

A Comparative Study of the Legislative Designs Concerning  
Foreign Takeover Bids of Listed Companies:  
China and the Netherlands

Een vergelijkend onderzoek naar de wetgeving op het gebied  
van buitenlandse overnamebiedingen van beursgenoteerde  
ondernemingen:  
China en Nederland

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Du Du  
geboren te Jilin, China

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## **Contents**

<i>Acknowledgement</i>	<i>i</i>
<i>Contents</i>	<i>iii</i>
<i>Abbreviations</i>	<i>iv</i>
<i>Tables and Figures</i>	<i>v</i>
<i>Chapter I Introduction</i>	<i>1</i>
<i>Chapter II Theoretical Framework</i>	<i>2</i>
<i>Chapter III Legislative Designs of Foreign Takeover Bids in China</i>	<i>3</i>
<i>Chapter IV Legislative Designs of Foreign Takeover Bids in the Netherlands</i>	<i>4</i>
<i>Chapter V Comparative Observations, Conclusion, and Recommendations</i>	<i>5</i>
<i>A Final Concluding Remark</i>	<i>6</i>
<i>References</i>	<i>7</i>
<i>Summary</i>	<i>34</i>
<i>Samenvatting</i>	<i>35</i>

## Abbreviations

ACM	Dutch Authority for Consumers and Markets <i>(Autoriteit Consument en Markt)</i>
AFM	Dutch Authority for the Financial Markets <i>(De Autoriteit Financiële Markten)</i>
ESOP	Employment Stock Ownership Plan
FDI	Foreign Direct Investment
FPI	Foreign Portfolio Investment
GMS	General Meeting of Shareholders
IPO	Initial Public Offering
M&A	Merge and Acquisition
OECD	Organisation for Economic Cooperation and Development
QFII	Qualified Foreign Institutional Investor
R&D	Research and Development
SER	Social and Economic Council <i>(De Sociaal-Economische Raad)</i>
STE	Securities Board of the Netherlands <i>(Stichting Toezicht Effectenverkeer)</i>
STIB	Science and Technology Innovation Board
TFEU	Treaty on the Functioning of the European Union
UNCTAD	United Nations Conference on Trade and Development

## Tables and Figures

## **Chapter I Introduction**

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## **Chapter II Theoretical Framework**

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### **Chapter III Legislative Designs of Foreign Takeover Bids in China**

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## **Chapter IV Legislative Designs of Foreign Takeover Bids in the Netherlands**

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## **Chapter V Comparative Observations, Conclusion, and Recommendations**

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## **Final Concluding Remark**

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## **Summary**

[under embargo for commercial publication]

## **Samenvatting**

[under embargo for commercial publication]

## Curriculum vitae

Du Du

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### Short bio

I am a PhD candidate of Erasmus School of Law (ESL). I earned a Bachelor of Law and a Master of Law at China University of Political Science and Law (CUPL) in Beijing in 2013 and 2015, respectively. My research interests include (comparative) corporate law and corporate governance and foreign investment law.

### Education

PhD candidate, Erasmus University Rotterdam (EUR)	2016-2020
PhD candidate, China University of Political Science and Law (CUPL)	2015-2016
Master of Law, China University of Political Science and Law (CUPL)	2013-2015
Bachelor of Law, China University of Political Science and Law (CUPL)	2009-2013

### Work experience

Members of PhD in Law Association Rotterdam (PILAR)	08/2017-07/2019
Internship in United Nations Development Programme (UNDP), China Office, Beijing, China	02/2016-05/2016
Teaching assistant for 'Roman Public Law' at the Law School of CUPL, Beijing, China	2015

### Prizes and awards

Winner of "Call for Ideas"	2018
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## PhD Portfolio

Name PhD student: Du Du

PhD-period: September 2016 - July 2020

Promoters: Prof. C.A. Schwarz and Prof. Y. Li

## PhD training

### EGSL courses

year

Research Lab	2016-2017
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Collaborating with Your Supervisor	2016-2017
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Introduction to Legal Methods	2016-2017
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Reflection on Social Science Research	2016-2017
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Writing Clinic, I&II	2016-2017
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### Specific courses

year

Association of Transnational Law Schools (ATLAS) Agora Summer School, organized by University College Dublin (UCD), Ireland.	2019
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Academic English Writing	2016
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### Seminars and workshops

year(s)

Brexit and challenges in legal education given by Prof. Thom Brooks	2019
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ECLC brown bag lunches	2016-2018
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ECLC seminars	2016-2019
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Workshop on Foreign Investment Law organized by the Netherlands China Law Association (NCLA)	2016
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EGSL lunch lectures	2017-2019
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### Presentations

year

ATLAS summer school presentation, 'A comparison between laws concerning foreign takeovers: China and the Netherlands'	2019
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ECLC seminar, 'Anti-takeover measures in China'	2019
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ECLC seminar, 'Pillars of the Takeover Bids Directive'	2018
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HOF lunch lecture, 'The Reform of Foreign Investment Regime in China'	2017
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ECLC seminar, 'The reporting system for foreign investors in China'	2017
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### Attendance (international) conferences

year

"Agency Theory in the 21st Century", organized by the Institute for Corporate Governance and Innovation Policies (ICGI) and Institute for Transnational Legal Research (METRO), Faculty of Law, Maastricht University, the Netherlands.	2019
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"Recent Developments in Chinese Law", organized by the China-EU School of Law (CESL) at Universität Hamburg, Germany.	2019
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“EU-China Bilateral Investment Treaty Negotiations (BIT): A Focus on Investor-State Dispute Settlement”, organized by Erasmus China Law Center (ECLC) at Erasmus University Rotterdam, the Netherlands.	2018
Selected speaker presenting at the conference “Business in the 21th Century: Business as Usual?”, organized by the University of Leeds, UK.	2018
<b><i>Others</i></b>	<b><i>year</i></b>
One of the initiators and organizers of the workshop “Building the Brand ‘You’: How to get your PhD published?”	2019