









AGAP3-BRAF fusion genes and expressed them in HEK293 cells. Subsequent analysis for activation of ERK/MAPK signaling was performed by coexpression of ERK1. Protein analysis revealed a strong effect of BRAF fusion proteins on ERK1 phosphorylation, underscoring their role as oncogenes in colon cancer (Fig. 2B). Although the effect of BRAF fusions on ERK1 phosphorylation appeared stronger than for the native BRAF protein, the effect was less strong than for BRAF carrying the activating V600E mutation.

#### Identification of NTRK3 and RET kinase fusion genes

To further evaluate the relevance of the remaining fusion genes that were verified by RT-PCR, we reasoned that fusions that lead to upregulation of the acceptor gene may be of particular importance (27). Therefore, we analyzed the expression of our entire set of fusions and compared the expression of the donor and acceptor genes to all other tumor samples without such a fusion (outlier analysis, Supplementary Table S2).

An *EML4-NTRK3* fusion was among the top hits that resulted from this analysis with an expression Z score of 17.96 for the *NTRK3* gene. This fusion was formed through a reciprocal translocation that joined the 5' part of *EML4* (ending with exon 2) with the 3' exons of *NTRK3* (starting with exon 14) in a lymph node negative adenocarcinoma (Fig. 2A; Supplementary Fig. S4A). An additional *NTRK3* fusion (*ETV6-NTRK3*) has been reported previously in colon cancer and an *EML4-NTRK3* fusion has been observed in glioma (11, 28). By examining the expression of the individual exons across *ETV6-NTRK3*, we noticed that the fusion also leads to an increased expression of the exons encoding the tyrosine kinase domain, which is retained in the fusion transcript (Supplementary Fig. S4B). On the basis of these results, we analyzed the exonic expression in 732 RNA-sequencing datasets of colorectal cancer samples from The Cancer Genome Atlas (TCGA) and observed a similar increase in expression of the kinase encoding exons in two datasets derived from colon adenocarcinomas, suggesting the presence of *NTRK3* fusion genes (Supplementary Fig. S4C; ref. 7).

Neurotrophin tyrosine kinase (NTRK) 1 and 3 are receptor kinases that are frequently activated by gene fusion in a variety of cancers (10). The tyrosine kinase domain is always maintained in the chimeric proteins and fused to an oligomerization domain provided by the N-terminal fusion partner. To assess the molecular effects of the *EML4-NTRK3* fusion gene reported here, we expressed it in HEK293 cells together with *ERK1* and found that the *EML4-NTRK3* fusion activates MAPK/ERK signaling by phosphorylation of ERK1 (Fig. 2B). A truncated version of the fusion gene was not active, suggesting that the *EML4* coiled-coil domain (CCD) is supposed to promote receptor activation by dimerization, similar as for *EML4-ALK* fusions found in lung cancer (29). We also tested the same fusion construct in the context of A14 cells cotransfected with AKT. Following serum starvation, we observed phosphorylation of AKT exceeding the levels of AKT phosphorylation by KRAS V12A under the same conditions (Fig. 2C). Altogether, we conclude that the *EML4-NTRK3* fusion affects oncogenic signaling pathways and that *NTRK3* fusion genes are recurrent but low-frequency in colorectal cancer.

Another top candidate with a high expression Z-score involved an in-frame fusion with exon 1–9 of the integral endoplasmic reticulum membrane protein Ribosome-binding protein 1 (RRBP1) fused to exons 12–20 of the *RET* gene, harboring the complete N-terminal kinase domain (Supplementary Fig. S5A; Supplementary Fig. S5B). The N-terminal part of RRBP1 contains

the ribosome receptor lysine/proline domain as well as a coiled-coil domain (CCD). Previously reported fusions of *RET* to CCDs of 5' partners have been shown to initiate ligand-independent activation of the kinase domain, suggesting a similar mechanism in this fusion (30, 31). The *RET* gene is a known target for gene fusions in hereditary and sporadic papillary thyroid cancers and lung adenocarcinoma, and *RET* fusions have recently also been described in advanced colorectal cancer (32–34).

In addition, we observed a fusion involving the kinase gene *PSKH2*, which is highly expressed in the sample with the fusion, but not at all in other tumor samples (Supplementary Table S2). However, this fusion was not pursued further because we observed several different splice variants with only partial open reading frames.

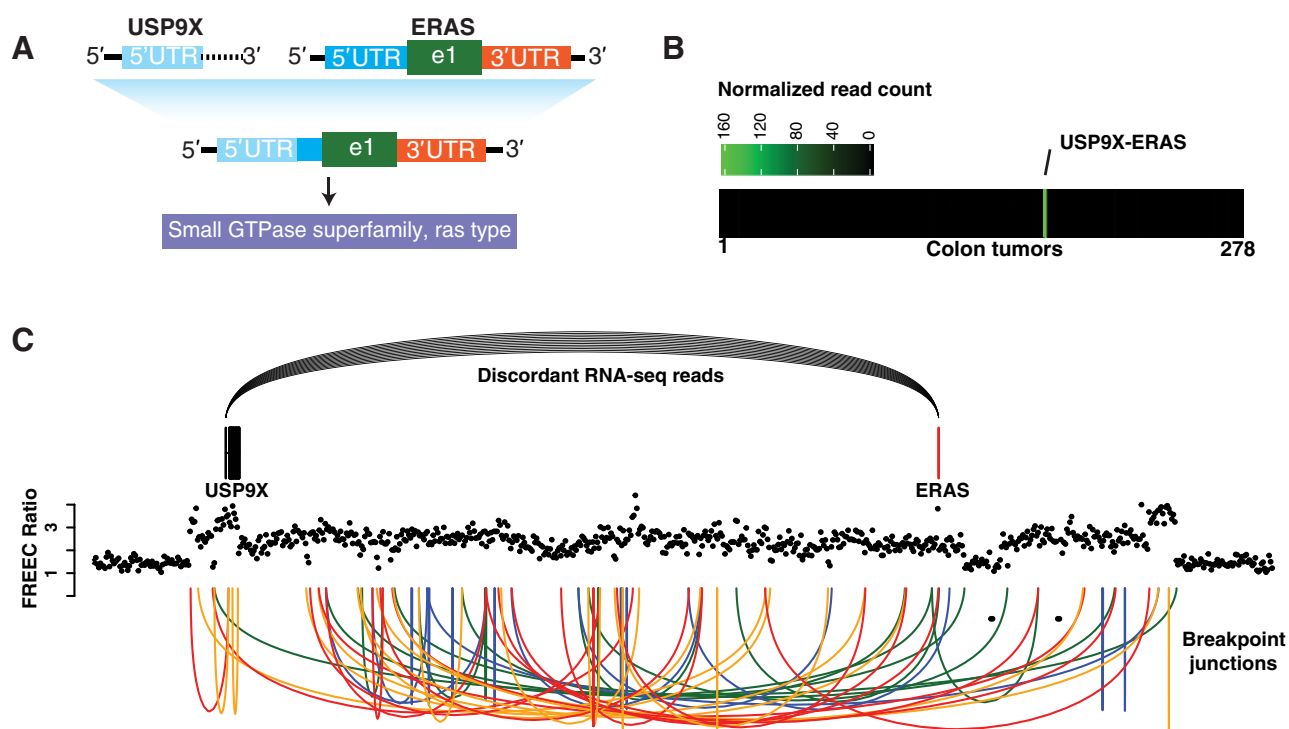
#### ERAS activation through gene fusion in colon cancer

One particularly interesting novel fusion gene that resulted from our outlier expression analysis contained the *ERAS* gene (Fig. 3A and B). *ERAS* is a single-exon RAS-family member that is expressed only in embryonic stem cells (35). The *ERAS* protein is constitutively active and leads to enhanced PI3K signaling and cellular transformation. Elevated *ERAS* expression has been described in some gastric cancer samples and a role in tumorigenesis has been implied (36). We observed that *ERAS* was highly expressed in one tumor sample in our dataset and no detectable expression was observed in the other tumor samples (Fig. 3B). The high expression was driven by the fusion of *ERAS* with *USP9X*, a highly expressed housekeeping gene (Fig. 3A; Supplementary Fig. S6A). As opposed to canonical fusion genes, which often involve formation of a novel chimeric protein sequence, the *USP9X-ERAS* fusion was formed by fusion of 5'UTR sequences, which leads to an exchange of the *ERAS* promoter with the *USP9X* promoter and not the formation of a novel chimeric protein sequence.

To gain insight in the formation of the *USP9X-ERAS* fusion, we analyzed structural variations using mate-pair sequencing. This revealed that the fusion gene was caused at the genomic level by a highly local chromothripsis event on chromosome X spanning solely the region covered by *USP9X* and *ERAS* (Fig. 3C). The chromothripsis involved at least 18 genomic breakpoint junctions and led to multiple copy number changes. We cloned the *USP9X-ERAS* fusion gene and expressed it in NIH-3T3 A14 cells. Analysis of phosphorylated AKT showed that the *USP9X-ERAS* fusion can activate AKT signaling (Fig. 2C). To get further support for a potential role of *ERAS* expression in cancer development, we assessed 521 RNA-seq datasets from colon cancer from the TCGA consortium (Supplementary Table S3). This revealed several colon cancer datasets showing detectable mRNA expression levels of *ERAS* (Supplementary Fig. S6B), albeit not as high as the sample with the *USP9X-ERAS* fusion described here. We also assessed stomach cancer RNA-seq datasets from TCGA and observed one sample with high expression of *ERAS* (Supplementary Fig. S6C). Altogether, our data suggest that induction of *ERAS* expression could be an alternative mode of promoting oncogenesis through the AKT pathway in colon cancer.

#### Low frequency of known R-spondin fusions

Previous work reported a number of different fusion genes in colon cancer most prominently those that involve genes that interact with the WNT signaling pathway (11, 13). Fusions involving the R-spondin genes *RSPO2* and *RSPO3* have been reported in up to 10% of microsatellite stable (MSS) colorectal



**Figure 3.**

Genomic origin, structure, and expression of a novel *USP9X-ERAS* fusion gene. **A**, Schematic drawing indicating the transcript structure of the *USP9X-ERAS* fusion. The fusion was caused by a breakpoint junction in the 5'UTR of *USP9X* and *ERAS*, resulting in control of *ERAS* by the *USP9X* promoter. **B**, *ERAS* expression levels across the entire cohort of 278 colon tumors included in this study. **C**, RNA-seq and mate-pair sequencing data across chromosomal regions involving the *ERAS* and *USP9X* genes. Individual chimeric RNA-seq reads are depicted as black arcs. Genomic breakpoint junctions (not individual sequence reads) are shown as colored arcs (red, head-to-head inverted; yellow, tail-to-tail inverted; blue, tail-to-head; green, head-to-tail). The genomic copy number profile is displayed using black dots, which each represent the copy number of a genomic interval as determined based on analysis of mate-pair data using FREEC.

cancers in one study and appear mutually exclusive with mutations in *APC* (11). To achieve maximal sensitivity for picking up gene fusions, we evaluated our raw fusion gene calls for the presence of both types of RSPO fusion genes, but could only detect one *EIF3E-RSPO2* fusion in an MSS sample (Supplementary Fig. S7A). To verify the sensitivity of our pipeline for picking up *RSPO2* and *RSPO3* fusion genes, we reanalyzed the raw RNA-seq FASTQ files as published recently using our STAR-based pipeline (11). Our bioinformatics pipeline could detect all seven published fusions. In addition, we measured normalized read depth across the *RSPO2* and *RSPO3* genes, revealing a strong upregulation of expression for samples with the corresponding R-spondin fusion in the published tumor samples (Supplementary Fig. S7B). We only observed elevated *RSPO2* expression for the one tumor sample in our cohort that showed the presence of an *EIF3E-RSPO2* fusion (Supplementary Fig. S7C), further supporting the low frequency of RSPO fusion genes in our dataset. We conclude that R-spondin fusions may not be as frequently present as previously indicated or that sampling bias, selection bias or treatment regime may explain the observed discrepancies.

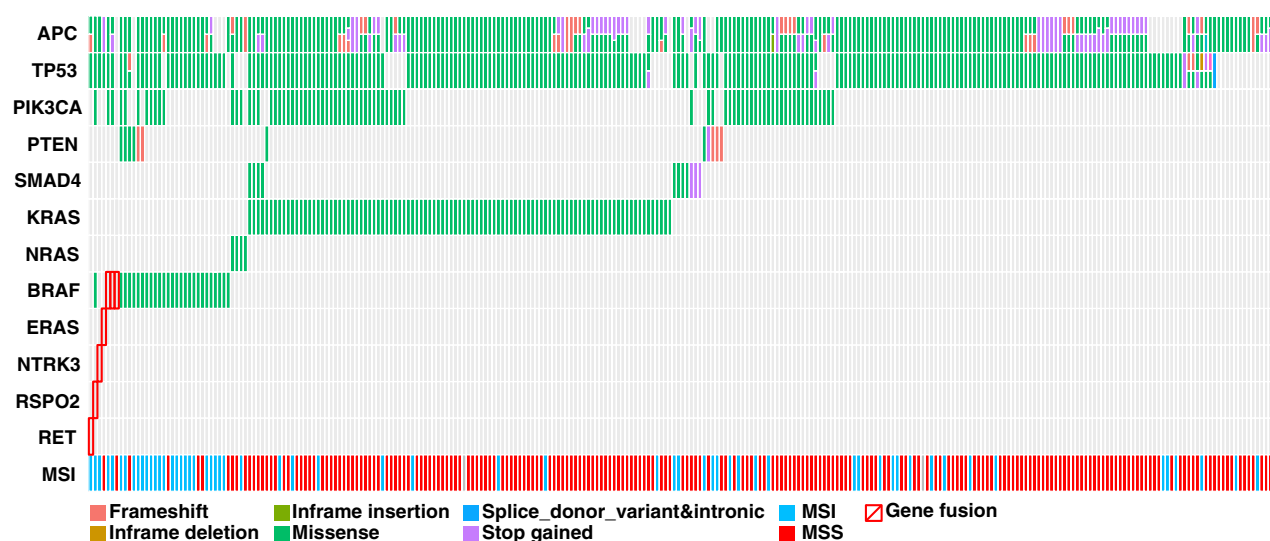
#### Oncogenic fusions are mutually exclusive with activating mutations in *KRAS*, *BRAF*, and *NRAS* and restricted to stage I and II tumors.

We used the GATK-RNAseq mutation calling pipeline to detect indels and single-nucleotide changes in the RNA-seq data from all 278 tumor samples (stage I–III). The analysis was focused on

cancer genes that are of major relevance for colon cancer development, including *BRAF*, *KRAS*, *HRAS*, *NRAS*, *SMAD4*, *TP53*, *APC*, and *PTEN*. Passed variant calls in *BRAF*, *KRAS*, *HRAS*, and *NRAS* were overlapped with known hotspot mutations from the COSMIC database (37). For mutations in tumor suppressor genes, we filtered the variants against existing databases of germline variants to enrich for somatic variants. To estimate the reliability of the RNA-based variant calls, we compared them against paired tumor–normal exome sequencing data that were generated for a subset of 44 samples. For *BRAF*, *KRAS*, *HRAS*, and *NRAS*, all variants identified in the RNA-seq data were also found in the exome data and false negatives were not observed. On the basis of the RNA-seq variant calls, we identified 27 *BRAF* V600E mutations in the entire cohort of 278 tumors, which is in line with the estimated frequency (10%) of this mutation type in colorectal cancer (7). Our analysis of fusion genes showed that activation of *BRAF* may additionally be caused by fusion gene formation in an additional 1.1% of colon cancers (Fig. 4).

Besides mutations in *BRAF*, we also found 103 tumors with a hotspot mutation in *KRAS* ( $n = 99$ , 36%) and *NRAS* ( $n = 4$ , 1.4%). In line with previous observations in other cancer types, we observed that the presence of MAPK/ERK and PI3K/AKT activating hotspot mutations in *BRAF*, *KRAS*, and *NRAS* are mutually exclusive with the presence of oncogenic fusion genes in colon cancer ( $P = 0.018$ ; refs. 15, 16). Finally, we noted that all oncogenic fusions (including *EIF3E-RSPO2*) were found in samples with lymph node–negative stage I and II tumors ( $P = 0.047$ )

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**Figure 4.** Schematic overview of mutation status, microsatellite instability (MSI) status, and presence of fusion genes in colon tumors. Mutations were called for a selected set of known colon cancer genes based on RNA-seq data and intersection with COSMIC mutations. Mutation types are indicated with different colors according to their predicted effect.

and none of the samples showed a relapse in subsequent years (median follow up 50.9 months). However, the latter results should be interpreted with caution due to the small numbers.

## Discussion

Our comprehensive analysis of RNA sequencing data from 278 well-characterized stage I to III colon cancers yielded a number of known and novel fusion genes, which may have clinical implications. In the era of personalized medicine, tumors are increasingly molecularly profiled, leading to better identification of patients for specific treatments (38). For colorectal cancer, small gene panels including *BRAF*, *KRAS*, and *NRAS* are most often used since mutations in these genes are of clinical relevance (39). Our analyses show that beyond these single gene tests, fusion genes may also be important.

Three of the fusion genes identified in our cohort involve the *BRAF* oncogene, which has previously been found in 4 (0.2%) colon cancer samples out of 2,154 colorectal cancer samples (15). Here we show that *BRAF* fusions occur in 1.1% of stage I–III colon cancers. Two of the *BRAF* fusions (*AGAP3-BRAF* and *TRIM24-BRAF*) consist of known fusion configurations, while the *DLG1-BRAF* fusion is novel (15). The *BRAF* fusions activate oncogenic signaling pathways in cells lines, indicating that they form genuine oncogenes in colon cancer, in addition to known oncogenic mutations in *BRAF* and *KRAS*. Although *BRAF* fusions are relatively rare, they may be highly relevant drug targets for the individual patient, similar as mutations in *BRAF* (40–42).

An expression outlier analysis involving samples with and without fusion genes, revealed *EML4-NTRK3*, *RRBP1-RET*, and *USPX9-ERAS* fusion genes. The *EML4-NTRK3* fusion gene has not been described in colon cancer, but was reported in a single case of glioma (28). However separately, both the *EML4* and *NTRK3* gene have been described as part of gene fusions in various types of cancers (10). *EML4* has mainly been described in conjunction

with the *ALK* kinase gene in non–small cell lung cancer occurring in five different variants (43). All of these contain a CCD, which is responsible for the dimerization and constitutive activation of its acceptor gene product. This is consistent with our findings that the *EML4-NTRK3* fusion induces ERK1 and AKT phosphorylation, while expression of a truncated version of *NTRK3* or the entire *NTRK3* gene did not reveal such activity.

*RET* fusions have been described in up to one-third of papillary thyroid cancers, in 2% of lung adenocarcinoma and recently in 0.2% of 3,117 advanced colorectal tumors (32–34). Tumors carrying a *RET* fusion in that colorectal cancer cohort were pan-negative for known driver mutations such as *KRAS*, *BRAF*, *PIK3CA*, and *EGFR*, which was also true for the tumor carrying the *RRBP1-RET* fusion in our cohort. *RET* kinase inhibitors might form a promising treatment for colorectal cancers containing oncogenic *RET* fusions (34).

An entirely novel fusion gene described in this work, comprises the *USPX9* and *ERAS* genes. Although this fusion has only been found in a single colon cancer sample in our study, its high expression and *in vitro* activity demonstrate that expression of *ERAS* has strong oncogenic capacity. We observed *ERAS* expression in colon cancer RNA-seq datasets from TCGA, suggesting that *ERAS* expression could be a recurrent oncogenic mechanism in colon cancer, similarly as has been proposed for stomach cancer (36).

R-spondin fusions were described as a recurrent genomic aberration in colon cancer patients by Seshagiri and colleagues, whom identified seven R-spondin fusions in a cohort of 74 colon cancer patients (9.5%; ref. 11). In their cohort, tumors with an R-spondin fusion did not contain a loss of function mutation in *APC* or copy loss, except for one tumor, which contained a single *APC* allele. Five out of seven R-spondin fusions occurred in a tumor with a *KRAS* mutation (13.5% of all *KRAS*-mutant tumors) and two in a tumor carrying a *BRAF*-mutation (40% of all *BRAF*-mutant tumors). In our cohort of 278 patients we observed only one R-spondin fusion, which was present in a tumor sample

carrying a *BRAF* mutation (3.7% of all *BRAF*-mutated tumors). However, the percentage of *KRAS*-mutated tumors differed substantially between the cohort of Seshagiri and our cohort (*KRAS* 50% vs. 35.6%  $P = 0.024$  and *BRAF* 6.8% vs. 9.7%  $P = 0.43$ , respectively). The presence of R-spondin fusions in a subset of colorectal adenomas (traditional serrated adenoma) with frequent *KRAS* mutations has been recently shown (44). These data suggest that differences between tumor cohorts may explain the differences in the total number of identified R-spondin fusions.

Our findings are in line with new insights that broader and systematic use of genetic profiling including DNA and RNA sequencing is needed to maximize identification of patients that could potentially benefit from targeted treatment (45). Sharing of datasets including clinical characteristics and treatment outcome, such as our dataset, may help to overcome sample size limitations of individual studies and improve insight into the clinical merit of specific infrequent genetic aberrations and fusion genes (46). We found that oncogenic fusion genes were present in lymph node-negative tumors, although this finding needs to be substantiated in larger studies. Most of the previous studies reporting fusion genes in colorectal cancer did not include clinical or histopathologic characteristics, especially not stage.

In conclusion, we have created a large and comprehensive catalog of fusion genes in a unique clinically well-defined prospectively collected cohort of stage I to III primary colorectal cancers and identified several known and novel fusion genes with biological activity and possible prognostic value. We anticipate that incorporating *in vitro* platforms such as (tumor)organoids may facilitate testing of fusion genes for functional relevance, differences in oncogenic capacity and response to antitumor drugs (5).

### Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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### Acknowledgments

The authors thank S. Bril, M. vd Vlugt-Daane, S. Xiang, and V. de Weerd for their technical support; the surgeons P.P.L.O. Coene, J.W.T. Dekker, D. Zimmerman, G.W.M. Tetteroo, W.J. Vles, and W.W. Vrijland for the patient recruitment; and the pathologists R. Torenbeek, M. Kliffen, C. Meijer, and A. vd Wurff. H. van Krieken and C. van Gelderen for their help by pathologic scoring of tumor sections. RNA-seq was performed at the Utrecht Sequencing Facility.

### Grant Support

This project was supported by Cancer Genomics Netherlands (CGC.nl) and a grant from the Dutch Cancer Society (KWF) to M.J. Koudijs, W.P. Kloosterman, and E.E. Voest (UU 2012-5710) and to J.N.M. Ijzermans and J.W.M. Martens (UVA 2013-6331). The whole exome sequencing for the subset of 44 patients was supported by a grant from the Dutch Digestive Foundation (FP13-20) to J.N.M. Ijzermans. The RNA sequencing was supported by a grant from NutsOhra (grant number 0903-011) to J.N.M. Ijzermans. A.M. Sieuwerts and J.W.M. Martens were supported by Cancer Genomics Netherlands (CGC.nl) funded by the Netherlands Organisation for Scientific Research (NWO). J.A. Foekens was funded through an ERC Advanced Grant (ERC-20120AdG-322737).

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Received January 6, 2017; revised March 8, 2017; accepted May 12, 2017; published OnlineFirst May 16, 2017.

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## A Systematic Analysis of Oncogenic Gene Fusions in Primary Colon Cancer

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*Cancer Res* 2017;77:3814-3822. Published OnlineFirst May 16, 2017.

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