaar en dag door Wim Vletter
30. gemaakt worden. Beste Wim, door jou enorme kundigheid en kennis is het zelfs een
31. feestje om meer dan 10 jaar oude VHS-banden te bekijken! Debbie van Dongen wil ik
32. in het bijzonder bedanken voor alle echo's bij de familieleden van onze HCM patiënten.
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34. Haveren, Linda de Jonge, Anne Marie Schets, Anja Seton, Jackie Vletter-McGhie en
35. Ellen Wiegers. Meer dan eens doe ik aanspraak op jullie flexibiliteit ("kunnen jullie nog
36. even..."), waarvoor dank.
- 37.
38. Een deel van de hoofdstukken is ontstaan uit vruchtbare samenwerking met andere
39. academische centra. Uit het VU Medisch Centrum wil ik S.J. Dijk, MSc. en Dr. J. van der

Velde bedanken voor de prettige samenwerking. Beste Sabine en Jolanda, met jullie is het HCM onderzoek echt van “bench to bedside” geworden. Ik hoop dat we samen in staat zijn het mysterie van het ontstaan van HCM verder te ontrafelen.

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Liefste Martijn, dit boekje was er zonder jou niet geweest. Jij (en niet de fantastische cardiologie in Rotterdam) bracht me bijna 10 jaar geleden terug naar Nederland en daar heb ik nooit spijt van gehad. De afgelopen jaren waren een aaneenschakeling van major life events; trouwen, kinderen krijgen (en opvoeden!), verhuizen, afronden van opleidingen

1. en nu dus een promotie. In deze (meestal vrolijke) chaos houd jij je hoofd altijd koel.
2. Bedankt voor alles! Hoeveel plezier ik ook beleef aan mijn werk; het allerliefste ben ik bij
3. jou en onze drie schatjes.
4. Lieve Anne, bedankt voor je hulp bij het maken van de kaft, staat er toch nog een
5. tekening in mama's boek. Lieve Floris, iedere werkdag zwaai je me uit met de woorden:
6. "ga je weer voor nep-dokter spelen?" In jouw ogen is alleen opa een echte dokter, dit
7. boekje zal dat beeld waarschijnlijk niet veranderen. Lieve, kleine Puck, dag en nacht ons
8. zonnetje in huis, een boekje speciaal voor jou om te scheuren!
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1. CURRICULUM VITAE

2.

3. Michelle Michels is op 24 november 1973 geboren in Amersfoort. Na haar eindexamen in 1992 aan het Bisschoppelijk College te Weert, studeerde zij geneeskunde aan de
4. Katholieke Universiteit Leuven in België. In 1999 heeft ze het doctoraal examen met grote
5. onderscheiding behaald, waarna ze startte met de opleiding Inwendige Geneeskunde in
6. het Universitair Ziekenhuis Gasthuisberg te Leuven (diensthoofd professor dr. J. Fevery).
7. Het tweede opleidingsjaar bracht ze door in het Algemeen Ziekenhuis Stuivenberg te
8. Antwerpen (diensthoofd dr. Verstraelen). Tijdens deze eerste opleidingsjaren werd ze,
9. tegen haar eigen verwachtingen in, gegrepen door de cardiologie. In 2001 verhuisde ze
10. terug naar Nederland en voltooide in 2005 haar cardiologie opleiding in het Erasmus MC
11. in Rotterdam (opleiders professor dr. J.R.T.C. Roelandt en professor dr. M.L. Simoons).

12. Sinds augustus 2005 is ze als cardioloog werkzaam in het Erasmus MC. Haar aandachtsgebieden zijn cardiogenetica, niet-invasieve beeldvorming en hartfalen.

13. Michelle is getrouwd met Martijn Vermeulen, octrooigemachtigde en ze hebben drie
14. kinderen: Anne (5), Floris (3) en Puck (1).

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