Advances in the Diagnosis and Management of Well-Differentiated Neuroendocrine Neoplasms

Johannes Hofland,1 Gregory Kaltsas,2 and Wouter W. de Herder1

1ENETS Center of Excellence, Section of Endocrinology, Department of Internal Medicine, Erasmus MC Cancer Center, Erasmus MC, Rotterdam, The Netherlands; and 21st Department of Propaedic Internal Medicine, National and Kapodistrian University of Athens, Athens, Greece

ORCiD numbers: 0000-0003-1463-5165 (W. W. de Herder).

ABSTRACT Neuroendocrine neoplasms constitute a diverse group of tumors that derive from the sensory and secretory neuroendocrine cells and predominantly arise within the pulmonary and gastrointestinal tracts. The majority of these neoplasms have a well-differentiated grade and are termed neuroendocrine tumors (NETs). This subgroup is characterized by limited proliferation and patients affected by these tumors carry a good to moderate prognosis. A substantial subset of patients presenting with a NET suffer from the consequences of endocrine syndromes as a result of the excessive secretion of amines or peptide hormones, which can impair their quality of life and prognosis. Over the past 15 years, critical developments in tumor grading, diagnostic biomarkers, radionuclide imaging, randomized controlled drug trials, evidence-based guidelines, and superior prognostic outcomes have substantially altered the field of NET care. Here, we review the relevant advances to clinical practice that have significantly upgraded our approach to NET patients, both in diagnostic and in therapeutic options. (Endocrine Reviews 41: 1 – 33, 2020)

GRAPHICAL ABSTRACT
Hofland et al. Management of NEN

Background on Neuroendocrine Neoplasms

Introduction

Neuroendocrine neoplasms (NENs) are a heterogeneous group of epithelial neoplastic lesions that irrespective of their primary site of origin share features of neural and endocrine differentiation including the presence of secretory granules, synaptic-like vesicles, and the ability to produce amines and/or peptide hormones (1). Previously used terms for NENs include APUDomas or carcinoid tumors. NENs express general markers of neuroendocrine differentiation, organ-specific bioactive substances, and tissue-specific transcription factors and predominately arise from the bronchopulmonary (BP) and gastrointestinal (GI) system including the pancreas (2). NENs encompass a wide spectrum of neoplasms defined by conventional morphology from well-differentiated and relatively slowly growing but potentially malignant tumors, to highly aggressive poorly differentiated neuroendocrine carcinomas (1).

Location and epidemiology

Although neuroendocrine differentiation can occur in many epithelial carcinomas, including breast and prostate cancer, NENs are considered a separate entity because of their explicit origin from neuroendocrine cells of the diffuse endocrine system. Although NENs are mainly encountered in the BP and GI tracts, other organs can also give rise to these tumors. Key examples from endocrine organs are parathyroid adenoma, medullary thyroid carcinoma, pheochromocytoma, and paraganglioma (3), whereas a reclassification of pituitary adenoma as a neuroendocrine tumor has also been proposed recently (4). Other NENs are rarely encountered in endocrine practice and include among others Merkel cell carcinoma of the skin (5) and the neuroendocrine adenoma or the middle ear (NAME) (6). Recently, a uniform classification was proposed for NENs of all sites for consistent reporting, intertumoral comparisons, and management (7).

Fig. 1 depicts the most common NEN sites of the bronchial and gastroenteropancreatic (GEP) systems and their reported incidence rates. The most common primary GEP NEN sites are the rectum and small intestine (8, 9). Up to 20% of patients present with metastases at the time of diagnosis (9). However, there is a clear distinction in metastatic potential across sites such as appendix and gastric NENs predominantly present with localized stages of disease while a majority of patients with pancreatic or small intestinal NENs is diagnosed in metastasized setting (10). Despite major improvements in modern imaging techniques still approximately 5% of metastasized NENs have an unknown primary tumor (11).

As NENs predominantly derive from the embryonic gut, historically tumor sites are subdivided into foregut, midgut, and hindgut NENs (12). Foregut NENs include BP and thymic NENs and esophageal, gastric, duodenal, and pancreatic NENs. There is a specific classification for gastric NENs as these have different pathophysiologic mechanisms. Type 1 NENs develop multifocally in enterochromaffin-like cells of the stomach as a consequence of chronic hypergastrinemia resulting from atrophic gastritis (13). Similarly, type 2 gastric NENs arise in these cells due to endocrine stimulation by a gastrin-secreting NEN (gastrinoma) in the context of the MEN-1 syndrome (14). Type 3 gastric NENs are sporadic, solitary NENs, which

ESSENTIAL POINTS

- Clinicians are increasingly confronted with neuroendocrine neoplasms as their incidence and prevalence are rising across all primary sites
- Patients presenting with a neuroendocrine neoplasm should be scrutinized for the presence of a functional hormonal syndrome as this can impair survival, offers the possibility of sensitive biomarkers, and requires dedicated therapy
- Obtaining histology of a suspected neuroendocrine neoplasm is crucial for confirmation of the diagnosis as well as for classification into well-differentiated neuroendocrine tumor or poorly differentiated neuroendocrine carcinoma
- Functional imaging with $^{68}$Gallium-labelled somatostatin analog and $^{18}$F-FDG PET tracers ensures superior staging and prognostication of neuroendocrine neoplasms
- Long-acting somatostatin analogs constitute the preferred first-line option for several hormonal syndromes associated with neuroendocrine neoplasms as well as for growth control in well-differentiated irretactable or metastatic gastrointestinal tumors, while several novel treatment options for hormonal and/or antiproliferative control in neuroendocrine neoplasms have shown efficacy in randomized controlled trials, expanding the clinical repertoire and allowing for improved management based on individual patient and tumor characteristics
develop in the absence of elevated gastrin levels and display an aggressive biologic behavior despite their well-differentiated morphology. Type 4 gastric NENs are poorly differentiated carcinomas with limited prognosis (15). Midgut NENs arise in the GI section vascularized by the superior mesenteric artery with a predilection for the ileocecal region. Appendix NENs are also categorized as midgut NENs, but these tumors are generally considered a distinct entity because of the peak incidence in children and young adults and its relative benign behavior (16). Incidence rates of hindgut NENs show a preference of rectal NENs over colonic NENs, both of which are increasingly recognized on colonoscopy (17). Other primary tumor sites that are encountered on rare occasions include the trachea, esophagus, ovaries, testis, prostate, kidney, and breast.

The major sources of NEN epidemiology data are national cancer registries in Western Europe and the US National Cancer Institute Surveillance, Epidemiology and End Results. The incidence of all NENs in all primary sites has been steadily increasing 3.6- to 4.8-fold over the previous 4 decades in the western world (8, 18, 19). The biggest increase in incidence was found for the gastric and rectal NENs and the smallest increase was found for the small intestinal and cecal NENs (8, 9). The overall estimated annual incidence of GEP NENs is between 3.6 and 3.9 per 100 000 population. Studies have identified gender and racial differences, which differed site by site. In Asian patients, small intestinal NENs seem to be rarer, whereas gastric and rectal NENs seem more prevalent (20).

Pathophysiology of NEN

Despite their variety in biologic behavior, there are commonalities in underlying pathophysiologic mechanisms and associated genetic aberrations in NENs across sites. Although still much is unknown about NEN pathogenesis, several key molecular pathways have been shown to contribute to tumor formation in either indolent or more aggressive NENs. Below some causative markers which possess diagnostic potential are discussed, but the reader is referred to a recent publication on an in-depth discussion of underlying (epi-)genetic factors in NENs (21).

Pancreatic, gastric, duodenal, thymic, and bronchial NENs can be found in the spectrum of the multiple endocrine neoplasia type 1 syndrome (MEN1, MIM 131100) (22). Pancreatic NENs (PanNENs) can also be found in the spectrum of von Hippel Lindau disease (MIM 193300) (23). Periampullary somatostatinomas can
be diagnosed in patients with neurofibromatosis 1 (MIM 162200) (24). In the Pacak–Zhuang syndrome, \textit{HIF2A} mutations lead to the development of somatostatinomas, next to paragangliomas/pheochromocytomas and polycythemia (25). In Mahvash disease caused by a mutant P86S glucagon receptor (\textit{GCGR}), there is an increased incidence of PanNENs and in patients with a \textit{MAFA} mutation (MIM 147630) insulinomatosis of the pancreas has been found (26, 27).

**Endocrine-related symptoms and syndromes caused by NENs**

Isolated or metastatic NENs can present with a spectrum of hormone-related symptoms and syndromes which result from the hypersecretion of one or more amines and/or peptides by these tumors. The production of bioactive compounds can be characteristic of the specific tissue of origin leading to a secretory syndrome (eutopic secretion) or rarely compounds that are typically originating from other anatomical sites (ectopic secretion) (28). The representative endocrine syndromes encountered in NEN patients are shortly described below.

**Carcinoid syndrome**

The carcinoid syndrome (CS) is the result of multiple secreted tumor products. Predominantly midgut, followed by thymic and bronchial and very rarely pancreatic, or other gastrointestinal NENs are the main primary sources of this syndrome (29). It occurs in approximately 20% to 30% of patients with liver and/or bone metastases from these tumors. The secretory products which are potentially involved in the CS are serotonin (5-hydroxytryptamine, 5-HT), histamine, brady- and tachykinins, kallikrein and prostaglandins (30). As these hormones are effectively metabolized by the liver, symptoms of the CS generally only occur when tumor localizations are outside of or bypass the portal vein drainage system (31). Examples of these bypasses include ovarian, rectal of extensive peritoneal sites.

The breakdown metabolite of serotonin is 5-hydroxyindoleacetic acid (5-HIAA) which is excreted in the urine. Serotonin acts via seven types of G protein–coupled receptors and among various other functions regulates motility of and fluid secretion into the intestinal tract next to the inhibition of absorption. Serotonin also has a role in fibrosis. In the CS, diarrhea and—predominantly right sided—heart failure resulting from endocardial and heart valve fibrosis are dominant symptoms attributed to systemic serotonin excess. The increased conversion of tryptophan to serotonin may lead to tryptophan deficiency with subsequent decreased protein synthesis, hypoalbuminemia and nicotinic acid deficiency. Another dominant symptom in the CS is the flushing of the face and upper trunk, which cannot be directly associated to serotonin, but which most probably is mediated by vasoactive substances (bradykinins, prostaglandins, tachykinins, substance P, histamine) released by the tumor and its metastases (Fig. 2A) (32, 33).

**Insulinoma**

Insulinomas are PanNENs that through inappropriate secretion of insulin or insulin precursors can cause severe hypoglycemia. Usually, the so-called Whipple’s triad consisting of (1) symptoms of hypoglycemia, (2) plasma glucose levels <2.2 mmol/L (<40 mg/dL), and (3) relief of symptoms with the

![Figure 2](https://academic.oup.com/edrv/article-abstract/41/2/bnz004/5573926/Erasmus-Universiteit-Rotterdam-user-on-31-March-2020)
administration of glucose remains fundamentally sound. Approximately 10% of insulinomas are multiple and less than 10% can be metastatic at presentation.

Insulinomas have an estimated incidence of 1 to 3 per million population per year. Hypoglycemic symptoms can be grouped into those resulting from neuroglycopenia (commonly including headache, diplopia, blurred vision, confusion, dizziness, abnormal behavior, lethargy, amnesia, whereas, rarely, hypoglycemia may result in seizures and coma) and those resulting from activation of the autonomic nervous system (including sweating, weakness, hunger, tremor, nausea, feelings of warmth, anxiety, and palpitations). Symptoms usually resolve with food. Weight gain is nonspecific (34, 35).

**Gastrinoma**

Gastrin is a peptide hormone that stimulates the secretion of gastric acid (HCl) by the parietal cells of the stomach and aids in gastric motility. The precursor molecule preprogastrin can be enzymatically cleaved into progastrin, which can be further processed into various forms of gastrin. The most important forms of gastrin are gastrin-34 ("big gastrin"), gastrin-17 ("little gastrin"), and gastrin-14 ("minigastrin"), which contain 34, 17, and 14 amino acids, respectively (36). These gastrin isoforms bind to a specific G protein–coupled gastrin receptor.

Gastrinomas are NENs which secrete gastrin. The incidence of gastrinomas is 0.5 to 3 per million population per year. These tumors can be located in the duodenum (50% to 88%) and pancreas. Gastric acid hypersecretion can result in (recurrent) Helicobacter pylori-negative severe peptic disease (peptic ulcer disease and/or gastroesophageal reflux disease) which can be resistant to regular treatments and diarrhea (37, 38). The first description of gastrinoma by Robert Zollinger and Edwin Ellison dates from 1955. Therefore, the gastrinoma syndrome has also been named Zollinger–Ellison syndrome (39).

**VIPoma**

Vasoactive intestinal polypeptide (VIP) is a 28 amino acid peptide and a ligand to a specific G protein–coupled receptor. It has a multitude of actions on many tissues, organ systems, and functions including neuronal, digestive, cardiovascular, respiratory, reproductive, exocrine, endocrine, neuroendocrine, immune, and renal functions.

VIPomas are NENs which secrete VIP. Their annual incidence is 1 to 2 per 10 million population. These tumors can be localized in the pancreas (75%), or in the sympathetic ganglia (25%). The first cases of VIPoma were reported in 1958 by John V. Verner Jr. and Ashton B. Morrison. VIPoma syndrome has also been named Verner–Morrison syndrome (39).

VIPoma patients suffer from profuse large volumes of watery (secretory) diarrhea. This will eventually lead to severe electrolyte disturbances, such as loss of bicarbonate and potassium in the stools. Other symptoms include facial flushing and inhibition of gastric acid secretion (40). VIPoma syndrome has been termed watery diarrhea hypokalemia achlorhydria syndrome. About 50% of patients also present with hypercalcemia. The mechanism of action for this effect is unknown, but it might be related to cosecretion of parathyroid hormone–related peptide (41).

**Glucagonoma**

Glucagon is a 29 amino acid peptide and a ligand to a specific G protein–coupled receptor. It is the most important catabolic hormone of the body causing a rise of the concentrations of glucose and fatty acids. Glucagonomas are PanNENs which secrete glucagon.

In the majority of glucagonoma patients, there is either a new onset or worsening of diabetes mellitus. The catabolic effect of glucagon leads to significant weight loss in 70% to 80% of patients. Also, cheilosis, glossitis, and stomatitis is reported in 30% to 40% of patients. Thromboembolic events and anemia frequently occur in these patients. But the most distinct feature of glucagonomas remains a typical skin manifestation named necrolytic migratory erythema (Fig. 2B, C) (42).

**Somatostatinoma**

Somatostatin is present in the human body in two major subforms: somatostatin-14 (consisting of 14 amino acids) and somatostatin-28 (28 amino acids). The 5 different somatostatin receptor (SSTR) subtypes are G protein–coupled receptors through which hormone release by various endocrine organs can be inhibited. Furthermore somatostatin plays a role in neurotransmission.

Somatostatinomas are somatostatin-secreting NENs which can be localized in the pancreas (60%) or in the duodenum (40%). Their annual incidence is extremely rare at 1 per 40 million population. The somatostatinoma syndrome is characterized by somatostatin hypersecretion resulting in diabetes mellitus of recent onset, decreased gastric acid secretion, choledolithiasis, steatorrhea, anemia, and weight loss (43).
Other hormonal syndromes in NENs
Apart from the secretion of a single hormone, multiple and secondary hormone secretion can be found in 3% to 10% of patients with metastatic PanNENs. Also, in time, PanNENs may secrete another hormone, or nonfunctioning PanNENs may start secreting a biologically active hormone. This is named “metachronous” secretion. Secondary hormone secretion is usually associated with disease progression and is also associated with increased morbidity and mortality, particularly in patients with insulin hypersecretion (44, 45).

Paraneoplastic humoral syndromes can be caused by adrenocorticotrophic hormone or corticotropin-releasing hormone secretion causing Cushing’s syndrome (46), parathyroid hormone-related peptide secretion causing hypercalcemia (41), antidiuretic hormone secretion causing hyponatremia, and growth hormone-releasing hormone secretion causing acromegaly (47).

In 2013, the first pancreatic cholecystokinimoma secreting cholecystokinin was described by Rehfeld and colleagues (48). Cholecystokinins are a group of polypeptides composed of varying numbers of amino acids which all are ligands to a specific G protein–coupled receptor. Cholecystokinins have many structural similarities with gastrin. This syndrome is characterized by nonwatery diarrhea, cholelithiasis, peptic ulcer disease, and significant weight loss (48).

Nonhormonal symptoms
Given the expanded use of cross-sectional imaging and endoscopy procedures, an increasing number of patients will present with a NEN without related symptoms, so-called incidentalomas. However, depending on the location of the primary tumor and its metastases, complaints can occur due to compression, ingrowth, or obstruction of vital structures. Because of their gastrointestinal location some primary tumors of the gut or pancreatic tumors growing into the bowel might give rise to blood loss and iron-deficiency anemia. Abdominal pain complaints are often encountered in patients with gastroenteropancreatic NENs (49). A pathognomonic feature of mesenteric metastases of midgut NENs is fibrosis, leading to intermittent (postprandial) pain due to venous ischemia and possible perforation (50). Mechanical bowel obstruction because of a NEN is a rare complication. Given the extensive liver metastases in a considerable subset of stage IV NENs, patients can show complications of hepatomegaly, including pain and jaundice. Bone pain because of skeletal metastases is occasionally a presenting feature, but more often develops during the disease course. Respiratory symptoms of recurring infections, cough, dyspnea, chest pain, and wheezing can be seen in patients with lung NENs or lung metastases, especially when tumors are located near the central airways. Systemic symptoms of malignancy such as cachexia, fever, and night sweats are seldomly observed in patients with well-differentiated NENs, but appear more frequently in high-grade NENs.

Diagnosis
Histology
The diagnosis of a NEN is based on its distinctive histologic and immunohistopathologic profile such as expression of the general markers of neuroendocrine differentiation chromogranin A and synaptophysin. Consequently, histology should be obtained by biopsy or resection in all patients suspected of having a NEN. In addition, immunohistochemistry is also useful for identifying prognostic and theranostic markers (51).

In the past, a major problem in the management of patients with NENs was the lack of universally accepted standards regarding their nomenclature, prognostic stratification, and staging (52). Although the World Health Organization (WHO) classification of 2000 attempted to address some of these issues, the clinicopathologic classification that was introduced was rather complicated, whereas terminologies such as lesions of “uncertain behavior” were confusing and not widely accepted (53). It subsequently became apparent that NENs constitute a heterogeneous group of lesions with ubiquitous malignant potential. Their ability to metastasize or invade adjacent structures depended on tumor site, type, and biologic behavior (54).

However, it was not until the European Neuroendocrine Tumor Society (ENETS) introduced a grade classification and a site-specific staging system that some of these issues were addressed (52, 55). The proposed classification by ENETS attempted to combine tumor heterogeneity according to the tissue of origin, along with tumor differentiation and malignant potential (52). Based on this classification, it became evident that tumors originating from specific anatomical sites such as gastric NENs related to hypergastrinemia, duodenal, appendiceal, and rectal NENs follow a less aggressive course than those derived from other parts of the GI tract and the pancreas (56).
As per other malignancies, the TNM staging system was also incorporated in this classification system to denote the anatomical extent of the disease. Tumors localized to the organ of origin are staged as I or II depending on their size and extent, tumors with spread to regional nodes are staged as III, and those with distant metastases as stage IV. This classification is adopted with the intention that categories within each group are more or less homogeneous in respect of survival, and that the survival rates are distinctive between groups (52, 55). As the potential for tumor spread is directly related to the tissue of origin, tumor size incorporated in the TNM staging differs among tumors originating from different anatomical sites (52, 55). Subsequently, in the 2010 WHO classification the term “neuroendocrine” was fully adopted to highlight the expression of neural markers in tumors exhibiting endocrine properties and phenotype (56).

In addition, grading, to denote tumoral biologic behavior, was based on the proliferation rate according to that introduced by ENETS along with traditional morphologic features (Fig. 3). The proposed grading based on proliferation rates defines three grades (G1, G2, G3) that utilize specific numerical ranges of the mitotic count and Ki67 proliferation index (PI) (Table 1a). For bronchial NEN, grading incorporates the presence of necrosis rather than the Ki67 PI. Well-differentiated G1 and G2 bronchial NENs are also termed typical and atypical carcinoids, respectively (Table 1b). The grading based on mitotic count requires to be performed in at least 50 high-power fields (1 HPF = mm²) and Ki67 PI using the MIB1 antibody as a percentage of 500 to 2000 cells counted in areas of the strongest nuclear labelling (so called “hot spots”). There is substantial evidence that grading based on Ki67 PI has a strong prognostic value (56). However, existing classification systems varied widely in terminology and criteria among different authorities with robust data on biologic behavior based on Ki67 PI in GI NENs and number of mitoses in bronchial NENs (1).

The WHO 2010 classification encompassed the previously named carcinoid tumors and defined a neuroendocrine tumor (NET) as a well-differentiated neuroendocrine neoplasm resembling the normal gut–pancreas endocrine cells that expresses general markers of neuroendocrine differentiation (chromogranin A and synaptophysin) and hormones according to the site of origin. However, the majority of NENs are nonfunctioning and general neuroendocrine markers lack specificity for the lineage or site of the tumor. Caudal type homeobox 2 (CDX-2) has showed high sensitivity and specificity for small intestinal NENs, whereas PAX-8 and Islet-1 (ISL-1) are used to identify primary and metastatic PanNENs (57).

Well-differentiated NETs exhibit mild to moderate nuclear atypia and belong to grades 1 and 2. In contrast, a neuroendocrine carcinoma (NEC) is defined as a poorly differentiated, high-grade malignant neoplasm composed of small or large to intermediate cells, sometimes with organoid features resembling a NET. Such neoplasms express diffusely the general markers of neuroendocrine differentiation (mainly synaptophysin and only occasionally focal staining for chromogranin A), showing marked nuclear atypia, multifocal necrosis and a high number of mitoses (>20 per 10 HPF). They are designated as high-grade (G3) neoplasms according to the PI and histology. This definition applies to neoplasms previously classified as small cell carcinoma, large cell (neuro)endocrine carcinoma (SCNC and LCNEC respectively), or poorly differentiated (neuro)endocrine carcinoma (1) (Table 1b). In the same WHO 2010 classification a separate group of neoplasm was described and was termed as mixed adenoneuroendocrine carcinoma (MANEC). MANECs have a phenotype that is morphologically recognizable as both gland-forming epithelial and neuroendocrine cells and are defined as carcinomas since both components are malignant and should be graded. A component of squamous cell carcinoma is rare. Arbitrarily, at least 30% of either component should be identified to qualify for this definition. The identification in adenocarcinoma of scattered neuroendocrine cells by immunohistochemistry does not qualify for this definition (1, 58).

Currently, the term NEN is used to denote both well- and poorly differentiated neoplasms (NETs and NECs respectively) that can arise at almost any anatomical site and share common histologic, immune-phenotypic and ultrastructural neuroendocrine features although their natural history and prognosis vary significantly (2). The expression of neuroendocrine features can vary according to the tissue of origin along with their differentiation, as NETs predominate in the small bowel and pancreas whereas NECs are much more common in the lung and colon (58). Recent genetic evidence has highlighted not only the diversity of genetic defects according to the tissue of origin but also supported the morphologic subdivision that distinguishes well- from poorly differentiated neoplasms that...
share different clinical, epidemiologic, histology, and prognostic properties (2). This notion is particularly relevant for neoplasms originating from the pulmonary and GI system (58). Although the value of documenting the hormonal secretion profile in PanNENs is not fully adopted there is preliminary evidence to suggest that the immunohistochemical expression of insulin, even if not bioactive and/or followed by a secretory syndrome, may identify a more indolent tumor phenotype (59).

It subsequently became apparent that although grading could distinguish between neoplasms of different grades, there was considerable heterogeneity in the response to applied therapies particularly in G3 tumors (60). This notion led to the subclassification of G3 tumors according to their differentiation into well-differentiated G3 neoplasms, that were named G3-NETs, and into poorly differentiated G3 neoplasms that were named G3-NECs. The

Figure 3. Histopathology of neuroendocrine neoplasms (NENs). Hematoxylin and eosin (H&E, A) and Ki67 (B) staining of a grade 1 NET showing nests of neuroendocrine cells with oval nuclei, “salt and paper” chromatin and moderate eosinophilic cytoplasm. The nests are separated by a fibrous stroma. Nuclear staining of Ki67 is only visible in a few neoplastic cells (Ki67 <3%). (C) Histology of a grade 2 neuroendocrine tumor (NET) reveals a homogeneous population of neuroendocrine cells with slight atypia, round to oval nuclei, dense chromatin and moderate eosinophilic/ amphophilic cytoplasm. (D) Ki67 staining in the same tumor revealed 5% positivity in a hotspot. (E) A grade 3 NET displays a well-differentiated histology of neuroendocrine cells with vesicular nuclei without nucleoli and moderate amphophilic cytoplasm arranged in a nested pattern, whereas the Ki67 proliferation index is above 20% (F). H&E and Ki67 images are amplified x200 and x400, respectively.
recently proposed WHO 2017 classification is mainly referring to PanNETs and identifies well-differentiated NETs (PanNETs) and poorly differentiated NECs (PanNECs) (2). Among well-differentiated NENs, aggressiveness increases according to grade but such tumors are still less aggressive than PanNECs (58). PanNECs are rare, mostly large cell type, and may contain components of adenocarcinoma and exhibit an overall worse prognosis with poor response to treatment and overall survival (OS). Progression from G1 to G3 PanNETs may occur as tumors evolve, although very rarely are they transformed to PanNECs. Although there is no clear distinction based on Ki67 PI, G3-NETs have lower Ki67 PI (mean values around 40%) than G3-NECs (mean values >70%). However, Ki67 PI cannot reliably distinguish between G3 PanNETs and PanNECs, necessitating occasionally the use of genetic markers particularly in cases when morphology is not diagnostic (61). A number of recent studies have identified several somatic

<table>
<thead>
<tr>
<th>Classification/Grade</th>
<th>Ki67 Proliferation Index</th>
<th>Mitotic Index</th>
<th>Genetic aberrations</th>
</tr>
</thead>
<tbody>
<tr>
<td>PanNET G1</td>
<td>&lt;2%</td>
<td>&lt;2</td>
<td>MEN1, ATRX, DAXX, BRAC2, CHECK2, mTOR</td>
</tr>
<tr>
<td>PanNET G2</td>
<td>2–20%</td>
<td>2–20</td>
<td></td>
</tr>
<tr>
<td>PanNET G3</td>
<td>&gt;20%</td>
<td>&gt;20</td>
<td>TP53, RB1</td>
</tr>
<tr>
<td>Small cell type</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Large cell type</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* Ki67 PI is not used for classification of lung NENs
genetic alterations in MEN1, death associated protein 6 (DAXX), α-thalassemia/mental retardation X-linked (ATRX), phosphatase and tensin homolog (PTEN), and members of the mammalian target of rapamycin (mTOR) signaling pathway along with mutations in the DNA repair genes MUTYH, CHEK2, and BRCA2 (62, 63). These mutations are not encountered in PanNECs that harbor mutations in TP53 and RB1 and may share mutations in KRAS and SMAD4 genes (59). Molecular profiling may help correctly classify the tumor in cases with ambiguous histology (61). The 2017 WHO classification of PanNENs has adopted a change in cut-off Ki67 PI between grade 1 and 2 tumors. Given the pivotal role of Ki67 for grading and subsequent selection of therapy, it is imperative that Ki67 immunohistochemistry in performed according to a standardized protocol using a monoclonal antibody against MIB-1.

Although a similar classification of G3-NETs versus G3-NECs in the remaining GI system has not recently been published, it appears that these two subgroups do exist and behave in a similar manner to that of PanNENs but are less common. However, the majority of G3-NENs of the GI tract are NECs harboring TP53 and RB1 mutations, whereas in the colon APC mutations are also found (58). In contrast to PanNENs relatively few mutations in specific genes have been identified in GI NENs that appear to harbor mostly epigenetic changes (64). In the latest WHO classification the term MANEC was replaced by the term MiNEN (mixed neuroendocrine non-neuroendocrine neoplasm).

Circulating markers including hormones
NENs constitute a heterogeneous group of cancers both in terms of tumor biology and the variety of products that they synthesize and secrete. Some of the produced hormones can be bioactive and are consequently associated with a secretory syndrome (functioning NENs) (54, 65) (Table 2). However, NENs are still diagnosed relatively late when at an advanced stage, as the majority secrete compounds that are nonbioactive (nonfunctioning NENs). The availability of reliable circulating markers is critical for improving diagnostics, prognostic stratification, follow-up, and definition of treatment strategy. Over the years, a number of general and specific circulatory biomarkers have been developed for the diagnosis and follow-up of patients with NENs (66, 67). The relatively late diagnosis of NENs affects the application of early and possibly curative treatment and may be related to the absence of sensitive and specific biomarkers (66).

Initially the majority of circulating biomarkers have been the monoanalytes that were measured via enzyme-linked immunosorbent assays. However, their limitations in terms of dimensionality, coupled with a modest specificity, have diminished the enthusiasm with regard to their clinical utility (68). More recently, relatively novel biochemical tumor markers based on tumor biology and their molecular profile have emerged. These signals or signatures in peripheral blood define the activity of the neoplasm or the local tumor microenvironment. This concept is captured between the terms biomarker and more recently “liquid biopsy” (69).

Compared with traditional tissue biopsies, liquid biopsies are less invasive and can be easily repeated during the course of the disease, providing longitudinal prognostic and predictive information. Such biomarkers include circulating tumor cells, tumor-derived DNA, miRNAs, and recently micro-RNAs that are shed into the circulation during cancer progression (68). All have been proposed to provide information pertinent to defining the evolution of cancer in a particular individual, and each appears to provide information that might be of considerable utility. Analysis of these biomarkers offers the prospect of a liquid biopsy to predict/monitor therapeutic responses, assess drug resistance, and quantify residual disease. Compared with single-site biopsies, these markers have the potential to inform intratumor heterogeneity and tumor evolution in a reproducible and less invasive way (64).

Circulating peptide biomarkers
The best known and most used circulating general biomarker in NENs is chromogranin A (CgA). This protein is produced and processed as a component of the neuroendocrine cellular secretory apparatus and exists in the blood stream as a heterogeneous antigen composition ranging from a complete protein to a series of cleavage products in all NENs (70). Increased CgA is considered to be sensitive, and 60% to 90% accurate once a NEN has been identified, but is an inappropriate first-line diagnostic tool (71). Measurements are usually nonspecific (10–35% specificity) since CgA is elevated in other conditions, including other neoplasms, cardiac and inflammatory diseases, renal failure, atrophic gastritis, and proton pump inhibitor (PPI), or H2-blocker administration (68). In addition, CgA assays still lack standardization that affects diagnostic and
therapeutic decision-making approaches along with the ability to perform comparative studies (71, 72).

Furthermore, it appears that there is no direct relationship with the amount of circulating CgA and tumoral load, as 30% to 50% of NENs show normal, nonelevated CgA levels, which impairs sensitivity further (71). Consistently high CgA levels were found only in gastrinomas, which is due to gastrin-induced enterochromaffin-like cell hyperplasia (73, 74). Regarding its prognostic value, there is evidence demonstrating that advanced NENs secreting CgA have poorer outcome than those showing nonelevated levels (71). The identification of cutoffs allowing a proper risk stratification of CgA-secreting tumors has not been performed, whereas the trend of elevated circulating CgA does not represent a valid indicator of morphologic evolution as a 25% CgA increase exhibited a concordance with morphologic changes in only 40% of cases (72).

A recent meta-analysis of 8 highly selected studies showed that CgA exhibits a sensitivity of 46% to 100% and specificity of 68% to 90%, respectively, when used to monitor disease progression and response to treatment. It exerts a better overall accuracy (84%) during follow-up for the early detection of recurrence rather than in the diagnostic setting. It can thus be used to diagnose recurrence or progression, rather than to rule it out (72).

Bioactive compounds related to a secretory syndrome are used to confirm its presence and

<table>
<thead>
<tr>
<th>Table 2. Biomarkers for neuroendocrine neoplasms (NENs).</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clinical Setting</td>
</tr>
<tr>
<td>------------------</td>
</tr>
<tr>
<td><strong>General biomarkers</strong></td>
</tr>
<tr>
<td>Chromogranin A (CgA)</td>
</tr>
<tr>
<td>Neuron-specific enolase</td>
</tr>
<tr>
<td><strong>Specific biomarkers</strong></td>
</tr>
<tr>
<td>5-HIAA</td>
</tr>
<tr>
<td>(Pro-)Insulin, C-peptide</td>
</tr>
<tr>
<td>Gastrin</td>
</tr>
<tr>
<td>Glucagon, VIP, Somatostatin</td>
</tr>
<tr>
<td><strong>Ectopically secreted biomarkers</strong></td>
</tr>
<tr>
<td>Parathyroid hormone-related peptid</td>
</tr>
<tr>
<td>Adrenocorticotropic hormone</td>
</tr>
<tr>
<td>Corticotropin-releasing hormone</td>
</tr>
<tr>
<td>Growth hormone-releasing hormone</td>
</tr>
<tr>
<td><strong>Novel biomarkers</strong></td>
</tr>
<tr>
<td>Circulating tumor cells</td>
</tr>
<tr>
<td>Circulating tumor DNA</td>
</tr>
<tr>
<td>MicroRNAs</td>
</tr>
<tr>
<td>NETect</td>
</tr>
</tbody>
</table>
along with relevant symptoms to monitor response to treatment. Among these markers the urinary breakdown metabolite of serotonin, 5-HIAA is used for the diagnosis and follow-up of patients with mainly small bowel NENs who experience the symptoms of the CS (70). Serotonin is synthesized and stored in enterochromaffin cells of the GI tract, and when produced in excess 24-hour urinary 5-HIAA excretion exhibits an overall sensitivity and specificity of 70% and 90% respectively (68, 70). Patients with nonmetastatic disease have normal levels whereas tumor burden is related to 5-HIAA levels (68). It appears that a low cut-off of 5-HIAA levels is necessary to exclude a small intestinal NEN, or others derived from the former midgut, whereas high cut-off levels are more predictive of its presence (75). However, a number of commonly prescribed medications, several diseases, and foods may produce falsely high levels (70). There is some evidence to suggest that urinary 5-HIAA levels may be related to overall prognosis and survival in patients with CS, but further studies are required to verify this finding (75, 76). However, there seems to be a correlation with 5-HIAA levels and the development of carcinoid heart disease (CHD) (77), but to a lesser degree with mesenteric fibrosis (78). Recent studies have shown that there is good correlation between plasma and urinary 5-HIAA levels and this tool can be used for diagnosis and follow-up, although it is not widely available (79).

The increase or inappropriate presence of relevant biomarkers (mainly peptide hormones) can confirm the diagnosis of a specific endocrine syndrome (Table 2). Occasionally, when levels of a biomarker related to a specific clinical setting are nondiagnostic a stimulation test may be required. This mostly applies to gastrinoma patients with nondiagnostic basal gastrin levels when the secretin test is performed (80). Also, patients suspected for insulinoma should undergo a 72-hour supervised fast to detect inadequately elevated (pro-)insulin and C-peptide levels during hypoglycemia (35). Neuron-specific enolase is also commonly used and is mostly found to be elevated in patients with high-grade neoplasms also exhibiting a prognostic role (70). Less commonly used markers include pancreastatin, a CgA derivative that is less affected by PPI administration; it is found to be elevated in 58% to 81% of NENs. However, pancreastatin does not correlate with tumor burden and/or disease aggressiveness and its measurement it is not widely available (81). Other less commonly used monoanalytes are neurokinin A and progastrin releasing peptide, whereas N-terminal pro-brain natriuretic protein is a valuable nonspecific tool for evaluating patients with CHD (68, 70).

Circulating tumor cells
Circulating tumor cells (CTCs) are secreted either by the primary tumor or metastatic deposits and are initially found in the circulation in 43% of midgut, 21% of pancreatic, and 31% of bronchopulmonary metastatic NENs (82). CTCs are associated with increased tumor load and grade and are also found to be predictors of a worse progression-free survival (PFS) and OS; this finding is in contrast to elevated CgA levels that failed to reveal such a relation (83). Subsequently, CTCs are measured before and at different time intervals during the application of different therapeutic modalities. Patients with undetectable of substantially reduced (>50%) compared to baseline CTCs are shown to exhibit a radiologic response and an overall better OS (84). However, not many studies have evaluated their role in NENs whereas some technical limitations exist, particularly in respect to validating epithelial cell adhesion molecules (EpCAM) expression by immunohistochemistry in NENs (68). A recent consensus concluded that CTCs are not sensitive and specific for all NENs, could not distinguish between the different subtypes of NENs, and could not provide information regarding tumor burden and grade (67).

Circulating tumor DNA
These nucleic fragments from tumor cells may reveal existing genomic alterations that could be of prognostic significance and could also be druggable. This is more applicable for PanNENs that harbor specific mutations (63), whereas it is less helpful in small bowel NENs that harbor cyclin-dependent kinase inhibitor (CDKN1B) mutations in only 8% of cases (64). However, currently there is a paucity of data regarding the use of circulating tumor DNA for personalized medicine in NENs (85).

miRNAs, comprise a family of short (<30 nucleotides) noncoding RNAs designated to regulate a diverse array of biologic processes, including carcinogenesis, where they can act as either oncogenes or tumor suppressor genes (86, 87). It is estimated that miRNAs can regulate approximately 60% of all coding genes targeting many mRNAs, which in turn can be regulated by multiple miRNAs (86). Studies of miRNAs in NENs have been relatively few, including a small number of mainly heterogenous populations, utilizing
different methodologies, and lacking control groups (86). Tissue-specific expression of miRNAs has been investigated in NENs, predominantly in bronchial, small intestinal, and PanNENs, whereas data on circulating miRNAs are scarce (87). The most consistently altered miRNA in small bowel and PanNENs was MiR-21 but this epithelial biomarker requires further validation (86).

**NETest**

Given the limited accuracy of the currently available biomarkers and the known limitations of single analyte measurements in clinical science along with the existing limitations of evolving biomarkers, a blood-based multianalyte NET-specific gene transcript analysis was recently developed and termed NETest (69). This appears as an alternative to the measurement of single analytes, and presents a robust, reproducible polymerase chain reaction-based multianalyte test for the detection of NENs. The multianalyte algorithm is based on the simultaneous measurement of 51 neuroendocrine-specific marker genes in peripheral blood. This approach is superior to single analyte tumor biomarkers as it may concomitantly evaluate different cellular processes such as apoptosis and glucose metabolism. It has a high sensitivity (85–98%) and specificity (93–97%) for the detection of GI NENs and outperforms other monoanalytes such as CgA (69, 81). Furthermore, it is not affected by concomitant treatment with PPIs and/or SSAs, and its performance is not related to stage and grade (88). A prospective study evaluating the performance of the NETest in identifying PanNENs and small bowel NENs showed a diagnostic accuracy of 93% without being affected by other pancreatic cancers or pancreatitis and with only few cases of colon and rectal cancers giving false positive results (69). In addition, the NETest was capable of identifying patients’ response to systemic therapies and detecting early disease relapse, as alterations in the NETest predated those of imaging (89). Although the NETest appears to be an ideal biomarker for establishing the diagnosis, monitoring response, and overall prognosis, it is not yet widely available and needs further validation by different groups as the first independent cohort showed less favorable biomarker metrics (90).

**Pancreatic NEN molecular markers**

Exome sequencing (of 18 000 coding genes) was initially performed in 10 nonfamilial PanNENs and then checked in 58 other PanNENs. MEN1 mutations were identified in 43%, whereas mutually exclusive mutations in the *ATRX* and *DAXX* genes were identified in 43% of cases (18% and 23% of cases respectively in 68 cases studied) (62). A further 14% mutation rate in the mTOR pathway was also found, but these tumors exhibited a 13% overlap with *MEN1* (62). *ATRX* and *DAXX* are chromatin remodelers but their loss leads to alternative lengthening of telomeres (ALT) and chromosomal instability (CIN) (91). Although it was initially reported that *ATRX/DAXX* mutant tumors had superior 10-year survival and outcome (62), a larger study of 243 tumors has demonstrated that *ATRX* and *DAXX* loss and associated ALT in PanNETs correlates with CIN, advanced tumor stage, development of metastases, poorer progression, and OS (92). Subsequently, whole-genome sequence of 102 PanNETs identified previously unknown germline mutations in DNA repair genes *MUTYH* (encodes DNA glycosylase), *BRCA2*, and *CHEK2* (63). These previously unidentified mutations in patients without a positive family history indicated that individuals carrying such mutations have an increased albeit unquantifiable risk of disease. Furthermore, it was noted that along with *MEN1* and von Hippel Lindau disease these mutations accounted for 17% of germline mutations. In addition, somatic mutations were found to occur in 4 domains: DNA damage repair, chromaffin modification, mTOR signaling, and ALT. New mTOR mutations were also identified that could be utilized as biomarkers to predict therapeutic response, whereas currently known mutational status (*DAXX, ATRX, mTOR*) can be used to stratify prognosis of G2-NETs (subgroup with the least predictable risk) and in well-differentiated G3-NETs (63). This is particularly important, as *TP53* and *RB1* genetic alterations are mostly found in patients with PanNECs and are harbingers of a worse outcome.

**Small intestinal NEN molecular markers**

Loss of chromosome 18 has been reported in 60% to 90% of small intestinal NENs, but no mutated genes on this chromosome have been detected. *CDKN1B* has recently been revealed as the only recurrently mutated gene in small intestinal NENs but with a relatively low frequency of 8%, suggesting that its role as a driver in NEN development is uncertain (64). Genomic profiling studies have suggested that two distinct groups of small intestinal NENs exist: a more prevalent subset with loss of chromosome 18 as the primary event, with additional losses on other chromosomes, and a further smaller group often with intact chromosome 18 but clustered gains on chromosomes 4, 5, 7, 14, and 20.
However, when whole-exome sequencing was performed on 48 small intestinal NENs, an average of only 0.1 somatic single-nucleotide variants (SNVs) per 10⁶ nucleotides in contrast to other epithelial cancers of the colon and rectum were detected, indicating that small intestinal NENs are genetically stable (64). It appears that in small intestinal NENs epigenetic dysregulation is more common, as DNA methylation analysis has shown dysregulation in 70% to 80% of tumors (64). Global hypomethylation was more prevalent in GI than PanNENs and correlated with poor prognosis, lymph node metastases, and loss of chromosome 18 (94). Recently, a putative tumor suppressor role has been suggested for TCEB3C occurring at 18q21 (encoding elongin A3), which may undergo epigenetic repression (95). Integrated genome-wide analysis including exome and whole-genome sequencing, gene expression, DNA methylation, and copy number analysis has identified three novel molecular subtypes of small intestinal NENs with differing clinical outcome (96). The largest subgroup, found at older ages and exhibiting the longest PFS, harbored chromosome 18 LOH along with CDKN1B mutations and lack of DNA methylation whereas a group with multiple copy number changes had a poorer PFS and was encountered in younger patients. A further group with intermediate PFS showed DNA methylation but absence of copy number changes (96).

**Imaging markers**

The localization and staging of NENs relies on both morphologic (provided by conventional radiology) and functional (provided by nuclear or molecular imaging) techniques as they are considered to exert a complementary role (97) (Fig. 4). Conventional imaging is performed either with computed tomography (CT) scanning or magnetic resonance imaging (MRI) according to the specific tissue of interest and local availability. However, differences in the performance characteristics of these modalities do exist (73). In addition, ultrasonography-related techniques are utilized when additional information regarding primary tumor localization and extent of invasion and histologic confirmation is required. Functional imaging uses hybrid imaging approaches with either single photon emission CT (SPECT) or, more recently, positron emission tomography (PET) as SPECT/CT or PET/CT and can also provide prognostic information and guide treatment decisions. PET/MRI is also available (97).

**Computerized tomography**

CT has long been the main imaging modality used for localization, staging, decision-making, and monitoring response to treatment in NENs (66, 97). Currently available high-resolution multidetector CT imaging provides whole-body imaging of the thorax, abdomen, and pelvis before and after intravenous (IV) iodine-based contrast administration; late arterial phases are used to identify hepatic and pancreatic lesions whereas venous phase images are used for the remaining structures (97). Potential pitfalls with this form of imaging are the low detection rate of small (<1 cm) infiltrated lymph nodes and bone metastases. A mean 82% and 86% sensitivity and specificity respectively for overall NEN detection, with higher rates for pancreatic and hepatic disease, has been described (73, 97, 98). However, the mean sensitivity for detecting extrahepatic abdominal soft tissue and bone metastases ranges from 61% to 70%, albeit with a higher specificity (99). For the demonstration of hepatic disease, which represents the most common area of NEN metastases, a CT triple-phase examination is required that includes imaging before (nonenhanced) and following IV contrast enhancement in the late arterial (portal venous inflow) and venous phase (97). This approach is sufficient to direct towards NEN-related lesions that are usually hypervascular, but this does not apply for hypovascular lesions (73). For the identification of small intestinal neoplasms that can be of subcentimeter size and multiple in number, CT enteroclysis has shown relatively low, but with a wide range, sensitivity and specificity of 50% to 85% and 25% to 97% respectively (100). Additional information may also be obtained with capsule endoscopy that may identify lesions in approximately 50% of cases (101). In the presence of mesenteric metastases secondary to small intestinal NENs, an intense desmoplastic reaction may develop that appears as a soft tissue mass with areas of calcification surrounded by radiating fibrotic streaks to the mesentery (78).

To homogenize the reporting approach and develop reference standards used to evaluate treatment response, the Response Evaluation Criteria In Solid Tumors (RECIST) have been implemented (102). A potential limitation of CT imaging for patients undergoing prolonged follow-up with imaging surveillance is the radiation dose administered, which varies according to the examination protocol and type of CT scanner.
Figure 4. Imaging procedures used in neuroendocrine neoplasm (NEN) diagnostics. (A) Axial T2-weighted magnetic resonance imaging (MRI) showing metastatic deposits in both hepatic lobes from a pancreatic Grade 2 NET. (B) Axial T1 diffusion MRI image of the same patient showing further lesions not detected with the previous MRI sequence. (C) Computed tomography (CT) of the abdomen demonstrating a desmoplastic reaction (white arrow) in the mesentery of a patient with a Grade 1 small bowel NET. (D) Fibrotic strands radiating from a central mesenteric metastatic mass in a patient with multiple small bowel NETs. There is thickening of the bowel wall and fluid retention due to venous ischemia in this patient, causing postprandial abdominal pain. (E) MRI T2-weighted image with fat saturation demonstrating an oval shaped high signal bone lesion from a Grade 2 small bowel NET at the level of Th11 (white arrow). (F) Polypoid lesion arising from the body of the stomach detected by endoscopic ultrasonography infiltrating the mucosa and submucosa. (G) Positive right hepatic lobe $^{18}$F-FDG PET uptake (white arrow) in a patient with a small bowel Grade 2 NET. In the same patient positive $^{68}$Ga-DOTATOC positron emission tomography (PET) in the same area of $^{18}$F-FDG PET uptake (thick white arrow) and additional uptake in different areas of the left hepatic lobe (thin white arrow). (H) Positive uptake in multiple hepatic areas in a patient with a Grade 2 pancreatic NET following a $^{68}$Ga-DOTATOC PET. Negative $^{18}$F-FDG PET in tumor lesions within the same patient.
Magnetic resonance imaging

MRI appears to be superior to CT for imaging of the liver and pancreas and for the detection of metastatic disease in the bones and brain (54, 66, 97). Current 1.5 to 3 Tesla scanners provide conventional T1- and T2-weighted images that can be enriched with contrast administration, obtaining an overall 79% sensitivity and almost 100% specificity in identifying PanNENs (103, 104). A 75% sensitivity and 98% specificity respectively in identifying hepatic metastases has been described with an overall mean detection rate of NEN-related lesions of approximately 76% (range 61–95%) (105). In general, the image contrast is better with MRI than CT, and the use of several MRI sequences provides further diagnostic enhancement (97). Diffusion-weighted imaging, which is based on the restriction of water molecule movement across cell membranes, produces high lesion-to-background resolution without the administration of any contrast media (97). In addition, hepatocyte-specific MRI contrast media (such as Gd-DTPA) are accumulated by the normal hepatocytes and help to identify previously unnoticed metastases (104). Recently, the extent of hepatic involvement, expressed as the percentage of hepatic tissue replaced by tumoral tissue, appears to be of significant prognostic importance directing specific therapeutic decisions, particularly in the form of cytoreduction either surgically or through ablative procedures (66).

Typical NEN lesions exhibit a low signal intensity in T1- and intermediate-to-high signal intensity in T2-weighted images. MRI is particularly helpful for the detection of small (<2 cm) PanNENs that are mostly well-vascularized neoplasms without exerting a compressive effect on the main pancreatic duct (106). Such lesions show higher apparent diffusion coefficient values than more aggressive tumors. MRI represents a valuable tool to monitor patients harboring such lesions and especially patients with MEN1, who are subjected to screening regularly from the age of 5 years (107). Diffusion-weighted MRI sections and/or the administration of IV contrast represent the best means to identify small hepatic metastases from NENs (105). NEN-related metastases exhibit high signal intensity on T2-weighted images and are mostly hypervascular in the hepatic arterial phase (105).

Ultrasoundography and related applications

Conventional abdominal ultrasonography is an operator-dependent imaging technique that exhibits an overall low detection rate for PanNENs of approximately 40%. Its performance in identifying hepatic metastases is higher (97). In contrast, endoscopic ultrasound (EUS), which is also operator dependent, presents a sensitive tool in detecting PanNENs with a mean detection rate of 92% (range 74–96%) (108). Detection rates are lower when lesions are located at the pancreatic tail, whereas the detection rates for duodenal neoplasms and adjacent lymph nodes is approximately 63% (108). In addition, EUS allows access to tissue sampling, which facilitates confirmation of the diagnosis while obtaining grading information (1). Evaluation of PanNEN grade by EUS-guided fine needle aspirate (FNA) has revealed low complication rates and reasonable diagnostic concordance compared with surgical specimens (109). However, underestimation of grade in FNA samples has also been reported, especially for small tumors and hypocellular specimens, providing rationale for EUS-guided histologic biopsy in selected cases (110, 111). EUS is particularly useful in establishing the depth of extension in gastrin-related gastric type 1 and 2 NENs, and duodenal and rectal NENs directing further therapeutic decisions and in the follow-up of PanNENs in incidentally discovered lesions and in patients with MEN1 (107). Color Doppler EUS is used to evaluate vascular lesions (97).

Somatostatin receptor imaging

The rationale of performing somatostatin receptor imaging (SRI) is based on the wide expression of SSTRs by NENs and provides information for their presence throughout the body, revealing additional metastases compared with conventional imaging with CT/MRI (66). In addition, it has a prognostic role as SSTR expression is more commonly found in well-differentiated neoplasms whereas the quantification of radionuclide uptake in tumor lesions may provide additional prognostic information (66). This modality can also identify patients suitable for treatment with PRRT based on the intensity of SSTR expression (112). SRI with 111In-pentetreotide along with SPECT (OctreoScan) has been used extensively but lately imaging with 68Ga-DOTA-somatostatin analogs (68Ga-SSA) along with PET/CT is increasingly utilized (98).

This modality has a better diagnostic performance, and exposes the patient to less radiation as imaging is completed within hours compared with days with OctreoScan (113). In addition, PET has a better spatial resolution to SPECT (0.5 vs 1–1.5 cm) and a better tumor to normal tissue contrast (114). Although several preparations of 68Ga-SSA exist, namely TOC (tyrosine octreotide), TATE (octreotate), and NOC (1-NaI3-octreotide),...
there is no particular advantage in selecting one of them, for NENs preferentially express SSTR subtype 2 (SSTR2) to which all these compounds bind avidly (115). Overall, the mean sensitivity and specificity of 68Ga-SSA imaging ranges from 88% to 93% and 88% to 95% respectively (114). In a recent meta-analysis the application of this modality has led to a change of management in 44% of individuals who underwent imaging, whereas in four studies in which previous imaging with OctreoScan had also been performed this was 39% (116). Recent evidence has revealed that imaging using SSTR antagonists has a better resolution than agonist-receptor formulations, as it is not internalized and remains bound to the cell surface of the tumor (117). There is also evidence that these compounds may be superior to imaging in treatment with PRRT than those currently used (116).

In areas with limited availability of SRI, immunohistochemistry of SSTR2 in tissue constitutes a suitable alternative with above 90% concordance to imaging for the selection of cases eligible for SSA treatment (118).

[18F]fluorodeoxyglucose

In general, SSTR expression diminishes when proliferation rate increases and 68Ga-SSA imaging becomes negative when grading increases, particularly in NECs (119). Imaging with [18F]fluorodeoxyglucose (18FDG)-PET/CT is widely used in oncology to reveal previously unnoticed cancer lesions and for staging reasons based on the Warburg effect (66, 120). For high-grade NENs and especially NECs, 18FDG-PET/CT is the nuclear medicine modality of choice but can also be positive in G2 to G3 NETs where there can be overlap with 68Ga-SSA imaging. Although no specific Ki67 cut-off value predictive of a positive 18FDG-PET/CT has reliably been found, neoplasms with Ki67 PI values >15% are more likely to exhibit a positive 18FDG-PET/CT (66, 119). Furthermore, a positive 18FDG-PET/CT is a harbinger of a more aggressive course and a negative predictor of response to PRRT (119, 121). There is currently a trend for both modalities to be performed, particularly in non-G1 NENs, as they appear to exert a complementary role (122).

Other imaging tracers

Insulinomas express SSTR2 in approximately 50%, and therefore imaging with 68Ga-SSA may be negative. In such cases, imaging with 68Ga-labelled tracers using as a chelator the glucagon-like peptide receptor-1 has been shown to be superior (123). In a recent prospective study of 52 patients with suspected insulinomas 68Ga-DOTA-exendin-4 PET/CT outperformed 111In-DOTA-exendin-4 SPECT/CT and CT/MRI in the localization of benign insulinomas (124). Imaging with 18F-DOPA-PET/CT has also been utilized and shown to be superior to conventional OctreoScan, particularly for small intestinal NENs (125), but appears to identify fewer lesions than 68Ga-SSA (126). There are also some data using the serotonin precursor 13C-5-hydroxy-tryptophan, but this modality is less widely available (97). Radiotracers with 64Cu have different properties and despite similar patient-based sensitivity 64Cu-based SSTR PET imaging identified more lesions than 68Ga-PET (114). C-X-C motif chemokine receptor 4 (CXCR4) is expressed in NENs and seems to play a limited role in detecting well-differentiated NETs, whereas increasing receptor expression could be noninvasively observed with increasing tumor grade. 68Ga-CXCR4(pentixafor) PET/CT might serve as a noninvasive means for evaluating the possibility of CXCR-directed PRRT in advanced dedifferentiated SSTR-negative tumors (127).

Integrating diagnostics in NENs

It has recently become apparent that a number of different biomarkers (including advances in histopathologic, functional nuclear imaging, and molecular diagnostics) need to be utilized in order to be able to formulate a patient-orientated diagnosis that would provide prognostic stratification and dictate therapeutic decisions. Although such an approach is related to local expertise and availability, it aims to provide more personalized patient care (Fig. 5).

Management

The origin of NETs as sensory and secretory cells provides a unique background in the oncologic field of treatment. Besides the management of morbidity and mortality due to tumor growth, clinicians dealing with NET patients should also be skilled in recognition and treatment of hormonal symptoms. The complications of proliferation and hormonal activity should both be considered in planning the therapeutic strategy within the individual patient. An overview of treatment targets in NEN is provided in Fig. 6.

Locoregional disease

Patients with stage I to III disease should undergo evaluation for the possibility of a curative surgical resection. The majority of new NET cases still
present with locoregional disease, which is consistently accompanied by a better prognosis than stage IV disease (8, 10).

Intraluminal pulmonary or GI NENs (T1-T2) without the presence of lymph node metastases can be candidates for curative endoscopic resection. As lymph node dissection plays a vital role in the risk of and time to recurrence, this should be limited to selected cases. Laser-guided resection has been employed in a series of central pulmonary carcinoids (128–131), but size and purely intraluminal growth on CT were found to be relevant predictors of treatment success. Lesions below 20 mm were successfully resected in 72% of cases in one series (132). Long-term success of endobronchial resection is limited at 58% and often necessitates rescue surgery due to extraluminal extension, but prognosis is very good with a disease-specific 10-year survival rate of 97% (133).

Well-differentiated gastroduodenal or rectal NETs smaller than 2 cm (T1-2) are candidates for endoscopic resection. Endoscopic snare polypectomy constitutes insufficient treatment as the lesions arise submucosally and high rates of recurrence after polypectomy have been described (134). Although endoscopic mucosal resection (EMR) has been advocated for lesions below 0.5 to 1.0 cm (135), there is general consensus that endoscopic submucosal dissection (ESD) or transanvil endoscopic microsurgery lead to the greatest chances of obtaining a complete resection for low-grade T1-2 rectal NETs up to 2.0 cm (136–138). Modified EMR techniques have recently shown promise as an alternative to ESD with potentially greater availability and lower risk of perforation (139, 140).

Well-differentiated pulmonary or thymic NETs without distant metastases can be cured by resection, also in the presence of lymph node metastases. Strategies include a segmentectomy, wedge resection, (bi-)lobectomy, or pulmonectomy with lymph node dissection (141). A national surgical series of 661 patients with pulmonary carcinoids displayed excellent long-term prognosis with 92% 10-year survival. Negative prognostic indicators included advanced T stage, nodal involvement, and atypical carcinoids (142–144).

Subcentimeter gastric type 1 NETs confer an excellent prognosis without disease-related mortality, and annual endoscopic surveillance has been proven to be a safe strategy despite a lack of high-quality studies (13, 145). The risk of lymph node and distant metastases was associated with lesion size (146), providing rationale for endoscopic resection by EMR or ESD when tumor size increases beyond 1.0 cm. Treatment of Zollinger-Ellison–associated gastric
NENs should take into account the gastrin-producing pancreaticoduodenal NEN or in the case of MEN1 multiple NENs. Given the intermediate malignant potential of type 2 gastric NENs in small series (14, 147), endoscopic or surgical resection should be considered in sporadic cases. For gastric type 3 and 4 NENs a surgical partial or complete gastrectomy (148). Duodenal NETs should be radically removed by either endoscopic techniques (≤1.0 cm) or surgical duodenectomy (149–151).

The optimal strategic approach to sporadic nonfunctional small pancreatic NETs is controversial. The risk of lymph node and distant metastases increases with the size of the primary tumor, with 2.0 cm taken as the most applied cut-off for intervention (152). In one retrospective series, the tumor growth rate of asymptomatic lesions below 2.0 cm appeared limited at 0.12 mm per year and the risk of metastases was nil during a median follow-up of 34 months (153). A systematic review including five retrospective series with 540 patients revealed that active surveillance was safe in asymptomatic, sporadic, small, nonfunctioning PanNETs (154). Only 14% underwent surgical resection during follow-up and no disease-related mortality was detected. Surgical exploration with enucleation or resection should be considered in locoregional functional, T2 to T3, or N1 PanNETs. Several reports have described positive outcomes of primary tumor resection in stage IV disease in selected cases (155–157), but further confirmation is needed.

Surgery for midgut NETs is often palliative as most patients present with stage IV disease (10). In the metastasized setting, resection of the primary lesions and affected mesenteric nodes was previously advocated because of reports of survival benefits attributed to this strategy (158–160), but recent studies, including one incorporating propensity-scored matching, have failed to replicate this (161, 162). Given the characteristic desmoplastic reaction in mesenteric metastases with risk of venous ischemia, ileus, and bowel perforation (163), palliative surgery should be considered for symptomatic patients with advanced disease. In the case of a locoregionally confined midgut NET, a small bowel resection or right-sized hemicolectomy should be accompanied by mesenteric lymphadenectomy for accurate staging and cure (164). Recurrence rates of microscopically radical resections are in the range of 11% to 23% (165, 166), giving rise to excellent 10-year disease-related survival for stage I to II and stage III midgut NETs of 100% and 86%, respectively (167). Small colorectal NETs not amenable for endoscopic treatment or those above 2.0 cm or with nodal involvement should undergo oncologic resection similar to adenocarcinoma with hemicolecctiony plus lymphadenectomy or anterior resection plus total mesocolic excision.

**Liver-directed therapy**

GEP-NETs preferentially metastasize to the liver, making this the sole distant metastatic site in a considerable subset of patients. As such,
attempts at curative intervention have been investigated. Extensive surgery including resection of the primary tumor, lymphadenopathy, and liver metastasectomy can be accompanied by long-term PFS (168–171). Alternative therapies include radiofrequency ablation (RFA) or microwave ablation for oligometastatic lesions limited in size. Importantly, hepatic micrometastases are common in GEP-NETs and can easily be missed on current imaging modalities (172). Histopathologic evaluation of liver resections has revealed that less than 50% of metastases were detected by CT, MRI, or OctreoScan (173), questioning whether a liver resection can truly be curative.

A variety of liver-directed therapies can also be employed in the palliative setting (174). The decision to pursue this strategy should take into account the liver tumor burden and localizations, growth rate, and hepatic function as well as extrahepatic metastases and availability of systemic treatment options. Segment resection, hemihepatectomy, or thermal ablation can be attempted in individual cases, especially when confronted with accelerated growth of one or a few liver lesions. However, a survival benefit of such strategies has not been definitively proven to date, although some retrospective series might suggest an advantage in selected cases (156, 175).

Hepatic NEN metastases predominantly derive their blood supply from the hepatic artery above that from the portal vein. Consequently, embolization techniques through the hepatic artery have been extensively used as a treatment for NEN liver metastases (176). Transarterial options include bland particle embolization (TAE) producing ischemia, chemoembolization (TACE), and radioembolization (TARE). Historical, retrospective series have reported success rates for both hormonal and proliferative control following TAE or TACE (177–180). Head-to-head comparison between TAE and TACE revealed equal efficacy for both techniques (181, 182). However, higher rates of toxicity have been observed in patients treated with TACE (183). The advent of TARE with 90Yttrium-labelled microspheres provides a valuable alternative with potentially improved tolerability compared with TAE(C)E (184, 185). Instead of ischemia, the radiospheres cause tumor response through radiation-induced DNA damage. Individual embolization options should be discussed in an experienced multidisciplinary team, weighing that survival benefit has not been proven for these techniques. In very select cases without extrahepatic disease, several dedicated centers have performed liver transplantation for metastatic NEN patients (186).

**Hormonal syndromes**

The management of hormonal complaints in patients requires an approach tailored to individual needs. The possibility of a surgical radical resection or debulking should be contemplated as tumor bulk often correlates with the severity of an endocrine syndrome. Pre- and or perioperative antihormonal treatment should be started in patients with uncontrolled complaints. If surgical cure or cytoreduction is not feasible, patients are restricted to palliative care for their symptoms. The tumor mass, location, and growth rate are important factors to consider when deciding for treatment with purely antihormonal effects or those with both antihormonal and antiproliferative effects.

**Carcinoid syndrome**

Symptoms of diarrhea, flushing, and bronchospasm within the clinical spectrum of the carcinoid syndrome (CS) require dedicated therapy. An incompletely appreciated spectrum of peptides and amines is responsible for increased gut motility, vasodilation, and fibrotic complications observed in CS patients (30). Supportive measures such as avoiding food or stress that evokes complaints and antidiarrheals such as loperamide or codeine phosphate can offer alleviation of symptoms. Chronic diarrhea in CS patients can be multifactorial and include causes such as exocrine pancreatic insufficiency, bile acid sequestration, bacterial overgrowth, or short bowel, often seen after abdominal surgery. Clinicians should treat these conditions accordingly with either dietary modifications, pancreatic enzymes, antibiotics, or cholestyramine.

Targeted medical treatment has been available for decades since the advent of somatostatin analogues (SSAs). Besides their role in NET diagnostics, SSA-induced SSTR activation inhibits the secretion of a variety of humoral factors by NETs. Two systematic reviews and meta-analyses have described that SSAs can induce a symptomatic response for CS in 65% to 74% of patients (32, 187). This efficacy appears to be equal across all relevant SSA formulations. Biochemical response after SSA use measured by a reduction in urinary 5-HIAA levels is also considerable at 39% to 51%. Long-acting formulations such as octreotide LAR and lanreotide autogel should be commenced once the diagnosis of CS is confirmed. Caution should be applied to patients with nearly obstructed bowel as initiation of the SSA can induce an obstructive
ileus. In these cases a trial of short-acting SSAs may be considered. SSAs are generally well tolerated by patients, but adverse effects include a change in bowel movements and stool consistency, nausea, steatorrhea, myalgia, injection reactions, diabetes mellitus, and in the long term cholelithiasis (188, 189). Most of the adverse effects are low grade and self-limiting, requiring little need for dose reduction in patients. In cases of symptoms refractory to a standard dose, clinicians may consider increasing the SSA dose further (32, 190). Strategies include reducing the injection interval or increasing the injection dose of long-acting formulations or adding subcutaneous “rescue” octreotide. There is no benefit of switching to the multi-SSTR-targeting pasireotide in CS patients (191).

Given the pathophysiologic role of serotonin in CS-related diarrhea, several serotonin pathway inhibitors have been studied as treatment for CS. Anecdotal evidence has suggested efficacy of the serotonin receptor 3 antagonist ondansetron in CS (192–194). Telotristat ethyl, a serotonin synthesis inhibitor that does not cross the blood–brain barrier, has recently been proven to alleviate CS-induced, SSA-refractory diarrhea in 40% of CS patients in a series of clinical trials (195–198). In the TELESTAR phase 3 trial of patients with carcinoid syndrome and 4 or more stools despite SSA use were randomized between telotristat ethyl and placebo (195). The use of telotristat ethyl decreased daily bowel frequency by 1.7 (250 mg 3 times a day) and 2.1 times (500 mg 3 times a day), compared to 0.9 times in placebo-treated patients. Flushing was not significantly affected. Telotristat ethyl at a dose of 250 mg 3 times a day represents a relevant novel possibility for those patients with diarrhea refractory to standard SSA treatment and a 3-month trial is generally advised.

Several options are available to patients with continued CS complaints despite the above-mentioned strategies. Interferon-alpha injections can alleviate CS symptoms in CS patients (199), although their efficacy on top of SSA appears limited (200). Liver-directed therapy is potentially very effective in cases of liver-dominant disease (201). Alternatively, peptide receptor radionuclide therapy (PRRT) with radiolabeled SSAs can induce an improvement of CS symptoms, both in patients with radiologic stable or progressive disease (32, 202).

Importantly, patients with severe CS can suffer from niacin deficiency or pellagra due to a shift of tryptophan metabolism towards serotonin production (203). These patients should be treated with niacin or nicotinamide 200 to 250 mg once daily supplementation. In addition, SSA use is accompanied with an increased risk for deficiencies of fat-soluble vitamins. Their levels should be monitored in patients with steatorrhea and supplemented accordingly (204). Attention should also be given to the possibility of CHD and annual echocardiography should be performed in patients with CS (205).

Insulinoma

The prevention of hypoglycemic events is the primary treatment target of insulinoma management. Patients should receive a glucose monitor and be instructed to regularly check their blood glucose until normoglycemia is maintained throughout day and night. Continuous glucose monitoring can be considered in cases with hypoglycemia unawareness (206). Radical surgical resection of insulinomas is key to treat locoregional disease and occasionally distant metastases (207). Several therapeutic options are available to reduce hypoglycemia risk in patients before surgery or in cases of irresectable disease.

A dietician should be consulted in all patients presenting with insulinoma. Hypoglycemic events can be prevented in a subset of patients by dietary counseling alone. Advice includes eating frequent meals, introduction of long-acting carbohydrates and, if needed, nightly intake. In cases refractory to pharmacologic interventions, enteral feeding through a nasogastric or nasoduodenal tube might be needed. Refractory cases that do not tolerate tube feeding should be switched to parenteral glucose infusion through a central venous indwelling catheter.

Diazoxide is often prescribed for insulinomas because of its insulin-suppressive effect with swift improvement in glucose levels (208). The drug is found to be effective in controlling hypoglycemia in 50% to 59% of insulinoma patients (209, 210). The mechanism of action is thought to be the inhibition of insulin secretion via activation of adenosine triphosphate (ATP)-sensitive potassium channels in insulin-producing cells (211). Doses should be titrated upwards from 100 mg in divided daily doses and clinicians should monitor for signs of volume overload, thrombocytopenia, and hirsutism (209, 210).

Given the overall hormone-suppressive effects of somatostatin, SSA can be utilized for the prevention of hypoglycemia in 58% to 67% of patients with insulinoma (212, 213). As SSAs also potentially decrease the levels of counterregulatory hormones resulting in aggravation of hypoglycemic events (214), the initiation of SSAs in insulinoma patients should also be given.
patients should preferably be performed in an in-patient setting with short-acting formulations. Glucose response can be immediate with the occurrence of hyperglycemia after the first subcutaneous injection. If short-acting injections are efficacious, patients can be switched to long-acting SSA formulations in an outpatient setting. There is a theoretical advantage for the use of pasireotide above octreotide or lanreotide given its hyperglycemic adverse effects, which is supported by some anecdotal clinical experiences (215, 216).

Glucocorticoids like prednisone and dexamethasone are another class of hyperglycemic agent that possess efficacy in uncontrolled insulinoma patients (217, 218). Doses needed to control blood glucose levels can be accompanied by significant adverse events, such as susceptibility to infection, aberrant wound healing, or weight gain. Moreover, glucocorticoids have been shown to reduce SSTR expression on NETs, which could impair the diagnostic accuracy of SRI or the therapeutic efficacy of (radionabeled) SSAs (219).

The second-line antiproliferative treatment options everolimus and PRRT (see sections Radionuclide therapy and Targeted therapy) have been shown to alleviate hypoglycemic events in several patients with irresectable or metastasized insulinoma (220, 221). In cases of insufficient hormonal control, especially when combined with radiologic disease progression, these options can be considered. Caution should be applied to the use of sunitinib in these patients, as this drug might increase insulin secretion (222, 223).

Gastrinoma

Given the morbidity due to gastric acid production, the primary treatment goal in patients with Zollinger–Ellison syndrome is to prevent pyrosis, reflux, and complications such as ulcers, perforation, and esophageal stricture. If not already started before diagnosis, patients should be started on high-dose of any proton pump inhibitor, for instance 40 to 120 mg of omeprazole or pantoprazole twice a day (224–228). If the patient continues to suffer from acid-related complaints, treatment can be intensified with histamine type 2 receptor blockers and antacids. Most patients will use a combination of several drugs, often at above-label dosages.

Surgery of the duodenal or pancreatic NET is the only curative option and consequently radical resection should be explored. Given the multiplicity of duodenal gastrinomas there are doubts whether surgical resection is useful in MEN1 patients (229). SSAs decrease tumoral gastrin production and acid production (230). They constitute a valuable addition to patients with complaints resistant to standard antisuppressive therapy and have an additive antiproliferative effect for patients with irresectable or metastasized disease (189). In the metastasized setting, tumor cytoreduction or antiproliferative therapy can secure alleviation of gastrin-related complications.

Rare hormonal syndromes

The clinical sequelae of VIPomas are often severe, necessitating hospital admission for intravenous fluid and potassium administration. Treatment should encompass a combination of high-dose antidiarrheals with SSAs (231, 232). Owing to the severity of complaints, step-up therapy should be quickly applied with cytoreductive or antiproliferative measures, if possible (233, 234). There is anecdotal evidence of steroid treatment leading to improvement of refractory diarrhea (235).

Patients with a glucagonoma can present with the pathognomonic skin lesions, wasting, glossitis, or diabetes mellitus. The disease and particularly debilitating necrolytic migratory erythema is susceptible to treatment with SSAs, making this the first-line choice of therapy (236, 237). Evidence for other therapeutic strategies is limited in the literature. Particular focus should be on dietary counselling, given that cachexia can accompany the disease, and optimization of antidiabetic treatment (42, 238, 239).

Nonsurgical treatment of somatostatinoma has only been described in case reports and small series (240). Measures are mostly supportive with glucose management, cholecystectomy, and the administration of pancreatic enzymes. Intriguingly, SSAs have on occasion been shown to improve clinical symptoms in patients with somatostatinoma (241).

Antiproliferative therapy

Watchful waiting

Given the indolent nature of a subset of well-differentiated NET not all patients with irresectable or metastasized disease require antiproliferative therapy. Especially in grade 1 NET with limited tumor sites a watchful waiting approach can be considered. In the placebo arms of the PROMID and CLARINET trials a median time to progression or PFS of 6 to 18 months was detected, providing some rationale for this approach (189, 242).

Somatostatin analogs

Clinical experience that SSAs can have a growth-stabilizing effect in NET was finally confirmed
in two pivotal randomized placebo-controlled phase 3 trials. In the multicenter PROMID trial in Germany, 85 treatment-naive patients with locally inoperable or metastatic grade 1 midgut NETs were randomized between placebo or monthly octreotide LAR 30-mg injections (242). Median time since diagnosis was 4.3 months, 66% of patients had undergone resection of the primary tumor, and carcinoid syndrome was present in 39% of patients. Patients treated with octreotide LAR had significantly prolonged time to progression (median 14.3 months) compared with placebo-treated patients (median 6.0 months). The OS was equal in both groups and an objective response was only seen in 2% of patients treated with octreotide. In the international CLARINET trial, 204 patients with nonfunctioning, SSTR-positive GEP NETs and a PI below 10% were randomized between monthly lanreotide autogel 120-mg injections versus placebo (189, 243). Only 4% of patients had documented progression at baseline, 16% had undergone prior treatment, and 39% had had resection of the primary tumor; median time since diagnosis was over 1 year. Again, this study mostly contained indolent neoplasms, as 69% of tumors were grade 1. Lanreotide autogel also increased PFS (median 32.8 months) compared with placebo treatment (median 18.0 months). In this study, patients progressing on placebo were allowed to switch to lanreotide, which resulted in a PFS of 14.0 months. This strategy of placebo followed by lanreotide approached the PFS obtained with upfront lanreotide treatment, strengthening the view that watchful waiting is a viable option in selected cases.

Despite the registration trials being conducted in GEP NETs with a PI below 10%, SSAs are widely prescribed to NETs with a PI of 10% to 20% or of other primary locations, such as the foregut. For patients with metastasized and/or unresectable lung NETs a randomized trial (SPINET, NCT02683941) is currently ongoing. Given their efficacy and tolerability, octreotide and lanreotide are generally considered as first-line systemic therapy in grade 1 and 2 NETs. SSAs should not be the primary antiproliferative treatment for fast-growing NETs, massive tumor bulk with local compression of vital structures or grade 3 disease.

Despite the theoretical advantages of using a multi-SSTR agonist for NET, which expresses multiple receptor subtypes, pasireotide has failed to show an additional benefit to other SSAs in patients with GEP NET in monotherapy or combination therapy (244, 245). Lung NETs have been shown to express lower levels of SSTR2A and higher levels of SSTR1 and SSTR5 (246, 247). In the phase 2 open-label LUNA trial in patients with progressive thoracic NETs, pasireotide treatment led to a median PFS of 8.5 months (248). Further studies are needed to confirm an antiproliferative effect of pasireotide in this subgroup of patients.

**Radionuclide therapy**

The success of SRI in improving diagnostics in patients with NET led to a concept of using radiolabeled SSAs for treatment. This strategy is termed peptide receptor radionuclide therapy (PRRT) and has predominantly been developed for two beta-emitting analogs: yttrium-90 coupled to Tyr-octreotide (90Y-DOTATOC) and lutetium-177 coupled to octreotide (177Lu-DOTATATE). DOTA (or tetraxetan) is a chelator connecting the radionuclide to the N-terminal end of the SSA. Several large institutional phase 2 studies have shown the efficacy of PRRT using either 90Y-DOTATOC or 177Lu-DOTATATE in metastasized or unresectable SSTR-positive NETs of varying origin (249–252). The objective response rate, a combination of responses or stable disease, after PRRT cycles is estimated at around 80% (250).

The efficacy of PRRT was ultimately proven in a multicenter randomized controlled trial (RCT) (NETTER-1 trial) in 229 patients with metastasized midgut NETs progressive on a standard dose of octreotide LAR (253). The majority of patients included in this study had undergone resection of the primary tumor (80%) and had grade 1 tumors (69%). Approximately half of the patients had been treated with another line of therapy besides SSA before inclusion in this study. Patients randomized to treatment with 4 cycles of 7.4 GBq 177Lu-DOTATATE displayed a significantly improved PFS compared with monthly octreotide LAR at 60 mg. Although the final survival data are pending, there was a trend toward improved OS. The risk of progression or death was 79% lower in patients treated with PRRT than those treated with high-dose octreotide LAR. Importantly, PRRT also provided a clinically significant improvement in quality of life (202, 254). Relevant adverse effects include nausea surrounding the infusion of kidney-protective amino acids, renal toxicity, transient bone marrow suppression, and the development of myelodysplastic syndrome or acute myeloid leukemia in 1% to 2% of cases (255–258).

Given its potent and persistent effects with a median PFS in terms of years, salvage treatment with additional cycles of PRRT is a relevant option after renewed progression of disease (259). In a selected group of patients with a time to progression of...
more than 18 months after initial infusion of $^{177}$Lu-DOTATATE, salvage PRRT can once again secure disease control (260). Novel radionuclides and combinations with chemo- or targeted therapy are currently under investigation, but toxicity, particularly that of the kidneys and the bone marrow, remains a relevant issue.

**Targeted therapy**

Genetic analysis of NEN tissues has revealed that proliferation of cells is underpinned by several key molecular pathways (21). Translation of the genetic mutations to preclinical research has been hampered by the overall lack of experimental models for this rare disease (261). However, two pivotal signaling cascades have been successfully targeted with proven efficacy in NENs.

First, the hypervascularized aspect of NENs has provided rationale for investigating the role of vascular endothelial growth factor (VEGF). As found in other malignancies, local production and effects of VEGF have been proven in NEN tissue (262). Inhibition of VEGF signaling through the oral multitarget receptor inhibitor sunitinib was subsequently shown to induce tumor control in progressive PanNETs, but not in midgut or pulmonary NETs (263). In a phase 3 randomized clinical trial in 171 PanNET patients with documented progressive disease at baseline, the median PFS on sunitinib 37.5 mg 4 times a day was 11.4 months compared with 5.5 months for placebo-treated patients (264). Approximately half of the patients had a functioning tumor and more than 80% had a grade 2 tumor, while 43% had used or were still using SSAs during the study and 81% of patients had been treated with chemotherapy in the past. Of note, objective tumor response was achieved in 9% to 25% of sunitinib-treated patients (263, 265) and quality of life did not improve (266). Monitoring of adverse effects should include diarrhea, nausea, fatigue, neutropenia, thyroid dysfunction, palmar–plantar erythrodysesthesia, and hypertension.

The second targetable pathway altered in NETs is that of mTOR. mTOR pathway aberrations suggesting increased signaling potential have been detected in lung NETs, PanNETs, and small intestinal NETs (21). Everolimus, an oral mTOR inhibitor, has been shown to exert antiproliferative effects in NETs. Efficacy in PanNET was shown in the RADIANT-3 trial, where 410 patients with progressive tumors were randomized between everolimus 10 mg 4 times a day and placebo (267). About 83% of patients had a grade 1 tumor, 24% were functioning and most patients had received a diagnosis more than 2 years before enrollment. Previous treatment with SSA or chemotherapy was seen in 50% each. The outcome of this study showed that the median PFS in patients treated with everolimus was increased to 11.0 months compared with 4.6 months in placebo-treated patients. In patients with carcinoid syndrome, the RADIANT-2 trial revealed that everolimus plus octreotide LAR prolonged PFS to 16.4 months compared with 11.3 months for placebo plus octreotide LAR treatment (268). Again, about 80% of tumors in this study was grade 1 and 79% of patients had a history of SSA use, while 42% had been treated with other systemic antitumor therapy. A subsequent trial, the RADIANT-4, was performed in 302 patients with nonfunctional lung and gastrointestinal NETs (269). All patients had documented progression at baseline, 54% had been treated with SSA, 25% with chemotherapy, and 21% with radiotherapy; 65% of tumors were grade 1. Treatment with everolimus revealed a comparable potency in these tumor subtypes, with a median PFS of 11.0 months versus 3.9 months in placebo-treated patients. Objective response rates following everolimus therapy across the trials were limited at 2% to 5%. Frequent adverse effects included stomatitis, rash, diarrhea, fatigue, infections, diabetes mellitus, and pneumonitis, which are likely contributors to the lack of improvement in quality of life following treatment with everolimus (270).

Together, sunitinib and everolimus provide relevant additions to the clinical repertoire for treatment of NET patients. A single retrospective, multicenter study of 31 patients revealed equal PFS for sequencing treatment with everolimus after sunitinib versus the alternate regimen (271). In the absence of high-quality data, physicians should consider individual traits for treatment with either drug in PanNETs.

**Immunotherapy**

Interferon-α was introduced in the 1980s as a treatment for metastasized intestinal neuroendocrine tumors or malignant carcinoid syndrome (272). Subcutaneous injections of interferon-α were reported to induce control of CS symptoms and proliferation with a reported PFS of 34 months in the first series (199). In a meta-analysis on the effects of interferon-α in NETs tumor response rates were found to be limited at 11% (273). Its potency was similar to that of SSAs in a small randomized RCT in patients with GEP NETs, whereas combination therapy did not confer a clear additional benefit (274). Median PFS after lanreotide or interferon-α was less than 12 months in this randomized clinical trial. In a series of 105 patients with metastasized GEP-NET the addition of interferon-α to subcutaneous octreotide showed no potentiating effect and a disappointing median PFS of 6 months in both groups was found (200). Importantly, the adverse
effects of interferon-α treatment can be considerable with fatigue, fever, autoimmune disorders, thyroid dysfunction, and liver enzyme abnormalities (275). The limited efficacy combined with the poor tolerability of this drug has restricted its use to selected patients with refractory functional midgut NETs with doses ranging from 3 to 5 MU 3 times weekly subcutaneously. Pegylated interferon-α at 50 to 100 µg per week might constitute a better tolerated alternative (276).

Therapy with immune checkpoint inhibitors has been successfully introduced for multiple cancer subtypes, including melanoma and lung cancer (277, 278). T cell–mediated cancer immunotherapy by drugs targeting Programmed cell death protein 1 (PD-1) or Cytotoxic T-lymphocyte-associated protein 4 (CTLA-4) depends on the presence of tumor-infiltrating lymphocytes and tumor cell expression of Programmed death-ligand1 (PD-L1). Recent studies have revealed that well-differentiated NENs displayed immune infiltration or PD-L1 expression in a minority of cases, which is concordant with their low mutational burden (279, 280). Consequently, efficacy of immune checkpoint inhibition might be restricted to a subset of higher grade NENs with immune infiltration. Clinical evidence in well-differentiated tumors is currently restricted to anecdotal cases and subanalysis of a phase 1b basket trial (281, 282), while the outcomes of the first dedicated trials in NEN patients are still awaited.

Chemotherapy
Given their proliferative rate, trials with chemotherapy for grade 1 or 2 NETs have seen overall poor responses (283). Grade 3 well-differentiated NETs also appear to be less susceptible to conventional chemotherapy (284). This is in strong contrast with the highly aggressive grade 3 neuroendocrine carcinomas, where chemotherapy with platinum-based regimens constitutes the first line of choice (285–287).

There is some evidence for chemotherapy in intermediate to high-grade PanNENs with rapid progression or bulky disease. Early studies have described favorable effects of streptozocin and fluorouracil (5-FU) combination therapy in PanNENs (288, 289), but this was before grading was introduced and response was measured by abdominal palpation. These reports have stimulated widespread use of this regimen in grade 2 to 3 PanNENs and retrospective series in recent years have reproduced its efficacy with a PFS of 19 to 23 months and objective response rates of 21% to 43% in mixed NET/NEC groups (290–292). Evidence on other intravenous cytotoxic regimens, like oxaliplatin (293) or doxorubicin (294, 295), has been published, but significant benefit for patients with well-differentiated NETs in terms of efficacy and safety of these regimens has not been proven in prospective clinical trials.

Based on an increasing number of retrospective series (296–301), interest has focused on temozolomide or the combination of temozolomide with capecitabine for the treatment of PanNENs. Preliminary results of a phase 2 trial in which PanNET patients were randomized between temozolomide or temozolomide plus capecitabine were recently presented. Combination therapy induced objective response and disease control rates of 33% and 82% respectively, whereas temozolomide monotherapy elicited a response in 28% and disease control in 68% of subjects (302). Response duration was 9.7 months in the monotherapy group and 12.1 months in the combined treatment group, possibly providing a role for temozolomide-capecitabine treatment in patients with advanced progressive PanNENs.

Prognosis
As NENs display a wide variety of biologic behavior the prognosis differs immensely between indolent limited disease grade 1 tumors and widely spread grade 3 carcinomas. The introduction of the WHO classifications serves to differentiate between patient subcategories with different prognosis. Registration databases reveal median OS rates of 16.2, 8.3 and 0.8 years for grade 1, 2, and 3 NENs, respectively (8). The difference in median OS of 99 months for grade 3 well-differentiated versus 17 months for grade 3 poorly differentiated neoplasms illustrates the need for a further classification (284). Prognosis of patients with metastasized disease immensely differed between primary sites, with poor OS outcomes for colon and lung NETs (median 14 and 24 months) and good outcomes for midgut NETs (median 98–103 months) (8). Survival of patients has substantially improved over the last decades. Proposed mechanisms include the improved and early recognition of NENs, superior therapeutic options, and centralization of care for this rare disease. Several dedicated NET centers have presented their median OS numbers for stage IV disease in well-differentiated NETs, which exceeded 100 months (303, 304), making this a chronic but unfortunately still deadly disease.

Future Directions
Despite significant strides being taken in NEN care over the past decade, much is still to be improved regarding a timely and accurate diagnosis, prognosis,
and quality of life. The rarity and heterogeneity of the disease has hampered both preclinical and clinical research efforts. Nevertheless, the formation of dedicated NET societies with the advent of guidelines and standards of care, the successful execution of multicenter phase 3 RCTs, the clarification of the (epi-)genetic background of disease and the introduction of novel preclinical models are all signs of enhanced countering of this disease. This positive scientific evolution should be continued in the coming years in order to advance clinical care for NEN patients to the next level.

Knowledge on biologic processes driving NENs should be further investigated in specific preclinical models. The most commonly used cell lines have an aggressive phenotype and consequently do not always represent well-differentiated NET biology (261). More investigations in well-differentiated human models, such as the GOT-1 and P-STS cell lines or tumor-derived organoids, are urgently needed. Furthermore, the knowledge on (epi-)genetic drivers should be studied to discover novel drug targets. A contemporary approach has been the elucidation of tumor-specific master regulator proteins, which could be utilized for personalized medicine in individual NEN patients (305). On the other hand, insights into neuroendocrine cell physiology has recently flourished due to single cell RNA sequencing (306) and the development of gut organoids (307).

Histologic diagnosis and prognostication can be strengthened through the use of advanced molecular markers. These markers should ideally also be used for prediction of therapeutic efficacy. Molecular biochemistry and imaging could aid treatment selection beyond the presence of SSTR or RB/KRAS mutations (287, 308). Further validation of the use of recently introduced circulating biomarkers is urgently needed to improve noninvasive means for a diagnosis of NEN. With respect to imaging the incorporation of big data analysis might provide new ways of interpreting data from cross-sectional imaging by CT or MRI (309). More importantly, novel nuclear imaging techniques can potentially improve the sensitivity and specificity of functional imaging in NENs. This involves the use of novel radionuclides, such as zirconium-89 or copper-64 (310), as well as the use of improved radioligands, such as somatostatin receptor antagonists (311), bombesin receptor agonists (312) and CXCR agonists (127).

Despite the recent introduction of telotristat ethyl more efforts should be put into reducing the hormonal production of functional NENs. Further understanding into the regulatory mechanisms for hormone expression and secretion is likely to provide novel targetable pathways. This remains a niche area and effects of currently available therapies on hormonal syndrome control should be further explored.

Currently, much effort is put into optimization of oncologic therapy. Multiple clinical trials are ongoing studying the effects of locoregional therapy (NCT03197012, NCT02067988), SSAs (NCT02651987), targeted therapy (NCT02588170), immune therapy (NCT02939651, NCT02955069), chemotherapy (NCT02246127), PRRT (NCT03049189, NCT02465112), or combinations thereof (NCT02230176, NCT02358356, NCT02248012). As there are currently several options for treatment, sequencing of systemic therapies and the role of locoregional therapy should be studied in more detail within dedicated clinical trials. An interesting observation has been a retrospective comparison of multiple therapeutic modalities after progression of grade 1 to 2 NENs on SSA. The groups undergoing next line therapy with SSA high dose, PRRT, everolimus, and chemotherapy all showed a comparable PFS, but an increased incidences of adverse events and need for dose reduction was observed in the last two treatment groups (313). Besides the optimization of current therapies, novel targets should follow a thorough understanding of NET cell biology and metastatic behavior.

Conclusions

Care for NEN patients has improved considerably due to a beginning of understanding the underlying key molecular pathways in individual tumors, superior classification of tumor subtypes, the advent of PET imaging techniques, and the registration of radionuclide and targeted therapies. Clinicians dealing with NEN patients should be aware of the heterogeneity of this disease and be able to provide a tailored diagnostic and therapeutic strategy aimed at hormonal and proliferative control. Given its rarity, these patients should be discussed in a multidisciplinary team with experienced representatives from endocrinology, oncology, gastroenterology, pulmonology, radiology, nuclear medicine, and pathology. Only through collaboration between these involved key disciplines and between expert centers can excellent care be accomplished for current and future NEN patients.


of multicentric study-the importance of patho-
ological N status, more than we thought. Lung。
Campana D, Ravizza D, Ferolla P, et al. Clinical manage-
ment of patients with gastric neuroendocrine neoplasm: a patient with chronic atrophic gas-
Kim BS, Oh ST, Yook JH, Kim KC, Kim mg, Jeong JW, Kim BS. Typical carcinoids and neuroen-
endocrine carcinomas of the stomach: differing clinical courses and prognoses. Am J Surg。
Rajekar H, Bogammana K, Stubbs RS. Selective internal radiation therapy in patients with metastatic neuroen-


303. Stroebseg JB, Gardner N, Kool L. Survival and prognostic factor analysis of 146 metastatic neuroendocrine carci-


312. Dafni SL, Bakker E, de Blas E, et al. 68Ga/177Lu-NeuOBOMB: a novel radiolabeled CRPP antagon-

Acknowledgments

Dr. Bas Prens is gratefully acknowledged for the images of the glucagonoma patient. We are grateful to Drs. George Kynkaipoulos, Denise Kolomodi, and Sofie Chatzioannou for providing the histopathologic and imaging images.

Correspondence and Reprint Requests: J. Hofland, MD PhD, Rg 5 – Section of Endocrinology, Department of Internal Medicine, Erasmus MC, Dr. Molewaterplein 40, 3015 GD Rotterdam, The Netherlands. E-mail: jhofland@erasumumc.nl

Disclosure Summary: J.H. has received speaker and/or travel fees from Novartis and Ipsen and has served on the Advisory Board for Novartis. G.K. has received honoraria from Novartis and institutional research funding from Ipsen, Novartis, Pfizer, Sanofi and Shire. W.W. has received speaker and/or travel fees from Novartis, Pfizer and Ipsen, and has served on Advisory Boards for Novartis and Ipsen.

Abbreviations

S-18F, [18F]fluorodeoxyglucose; FDG, fluorodeoxyglucose; PRRT, peptide receptor radionuclide therapy; TAE, transarterial embolization; TACE, transarterial chemoembolization; TARE, transarterial radioembolization; VP, vasoactive intestinal polypeptide

https://academic.oup.com/edrv/article-abstract/41/2/bnz004/5573926 by Erasmus Universiteit Rotterdam User on 31 March 2020