

1 **Pharmacodynamic Monitoring of Tacrolimus-based Immunosuppression in**
2 **CD14⁺ Monocytes after Kidney Transplantation**

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21 **Running title:** Pharmacodynamic monitoring of immunosuppression in monocytes

22 **Conflicts of interest**

23 DA Hesselink has received lecture fees and grant support from Astellas Pharma.

24 The other authors have no financial conflicts of interest.

25 **Abstract**

26 **Background:** Monocytes significantly contribute to ischemia reperfusion injury and allograft
27 rejection after kidney transplantation. However, the knowledge about the effects of
28 immunosuppressive drugs on monocyte activation is limited. Conventional pharmacokinetic
29 methods for immunosuppressive drug monitoring are not cell type-specific. In this study,
30 phosphorylation of three signaling proteins was measured to determine the pharmacodynamic
31 effects of immunosuppression on monocyte activation in kidney transplant patients.

32
33 **Methods:** Blood samples from 20 kidney transplant recipients were monitored before and during
34 the first year after transplantation. All patients received induction therapy with basiliximab,
35 followed by tacrolimus (TAC), mycophenolate mofetil (MMF), and prednisolone maintenance
36 therapy. TAC whole-blood pre-dose concentrations were determined using an antibody-
37 conjugated magnetic immunoassay. Samples were stimulated with PMA/ionomycin and
38 phosphorylation of p38MAPK, ERK, and Akt in CD14⁺ monocytes was quantified by phospho-
39 specific flow cytometry.

40

41

42 **Results:** Phosphorylation of p38MAPK and Akt in monocytes of immunosuppressed recipients
43 was lower after 360 days compared with before transplantation in the unstimulated samples
44 (mean median fluorescence intensity (MFI) reduction 36%; range -28% to 77% for p-p38MAPK
45 and 20%; range -22% to 53% for p-Akt; $p < 0.05$). P-ERK was only decreased at day 4 after
46 transplantation (mean inhibition 23%; range -52% to 73%; $p < 0.05$). At day 4, when the highest
47 whole-blood pre-dose TAC concentrations were measured, p-p38MAPK and p-Akt, but not p-
48 ERK, correlated inversely with TAC ($r_s = -0.65$; $p = 0.01$ and $r_s = -0.58$; $p = 0.03$, respectively).

49 **Conclusions:** Immunosuppressive drug combination therapy partially inhibits monocyte
50 activation pathways after kidney transplantation. This inhibition can be determined by phospho-
51 specific flow cytometry, which enables the assessment of the pharmacodynamic effects of
52 immunosuppressive drugs in a cell-type-specific manner.

54 **Key Words**

55 CD14+ monocytes, immunosuppression, signaling pathways, therapeutic drug monitoring,
56 transplantation

58 **Introduction**

59 Monocytes and macrophages contribute to the immune responses after kidney
60 transplantation, which include tissue repair after ischemia-reperfusion injury, as well as acute
61 cellular and antibody-mediated allograft rejection [1-5]. After ischemia-reperfusion injury,

62 monocytes are activated, in particular via their Toll-like receptor (TLR)-4, and infiltrate the
63 allograft [6, 7]. Directly after transplantation and during acute cellular rejection, recipient
64 monocytes migrate to the site of tissue injury at the graft and differentiate into CD68⁺
65 macrophages, where the presence of these macrophages is associated with graft dysfunction [4,
66 8]. Infiltrating monocytes can differentiate locally into macrophages, which may be polarized
67 into pro- or anti-inflammatory phenotypes. These have previously been indicated as M1 and M2
68 macrophages, respectively, and these are now recognized as extremes in a wide functional
69 spectrum [8-10]. Macrophages are key players in the initiation of anti-donor responses through
70 their antigen-presenting function and production of cytokines. In addition to their role in acute
71 cellular rejection, these cells are also involved in antibody-mediated rejection. After binding of
72 monocyte Fc- γ receptors to donor allo-antibodies, the signal will block apoptosis and cause the
73 accumulation of monocytes at the site of inflammation, where they produce pro-inflammatory
74 cytokines [11-14].

75 Activation of monocytes and macrophages is controlled, among others, by the three
76 intracellular signaling molecules p38 Mitogen-Activated Protein Kinase (p38MAPK),
77 Extracellular signal-Regulated Kinases 1 and 2 (ERK1/2), and AKT8 virus oncogene cellular
78 homolog (Akt) [15-20]. Phosphorylation of these molecules by upstream kinases in the signaling
79 pathway causes them to act on transcription factors. Phosphorylation of the MAPK members
80 p38MAPK and ERK will lead to the activation of transcription factors (e.g., NF κ B, CREB, ATF-
81 1) that regulate the transcription and translation of several genes involved in cytokine production
82 (e.g., TNF- α , IL-1 β and IL-6). In the end, activation of the MAPK pathway will affect many
83 other monocyte functions, such as phagocytosis and differentiation into distinct macrophage

84 activation stages [21-23]. Similarly, Akt plays a central role in several pathways (PI3K, NFκB,
85 and mTOR) involved in cytokine production, macrophage differentiation, and phagocytosis [24-
86 26].

87 After kidney transplantation, most patients are treated with combination
88 immunosuppressive drug therapy consisting of tacrolimus (TAC), mycophenolic acid (MPA),
89 and glucocorticoids to prevent allograft rejection [27]. The effects of these drugs on alloreactive
90 T-cell function have been extensively characterized, but the knowledge of their effect on
91 monocytes is limited [5]. The few *in vitro* studies that have been conducted in this respect have
92 indicated that TAC and MPA affect cytokine production by monocytes [28, 29]. Furthermore,
93 TAC did not affect phagocytosis or production of IL-1β *in vitro*, whereas MPA did reduce the
94 production of IL-1β [30].

95 Given the important role of monocyte/macrophages in the immune responses following
96 kidney transplantation, a deeper understanding of the effect of immunosuppressive drugs on their
97 activation is important. Furthermore, there is an unmet need for laboratory techniques that can
98 reliably measure such effects to guide clinical immunosuppression. The conventional method of
99 therapeutic drug monitoring (TDM) of immunosuppressive drugs is pharmacokinetic monitoring
100 by determining the (pre-dose) concentration of these drugs in whole blood (in case of TAC) or
101 plasma (in case of MPA). This, however, disregards putative differences in individual
102 responsiveness to these agents. Possibly, cell-specific and pharmacodynamic monitoring of the
103 effects of immunosuppressive drug therapy on monocyte signaling pathway activation may be a
104 superior strategy for TDM [31-34].

105 To define a new method for monitoring the impact of immunosuppression on monocyte
106 activation we monitored and quantified the phosphorylation of p38MAPK, ERK, and Akt by
107 phospho-specific flow cytometry, in whole-blood samples of kidney transplant patients before
108 and after transplantation during treatment with TAC, MPA, and glucocorticoids.

109

110 **Materials and Methods**

111

112 *Kidney transplant patients*

113 To determine the effect of immunosuppressive drugs on CD14⁺ monocyte activation, we
114 studied 20 renal transplant patients who were followed during the first 12 months after
115 transplantation. The present study was part of a clinical study that was approved by the Medical
116 Ethical Committee of the Erasmus MC, University Medical Center (MEC number 2012-421,
117 EudraCT # 2012-003169-16) [35, 36]. All participants gave written consent for collecting their
118 blood samples. Patients were treated with 20 mg basiliximab intravenously (Simulect®,
119 Novartis, Basel, Switzerland) on the day of transplantation and day 4 after transplantation.
120 During the first three post-operative days, prednisolone was administered intravenously in a
121 dosage of 100 mg/day. Subsequently, prednisolone was given orally in a dose of 20 mg and
122 tapered to 5 mg/day by month 3. Mycophenolate mofetil (MMF; Cellcept®; Roche, Basel,
123 Switzerland) was given in a starting dose of 2000 mg/day equally divided in two doses, and then
124 adjusted to pre-dose concentrations (target concentration range: 1.5-3.0 µg/mL). Patients
125 received TAC (Prograf®, Astellas Pharma Inc., Tokyo, Japan) from the day of transplantation
126 twice a day with a starting dose of 0.2 mg/kg/day. Thereafter, TAC was adjusted to pre-dose

127 concentrations: 10-15 ng/mL (week 1-2), 8-12 ng/mL (week 3-4), and 5-10 ng/mL (from week 5
128 onwards). Heparin blood samples were collected pre-transplantation and 4 days, 1 month, and 3,
129 6, and 12 months post-transplantation.

130 Absolute numbers of CD14⁺ monocytes were measured with BD multi-test 6-colour in
131 BD TruCount Tubes (BD Biosciences, San Jose, CA). TAC whole-blood and MPA plasma pre-
132 dose concentrations were determined in EDTA blood using the antibody-conjugated magnetic
133 immunoassay on a Dimension Xpand analyzer (Siemens HealthCare Diagnostics Inc., Newark,
134 DE) according to the manufacturer's instructions. The lower and upper limits of quantification of
135 TAC were 1.5 and 30 ng/mL and for MPA 0.5 µg/mL and 15 µg/mL, respectively. For TAC, the
136 coefficients of variation (CV) were 15.0%, 8.9%, and 11.2% for the low, middle, and high
137 control samples, respectively. For MPA, the CV were 3.9% and 3.7%, for the low and high
138 controls, respectively. Proficiency samples were obtained from the UK Quality Assessment
139 Scheme (Analytical Services International Ltd, London, UK) and the laboratory successfully
140 participates in this international proficiency testing scheme.

141

142 ***Whole-blood phospho-specific flow cytometry***

143 Phosphorylation of p38MAPK, ERK, and Akt was measured in whole-blood samples
144 according to the manufacturer's instructions for phosphoprotein analysis (BD Biosciences; CV:
145 5.6%) and as described previously [37, 38]. In short, 200 µL heparinized blood was stained for
146 30 minutes at 37°C with Fluorescein Isothiocyanate (FITC)-labeled mouse anti-human CD14
147 (Serotec, Oxford, UK) and Brilliant Violet (BV) 510-labeled mouse anti-human CD3
148 (Biolegend, San Diego, CA). After 15 minutes of staining, PMA/ionomycin (Sigma-Aldrich,

149 Steinheim, Germany) was added for 15 minutes to activate the blood cells. Applied final
150 concentrations of PMA/ionomycin were 500 ng per mL/5 µg per mL for samples stained for
151 p38MAPK and Akt, and 100 ng per mL/1 µg per mL was used for ERK, based on prior titration
152 for optimal detection of phosphorylated protein. Thereafter, cells were fixed for 10 minutes with
153 Lyse/Fix buffer (BD Biosciences). After permeabilization with 90% methanol at -20°C for 30
154 minutes, intracellular staining was performed with phycoerythrin (PE)-labeled mouse anti-p-
155 p38MAPK (clone pT180/pY182), PE-labeled mouse anti-p-Akt (clone pS473), or
156 AlexaFluor647 (AF647)-labeled mouse anti-p-ERK1/2 (pT202/pY204) mAB (all from BD
157 Biosciences) for 30 minutes at room temperature. Samples were analyzed on a FACS Canto II
158 flow cytometer (BD Biosciences). Isotype controls; mouse IgG1-PE (p38MAPK and Akt,
159 Biologend) and mouse IgG1-AF647 (ERK; Biologend); were included in separate tubes and
160 served as negative controls. Interday-variability of the flow cytometer was corrected by using
161 Cytocalbeads (Thermo Scientific, Fremont, CA) according to the manufacturer's instructions.

162

163 *Statistical analysis*

164 The Median Fluorescence Intensity (MFI) was measured for the phosphorylation of
165 p38MAPK, ERK, and Akt and data analysis was performed with Diva-version 6.0 software (BD
166 Bioscience). MFI values were normalized using Cytocalbeads (Thermo Scientific). Statistical
167 analysis was performed with Graph Pad Prism 5.0 (Graph Pad Software Inc., La Jolla, CA) by
168 using paired and unpaired t-tests (after finding a p-value > 0.05 with the Kolmogorov-Smirnov
169 test for normality for the study population). Correlations between drug concentrations and
170 phosphorylation were calculated as the Spearman correlation coefficient. Associations between

171 phosphorylation levels and covariates were tested by linear regression with IBM SPSS statistics
172 software (version 21; IBM Analytics, Chicago, Illinois, USA). Bonferroni correction was used to
173 correct for multiple testing. A two-sided p-value < 0.05 was considered statistically significant,
174 and for the association calculations, a two-sided p value < 0.006 was considered statistically
175 significant after Bonferroni correction.

176

177 **Results**

178 *Patient characteristics*

179 Baseline characteristics of the kidney transplant patients at the time of transplantation are
180 shown in Table 1. Two patients suffered from an acute T-cell mediated rejection corresponding
181 to an overall one-year acute rejection incidence of 10%. The rejections were classified as Banff
182 type 1B and 2A and occurred on post-operative days 152 and 10, respectively [39, 40]. Samples
183 from these patients were excluded for further analysis after the rejection time point. Absolute
184 monocyte counts before and after transplantation were measured. An increase in the absolute
185 monocyte count was measured at day 4 after transplantation (mean increase of 224
186 monocytes/ μ L whole blood; $p < 0.01$), which can be due to the surgical procedure (Figure 1A
187 and see Table, Supplemental Digital Content 1, which represents the absolute monocyte counts
188 and medication overview). At months 1 and 3, the absolute counts were decreased in comparison
189 to the baseline value ($p < 0.01$ and $p < 0.001$, respectively), while at months 6 and 12 the
190 monocyte numbers recovered to the baseline level. As expected, the TAC pre-dose
191 concentrations were higher at day 4 than at the later time points ($p < 0.001$) with a median

192 concentration of 15.3 ng/mL (9.1 to 28.4) at day 4 vs. 6.8 ng/mL (4.4 to 13.3) at day 360 (Figure
193 1B and see Table, Supplemental Digital Content 1, which represents the absolute monocyte
194 counts and medication overview). In contrast to TAC, the MPA pre-dose concentrations did not
195 significantly change over time, which reflects TDM and the intention to keep MPA exposure
196 constant (see Table, Supplemental Digital Content 1, which represents the absolute monocyte
197 counts and medication overview).

198

199

200

201 *Phosphorylation of p38MAPK, ERK, and Akt in kidney transplant patients*

202 To assess the effects of immunosuppression on the potential of monocytes to become
203 activated, the phosphorylation levels of p38MAPK, ERK, and Akt were measured in whole-
204 blood samples from kidney transplant patients either directly or after stimulation with
205 PMA/ionomycin. (See Figure, Supplemental Digital Content 2A, depicting a typical gating
206 example for the selection of CD14⁺ monocytes and Figure, Supplemental Digital Content 2B,
207 showing an example of p-p38MAPK, p-ERK, and p-Akt measurements on a log scale, in which
208 each dot represents one monocyte.)

209 In the unstimulated samples (directly analyzed in fresh blood), the baseline
210 phosphorylation levels of p38MAPK, ERK, and Akt were higher before transplantation than for
211 the isotype control ($p < 0.001$ for all tested proteins) (Figure 2). The phosphorylation level of
212 p38MAPK in these samples was significantly lower compared to pre-transplant levels at all test
213 days through day 360 ($p < 0.01$), except at day 90 (Figure 2A). In contrast, the other MAPK

214 member, ERK, showed only an inhibited phosphorylation at day 4 and day 30 ($p < 0.05$ and $p <$
215 0.001 , respectively) and a constant phosphorylation pattern between day 90 and day 360 (Figure
216 2B). The MFI values were comparable with the levels before transplantation. The third signaling
217 protein, Akt, showed a decrease in phosphorylation levels at all-time points compared with
218 baseline (pre-transplantation; $p < 0.05$) (Figure 2C). The strongest reduction was measured at
219 day 30 ($p < 0.01$).

220 To determine the effects of immunosuppression on the maximum phosphorylation
221 capacity of each tested signaling protein, whole-blood samples were stimulated with
222 PMA/ionomycin for 15 minutes. In these stimulated whole-blood samples, the baseline
223 phosphorylation levels of p38MAPK, ERK, and Akt were higher before transplantation than for
224 the isotype control (Figure 3). Again, phosphorylation of p38MAPK and Akt was decreased after
225 transplantation compared to pre-transplant phosphorylation (Figures 3A and 3B), which was
226 comparable with the results obtained with the directly measured samples. However, p-ERK
227 expression showed only a decrease at day 4 (Figure 3C), which was in contrast to the significant
228 decrease observed at both day 4 and day 30 in the unstimulated samples.

229 One patient, who was diagnosed with acute rejection on day 152, also showed an increase
230 in p-ERK expression over time after stimulation with PMA/ionomycin, while this was not seen
231 for the expression of p-p38MAPK or p-Akt. (See Figure, Supplemental Digital Content 3,
232 showing the phosphorylation measurements for a patient diagnosed with a BPAR on day 152
233 after transplantation (red arrows))

234 We also calculated the percentage of phosphorylation reduction (Table II). In line with
235 the absolute data, the decrease of p-p38MAPK was highest at day 360 (36% (SD $\pm 31\%$) and

236 34% (SD \pm 28%) for the unstimulated and PMA/ionomycin stimulated samples, respectively). At
237 the other time points tested, the decrease was 31% at most. Finally, p-Akt was reduced, with a
238 maximum of 27%, and showed the smallest decrease at day 90 and 180.

239

240 ***Correlations of monocyte signaling protein phosphorylation with patient treatment and***
241 ***demographics***

242 To determine a putative association between phosphorylation for all tested signaling proteins and
243 the given immunosuppressive therapy, correlations between immunosuppressive drug pre-dose
244 concentrations and MFI levels at day 4 (n = 14) and 360 (n = 19) in the unstimulated samples
245 were calculated (Table III). Both p-p38MAPK and p-AKT, but not p-ERK, showed an inverse
246 correlation with TAC at day 4 ($r_s = -0.65$; $p < 0.05$ and $r_s = -0.58$; $p < 0.05$, respectively) (see
247 Figure, Supplemental Digital Content 4, which shows the correlation between p-p38MAPK and
248 p-Akt and TAC pre-dose concentrations at day 4 after transplantation). At day 360, none of the
249 tested signaling proteins was correlated with TAC pre-dose concentrations.

250 To define whether the demographic parameters were confounding variables in this study,
251 linear regression analysis was performed (see Table, Supplemental Digital Content 5, which
252 shows the univariate analysis of the association between patient demographic characteristics and
253 signaling protein phosphorylation). After correction for multiple testing, no association between
254 the demographic characteristics of patients and the level of phosphorylation of p38MAPK, ERK,
255 and Akt was found before transplantation or 4 and 360 days after transplantation, indicating that
256 these parameters did not confound the results (see Table, Supplemental Digital Content 5).

257

258 **Discussion**

259 Monocytes and macrophages are crucial cells in the innate immune response and are
260 involved in the adaptive immune response via antigen presentation after kidney transplantation
261 [3, 4]. In this pilot study, phospho-specific flow cytometry was used to monitor the effects of
262 immunosuppressive drugs on CD14⁺ monocyte activation by measuring phosphorylation of three
263 major signaling molecules: p38MAPK, ERK, and Akt.

264 Phospho-specific flow cytometry is a relatively novel technique useful for studying the
265 pharmacodynamic effects of immunosuppressive drug combination therapy in whole-blood
266 samples of kidney transplant patients at the single-cell level [41-43]. In most transplant centers,
267 TDM is performed by measuring immunosuppressive drug blood concentrations. However, this
268 method is not cell type-specific and does not completely reflect the pharmacodynamic effects of
269 immunosuppressants on monocytes and other immune cells [44]. Furthermore, classic,
270 pharmacokinetic TDM of TAC in general is based on pre-dose concentrations that have an
271 imperfect correlation with the area under the concentration vs. time curve and do not accurately
272 predict acute rejection [45, 46].

273 In recent years, several methods for pharmacodynamic TDM have been investigated,
274 including the measurement of calcineurin phosphatase activity, cytokine production, and the
275 expression of NFAT-regulated genes [47-50]. However, until now these methods have not found
276 their way into routine clinical practice, because of poor correlation with clinical outcomes,
277 controversial data on the correlation with pharmacokinetic parameters, and high interindividual

278 variability, respectively [44]. Furthermore, these pharmacodynamic assays were developed to
279 study the effect on T-cells and it is unknown whether these methods can also be used for
280 studying the effect of immunosuppressants on monocytes.

281 A correlation between reduction of p-p38MAPK levels in T-cells and TAC blood
282 concentrations after kidney transplantation was previously found with phospho-specific flow
283 cytometry [37, 51]. In the present study, CD14⁺ monocytes from kidney transplant patients
284 showed a decrease in p-p38MAPK and p-Akt with a maximum of 36% and 20%, respectively, as
285 compared to pre-transplant levels. These results are in line with previous *in vitro* findings:
286 healthy control blood samples spiked with TAC showed a maximum p-p38MAPK and p-Akt
287 inhibition in monocytes of 33% and 14%, respectively [30]. In the *in vitro* study, spiking with
288 TAC did not affect the production of IL-1 β or the phagocytosis by monocytes. Only a slight
289 change in monocyte differentiation toward an M2-like phenotype was measured in the presence
290 of high TAC concentrations [30]. In contrast to the reduction of p-p38MAPK and p-Akt,
291 phosphorylation of the other MAPK member, ERK, was only significantly reduced within the
292 first month after transplantation. The maximum decrease at day 4 and day 30 was 39%,
293 indicating a stronger reduction of p-ERK than for p-p38MAPK and p-Akt. Of note, the
294 expression of p-ERK after stimulation increased with time after transplantation in the one patient
295 suffering from an acute rejection, indicating a potential role for this signaling molecule in acute
296 rejection.

297 The decreased phosphorylation found for the signaling molecules indicates that the innate
298 immune response of monocytes is not completely inhibited after kidney transplantation. The

299 incomplete inhibition causes a residual monocyte activity that may contribute to immune
300 responses after kidney transplantation, such as chronic antibody-mediated rejection. The residual
301 monocyte activity is reflected in the retained ability of monocytes to produce pro- and anti-
302 inflammatory cytokines after transplantation [52]. Moreover, the PI3K/Akt pathway is the main
303 regulator of cell survival in human monocytes and decreased activation of this pathway is
304 associated with immunological quiescence after kidney transplantation [53, 54]. It has also been
305 suggested that p-Akt inhibition causes impairment in IL-10 production and upregulation of p-
306 p38MAPK and p-ERK1/2 after transplantation [16, 55]. Furthermore, MAPK pathways are
307 involved in monocyte adhesion (ERK) and chemotaxis (p38MAPK) [56, 57]. p-ERK controls the
308 differentiation, survival, and homeostasis of monocytes when the cells are stimulated with a
309 growth or survival factor, such as M-CSF (macrophage colony-stimulating factor), while
310 inhibition of p-ERK causes cell apoptosis [58, 59]. Altogether, this shows that monitoring of
311 signaling pathway activation is important to control monocyte-mediated immune responses after
312 transplantation.

313 Multiple factors can influence signaling protein phosphorylation, including
314 immunosuppressive drugs. TAC showed a significant negative correlation with phosphorylation
315 intensity of p38MAPK and Akt, suggesting that the inhibition of p-p38MAPK and p-Akt is TAC
316 concentration dependent. However, these correlations were only observed at day 4, when TAC
317 pre-dose concentrations were highest, and are in line with the findings of the previous *in vitro*
318 study [30]. No other associations were observed between patient demographics and signaling
319 protein phosphorylation, indicating that phospho-specific flow cytometry is a promising tool to
320 detect TAC effects after transplantation.

321 These data also indicate that TAC has the most important role in the inhibition of
322 intracellular signaling pathways in monocytes within 4 days after transplantation, while the
323 inhibition at later time points may be due to the presence of prednisolone in the blood samples.
324 In mouse peritoneal macrophages, the glucocorticoid receptor is involved in the inhibition of p-
325 p38MAPK, while p-ERK and p-Akt are not affected by glucocorticoid signaling [60]. This
326 suggests that the given prednisolone doses in the present study could only inhibit p-p38MAPK.
327 However, the prednisolone blood concentrations were not measured in this study, and more
328 research is needed to distinguish between the individual effects of glucocorticoids on monocyte
329 intracellular activation pathways.

330 The present study provides preliminary data on the use of phospho-specific flow
331 cytometry for clinical diagnostics. More research is needed to translate the present findings on
332 phosphorylation status into meaningful clinical diagnostics. For example, all tested proteins in
333 the present study showed the least percentage of phosphorylation inhibition between day 90 and
334 180 after transplantation, but it is unknown whether this will also increase the risk of monocyte-
335 mediated rejection. The technique is ready to be used for clinical diagnostics of malignancies in
336 the field of hematology and oncology [61, 62]. However, for daily clinical TDM of
337 immunosuppressive drugs, this technique needs more validation to become a standardized
338 procedure. The labor intensity, reproducibility, and cost-effectiveness of the technique should be
339 established. However, compared to western blotting, phospho-specific flow cytometry is cell
340 specific and a relatively rapid method to measure cell signaling pathway activation. For example,
341 the turnaround time of the test used in the present study is only 4 hours. The next step would be
342 to study the correlation of phosphorylation profiles with pharmacokinetic parameters and to find

343 a threshold of phosphorylation that indicates a risk for rejection. In a future prospective study,
344 blood samples from kidney transplant patients who might develop rejection should be measured
345 and the predictive value of the phosphorylation status of the different molecules in monocytes, p-
346 p38MAPK, p-ERK, and p-Akt, should be assessed. It could also be informative to combine
347 phospho-specific flow cytometry with the measurement of intra-lymphocytic or tissue TAC
348 concentrations. The latter directly quantifies the TAC concentration in its target compartment
349 and therefore possibly relates more closely to efficacy and toxicity. Studies in intracellular TAC
350 concentrations have hitherto been performed in lymphocytes and peripheral blood mononuclear
351 cells, as well as in kidney and liver tissue, but not in purified monocytes [63-67]. It may also be
352 of interest to combine phospho-specific flow cytometry with novel biomarkers such as graft-
353 derived cell-free DNA. Graft-derived cell-free DNA may serve as a “liquid biopsy” in
354 transplantation, although this biomarker requires further validation and it remains to be
355 determined whether it may aid in improving TDM of TAC and other immunosuppressive drugs
356 [68, 69].

357

358 **Conclusion**

359 Phospho-specific flow cytometry is a technique to measure the pharmacodynamic effects of
360 immunosuppressive drug therapy on CD14⁺ monocytes. The use of this technique demonstrated
361 that monocyte activation pathways are only partially inhibited by TAC, MMF, and prednisolone
362 combination therapy after kidney transplantation.

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364

365

366 **Acknowledgments**

367 The authors would like to thank Monique Cadogan and Mariska Klepper for their contribution to
368 this study.

369

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542

543 **Figure Legends**

544 **Figure 1.** Absolute monocyte numbers and TAC pre-dose concentrations before and after
545 transplantation. **A)** Absolute monocyte count in patients before and after transplantation
546 measured as the number of CD14⁺ monocytes/ μ L whole blood. **B)** TAC blood pre-dose
547 concentrations within the first year after transplantation. Data are plotted as box and whiskers
548 indicating total range. Target ranges for both drugs are indicated in light grey in the background.
549 (n = 20) *) p < 0.05, **) p < 0.01, ***) p < 0.001

550

551

552 **Figure 2.** Unstimulated phosphorylation of intracellular signaling molecules before and after
553 transplantation in CD14⁺ monocytes. Unstimulated phosphorylation of p38MAPK (**A**), ERK (**B**),
554 and Akt (**C**) in kidney transplant patients before and within 1 year after transplantation compared
555 to isotype controls. Within 1 month after transplantation, phosphorylation of p38MAPK, ERK,
556 and Akt was decreased. After 1 month, only p-p38MAPK and p-Akt showed a decrease

557 compared to the samples before transplantation. (n = 20 patients) *) p < 0.05, **) p < 0.01, ***)
 558 p < 0.001

559
 560 **Figure 3.** PMA/ionomycin-stimulated phosphorylation of signaling molecules in CD14⁺
 561 monocytes before and within 1 year after transplantation. Blood samples were stimulated with
 562 PMA/ionomycin to determine the maximum phosphorylation capacity for each signaling protein
 563 in monocytes. Phosphorylation of p38MAPK (A), ERK (B), and Akt (C) was higher compared
 564 to the isotype controls. During 1 year after transplantation, p-p38MAPK and p-Akt, but not p-
 565 ERK, were decreased, which is in comparison with the unstimulated results. (n = 20 patients) *)
 566 p < 0.05, **) p < 0.01, ***) p < 0.001

567
 568 **Table I:** Patient demographics and baseline characteristics
 569

	Study population (n = 20)
Age in years	55 (21-76)
Male/female	16 (80%)/4 (20%)
Ethnicity	
• Caucasian	16 (80%)
• African	2 (10%)
• Asian	2 (10%)
Body weight (kg, mean and range)	88.5 (51.4-120.0)
HLA A mismatch	1.4 (± 0.5)
HLA B mismatch	1.5 (± 0.5)
HLA DR mismatch	1.3 (± 0.4)
Current PRA (%) (mean and range)	2.5 (0-17)
Peak PRA (%) (mean and range)	4.2 (0-21)
Donor age in years	51 (22-80)
Living-related/living-unrelated donor	5 (25%)/15 (75%)

Cause of end-stage renal disease

- Diabetes mellitus 7 (35%)
- Hypertension 5 (25%)
- IgA nephropathy 3 (15%)
- Polycystic kidney disease 3 (15%)
- Obstructive nephropathy 1 (5%)
- Unknown 0 (0%)
- Other 1 (5%)

Renal replacement therapy prior to transplantation

- None (pre-emptive) 12 (60%)
- Hemodialysis 6 (30%)
- Peritoneal dialysis 2 (10%)

Time on dialysis (days) (mean and range)

783 (465-1519)

570

571 Continuous variables are presented as means (\pm SD) or medians (range) and categorical variables
572 as numbers (plus percentages), unless otherwise specified.

573 All patients received their first kidney transplant.

574 HLA, human leukocyte antigen; PRA, panel reactive antibodies (current = PRA at time of
575 transplantation, peak = historically highest measured PRA); SD, standard deviation.

576

577 **Table II:** Reduction of signaling molecule phosphorylation

% inhibition (mean ± SD)	p38MAPK		ERK		Akt	
	Unstim	PMA/iono	Unstim	PMA/iono	Unstim	PMA/iono
Day 4	30% (±26%) **	24% (±14%) **	23% (±34%) *	18% (±25%) *	16% (±20%) *	27% (±18%) ***
Day 30	24% (±24%) **	16% (±24%) **	39% (±22%) ***	12% (±37%)	20% (±17%) **	21% (±22%) *
Day 90	13% (±49%)	17% (±31%)	19% (±51%)	7% (±31%)	17% (±21%) *	21% (±18%) **
Day 180	31% (±16%) **	27% (±27%) **	16% (±49%)	-5% (±38%)	13% (±26%) *	25% (±27%) **
Day 360	36% (±31%) **	34% (±28%) **	16% (±61%)	-28% (±60%)	20% (±23%) *	27% (±25%) **

578

579 Unstim) unstimulated samples; PMA/iono) PMA/ionomycin stimulated samples

580 Significant difference with baseline phosphorylation: *) p < 0.05; **) p < 0.01; ***) p < 0.001

581 **Table III:** Correlation between signaling molecule phosphorylation (unstimulated) and
582 immunosuppressive drug trough blood concentrations at day 4 and day 360 after transplantation

Correlation		p-p38MAPK		p-ERK		p-Akt	
		r_s	p value	r_s	p value	r_s	p value
day 4	Tacrolimus	-0.65	0.012	-0.15	0.615	-0.58	0.030
day 360	Tacrolimus	-0.21	0.512	0.20	0.563	-0.10	0.780

583

584 r_s = Spearman's Rank Correlation Coefficient

585

ACCEPTED

Figure 1

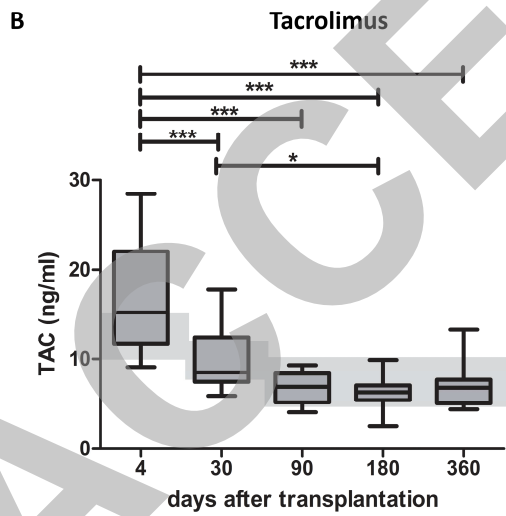
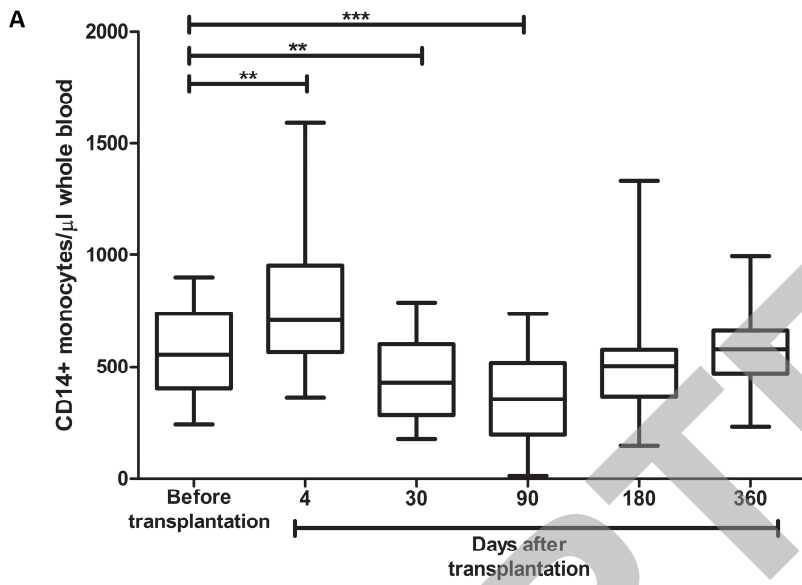


Figure 3

