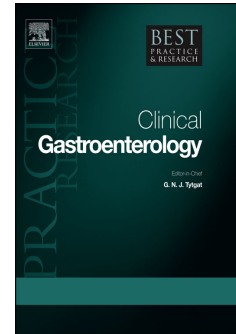


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Hepatitis B virus infection and the immune response: The big questions

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Practice Points

- Clinical events during the natural history of chronic hepatitis B virus (HBV) are intricately linked to the host immune system, but the details of host-virus interactions remain insufficiently understood
- HBV is sensed by the immune system, but the ensuing antiviral responses are not sufficient to prevent chronicity in most newborns and some adults

Research Agenda

- To understand the basic mechanisms of HBV clearance, persistence and long lasting HBV cure by using the most advanced and detailed biomedical techniques, down to the single cell level.
- To gather more information on the intrahepatic, rather than the peripheral, immune populations, as their phenotype and function are very different
- To focus on longitudinal assessment of immune parameters, thereby excluding effects of cohort variation in HBV research

36 **Abstract**

37 Clinical events and the host immune response during hepatitis B virus (HBV) infection are
38 intricately linked. Despite decades of research, important questions concerning the
39 immunopathogenesis of chronic HBV infection remain unanswered. For example, it is
40 unclear which immune parameters facilitate persistence, and if HBV can be completely
41 cleared from the human liver. Recent technological breakthroughs now allow researchers to
42 address these seemingly basic, but essential questions surrounding HBV immunity. It will be
43 important to better define the molecular underpinnings of immune cell function and
44 dysfunction during chronic disease and in controlled infection, with particular focus on the
45 liver, as little information is available on the intrahepatic compartment. In the near future, it
46 may be possible to solve some of the controversy surrounding the immune responses to
47 HBV, and establish the features of both the innate and adaptive arms of the immune system
48 required to achieve sustained control of HBV infection.

49 **HBV and the immune response: The big questions**

50 The natural history of HBV infection is exceptionally complex, and, not surprisingly, so are
51 the host immune responses. For almost every clinical or virological change during the course
52 of HBV infection, there are proven or suspected correlates in host immunity, some of which
53 might represent the underlying cause of the observed alterations in disease, while others will
54 be consequences of the change, for example in viral load. Recent advances in experimental
55 and analytical capabilities now allow researchers to address seemingly basic but still
56 unsolved questions surrounding HBV immunity. We have formulated several questions
57 regarding host-virus interactions whose answers we deem most relevant for a better
58 understanding of HBV immunopathogenesis and for the development of novel therapeutic
59 strategies (Figure 1). After addressing these issues, we will highlight some of the progress
60 that has already been made in the field of chronic HBV.

61 First is the question of what determines the different outcome in acute HBV infection,
62 as the virus is spontaneously controlled in some subjects, while becoming chronic in others.
63 Here we have to consider two completely distinct scenarios: adult HBV infection typically
64 leads to HBV control, whereas natal infection results in chronicity in almost all cases, with
65 declining but still very significant chronicity rates if infection occurs before the age of 5.
66 However, not much is known of the immunological processes, resulting in these striking
67 differences. We know much more about acute and chronic infection “after the fact”, i.e. in
68 adult patients who have established chronic infection or successful HBV control. Studies
69 during the early phase of infection, when the outcome is not yet determined, are much more
70 difficult to undertake. The best opportunity for further insights will be studies in young
71 children who present with early infection. Immunological studies in this population are
72 feasible, but until recently had to be very limited in scope, in part because only small
73 amounts of blood can be drawn for analysis. New technologies now enable analysis of low
74 volume samples with high resolution and without the requirement of research facilities close
75 to the clinic.

76 Immune responses of chronically infected adults have been performed for decades,
77 but, as we will describe in this review, often have conflicting results. These variations are
78 partly caused by methodological differences, but the variation among patient characteristics
79 also has significant impact [1]. In addition to establishing the range and variation of immune
80 cell parameters during frequent subtypes of chronic infection, we also need to know if, and to
81 what degree, antiviral therapy is able to reconstitute those parts of the HBV immune
82 response previously insufficient for functional control. At least based on the different antibody
83 patterns observed in treated patients, it seems obvious that restoration of immunity is not
84 uniform, and certainly in most subjects not sufficient for viral control in the absence of

85 therapy. But what exactly is restored, whether immune restoration is just a consequence of
86 diminished viral replication that is lost in the absence of antiviral treatment, or whether
87 restored immunity is independent or even the cause of viral suppression, needs to be
88 evaluated in much more detail. In this context it is also important to define whether circulating
89 viral proteins, such as HBs antigen (HBsAg), are indeed the key immunosuppressive agents
90 that *in vitro* studies have suggested[2].

91 Another important question is what level of HBV control can actually be achieved
92 through the host immune response. It is clear that even after HBsAg clearance from the
93 blood, covalently closed circular DNA (cccDNA) can remain in hepatocytes indeterminately,
94 evident from the well-documented cases of HBV reactivation in anti-HBsAg positive subjects
95 undergoing immune-ablative therapies. Whether this is the dominant or even the only
96 scenario in “resolved” HBV infection, or whether some patients are indeed able to completely
97 clear HBV DNA based on an even more effective immune response, will require larger
98 studies analyzing liver tissue for cccDNA in these populations.

99 Finally, greater insights need to be generated into what actually happens in the liver
100 as the site of infection, since both the composition of immune populations as well as their
101 functional and phenotypic profiles are different from what is observed in the blood. New
102 technologies now allow analysis of rare immune populations in the liver, down to cells on the
103 single cell level. Similarly, we should utilize the improved tools for the integrative analysis of
104 cellular processes and immune functions in order to understand the immune response to
105 HBV more holistically. Many components of the immune response, both innate and adaptive,
106 have to act in concert in different scenarios of viral control and viral persistence. Below we
107 will broadly summarize the current knowledge of both innate and adaptive arms of the
108 immune responses to HBV infection. While the studies described below do not yet provide
109 definitive answers, they are the foundation for future investigations into the issues raised
110 above.

111

112

113 **Innate immune responses to HBV**

114 HBV is transmitted upon contact with blood or body fluids of an infected person. A minute
115 amount of HBV virions in the bloodstream is sufficient for infection of hepatocytes [3]. The
116 HBV virion enters the hepatocyte via the sodium taurocholate co-transporting polypeptide
117 (NTCP), a bile receptor located on the basolateral membrane, contributing to its specificity to
118 human or chimpanzee hepatocytes [4]. After the envelope protein mediates fusion of the viral
119 and endosomal membranes, the capsid enters the cytoplasm and the viral DNA is released
120 into the nucleus through nuclear pores. Upon import into the nucleus, HBV can integrate into
121 the host genome or be present as non-integrated covalently closed circular DNA (cccDNA).
122 The cccDNA molecule will serve as a template for replication leading to infection of more
123 hepatocytes, and can persist even after HBsAg loss [5]. As circulating blood passes the liver,
124 HBV can easily spread to other hepatocytes.

125

126 **The detection of HBV by infected hepatocytes**

127 The sensing of HBV and triggering of intracellular antiviral mechanisms can occur on the cell
128 membrane by Toll-like receptors (TLR), in the endosome by TLR7 or TLR9, and in the
129 cytoplasm by sensors, such as intracellular retinoic acid inducible gene I (RIG-I) and
130 melanoma differentiation gene 5 (MDA5) upon ligation with viral proteins or nucleic acids.
131 Although some controversy exists, it has been reported that TLR2, MDA-5 and RIG-I are
132 involved in sensing of HBV. For the cytoplasmic sensor RIG-I, it was demonstrated that HBV
133 pre-genomic RNA triggered its activation, resulting in the release of interferon (IFN)- λ but not
134 type-I IFN by HBV infected primary human hepatocytes and hepatoma cell lines[6]. Release
135 of IFN by hepatocytes and possibly other cells induces expression of hundreds of IFN-
136 stimulated genes (ISGs) with potent antiviral activity. However, the HBV-induced IFN
137 responses are weak [7, 8], which is reflected by the usual lack of clinical symptoms during
138 the acute HBV infection. Also, early data from animal models showed that HBV does not
139 induce the release of type-I IFN [9, 10]. The absence of symptoms and the modest IFN
140 induction by HBV led to adaptation of the term 'stealth virus'. However, IFN responses and
141 ISG induction are present, albeit marginal compared to other chronic viruses [7, 8]. The use
142 of cccDNA as a transcriptional template in the nucleus likely contributes to HBV's capacity to
143 limit detection in hepatocytes. Adding to this, viral proteins, like HBV polymerase and HBx
144 protein, directly inhibit the cellular machinery that detects replication intermediates. It is
145 currently unknown which pattern recognition receptor or signaling pathway is essential for
146 early viral control *in vivo*, and perhaps more relevant to the majority of patients, to HBV
147 persistence in humans.

148 As the human liver is the site of HBV replication and contains high viral protein
149 concentrations, the most appropriate approach for addressing basic questions concerning
150 HBV detection is to evaluate liver material. Unfortunately, such studies are rare. Lebosse et
151 al. [11] analyzed RNA extracted from liver biopsies of chronic HBV patients and showed low
152 intrahepatic IFN α expression relative to healthy controls, which was unaffected by viral
153 replication. Previously, we analyzed liver biopsies from patients in specific clinical phases of
154 chronic HBV. By comparing the transcriptome of liver and blood samples from patients in
155 distinct clinical phases of HBV we found that, ISG are transcribed even in patients presumed
156 to be 'immune tolerant' to HBV [12]. Transcription of catalytic polypeptide-like 3B, a protein
157 related to cccDNA degradation [13], was increased in the immune tolerant phase, which
158 suggests that the capacity to limit the establishment of high amounts of cccDNA may be
159 different between phases, but not absent in any phase. These two studies using human liver
160 tissue raise significant doubt about the labels 'stealth virus' and 'immune tolerant', as HBV is
161 indeed sensed by the host immune system and antiviral responses are initiated, even as they
162 are not sufficient to halt viral replication and spread in persons with chronic infection.
163 Whether these differences of innate intrahepatic responses are caused by chronic HBV
164 replication, or reflect immune characteristics that select patients for persistent infection,
165 remains to be clarified using longitudinally acquired samples of human liver.

166

167 **The interaction of antigen presenting cells and HBV**

168 Intrahepatic leukocyte populations lining the sinusoidal lumen of the portal branches as well
169 as hepatocytes are constantly in contact with huge amounts of bacterial antigen derived from
170 the gut. Tolerance during exposure to high antigen loads is essential for host survival, and
171 the relative tolerogenic milieu of the liver is well described[14]. Illustrative of the complex
172 balance of the liver immune system is the fact that, despite its tolerant nature, HBV is cleared
173 after transmission in the vast majority of infected individuals. For the development of
174 treatment strategies, it is important to evaluate the leukocyte populations involved in this
175 process. Liver residing antigen presenting cells, like Kupffer cells and dendritic cells (DC),
176 could potentially modulate host immune responses to a phenotype enabling chronic viral
177 infection, but limited information exists on the interaction between these cells and HBV
178 (Figure 2). DC are crucial for their ability to efficiently present antigen to naïve CD4 T cells, or
179 to CD8 T cells via cross-priming. At present, the impact of persistent HBV infection on the
180 DC compartment is not fully clear. *In vitro* studies have demonstrated that the presence of
181 viral antigen may limit DC functionality[15]. Both plasmacytoid and myeloid DC can present
182 antigens to T cells, and can, depending on the cytokine milieu, skew T cell effector function
183 towards tolerance or activation. Plasmacytoid DC are specialized in the production of high
184 levels of type I and type III IFN, thereby potentially initiating innate immune responses to

185 HBV after recognition of viral nucleic acids via TLR7 or TLR9. However, based on *ex vivo*
186 assays, DC function during chronic HBV patients may be hampered. The frequency of both
187 myeloid DC and plasmacytoid DC is not changed in the majority of studies with patient
188 material, but others have reported various functional differences compared to healthy
189 controls [16-19]. Possibly via downregulation of TLR7 or TLR9, plasmacytoid DC seem to be
190 less capable to produce IFN α , especially when DC are derived from patients with
191 considerable liver inflammation [17, 20].

192 Kupffer cells are liver resident macrophages, and make up 15-20 % of the
193 intrahepatic leukocytes [21]. We have previously shown that Kupffer cells can interact with
194 HBsAg *in vivo*, which led to increased pro-inflammatory cytokine production compared to
195 healthy controls[22]. However, HBV proteins like HBeAg may interfere with Kupffer activation
196 by downregulation of TLR expression as shown *in vitro*[23]. In line with this finding, patient-
197 derived peripheral monocytes were also found to have lower TLR2 expression compared to
198 healthy controls[24]. Kupffer cells can play different roles in the presence of HBV antigens,
199 as was illustrated in a rat model, where HBV causes Kupffer cells to produce more of the
200 pro-fibrogenic and tolerogenic cytokine TGF- β 1, as opposed to the pro-inflammatory
201 cytokines IL-6, IL-1 and TNF[25]. To prevent continuous activation and excessive
202 intrahepatic inflammation, Kupffer cells become refractory to subsequent endotoxin
203 challenge, which may contribute to the tolerogenic liver environment. Furthermore, Kupffer
204 cells can interact and influence other immune cells, either directly via cell-cell contact or
205 indirectly via the activity of cytokines. Indirect activation of natural killer (NK) cells can take
206 place via Kupffer cell-derived IL-12 and IL-18[22, 26].

207

208 **NK cell function and phenotype in chronic HBV**

209 NK cells can recognize and lyse infected cells, and may therefore be an important effector
210 cell that plays a role during persistence of HBV. Indeed, the frequency and function of NK
211 cells in peripheral blood of chronic patients has been studied extensively, and it seems clear
212 that the balance of NK cell stimulatory and inhibitory signals significantly impacts their
213 activity, which in turn correlates with patient outcomes during the natural history of chronic
214 HBV. One of the major effector functions of NK cells, the production of the antiviral and anti-
215 fibrotic cytokine IFN γ , is reported to be unaffected during chronic HBV by some studies [27,
216 28] but reduced in others[25, 29, 30]. IFN γ release by NK cells mediates the non-cytolytic
217 clearance of HBV by virus-specific CD8 T cells[31, 32]. Another beneficial effect may be that
218 IFN γ induces the production of the antiviral APOBEC proteins A3A and A3B directly affecting
219 the integrity of cccDNA [33]. In a transgenic mouse model, the potential of IFN γ was
220 dramatically demonstrated by injection of the NKT cell activating agent alpha-
221 galactosylceramide, which stopped HBV from replicating, and led to infiltration of NK cells

222 into the liver[34]. In humans, however, alpha-galactosylceramide affected HBV DNA levels in
223 only a subset of human chronic HBV patients, and the treatment was not well tolerated [35].
224 During chronic HBV infection, the cytotoxic capacity of NK cells seems to remain intact[36],
225 which together with the impaired cytokine production as described by some studies,
226 suggests the existence of a functional dichotomy of NK cells in chronic HBV. However, given
227 the conflicting reports in the literature, it is obvious that more detailed and more controlled
228 studies need to be performed. A recent study performed by our group compared NK cell
229 phenotype and function in blood among HBV patients in different clinical phases.
230 Interestingly, despite big differences in viral load and ALT levels between patients, the NK
231 cell compartment demonstrated only subtle differences between the patients cohorts[37].
232 Concerning their activation, a combination of IL-12, IL-15 and IL-18 secreted by other
233 intrahepatic immune cell populations, including activated Kupffer cells, seems to be the most
234 likely mechanism. Direct contact with virally infected cells or HBV DNA in the blood may be a
235 less effective stimulus for NK cells, based on work by Bonoroni et al., who describe no
236 correlation between viral load and peripheral NK activation [38], which is in line with our
237 previous study[37]. Also supporting this finding is the observation that tenofovir-induced viral
238 load reduction did not significantly alter intrahepatic NK cell activation as demonstrated using
239 the analysis of fine-needle aspirate liver biopsies, even after six years of viral
240 suppression[39]. The capacity of intrahepatic NK cells to inhibit fibrogenesis through IFN γ
241 production [40], or by killing stellate cells that are driving collagen syntheses in the liver [41],
242 may also be relevant for clinical outcomes. However, in contrast to the above described
243 beneficial effects of NK cells, their activation may alternatively facilitate HBV persistence, as
244 was recently illustrated by the finding that HBV-specific CD4 T cells expressing death ligands
245 (not seen in healthy controls) can be targets for NK cell mediated killing [42]. In a similar
246 fashion, NK cells have been shown to kill HBV-specific CD8 T cells.

247

248 **Adaptive immune responses during chronic HBV**

249 Adaptive immune responses by virus-specific CD4 and CD8 T cells, B cells and antibodies
250 are indispensable for HBV control[43]. These responses develop relatively late in HBV
251 infection compared to other viral infections: at ten to twelve weeks post exposure[44]. This
252 late emergence of adaptive immunity is thought to be a consequence of the stealthy nature
253 of early HBV infection, with low viral replication and minimal to no activation of innate
254 immunity. This delayed response is not per se a factor in HBV chronicity, as it is also
255 observed in the vast majority of adult patients who go on to control the virus spontaneously.
256 While we have learned many important details about how adaptive immune responses
257 emerge and evolve from the early phase of infection throughout viral resolution or chronic

258 viremia[43], much remains to be determined in order to fully appreciate the exact
259 mechanisms driving successful and failing adaptive immune responses targeting HBV. This
260 is especially true as our appreciation of the complexity and diversity of different players of the
261 adaptive immune response, mostly defined in animal models, has grown faster than our
262 ability to translate such integrative concepts into human infection[45].

263

264 **Detection of HBV-specific CD4 T cells**

265 Virus-specific CD4 T lymphocytes are key regulators of both efficient B cell/antibody and
266 CD8 T cell responses and thus are thought to be essential to the control of most viral
267 infections [46]. Unfortunately, virus-specific CD4 T cells are also notoriously difficult to study,
268 as they are rather low in frequency and complex in their phenotypes and function. Overall,
269 our understanding of the HBV-specific CD4 response remains incomplete. The seminal
270 chimpanzee studies defining the importance of CD4 T cells by analyzing the impact of
271 CD4 T cell depletion on the course of infection are not straightforward in their findings, as
272 depletion of CD4 T cells before infection leads to chronic HBV infection, while depletion just
273 before the rise of viremia and liver enzymes did not alter the natural course of infection[46,
274 47]. These findings support a critical role of CD4 T cells in the control of HBV infection, but
275 also raise questions about the exact role of T cell help and its mode of action. Generally,
276 HBV-specific CD4 T cells appear in the blood seven to ten weeks after infection, in parallel
277 with the emergence of HBV-specific CD8 T cells and antibodies[44, 48]. The CD4 T cells
278 mostly target epitopes in HBV core, though minor responses against surface, polymerase
279 and x protein have also been described[49-52]. In patients with acute or controlled HBV
280 infection, CD4 responses are more broadly directed and more vigorous, compared to
281 patients with established chronic viremia. Functionally, HBV-specific CD4 T cells have been
282 shown to predominantly secrete Th1 type cytokines[53], though overall studies assessing the
283 phenotype and function of the cells directly *ex vivo* are very few and rather limited. In this
284 context it is important to remember that most of these studies were performed in the era
285 when standard proliferation and ELISpot assays were the only means to assessing virus-
286 specific CD4 T cell responses, and as we have learned from other infections such as with
287 HCV, these functional assays might miss significant parts of the response[54]. In addition,
288 HBV-specific CD4 T cells at the site of infection might be quite different than those analyzed
289 in the blood. Indeed, after *in vitro* expansion from liver biopsies obtained in patients with
290 chronic infection, intrahepatic CD4 T cells have shown a distinct functional profile compared
291 to those derived from blood, with the secretion of IL-4 and IL-5 in addition to Th1 type
292 cytokines[55]. A reassessment of HBV-specific CD4 T cell responses using current
293 methodologies, i.e. HLA class II tetramers, for direct *ex vivo* phenotyping and flow based cell
294 sorting, followed by omics analyses, seems imperative in order to obtain a more detailed

295 understanding of this central component of the adaptive immune response. These methods
296 enable analysis of single cells, and thus might allow *ex vivo* studies even in infection at
297 young age, for which we currently have no significant data about the CD4 T cell response.

298

299 **Detection of HBV-specific CD8 T cells**

300 In contrast to CD4 T cells, CD8 T cell responses have been studied much more widely and in
301 greater detail, including direct *ex vivo* analyses[56]. They are essential for HBV control, as
302 their depletion invariably led to chronicity in chimpanzees[46]. HBV-specific CD8 T cells
303 become readily detectable six to eight weeks after infection in adults, and their appearance
304 coincides with a decrease in viral load that is observed even before the onset of liver
305 injury[44], supporting the contribution of non-cytolytic elimination of intracellular virus to viral
306 control. CD8 lymphocytes targeting all HBV proteins have been identified[43] and shown to
307 have cytolytic as well as non-cytolytic effector functions[57]. Non-cytolytic effector functions
308 could be especially relevant, as hepatocytes have been shown to be quite resilient to cell-
309 mediated killing *in vitro*[58]. While the exact immunological determinants of CD8 mediated
310 HBV control are difficult to define with certainty in humans, the development of a sustained,
311 broad and polyclonal CD8 T cell response that is highly functional is seen as *conditio sine*
312 *qua non* for HBV control. To what degree such a CD8 response is dependent on other arms
313 of the immune response, be it CD4 T cell help or a preceding innate response, is not fully
314 clear.

315

316 Importantly, there is also a good amount of literature on intrahepatic CD8 T cells targeting
317 HBV, mostly from chronic infection. Detection of HBV-specific CD8 T cells by tetramer
318 staining of cultured intrahepatic lymphocytes revealed that the frequencies of virus specific
319 CD8 T cells were inversely correlated with the degree of liver injury[59], supporting the
320 hypothesis that specific responses might be not only important for disease resolution, but
321 also for protection from progressive liver disease. Another pioneering study using fine needle
322 aspirate biopsies to analyze intrahepatic lymphocytes during acute infection provided
323 longitudinal characterization of HBV-specific CD8 T cells directly *ex vivo* without *in vivo*
324 expansion. HBV-specific CD8 T cells were highly enriched in the liver during the acute phase
325 of infection and remained detectable after HBsAg seroconversion and full clinical
326 recovery[60]. A similar approach was also employed in chronically infected patients;
327 revealing that virus specific CD8 T cells were most readily detected during the inactive carrier
328 phase[61]. Altogether, current evidence illustrates differences in phenotype and function
329 between peripheral and intrahepatic lymphocytes, and during different stages of HBV
330 infection. Since one can now analyze cells from liver biopsies or fine needle aspirates with

331 much more powerful analytic tools, this opportunity should be used to deepen our
332 understanding of the CD8 T cell response in acute and chronic infection, and during therapy.

333

334 **Chronic HBV and functional T cell impairment**

335 Once patients are chronically infected, especially in those patients exposed in early
336 childhood, there is usually an extended phase that has been described as immune tolerant,
337 with high levels of viremia but no or very little liver disease. It has been postulated that during
338 this phase T cell responses are mostly absent and/or non-functional. Recent data indicate
339 that this is not the case, and instead T cells are readily detectable in these patients and
340 seem, if anything, more and not less functional than those in later, so called immune active,
341 stages of disease[12]. This “immune tolerant” phase of HBV might hold more surprises and
342 certainly warrants further investigation. During later stages of chronic infection, the repertoire
343 of detectable HBV-specific T cells is limited and their frequencies are rather low, at least in
344 the blood, and especially so in patients with high viral loads[62]. Preserved HBV-specific
345 CD8 T cells become gradually more functionally impaired, or exhausted, which is thought to
346 be driven by persistent antigen exposure. CD8 T cell exhaustion in chronic HBV infection
347 mirrors that described in other chronic viral infections in mice and humans, with the sustained
348 expression of inhibitory receptors, such as PD-1, TIM-3 and 2B4, reduced proliferative
349 capacity and poor effector functions such as reduced IFN γ and IL-2 secretion [63-65]. The
350 exhausted state is also associated with distinct expression patterns of transcription factors,
351 compared to functional effector or memory T cells, most notably low expression of T-bet[66]
352 The cells seem also increasingly susceptible to apoptosis through upregulation of Bim and
353 TRAIL-R2[67, 68], two key regulators of cell death. Whether the functional exhaustion of
354 HBV-specific CD8 T cells is the sole or dominant contributor to CD8 T cell failure, or whether
355 the virus can also escape through the generation of viral escape sequence variants, like in
356 HIV and HCV infection, is currently an open question. HBV as a DNA virus is much more
357 genetically stable compared to HIV and HCV, and early studies supported the idea that HBV
358 displayed little variability that could be linked to immune pressure[69]. However, a recent
359 study revealed viral variation compatible with escape mutations in both the core and
360 envelope sequence and to a lesser extent in the polymerase sequence of HBV[70, 71].
361 Future studies in this area should further define the mechanisms of T cell exhaustion and
362 whether exhausted T cells in chronic HBV infection could potentially be reinvigorated through
363 immunotherapeutic interventions. In addition, we need to define the contribution of viral
364 variants to the failure of HBV adaptive immunity.

365

366 **Functional T-cell restoration under treatment**

367 If T cell exhaustion is principally maintained by persistent antigen exposure, long-term
368 therapy with successful control of viremia, should, to some degree, be capable of restoring T
369 cell function. This would be especially relevant for immunotherapeutic approaches that most
370 likely will be applied in the context of antiviral therapy. This has been studied in some detail,
371 though the complexity of antiviral treatments, but also of the course of chronic HBV infection
372 itself, makes interpretation of the data challenging [72-75]. Boni et al compared nucleos(t)ide
373 treated chronic HBV infected patients to those with untreated or resolved infection. PBMC
374 were analyzed either directly *ex vivo* with class I dextramers or for their proliferative capacity
375 and cytokine production after *in vitro* expansion. *Ex vivo* analysis of virus-specific T cells
376 suggested continued impairment even after long-term treatment, though after *in vitro*
377 expansion some functional properties were partially restored, indicating some improvement
378 of the T cell populations[73]. A similar study design has also been performed in IFN α treated
379 chronic HBV patients and failed to detect improved T cell function, at least in terms of
380 cytokine production[74]. Whether the modest T cell recovery is due to the remaining high
381 levels of HBsAg in serum, despite the control of viral replication, is an important and
382 controversial question. While it is widely assumed that surface antigen has a directly
383 negative effect on T cell function, this hypothesis is mostly based on *in vitro* studies using
384 high doses of recombinant proteins. One wonders how this effect mediated by circulating
385 proteins could be specific to HBV-specific immune cells as there is no experimental evidence
386 that adaptive immune responses to other pathogens are similarly impaired as those targeting
387 HBV. Clinically chronic HBV patients are also not showing signs of significant immune
388 impairment. Further studies analyzing the impact of circulating HBV antigens on HBV
389 immune responses *in vivo* are needed, together with a more detailed and comprehensive
390 assessment of the integrated adaptive immune response in well-defined longitudinal cohorts
391 undergoing structured antiviral treatment and treatment interruptions.

392

393 **Regulatory T cells**

394 Regulatory T (Treg) cells are T cells that can regulate the local immune response via cell-cell
395 contact or via secretion of cytokines, such as TGF- β or IL-10. Treg cells play a central role in
396 immunological tolerance to self- and foreign antigens by suppressing activation, proliferation
397 and effector functions of a wide range of lymphocyte subsets[76]. The best-known are
398 CD25+FoxP3+CD4 natural Treg cells that directly inhibit other T cells. In addition, an
399 increasing number of other regulatory T cell types have been described, including CD8 T
400 cells with inhibitory functions. Most studies in HBV have focused on natural CD4 Treg cells in
401 the peripheral blood, where these cells usually constitute between 3 and 10% of the total
402 CD4 T cell population. Confusingly, while some studies have found increased intrahepatic
403 and peripheral Treg cell levels in chronic HBV compared to healthy individuals and self-

404 limited HBV infection, others did not, similar to results in HCV infection[77-82]. This is
405 complicated by different methods to define the Treg populations, which have evolved over
406 time from simple staining of CD25 antigen to increasingly more complex and specific
407 combinations of phenotypic markers, including FoxP3, PD-1 and CD127. Given their local
408 mode of action, the presence and functionality of intrahepatic Treg cells should be most
409 consequential, but almost all data is from the blood. It remains to be seen whether their main
410 role is enabling chronic infection or rather protection from active liver disease in the context
411 of long-term viremia.

412

413 **B cells and antibodies**

414 HBV-specific antibodies are clearly able to provide sterilizing immunity after vaccination.
415 Clinically, different antibody profiles are important for the diagnosis and characterization of
416 acute and chronic HBV infection. Much less is known about the relative contribution of B cells
417 and HBV antibodies to viral control once infection has been established. B cells have been
418 reported to display an activated phenotype and seem functionally intact, even at later stages
419 of infection. We also recently demonstrated that blood gene signatures indicative of B cell
420 responses were highly active during the immune active phase in chronic HBV patients, using
421 a systems biology approach [12]. HBV antibodies target the surface, polymerase, core and x
422 proteins of HBV, and appear ten to twelve weeks after infection. Detection of antibodies
423 against HBsAg is the clinical correlate of protective immunity, but HBs antibodies likely
424 contribute to control of viremia even in chronic infection where they are not detected by the
425 standard antibody assays as they form immune complexes that prevent viral attachment and
426 entry. In contrast, the core antibody (anti-HBc) is detectable in all stages of infection and
427 considered not to mediate viral control, though the passive immunization of anti HBc/HBe
428 does seem to prolong the incubation period in chimpanzees[83]. Overall a better
429 characterization of how both B cells and antibody responses contribute to viral control during
430 acute and chronic infection is urgently needed, as most likely a concerted effort by T cells
431 and antibodies will offer the highest likelihood of effective HBV control. In this context it
432 should also be noted that treatment with immunomodulatory drugs can lead to reactivation of
433 controlled HBV infection[84]. The classic example is rituximab, a monoclonal antibody
434 targeting CD20-expressing B cells, thus eliminating B cells and suppressing antibody
435 production. It is not clear, however, whether the effect on antibodies is the sole or main
436 cause for HBV reactivation, as rituximab treatment also impacts CD4 T cells and potentially
437 indirectly also CD8 T cell memory[84, 85]. A detailed characterization of virus-specific
438 immune responses during treatment with such agents should reveal important insights into
439 immunological changes that might lead to diminished HBV control.

440

441 **Summary**

442 Despite numerous immunological studies performed in HBV infected patients several key
443 questions remain unanswered. For decades, the lack of HBV models facilitating replication of
444 human strains hampered scientific progress, and even patient data can be misleading due to
445 the huge variation among study cohorts of patients with chronic infection. Through careful
446 patient selection and the use of modern biomedical techniques, like genomics and
447 proteomics, researchers can now tackle basic questions regarding HBV
448 immunopathogenesis. The aim should be to determine immune parameters associated with
449 persistence, clearance and recurrence of HBV. Also, the mechanisms of recognition of viral
450 antigens in chronic infections by hepatocytes in vivo remain unclear, with a particular need
451 for ex vivo assays. Potential antiviral effector cells like Kupffer cells, natural killer cells and
452 dendritic cell populations may be less functional during chronic infection, possibly leading to
453 infrequent and exhausted HBV-specific T cells in adults. By the use of modern techniques,
454 the function and phenotype of both peripheral and intrahepatic lymphocyte populations as
455 well as hepatocytes can be determined, which may aid in the rational design of
456 immunotherapeutic strategies.

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468 **Figure Legends**

469

470 Figure 1: Important questions concerning the clinical events and immunopathogenesis of
471 chronic HBV infection remain unanswered.

472

473 Figure 2: The persistence of HBV infections is determined by the complex interactions of
474 multiple leukocytes.

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