



**Glioma Neovascularization**

# **THE PLOT THICKENS**

**KARIN  
HUIZER**



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**Erasmus University Rotterdam**



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# Glioma Neovascularization

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## PROEFSCHRIFT

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# **CHAPTER 1**

# **INTRODUCTION**



## PROLOGUE

The elusive nature of vessel formation in glioblastoma is at the foundation of failing therapies targeting angiogenesis in this deadly disease. Unravelling the Gordian knot of glioma blood vessel formation will allow for the design of novel, effective therapies for glioma patients.

Since blood vessel formation is a continuous process throughout development and adult life, in both health and disease, we set out to explore the potential overlap and differences in these contexts. The overall aim of the studies in this thesis is to pinpoint glioblastoma (GBM) specific microenvironmental mechanisms of neovascularization, allowing for the development of tailor-made therapies targeting GBM blood vessel formation. The focus of this thesis will be on the role of circulating angiogenic cells (CACs) in GBM blood vessel formation.

We aimed to answer: 1) which subtypes of CACs are mainly involved in GBM neovascularization compared to regenerative neovascularization (as represented by myocardial infarction, MI). 2) if there exist qualitative neovascularization-related gene expression differences within CAC subsets between GBM and regenerative (MI) and developmental (fetal) neovascularization and if yes, 3) which (treatment-targetable) genes are predominantly involved. 4) what the cellular source and the role is of the matricellular protein periostin in GBM vessel formation. To answer questions 1 and 2, we formulated the additional research question of how to best characterize and isolate CAC subsets using Fluorescence Activated Cell Sorting (FACS).

Contributions of the studies that emerged from the above-mentioned research questions include the establishment of an improved FACS-based protocol that allows for the accurate and reliable identification and isolation of the CAC subsets hematopoietic progenitor cells (HPCs) and circulating endothelial cells (CECs).

Furthermore, we found that although all CAC subsets investigated are increased in both GBM and MI compared to steady state adult controls, different CAC subsets are predominantly present in the context of neovascularization in GBM (KDR<sup>+</sup> cells and HPCs) versus MI (KDR<sup>+</sup>CD133<sup>+</sup> and CD133<sup>+</sup> cells). Next, we showed that there are essential qualitative differences in the expression of neovascularization-related genes within the same CAC subsets between GBM and MI patients, where GBM CACs exhibit a phenotype compatible with more potent chemoattraction, adhesion, and pro-angiogenic factor production and a higher expression of growth factor receptors than both MI and healthy control (HC) CACs.

Finally, we determined that periostin is highly expressed by PDGFR $\beta$ <sup>+</sup> pericytes in cerebral lesions with abundant angiogenic activity and vascular remodeling (glioblastoma, pilocytic astrocytoma), not in low-grade gliomas where neovascularization is present at low to normal levels. In addition, we showed that the angiogenesis-boosting effect of the GBM secretome is predominantly mediated by increasing the expression of periostin in pericytes.

This thesis will consist of the following: First, the relevant background will be outlined in the Introduction (concerning glioma biology, tumor microenvironment, neovascularization). Second, the published research papers from our project will be outlined. Third, a summary will be provided of the published research papers derived from this project. And finally, future avenues for research following our findings will be outlined in the Discussion section.

## GLIOMA

Gliomas are primary brain tumors (i.e. arising from neural tissue itself) that show histological characteristics of glial cells (astrocytes, oligodendrocytes, ependymal cells). Current classification is based on a combination of histology (including immunohistochemistry) and molecular genetics [1]. Histologically, gliomas are subdivided into diffuse (i.e. characterized by extensive infiltrative growth) and non-diffuse gliomas (i.e. circumscribed growth). Non-diffuse gliomas consist largely of pilocytic astrocytomas and ependymomas. Diffuse gliomas are subdivided into astrocytomas, oligodendrogliomas and oligoastrocytomas (characterized by a phenotype of astrocytic and oligodendroglial histological characteristics; the latter category has largely been abandoned in the WHO guidelines of 2016). Diffuse gliomas are graded based mainly on mitotic activity and presence of necrosis and microvascular proliferation (endothelial hypertrophy/hyperplasia, glomeruloid vessels) into WHO grade II (low-grade), grade III (anaplastic) and grade IV (glioblastoma) [2]. Survival rates rapidly deteriorate with increasing glioma grade (from around 7 years of median survival in low-grade glioma, to 3-5 years in anaplastic glioma and 12-15 months in glioblastoma) [3, 4].

Gliomas are the most prevalent primary brain tumors, accounting for 78% of all malignant central nervous system tumors [3]. In spite of their low incidence (3.1/100 000 per year), morbidity and mortality from gliomas are disproportionately high [5, 6]. Glioblastomas can arise as 'primary' tumors without progressing from a previously diagnosed precursor lesion or as 'secondary' tumors, arising from grade II/grade III gliomas. Primary glioblastomas are by far more common, representing approximately 90% of all glioblastomas diagnosed [7], arise at a later median age (62 years vs 45 years in secondary glioblastoma) and have a worse prognosis (median survival with maximum therapy 11.3 months vs 27.1 months in secondary glioblastoma) [7].

## Origin

Gliomas arise from as yet undetermined founder cells, though several studies suggest an origin in neural stem and progenitor cells [8]. Recently, tumor-free sub-ventricular zone NSCs in primary GBM patients were found to contain (low-level) driver mutations also present in GBM tumors from the same patient [9]. GBM tumor tissue contained private high-level mutations (i.e. not present in distant SVZ) [9], suggesting the cells of origin of GBM are NSCs in the SVZ.

## Treatment

In the Netherlands, treatment of glioma is based on the Oncoline guidelines. Treatment for low-grade glioma consists of surgical resection (better survival is associated with higher percentages of tumor resection), followed by radiotherapy (50-54 Gray) and chemotherapy (PCV: procarbazine, lomustine, vincristine; in case of recurrence also temozolomide).

In the case of high-grade glioma, the percentage of surgical tumor volume resection is a more important predictor of survival than in low-grade glioma (70-100% tumor volume resection leads to better prognosis [10]). Radiotherapy is administered at a higher dosage (around 60 Gray). Anaplastic glioma is treated by chemotherapy with PCV and/or temozolomide, and glioblastoma with temozolomide. Currently, the anti-angiogenic agent bevacizumab, acting against the pro-angiogenic factor VEGF-A, is not used as standard treatment for newly diagnosed glioma in the Netherlands. Although bevacizumab addition yields improved progression-free survival, overall survival is unaffected compared to standard therapy alone.

Glioblastoma recurrence is preferably treated in one of the ongoing study trials.

## Molecular Genetics

Grade II and III diffuse astrocytomas and secondary glioblastomas share a highly characteristic molecular genetic phenotype, as opposed to primary glioblastomas and oligodendrogliomas.

Early genetic ‘hits’ associated with grade II astrocytomas are mutations in *IDH1* or *IDH2*, *TP53* and *ATRX*. Mutation in *IDH1* probably is an early event in gliomagenesis, occurring before *TP53* mutation and 1p/19q codeletion (the latter in the case of oligodendrogliomas) [11].

Development of low-grade astrocytomas into anaplastic astrocytomas depends on the emergence of more genetic aberrations including e.g. *RBI* mutation or loss. Finally, secondary glioblastoma is formed after late genetic events occur (e.g. *PTEN* mutation or loss, tyrosine kinase receptor amplification). Oligodendroglial tumors also contain *IDH1* (92%) or *IDH2* (8%) mutations [12], but are specifically characterized by loss of 1p/19q. Patients with tumors with this codeletion have better outcomes as compared to those that show intact 1p/19q. Because of the exclusive oligodendroglial signature of 1p/19q loss [13] and of *TP53* and *ATRX* mutations in astrocytic lineage gliomas, the morphology-based glioma subset of “mixed oligoastrocytoma” has largely been abandoned. This diagnosis is currently restricted to those instances where additional genetic testing is not possible, or to the rare cases of a mixed astrocytoma and oligodendroglioma genetic background.

Primary glioblastomas do not contain mutations in *IDH1/IDH2* and are therefore currently described as *IDH* wild type (*IDH<sup>wt</sup>*) tumors. They rarely contain mutations in *TP53* but may harbor *TERT* promoter mutations and often *EGFR* amplification (or other tyrosine kinase receptor amplifications



such as *FGFR* or *PDGFR*). Epigenetically, *IDH1/IDH2* mutated tumors display a hypermethylation phenotype [7]. *MGMT* promoter methylation is present more often in *IDH1* mutated tumors (81% vs. 58% of *IDH1<sup>wt</sup>* tumors) [14], and is independently associated with a better prognosis in terms of response to chemo-radiotherapy and overall survival [15]. The presence of mutated *IDH1* is virtually mutually exclusive with *EGFR* amplification [15]. See fig. 1.

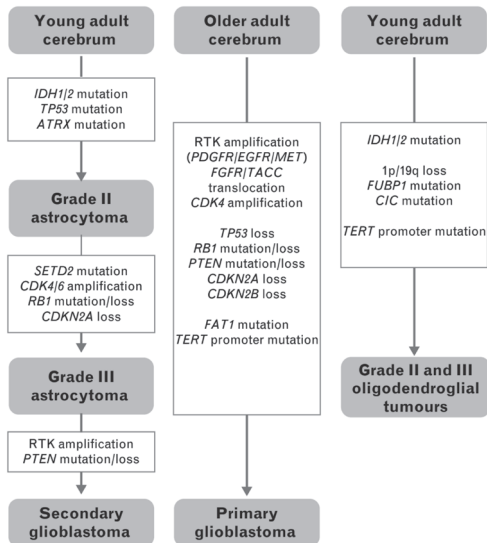
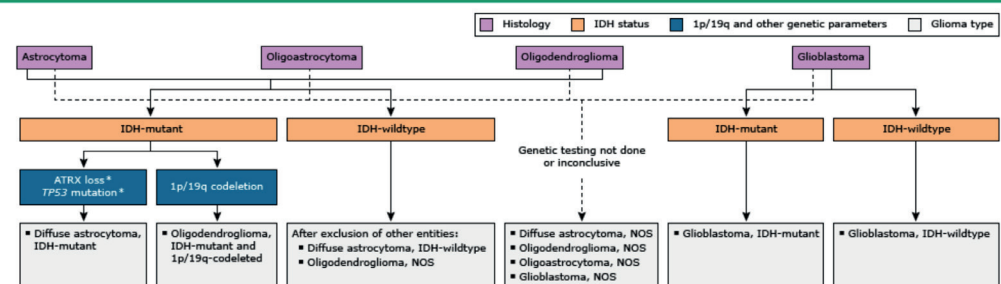


Fig. 1: genetic routes of glioma formation. Picture source: Suva et al. [16]

Due to the central role of the presence or absence of *IDH1/IDH2* mutations in glioma prognosis, the WHO guidelines use the following algorithm for glioma diagnosis (Fig. 2):

#### World Health Organization (WHO) classification of diffuse gliomas



IDH: isocitrate dehydrogenase; ATRX: alpha-thalassemia/mental retardation syndrome X-linked; NOS: not otherwise specified.

\* Characteristic, but not required for diagnosis.

Algorithm courtesy of David Louis, MD.

UpToDate®

Fig. 2: Classification of Diffuse Gliomas according to the WHO. Picture source: UpToDate [17]

## **Tumor Microenvironment**

The realization that fundamental biological differences exist even within the same disease state has taken ground increasingly, leading to the advent of personalized medicine. So far, in the treatment of cancer, the concept of personalized medicine translates into chemotherapeutic strategies tailored to specific tumor mutations or chromosomal aberrations.

Increasingly, the importance of the tumor microenvironment is being established in the emergence, sustenance and propagation of tumors. Blood vessels constitute an essential part of the tumor microenvironment. Blood vessel formation in tumors deploys pre-existing methods derived from development and tissue regeneration following trauma or ischemia. Yet, there is increasing evidence indicating that tumor neovascularization is deviant from that in physiological contexts. Morphologically, tumor vessels are distorted and warped. Functionally, they often fail as blood vessels (being leaky, and prone to thrombosis). Tumor angiogenesis was repeatedly found to harbor different characteristics from regenerative angiogenesis [18]. To date, no 'personalized medicine' exists regarding tumor microenvironmental characteristics, in spite of its emerging importance.

## **Glioma blood vessels**

Glioblastoma is among the most highly-vascularized solid tumors [19]. The high vessel content of glioblastoma in particular is a logical target for therapy: if neovascularization could be stopped, the tumor would be withheld a way to grow. Blood vessels associated with gliomas show several morphological and functional alterations. Electron microscopy indicates that high grade astrocytoma vessels are covered by fewer pericytes and glial extensions and have a thicker basement membrane than normal brain vessels [20]. Glioblastoma, by WHO definition, is characterized by microvascular proliferation: endothelial hypertrophy and hyperplasia, glomeruloid vessels and necrosis [3]. GBM blood vessels are aberrantly shaped, with a tortuous, serpentine-like form, contain arteriovenous shunts and are immature [21]. The functionally impaired tumor vessels in glioblastoma are highly leaky, leading to impairment of the blood-brain barrier and the formation of increased interstitial-to-blood vessel pressure and brain edema which is associated with further morbidity. The raised perivascular pressure also prevents the adequate delivery of chemotherapeutic agents [22, 23].

Efforts in the field of targeting GBM neovascularization have led to the approval of the anti-angiogenic drug bevacizumab for GBM by the FDA. Clinical results show a modest, but significant, improvement in progression-free survival of glioblastoma patients treated with bevacizumab in addition to standard therapy [6]. However, overall survival is, disappointingly, not increased due to the emergence of drug resistance early in the course of treatment [6]. Bevacizumab acts against VEGFA, an important single factor involved in the highly complicated and multifactorial process of (tumor) neovascularization, allowing GBM to relatively easily embrace alternative pathways to continue forming blood vessels. GBM patients are in dire need of better options for treatment.

Targeting the extensive formation of blood vessels in these tumors remains a logical target for therapy. To achieve that, we need to gain a much more profound understanding of the complicated mechanisms of glioma neovascularization.

## NEOVASCULARIZATION

Vessel formation is a constant process in both development and in adult life. During embryonic development, a primitive blood vessel network is formed 'de novo' in a process called vasculogenesis. Blood islands emerge consisting of a periphery of mesoderm-derived angioblasts (or: embryonic endothelial progenitor cells) surrounding a center of hematopoietic stem cells. The angioblasts at the periphery of blood islands differentiate into endothelial cells (ECs) and form the embryonic vascular network, while hematopoietic stem cells in the center of blood islands form blood cells. The primitive vascular plexus formed by vasculogenesis is remodeled further by a combination of vasculogenesis and angiogenesis (i.e. in brief: the formation of new blood vessels from preexisting blood vessels by endothelial cell sprouting) [24, 25]. Angioblasts and hematopoietic stem/progenitor cells (HPCs) are believed to derive from a common, primitive precursor: the 'hemangioblast' [26]. The vascular and hematopoietic system therefore are tightly linked developmentally.

Both angiogenesis and vasculogenesis occur in several physiological situations, such as wound healing, the menstrual cycle and embryonic/fetal development, as well in pathological conditions such as neoplasia. When applied by tumors, angiogenesis and vasculogenesis often lead to morphologically, as well as functionally aberrant vessels (e.g. tortuous, thick-walled, and highly permeable) [22], as typically seen in GBM tumors [3].

### Angiogenesis

Two types of angiogenesis can be distinguished: Sprouting angiogenesis (or angiogenesis; Fig. 3) and intussusceptive angiogenesis. Sprouting angiogenesis involves the formation of vessel 'sprouts' by activated endothelial cells, whereas intussusceptive angiogenesis is defined as the creation of an intussusceptive wall within the vessel lumen (by invagination of the vessel wall mediated by the interstitium) [27]. Since intussusceptive angiogenesis is still poorly understood, this chapter will focus on sprouting angiogenesis.

The process of angiogenesis is dependent on the strict balance between pro- and anti-angiogenic factors. These factors are secreted by numerous cell types, including endothelial cells, pericytes, stromal cells, leukocytes and in the case of cancer tumor cells themselves [28]. More than 25 different factors involved in the induction of angiogenesis have been identified to date, consisting of cytokines and growth factors [19]. Examples are vascular endothelial growth factor (VEGF), fibroblast growth factor-1 (FGF1) and -2 (FGF2), angiopoietin (Ang2), platelet-derived growth factor (PDGF), several interleukins (e.g. CXCL8), insulin-like growth factor 1 (IGF1), and multiple integrins [19, 29].

Several intracellular pathways are implicated in angiogenesis, the most important of which are the PI3K/Akt and the Ras/MAPK pathways. These pathways integrate the complex signaling of multiple angiogenic factors.

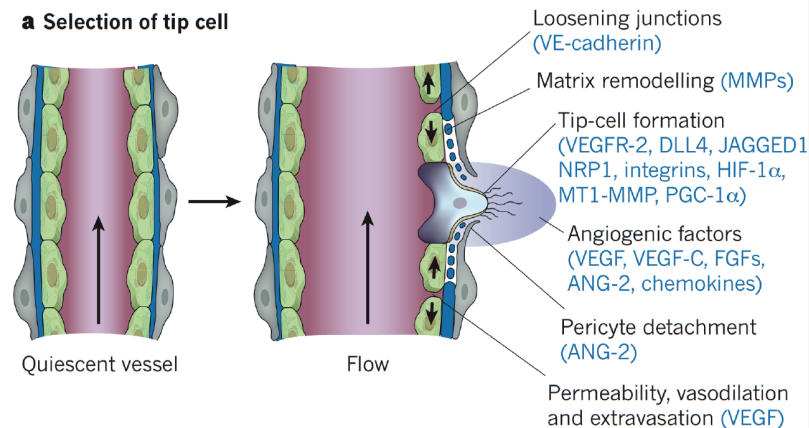
Endothelial cells are in a quiescent state until the presence of pro-angiogenic signals outweigh anti-angiogenic signals. The quiescent state is characterized by a long half-life of endothelial cells, which exist in a monolayer interconnected by junctional proteins such as CD144. Endothelial cells produce maintenance factors such as VEGF, Notch, Ang1 and FGF in an autocrine manner. Quiescent endothelial cells are covered by pericytes, which suppress the proliferation of endothelial cells and release cell-survival signals (e.g. VEGF and Ang1). In resting state, endothelial cells and pericytes produce and share a common basement membrane [30].

A quiescent vessel in adulthood is typically activated upon hypoxia, leading to the expression of among others hypoxia-inducible factor 1 $\alpha$  (HIF1 $\alpha$ ). HIF1 $\alpha$  activates the expression of VEGF and other pro-angiogenic factors (e.g. Ang2, FGF), as well as VEGF receptor (VEGFR) expression [31]. In the case of tumor angiogenesis however, tumor cells can themselves produce excess pro-angiogenic factors thus shifting the balance in favor of angiogenesis. This process is called 'angiogenic switch' [32]. VEGF is crucial (but not indispensable) for adult angiogenesis [33], taking on pleiotropic roles in the process.

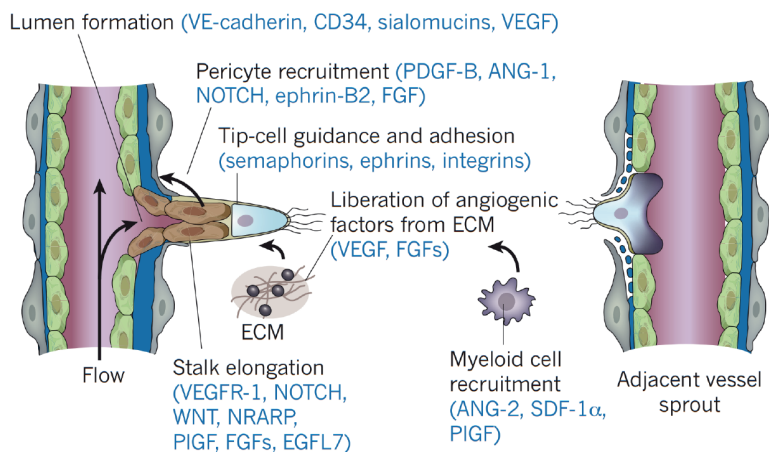
In response to pro-angiogenic signals outweighing anti-angiogenic stimuli, endothelial cells loosen their interconnections by diminishing the expression of junctional proteins on their cells surface. Pericytes detach from the vessel wall in response to Ang2 through proteolytic degradation of their common basement membrane, mediated by matrix metalloproteinases (MMPs) [24]. As a consequence, blood vessels dilate and become permeable to blood plasma. This increased vessel permeability leads to the extravasation of plasma proteins, which further fuel the angiogenic process by molding the extra-cellular matrix (ECM) into a permissive environment for angiogenesis. Integrin signaling causes endothelial cells to migrate onto the ECM scaffold. Proteases which are breaking down the ECM to allow for the migration of pericytes and endothelial cells also release pro-angiogenic factors stored in the ECM structure, strengthening the overall pro-angiogenic signaling response. Anti-angiogenic signals are also released from the ECM where they were stored, aimed at preventing aberrant sprouting and branching [24]. Once the microenvironment has thus been shaped into a permissive state for the formation of new vessels, a new vessel sprout can be formed. A single endothelial cell is chosen to become the tip cell (characterized by expression of e.g. CD34 and the Notch ligand DLL4), under the influence of factors such as Jagged-1, VEGF (stimulating KDR) and NRPs. Tip cells guide the way for the new vessel sprout, using their filopodia to sense guidance cues such as ephrins and semaphorins [30]. Stalk cells on the contrary are highly proliferative and form the vessel sprout as it extends into the ECM; endothelial cells take on a stalk cell identity under the influence of e.g. NOTCH (which is highly expressed in stalk cells) and

WNT signaling, PlGF and FGFs. Stalk cells have a downregulated expression of KDR compared to tip cells and an upregulated expression of VEGFR1, which again inhibits tip cell identity [24]. DLL4 produced by tip cells inhibits stalk cells to take on a tip cell function, while Jagged-1 produced by stalk cells stimulates tip cell selection [34]. Tip cell function and structure is very similar to that of axonal growth cones and guidance cues for both are overlapping [24]. Stalk cells are, unlike tip cells, capable of forming a vessel lumen and secreting basement membrane materials. The formation of the vessel lumen by stalk cells is based on the fusion of intracellular vacuoles in neighboring stalk cell or through a process called 'cord hollowing' where stalk cells define an apical and basal polarity, and rearrange their junctions on the basal side, thus creating a lumen [24]. Lumen formation is mediated by CD144, CD34, sialomucins, VEGF and Hedgehog [30]. Vessel sprouts next fuse with other vessel branches (aided by macrophages or myeloid bridge cells), allowing blood flow to initiate in the newly formed vessel loops. With blood flow, oxygen and nutrients are transported to the newly formed vessel ECs, which are crucial signaling factors to induce a quiescent endothelial cell state. This initiates the stabilization of the new vessel sprout. Pericytes (PDGFR $\beta$ +) and smooth muscle cells, which will form the outer lining of the vessel wall, are attracted by various signals such as TGF $\beta$ , PDGFB, Ang1, Ephrin B2, and cover the endothelial cells allowing for vessel maturation. Endothelial cells increase the expression of vessel adherens and tight junctions (CD144, N-cadherin), which seal off the blood vessel. A new basement membrane is deposited, facilitated by the inhibition of proteases such as MMPs and serine proteases. Matrix proteins are newly formed next (e.g. fibronectin, laminin, tenascin-C, periostin). The adhesion junctions between endothelial cells are reestablished, after which the newly formed vessel is fully functional.





**b Stalk elongation and tip guidance**



**c Quiescent phalanx resolution**

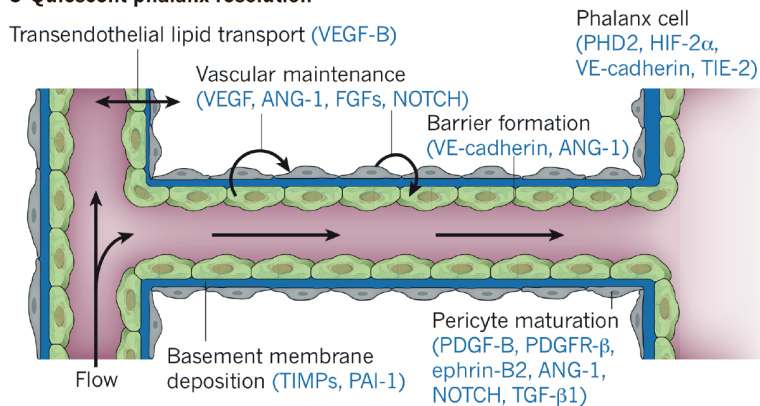


Fig. 3: Sprouting Angiogenesis explained step by step. Picture source: Carmeliet et al. [30]

## Vasculogenesis

Although vasculogenesis was first described in embryonic development, the process does continue in an adjusted form during adult life: Asahara et al. [35] described the formation of endothelial cells from adult bone marrow-derived circulating CD34<sup>+</sup>KDR<sup>+</sup> cells, with potent pro-angiogenic capacities, reminiscent of 'angioblasts' in embryonal vasculogenesis. The authors dubbed these cells 'endothelial progenitor cells' (EPCs) [35]. This paper initiated a wave of research into post-natal vasculogenesis [25, 26, 35, 36]. Estimates derived from studies using bone marrow-transplanted mice indicate that between 1-25% of vascular endothelial cells in newly formed vessels after tissue damage derive from bone marrow-derived EPCs [36-39].

## Circulating Angiogenic Cells and Endothelial Progenitor Cells: Definition

In adult vasculogenesis, endothelial progenitor cells are recruited for neovascularization [27]. EPCs are defined as circulating cells which are capable of acquiring a true endothelial phenotype, of integrating into the vessel wall and aiding in blood vessel formation and regeneration [40]. Cells best fitting this strict definition of 'EPC' include the (as yet elusive) precursor of culture-generated outgrowth endothelial cells (OECs), since these cells exhibit a dedicated endothelial phenotype with high proliferative capacity [40]. Other terms for this culture-generated cell type include 'blood outgrowth endothelial cells', 'endothelial outgrowth cells' and 'late endothelial progenitor cells' [40].

Since the before-mentioned publication by Asahara et al. [35], the term EPC has been widely used in the literature to describe an ever more heterogeneous group of cells, often without the capacity to truly differentiate into mature endothelial cells. This phenomenon has led to a labyrinthine composition of research articles on supposed endothelial progenitor cells [41-45]. Many of the cell types described, however, are unable to differentiate into true endothelial cells, but are nevertheless capable of greatly enhancing neovascularization through the production of paracrine pro-angiogenic factors [40]. These cells are of mainly hematopoietic, possibly also mesenchymal origin [40]. As such, for the sake of comparability it is preferred to name these cells e.g. 'pro-angiogenic hematopoietic cells' (PAHCs, preferred term in this thesis). Other terms used include 'myeloid angiogenic cells' or 'hematopoietic EPCs'. A culture-generated counterpart of these PAHCs is also known as 'CFU/Hill EPCs' or 'early EPCs' [40]. Early EPCs are unable to grow into OECs *in vitro* or differentiate into endothelial cells *in vivo*.

Since for a lot of circulating cell types stimulating target tissue neovascularization it is unclear if they are 'true EPCs', or act as perivascular bystander cells secreting pro-angiogenic factors, we decided to use the denomination 'circulating angiogenic cell' (CAC) as an overarching term in the instances where a well-founded separation into EPC / PAHC cannot be established.

The cell type originally described by Asahara et al. as endothelial progenitor cell was the precursor to OECs. However, this CD34<sup>+</sup>KDR<sup>+</sup> circulating cell population is very heterogeneous, containing both CD45<sup>+</sup> hematopoietic cells [46] and CD45<sup>-</sup> circulating endothelial cells [40, 47]. As yet, the

exact identity of this cell type remains elusive. Is it a common precursor to both hematopoietic stem cells and OECs, similar to the embryonic hemangioblast? Is it derived from the vessel wall, from the bone marrow, or both (bone marrow blood vessels)? What is the phenotype in terms of marker expression of this cell type? Initially, this OEC precursor cell in blood was thought to be of strictly hematopoietic origin [27, 48]. The literature is conflicting about the identity of OEC precursor cells, some indicating a bone marrow derived origin, others a blood vessel derivation. The former was assumed in a sex mismatched bone marrow transplant study [49] where single cell sorting of CD34<sup>+</sup>CD133<sup>+</sup>CD45<sup>+</sup>CD38<sup>+</sup> cells generated OECs *in vitro* [50]. However, others could not replicate the finding that OECs can be grown from CD34<sup>+</sup>CD133<sup>+</sup>CD45<sup>+</sup> cells (phenotype consistent with hematopoietic progenitor cells; HPCs) under carefully controlled conditions [51] and assume a vessel-wall derived origin of OECs [40, 46, 47, 51, 52]. Timmermans et al. showed that the precursor cell to OECs is CD34<sup>+</sup>KDR<sup>+</sup>, but non-hematopoietic (strictly CD45<sup>-</sup>) and CD133<sup>-</sup> [51]. CD34<sup>+</sup>CD45<sup>+</sup> circulating cells did generate 'early EPCs' in culture. Total UCB CD45<sup>+</sup> cells, CD14<sup>+</sup> cells and CD34<sup>-</sup> mononuclear cells were also able to generate early EPCs with the same phenotype. 'Early EPCs' were further characterized as CD14<sup>+</sup>vWF<sup>+</sup>KDR<sup>+/+</sup> [51], indicating that in culture these cells take on a pro-angiogenic monocytic phenotype.

As to the source of OECs, other studies including our own [47] have supported that the circulating precursor to OECs resides in the CD45<sup>-</sup>CD133<sup>-</sup> population of CD34<sup>+</sup>(CD146<sup>+</sup>) cells [52].

It is also possible that both hypotheses concerning the origin of OECs are true: OEC precursors could be both non-hematopoietic in origin, representing highly proliferative (stem cell-like) ECs which have sloughed off the vessel wall [51, 52] and be derived from bone marrow. In sex-mismatched bone transplanted subjects, Lin et al. show that early passage OECs are almost exclusively derived from host cells, while late passage OECs grown from the same sample displayed a donor phenotype. In addition, early passage host-derived OECs divided approximately 18-fold during a 4-week period *in vitro*, whereas late passage donor-derived OECs proliferated much faster, achieving around 1,000 cell divisions in a 4 week period [53]. The authors also found that 95% of OECs were of recipient origin and 5% of donor origin. It remains to be determined whether these donor OEC precursors were of hematopoietic lineage, or represented bone marrow endothelial (stem-like) cells. Future research using highly accurate and reliable single-cell phenotypic characterization followed by single-cell culture techniques are needed to establish the identity of true adult circulating EPCs. Overall, from the literature so far it seems plausible that the majority of 'true' adult EPCs are found in the circulating population of CD34<sup>+</sup>KDR<sup>+</sup>(CD146<sup>+</sup>)CD133<sup>-</sup>CD45<sup>-</sup> cells, while various subtypes of hematopoietic cells exert a pro-angiogenic effect by perivascular paracrine factor secretion in the target tissue.

PAHCs consist of a mixture of several populations of hematopoietic cells with pro-angiogenic properties, including: hematopoietic progenitor cells [54-56], pro-angiogenic T-lymphocytes [57],

Tie-2-expressing monocytes (TEMs) [58, 59], CD14<sup>+</sup>CD34<sup>low</sup> monocytes [60] and in the case of tumor biology: tumor associated macrophages (TAMs) [59], myeloid-derived suppressor cells (MDSCs) [61], tumor-associated neutrophils [61], tumor-associated eosinophils [61] and tumor associated mast cells [59, 62].

### Neovascularization in glioma

Tumors can only grow up to 3 millimeters in diameter without the need for an additional blood supply. When they grow larger however, simple diffusion of oxygen and nutrients is insufficient to meet the metabolic demands [63]. Additional blood vessels need to be recruited by the tumor. To establish this, tumor tissue has developed several functionally overlapping strategies. These consist of both physiological mechanisms deployed by the tumor (angiogenesis and vasculogenesis) and purely pathological mechanisms (vessel co-option and vasculogenic mimicry):

Angiogenesis is defined as the formation of vascular sprouts from preexisting vessels, induced by growth factors and cytokines that stimulate endothelial cell proliferation. These growth factors are often secreted by cancer cells themselves [21, 24]. In the case of glioblastoma, angiogenesis is focally independent of hypoxia /HIF1 $\alpha$  expression, either due to hypoxia-independent upregulation of VEGF, or through stabilization of HIF1 $\alpha$  in the absence of continued hypoxia [21]. VEGF and other pro-angiogenic factors are secreted by glioma stem cells, which flourish in hypoxic environments. Bone marrow derived (hematopoietic) pro-angiogenic cells which are attracted by the tumor tissue can stimulate angiogenesis upon extravasation by producing pro-angiogenic factors, without differentiating into endothelial cells and partaking in the vessel wall. This form of neovascularization was found to play an important role in glioma, again mediated by glioma (stem) cells secreting chemoattractants to bone marrow-derived CACs [64].

Vasculogenesis is defined as the *de novo* formation of endothelial cells from progenitor cells (EPCs) of different origins, or from the differentiation of (glioblastoma) cancer stem cells into endothelial cells [21, 65].

In vessel co-option, pre-existing vessels are integrated into the expanding tumor tissue. This is the first mechanism deployed by glioma cells to have access to nutrients and oxygen and is also why glioma cells spread invasively along pre-existing blood vessels [21].

Vasculogenic mimicry happens when tumor cells themselves form tubes with vessel-like functions [21, 66]. The mechanisms of this process remain largely unknown, but may include fusion of tumor and endothelial cells [67]. Vascular mimicry appears to occur especially in higher-grade, aggressive gliomas [21] and may again be mediated by glioma stem cells [67].

Since the main subject of this thesis involves the role of CACs in GBM, the next chapter will describe in detail the current knowledge on the mobilization, chemoattraction, homing, extravasation and target tissue differentiation of these cells.

## CACS: DYNAMICS

How are pro-angiogenic (hematopoietic) cells mobilized from the bone marrow? How do they find their target tissue and extravasate there? How do they differentiate once they have arrived at the target tissue? Many of these questions can only be answered partially, reflecting the enormous complexity of the processes driving the shuttling of pro-angiogenic cells between the bone marrow and peripheral tissues. The best studied subtype of pro-angiogenic hematopoietic cells regarding the above questions is the hematopoietic progenitor cell. Since it is likely that similar and overlapping mechanisms are in place for more mature pro-angiogenic (hematopoietic) cell types, we will now discuss the mechanisms of mobilization, chemoattraction, adhesion/homing, extravasation/tissue invasion and differentiation of circulating pro-angiogenic cells with a focus on by far the most widely studied CAC subtype of HPCs.

### De-adhesion and Mobilization

HPCs reside in a low-oxygen environment in the endosteal niche in the bone marrow, characterized by the high expression of retention factors such as CXCL12 [68, 69]. Many other chemoretenion factors are involved in retaining HPCs in their endosteal niches, including integrins like  $\text{Int}\alpha4\beta1$ , which mediates adhesion to VCAM-1 and  $\text{Int}\alpha4\beta3$  [68, 70].

The endosteal niche allows HPCs to maintain a primitive progenitor cell phenotype until a trigger emerges inducing them to be mobilized. Mobilization triggers can be a variety of mobilization factors such as CXCL12, CSF2, CSF3, VEGF, bFGF, Ang-1, PlGF, EPO, CXCL8 [41, 68, 71-73], but catecholaminergic stimulation derived from blood-borne catecholamines and from sympathetic innervation of the bone marrow [74].

HPCs are locked into the endosteal niche by protein-protein interactions with endosteal niche cells, such as the interaction between Kit on HPCs and  $\text{KitL}^+$  endosteal/endothelial cells and between CXCR4 on HPCs and CXCL12<sup>+</sup> endosteal/endothelial cells. HPCs are also retained in the endosteal niche by protein – ECM interactions through e.g. CD44 and integrins expressed by HPCs [75]. HPCs can be liberated from the endosteal niche by proteases secreted by e.g. osteoblasts, osteoclast and hematopoietic cells. Proteases involved are matrix metalloproteinases (MMPs; e.g. MMP9, MMP2, MMP14, cysteine proteases (e.g. cathepsin K), and DPP4 expressed on the cell membrane of progenitor cells themselves. These proteases sever the connection between HPCs and endosteal cells or ECM components, while additionally the ECM degradation creates an environment permissive of (HPC) cell migration [76]. An increase in plasma levels of mobilization factors (e.g. CXCL12, VEGF, CSF3) induces endosteal stromal cells and hematopoietic cells, in particular neutrophils, to increase the production of proteases such as MMP9 [71, 77]. MMP9 can convert KitL from the membrane bound (mKitL) to the soluble form (sKitL), thus destabilizing the connection between mesenchymal stromal cells/ osteoblasts and Kit-expressing HPCs [71]. Similarly, MMP9 and MMP14 can sever the (stromal) CXCL12/ (HPC) CXCR4 connection [72]. This results in deadhesion of



HPCs from the endosteal niche, allowing them to migrate towards the vascular niche; release of sKitL and other factors yields a more migratory phenotype of HPCs. Other proteases (Cathepsin K, DPP4) break down retention signals in the endosteal niche (e.g. CXCL12, mKitL/sKitL, osteopontin) thus creating a chemoattractant gradient favoring movement of HPCs away from the endosteal niche toward the vascular niche [72]. The vascular niche stimulates the onset of differentiation and release into the peripheral circulation.

### Chemoattraction

The chemoattraction of HPCs largely involves the same factors used for chemoretenion of HPCs: CXCL12 (attracting CXCR4<sup>+</sup> cells, including HPCs) [72, 78], KitL (attracting Kit<sup>+</sup> HPCs), CXCL8 (CXCR2<sup>+</sup> cells), CXCL3 (CXCR1<sup>+</sup> cells) and CCL chemokines (CCR2<sup>+</sup> and CCR5<sup>+</sup> cells) [68].

Regarding the chemoattraction and chemoretenion of HPCs, the CXCR4/CXCL12 pair is probably the best studied. CXCL12 is, for instance, produced by tissue-resident mesenchymal stem cells (MSCs) and ECs in hypoxic, damaged or diseased tissues, including tumors. CXCL12 expression results in the direct homing of various stem cell types [72]. Upregulation of CXCL12 and multiple other factors such as VEGFA in hypoxic tissues is mediated through activation of HIF-1 $\alpha$  [79, 80]. A spike in plasma CXCL12, derived from e.g. acutely hypoxic tissue or damaged tissue can mobilize and attract progenitor cells from the bone marrow. The expression of CXCR4 by HPCs is upregulated under the influence of several cytokines including KitL, HGF, IL6 [72, 78] and by MMP9. These cytokines rapidly increase CXCR4 expression by externalization of CXCR4 of intracellular stores. This leads to increased CXCL12-mediated migration and homing to target tissue [72].

### Homing

When released into the blood stream, progenitor cells respond to guidance signals by upregulating adherence molecules that mediate adhesion to microvascular endothelial cells [72].

Extravasation of HPCs and mature leukocytes from the peripheral circulation into the perivascular region of tissues is mediated by a multi-step process consisting of: 1) Rolling of HPCs along the (activated/remodeling) endothelial cell layer of the target blood vessel, through weak adhesion between HPCs and ECs (mediated by selectins). 2) Activation of adhesion molecules on HPCs by chemokine stimulation. 3) Arrest of HPCs on the vessel wall by binding of HPC integrins to EC adhesion factors. 4) Crawling of HPCs along ECs until a suitable location is found for the next step. 5) Diapedesis of HPCs by trans- or paracellular migration across the endothelial barrier into the parenchyma or perivascular area [81, 82].

Each step requires specific players. In the phase of 'rolling', SELPLG on HPCs/leukocytes binds to E- and P-selectins expressed by activated ECs. This connection is weak and easily reversible based on a Ca<sup>2+</sup> gradient, allowing the HPCs to 'roll' along the EC layer [83]. The hyaluronic receptor CD44, binding to EC E-selectin, is also involved in this process [72, 82]. Adhesion and retention of HPCs on the endothelium results from the activation of adhesion moieties on HPCs. This step is mediated in

particular by integrins including e.g.  $\text{Int}\alpha\text{L}\beta 2$ ,  $\text{Int}\alpha\text{M}\beta 2$ ,  $\text{Int}\alpha 4\beta 1$ ,  $\text{Int}\alpha 4\beta 7$  and  $\text{Int}\alpha 5\beta 1$ , which bind to EC adhesion molecules such as ICAMs, VCAM1 and CD105 [82-84]. Crawling is also mediated by HPC integrins interacting with EC adhesion molecules, notably  $\text{Int}\alpha\text{M}\beta 2$  binding to ICAM1 [81]. Adhesion to ECs induces the expression of MMP2, which together with other MMPs including MMP9 and MMP14 facilitate trans-endothelial migration [85-87]. MMP14 is expressed higher on circulating HPCs than bone marrow-derived HPCs and is important for their homing [77]. On the contrary, a high DPP4 expression by circulating HPCs is associated with diminished homing capacity [76]. During diapedesis, ECs need to loosen their intercellular junctions (e.g. CD144) and undergo cytoskeletal changes to allow for the paracellular and transcellular migration of HPCs into the perivascular space [88]. PECAM1 plays an important role in active trans-endothelial migration of HPCs through the homophilic binding of HPC and EC PECAM1 [82]. The transcellular route of migration may be more prevalent when HPCs or leukocytes cross the blood-brain barrier (BBB) [88].

HPCs can home to several peripheral tissues in physiological state (i.e. without the presence of tissue pathology such as ischemia, infection or neoplasia), including skin [89], liver, lung, kidney and spleen [90]. In peripheral tissues they can either retain a progenitor cells phenotype or differentiate along the myeloid or lymphoid lineage [90]. HPCs and other hematopoietic cells are unable to home to healthy brain tissue due to the presence of the BBB [90, 91]. In reactive conditions however, the BBB allows for the entry of hematopoietic (progenitor) cells into the brain parenchyma [92]. HPCs display tropism towards the area of reactivity [92].

There are several known routes through which leukocytes and HPCs can cross from blood to brain: by crossing the BBB from microvessels into the parenchymal perivascular space or from pial post-capillary venules into the subarachnoid and Virchow-Robin perivascular space. Alternatively, the blood-cerebrospinal fluid barrier can be crossed via the choroid plexus [81].

Notably, HPCs may not need to enter tissue parenchyma to exert their pro-angiogenic effect. Residence in the vessel lumen near the target tissue, mediated by rolling and adhesion to the vessel wall, suffices to stimulate angiogenesis without the need for trans- or para-endothelial migration [93].

The few studies that exist on the homing mechanism of injected OECs indicate the following: adhesion to the vessel wall of OECs requires  $\text{Int}\alpha 4\beta 1$ , while  $\text{Int}\alpha 5\beta 1$  and  $\text{Int}\alpha 6\beta 1$  are indispensable for migration, adhesion to and integration into (denuded) blood vessels [94-96].  $\text{Int}\alpha 5\beta 1$  binds to fibronectin, while  $\text{Int}\alpha 6\beta 1$  binds to laminins, both of which are exposed upon endothelial cell denudation [95, 96].

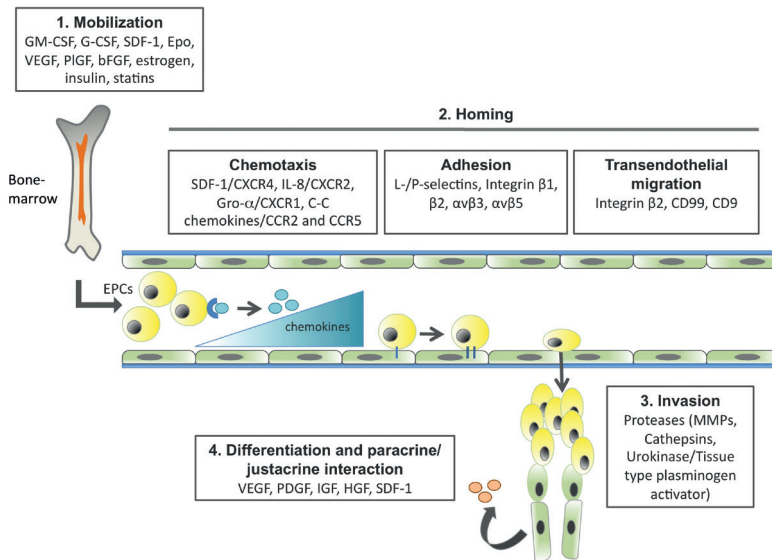


Fig. 4: Mobilization, homing and invasion of CACs explained step by step. Picture source: Caiado et al. [68]

## Differentiation

We were unable to find studies on the fate of HPCs or other well-characterized CACs after homing to (healthy) target tissue. While many studies indicate that various CACs home to tumor or ischemic tissues, few studies exist on the subsequent analysis of their differentiation afterwards. More indirect data regarding the probable fate of circulating HPCs after homing to target tissue stems from the knowledge that HPCs express various growth factors and cytokines in an auto- and paracrine fashion, including KitL, IGF1, bFGF, VEGF, HGF, EPO, CXCL12, CXCL8 and TGF $\beta$  [56]. Conditioned medium from cultured HPCs can stimulate the proliferation of HUVECs *in vitro* [56]. Cultured bone marrow-derived CD133<sup>+</sup> cells secrete several angiogenic factors at a high level (PDGF-bb, GRO- $\alpha$ , SCF, HGF, VEGF) [97]. It is likely that HPCs, once having homed to the target tissue, will secrete similar factors and thus exert their pro-angiogenic effect.

Until future *in vivo* cell tracking studies shed light on their relationship, the research fields on circulating and tissue-resident pro-angiogenic cells will remain divided.

## Trafficking after Homing

Importantly, HPCs do not necessarily permanently reside in the target tissue to which they homed. In the fetus, HPCs dynamically cycle between the bone marrow, peripheral blood and fetal liver. Recently, it was discovered adult HPCs can re-enter the bloodstream after having homed to target tissue through the lymphatic system draining into the thoracic duct [84, 90]. Notably, thoracic duct HPCs re-entering the blood stream are able to return to the bone marrow and occupy the endosteal niche [84]. This finding is important since it indicates that at least a subset of circulating HPCs may have been exposed to target tissue microenvironments and have changed their gene and protein expression profiles accordingly.

## CACS IN ACUTE ISCHEMIA AND CANCER

### Acute Ischemia

The role of CACs in the recovery from acute ischemia, especially myocardial infarction, has been widely studied in various experimental conditions, *in vitro*, *in vivo* as well as in human subjects [98, 99]. We will give an overview below.

### Chemoattraction, Homing and Differentiation of CACs

#### *In vitro and in vivo studies*

CD34<sup>+</sup> cells isolated from mobilized human adult blood injected intravenously into mice with induced myocardial infarction (MI) improved capillary formation and myocardial function compared to unselected PMBCs [100]. Of the CD34<sup>+</sup> cell fraction, CD34<sup>+</sup>KDR<sup>+</sup> cells exerted a more potent effect on myocardial recovery than CD34<sup>+</sup>KDR<sup>-</sup> cells [101]. CD34<sup>+</sup>KDR<sup>+</sup> cells consist of a mixture of hematopoietic and non-hematopoietic cells including CECs, while CD34<sup>+</sup>KDR<sup>-</sup> consist mostly of HPCs (our data, this thesis [47]). Human umbilical cord blood (UCB) derived HPCs (CD133<sup>+</sup>CD38<sup>+</sup>) injected into NOD/SCID mice with hindlimb ischemia improved vascularization. One month after injection of HPCs, human CD31<sup>+</sup> cells predominantly resided in the perivascular area and remained CD45<sup>+</sup>. Only one chimeric blood vessel was found containing human CD31<sup>+</sup> endothelial cells [50], suggesting that HPCs mostly stimulate neovascularization in a paracrine fashion in the case of hindlimb ischemia and only to a limited extent by differentiating into mature endothelial cells. This finding is in stark contract with the major contribution of HSCs to newly formed vessels in retinal ischemia as mature endothelial cells [39, 102]. Since the techniques used in these studies are essentially different (injection of human UCB-derived CD133<sup>+</sup>CD38<sup>+</sup> HPCs in the hindlimb ischemia model, versus GFP<sup>+</sup> bone marrow reconstituted from a single HSC in the retinal ischemia model), it is not possible to conclude if the discrepancy in the results is due to the type of ischemia induced (hindlimb vs retinal) or due to fundamental methodological differences.

CD133<sup>+</sup>KDR<sup>+</sup>CD34<sup>-</sup> cells were more potent than CD133<sup>+</sup>KDR<sup>+</sup>CD34<sup>+</sup> cells at stimulating reendothelialization and reducing artery lesion size [103]. Human culture-derived 'EPCs' transplanted in the peri-infarct area of induced MI in mice led to improved cardiac functional recovery [104]. Based on the methods used by the authors culture-derived 'EPCs' likely consisted predominantly of early EPCs and possibly some OECs (our data, this thesis [47]). The expression of various humoral factors (e.g. VEGFA, FGF2, IGF1, HGF, Ang1, Ang2, PlGF, PDGFb, CXCL12) involved in angiogenesis, anti-apoptosis and chemoattraction of bone marrow-derived cells played an important role in myocardial recovery. For the first week after transplantation, these humoral factors were secreted by the human transplanted 'EPCs' themselves. After the first week, however, levels of human cytokines were negligible: by that time the murine host cytokine response fully took over the donor response, suggesting that transplanted 'EPCs' boost local production of cytokines by other cell types [104]. Another murine study indicated that c-kit<sup>+</sup>KDR<sup>+</sup>APLN<sup>+</sup> cells were specifically recruited to apelin-secreting ischemic myocardial tissue and aided in the preservation of left

ventricular function post-myocardial ischemia [105]. The same cell type was not recruited to hind limb ischemic tissue, where instead  $\text{Scal}^+\text{KDR}^+\text{APLN}^+$  cells were attracted. This finding emphasizes that each (ischemic) target tissue specifically attracts certain CAC subsets.

### *Human studies*

Since myocardial infarction is a frequently occurring life-threatening event, the dynamics of circulating levels of CACs post-MI have been studied by several research groups. On day 0 (the day of MI) CAC levels are similar to those of patients with stable coronary artery disease (CAD).  $\text{CD34}^+$  cells,  $\text{CD34}^+\text{CD133}^+$  (HPCs),  $\text{CD34}^+\text{KDR}^+$  cells (a mixture of CECs and PAHCs; our data, this thesis [47]) and  $\text{CD34}^+\text{c-kit}^+$  cells (mostly HPCs and a small subset of CECs; our data, this thesis [47]) gradually increase over the days following MI and peak around day 4-7 post-MI [106-112]. A more potent mobilization of these cells was associated with better ventricular function after MI [109]. OEC-precursors were increased on day 0 of acute MI patients and decreased to barely detectable levels at day 5 [113]. The presence of more OEC colonies in culture corresponded with a better outcome of less microvascular obstruction, larger infarct size reduction and better left ventricular ejection fraction [113]. Furthermore, patients with more OEC colonies had higher levels of circulating  $\text{CD34}^+\text{KDR}^+$ ,  $\text{CD34}^+\text{c-kit}^+$  and  $\text{CD34}^+\text{CD144}^+$  cells [113]. The phenotype of these cell fractions suggests they consist of a mixture of PAHCs (including HPC) and CECs (our data, this thesis [47]). These studies show that a coordinated response of EPCs (OECs precursors) and other CACs follows acute myocardial infarction in a predictable pattern (peak on day 0 of OEC precursors, peak on day 4-7 of PAHCs) and that higher levels of CACs exert a positive effect on recovery from acute ischemic events.

Surprisingly, where levels of CACs are elevated following MI, in patients with risk factors for cardiovascular ischemic events including stable CAD, hypertension and diabetes mellitus type 2, they are reduced [114, 115]. Levels of  $\text{CD34}^+\text{KDR}^+$  cells are decreased in subjects with early subclinical atherosclerosis [116]. The level of circulating  $\text{CD133}^+\text{KDR}^+$  cells correlated inversely with the presence of hyperinsulinism [117]. These findings signify that the acute ischemic event in MI leads to a robust increase in the prior levels of CACs.

From a different angle, the intra-coronary/trans-epicardial/intra-myocardial administration of CACs in MI patients led to significant improvements of myocardial perfusion and function post-MI ( $\text{CD133}^+$ : [97, 118-121];  $\text{CD34}^+$ : [120, 122]; HPCs ( $\text{CD34}^+\text{CD133}^+\text{CD45}^+$ ): [123]) and a reduction in angina frequency in angina patients ( $\text{CD34}^+$ : [119]). Stents coated with anti-CD133 antibodies were able to capture circulating  $\text{CD133}^+$  cells and led to decreased in-stent-restenosis [124]. Similarly, the COMBO stent is coated with anti-CD34 antibodies, attracting  $\text{CD34}^+$  circulating cells (mixture of HPCs and other PAHCs, CECs and possibly some  $\text{CD34}^+$  mesenchymal progenitor cells; our data, this thesis), also leading to improved reendothelialization of stents and preventing restenosis [125].

Altogether, these studies suggest that in patients with a high cardiovascular risk state, CACs are circulating at reduced levels (negative correlation). It is likely that this reduction of circulating CACs contributes to an escalation of the cardiovascular risk state. Once acute MI ensues, levels of EPCs (OEC precursors) peak at day 0 and drop to negligible levels on day 5, indicative of an immediate release directly upon myocardial ischemic trauma. PAHCs, on the other hand, are not elevated on day 0, but gradually increase to peak levels on day 4-7 post-MI, reflecting a coordinated release from the bone marrow.

## **Cancer/glioma**

There are much fewer studies on the role of CACs in glioma neovascularization in comparison to other forms of cancer or MI. The available literature on CACs in glioma/cancer consists of *in vitro*, *in vivo* and patient studies and will be discussed below, with a separate focus on chemoattraction/homing and differentiation of CACs after homing.

## **Chemoattraction and Homing**

### *In vitro and in vivo studies*

Adult human HPCs administered intravenously to a GBM xenotransplant mouse model displayed high tropism towards tumor tissue and not to the adjacent healthy hemisphere [126, 127]. GBM-derived CXCL12 played a critical role in HPC chemoattraction and homing to tumor tissue [127]. To a lesser extent, tumor-secreted KitL and TGF $\beta$  attracted HPCs also [127]. *In vitro* experiments corroborated these findings [127]. Human cord blood derived CD133<sup>+</sup> cells also homed to intracranial GBM in an animal model [128]. Irradiation of intracranially xenotransplanted GBM in mice led to a significant influx of bone marrow-derived cells, which aided in tumor growth and in restoring damaged vasculature predominantly through bystander paracrine mechanisms. The influx of bone marrow-derived cells was again CXCL12-dependent and could be blocked by pharmacological inhibitors of CXCL12, CXCR4 or HIF1, preventing recovery of vascularization and tumor regrowth post-irradiation [129]. Aghi et al. [130] demonstrated in animal models that GBM tissue secreting high levels of CXCL12 attracted more bone marrow-derived CACs (not phenotyped), emphasizing its crucial role in chemoattraction.

In other types of cancer with a high tumor expression of CXCL12 (e.g. Lewis lung carcinoma; LLC), this chemokine proved crucial in attracting bone marrow-derived CACs to tumor tissue also. In melanoma, on the contrary, which produces little CXCL12, CACs rarely homed to the tumor and played a minor role in tumor neovascularization [39]. The major contribution of CXCL12 to CAC homing was confirmed in a retinal model which produced far higher levels of CXCL12 than LLC and attracted the most robust number of BM-derived CACs. The authors could link the contribution of BM-derived cells to neovascularization to the degree of expression of CXCL12 by the target tissue: very high in the retina injury model, high in LLC, negligible in melanoma tissue [39]. BM-derived CACs differentiated into perivascular myelomonocytic CD11b<sup>+</sup> cells or into endothelial cells integrated into newly formed blood vessels *in situ*. Influx of BM-derived cells was prevented



by injecting CXCL12-blocking antibodies into tumor tissue/injured retina - partially abrogating neovascularization and reducing tumor growth in the case of LLC. No difference was found in (CXCL12-) melanoma attraction of BM-derived cells, neovascularization or tumor growth after blocking CXCL12.

Other studies focused on therapeutic strategies targeting CACs in cancer-bearing xenotransplant murine models. In a human melanoma-bearing mouse model, the administration of vascular disruption agents (VDA) led to a spike in CACs (CD45<sup>+</sup>KDR<sup>+</sup>c-kit<sup>+</sup>CD13<sup>+</sup>, phenotype compatible with CECs; our data, this thesis [47]), which homed to the area of surviving melanoma cells [131]. The CAC spike and tumor homing were prevented by the simultaneous administration of anti-angiogenic agents blocking KDR. Melanoma-bearing mice treated with both VDA and the KDR-blocking agent led to a reduction of tumor regrowth and reduced blood flow [131].

### *Human studies*

In cancer in general, CAC levels are positively associated with tumor progression and may diminish the effects of chemotherapy, while levels correlate negatively with survival [132, 133]. Remarkably, there are only scarce data correlating the frequency of CAC subsets and the levels of neovascularization-related plasma factors in situations of both neoplasia and tissue regeneration [18, 134].

Several subsets of CACs are increased in GBM patients: circulating CD34<sup>+</sup>CD133<sup>+</sup>KDR<sup>+</sup> cells (phenotypically compatible with a rare subset of KDR<sup>+</sup> HPCs; our data, this thesis [47]; comparison versus healthy controls and ischemic stroke patients) [135]. CD34<sup>+</sup>KDR<sup>+</sup> cell levels (combination of CECs and PAHCs; our data, this thesis [47]) correlated positively with increased tumor blood vessel density [136]. KDR<sup>+</sup>CD133<sup>+</sup> cell levels correlated negatively with survival. One patient, after having undergone debulking surgery, showed a steep decline in KDR<sup>+</sup>CD133<sup>+</sup> levels, which increased dramatically again at tumor recurrence [137]. HPCs (CD34<sup>+</sup>CD133<sup>+</sup>CD45<sup>dim</sup>) were elevated compared to both HC and meningioma patients [138, 139]. There was a trend towards longer progression-free survival in GBM patients with low levels of circulating HPCs [138]. Corsini et al. [140] found an increase in both HPC and overall CD34<sup>+</sup> cell levels in untreated vs treated GBM patients and a significant increase in CD34<sup>+</sup> cells in untreated GBM patient compared to healthy controls (CD34<sup>+</sup> cells representing a mixture of HPCs, other CD34<sup>+</sup> PAHCs, CECs and possibly a small subset of CD34<sup>+</sup> mesenchymal progenitor cells; our data, this thesis [47]). CECs (CD31<sup>+</sup>CD45<sup>-</sup> [141], CD146<sup>+</sup>UEA1-lectin<sup>+</sup> [142]) and CD31<sup>+</sup>CD133<sup>+</sup> cells [141] were increased in treatment-naïve glioblastoma patients compared to controls. Levels of both CECs and CD31<sup>+</sup>CD133<sup>+</sup> cells dropped significantly after surgery [141]. GBM patients with the highest CEC counts at baseline had poorer overall survival [142]. CECs (CD34<sup>+</sup>CD146<sup>+</sup>CD45<sup>-</sup>) were measured in patients with recurrent GBM at baseline and after treatment with bevacizumab and/or lomustine. Combination treatment with bevacizumab and lomustine led to a significant increase in CEC count, while treatment with each

individual compound did not, suggesting a more potent toxic effect of combination treatment against GBM endothelial cells [143].

## **Differentiation**

### *In vitro, in vivo and human studies*

We were unable to find studies tracking the differentiation and fate of CACs after homing to GBM tissue. However, multiple studies are available on local GBM-resident pro-angiogenic microenvironmental cells, which at least partially represent the end-products of CACs after homing. In some instances, there are indications of their circulating cell of origin. We will discuss these studies below and provide a broader context of the knowledge on the subject in other forms of cancer.

While most bone marrow-derived cells contribute to tumor angiogenesis through the paracrine secretion of pro-angiogenic factors and ECM degrading factors [58, 144], a subset differentiates into mature endothelial cells and integrates into tumor blood vessels [145, 146]. Estimations of the extent to which bone marrow-derived cells contribute to tumor blood vessels as endothelial cells are highly variable, ranging from barely relevant to substantial. This variability again emphasizes the importance of the tumor microenvironment. For instance, Peters et al. showed that in bone marrow-transplanted cancer patients, bone marrow-derived endothelial cells in different tumors ranged from 1% of tumor endothelial cells in sarcoma to 12% in Hodgkin lymphoma [147]. Animal models using GFP-transfected bone marrow transplants indicate comparable figures [148-151]. Most bone marrow-derived cells reside in perivascular locations expressing mesenchymal or hematopoietic lineage markers [152]. The latter can include microglial markers in the case of central nervous system tumors [152]. Since none of these studies used proven HSCs for transplantation, it is unclear what the precise origin is of these vessel-incorporated bone marrow-derived cells. Especially the use of flushed bone marrow from murine tibiae/femurs for transplantation will contain a mixture of bone marrow-derived hematopoietic, mesenchymal and endothelial lineage cells. These studies therefore provide insufficient evidence towards the original lineage of the bone marrow-derived cells differentiating into mature endothelial cells.

An example of a study using flushed murine femurs/ tibiae for bone marrow transplantation is the work by Aghi et al. mentioned before [130]. GBM tissue secreting high levels of the chemokine CXCL12 attracted more bone marrow-derived CACs, which displayed a higher vasculogenic potential: 26% of tumor blood vessels contained BM-derived endothelial cells. In GBM secreting low levels CXCL12 however, hardly any tumor vessels harbored BM-derived endothelial cells (0-0.5%). The authors also found that BM-derived cells in the intracranial 'CXCL12 high' tumors did not differentiate into pericytes, as opposed to in the same tumors growing subcutaneously, indicating the importance of the microenvironment in the chemoattraction and differentiation of bone marrow-derived cells. However, because of the use of flushed femurs/tibiae, the original lineage of the vasculogenic cells remains a matter of debate.

Grant et al. [102] and Madlambayan et al. [39] tackled this issue by using a mouse model with reconstituted GFP<sup>+</sup> bone marrow derived from a single GFP<sup>+</sup> HSC transplanted into lethally irradiated mice, followed by serial bone marrow transplantation into recipient mice. This is the 'gold standard' method to prove that the founder cell was in fact a true HSC. Both in the case of reactive neovascularization (retinal ischemia [39, 102]) and to a lesser extent neoplastic neovascularization (inoculated Lewis lung carcinoma tumor [39]) a robust contribution of GFP<sup>+</sup> endothelial cells in newly formed blood vessels was found, indicating the ongoing ability of HSCs to act as hemangioblasts in adult mice. These studies prove that HSCs retain their potential to differentiate into mature endothelial cells in adult tissue upon a potent ischemic or neoplastic trigger only, since normal target tissues did not contain GFP<sup>+</sup> endothelial cells [102]. Circulating CD133<sup>+</sup>CXCR4<sup>+</sup> cells acted as more differentiated hematopoietic effector cells contributing to vasculogenesis in the retinal injury mouse model [39]. Circulating CD133<sup>+</sup>CXCR4<sup>+</sup> cells were further characterized by FACS as co-expressing CD45 (85%), Scd1 (61%), CD11b (54%), KDR (17%) and c-kit (12%) [39]. Furthermore, only lineage- myelomonocyte progenitors (not megakaryocyte/erythroid, common myeloid, common lymphoid progenitors or long-term HSCs) expressed CD133 and CXCR4 [39]. The data suggest that a subset of circulating CD133<sup>+</sup>CXCR4<sup>+</sup> cells likely consisting of lineage- myelomonocyte progenitors can act as true EPCs, with the capacity to fully differentiate into mature endothelial cells.

However, most bone marrow-derived cells did not integrate into the vasculature as endothelial cells in the LLC tumor, but rather remained in a perivascular location. These cells were of myelomonocytic CD11b<sup>+</sup> phenotype *in situ*, known to stimulate neovascularization in a paracrine fashion. Due to the experimental setup of the study, the circulating counterpart of these myelomonocytic perivascular cells could not be established.

Very few studies are available describing the differentiation after tumor homing of carefully phenotyped and labelled CACs injected into the circulation of glioma mouse models. Zhang et al. exogenously administered culture-derived human OECs to GBM xenotransplanted mice. OECs easily incorporated into glioma tumor vessels as mature endothelial cells: around 11% of all tumor endothelial cells were OEC-derived. Injection of OECs stimulated tumor growth [150].

Several studies aimed to characterize the identity of microenvironmental pro-angiogenic cells in GBM. Glioma-associated myeloid lineage cells make up the majority of immune cells in the tumor [153] and include Tie2-expressing monocytes (TEMs) [154], tumor-associated macrophages (TAMs) [61, 155-157] and microglia [158]. Microglia are derived from the proliferation of nascent central nervous system microglia derived from immature yolk sac progenitors [159]. There has been much debate about whether circulating cells such as monocytes or HSCs can be a source of 'true' microglia in the case of BBB impairment such as in GBM [58, 158, 160]. The current perspective is, however, that circulating myeloid lineage cells differentiate into TAMs after homing, and do not contribute to the pool of resident microglia [156, 159].

It is unclear to what extent these myeloid lineage cells represent different populations, how they are

best characterized and what their precise origin is. TEMs can be defined as Tie2<sup>+</sup>CD14<sup>low</sup>CD16<sup>+</sup>CCR2<sup>-</sup>L-selectin<sup>-</sup> (this expression profile is capable of distinguishing TEMs from common inflammatory monocytes [154]), TAMs express HLA-DR, CD16, CD204, CD163 [154].

The large majority of TADCs and TAMs were found to originate from bone marrow-derived cells in sex-mismatched bone marrow transplanted patients [161].

Tumor-associated mesenchymal lineage cells are usually termed tumor-associated stroma cells (TASCs) [162]. TASCs may express a variety of markers including CD44, CD90, CD73, CD105 ("MSC-like"),  $\alpha$ SMA, TNC ("myofibroblast-like"), NG2, PDGFR ("pericyte-like") [162]. Their origins likely include bone marrow-derived MSCs (which can either maintain their stem/progenitor cell phenotype, or differentiate into pericytes and in non-central nervous system tumors also fibroblasts, osteoblasts and adipocytes [162]. GFP-transfected MSCs intravenously injected into mice carrying subcutaneous or lung localized breast cancer cells homed to tumor sites and differentiated into adipocytes in the subcutaneous tumor and into osteoblasts in the lung tumor [163]. The different outcome of MSC differentiation in the same tumor type subcutaneously versus in the lung once again indicates the importance of the microenvironment in the evolution of differentiation of progenitor cells.

One study investigated tumor TEMs and Tie-expressing MPCs in breast cancer and GBM mouse models, including their circulating counterparts [58]. Circulating TEMs were a source of tumor TEMs in the case of breast cancer (GBM not investigated). In GBM tissue, around 9% of all CD45<sup>+</sup> cells consisted of TEMs, indicating their relative abundance in tumor tissue. TEMs were located in a perivascular location, abluminally to pericytes, and did not express the pericytic marker NG2. Both circulating and tumor-resident TEMs exerted a potent pro-angiogenic effect in each tumor type: TEM depletion led to attenuated tumor growth and progression in the GBM model, and an almost complete elimination of the formation of new blood vessels. Further characterization of circulating TEMs revealed expression of CD45, CD11b, bFGF, CD31 (dim) and no expression of c-kit, Sca-1, CD3, CD19, CD49b, KDR or CD34.

Apart from TEMs, around 30% of all bone marrow-derived Tie2<sup>+</sup> tumor resident cells were of mesenchymal origin (Tie2<sup>+</sup>CD13<sup>+</sup>Sca1<sup>+</sup>CD31<sup>+</sup>CD45<sup>-</sup>), enriched for MPCs. Tie2<sup>+</sup> mesenchymal cells resided in a perivascular, pericyte-like location but did not co-express pericytic markers NG2 and  $\alpha$ SMA. *In vitro*, Tie2<sup>+</sup> MPCs remained undifferentiated and secreted VEGFA, bFGF and HGF. When co-injected with breast cancer cells, Tie2<sup>+</sup> MPCs differentiated into  $\alpha$ SMA<sup>+</sup> mural vascular cells and lost expression of Tie2 *in vivo*, indicating the potential of these cells to differentiate into pericytes. However, no bone marrow-derived  $\alpha$ SMA<sup>+</sup> mural vascular cells were found without co-injection of *in vitro* expanded Tie2<sup>+</sup> MPCs [58].

To summarize, the authors found evidence of a substantial amount of bone marrow-derived TEMs with a potent pro-angiogenic effect in breast cancer and GBM mouse models. The circulating counterpart of TEMs *in situ* were, at least partially, circulating TEMs. An additional circulating source of tumor TEMs such as HPCs cannot be excluded from the data. No evidence was found of bone

marrow-derived ECs or pericytes, whereas a small population of Tie2<sup>+</sup> MPC-like perivascular cells from bone marrow was identified [58].

We were unable to find studies on the fate of other circulating CAC subsets after homing to GBM tissue. With the advent of improved *in vivo* cell tracking techniques and options for single cell barcoding [164–166], it will be possible to study in detail the fate of individual CACs after having homed to tumor tissue.

Overall, it can be concluded that various types of CACs exert a positive effect on the recovery from MI and a negative clinical effect in GBM patients, leading to more rapid tumor progression, faster tumor recurrence post-therapy and decreased overall survival. In GBM, CXCL12 seems to play a crucial role in the chemoattraction and homing of CACs. Some CAC subsets are able to differentiate into mature endothelial cells and merge into blood vessels. The extent to which this occurs depends on the local target tissue microenvironment. Literature on the local differentiation of CACs after homing is largely lacking.

To date, much remains unclear about the specific subtypes of CACs involved in GBM neovascularization compared to ischemic states such as MI. No studies exist researching these two conditions in the same experimental setup, hampering their comparison. Whether disease-specific qualitative characteristics exist within CAC subsets is wholly unknown.

## AIM OF THIS THESIS

The realization that fundamental biological differences exist even within the same disease state has taken ground increasingly, leading to the advent of personalized medicine. So far, in the treatment of cancer, the concept of personalized medicine translates into chemotherapeutic strategies tailored to specific tumor mutations or chromosomal aberrations. Increasingly, the importance of the tumor microenvironment is being established in the emergence, sustenance and propagation of cancer. Blood vessels constitute an essential part of the tumor microenvironment. Blood vessel formation in tumors incorporates pre-existing pathways derived from development and tissue regeneration following trauma or ischemia. However, from all the points discussed in the present introduction it becomes evident that tumor neovascularization is a systemic process: malignant tumors recruit circulating pro-angiogenic cells derived from peripheral tissue (bone marrow), use the blood to transport these cells to the malignancy and induce these cells to stimulate local blood vessel formation. Tumors manage to do so without the presence of tumor cells (metastases) in the peripheral tissue releasing these pro-angiogenic cells.

We know from the literature that tumor neovascularization is deviant from that occurring in physiological contexts. Morphologically, tumor vessels are distorted and warped. Functionally,

they often fail as blood vessels (being leaky and prone to thrombosis). Tumor angiogenesis was repeatedly found to harbor different characteristics from regenerative angiogenesis. However, the question whether cancer-specific alterations exist in CAC-induced neovascularization is to date wholly unknown.

The overall aim of our project was to elucidate the role of both the tumor microenvironment and macro-environment (the blood) in glioblastoma neovascularization, with a focus on circulating angiogenic cells. More specifically, we aimed to answer the following questions:

- 1) Which subtypes of CACs are mainly involved in GBM neovascularization compared to regenerative neovascularization (as represented by myocardial infarction, MI; Chapter 3)?
- 2) Do qualitative neovascularization-related gene expression differences exist within CAC subsets between GBM and regenerative (MI) and developmental (fetal) neovascularization and if so, which (treatment-targetable) genes are predominantly involved (Chapter 4)?
- 3) What is the cellular source and the role of the matricellular protein periostin in GBM vessel formation (Chapter 5)?

To answer questions 1 and 2, we formulated the additional research question:

- 4) How can CAC subsets be best identified, characterized and isolated using Fluorescence-Activated Cell Sorting (FACS; Chapter 2)?

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## CHAPTER 2

# IMPROVING THE CHARACTERIZATION OF ENDOTHELIAL PROGENITOR CELL SUBSETS BY AN OPTIMIZED FACS PROTOCOL





RESEARCH ARTICLE

# Improving the characterization of endothelial progenitor cell subsets by an optimized FACS protocol

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## Abstract

The characterization of circulating endothelial progenitor cells (EPCs) is fundamental to any study related to angiogenesis. Unfortunately, current literature lacks consistency in the definition of EPC subsets due to variations in isolation strategies and inconsistencies in the use of lineage markers. Here we address critical points in the identification of hematopoietic progenitor cells (HPCs), circulating endothelial cells (CECs), and culture-generated outgrowth endothelial cells (OECs) from blood samples of healthy adults (AB) and umbilical cord (UCB). Peripheral blood mononuclear cells (PBMCs) were enriched using a Ficoll-based gradient followed by an optimized staining and gating strategy to enrich for the target cells. Sorted EPC populations were subjected to RT-PCR for tracing the expression of markers beyond the limits of cell surface-based immunophenotyping. Using CD34, CD133 and c-kit staining, combined with FSC and SSC, we succeeded in the accurate and reproducible identification of four HPC subgroups and found significant differences in the respective populations in AB vs. UCB. Co-expression analysis of endothelial markers on HPCs revealed a complex pattern characterized by various subpopulations. CECs were identified by using CD34, KDR, CD45, and additional endothelial markers, and were subdivided according to their apoptotic state and expression of c-kit. Comparison of UCB-CECs vs. AB-CECs revealed significant differences in CD34 and KDR levels. OECs were grown from PBMC-fractions. We found that viable c-kit<sup>+</sup> CECs are a candidate circulating precursor for CECs. RT-PCR to angiogenic factors and receptors revealed that all EPC subsets expressed angiogenesis-related molecules. Taken together, the improvements in immunophenotyping and gating strategies resulted in accurate identification and comparison of better defined cell populations in a single procedure.

## OPEN ACCESS

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## Introduction

Over the last decades circulating EPCs have been extensively studied in the context of both health and disease. EPCs take part in neovascularization and their levels are used to monitor the effects of therapy [1–4]. Notably, the term EPC is not only used for cells with genuine endothelial lineages, but also for other cell types supporting neovascularization, including

hematopoietic progenitor cells (HPCs) [1, 5–8]. HPCs are bone marrow derived [9] and home to ischemic or neoplastic tissues that secrete chemo-attractants and, following differentiation, contribute to angiogenesis by secreting proangiogenic factors [10–12]. Another subset of circulating EPCs is capable of generating *in vitro* outgrowth endothelial cells (OECs). The *in vivo* equivalent of OECs is believed to contribute to vascular regeneration [7, 13–17]. While most circulating endothelial cells (CECs) are damaged or apoptotic mature endothelial cells with no progenitor potential [18–21], there may well be a small CEC fraction of viable endothelial progenitors from which OECs can be grown. However, the kinship of CECs and OECs has not been proven, mainly because authors used unsorted PBMCs or PBMCs enriched for specific markers using magnetic beads, instead of FACS sorting [1, 7, 20, 22–26]. The accurate identification of EPC subsets, and their subdivision, is challenged by the low frequencies of these cells in the bloodstream, the different ways of their isolation, and the discrepant immuno-phenotypic definitions used [1, 5, 8, 23, 24, 27–31]. The introduction of validated procedures of isolation and work-up would greatly improve accurate comparisons of the various populations and literature data on the EPC subsets, and shed more light on the genuine source of OECs [7, 32].

Here we present a protocol for the accurate identification, characterization, and subdivision of HPCs, CECs and culture-derived OECs from peripheral blood samples of healthy adults (AB) and umbilical cord blood (UCB). The procedure includes the analysis of stem cell markers [32] and RT-PCR on sorted cells allows for the detection of markers beyond cell-surface expression. By following the procedures described we succeeded in demonstrating the similarities between OECs and CECs, suggestive of kinship between these populations. PCR analysis to the distinct EPC subsets and HUVECs for the detection of angiogenic factors and receptors revealed angiogenic capacities of all subsets.

## Material & methods

### Medical-ethical considerations

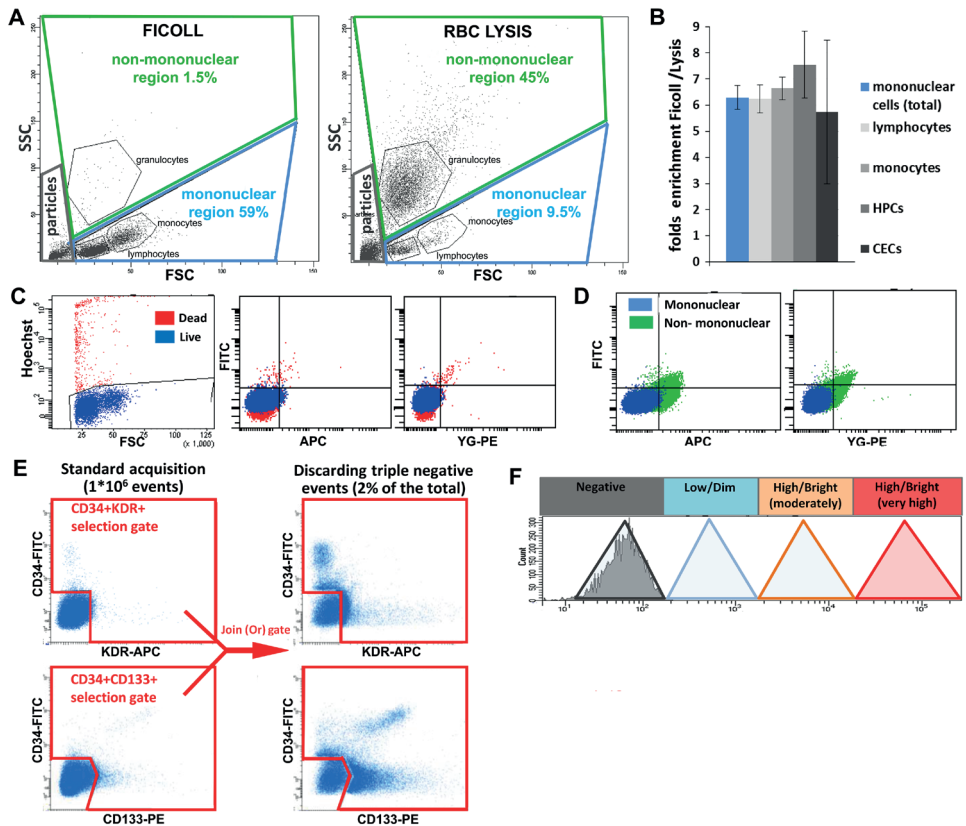
This study was approved by the Medical Ethics Committee of the Erasmus Medical Center, Rotterdam, The Netherlands (MEC-2011-313) and carried out in adherence to the Code of Conduct of the Federation of Medical Scientific Societies in the Netherlands (<http://www.federa.org/codes-conduct>).

### Blood samples and preparation

Eighteen samples of adult peripheral blood (24–40 ml) and 15 samples of umbilical cord blood (12 ml) were used for this study. The samples were collected in BD vacutainer EDTA tubes and stored at room temperature in the dark for  $\leq 18$  hours. Blood was then diluted 1:1 with PBS-0,5 mM EGTA, and PBMCs were isolated using Ficoll Paque plus (GE Healthcare).

### FACS analysis and sorting

PBMCs were incubated with 10% mouse serum to block unspecific antibody binding and stained 20' with specific antibodies (S1 Table). To get saturation, OECs/HUVECs were stained with 1  $\mu\text{g}$  Ab/ $10^6$  cells/200  $\mu\text{l}$ , and PBMCs with 1.5  $\mu\text{g}$  Ab/ $10^7$  cells/200  $\mu\text{l}$ . KDR staining was amplified using a 3-step protocol: 1) anti-KDR-APC; 2) anti-APC-biotin; 3) streptavidin-APC. After staining the cells were washed twice and re-suspended in PBS, 10% BSA, 0,1  $\mu\text{g}/\text{ml}$  Hoechst 3h3258 to mark dead cells. All steps were performed on ice. Live nuclear staining was performed with the cell permeant Hoechst33342 (Sigma-Aldrich), 10  $\mu\text{M}$  for 30' at RT. FACS analysis/sorting was performed with a BD FACS Aria III (BD Biosciences, New Jersey, US)



**Fig 1. Basic strategies of sample preparation and FACS analysis.** **A.** FACS plots of Ficoll-enriched PBMCs vs. RBC lysis-based preparations. To prevent exclusion of large cells, a large mononuclear-cell gate was applied. **B.** Ratios of enrichment of total live PBMCs, lymphocytes, monocytes, HPCs (as defined in Fig 2) and CECs (as defined in Fig 3) by Ficoll vs. RBC lysis. **C-D.** Examples of reduction of background (auto-fluorescence) by stringent exclusion of dead cells using Hoechst 33258 (C) and (residual) granulocytes (D). **E.** Basic procedure used, in addition to standard acquisition ( $1 \times 10^6$  total events), to enrich for target cells by discarding triple CD34/CD133/KDR negative events. Upper left plot: selection of CD34<sup>+</sup> and KDR<sup>+</sup> cells; lower left plot: selection of CD34<sup>+</sup> and CD133<sup>+</sup> cells. Right panels: result of a "Join (Or) gate" in FACS DIVA. KDR<sup>+</sup> CD34<sup>+</sup> (upper plot) and CD133<sup>+</sup> CD34<sup>+</sup> (lower plot) populations are better visualized. **F.** Delineation of a scale of FACS-based fluorescence levels. Negative peak = unstained or isotype control; low (dim) = within 1 log from negative; high (bright) = more than 1 log from negative and ranging from moderately high (1<sup>st</sup> to 2<sup>nd</sup> log above negative) to very high (3<sup>rd</sup> log or higher). "Medium" is sometimes used to define populations in between low and high.

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using the parameters listed in (S1 Table). In the FSC/SSC plot, mononuclear cells were selected using a gate for high FSC cells excluding residual granulocytes, cellular debris and small particles (Fig 1 and S1 Fig). In the SSC-H/SSC-W and FSC-H/FSC-W plots single cells were selected and doublets excluded. Avital cells were gated out in a Hoechst-A/FSC-A plot. For the initial setup, fluorescence minus one (FMO) and isotype controls were used for each antibody (S2 Fig).

## Annexin V staining

Following immunostaining, 5  $\mu$ l of Annexin-V-FITC (BD) or Annexin-V-biotin (BD), were added to 500,000 PBMCs in Annexin Buffer and left 20' on ice, followed by one washing step. Incubation with Annexin V-biotin was followed by streptavidin-APC staining. The FACS analysis was carried out in Annexin V buffer, 0,1  $\mu$ g/ml Hoechst 33258.

## Generation of outgrowth endothelial cells

PBMCs were re-suspended in endothelial cell medium (EGM-2 + BulletKit; Lonza), seeded in culture flasks (Corning, polystyrene) at  $2.5 \times 10^6$  cells/cm<sup>2</sup>, and incubated at 37°C, 5% CO<sub>2</sub>. Medium was changed daily. When OECs reached 80% confluence, cells were passaged using Accutase (Sigma-Aldrich). Gelatin, collagen-I, and fibronectin coating were tested and compared to non-coated plates. Because coating did not significantly affect the generation of OECs we used uncoated plates.

## RNA Isolation and RT-PCR

Gene expression was analyzed in HPCs (12 UCB and 10 AB; 2,000–70,000 cells), CECs (2 UCB and 1 AB; 600–2,000 cells), control leukocytes (3 UCB and 6 AB, CD34<sup>+</sup>CD133<sup>+</sup>KDR<sup>+</sup>CD45<sup>+</sup>), OECs (3 UCB and 1 AB; 500,000 cells), and HUVECs (2 separate cell lines, 500,000 cells). Cells were lysed in RLT buffer (Qiagen RNeasy micro kit) containing 1%  $\beta$ -mercaptoethanol, vortexed for 1' and stored at -80°C. RNA was isolated using the RNeasy micro kit (Qiagen). cDNA was synthesized using the qScript cDNA SuperMix kit from Quanta. Due to low numbers of sorted HPCs and CECs, the PreAmp cDNA amplification kit (Quanta) was used (up to 100 genes). Amplified cDNA was diluted 20-fold. RT-PCR was performed following manufacturer instructions (Quanta) and 200nM primers. Pre-amplification was extensively validated to determine whether the correct proportion of transcripts was retained. PCR primers are listed in (S1 Table). In order to analyze the cells at functional level, RT-PCR to 10 angiogenic factors and receptors (apelin, PDFDF $\beta$ , PDGFR, SCF, FGF, EGF, EGFR, VEGFA, Tie-1 and Tie-2) was carried out for all EPC subsets.

## Statistical analysis

The non-parametric Mann-Whitney U test in SPSS (version 21.0.0.1) was used to determine differences in HPC and CEC frequencies and in gene expression.

## Results

### Sample preparation and FACS analysis

The mononuclear cell fraction containing the EPC subsets was on average six times enriched by using Ficoll as compared to standard RBC lysis buffer, thereby leaving the ratios between the PBMCs and EPC subsets unaltered (Panels A and B in Fig 1 and Panel A and B in S1 Fig). The background caused by autofluorescence and unspecific antibody binding was reduced by excluding dead cells by Hoechst 33258 staining (Panel C in Fig 1) and residual non-mononuclear cells (Panel D in Fig 1) by gating them out in a FSC/SSC plot. The mononuclear gate applied was large enough to include CECs eventually present in the high FSC region (Panel A in Fig 1 and Panel C in S1 Fig). Spillover between fluorochromes was minimized by using one fluorescent channel per laser, with the exception of the 405 nm laser (S1 Table). Bright antibodies or signal amplification were used to gain sufficient resolution for each marker. The visualization of rare cells was improved by discarding triple CD34/KDR/CD133 negative events, thereby reducing data overload and enabling the recording of larger sized samples. By



setting a threshold around 2% positive events, the target cells were ~ 50 times enriched (Panel E in Fig 1) and reliable visualization was accomplished for populations with a frequency as low as CECs in AB (~1/1\*10<sup>6</sup> PBMCs)[21]. To enable direct visual comparison of fluorescent levels between populations and samples, a common scale of fluorescence intensity based on literature and our own data was applied in all FACS plots (Panel F in Fig 1) [1, 33].

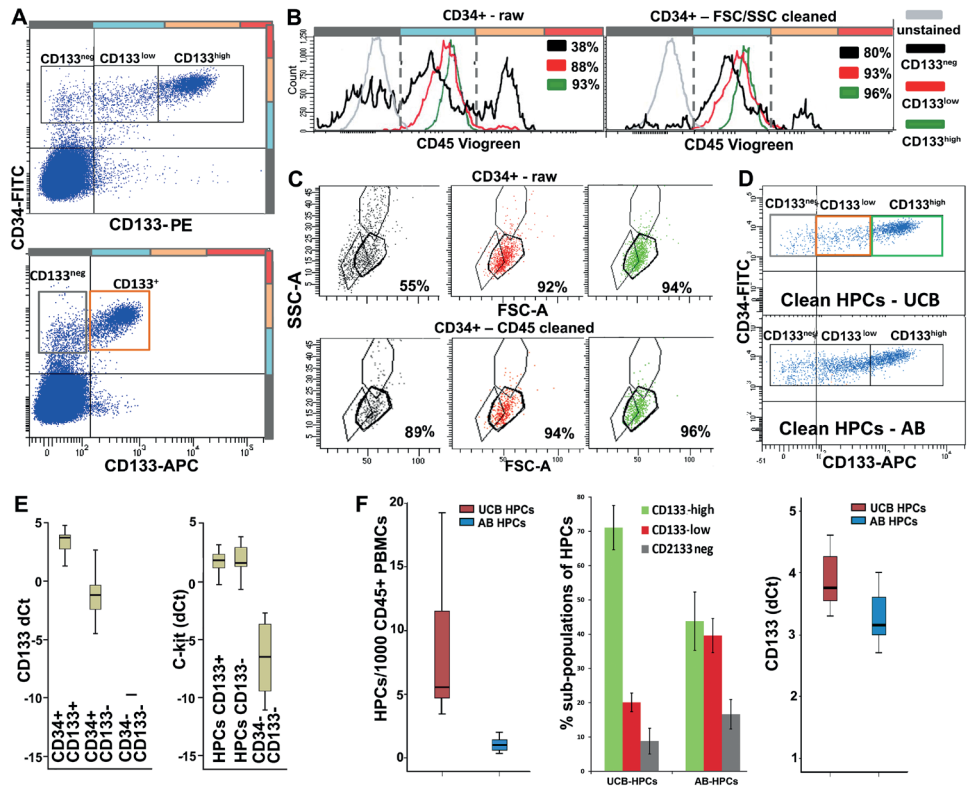
## Characterization and quantification of HPCs

Following current definitions [5, 17, 34], HPCs were initially identified as a CD34<sup>+</sup>/high cluster and refined by gating CD45<sup>low</sup>. Based on the expression intensities for CD133, HPCs were sub-divided in CD133<sup>high</sup>, CD133<sup>low</sup>, and CD133<sup>neg</sup> cells (Panel A and B in Fig 2) [1, 5, 17, 34]. A bright antibody was necessary to properly visualize different CD133 levels (Panel A in Fig 2). HPCs were located in between lymphocytes and monocytes in the FSC/SSC plot (Panel C in Fig 2). Gating on FSC/SSC converged with CD45-based gating, and provided further purification of HPCs, mainly by cleaning the CD133<sup>neg</sup> subpopulation. By using both CD45 and FSC/SSC, HPC gating was refined over previous protocols. To verify the identity of the HPCs we tested the expression of CD133 and c-kit by RT-PCR on sorted HPC-fractions. The expression of CD133 was verified by RT-PCR and was at low levels also found in the CD133<sup>neg</sup> subpopulation (Panel E in Fig 2). mRNA expression for c-kit was found in all three subpopulations (Panel E in Fig 2) and appeared to be high and independent of CD133 levels. Overall, HPCs (calculated as ratio over CD45<sup>+</sup> PBMCs) were 5.8 times more frequent in UCB than in AB (Panel F in Fig 2). The frequencies of the three CD133-based subpopulations differed between UCB and AB. CD133<sup>high</sup> HPCs represented 72% of total HPCs in UCB but only 43% in AB.

Further HPC sub-classification was obtained by simultaneous staining for c-kit and CD133. Four sub-populations of HPCs were distinguished: CD133<sup>neg</sup>c-kit<sup>high</sup>, CD133<sup>low</sup>c-kit<sup>high</sup>, CD133<sup>high</sup>c-kit<sup>high</sup>, and CD133<sup>high</sup>c-kit<sup>neg/low</sup> (the last only observed in AB samples) (Panels A and B in Fig 3). In addition, the expression of CD34 and CD45 was found to be positively associated with CD133 levels but independent of c-kit levels. CD133<sup>neg</sup> HPCs have the highest c-kit levels (Panel A in Fig 3 and Panels A-C in S3 Fig). Expression of the endothelial markers KDR, CD144, and CD146 was only observed in sporadic HPCs (Panels C-E in Fig 3). CD105 was expressed at very low levels, only partially crossing the negative gate. Preliminary co-expression analysis revealed that KDR, CD146, CD144, CD105 do not converge to the identification of a single population of EPCs [8], but each marker mostly identifies small independent subpopulations, (Panels D and E in Fig 3 and Panels D-F in S3 Fig).

## Characterization and quantification of CECs

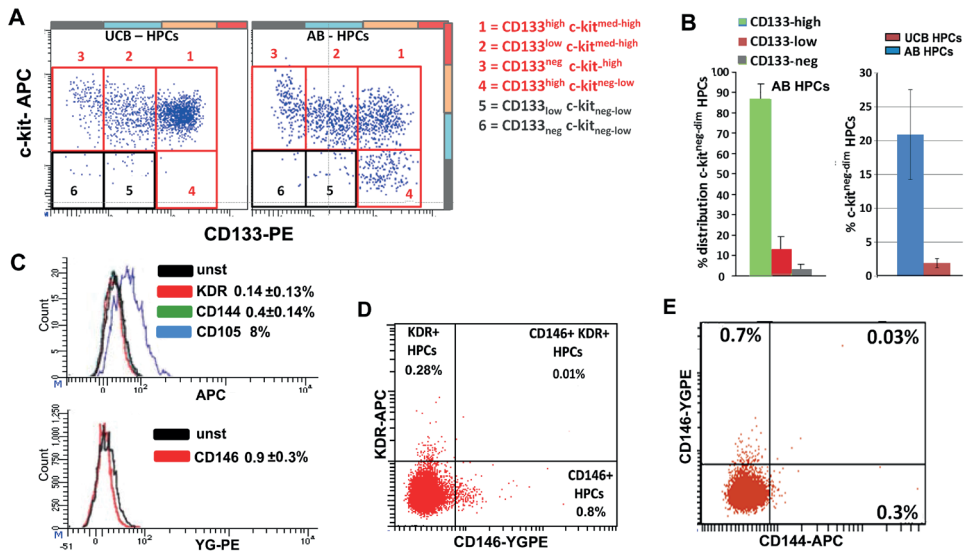
CECs were identified as CD34<sup>+</sup>KDR<sup>+</sup> cells (Panel A in Fig 4) that are negative for CD45 (Panel B in Fig 4). Brightness of the staining was essential for proper CEC identification. Visualization of KDR required signal amplification (S1 Table) and the staining intensity of CD45 was crucial for separating CD45<sup>neg</sup> CECs from sporadic CD45<sup>low</sup>KDR<sup>+</sup> HPCs across the CD45<sup>neg</sup> gate (Panel B in Fig 4). The frequency of CECs was significantly higher in UCB (14/10<sup>6</sup> CD45<sup>+</sup> PBMCs) than in AB (1,9/10<sup>6</sup> CD45<sup>+</sup> PBMCs) (Panels C and D in Fig 4). The CD34 and KDR levels encountered in UCB-CECs (mainly CD34<sup>high</sup>KDR<sup>+/high</sup>) differed from those in AB-CECs (mainly CD34<sup>med</sup>KDR<sup>low</sup>) (Panel C in Fig 4). The CECs expressed the endothelial markers CD146, CD144 and CD105 (Panel E in Fig 4 and Panel B in S4 Fig), which largely overlapped with KDR, confirming the endothelial identity of the selected population. Based on our data, CD146 and CD144 can be regarded as good substitutes for KDR in CEC identification, or as additional markers to refine the population. Immunopositivity for CD105 should be



**Fig 2. Identification and characterization of HPCs.** **A.** Upper panel: HPCs in UCB and AB are initially selected as CD34<sup>+</sup> cells and subdivided into CD133<sup>hi</sup>, CD133<sup>low</sup> and CD133<sup>neg</sup>. Lower panel: discrimination between CD133 levels is partially missed using a less bright antibody. **B.** HPCs are refined by gating a single peak in the CD45 region. Left plot: CD45 gating on raw HPCs (CD34<sup>+</sup> events). Right plot: CD45 gating on CD34<sup>+</sup> events pre-refined by FSC/SSC. CD45 levels slightly increase with CD133 expression (see also S3 Fig), still remaining within the "low" gate. The % of match of raw or pre-refined HPCs with the CD45low gate is indicated in the plots for each population. **C.** In a FSC/SSC plot, HPCs appear as a tight cluster in between lymphocytes and monocytes. Upper panel: FSC/SSC gating on raw HPCs (CD34<sup>+</sup> events). Lower panel: FSC/SSC gating on CD34<sup>+</sup> events pre-refined by CD45 levels. The % of match of raw or pre-refined HPCs with the FSC/SSC gate is indicated in the plots for each population. Comparison of B (left vs. right plot) and C (upper vs. lower panel) shows that CD45 and FSC/SSC gating converge to the identification of pure HPCs: partial overlapping in HPC cleaning indicates that the two approaches identify the same population, however each approach also provides some independent contribution to HPC purification. The less pure and most affected by cleaning gates appears the CD133neg fraction. **D.** FACS plots showing the distribution of pure HPCs (CD34 vs. CD133 plot) in UCB vs. AB. **E.** CD133 and c-kit RT-PCR in CD34<sup>+</sup>CD133<sup>+</sup> cells (n = 22); CD34<sup>+</sup>CD133<sup>-</sup> cells (n = 17) and CD34<sup>+</sup>KDR<sup>+</sup>CD133<sup>-</sup> PBMCs (n = 8) isolated from UCB. CD133 mRNA is detected in both CD133<sup>+</sup> and CD133<sup>-</sup> HPCs, although at different levels. c-kit mRNA further confirms the HPC identity of the CD34<sup>+</sup>CD133<sup>-</sup> cells. **F.** Left panel: median frequency of HPCs in UCB (5.6 in 1\*10<sup>3</sup> CD45<sup>+</sup> PBMCs) and AB (0.97 in 1\*10<sup>3</sup> CD45<sup>+</sup> PBMCs, significantly lower than in UCB, Z = -4.9; p = 1.00E<sup>-06</sup>). Middle panel: percentage ± SD of the three CD133-based HPC subpopulations in UCB vs. AB. Right panel: RT-PCR confirms higher CD133 expression by total UCB-HPCs than AB-HPCs.

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carefully evaluated since CD105-expressing HPCs (Panel C in Fig 3) that pass the CD45 negative gate may contaminate the CEC population. Notably, KDR yielded the cleanest background on HPCs followed by CD144, and CD146 (Panel C in Fig 3), and the best match (98% vs. only 90% with CD146 and CD144) in CEC-identification (Panel E in Fig 4 and Panel B in S4 Fig).

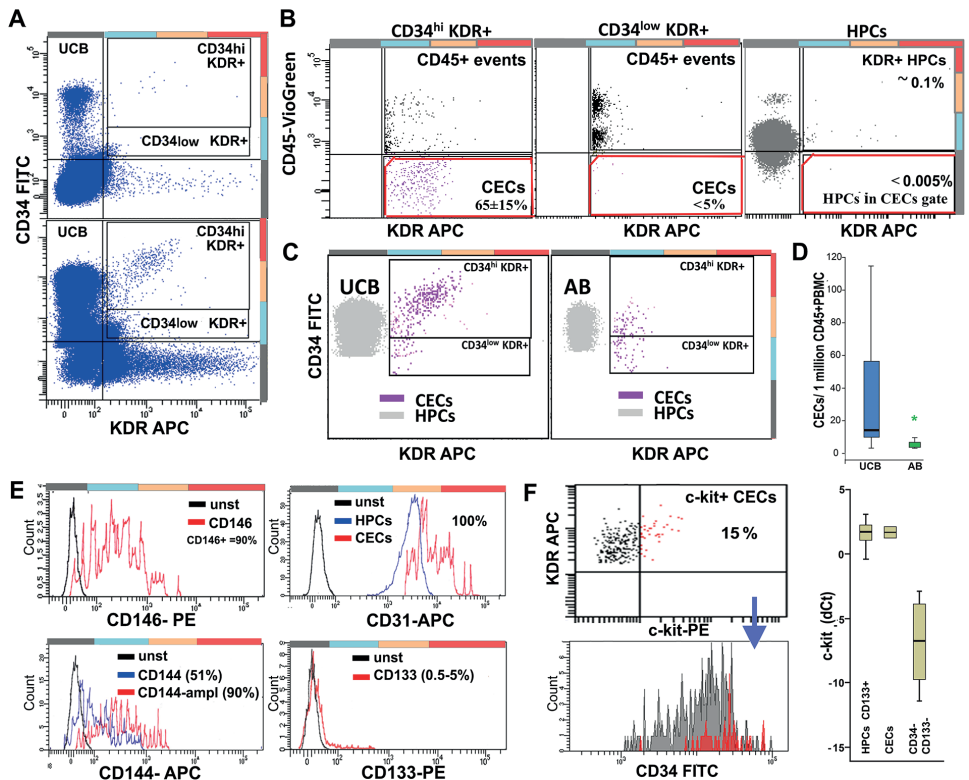


**Fig 3. HPCs: Analysis of c-kit and endothelial markers.** **A.** FACS analysis for c-kit vs. CD133 confirms the PCR results and refines HPC subdivision. In UCB, c-kit medium-high levels were observed in all the CD133-based subpopulations (with the exception of sporadic events). In AB, an additional c-kit<sup>neg-low</sup> cluster was observed within the CD133<sup>high</sup> subpopulation, while the highest c-kit levels were observed on the CD133<sup>neg</sup> cells (see also S3 Fig). **B.** Left: distribution of c-kit<sup>neg-low</sup> cells between the CD133-based subpopulations in AB. Right: quantification of c-kit<sup>neg-low</sup> cells in AB vs UCB. **C.** KDR, CD144, CD146 are sporadically expressed by HPCs. CD105 is dimly expressed but only a fraction of cells crosses the negative gate (8%). The percentages of positive cells are indicated in the plots. **D.** Analysis of KDR vs. CD146 expression in HPCs (UCB): the markers do not match but identify independent subpopulations. For better visualization, we used a UCB sample with high frequency of HPCs and relatively high KDR expression on HPCs (see also Panels D and E in S3 Fig). **E.** Analysis of CD144 vs. CD146 expression in HPCs: the two markers mostly identify independent subpopulations (see also Panel F in S3 Fig).

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RT-PCR confirmed that KDR expression is CEC-specific and absent in HPCs (Panel C in S4 Fig). Because CD31 was expressed at high levels in other cell types, including HPCs, it cannot be considered as a selective marker for CECs. Since CD133 was found to be expressed by few CECs it cannot be regarded as a negative marker for these cells (Panel E in Fig 4). Further subdivision of CECs was obtained by the analysis of c-kit. Around 15% of CECs appeared to be c-kit positive and c-kit expression was associated with higher CD34 and KDR levels. RT-PCR confirmed c-kit expression in CECs. Notably, c-kit mRNA levels were high and comparable to those of HPCs, despite much lower surface protein expression (Panel F in Fig 4), indicative of a discrepancy between mRNA and protein levels or surface expression of this marker.

In order to distinguish nucleated CECs from contaminating non-nucleated events, mainly aggregates of endothelial micro-particles, representative samples were stained with the nuclear dye Hoechst 33342 and all CECs appeared to be nucleated by FACS analysis (Panel A in Fig 5) and post-sorting microscopic inspection. Since CECs are reported to be apoptotic, apoptosis in these cells was assessed by Annexin-V staining. 78 (±4) % of CECs appeared to be apoptotic vs. only 8% of HPCs (Panel B in Fig 5). The pan-caspase inhibitor Z-VAD-FMK, added immediately after blood sampling, did not reduce the apoptotic fraction, which remained on average 80%, indicating that the cells were apoptotic from the time they entered the bloodstream. The expression of c-kit in CECs was associated with lower apoptosis (Panel B in Fig 5).

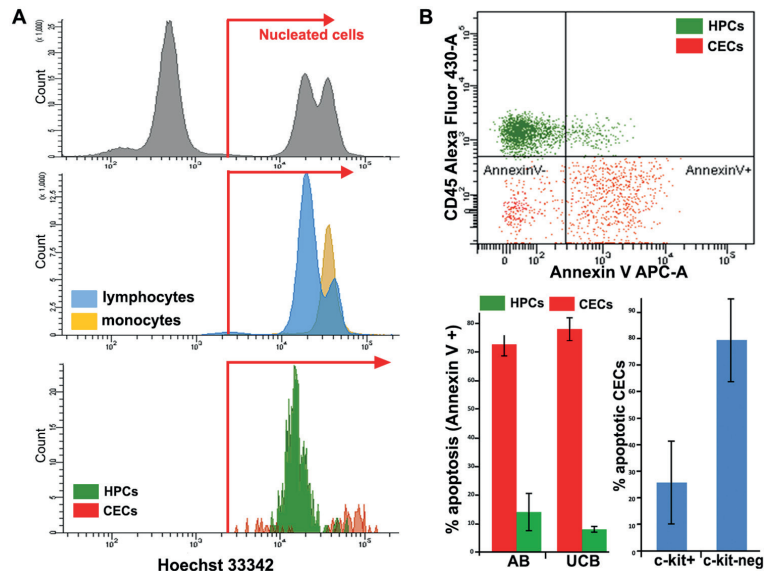


**Fig 4. Identification, characterization, and quantification of CECs.** **A.** Initial selection of CECs (UCB) on a CD34 vs. KDR plot ( $1 \times 10^6$  events) by gating KDR<sup>+</sup> cells at different CD34 levels. Lower panel: the acquisition of large sized samples was reached by discarding triple CD34/CD133/KDR negative events resulting in better visualization of CECs. **B.** Subsequently, true KDR<sup>+</sup>CD45<sup>neg</sup> CECs are selected in a CD45 vs. KDR plot. Most CECs belong to the CD34 high region (left plot), since KDR<sup>+</sup>CD34<sup>low</sup> events are almost entirely CD45<sup>+</sup> (middle plot). Right plot: the CD45 gate applied efficiently discriminates CECs from sporadic KDR<sup>+</sup> HPCs (selected by CD34 and FSC-SSC). **C.** Comparison of UCB-CECs (left plot) and AB-CECs (right plot). For reference: HPCs are shown in gray. In UCB, most CECs cluster at CD34 levels above HPCs and are KDR<sup>med-hi</sup>, while in AB CECs have significantly lower CD34 and KDR levels. **D.** Frequency of CECs in UCB ( $14/10^6$  CD45<sup>+</sup> PBMCs) vs. AB ( $1.9/10^6$  CD45<sup>+</sup> PBMCs). In AB the levels are significantly lower ( $Z = -3.6$ ;  $p = 3.00E-04$ ). **E.** Marker expression by CECs. Upper left: 90% of KDR-selected CECs express CD146. Lower left: 90% of CECs express CD144 but only after signal amplification. Upper right: CD31 is highly positive in CECs, but does not discriminate CECs from HPCs. Lower right: CD133 is expressed by a minority of CECs. **F.** Upper left: Around 15% of the CECs appear positive for c-kit and c-kit positivity correlates with higher KDR and CD34 levels (lower left). Right panel: RT-PCR confirms the expression of c-kit by CECs at levels comparable with HPCs ( $n = 3$ ) despite significantly lower surface expression.

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## Generation and characterization of OECs

Following 2–10 days after seeding unsorted PBMCs, adherent spindle-shaped cells matching the morphologic characteristics of “early EPCs” (eEPCs) [1, 35–37] appeared in both AB and UCB at a similar frequency (Panels A and B in S5 Fig). Following 1–3 weeks of culturing, OECs or “late EPCs” appeared in 5 out of 6 UCB samples and 2 out of 10 AB samples (Panel C in S5 Fig). From UCB also more colonies were generated (20 to 30) than from AB (1 and 10) per PBMC sample corresponding to 10 ml of original blood. The OECs displayed the characteristic cobblestone morphology, expressed von Willebrand factor (S5 Fig) and formed tube-

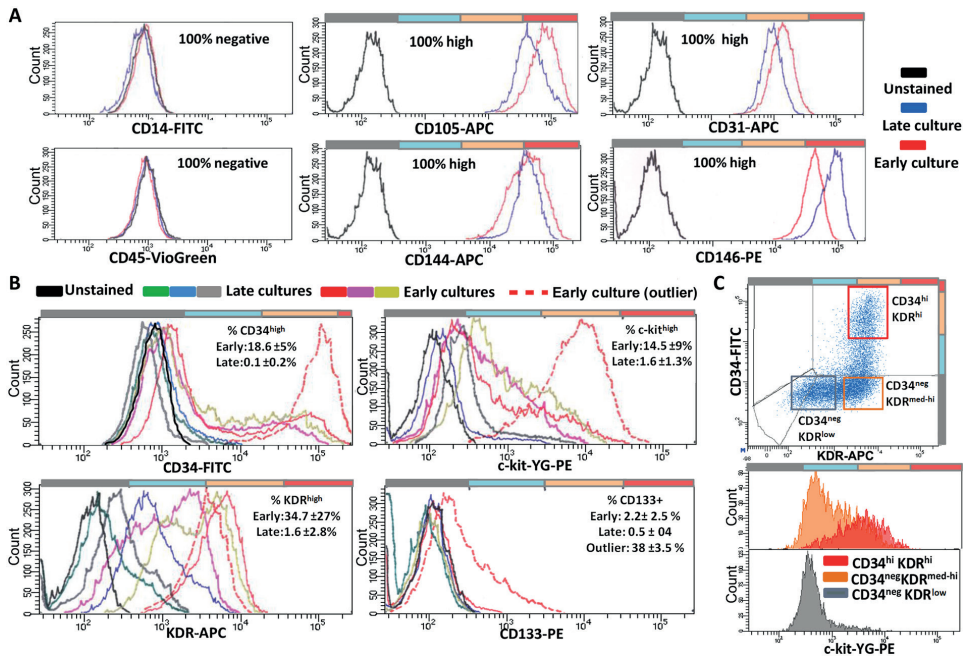


**Fig 5. Definition of nucleated events and apoptosis in CECs and HPCs.** **A.** FACS analysis of live nuclear staining with Hoechst 33342. Upper plot: nucleated events are separated from non-nucleated ones using erythrocytes and mononuclear cells as a reference. Medium plot: lymphocytes and monocytes are 100% nucleated. Lower plot: both CECs (red) and HPCs (green) appear as nucleated cells. CECs show a bimodal distribution with a sub-G1 fraction (probably apoptotic, DNA-fragmented cells). **B.** Upper plot: representative Annexin V staining of CECs (selected using CD34-FITC, CD146-PE, CD45-VioGreen) and HPCs (selected using CD34-FITC and CD45-VioGreen) from UCB. Bottom left: percentages of apoptotic CECs and HPCs in AB and UCB. Bottom right: apoptosis in c-kit+ vs c-kit<sup>neg</sup> CECs.

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like structures after several days. The OECs were harvested and FACS analyzed. HUVECs were used as reference endothelial cells. Both OECs and HUVECs expressed high and stable levels of CD31, CD144, CD146 and CD105 while CD14 and CD45 were not expressed (Panel A in Fig 6 and Panel A in S6 Fig). With the exception of a single outlier, CD133 expression was restricted to  $\leq 5\%$  of the OECs (Panel B in Fig 6), matching the CD133 expression in CECs (Panel E in Fig 4). The levels of c-kit, KDR and CD34 were heterogeneous and correlated positively with each other (Panels B and C in Fig 6 and Panels B and C in S6 Fig). Early passage OECs and HUVECs contained a larger fraction of cells expressing high levels of CD34, KDR and c-kit in comparison to the late passage ones (Panel B in Fig 6 and Panels B and D in S6 Fig).

To investigate whether CECs are the source of OECs, we cultured sorted CECs in parallel with sorted and unsorted total PBMCs, using a larger nozzle (100 $\mu$ m) and lower pressure (20 psi) to reduce cell damage. The sorted cells (both CECs and total PBMCs) did not generate OECs, while unsorted PBMCs did. However, spindle-shaped early EPCs were successfully obtained from sorted CD14<sup>+</sup> cells (Panel B in S5 Fig), or total sorted PBMCs. This confirmed the sound procedure of sample preparation and sorting, and suggested that FACS sorting is too damaging for the vulnerable OEC precursors to generate OECs in culture. No correlation between the yield of OECs and that of eEPCs was noticed in individual samples or in UCB vs.



**Fig 6. FACS analysis of OECs.** FACS analysis of markers with homogeneous/stable (A) and heterogeneous/unstable (B-C) expression in early (passage 2–3) and late (passage >6) OEC cultures. **A.** No signals for CD45 and CD14. Staining for CD105, CD31, CD144, CD146 was homogeneously high and stable. **B.** CD34, KDR, and c-kit expression was heterogeneous and decreased with ageing of the cultures. The expression of CD133 was sporadic, with the exception of a single outlier, and slightly decreased with time in culture. For each marker, three examples of early and late cultures, and the outlier, are shown. **C.** Example of positive correlation (early passage OECs) between CD34, KDR, and c-kit. The markers define a triple high cluster, which is lost with ageing of the culture.

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AB (S5 Fig), confirming that these two cell types have different circulating precursors [7, 22, 25, 36, 37].

## Functional analysis

We analyzed a total of 10 angiogenic factors and receptors (apelin, PDGFβ, PDGFR, SCF, FGF, EGF, EGFR, VEGFA, Tie-1 and Tie-2) by RT-PCR to all distinct EPC subsets and HUVECs. Angiogenic factors appeared to be expressed in all EPC subsets. However, there was variation in the levels of expression of these factors between the various EPC subsets (S7 Fig). The levels of all angiogenic factors and receptors were lowest in HPCs with the exception of EGF. Notably, CECs showed the highest expression of Apelin, PDGFβ, SCF, EGFR, and high expression of Tie-2 as compared to the other cell types. The expressional pattern of OECs and HUVECs almost completely overlapped. Overall, the pattern of OECs was much closer to CECs than to HPCs.

In addition, we added immunohistochemistry for endothelial markers to OECs (vWF, CD31, CD105) (S8 Fig). OECs were positive for all these markers, indicative of their endothelial lineage.



## Discussion

In this study we describe a procedure that allows for efficient identification, quantification, and sorting of HPCs and CECs from peripheral blood samples, and culture-generated OECs. Moreover, we improve the characterization of these populations resulting in, for instance, better definition of subpopulations, and identification of a candidate circulating OEC precursor. By using a Ficoll-based density gradient instead of a standard RBC lysis, significant enrichment for PBMCs was achieved with preservation of the relative frequencies of HPCs and CECs over total PBMCs [38, 39]. Ficoll-enrichment, combined with stringent gating out of residual non-mononuclear cells, dead cells and debris, and accurate selection of markers, yielded clean and pure populations meeting the current standards of state-of-the-art FACS procedures [40]. The procedure significantly reduced the sample size with preservation of the relative frequencies of HPCs and CECs over total PBMCs, allowing for significantly faster analysis and sorting of the target cells. The visualization and quantification of small populations was improved by selecting the events that were positive for the markers of interest to reduce data overload. This allowed recording the equivalent of  $\sim 50 \times 10^6$  CD45<sup>+</sup> PBMCs within  $1 \times 10^6$  events (about 10–25 ml of whole blood). Moreover, by avoiding the use of toxic nuclear dyes the cells remain fully viable for subsequent culture experiments and RNA extraction. By using the outlined protocol we were able to identify HPCs and CECs more accurately, and isolate 200 to 30,000 cells for RT-PCR analysis from rare populations with frequencies as low as  $1/1 \times 10^6$  CD45<sup>+</sup> PBMCs.

## Improved characterization of HPCs

The current definition of HPCs is mainly based on a CD34<sup>+/high</sup>CD45<sup>low</sup> signature with CD133 expression as an additional marker [5, 17, 34]. In the present analysis HPCs were identified as a CD34<sup>+/high</sup>CD45<sup>low</sup> cluster and further cleaned by gating a specific region in FSC/SSC (Panels B and C in Fig 2). Moreover, extending the definition of CD133<sup>+</sup> and CD133<sup>neg</sup> HPCs [17, 34], we propose a subdivision of HPCs in CD133<sup>high</sup>, CD133<sup>low</sup>, and CD133<sup>neg</sup> subpopulations. The HPC-identity of these subpopulations was confirmed by RT-PCR for CD133 and c-kit. By means of additional c-kit staining, HPCs were further subdivided by the demonstration of a c-kit<sup>neg/low</sup> subpopulation within the CD133<sup>high</sup> HPCs that was found only in AB, not UCB. So far, c-kit<sup>neg/low</sup> HPCs have not been identified in human blood samples and were only described as a quiescent population in mice [41]. CD133 or c-kit alone are no markers for the identification of HPCs [42, 43], since they range from negative to high. However, our data show that HPCs cannot be negative for CD133 and c-kit at the same time. Simultaneous staining of CD34<sup>+</sup> cells (common denominator) for CD133 and c-kit leads to very accurate identification of HPCs as CD133<sup>+</sup> and/or c-kit<sup>+</sup> (Panels A and B in Fig 3). Following CD34, CD133, and c-kit-based identification, FSC/SSC and CD45 gating can be applied to further purify the HPCs, in particular to exclude residual doublets with other cell types, and other false positives, mainly contaminating CECs.

In line with previous data [1, 27, 44], the frequency of HPCs was significantly higher in UCB than in AB. We observed a prevalence of CD133<sup>bright</sup> HPCs in UCB vs. AB. This finding fits with the notion that CD133<sup>high</sup> HPCs are more primitive stem cells serving functions in fetal and post-natal development [43, 45, 46]. We also found positive correlation of CD45 and CD34 expression with CD133 levels, and a negative correlation between c-kit and CD133 levels (S3 Fig). Analysis of the endothelial markers KDR, CD146, CD144, CD105 and CD31 lead to an estimation of the risk of HPC contamination of the CEC population using each single marker. In addition, the endothelial markers highlighted the presence of potentially interesting and rare subpopulations of HPCs like the KDR<sup>+</sup> HPCs subpopulation that was described by Case et al. [5], and considered as a distinct EPC population by other authors [1, 8, 27–30]. We

show that the percentage of HPCs expressing endothelial markers (KDR, CD146, CD144, CD105) varies significantly and depends on the marker used. We also show that, with the exception of a few sporadic events, the markers are not concurrently expressed (Panels D and E in Fig 3 and Panels D-F in S3 Fig). Therefore, these HPCs expressing endothelial markers represent heterogeneous subpopulations requiring further exploration. Taken together, better identification of HPCs over the previous  $CD34^{+}/^{high}CD45^{dim}$  based protocol was reached and better subdivision was achieved by means of a combined CD34, CD133, and c-kit staining.

## Identification and characterization of CECs

In addition to the current criteria used for CEC-identification, we aimed at a more precise characterization of these cells, delineation of sub-populations, and sorting for RT-PCR and culture. Since CECs are generally identified as nucleated  $CD45^{neg}CD34^{+}$  events that additionally express an endothelial marker, i.e., CD146 or KDR [18, 20, 21, 23], we used the  $CD34^{+}/^{high}KDR^{+}CD45^{-}$  profile as a common denominator (Panels A and B in Fig 4). KDR-based CEC identification was confirmed by CD146, CD105 and CD144 staining and by RT-PCR for these markers (Panel E in Fig 4 and Panel C in S4 Fig). Since a single coherent population of CECs was defined by using the endothelial markers, they may substitute KDR in the identification CECs. However, staining for KDR yields the lowest background on HPCs and the best cross-matching score and therefore, is regarded as a marker of first choice, followed by CD146 and CD144 (Panel E in Fig 4 and Panels A and B in S4 Fig). The quality of CD45 staining/gating was crucial for discriminating CECs from contaminating cells, mainly  $CD45^{low}$ HPCs sporadically expressing KDR, CD146, CD144, and potentially crossing the  $CD45^{neg}$  gate (Panel B in Fig 4 and Panel A in S4 Fig). Notably, all the CECs identified by the current procedure were nucleated (Panel A in Fig 5), i.e. not contaminated by (endothelial) micro-particle aggregates and therefore, the use of cell-permeant nuclear dyes like Hoechst or DRAQ5 was not necessary [20, 47, 48]. By avoiding the use of bright and toxic nuclear dyes a fluorescent channel is saved and spillover to other channels minimized, thereby improving the capacity of the detection channels and the sensitivity of the analysis. Moreover, the viability of the cells is well preserved for subsequent culture and RNA extraction.

Besides confirming higher frequency of CECs in UCB vs. AB [1, 7, 21, 22, 32, 44], we found a major difference between CECs in AB as compared to UCB. The CECs in UCB express significantly higher levels of CD34 and KDR (Panel C in Fig 4). Moreover, by means of Annexin-V staining we identified two main subsets of CECs: a viable fraction of ~20%, and an apoptotic fraction of ~80%, equally present in AB and UCB (Panel B in Fig 5). In addition, a c-kit<sup>+</sup> subset, expressing higher levels of KDR and CD34, was identified and found to be mainly present in the viable fraction of CECs (Panel F in Fig 4 and Panel B in Fig 5). This subset may well contain OEC-precursor cells. The angiogenic capacities of the CECs were further strengthened by the expression of angiogenic factors (S7 Fig).

## Characterization of OECs and prediction of their circulating precursor

The characterization of OECs was improved by various combinations of markers. In addition, comparing early vs. late cultures evidenced the alterations occurring with aging of the cultures and led to the definition of homogeneously high and stable markers (CD146, CD144, CD105, CD31) on the one hand, and heterogeneous and unstable ones on the other (KDR, CD34, c-kit, CD133). The comparisons also enabled us to trace back the OEC precursors to c-kit<sup>+</sup> CECs. By combining FACS populations and RT-PCR data we found overlap in the expression of KDR, CD146, CD105, CD144, and CD31 by OECs/HUVECs and CECs. Surface expression of the markers was generally lower in CECs than in OECs/HUVECs. This may be explained by

the fact that OECs/HUVECs are derived from *in vitro* cultures, while CECs are *in vivo* single circulating cells, exposed to different environmental stimuli (lacking, for instance, the adhesion to other endothelial cells, and exposed to the blood microenvironment) and subjected to a potentially stressful purification procedure. Interestingly, the stem cell-marker c-kit was expressed by a significant fraction of early passage OECs and HUVECs and positively associated with KDR and CD34 levels.  $CD34^{\text{high}}KDR^{\text{high}}c\text{-kit}^{\text{high}}$  cells decreased with passaging in culture (Panels B and C in Fig 5 and Panels B-D in S6 Fig). This finding points to a triple  $+/\text{high}$  phenotype ( $CD34^{+/\text{high}}KDR^{+/\text{high}}c\text{-kit}^{+/\text{high}}$ ), which is lost with aging in culture, as the progenitor/founder of both cell types [24, 49]. This view is supported by the recently described  $c\text{-kit}^{+}KDR^{+}CD105^{+}CD45^{-}$  'vascular endothelial stem cells' (VESC)s in the blood vessels of adult mice, which are capable of many cycles of replication and to generate functional blood vessels *in vitro* [50]. Notably, the  $CD34^{+/\text{high}}KDR^{+/\text{high}}c\text{-kit}^{+/\text{high}}$  phenotype that we trace back as potential OEC precursor well matches the viable fraction of CECs expressing c-kit and higher levels of KDR and CD34 (Panel F in Fig 4 and Panel B in Fig 5).

The current opinion on the origin of CECs is that these cells are mostly apoptotic, mature endothelial cells without progenitor potential, shed from damaged blood vessels [14, 30, 51]. The present data, however, show that about 20% of circulating CECs are viable and express c-kit (Panel F in Fig 4 and Panel B in Fig 5). The high expression of angiogenic factors by CECs confirmed that these cells contain a fraction potentially contributing to neovascularization and *in vitro* generation of OECs. Unfortunately, we were unable to directly derive OECs from FACS-sorted CECs. These results are in line with results explicitly published by others [23] and with the (implicit) evidence that OECs are reported to be generated from unsorted or immuno-magnetic-bead-sorted PBMC fractions, not FACS-sorted ones [1, 7, 22–26]. The present data, pointing to viable CECs expressing c-kit as OEC precursors, are in line with data obtained from cells purified by antibody-coated beads suggesting that the OEC-founder cells do not originate from bone marrow and express CD34 and CD146, but not CD45 or CD133, a pattern compatible with CECs [7, 22, 23]. The significant higher yield of OECs from UCB (containing more CECs than AB) than from AB further corroborates the view that OECs stem from CECs.

## Conclusions

In conclusion, the present FACS-based analysis yields optimal identification, characterization and sub-division of cell populations meeting the current criteria for HPCs, CECs and OECs, and enabled us to propose that the circulating OEC precursors may consist of viable  $c\text{-kit}^{+}$  CECs.

## Supporting information

### S1 Fig. Comparison of the isolated cell fractions between Ficoll vs. RBC treatment.

**A.** Overview of the distribution of FACS events in the main regions/populations of interest in Ficoll vs. RBC lysis preparations. Besides differences in the mononuclear vs. non-mononuclear regions, a lower amount of small particles and dead cells was observed in the Ficoll samples.

**B.** Percentages of white blood cells in the samples treated with RBC lysis buffer. The distribution matches the expected frequencies. **C.** The standard mononuclear gate used encompasses endothelial cells of all sizes including large OECs and BFA (Bovine Foetal Aortic, from CLS). (TIF)

### S2 Fig. Fluorescence minus one (FMO) and isotype controls.

(TIF)

**S3 Fig. Characterization of HPCs.** Histograms representative of CD34 (A) CD45 (B), and c-kit (C) levels (measured as median fluorescence intensity, MFI) in different HPC subpopulations from UCB and AB. CD34 levels are higher in CD133<sup>high</sup> HPCs, independently of c-kit levels. CD45 levels are also positively correlated with CD133 expression, and independent of c-kit levels. The highest c-kit levels are in the CD133<sup>neg</sup> HPCs. D-E. Additional details relative to KDR vs. CD146 expression in HPCs (see also Panel D in Fig 3). In (E) for each KDR/CD146-based HPC-subpopulation, the frequency vs. total HPCs and total PBMCs (upper panels) is reported. FSC/SSC (medium panels) and CD45 (lower panels) based identification is also shown to confirm HPC identity. FSC/SSC analysis is from CD34+CD45dim selected HPCs, CD45 analysis is from CD34+ FSC/SSC selected HPCs. (F) Additional details relative to CD144 vs. CD146 expression in HPCs, (see also Panel E in Fig 3). The frequency of each subpopulation vs. HPCs and total PBMCs is indicated. (TIF)

**S4 Fig. Identification and characterization of CECs.** A. Identification of CECs using a CD34 vs. CD146 plot, and subsequent CD45 discrimination. The resulting population is pure and not contaminated by HPCs (red, right plot). B. Left: KDR expression in CD34/CD146 selected CECs indicated >98% matching. Right: CD105 expression in CD34/CD146 selected CECs shows significant positivity (>50%). C. KDR expression is confirmed at mRNA level by RT-PCR in CECs. HPCs are negative. (TIF)

**S5 Fig. Images of eEPCs and OECs.** A. Upper: AB derived eEPCs (total PBMCs, unsorted); lower: UCB derived eEPCs (total PBMCs, unsorted). B. eEPCs enriched by sorting out the CD14<sup>+</sup> fraction from AB-PBMCs ( $0.5 \times 10^6$  CD14<sup>+</sup> events/cm<sup>2</sup>, 1 week culture). C. UCB derived OECs with their typical cobblestone morphology (upper panel: early OEC colony; middle panel: confluent OECs). (TIF)

**S6 Fig. FACS analysis of HUVECs and OECs.** A. CD45 and CD14 were invariably 100% negative in HUVECs. CD146, CD144, CD105 were 100% highly expressed and stable. CD133<sup>+</sup> cells were sporadic ( $0.8 \pm 0.7\%$ ). B. CD34, c-kit, and KDR were heterogeneously expressed, with higher levels in early (passage 2–4) compared with late cultures (passage  $\geq 10$ ). C. Positive correlation between CD34, KDR and c-kit in early HUVEC. D. Quantification of CD34, KDR, and c-kit high cells in early vs. late cultures of OECs and HUVECs. (TIF)

**S7 Fig. RT-PCR results to angiogenic factors and receptors in the EPC subsets.** A. Bar diagrams of expressional levels of the genes measured. (mean values and standard deviations). B. Differential expressed genes between HPCs and CECs calculated based on two-tailed T-test ( $Z$  = standard deviation). C. Differential expressed genes between HPCs and OECs calculated based on two-tailed T-test ( $Z$  = standard deviation). (TIF)

**S8 Fig. Immunohistochemistry of endothelial markers in OECs.** vWF = von Willebrand Factor. (TIF)

**S1 Table.** Upper Left panel: FACS settings used. Upper Right panel: list of the antibodies used for co-expression analysis in HPCs, CECs and OECs. Details on the staining procedure are reported in Methods. Lower panel: primers used for RT-PCR and relative product size.

HPRT1 was used low expressed housekeeping gene, B2M as highly expressed housekeeping gene. Additional details are in Methods. (DOCX)

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## Author Contributions

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## CHAPTER 3

# **CIRCULATING PROANGIOGENIC CELLS AND PROTEINS IN PATIENTS WITH GLIOMA AND ACUTE MYOCARDIAL INFARCTION:**

*Differences in  
Neovascularization  
between Neoplasia and  
Tissue Regeneration*





## Research Article

# Circulating Proangiogenic Cells and Proteins in Patients with Glioma and Acute Myocardial Infarction: Differences in Neovascularization between Neoplasia and Tissue Regeneration

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Although extensive angiogenesis takes place in glial tumors, antiangiogenic therapies have remained without the expected success. In the peripheral circulation of glioma patients, increased numbers of endothelial precursor cells (EPCs) are present, potentially offering targets for antiangiogenic therapy. However, for an antiangiogenic therapy to be successful, the therapy should specifically target glioma-related EPC subsets and secreted factors only. Here, we compared the EPC subsets and plasma factors in the peripheral circulation of patients with gliomas to acute myocardial infarctions. We investigated the five most important EPC subsets and 21 angiogenesis-related plasma factors in peripheral blood samples of 29 patients with glioma, 14 patients with myocardial infarction, and 20 healthy people as controls, by FACS and Luminex assay. In GBM patients, all EPC subsets were elevated as compared to healthy subjects. In addition, HPC and KDR<sup>+</sup> cell fractions were higher than in MI, while CD133<sup>+</sup> and KDR<sup>+</sup>CD133<sup>+</sup> cell fractions were lower. There were differences in relative EPC fractions between the groups: KDR<sup>+</sup> cells were the largest fraction in GBM, while CD133<sup>+</sup> cells were the largest fraction in MI. An increase in glioma malignancy grade coincided with an increase in the KDR<sup>+</sup> fraction, while the CD133<sup>+</sup> cell fraction decreased relatively. Most plasma angiogenic factors were higher in GBM than in MI patients. In both MI and GBM, the ratio of CD133<sup>+</sup> HPCs correlated significantly with elevated levels of MMP9. In the GBM patients, MMP9 correlated strongly with levels of all HPCs. In conclusion, the data demonstrate that EPC traffic in patients with glioma, representing neoplasia, is different from that in myocardial infarction, representing tissue regeneration. Glioma patients may benefit from therapies aimed at lowering KDR<sup>+</sup> cells and HPCs.

## 1. Introduction

Although gliomas are among the most vascularized tumors, results of antiangiogenic therapies have been disappointing [1]. Antiangiogenic drugs like Bevacizumab act against VEGF and address sprouting angiogenesis (i.e., the formation of new branches from existing blood vessels). There are various reasons why VEGF blockers like Bevacizumab fail in stopping tumor progression. One reason is that these drugs act against a single step in the complex process of neovascularization that can be compensated for by employing alternative routes of vessel formation [2]. Simultaneously, targeting these alternative routes may result in more successful antiangiogenic therapeutic strategies. Apart from sprouting angiogenesis,

circulating endothelial progenitor cells (EPCs) stimulate neovascularization by vasculogenesis, i.e., de novo formation of blood vessels [3–6]. Although these circulating cells are interesting targets for antiangiogenic strategies, there are only scarce data on their frequencies in glioma patients [7]. Since EPCs are involved in physiological tissue repair, therapeutic interventions should ideally not intervene with the normal function of EPCs. EPCs are mobilized by factors secreted by ischemic or neoplastic tissues [8]. Chemoattractants guide EPCs to their target tissues, where they exit from blood vessels and fuel angiogenesis by secreting proangiogenic factors. A subset of EPCs differentiates into endothelial cells and becomes part of the vessel wall [9].

EPCs aid significantly in physiologic tissue regeneration [4]. Following acute myocardial infarction (MI) for instance, EPC subsets are mobilized by the release of proangiogenic factors and chemoattractants [10–13]. HPCs and CD133<sup>+</sup> cells are engaged in tissue repair following the acute stage of MI [14–16]. In cancer, EPCs participate in tumor vascularization [17, 18], are associated with tumor progression [19], and may diminish the effects of chemotherapy, while blood EPC levels correlate negatively with survival [20]. In the peripheral circulation of both acute MI and (high grade) glioma patients, increased levels of circulating EPCs were demonstrated [7]. While various circulating EPC subsets were studied in the context of MI, limited studies concerning glioma patients are available [7, 21]. Moreover, there are only scarce data correlating the frequency of circulating EPC subsets and the levels of neovascularization-related plasma factors in situations of tissue regeneration and neoplasia [22, 23].

In the present study, we aimed to find new targets for antiangiogenic strategies for glioma patients that would minimally interfere with normal tissue repair. To that aim, we compared the frequency of circulating EPC subsets and plasma levels of a set of chemoattractants, mobilization factors, and angiogenic factors involved in neovascularization in patients with glioma and in patients who suffered from a recent MI. The reason we chose patient with MI to represent the EPC response in acute ischemic tissue repair is the availability of ample literature showing a significant increase in circulating EPCs in this group of patients. We considered including patients with ischemic stroke as a model for EPC response in acute ischemia, but since the literature is much less abundant in this patient group, and since the EPC response in ischemic stroke patients is not unequivocally elevated [7, 24], we decided against this. Blood from healthy adults was used as control. We used an optimized, highly sensitive four-marker-based FACS protocol, allowing for the accurate determination of the EPC subsets [25]. We investigated the frequencies of HPCs (CD34<sup>+</sup>CD133<sup>+/−</sup>CD45<sup>dim</sup>), KDR<sup>+</sup> cells (KDR<sup>+</sup>CD34<sup>−</sup>CD133<sup>−</sup>), CD133<sup>+</sup> cells (CD133<sup>+</sup>CD34<sup>−</sup>KDR<sup>−</sup>), KDR<sup>+</sup>CD133<sup>+</sup> cells (KDR<sup>+</sup>CD133<sup>+</sup>CD34<sup>−</sup>), and circulating endothelial cells (CECs; CD34<sup>bright</sup>KDR<sup>+</sup>CD45<sup>−</sup>CD133<sup>−</sup>).

In addition, we distinguished between CD133<sup>bright</sup> HPCs, a more primitive phenotype of HPCs that is linked with higher proangiogenic capacity [23, 26, 27], and CD133<sup>−</sup> HPCs [11].

## 2. Material & Methods

This study was approved by the Medical Ethics Committee of the Erasmus Medical Center, Rotterdam, The Netherlands (MEC-2011-313), and performed in adherence to the Code of Conduct of the Federation of Medical Scientific Societies in the Netherlands (<http://www.federa.org/codes-conduct>).

**2.1. Blood Sampling and Handling.** Based on a previous study from our group, we anticipated to require between 10 and 25 subjects in each patient and control group to determine statistically significant changes in the frequency of circulating

EPC subsets [7]. Since our current study uses more stringent inclusion criteria (treatment-naïve patients with a new diagnosis of glioblastoma, grade II/III astrocytoma, myocardial infarction patients within 1–10 days after acute myocardial infarction) and a much more advanced and fine-tuned FACS protocol [25], we expected that fewer inclusion would suffice to determine statistically significant changes between EPC subsets. For this reason, we aimed to include between 10 and 20 patients in each group of patients and controls.

Blood samples of treatment-naïve patients with radiologically suspected first-episode malignant intracranial tumors were obtained from the Department of Neurosurgery, Erasmus MC. The blood was sampled prior to (diagnostic) surgery and chemo- or radiotherapy. Only patients with a histologically confirmed diagnosis of glioma were included in the current study. In retrospect, out of 38 patients with radiologically suspect malignant intracranial tumors included for FACS analysis, 20 patients received a definitive diagnosis of glioblastoma (GBM), 5 patients of astrocytoma grade II/III (AII/III). Nine patients were diagnosed with brain metastases and 4 patients with various other diagnoses (these 13 patients were excluded from our study). One GBM patient was excluded because of radiotherapy prior to blood sampling and surgery, and one GBM patient was excluded due to technical problems during FACS analysis.

We chose not to group together astrocytoma grade II/III and glioblastoma patients due to the differences between these tumor entities in neovascularization. While in astrocytoma neovascularization is not or only modestly increased and blood vessels are histologically largely similar to normal blood vessels, in glioblastoma there is an extremely high density of blood vessels (up to the point that glioblastomas are among the most vascularized solid tumors), which are haphazardly organized and histologically anomalous (“microvascular proliferation”). We expected that because of this: we could find large differences in the role and frequency of EPCs in the circulation of astrocytoma and glioblastoma patients.

Blood samples from patients who had suffered a recent MI (1–10 days prior to sampling) were received from the Department of Cardiology/Thoracic Center, Erasmus MC. Blood samples from healthy blood donors were obtained via the Sanquin Blood Bank. Age and sex distributions are shown in Tables 1(a) and 1(b). A total of 84 blood samples were included (70 were used for the analysis of chemoattractants and proangiogenic factors and 57 for FACS analysis of EPC subsets). For 43 of the patients, both FACS analysis and plasma marker analysis were carried out. For FACS analysis, we finally included blood samples of 14 MI patients, 18 GBM patients, 5 AII/III patients, and 20 healthy controls (HC). The mean age of GBM patients was 66 years, for MI patients 60 years, and for HC 54 years. GBM patients were significantly older than patients with AII/III (mean ages 66 vs. 45, respectively) reflecting the characteristic age distribution for patients with these tumors.

For each subject, 12–30 ml of venous EDTA blood (BD vacutainer) was collected. Two ml of whole blood was immediately centrifuged at 400 rcf for 10 minutes to isolate platelet-rich plasma (PRP). Next, PRP was centrifuged at 3,000 rcf for 15 minutes. Platelet-poor plasma (PPP) was

TABLE 1: Blood samples used for (a) FACS analysis and (b) Luminex analysis. A total of 84 blood samples were included in the study. For 43 of these samples, both FACS and Luminex analyses were done.

(a)						
FACS				Age		Sex
Samples	N	Mean	SD	Minimum	Maximum	m/f
HC	20	54	12	22	69	15/5
MI	14	60	11	38	77	11/3
AII/III	5	45	11	32	58	0/5
GBM	18	66	10	45	79	9/9
Total	57					
(b)						
Luminex				Age		Sex
Samples	N	Mean	SD	Minimum	Maximum	m/f
HC	20	59	7	38	69	15/5
MI	14	60	11	38	77	11/3
AII/III	7	53	12	35	69	2/5
GBM	29	65	9	45	81	16/13
Total	70					

isolated and stored at -80°C. Blood samples were stored at room temperature in the dark no longer than 18 hours before further FACS analysis.

**2.2. FACS Analysis.** HPCs and CECs were analyzed by FACS as described before [25]. Additional gates were set to identify KDR<sup>+</sup>CD34<sup>-</sup>CD133<sup>-</sup> cells, CD133<sup>+</sup>CD34<sup>-</sup> KDR<sup>-</sup> cells, and KDR<sup>+</sup>CD133<sup>+</sup>CD34<sup>-</sup> cells. In brief, peripheral blood mononuclear cells (PBMCs) were isolated from whole blood using Ficoll Paque plus (GE Healthcare). PBMCs were incubated with 10% mouse serum on ice to block aspecific antibody binding. CD34-FITC (Southern Biotech), CD133-PE (MACS Miltenyi), KDR-APC (MACS Miltenyi), and CD45-VioGreen (MACS Miltenyi) were used to stain PBMCs. Cells were washed twice to remove excess antibody and resuspended in FACS sorting buffer (PBS+10% BSA). Hoechst was used as viability dye to exclude dead cells from the analysis. For FACS analysis, we used the BD FACS Aria III. For the initial setup, we analyzed positive control samples using fluorescence minus one as well as isotype controls for every antibody used. We acquired the equivalent of 10-50\*10<sup>6</sup> PBMCs in each analysis using our previously published strategy for the detection of rare cells [25]. We gated the following populations: CD34<sup>+</sup>CD133<sup>+/−</sup>CD45<sup>dim</sup> cells (HPCs), which we subdivided into CD133<sup>negative</sup>, CD133<sup>dim</sup>, and CD133<sup>bright</sup> subpopulations. In addition, CD34<sup>bright</sup>KDR<sup>+</sup>CD45<sup>-</sup>CD133<sup>-</sup> cells (CECs) were gated as described in detail in [25]. In addition, CD133<sup>+</sup> cells (gated as CD34<sup>-</sup> and KDR<sup>-</sup>), KDR<sup>+</sup> cells (gated as CD133<sup>-</sup> and CD34<sup>-</sup>), and KDR<sup>+</sup>CD133<sup>+</sup> cells (gated as CD34<sup>-</sup>) were analyzed (setup and gating strategy similar to [25]). To quantify subtypes of EPCs, each population was represented as absolute cell numbers in 1\*10<sup>6</sup> CD45<sup>+</sup> PBMCs. The nonparametric Mann–Whitney U test

(SPSS version 24) was used to analyze differences between the groups. Extreme outliers were excluded from the analysis (Figure 1).

**2.3. Measuring Plasma Chemoattractants and Angiogenic Factors.** The concentrations of 21 plasma factors related to EPC biology and neovascularization were measured. The plasma factors were selected based on their key functions in EPC-mediated neovascularization: mobilization and chemotactic factors (CXCL12, CSF2, and CSF3), de-adhesion and invasion factors (MMP2, MMP9), and proangiogenic factors/microenvironment regulators (VEGFA, KITL, vWF, EGF, FGFb, EPO, Ang2, Ang1, BDNF, VCAM1, PDGFB, tenascin-c, periostin, HGF, and PGF) [22, 28–33]. The angiogenic factors either directly stimulate angiogenesis or represent regulators of angiogenesis like MMP-2, MMP-9, tenascin-c, and periostin that aid in generating a microenvironment favoring neovascularization. The functional delineations are, however, not strict and there is extensive overlap in functions of the factors. The plasma factors were measured in PPP using 3 different custom-mixed magnetic bead-based MAGPIX®-Luminex assays from R&D (see Additional File 1). Analyses were performed on PPP, diluted as recommended by the company (R&D Systems, Abingdon, UK). Because of low concentrations, the levels of CSF2, CSF3, vWF, VEGF, EGF, and CXCL12 were measured by their raw mean fluorescence intensity (MFI) values. In order to determine whether using MFI values yielded reliable statistical results, we compared calculated concentrations of markers with a high concentration, with their corresponding MFI values. This yielded identical statistical results. In addition, the results of the low concentration markers (using their MFI values) fit with preexisting literature [34]. Therefore, the MFI values of these markers were added to the data set. The nonparametric Mann–Whitney U test (SPSS version 24) was used to analyze differences between the groups.

**2.4. Correlating Plasma Factors with EPC Frequencies in GBM and MI.** To determine if the levels of chemoattractants and mobilization factors were related to EPC and CEC levels, we conducted correlation analyses. Since the frequencies of EPCs display a non-Gaussian distribution and since the correlation between EPC frequencies and plasma factors proved to be nonlinear, we used Spearman’s rho to calculate correlation coefficients.

3. Results

**3.1. EPC Absolute Frequencies.** In all groups HPCs, KDR<sup>+</sup> and CD133<sup>+</sup> cells represented the majority of circulating EPCs (Figure 2). In patients with GBM and acute MI, all EPC subsets were higher as compared to HC, except for the HPC fraction in MI (Figures 1 and 3). In GBM patients, KDR<sup>+</sup> (Z=-2.0; p=0.04) and HPC levels (Z=-1.6; p=0.12) were higher as compared to those in MI patients, while in MI patients CD133<sup>+</sup> (Z=-1.3; p=0.19) and KDR<sup>+</sup>CD133<sup>+</sup> (Z=-2.0; p=0.02) levels exceeded those in GBM patients.

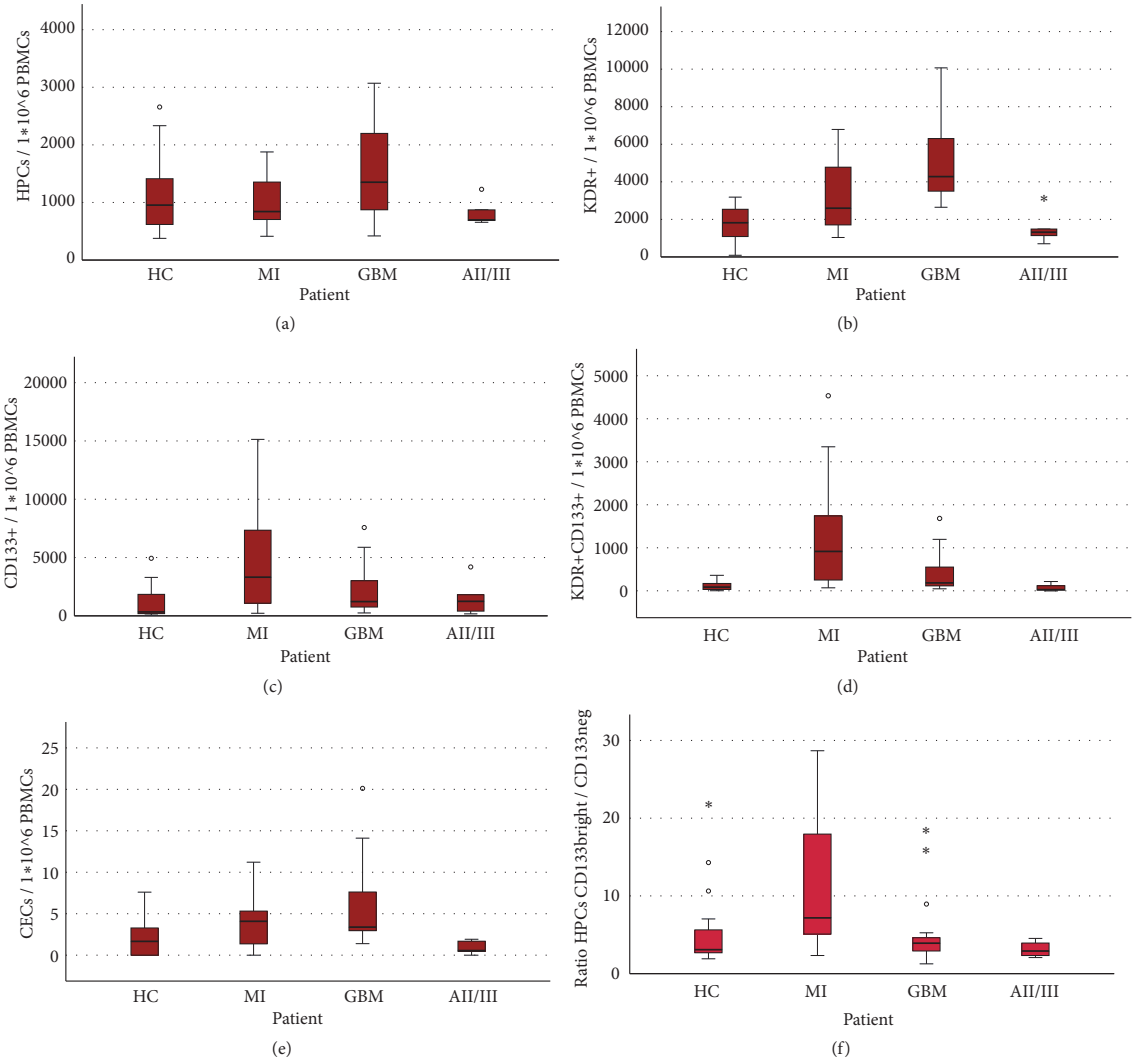


FIGURE 1: The frequencies of EPCs in patients included in the study. Boxplots of frequencies of EPCs (absolute amount in  $1 \times 10^6$  PBMCs). Extreme outliers have been excluded from the graphs (extreme outliers excluded: HPCs: 2 (1 MI, 1 GBM); KDR+: 6 (3 MI, 3 HC); CD133+: 2 (1 MI, 1 GBM); KDR+CD133+: 1 GBM). (a) HPC levels are the highest in GBM patients. Levels are similar in HC and AII/III patients. (b) KDR<sup>+</sup> levels are the highest in GBM and increased in MI patients. Levels are similar in AII/III and HC. (c) CD133<sup>+</sup> cells are the highest in MI patients and elevated in GBM patients. Levels are similar in AII/III and HC. (d) KDR<sup>+</sup>CD133<sup>+</sup> cells are the highest in MI patients and elevated in GBM patients. Levels are similar in AII/III and HC. (e) CECs are elevated in both MI and GBM patients. They are indistinguishable between HC and AII/III. (f) The ratio of CD133<sup>bright</sup>/CD133<sup>neg</sup> HPCs is highest in MI patients.

**3.2. EPC Relative Fractions.** The relative fractions of the EPCs differed in the groups (Figure 2). In GBM, the largest fraction of EPCs was KDR<sup>+</sup> (57%), while in MI patients the largest fraction was CD133<sup>+</sup> cells (43%). In addition, in GBM, the HPC fraction was twice as big as in MI, while in MI, the KDR<sup>+</sup>CD133<sup>+</sup> fraction was three times larger than in GBM patients. The relative fractions of EPCs in HC were similar to those in GBM. However, the absolute

numbers of circulating EPCs are significantly elevated in GBM patients (Figure 1). Noticeably, absolute levels of EPCs in AII/III patients were comparable to HC, while the relative distribution of EPC subsets was very different: in AII/III the fraction of CD133<sup>+</sup> cells was significantly larger and that of HPCs was significantly smaller than in HC. Comparing AII/III with GBM, we found the KDR<sup>+</sup> fraction increased along with malignancy grade from 40% in AII/III to 57% in

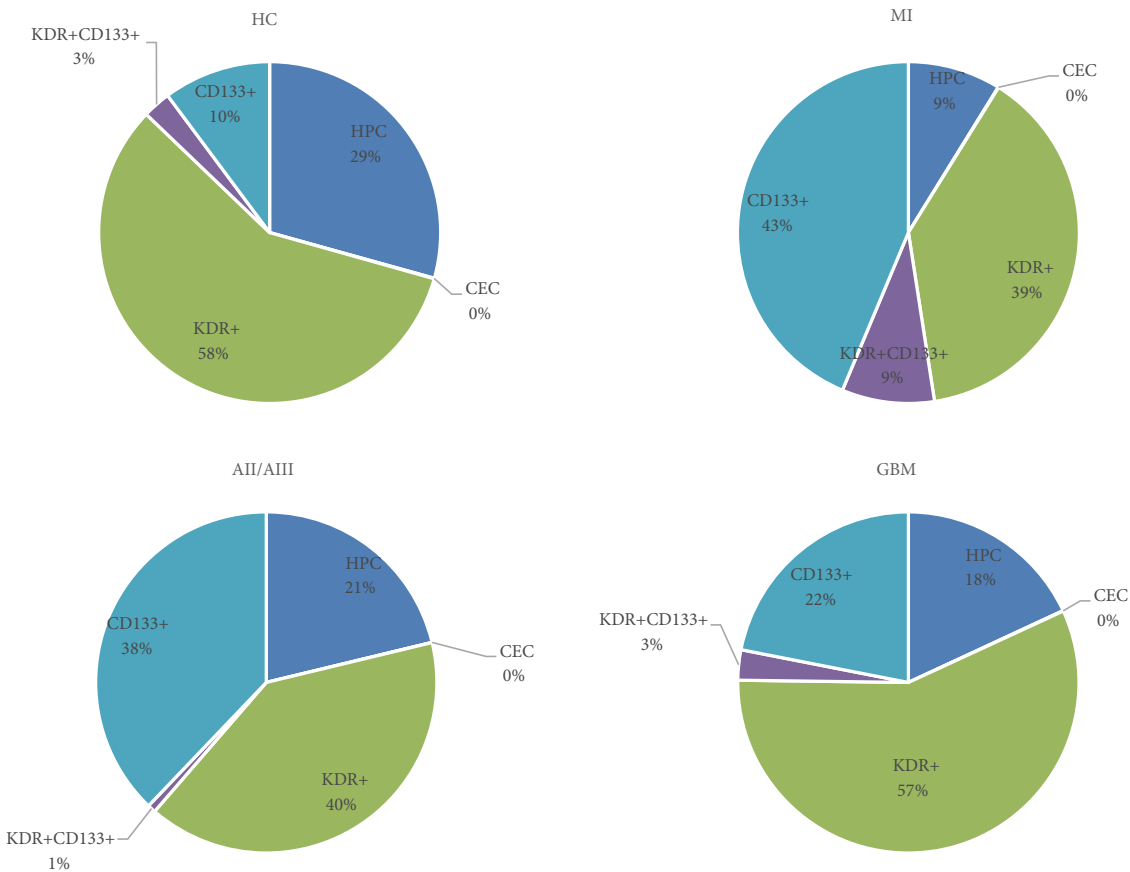


FIGURE 2: Relative percentages of EPCs. Relative percentages of EPCs (median values) by patient group are shown in pie charts.

GBM. The CD133<sup>+</sup> cell fraction decreased from 38% in AII/III to 22% in GBM.

The KDR<sup>+</sup>CD133<sup>+</sup> fraction in all groups was relatively small (for all groups below 10%) and CECs were the smallest population, with percentages below 1% for all groups.

**3.3. Plasma Factors.** There were considerable differences in the concentrations of the various plasma factors between the groups (Figure 4). Both in GBM and MI patients, the factors MMP9, HGF, and vWF were elevated in plasma relative to HC. VCAMI was specifically elevated in GBM, while angiogenin and tenascin-c were specifically elevated in MI, relative to HC. Nine factors were higher in HC than in MI patients and only one factor, CXCL12, was higher in HC than in GBM patients. Most plasma angiogenic factors were higher in GBM than in MI patients. Ang2 and angiogenin levels were higher in MI patients compared to GBM, while CSF2, CSF3, FGFb, EPO, PDGFBB, Ang1, and the ratio Ang1/Ang2 were all higher in GBM than in MI patients. Interestingly, the concentrations of plasma

factors in AII/III patients were indistinguishable from HC, except for CXCL12, which was decreased in AII/III. See Figure 4.

**3.4. Correlations Between Plasma Factors and EPC Frequencies in GBM and MI.** The Spearman correlations between EPC subpopulations and plasma factors in patients with gliomas, MI, and HC are shown in Additional File 2. In GBM patients, MMP9 correlated strongly with HPC levels ( $\rho=0.62$ ;  $p=0.03$ ) and KDR<sup>+</sup> levels correlated with VCAMI plasma concentration ( $\rho=0.64$ ;  $p=0.04$ ). In MI patients, HPC levels correlated negatively with plasma concentrations of CSF3 ( $\rho=-0.76$ ;  $p=0.002$ ), VEGFA ( $\rho=-0.56$ ;  $p=0.04$ ), and PGF ( $\rho=-0.61$ ;  $p=0.02$ ). CD133<sup>+</sup> levels correlated negatively with MMP2 plasma concentration ( $\rho=-0.59$ ;  $p=0.03$ ), while tenascin-c concentration correlated positively with both KDR<sup>+</sup>CD133<sup>+</sup> levels ( $\rho=0.60$ ;  $p=0.03$ ) and CD133<sup>+</sup> levels ( $\rho=0.57$ ;  $p=0.03$ ). Significant correlations for GBM and MI are shown in Figure 5.



GBM vs MI	Z	P (2-tailed)	
KDR+	2.0	0.04	GBM > MI
HPCs	1.6	0.12	
CECs	0.8	0.45	
CD133+	-1.3	0.19	
KDR+CD133+	-2.4	0.02	MI > GBM

GBM vs HC	Z	P (2-tailed)	
KDR+	4.7	≤0.001	GBM > HC
CECs	2.9	≤0.001	
KDR+CD133+	2.3	0.02	
CD133+	2.2	0.03	
HPCs	1.8	0.07	

MI vs HC	Z	P (2-tailed)	
KDR+CD133+	4.0	≤0.001	MI > HC
CD133+	3.0	≤0.001	
CECs	1.8	0.07	
KDR+	2.0	0.04	
HPCs	0.1	0.89	

GBM vs AII/III	Z	P (2-tailed)	
KDR+	3.1	≤0.001	GBM > AII/AIII
CECs	3.0	≤0.001	
KDR+CD133+	2.2	0.03	
HPCs	1.9	0.06	
CD133+	0.7	0.51	

AII/III vs HC	Z	P (2-tailed)	
CD133+	0.7	0.46	AII/AIII > HC
KDR+	-0.4	0.72	HC > AII/AIII
HPCs	-0.6	0.55	
KDR+CD133+	-0.6	0.54	
CECs	-1.0	0.34	

FIGURE 3: Differences in EPC frequencies between patients. EPC levels were represented as absolute cell numbers in 1\*10<sup>6</sup> CD45<sup>+</sup> PBMCs. The nonparametric Mann–Whitney U test (SPSS version 24) was used to analyze differences between the groups (p-values are 2-tailed). Direction of Z-score was adjusted as follows: negative to positive when GBM levels were higher than HC/MI/AII/AIII and when MI levels were higher than HC. The heat-maps are based on the levels and directions of Z-scores (red indicated higher levels of EPCs; blue indicated lower levels of EPCs in each comparison). KDR<sup>+</sup>: KDR<sup>+</sup>CD34<sup>-</sup>CD133<sup>-</sup> cells. CD133<sup>+</sup>: CD133<sup>+</sup>CD34<sup>-</sup> KDR<sup>-</sup> cells. KDR<sup>+</sup>CD133<sup>+</sup>: KDR<sup>+</sup>CD133<sup>+</sup>CD34<sup>-</sup> cells. HPCs: CD34<sup>+</sup>CD133<sup>+</sup>/CD45<sup>dim</sup>. CECs: CD34<sup>bright</sup>KDR<sup>+</sup>CD45<sup>-</sup>.

4. Discussion

We compared circulating EPC populations and plasma factors of patients with GBM and MI to pinpoint potential differences in EPC biology that may lead to the development of new therapeutic strategies directed against glioma-specific neovascularization.

GBM vs MI	Z	P (2-tailed)	
FGFb	2.9	0.004	GBM > MI
CSF3	2.4	0.018	
PDGFbb	2.3	0.021	
EPO	2.3	0.022	
CSF2	2.1	0.040	
Ang1	1.9	0.055	MI > GBM
Angiogenin	-2.0	0.045	
Ang2	-2.5	0.011	

GBM vs HC	Z	P (2-tailed)	
MMP9	4.5	≤0.001	GBM > HC
vWF	3.9	≤0.001	
HGF	2.7	0.008	
VCAM1	2.6	0.008	
CXCL12	-3.8	≤0.001	HC > GBM

MI vs HC	Z	P (2-tailed)	
vWF	4.0	≤0.001	MI > HC
MMP9	3.6	≤0.001	
HGF	3.2	≤0.001	
Angiogenin	2.9	0.004	
Tenascin-C	2.1	0.036	
MMP2	-2.0	0.041	HC > MI
Ang1	-2.1	0.039	
CSF3	-2.1	0.039	
CXCL12	-2.1	0.036	
BDNF	-2.3	0.021	
PDGFbb	-2.3	0.021	
EPO	-2.9	0.003	
FGFb	-3.5	≤0.001	

FIGURE 4: Differences in levels of plasma factors between patients. Z-scores and p-values of differences in the levels of plasma factors between patient and control groups (nonparametric Mann–Whitney U test). Direction of Z-score was adjusted as follows: negative to positive when GBM plasma levels of the factors were higher than MI/HC and when MI levels were higher than HC. The heat-maps are based on the levels and directions of Z-scores (red indicated higher levels of plasma factors; blue indicated lower levels of plasma factors in each comparison).

While there was a general elevation of EPC levels in both GBM and MI patients compared to HC, we found differences in specific EPC subsets between GBM and MI patients. In GBM patients, HPCs and KDR<sup>+</sup> cells were elevated compared to MI patients. In MI patients, KDR<sup>+</sup>CD133<sup>+</sup> and CD133<sup>+</sup> cells were higher than in GBM patients. Increased levels of CD133<sup>+</sup> cells were described before in MI patients [35]. An increase in KDR<sup>+</sup>CD133<sup>+</sup> cells was reported following vascular damage due to burns or surgery [36], as well as in GBM and patients with other tumors [19, 37]. Data on circulating KDR<sup>+</sup>(CD34<sup>-</sup>CD133<sup>-</sup>) cells are largely lacking in the literature. Increased levels of circulating KDR<sup>+</sup> bone-marrow-derived EPCs were reported in a cancer mouse model [38], which is compatible with our findings in glioma patients. Increased HPC levels were observed previously in untreated GBM patients [39], while levels seem to normalize

MI (n=14)	CSF3	VEGFA	MMP2	PGF	Tenascin-C
HPCs	-0.76**	-0.56*		-0.61*	
KDR <sup>+</sup> CD133 <sup>+</sup>					0.60*
CD133 <sup>+</sup>			-0.59*		0.57*

GBM (n=12)	MMP9	VCAM1
HPCs	0.62*	
KDR <sup>+</sup>		0.64*

FIGURE 5: Correlation between plasma factors and EPC subtypes. \*\*Correlation is significant at the 0.01 level (2-tailed). \*Correlation is significant at the 0.05 level (2-tailed). We used Spearman's rho to calculate correlation coefficients between plasma factor and EPC subtype levels. Figure 5 shows Spearman's rho for significant correlations between EPC levels and plasma factor levels in MI and GBM patients. Blue color indicates a negative correlation between plasma factor and EPC frequency; red indicates a positive correlation. For a complete overview (including CD133<sup>bright</sup> and CD133<sup>+</sup> HPC subtypes and correlations between EPC frequencies and plasma factors in all samples grouped together), see Additional File 2.

and even decrease following treatment [40]. In order to refine the HPC populations, three subgroups of these cells are distinguished: CD133<sup>-</sup>, CD133<sup>dim</sup>, and CD133<sup>bright</sup> [25]. In the present study, we found a significant increase in the ratio of CD133<sup>bright</sup>/CD133<sup>-</sup> HPCs in patients with MI, compared to GBM patients (Figure 1(f)). The more primitive phenotype of CD133<sup>bright</sup> HPCs is reportedly linked with higher proangiogenic capacity of these cells as compared to CD133<sup>-</sup> cells [23, 26, 27]. An increase in CD133<sup>+</sup> HPCs is seen in acute MI [41], while levels of these cells are low in patients with chronic vascular disease (low CD133<sup>bright</sup>/CD133<sup>-</sup> HPC ratio [26]), suggesting that the rise in CD133<sup>bright</sup>/CD133<sup>-</sup> HPC ratio is linked to acute ischemia.

There are various explanations for the numerical differences in EPC subsets between patients with GBM and MI. Both conditions are associated with increased neovascularization. One explanation is that MI represents a situation of acute injury, followed by programmed regeneration, while in neoplasia such as GBM, acute ischemic events due to, e.g., vessel thrombosis, occur on top of a background of chronic hypoxia and neoplastic vascular remodeling. In acute MI, a time course for EPC and CEC dynamics exists: within hours after MI, a peak in CECs appears in the bloodstream, which declines over the following weeks [36, 42]. Over the course of 3-7 days, CD133<sup>+</sup> cells increase, peaking around day 7, a phenomenon that was consistent with the present analysis [35]. Subsequently, somewhat later than CD133<sup>+</sup> cells, HPC levels rise [10, 13, 35]. The increase in the levels of both CD133<sup>+</sup> cells and CD133<sup>+</sup>KDR<sup>+</sup> cells in MI patients suggests that these cells are influenced by similar regulatory mechanisms and that these EPC subtypes are particularly important in the early phase of acute ischemia. Elevated levels of CD133<sup>+</sup> cells have been described before in MI and GBM and encompass large part of the HPC population, since in these studies no further separation of EPC subtypes was made [35, 43, 44]. We found that the absolute levels of EPCs and CECs were increased in MI and GBM, but not in the astrocytomas grade II and III, reflecting the low level of neovascularization in lower-grade gliomas.

The finding of higher levels of CECs in patients with GBM and MI compared to patients with lower-grade gliomas is corroborated by literature on patients with MI and neoplasia, including gliomas [45–53]. The lower levels of CECs in patients with gliomas of lower malignancy grades, in which neovascularization is less abundant, supports the notion that CEC levels correspond with the degree of vessel formation and remodeling in cancer. So far, the presence of CECs was considered to passively reflect vessel wall damage only, but there are indications that a viable subset should be considered as cells with potent proangiogenic and vasculogenic capacities [25, 54]. These cells give rise to outgrowth endothelial cells (OECs) when brought in cell culture and strongly stimulate neovascularization, incorporate in the vessel wall, and home to malignant tumors [55–57]. Increased levels of OEC precursor cells correlate with a better prognosis for patients with MI and coronary artery bypass grafts, illustrative of their proangiogenic capacities [36, 58]. Conversely, higher (viable) CEC levels correspond with a worse prognosis for patients with GBM [50, 51, 59] and other cancers [53, 60, 61]. Therefore, CECs may be considered as potential therapeutic targets in both cancer and infarction.

Limitations to any study on circulating EPCs in human subjects include difficulties of comparing study results to the literature, due to the lack of a clear and comparable definition of EPC subsets and the use of different techniques to determine or isolate EPCs. This makes it challenging to compare findings of different studies into EPCs. For instance, Stamm et al. [62] used magnetic beads to isolate CD133<sup>+</sup> cells from bone marrow aspirates of myocardial infarction patients undergoing subsequent coronary artery bypass graft. The CD133<sup>+</sup> bone marrow cells would in our study translate into a mixture of CD133<sup>+</sup> HPCs, CD133<sup>+</sup>KDR<sup>-</sup>CD34<sup>-</sup> cells and CD133<sup>+</sup>KDR<sup>+</sup>CD34<sup>-</sup> cells. Which of these different subsets will have been accountable for the beneficial effect in the study of Stamm et al. remains to be determined.

The KDR<sup>+</sup>CD34<sup>-</sup>CD133<sup>-</sup> population in the present study was not described before in the literature. However, this population needs to be distinguished from CECs (CD34<sup>++</sup>KDR<sup>+</sup>CD45<sup>-</sup>) and from CD133<sup>+</sup>KDR<sup>+</sup> EPCs.

Other studies have found increased levels of CECs and CD133<sup>+</sup>KDR<sup>+</sup> cells in MI patients [36, 45, 63]. Interestingly, we found low levels of CD34 expression in some KDR<sup>+</sup>CD34<sup>+</sup>CD133<sup>-</sup> sorted populations (data not shown), suggesting that the expression of CD34 may have been too low to detect by FACS and suggesting a relationship with the more frequently described KDR<sup>+</sup>CD34<sup>+</sup>EPC population in the literature. In our study, the KDR<sup>+</sup>CD34<sup>+</sup>CD133<sup>-</sup> population was exclusively CD45<sup>+</sup> indicative of hematopoietic lineage. We also found high expression of proangiogenic factors in these cells (data not shown). Therefore, we believe that the KDR<sup>+</sup>CD34<sup>+</sup>CD133<sup>-</sup>EPC subset stimulates neovascularization just like other EPC subsets. Other confounders to human EPC-related studies are differences in age of subjects included. Younger age is associated with higher levels of circulating EPCs [64]. We do not believe, however, that the slight difference in age has influenced the results in GBM vs. MI patients (Table 1). AII/III patients are younger than GBM and MI patients, reflecting the age difference in the occurrence of these tumors. Young age is associated with higher circulating levels of EPCs. The significantly lower levels of EPCs in AII/III patients vs. GBM and MI patients emphasize the strong effects of underlying pathology on the EPC levels. In addition, sex differences may associate with circulating EPCs levels that vary based on menstrual phase in premenopausal women [65]). Unlike the situation in the glioma group, in the MI group, males predominated. However, since most, if not all, women in this study will have been postmenopausal (based on age), we do not believe that sex will have had a significant influence on the results either. Other confounders like physical exercise status were not controlled for. High-intensity physical exercise may lead to peaks in circulating EPC and CEC levels. This could be an explanation for high EPC level outliers in our study, particularly in the healthy control group. Other explanations for outliers can be time after MI (we included MI patients 1-10 days after myocardial infarction; within this timeframe, the dynamics of EPC and CEC levels can vary), GBM tumor characteristics (size, level of neovascularization), and medication use (e.g., statins can increase the levels of circulating EPCs or normalize previously reduced levels of EPCs in the context of chronic vascular disease and improve their function [66]).

The presence of the blood-brain barrier (BBB) or blood-tumor barrier in the case of GBM is highly unlikely to form an anatomical barrier relevant for EPCs. EPCs do not need to cross the BBB into the brain parenchyma to exert their angiogenic and vasculogenic effects. EPC entrance into the Virchow-Robin space, directly surrounding blood vessels, would suffice for the promotion of angiogenesis through the production of proangiogenic factors. No entrance of EPCs into the brain parenchyma is required for this process. Further, the BBB is severely impaired in glioblastoma, allowing cells to freely enter the brain [67]. Besides, even an intact BBB would allow for the selective entrance of (inflammatory) cells from the periphery into the parenchyma [68].

Since factors secreted by the target tissues are essential for the recruitment and function of EPCs, we investigated a panel of mobilization factors, chemoattractants, and angiogenic

factors in plasma along with EPC levels and found significant differences in their mean concentrations between the patient groups and controls. Elevated levels of these factors were previously reported in blood and tumor tissue of patients with GBM [69–75] and of patients with MI [76–83]. Because the levels of vWF, MMP9, VCAM1, angiogenin, and HGF were increased in both GBM and MI patients, but not in the lower-grade gliomas, these factors seem to be necessary for neovascularization in general, both under reactive and high-grade neoplastic conditions. Together with VEGFA, these factors were higher in GBM as compared to the lower-grade gliomas, illustrative of their correlation with tumor grade and level of glioma neovascularization. Increased concentrations of vWF in GBM patients were previously reported [42]. Interestingly, in MI patients, many of the factors were decreased as compared to HC (Figure 4). This may in part be a reflection of chronic cardiovascular disease and vascular dysfunction preceding the acute infarction, as some circulating factors are already reduced in (un)stable angina [84, 85]. An increase in levels when acute ischemia ensues could then still remain below normal levels [86]. The increased levels of tenascin-c, vWF, MMP9, VCAM1, and angiogenin may reflect the response to acute ischemia. Angiogenin increases after MI, but is not elevated in patients suffering from stable cardiovascular disease [82]. Only angiogenin and angiopoietin-2 were increased in MI patients compared to GBM patients, suggestive of their association with the acute onset of ischemia occurring in MI. CXCL12 is one of the main mobilization factors for HPCs and other EPCs. Surprisingly, CXCL12 levels were lower in all patient groups relative to healthy controls. Reduced CXCL12 levels were reported in patients with MI previously [87–89] and also in experimentally induced MI in mice [88]. Our finding of low CXCL12 levels in GBM patients seems to conflict with literature data, where CXCL12 levels allegedly correlate positively with glial tumor progression [37, 50, 90]. The discrepancies may be explained by concurrent treatment, for instance, with antiangiogenic agents [50] in these studies, whilst in our study GBM patients were treatment-naïve.

We correlated the concentrations of mobilization factors and chemoattractants with the levels of EPC subsets in order to investigate a potential relationship between circulating levels of cells and factors. We found various correlations between the plasma factors on the one hand and the EPC subsets on the other hand (Figure 5). Interestingly, in MI patients, tenascin-c levels correlated positively with CD133<sup>+</sup> and KDR<sup>+</sup>CD133<sup>+</sup> levels. Tenascin-c is a matricellular protein which is upregulated in ischemic myocardial tissue and aids in recruiting EPCs to the infarcted area [91]. Notably, plasma levels of tenascin-c are increased in the acute phase of MI [92, 93] corresponding to the early phase in which CD133<sup>+</sup> cells are released. A potential effect of plasma tenascin-c on the mobilization of EPCs, however, remains speculative.

In GBM patients, plasma levels of MMP9 correlated positively with HPC frequencies, which seems in line with data suggesting that MMP9 can mobilize HPCs from the bone marrow [94]. Increased levels of CECs and vWF and VCAM-1 are known to represent vessel damage and activated

endothelial cells, thus explaining their elevation in GBM patients.

How could our findings eventually be translated to novel therapeutic targets for GBM patients? From a therapeutic perspective, several different approaches could be chosen: firstly, by targeting the mobilization factors that lead to higher KDR<sup>+</sup> (and other EPC) levels in GBM patients. We found a strong positive correlation between plasma VCAM1 levels and KDR<sup>+</sup> EPCs in GBM. Should further studies indicate that VCAM1 can act as a mobilization factor for KDR<sup>+</sup> EPCs, anti-VCAM1 antibodies could potentially reduce circulating KDR<sup>+</sup> EPC levels in GBM patients. We found a strong positive correlation between plasma MMP9 levels and circulating HPC levels in GBM patients. From the literature, a causal relationship between the two can be assumed since MMP9 is a known mobilization factor for HPCs (and possibly other EPCs) [94]. Strategies to reduce plasma MMP9 levels could decrease circulating HPC (and possibly other EPC) levels in GBM patients. Likewise, with more of these causal relations between plasma factors and EPC levels coming to light, more therapeutic strategies of a similar nature can be generated.

Contrarily, in MI patients, the same strategies could be used in an opposite fashion: administering mobilization factors with the aim of increasing levels of circulating EPCs (e.g., we found a strong positive correlation between plasma tenascin-C levels and circulating levels of KDR<sup>+</sup>CD133<sup>+</sup> and CD133<sup>+</sup> EPCs; should tenascin-C prove to act as a mobilization and/or homing factor to these EPCs, increasing the level of circulating and/or myocardial tissue tenascin-C could be beneficial to EPC mobilization and homing to hypoxic myocardial tissue).

Secondly, the homing mechanisms of EPCs to their target tissue can be therapeutically manipulated. In the case of GBM, homing factors such as CXCL12 could be increased in plasma (leading to a reduced gradient of GBM tissue-to-blood CXCL12 levels and potentially reduced homing of EPCs to target GBM tissue; this hypothesis would, obviously, need to be carefully tested in further studies). Another option could be to implant a device that captures KDR<sup>+</sup> (and other) EPCs from the circulation of GBM patients, thereby preventing them from reaching GBM tissue and exerting their proangiogenic effect (a similar strategy is used in preclinical studies in MI patients with EPC-capturing stents to increase neovascularization [95]). To the best of our knowledge, this strategy has not been tested with the aim of decreasing circulating levels of EPCs (and decreasing their homing efficiency to tumor tissue) in cancer patients yet, but could be promising.

Thirdly, the ability of EPCs to migrate to GBM tumor tissue means that EPCs themselves could be used as vessels for transport of cancer-blocking agents to the tumor (e.g., radioactive or chemotherapeutic compounds). Whether there is a difference between EPC subsets in their ability to migrate to GBM tumor tissue remains to be determined (e.g., are KDR<sup>+</sup> EPCs better able to home to GBM tissue than other EPCs? If so, this cell type could preferentially be used for this strategy). This hypothesis has been postulated before in the literature [96]. Contrarily, in the case of MI, (KDR<sup>+</sup>CD133<sup>+</sup>, CD133<sup>+</sup>KDR<sup>-</sup>) EPCs could be altered (in

vitro) to, e.g., express higher levels of proangiogenic factors and readministered to MI patients to aid in tissue recovery.

## 5. Conclusion

In conclusion, while neovascularization in both the context of high-grade neoplasia (GBM) and acute ischemia (MI) is associated with a rise in EPC levels, we found differences in their relative EPC subsets. Our findings indicate that the process of EPC-related neovascularization differs between these two diseases. The data are supportive of the development of EPC targeted therapeutic strategies that differ in both contexts. In acute ischemic conditions, stimulation of EPC-induced neovascularization is needed (increasing the circulating levels of KDR<sup>+</sup>CD133<sup>+</sup> and CD133<sup>+</sup> cells). However, in GBM, inhibition of EPC-induced neovascularization is necessary (specifically focusing on decreasing KDR<sup>+</sup> cells and HPCs).

## Abbreviations

AII/AIII:	Astrocytoma grade 2 and grade 3
Ang1:	Angiopoietin 1
Ang2:	Angiopoietin 2
BDNF:	Brain-derived neurotrophic factor
CEC:	Circulating endothelial cell
CSF2:	Colony stimulating factor 2
CSF3:	Colony stimulating factor 3
CXCL12:	CXC-motif chemokine ligand 12
EDTA:	Ethylenediaminetetraacetic acid
EGF:	Epidermal growth factor
EPC:	Endothelial progenitor cell
EPO:	Erythropoietin
FACS:	Fluorescence-activated cell sorting
FGFb:	Basic fibroblast growth factor
GBM:	Glioblastoma
HC:	Healthy control
HGF:	Hepatocyte growth factor
HPC:	Hematopoietic progenitor cell
KDR:	Kinase insert domain
KITL:	KIT-ligand
MFI:	Mean fluorescence intensity
MI:	Myocardial infarction
MMP2:	Matrix metalloproteinase 2
MMP9:	Matrix metalloproteinase 9
PBMC:	Peripheral blood mononuclear cell
PDGF-BB:	Platelet-derived growth factor BB
PGF:	Placental growth factor
PPP:	Platelet-poor plasma
PRP:	Platelet-rich plasma
VCAM1:	Vascular cell adhesion molecule 1
VEGFA:	Vascular endothelial growth factor A
vWF:	von Willebrand factor.

## Data Availability

The datasets used and/or analyzed during the current study are available from the corresponding author upon reasonable request.



## Ethical Approval

This study was approved by the Medical Ethics Committee of the Erasmus Medical Center, Rotterdam, The Netherlands (MEC-2011-313), and performed in adherence to the Code of Conduct of the Federation of Medical Scientific Societies in The Netherlands (<http://www.federa.org/codes-conduct>).

## Conflicts of Interest

The authors declare that they have no conflicts of interest.

## Authors' Contributions

K. Huizer, A. Sacchetti, D. Mustafa, and J.M. Kros carried out conception and design and interpretation of data. K. Huizer carried out drafting the article. J.M. Kros carried out drafting and critical revision of the article. A. Sacchetti and D. Mustafa carried out critical revision of the article. All authors read and approved the final manuscript.

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## Supplementary Materials

*Additional File 1: Luminex Assays.* Luminex Assays (customized) were used in the study. Three separate assays were included. Columns show the factors analyzed, the dilution factor, bead region used, and value (pg/ml) of standard. Type of factor (AF: angiogenic factor, Mob: mobilization factor, Chemo: chemoattractant) is specified. *Additional File 2: Correlation between Plasma Factors and EPC Subtypes.* We used Spearman's rho to calculate correlation coefficients between plasma factor and EPC subtype levels. All samples were analyzed, as well as every group separately. Green highlighted correlations indicate p-values < 0.05. (*Supplementary Materials*)

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## CHAPTER 4

# CIRCULATING ANGIOGENIC CELLS IN GLIOBLASTOMA:

*Towards Defining Crucial  
Functional Differences in  
CAC-induced Neoplastic versus  
Reactive Neovascularization*



## Circulating angiogenic cells in glioblastoma: toward defining crucial functional differences in CAC-induced neoplastic versus reactive neovascularization

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### Abstract

**Background.** In order to identify suitable therapeutic targets for glioma anti-angiogenic therapy, the process of neovascularization mediated by circulating angiogenic cells (CACs) needs to be scrutinized.

**Methods.** In the present study, we compared the expression of neovascularization-related genes by 3 circulating CAC subsets (hematopoietic progenitor cells [HPCs], CD34<sup>+</sup>, and KDR<sup>+</sup> cells; internal controls: peripheral blood mononuclear cells and circulating endothelial cells) of treatment-naïve patients with glioblastoma (GBM) to those of patients undergoing reactive neovascularization (myocardial infarction [MI]). CACs from umbilical cord (representing developmental neovascularization) and healthy subjects served as controls. Fluorescent-activated cell sorting was used to isolate CACs, RT-PCR to determine the expression levels of a panel of 48 neovascularization-related genes, and Luminex assays to measure plasma levels of 21 CAC-related circulating molecules.

**Results.** We found essential differences in gene expression between GBM and MI CACs. GBM CACs had a higher expression of proangiogenic factors (especially, *KITL*, *CXCL12*, and *JAG1*), growth factor and chemotactic receptors (*IGF1R*, *TGFR2*, *CXCR4*, and *CCR2*), adhesion receptor monomers (*ITGA5* and *ITGA6*), and matricellular factor *POSTN*. In addition, we found major differences in the levels of neovascularization-related plasma factors. A strong positive correlation between plasma MMP9 levels and expression of *CXCR4* in the CAC subset of HPCs was found in GBM patients.

**Conclusions.** Our findings indicate that CAC-mediated neovascularization in GBM is characterized by more efficient CAC homing to target tissue and a more potent proangiogenic response than in physiologic tissue repair in MI. Our findings can aid in selecting targets for therapeutic strategies acting against GBM-specific CACs.

### Key Points

- Glioblastoma CACs have a more potent homing and angiogenic capacity than controls.
- CACs are programmed in the circulation by target tissue-specific requirements.
- Unique CAC characteristics in different diseases translate to therapeutic targets.

High-grade gliomas are among the most vascularized tumors and are characterized by an abundance of leaky vessels. Despite the high degree of vascularization, anti-angiogenic therapies have remained without the expected success.<sup>1</sup> Anti-angiogenic

drugs like bevacizumab interfere with Vascular Endothelial Growth Factor A (VEGFA) and the process of sprouting angiogenesis. However, the contribution of circulating cells engaged in the formation of blood vessels may be overlooked as



## Importance of the Study

Prior literature on circulating angiogenic cells (CACs) in glioblastoma (GBM) uncovered their potent proangiogenic effects *in vitro/vivo* and their increased numbers in GBM patients. Our study is the first to show that GBM CACs are qualitatively different from non-neoplastic CACs (ie, in reactive [myocardial infarction], developmental [umbilical cord blood], and steady-state adult [healthy control] neovascularization). GBM CACs exhibit a gene expression profile compatible with increased tumor-homing capacity (higher expression of *CXCR4*, *CCR2*, *ITGA5*, and *ITGA6*) and a more potent proangiogenic

potential (higher expression of *KITL*, *CXCL12*, *JAG1*, *IGF1R*, *TGFBR2*, and *POSTN*). Plasma levels of tumor-derived mobilization factor MMP9 correlate positively with both circulating hematopoietic progenitor cell (HPC) levels and HPC *CXCR4* gene expression in GBM patients, illustrating that GBM tissue is capable of pre-programming CACs. GBM, though non-metastatic, should thus be considered a systemic disease requiring systemic treatment. Our results can be translated toward developing disease-specific therapies targeting CAC-induced neovascularization in GBM.

a significant component of neovascularization in gliomas. This could partially explain the failing of anti-angiogenic therapies in glioma patients. Vasculogenesis is defined as *de novo* formation of blood vessels by endothelial progenitor cells (EPCs) that differentiate into endothelial cells and become part of the newly formed vessel wall.<sup>2</sup> Although characteristic for embryogenesis, the process of vasculogenesis also contributes to neovascularization in adults.<sup>3</sup> Whereas in embryogenesis differentiation into endothelial cells by EPCs is widespread, this process is limited in adulthood.<sup>4</sup> In adulthood, circulating cells stimulate neovascularization by invading the target tissue and secreting proangiogenic factors that fuel angiogenesis<sup>4</sup>. Since these cells do not differentiate into endothelial cells, they do not fit the definition of EPC and are better termed “circulating angiogenic cells” (CACs). Various stages of CAC-mediated neovascularization exist. CACs are mobilized from the bone marrow by factors secreted by the target tissue and/or bone marrow microenvironment, or in an autocrine fashion by CACs themselves. In the bloodstream CACs migrate towards the target tissue through chemotaxis where they adhere to endothelial cells mediated by integrins and invade the tissue by expressing proteinases such as matrix metalloproteinases (MMPs). Once in the target tissue CACs differentiate and start to secrete growth factors thus creating an environment permissive for angiogenesis.

In adulthood, neovascularization is stimulated on demand and is activated during revascularization after trauma or ischemia. In myocardial infarction (MI), a well-described and potent mobilization of CACs is induced early after the ischemic event.<sup>5</sup> Other ischemic states, such as ischemic stroke, have been less extensively studied. The literature on CACs in ischemic stroke shows less consistent results regarding the mobilization of CACs, with some studies showing no increase<sup>6,7</sup> or even a decrease of CACs.<sup>8</sup> Since the CAC response to ischemic brain appears to be far less extensive than to ischemic myocardium,<sup>9</sup> we chose to use MI patients rather than stroke patients as representing CAC-induced neovascularization in response to ischemia.

While in MI revascularization aids in recovery, new blood vessels in tumors are associated with propagation and

contribute to the decrease of the organism.<sup>10</sup> In patients suffering from MI, CAC-based therapies have been implemented with promising results.<sup>11</sup> In cancer, however, CAC-directed therapies have only been applied in animal studies where significant decreases in tumor sizes were reached.<sup>12</sup> Little is known about functional differences in CAC trafficking and function in the contexts of acute ischemia, cancer, and development. A better understanding of CAC biology in these different situations is necessary to design therapies acting on CAC-related neovascularization in cancer.

Here we compared the expression in CAC subsets of genes involved in neovascularization of glioblastomas (GBMs) and MI. Umbilical cord blood (UCB) and blood from adult healthy controls (HC) served as references for embryonic/fetal and steady-state adult neovascularization, respectively. Genes and 21 circulating plasma factors were chosen based on their functional roles (mobilization, chemo-attraction, homing, and growth factors secretion).<sup>13</sup> The expressional profiles of the respective CACs and the plasma factors of patients with GBM and MI were compared and correlated. The findings show profound differences between CAC-mediated neovascularization in GBM and MI patients.

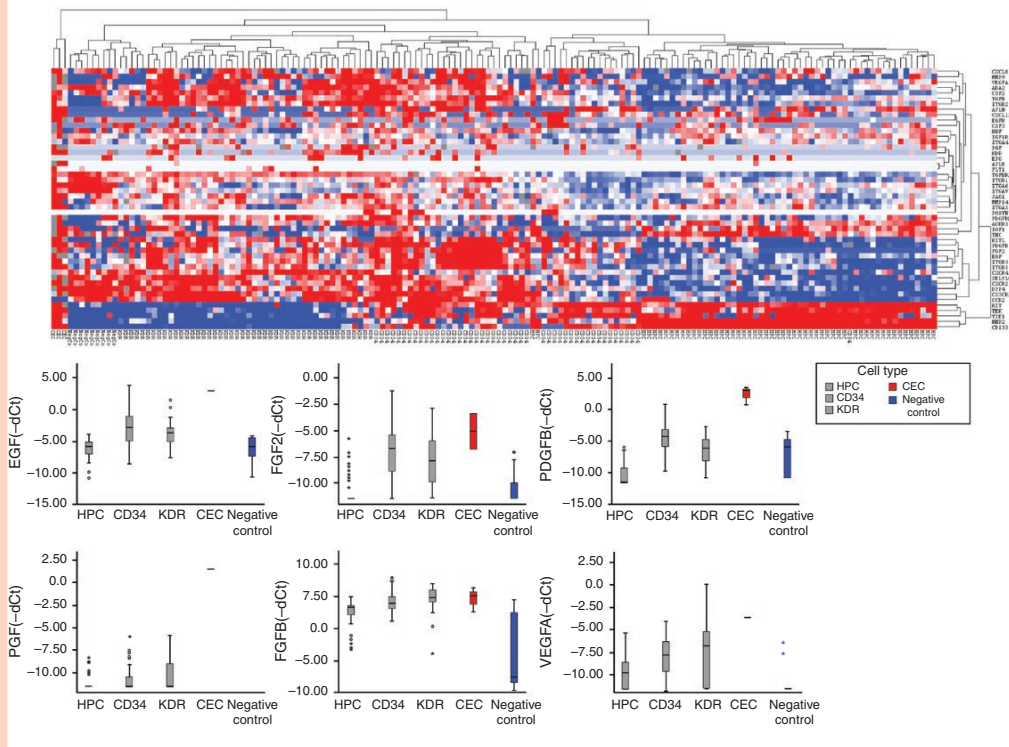
## Material and Methods

This study was approved by the Medical Ethics Committee of the Erasmus Medical Center, Rotterdam, The Netherlands (MEC-2011-313) and carried out in adherence to the Code of Good Conduct of the Federation of Medical Scientific Societies in the Netherlands (<http://www.federa.org/codes-conduct>). Informed consent was obtained from all subjects.

**Blood Samples and Preparation:** See [Supplementary Materials and Methods](#).

**Selection and FACS Sorting of CAC Subsets:** See [Supplementary Materials and Methods](#).

**RNA Isolation and RT-PCR and Gene Expression Analysis: Quality Control:** See [Supplementary Materials and Methods](#).



**Figure 1.** Unsupervised hierarchical cluster analysis of gene expression in all samples and boxplots of expression levels. *Upper panel:* Unsupervised hierarchical cluster analysis of gene expression in all samples (city block distance with complete linkage). Blue = low expression and red = high expression. Clustering is seen based on CAC type: CECs display the most conspicuous phenotype (high expression). CD34<sup>+</sup> cells partially cluster with HPCs and partially with KDR<sup>+</sup> cells. Negative control leukocytes cluster with KDR<sup>+</sup> cells. The HPC cluster in general shows lower gene expression than the other CACs or CECs. *Lower panel:* Boxplots showing gene expression levels ( $-dCt$ ) of proangiogenic factors in HPCs ( $n = 54$ ), CD34<sup>+</sup> cells ( $n = 47$ ), KDR<sup>+</sup> cells ( $n = 46$ ), CECs ( $n = 3$ ), and negative control PBMCs ( $n = 9$ ). Proangiogenic factors overall are expressed highest in CECs and lowest in negative control PBMCs. In general, CD34<sup>+</sup> and KDR<sup>+</sup> cells express higher levels of proangiogenic factors than HPCs.

*RT-PCR Data Analysis:* See [Supplementary Materials and Methods](#).

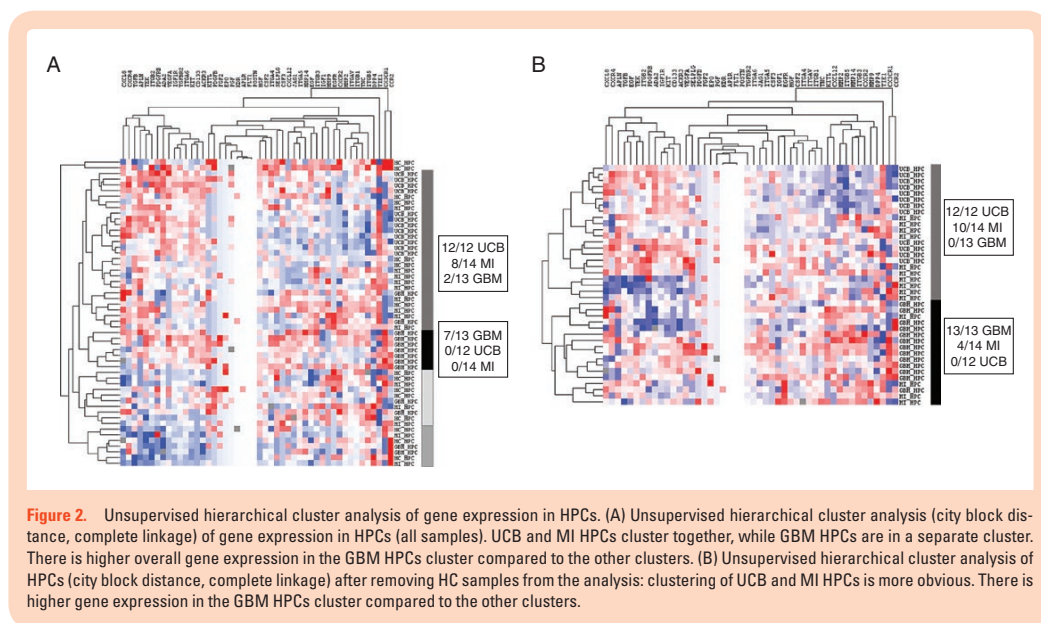
*Data Analysis:* See [Supplementary Materials and Methods](#).

## Results

### Hierarchical Cluster Analysis: Gene Expression Patterns of CAC Subsets From All Subjects

The expression patterns of the CAC subsets, negative control peripheral blood mononuclear cells (PBMCs), and circulating endothelial cells (CECs) in the various patient and control groups clustered according to the respective cell types (Figure 1). CECs expressed genes from almost all functional groups at a much higher level than the other CACs, except for chemotactic receptors, which were only

expressed at a higher level in CECs compared to hematopoietic progenitor cells (HPCs). HPCs showed relatively low overall expression of neovascularization-related genes. Overall expression levels of the investigated genes were lower in HPCs than in KDR<sup>+</sup> cells, CD34<sup>+</sup> cells, and CECs. HPCs were most homogenous regarding gene expression, irrespective of the source of the blood samples. CD34<sup>+</sup> cells clustered with HPCs for growth factor receptors and *CD133* expression while they resembled KDR<sup>+</sup> cells by their high expression of proangiogenic molecules and molecules operative in (de)adhesion and invasion. KDR<sup>+</sup> cells clustered with negative control leukocytes for all functional groups, suggesting the closest kinship of all subsets investigated with negative control PMBCs. CACs from GBM patients expressed neovascularization-related genes at a higher level than those from MI patients or HC. Following unsupervised hierarchical cluster analysis on individual CAC subsets, we found that HPCs from UCB and MI clustered together, as opposed to GBM HPCs (Figure 2).



### Differences in Expression of Individual Genes in CACs Between GBM and MI Patients

The genes that showed differential expression in CACs between the GBM and MI group represented all distinct functional groups (Figures 3–5). *CXCR4* and *KITL* were overexpressed in all CAC subsets of GBM patients as compared to patients with MI. Conversely, *IGF1* was underexpressed in GBM compared to MI. Higher RNA levels of *APLN* were detected in MI CACs as compared to GBM, while *CXCL12* and *ITGA5* transcript levels were lower in MI. The activity of some genes was consistently different for all CAC subtypes (eg, *CXCR4* was overexpressed in GMB HPCs, CD34<sup>+</sup>, and KDR<sup>+</sup> cells as compared to these cells in MI), while the differential activity of other genes appeared to be confined to specific CAC subtypes (eg, overexpression of *JAG1* in GBM vs MI HPCs only, not in CD34<sup>+</sup> or KDR<sup>+</sup> CACs (Figures 3–5). Deviations from the reference HC expression levels (whether upregulated or downregulated) consistently followed the direction of UCB gene expression levels with the exception of *KITL* expression in GBM CACs (upregulated in GBM, downregulated in UCB compared to HC (Figures 4 and 5).

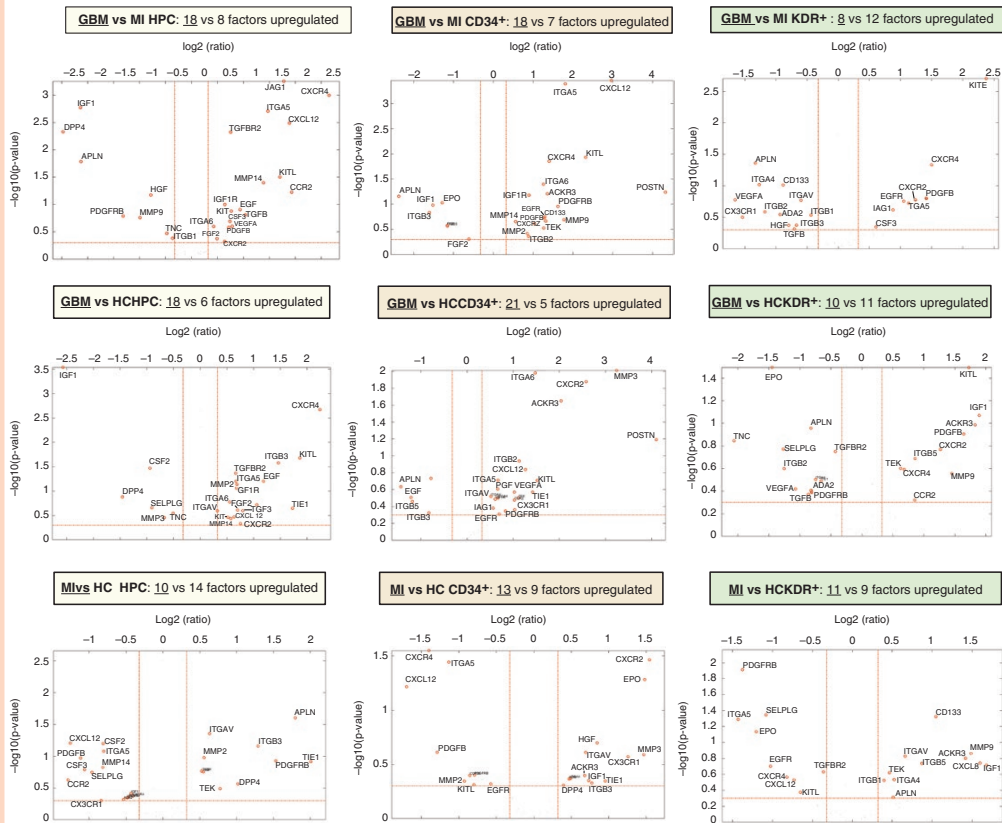
### Plasma Factors

In GBM patients the overall levels of all plasma factors were higher than those in MI patients and HC subjects. Unsupervised hierarchical cluster analysis of the concentrations of all plasma factors measured in all samples yielded 3 main clusters: one containing only UCB samples, one with the large majority of GBM and HC samples, and one with the large majority of MI samples (lower overall

levels of plasma factors) (Figure 6). Spearman correlation analysis between plasma factor concentrations and gene expression in CACs revealed a strong positive correlation between plasma MMP9 levels and the expression of *CXCR4* in HPCs in GBM patients (Spearman's rho = 0.77;  $P < .01$ ). In MI patients no correlation between HPC *CXCR4* gene expression and plasma MMP9 levels was found (Supplementary Figure 3). When lowering the correlation threshold to at least 0.5, multiple significant correlations were detected between CAC gene expression and plasma factor levels (eg, a positive correlation between HPC *CSF2* gene expression and plasma CXCL12 levels; positive correlation significant for both GBM and MI patients, not for HC).

## Discussion

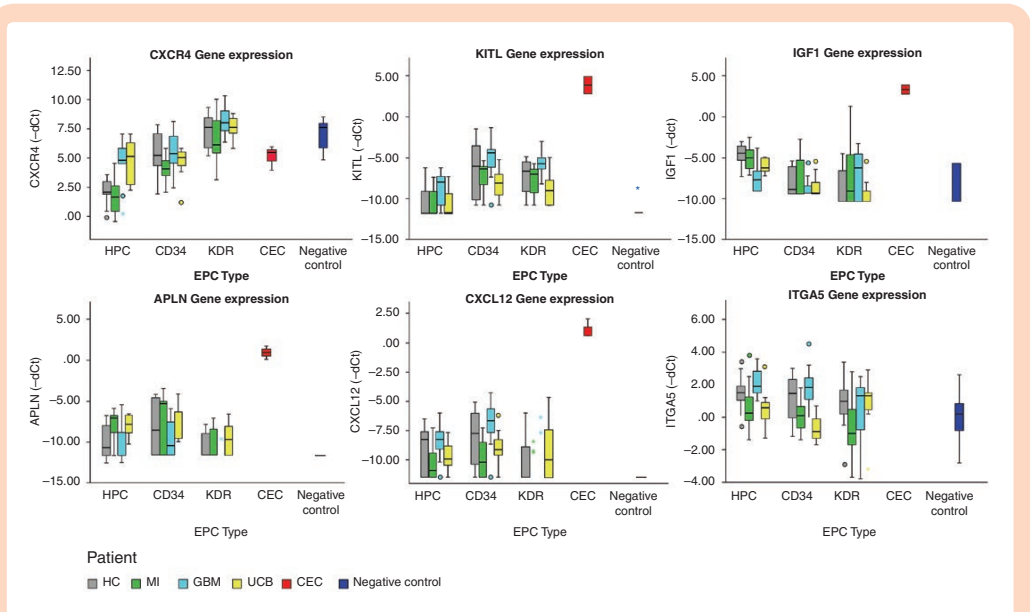
In the present study, we investigated alterations in the expression of neovascularization-related genes in circulating CAC subsets between GBM and MI patients and sought correlations with circulating chemo-attractants and mobilization factors. Where in previous studies we observed that levels of circulating CACs differ in GBM patients as compared to HC and patients suffering from recent MIs,<sup>7,14</sup> in the present study we explored the expression of 48 neovascularization-related genes in 3 CAC subsets in these groups. We found major differences in expressional profiles. There was close similarity between the gene expression patterns of HPCs in MI and UCB, indicative of reactivation of embryonal/fetal mechanisms for CAC-mediated neovascularization following acute myocardial ischemia. In circulating CACs from GBM (where neovascularization is disordered and haphazard) this coordinated CAC



**Figure 3.** Volcano plots of gene expression differences between patients and controls by CAC subset. **Upper row (A–C):** Volcano plots ( $-\log_{10} P$ -value vs  $\log_2$  fold change (FC) with the following cutoff values: FC > 1.125,  $P < .5$ ) of GBM versus MI CACs. More genes are overexpressed in GBM versus MI CACs. Overexpressed genes belong to all functional groups. Specifically, there is higher expression in GBM versus MI CACs (especially, HPCs and CD34<sup>+</sup> cells) of growth factor receptors (GFRs), chemotactic receptors (CRs), and mobilization factors (MFs). There is higher expression in GBM versus MI HPCs of proangiogenic factors (PAFs). Z-scores and P-values of gene expression in GBM versus MI CACs are given in Figure 5. **Middle row (D–F):** Volcano plots ( $-\log_{10} P$ -value vs  $\log_2$  FC with the following cutoff values: FC > 1.125,  $P < .5$ ) of GBM versus HC CACs. A similar overall pattern of higher gene expression is seen as in the comparison of GBM versus MI CACs. Overexpressed genes belong to all functional groups. Higher expression in GBM versus HC CACs (especially, HPCs and CD34<sup>+</sup> cells) of GFRs, CRs, MFs, adhesion factors (ITGs), PAFs. **Lower row (G–I):** Volcano plots ( $-\log_{10} P$ -value vs  $\log_2$  FC with the following cutoff values: FC > 1.125,  $P < .5$ ) of MI versus HC CACs. Overall gene expression is similar/lower in MI CACs versus HC CACs. Lower expression is seen in MI versus HC HPCs for PAFs, CRs, and MFs.

gene expression program was absent. We also discovered significant variations in the concentrations of 21 neovascularization-related plasma factors between GBM and MI patients, reflecting considerable differences in the “microenvironment” of the peripheral circulation, in which circulating CACs reside. Furthermore, we found strong correlations between the levels of specific plasma factors and gene expression levels in CACs. Altogether, these findings suggest that the difference in “blood microenvironment” as a result of MI or neoplastic growth drives alterations in gene expression in circulating CACs.

HPCs are capable of trafficking back and forth between the bone marrow, peripheral blood, (extra)-medullary tissues, and the lymphatic system.<sup>15</sup> We know from the literature that HPCs mobilized to peripheral blood have different gene expression profiles than bone marrow (BM)-resident HPCs.<sup>16</sup> Hypothetically, residing in target tissues will alter HPC (and other CAC) gene expression profiles dependent on target tissue/lesion-specific microenvironments. Hence, another explanation for our findings of altered gene expression patterns in CACs between GBM and MI patients is the reentrance of CACs



**Figure 4.** Boxplots of gene expression levels (-dCt) of significantly differentially expressed genes between GBM and MI CACs. Boxplots showing gene expression levels (-dCt) of significantly differentially expressed genes between GBM and MI CACs (data shown for gene expression differences present in  $\geq 2$  CAC subsets). *CXCR4* and *KITL* are overexpressed in GBM CACs compared to both MI and HC CACs. *IGF1* is underexpressed in GBM CACs (HPCs and CD34<sup>+</sup>) compared to MI and HC CACs. *ITGA5* is underexpressed in MI CACs compared to GBM and HC CACs. *APLN* is overexpressed in MI CACs compared to GBM and HC CACs. *CXCL12* is underexpressed in MI CACs compared to GBM and HC CACs. Deviations from the reference HC expressions levels (whether upregulated or downregulated) follow the pattern of UCB CAC gene expression levels (except for the overexpression of *KITL* in GBM CACs). For exact *P*-values and *Z*-scores for each CAC subtype, see Figure 5.

that were reprogrammed in such target tissues into the bloodstream. Differences in the trafficking speed of CACs between the bone marrow, peripheral blood, and target tissues can also contribute to changes in particular gene expression patterns<sup>16</sup> and could be another factor contributing to our findings. The trafficking speed is dependent on various circumstances, such as levels of mobilization factors in the circulation and sympathetic innervation of BM.<sup>17</sup> The latter could be altered in the presence of malignant glioma. Various combinations of cues like adhesion/chemotactic receptors, not single molecules themselves, drive the attraction and retention of HPCs to specific niches in the bone marrow.<sup>18,19</sup> It is likely that similar cue patterns govern the attraction and retention of CACs to specific target tissues. We found that these cues differ in the context of GBM and MI, pointing to disease-driven alterations in gene expression in circulating CACs. The CAC gene expression profile in GBM patients suggests that they have a more potent capacity to home to GBM tissue and are capable of a stronger proangiogenic response than CACs in MI. Overall, the influence of GBM tumor tissue on circulating CAC biology justifies the notion that GBM should be considered as a systemic disease, rather than a disease which is limited to the brain.

The expression level of *CXCR4* in GBM CACs was similar to that in UCB CACs, but significantly higher than in MI CACs. *CXCR4* is a chemokine receptor expressed on the surface of leukocytes and HPCs.<sup>20</sup> *CXCR4* binds to its ligand

*CXCL12*, which acts as a mobilization factor and chemoattractant of *CXCR4*<sup>+</sup> cells, including HPCs. Because *CXCL12* is highly expressed in GBM tumor cells, endothelial cells, neurons, and white matter we included this protein in our panel of plasma factors.<sup>21–24</sup> We found decreased plasma *CXCL12* levels in both GBM and MI patients. The lower *CXCL12* levels in MI patients are in line with the existing literature,<sup>25</sup> while in glioma patients elevated, not reduced, plasma levels of *CXCL12* have been reported.<sup>26</sup> A technical explanation for the reported elevated levels could be the release of  $\alpha$ -granule factors including *CXCL12* into plasma following blood sample cooling.<sup>26,27</sup> In our study plasma values represent the free *CXCL12* fraction, not the platelet  $\alpha$ -granule stored fraction. While high free plasma *CXCL12* mobilizes CACs from the bone marrow, homing of *CXCR4*<sup>+</sup> cells to target tissues is less efficient due to the lower target tissue-to-plasma *CXCL12* ratio.<sup>28,29</sup> Reversely, low plasma *CXCL12* levels allow for more efficient homing of *CXCR4*<sup>+</sup> cells to *CXCL12*-expressing target tissues due to a high target tissue-to-plasma *CXCL12* ratio.<sup>30</sup> The low plasma level of *CXCL12* in GBM patients therefore facilitates homing of *CXCR4*<sup>+</sup> cells to *CXCL12*-expressing GBM tissue.<sup>30,31</sup> The present finding of increased expression of *CXCR4* in UCB HPCs was previously reported in the literature,<sup>32</sup> but increased *CXCR4* expression in GBM HPCs (and other CACs) was not described earlier. Higher expression of *CXCR4* in cultured CACs increases migration triggered by *CXCL12* and enhances their capacity to exit blood vessels



	GBM>MI						GBM vs MI							GBM>HC						GBM vs HC							MI>HC						MI vs HC					
	PAF			HPCs			CD34			KDR				PAF			HPCs			CD34			KDR				PAF			HPCs			CD34			KDR		
	Z	P		Z	P		Z	P		Z	P			Z	P		Z	P		Z	P		Z	P			Z	P		Z	P		Z	P				
PAF	APLN	-2.4	0.02	-1.9	0.05	-1.7	0.09						APLN	-0.6	0.54	-1.3	0.20	-1.4	0.15						APLN	2.4	0.02	0.4	0.66	0.8	0.45							
	CXCL12	2.8	0.01	3.3	0.00	0.1	0.93						CXCL12	0.6	0.53	1.3	0.19	-0.6	0.56						CXCL12	-1.7	0.08	-1.8	0.07	-0.8	0.41							
	CXCL8	-0.2	0.82	-1.3	0.20	-0.8	0.40						CXCL8	1.0	0.34	0.1	0.91	0.2	0.83						CXCL8	1.4	0.15	1.7	0.09	1.3	0.20							
	EGF	0.8	0.45	-1.0	0.30	0.2	0.83						EGF	1.4	0.17	-0.9	0.37	1.7	0.09						EGF	0.3	0.74	0.4	0.71	0.5	0.64							
	EPO	0.0	1.00	-1.8	0.07	-1.3	0.20						EPO	0.0	1.00	0.9	0.35	-2.2	0.02						EPO	0.1	0.94	2.2	0.03	-1.7	0.09							
	FGF2	0.8	0.40	-0.2	0.87	0.6	0.55						FGF2	1.4	0.15	-0.2	0.88	0.5	0.64						FGF2	0.6	0.57	-0.1	0.95	-0.1	0.93							
	HGF	-1.8	0.08	0.2	0.82	-1.3	0.19						HGF	-0.6	0.56	1.4	0.17	-0.3	0.74						HGF	1.3	0.21	1.1	0.25	0.7	0.51							
	IGF1	-2.9	0.00	-1.3	0.18	0.2	0.82						IGF1	-3.3	0.00	-1.3	0.21	1.7	0.08						IGF1	-1.0	0.30	0.5	0.64	1.1	0.27							
	JAG1	3.5	0.00	1.0	0.31	1.2	0.23						JAG1	1.4	0.15	0.6	0.52	0.5	0.64						JAG1	-1.6	0.12	-0.4	0.67	-1.0	0.34							
	KITL	2.2	0.03	2.7	0.01	3.0	0.00						KITL	2.3	0.02	1.1	0.29	2.0	0.05						KITL	0.4	0.73	-0.7	0.48	-0.9	0.35							
GFR	PDGFB	1.0	0.31	1.7	0.08	1.6	0.11						PDGFB	-0.4	0.68	0.3	0.74	1.6	0.11						PDGFB	-1.3	0.19	-1.2	0.23	0.2	0.85							
	PGF	0.1	0.96	1.2	0.22	-0.3	0.76						PGF	1.1	0.28	1.2	0.23	-1.3	0.18						PGF	1.0	0.32	0.1	0.95	-1.1	0.26							
	TGFB	1.0	0.33	-0.2	0.81	-0.2	0.88						TGFB	0.2	0.82	-0.1	0.92	-1.1	0.28						TGFB	-0.6	0.54	-0.1	0.95	-0.8	0.40							
	VEGFA	1.0	0.30	0.4	0.70	-1.4	0.17						VEGFA	0.6	0.56	1.2	0.22	-1.1	0.28						VEGFA	-0.6	0.58	0.8	0.42	0.4	0.70							
	GBM vs MI						GBM vs HC						MI vs HC						MI vs HC																			
	HPCs			CD34			KDR			HPCs			CD34			KDR			HPCs			CD34			KDR			HPCs			CD34			KDR				
	Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P						
	GFR	Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P					
	ADA2	0.3	0.74	0.3	0.74	-1.1	0.28				ADA2	-1.0	0.29	-0.3	0.80	-1.4	0.18				ADA2	-1.2	0.25	-0.9	0.39	0.2	0.87											
	APLR	0.0	1.00	0.0	1.00	0.0	1.00				APLR	-0.9	0.35	0.0	1.00	-0.9	0.36				APLR	-1.0	0.33	0.0	1.00	-1.0	0.30											
CXCR4	3.1	0.00	2.4	0.02	1.9	0.06				CXCR4	2.7	0.01	-0.1	0.90	0.9	0.39				CXCR4	-0.7	0.50	-2.1	0.03	-1.1	0.29												
EGFR	0.2	0.81	1.1	0.29	1.4	0.15				EGFR	0.0	0.98	0.5	0.64	0.2	0.88				EGFR	-0.2	0.82	-0.7	0.48	-1.2	0.25												
FLT1	0.0	1.00	-1.1	0.26	-0.4	0.71				FLT1	0.0	1.00	0.0	1.00	1.1	0.27				FLT1	0.0	1.00	1.0	0.30	1.4	0.17												
IGF1R	1.7	0.09	1.9	0.05	0.5	0.60				IGF1R	1.8	0.07	1.2	0.25	0.6	0.57				IGF1R	0.1	0.91	-0.4	0.69	-0.1	0.91												
KDR	1.0	0.30	-0.8	0.43	-0.4	0.66				KDR	0.2	0.88	-0.2	0.86	-0.1	0.94				KDR	-1.0	0.33	0.7	0.47	0.6	0.57												
KIT	1.6	0.11	0.1	0.91	0.3	0.80				KIT	0.7	0.50	0.4	0.72	0.5	0.58				KIT	-0.5	0.63	0.0	1.00	0.1	0.93												
CR	PDGFRB	-1.1	0.27	1.6	0.08	0.8	0.44				PDGFRB	-0.5	0.65	0.6	0.55	-0.1	0.95				PDGFRB	1.6	0.10	-1.1	0.28	-2.6	0.01											
	TEK	0.4	0.70	1.0	0.30	0.2	0.81				TEK	1.2	0.22	1.2	0.25	1.2	0.22				TEK	1.0	0.34	-0.2	0.85	1.1	0.27											
	TGFB2	2.7	0.01	1.0	0.30	-0.2	0.88				TGFB2	2.1	0.04	0.3	0.78	-0.7	0.47				TGFB2	0.0	0.98	-0.6	0.55	-1.0	0.34											
	TIE1	0.4	0.72	0.5	0.58	-0.7	0.51				TIE1	1.3	0.18	1.1	0.28	-1.3	0.21				TIE1	1.2	0.21	0.5	0.60	-0.5	0.58											
	GBM vs MI						GBM vs HC						MI vs HC						MI vs HC																			
	HPCs			CD34			KDR			HPCs			CD34			KDR			HPCs			CD34			KDR			HPCs			CD34			KDR				
	Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P						
	CR	Z	P		Z	P		Z	P		CR	Z	P		Z	P		Z	P		CR	Z	P		Z	P		Z	P		Z	P						
	ACKR3	0.6	0.54	2.0	0.04	1.5	0.14				ACKR3	0.3	0.80	2.2	0.03	1.6	0.11				ACKR3	-0.6	0.53	0.9	0.38	0.9	0.37											
	CCR2	2.0	0.04	0.7	0.48	0.2	0.83				CCR2	1.0	0.32	0.8	0.40	0.6	0.55				CCR2	-1.2	0.25	0.4	0.67	0.8	0.43											
CX3CR1	0.7	0.46	-0.1	0.96	-0.8	0.44				CX3CR1	0.4	0.71	0.8	0.44	-0.5	0.60				CX3CR1	-0.2	0.86	1.5	0.13	0.7	0.46												
CXCR2	0.4	0.70	1.4	0.16	1.3	0.18				CXCR2	0.4	0.68	2.6	0.01	1.5	0.13				CXCR2	0.2	0.86	1.9	0.06	0.0	1.00												
CXCR4	3.1	0.00	2.4	0.02	1.9	0.06				CXCR4	2.7	0.01	-0.1	0.90	0.9	0.39				CXCR4	-0.7	0.50	-2.1	0.03	-1.1	0.29												
SEPLG	0.1	0.94	0.7	0.48	0.4	0.71				SEPLG	1.2	0.24	0.3	0.80	-1.1	0.29				SEPLG	-1.3	0.20	-0.2	0.85	-2.0	0.05												
DIF	GBM vs MI						GBM vs HC						MI vs HC						MI vs HC																			
	HPCs			CD34			KDR			HPCs			CD34			KDR			HPCs			CD34			KDR			HPCs			CD34			KDR				
	Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P						
	DIF	Z	P		Z	P		Z	P		DIF	Z	P		Z	P		Z	P		DIF	Z	P		Z	P		Z	P		Z	P						
	DPP4	-2.8	0.01	-0.1	0.91	-0.6	0.58				DPP4	-1.2	0.24	0.2	0.85	-0.5	0.62				DPP4	1.7	0.09	1.1	0.28	-0.1	0.91											
	MMP14	2.1	0.04	1.2	0.24	1.0	0.33				MMP14	0.9	0.39	-0.1	0.96	-0.8	0.42				MMP14	-1.4	0.17	-1.0	0.34	-1.2	0.25											
	MMP2	0.4	0.70	0.8	0.44	-0.2	0.81				MMP2	1.8	0.07	0.0	1.00	0.0	1.00				MMP2	1.2	0.25	-0.4	0.71	0.0	0.97											
	MMP9	-1.3	0.18	1.2	0.22	0.2	0.80				MMP9	-0.8	0.45	2.4	0.02	1.1	0.26				MMP9	0.5	0.62	1.0	0.33	1.4	0.17											
	GBM vs MI						GBM vs HC						MI vs HC						MI vs HC																			
	HPCs			CD34			KDR			HPCs			CD34			KDR			HPCs			CD34			KDR			HPCs			CD34			KDR				
Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P		Z	P							
MF	Z	P		Z	P		Z	P		MF	Z	P		Z	P		Z	P		MF	Z	P		Z	P		Z	P		Z	P							
CSF2	-0.3	0.73	0.3	0.74	0.9	0.39				CSF2	-2.0	0.05	0.3	0.78	0.3	0.79				CSF2	-1.4	0.16	-0.2	0.85	-0.5	0.62												
CSF3	1.5	0.13	0.5	0.65	0.8	0.44				CSF3	-0.2	0.87	0.4	0.70	0.4	0.71				CSF3	-1.6	0.12	-0.1	0.94	-0.6	0.52												
CXCL12	2.8	0.01	3.3	0.00	0.1	0.93				CXCL12	0.6	0.53	1.3	0.19	-0.6	0.56				CXCL12	-1.7	0.08	-1.8	0.07	-0.8	0.41												
ITG	GBM vs MI						GBM vs HC						MI vs HC						MI vs HC																			
	HPCs			CD34			KDR			HPCs			CD34			KDR			HPCs			CD34			KDR			HPCs			CD34							

	GBM>MI						GBM vs MI						GBM>HC						GBM vs HC						MI>HC						MI vs HC									
	MI>GBM			HPCs			CD34			KDR			HC>GBM			HPCs			CD34			KDR			HC>MI			HPCs			CD34			KDR						
	PAF	Z	P	Z	P		PAF	Z	P	Z	P		PAF	Z	P	Z	P		PAF	Z	P	Z	P		PAF	Z	P	Z	P		PAF	Z	P	Z	P					
PAF	APLN	-2.4	0.02	-1.9	0.05	-1.7	0.09						APLN	-0.6	0.54	-1.3	0.20	-1.4	0.15						APLN	2.4	0.02	0.4	0.66	0.8	0.45									
	CXCL12	2.8	0.01	3.3	0.00	0.1	0.93						CXCL12	0.6	0.53	1.3	0.19	-0.6	0.56						CXCL12	-1.7	0.08	-1.8	0.07	-0.8	0.41									
	CXCL8	-0.2	0.82	-1.3	0.20	-0.8	0.40						CXCL8	1.0	0.34	0.1	0.91	0.2	0.83						CXCL8	1.4	0.15	1.7	0.09	1.3	0.20									
	EGF	0.8	0.45	-1.0	0.30	0.2	0.83						EGF	1.4	0.17	-0.9	0.37	1.7	0.09						EGF	0.3	0.74	0.4	0.71	0.5	0.64									
	EPO	0.0	1.00	-1.8	0.07	-1.3	0.20						EPO	0.0	1.00	0.9	0.35	-2.2	0.02						EPO	0.1	0.94	2.2	0.03	-1.7	0.09									
	FGF2	0.8	0.40	-0.2	0.87	0.6	0.55						FGF2	1.4	0.15	-0.2	0.88	0.5	0.64						FGF2	0.6	0.57	-0.1	0.95	-0.1	0.93									
	HGF	-1.8	0.08	0.2	0.82	-1.3	0.19						HGF	-0.6	0.56	1.4	0.17	-0.3	0.74						HGF	1.3	0.21	1.1	0.25	0.7	0.51									
	IGF1	-2.9	0.00	-1.3	0.18	0.2	0.82						IGF1	-3.3	0.00	-1.3	0.21	1.7	0.08						IGF1	-1.0	0.30	0.5	0.64	1.1	0.27									
	JAG1	3.5	0.00	1.0	0.31	1.2	0.23						JAG1	1.4	0.15	0.6	0.52	0.5	0.64						JAG1	-1.6	0.12	-0.4	0.67	-1.0	0.34									
	KITL	2.2	0.03	2.7	0.01	3.0	0.00						KITL	2.3	0.02	1.1	0.29	2.0	0.05						KITL	0.4	0.73	-0.7	0.48	-0.9	0.35									
PDGFB	1.0	0.31	1.7	0.08	1.6	0.11						PDGFB	-0.4	0.68	0.3	0.74	1.6	0.11						PDGFB	-1.3	0.19	-1.2	0.23	0.2	0.85										
PGF	0.1	0.96	1.2	0.22	-0.3	0.76						PGF	1.1	0.28	1.2	0.23	-1.3	0.18						PGF	1.0	0.32	0.1	0.95	-1.1	0.26										
TGFB	0.1	0.93	-0.2	0.81	-0.2	0.88						TGFB	0.2	0.82	-0.1	0.92	-1.1	0.28						TGFB	-0.6	0.54	-0.1	0.95	-0.8	0.40										
VEGFA	1.0	0.30	0.4	0.70	-1.4	0.17						VEGFA	0.6	0.56	1.2	0.22	-1.1	0.28						VEGFA	-0.6	0.58	0.8	0.42	0.4	0.70										

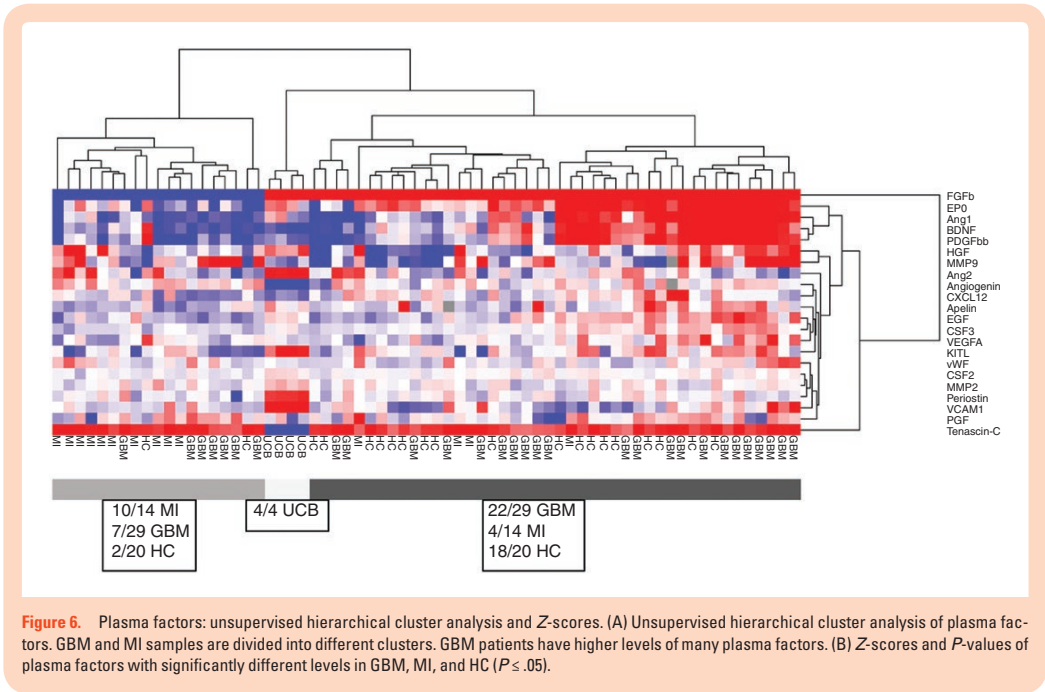
and improve endothelial recovery.<sup>33</sup> In MI strategies to increase the expression of *CXCR4* by circulating progenitor cells lead to improved homing to ischemic myocardium resulting in restoration of the blood flow and a reduction of cardiac damage following the infarction.<sup>24</sup> MMP9 not only induces mobilization of HPCs by cleaving the CXCL12–CXCR4 interaction,<sup>34</sup> but also increases the expression of CXCR4 by bone marrow progenitor cells.<sup>35,36</sup> The increased plasma levels of MMP9 in GBM patients found in the present study corroborate the literature.<sup>37</sup> The elevated levels of tumor-derived MMP9 could cause upregulation of *CXCR4* in CACs of GBM patients. Furthermore, the reduced expression of *DPP4* in GBM HPCs is also associated with a more efficient homing of HPCs to CXCL12-expressing target tissue.<sup>38</sup> It is therefore likely that the elevated expression of *CXCR4* and the reduced expression of *DPP4* by GBM CACs, combined with the high GBM tissue-to-plasma CXCL12 gradient, translate into a highly efficient homing process of CXCR4<sup>+</sup> CACs to GBM tumor. Interference with the MMP9/DPP4/CXCR4/CXCL12 axis in CACs in GBM patients seems a very promising therapeutic option for targeting CAC-mediated neovascularization. In GBM CACs, gene expression of *KITL* was significantly higher than in MI and HC. *KIT* was expressed higher in GBM than in MI HPCs. *KITL* is a cytokine that binds to the KIT receptor; the KIT/KITL receptor/ligand pair is important for hematopoiesis and for the mobilization, chemotaxis/homing, and maintenance of HPCs,<sup>39,40</sup> as well as for angiogenesis.<sup>41–43</sup> The KIT/KITL axis is also essential for neovascularization in glial tumors.<sup>41</sup> In GBM tissue, *KITL* is not only produced by glial tumor cells, but also by neurons.<sup>41</sup> Silencing of *KITL* in glioma cells leads to a decrease in angiogenesis and tumor growth and improved survival.<sup>41</sup> The KIT receptor is widely expressed in GBM endothelial cells and in tumor cells present around foci of necrosis.<sup>44</sup> *KITL* exists in a soluble (sKITL) and membrane bound (mKITL) form.<sup>45</sup> sKITL results from proteolytic cleavage of mKITL.<sup>42</sup> Transmembrane *KITL* is formed by alternative mRNA splicing. The proteolytic cleavage of mKITL to sKITL by MMPs (in particular MMP9) is crucial for the mobilization of HPCs from the bone marrow in a similar fashion as for CXCR4/CXCL12.<sup>46,47</sup> Indeed, we previously found a strong correlation between plasma MMP9 levels and circulating levels of HPCs in GBM patients.<sup>14</sup> In the present study, the primer set used to determine *KITL* mRNA levels did not distinguish between the soluble and transmembrane forms. Hence, we do not yet know if the increased *KITL* gene expression translates to higher levels of sKITL, mKITL, or both in GBM CACs. Importantly, mKITL can act as a chemotactic membrane bound ligand to KIT<sup>+</sup> cells in the target tissue,<sup>48</sup> mediating the homing of mKITL<sup>+</sup> cells to KIT<sup>+</sup> target tissue. Conversely, KIT<sup>+</sup> circulating progenitor cells home to KITL<sup>+</sup> target tissue.<sup>49</sup>

Hence, the high *KITL* expression by GBM CACs, and the high *KIT* expression by GBM HPCs, is expected to facilitate homing to KIT<sup>+</sup>/KITL<sup>+</sup> GBM tissue and stimulate tumor angiogenesis. The role of KIT/KITL in GBM CACs therefore deserves further investigations in the search for targets for CACs-induced neovascularization in GBM.

The functional meaning of our findings should be explored further using in vitro and ex vivo experimental systems, in animal models and finally in clinical trials on humans. FACS or immunomagnetic bead-isolated CACs could be used in chemotaxis/invasion assays (transwell) to determine the potential of GBM versus MI/HC CACs to migrate along gradients of chemoattractants (eg, CXCL12, CCL2, sKITL, sKIT, and sVCAM1) and/or to GBM cells. Silencing of *CXCR4*, *KIT/KITL*, and *ITGA5/ITGA4* in CACs or the addition of CXCR4 blockers (such as AMD3100) or KITL/KIT/Intα5β1/Intα4β1 inhibitors could be used to validate the importance of these factors in the chemoattraction/homing response. Additionally, CACs could be treated with MMP9 to determine its effect on CAC CXCR4 expression and chemotaxis. The angiogenic function of GBM CACs in GBM could be confirmed using 3D angiogenesis assays.<sup>50</sup> Labeled CACs (GBM vs MI/HC) could be injected into the circulation and tumor tissue of a GBM xenograft orthotopic mouse model to determine their tumor-homing capacity and their effect on tumor neovascularization and growth. CACs could be isolated from GBM tissue after having homed to tumor, and their expression profile compared to the original CACs to determine the effect of the GBM microenvironment on CAC gene expression. Inhibition of homing molecules like CXCR4, KITL/KIT, and Intα5β1/Intα4β1 prior to peripheral administration of CACs would validate the function of these molecules in vivo. Finally, clinical trials can be developed investigating the effect of blocking the mobilization and/or tumor homing of CACs on GBM neovascularization and growth (eg, by blocking circulating MMP9 or VCAM1, both elevated in GBM patient plasma and correlating positively with levels of HPCs and KDR<sup>+</sup> cells, respectively<sup>14</sup>). Lowering the levels of plasma MMP9 would reduce CAC CXCR4 expression<sup>35,36</sup> and diminish their homing capacity to tumor CXCL12. Similarly, blockage of CXCR4 using, eg, AMD3100 could abrogate the homing potential of CACs.<sup>51</sup> Since AMD3100 also mobilizes CACs from the bone marrow, alternative homing mechanisms than the CXCR4/CXCL12 axis may need to be targeted simultaneously to prevent CACs from reaching GBM tissue using alternative routes (eg, KIT/KITL, Intα4β1/VCAM1).

Our results can eventually be translated toward developing disease-specific therapies targeting CAC-induced neovascularization. Crucial to the development of these targeted therapies is maintaining the balance between effective anti-angiogenic therapy and preservation of the necessary regenerative capacities of the organism.

**Figure 5.** Differential gene expression between GBM, MI, and control groups. Z-scores and *P*-values of CAC subset gene expression (–dCt values) differences in patients and controls (Mann–Whitney *U*-test; SPSS version 25). Comparisons are made for each CAC subset included (HPCs, CD34<sup>+</sup> cells, KDR<sup>+</sup> cells) between patients (GBM, MI) and controls (HC, UCB). Genes are organized based on their function: PAFs, proangiogenic factors; GFRs, growth factor receptors; CRs, chemotactic receptors; DIFs, de-adhesion and invasion factors; MFs, mobilization factors; ITG, integrins (adhesion factors); Other, matricellular modulators of angiogenesis (*POSTN/TNC*) and the progenitor cell marker *CD133*.



### Supplementary Data

Supplementary data are available at *Neuro-Oncology Advances* online.

### Keywords

angiogenesis | circulating angiogenic cell | endothelial progenitor cell | glioma | hematopoietic progenitor cell | myocardial infarction | neovascularization.

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## CHAPTER 5

# PERIOSTIN IS EXPRESSED BY PERICYTES AND IS CRUCIAL FOR ANGIOGENESIS IN GLIOMA





ORIGINAL ARTICLE

# Periostin Is Expressed by Pericytes and Is Crucial for Angiogenesis in Glioma

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## Abstract

The expression of the matricellular protein periostin has been associated with glioma progression. In previous work we found an association of periostin with glioma angiogenesis. Here, we screen gliomas for *POSTN* expression and identify the cells that express periostin in human gliomas. In addition, we study the role of periostin in an in vitro model for angiogenesis. The expression of periostin was investigated by RT-PCR and by immunohistochemistry. In addition, we used double labeling and in situ RNA techniques to identify the expressing cells. To investigate the function of periostin, we silenced *POSTN* in a 3D in vitro angiogenesis model. Periostin expression was elevated in pilocytic astrocytoma and glioblastoma, but not in grade II/III astrocytomas and oligodendrogliomas. The expression of periostin colocalized with PDGFR $\beta$ <sup>+</sup> cells, but not with OLIG2<sup>+</sup>/SOX2<sup>+</sup> glioma stem cells. Silencing of periostin in pericytes in coculture experiments resulted in attenuation of the numbers and the length of the vessels formation and in a decrease in endothelial junction formation. We conclude that pericytes are the main source of periostin in human gliomas and that periostin plays an essential role in the growth and branching of blood vessels. Therefore, periostin should be explored as a novel target for developing anti-angiogenic therapy for glioma.

**Key Words:** Angiogenesis, Glioblastoma, Glioma, Matricellular protein, Periostin, Vasculogenesis.

## INTRODUCTION

In order to find new targets for effective anti-angiogenic therapy for gliomas, the identification of molecules that play key roles in neovascularization is crucial. In spite of the fact that gliomas are among the tumors with highest degree of vascularization, anti-angiogenic therapies have not yielded major improvements in clinical outcome (1). It remains unclear why anti-angiogenic therapies largely fail, and whether the currently used drugs address all players in the complex process of angiogenesis. Levels of vascular endothelial growth factor (VEGF) are associated with tumor hypoxia that increases with tumor progression. VEGF inhibitors like bevacizumab are only used in patients with high-grade gliomas/glioblastomas (GBM) (2). The blood vessels in GBM show proliferation of endothelial cells, pericytes, and other mural cells, altogether designated as microvascular proliferation. However, notable changes in protein expression patterns of the vessel walls of gliomas that do not yet show microvascular proliferation have been recorded (3, 4). Given the notion that shifts in protein expression patterns have been recorded in the vasculature of low-grade gliomas, new targets for anti-angiogenic therapies in glioma should be explored.

In a previous study, we identified some proteins that are specifically upregulated in tumor angiogenesis (3). Among the proteins identified were  $\alpha$ V-integrin and the matricellular proteins tenascin-C and, most prominently, periostin. Matricellular proteins are expressed during development, tissue repair and cancer and contribute to angiogenesis by making the extracellular matrix permissive for new vascular sprouts (5–11). In various epithelial tumors increased levels periostin were found (5, 12–15) and a prominent role of periostin at sites of metastasis was reported (16). Periostin has been associated with glioma invasion and vasculature (3, 17–19), and recently its interference with anti-angiogenic therapies was highlighted (20). Most data were obtained in mouse models and data on the expression site of *POSTN* in human glioma are sketchy. In addition, the direct effects of periostin expression on glioma angiogenesis have not yet been investigated.

In this study, we explored the expression of periostin in human glioma samples by immunohistochemical detection of

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co-expression patterns and RNA in situ hybridization and found expression of periostin by PDGFR $\beta$ <sup>+</sup> pericytes without overlap with SOX2<sup>+</sup>/OLIG2<sup>+</sup> glioma stem cells. Silencing of the *POSTN* gene in cultured pericytes resulted in a reduction of angiogenic capacity, proving the importance of periostin for glioma angiogenesis.

MATERIALS AND METHODS

Tissue Samples

Tissue samples of 21 GBM, 10 pilocytic astrocytomas (PA), 19 grade II and III astrocytoma (A II/III), and 9 oligodendrogliomas grade II/III (O II/III) were obtained from the Department of Pathology, Erasmus Medical Center, Rotterdam (Table 1). Pathology diagnoses were in accordance with the WHO criteria including the molecular criteria. IDH1 mutation was present in 2/21 GBMs, in 18/19A II/III, and in all O II/III. All oligodendrogliomas had 1p/19q codeletion (Table 1). In order to make comparisons with normal brain and other vascular lesions, we included 11 normal brain samples, 5 cavernous hemangiomas (CH), 10 arteriovenous malformations (AVM), and 10 hemangioblastomas (HB). The age and gender distributions of the patients are shown in Table 1. All patients had given consent for using their biomaterials and the study was approved by the medical ethical committee of the Erasmus Medical Center.

RNA Isolation and RT-PCR

Fresh-frozen samples (n = 67) and formalin-fixed paraffin-embedded (FFPE) samples (n = 28) were used for RNA isolation. For each fresh-frozen sample, 10–15 sections of 20- $\mu$ m thickness were cut by a cryostat. Sections were collected in RNase free Eppendorf tubes, snap frozen on dry ice, and stored at –80°C until RNA isolation. To verify the presence of tumor in all the sections used for RNA isolation, 5- $\mu$ m sections before and after sampling for RNA isolation were collected, H&E-stained and studied by a pathologist (J.M.K.). Total RNA was isolated using RNA-Bee (Campro, Veenendaal, The Netherlands) according to the instructions supplied by the manufacturer. For FFPE samples, RNA isolation and quality control was performed as described previously (3, 4).

Following isolation, RNA samples were diluted in nuclease-free water, snap frozen on dry ice and subsequently

stored at –80°C. Total RNA quantity was determined by Nanodrop and RNA integrity was checked using gel electrophoresis. To generate cDNA, 1  $\mu$ g of total RNA was reverse transcribed using the RevertAid cDNA synthesis kit (Fermentas, Waltham, MA). cDNA samples were stored at –20°C until they were measured by RT-PCR. siPOSTN sequences were purchased from Dharmacon (Cambridge, UK) (siPOSTN 1: catalogue #: J-020118-05-0005; siPOSTN 2: catalogue #: J-020118-06-0005). Exon-spanning TaqMan Gene Expression Assays of periostin (Hs00170815\_m1, Applied Biosystems, Foster City, CA) was used to measure the expression of periostin. Expression of HPRT1 (Hs01003267\_m1) and ACTB (Hs99999903\_m1) were used as reference genes. RT-PCR to the endothelial marker CD31 was performed in order to correct for blood vessel density in a selection of samples (n = 67). PCR was performed in a 20  $\mu$ L reaction volume in the Applied Biosystems 7500 Fast Real-Time PCR System. Negative controls using H<sub>2</sub>O only samples were included and showed to be negative in all cases.

Mann-Whitney *U* test was used to perform statistical analysis. All glioma subgroups were compared with each other, and *p* value <0.01 was considered statistically significant.

Immunohistochemistry

FFPE samples corresponding to the same sample used for RNA isolation were used for immunohistochemistry. Antibodies for periostin, CD31, PDGFR $\beta$ , SOX2, and OLIG2 were used as previously described (2) (Table 2).

Confocal Imaging

A confocal laser-scanning microscope (LSM510; Carl Zeiss MicroImaging, Inc., Thornwood, NY) was used. A diode laser was used for excitation of DAPI at 405 nm, an argon laser for FITC at 488 nm and a HeNe-laser for Cy5 at 633 nm. For DAPI an emission bandpass filter of 420–480 nm, for FITC the bandpass filter of 500–530 nm, and for Cy5 a bandpass filter of 650 nm were used.

In Situ Hybridization

The RNAscope 1 2.0 HD Brown Chromogenic Reagent Kit and Hs-*POSTN* probe (#409181) were used, according to

TABLE 1. Patient and Tumor Characteristics

	Mean Age(SD)	Sex(m/f)	IDH1wt/mut	1p/19q CodelYes/No	Total
Glioblastoma	47.4 (12.7)	15/6	19/2	0/21	21
Pilocytic astrocytoma	23.4 (18.6)	3/7			10
Astrocytoma (grade II/III)	43.2 (14.8)	7/12	1/18	0/19	19
Oligodendroglioma (grade II/III)	50.7 (7.8)	6/3	0/9	9/0	9
Normal brain	49.3 (14.8)	5/6			11
Cavernous hemangioma	19.4 (11.2)	1/4			5
Hemangioblastoma	1.3 (21.2)	6/4			10
Arterio-venous malformation	39.8 (18.3)	8/2			10

SD, standard deviation; m, male; f, female; IDH1, isodehydrogenase 1; wt, wild type; mut., mutation; codel, codeletion.

**TABLE 2.** Z Scores of Periostin Expression Tumors, Malformations and Normal Brain and p Values of Differences in Expression Between Tissues

			GBM	PA	AII/III	Oligo	n.b.	CH	HB	AVM
GBM	POSTN (–dCt)	Z		–1.10	–3.50	–2.86	–2.98	–0.81	–0.19	–0.82
		p		0.27	0.00	0.00	0.00	0.42	0.85	0.41
	POSTN/CD31 (–dCt)	Z		–0.39	–2.22		–2.69	–0.06	–1.80	–1.13
		p		0.70	0.03		0.01	0.95	0.07	0.26
PA	POSTN (–dCt)	Z	–1.10		–3.30	–3.03	–3.39	–0.25	–1.66	–1.74
		p	0.27		0.00	0.00	0.00	0.81	0.10	0.08
	POSTN/CD31 (–dCt)	Z	–0.39		–2.72		–3.59	–0.55	–2.88	–1.78
		p	0.70		0.01		0.00	0.58	0.00	0.08
AII/III	POSTN (–dCt)	Z	–3.50	–3.30		–0.49	–0.18	–2.19	–3.60	–2.12
		p	0.00	0.00		0.62	0.86	0.03	0.00	0.03
	POSTN/CD31 (–dCt)	Z	–2.22	–2.72			–0.32	–1.53	–1.29	–1.10
		p	0.03	0.01			0.75	0.13	0.20	0.27
Oligo	POSTN (–dCt)	Z	–2.86	–3.03	–0.49		–0.38	–1.91	–3.56	–1.96
		p	0.00	0.00	0.62		0.71	0.06	0.00	0.05
	POSTN/CD31 (–dCt)	Z								
		p								
n.b.	POSTN (–dCt)	Z	–2.98	–3.39	–0.18	–0.38		–2.34	–3.43	–1.92
		p	0.00	0.00	0.86	0.71		0.02	0.00	0.05
	POSTN/CD31 (–dCt)	Z	–2.69	–3.59	–0.32			–2.15	–1.76	–1.41
		p	0.01	0.00	0.75			0.03	0.08	0.16
CH	POSTN (–dCt)	Z	–0.81	–0.25	–2.19	–1.91	–2.34		–0.86	–1.47
		p	0.42	0.81	0.03	0.06	0.02		0.39	0.14
	POSTN/CD31 (–dCt)	Z	–0.06	–0.55	–1.53		–2.15		–1.47	–1.04
		p	0.95	0.58	0.13		0.03		0.14	0.30
HB	POSTN (–dCt)	Z	–0.19	–1.66	–3.60	–3.56	–3.43	–0.86		–1.10
		p	0.85	0.10	0.00	0.00	0.00	0.39		0.27
	POSTN/CD31 (–dCt)	Z	–1.80	–2.88	–1.29		–1.76	–1.47		–0.68
		p	0.07	0.00	0.20		0.08	0.14		0.50
AVM	POSTN (–dCt)	Z	–0.82	–1.74	–2.12	–1.96	–1.92	–1.47	–1.10	
		p	0.41	0.08	0.03	0.05	0.05	0.14	0.27	
	POSTN/CD31 (–dCt)	Z	–1.13	–1.78	–1.10		–1.41	–1.04	–0.68	
		p	0.26	0.08	0.27		0.16	0.30	0.50	

Abbreviations: GBM = glioblastoma; PA = pilocytic astrocytoma; A II/III = astrocytoma WHO grades II and III; OLIGO = oligodendroglioma WHO grades II and III; n.b. = normal brain; CH = cavernous hemangioma; HB = hemangioblastoma; AVM = arteriovenous malformation; POSTN = periostin. P < 0.05 highlighted.

the manufacturer’s instruction (Advanced Cell Diagnostics, Hayward, CA). Briefly, prepared slides were baked for 1 hour at 60°C prior to use. After deparaffinization and hydration, tissue and cells were air-dried and treated with a peroxidase blocker before heating in a target retrieval solution (#320043) for 20 minutes at 95–100°C. Protease (#320045) was then applied for 30 minutes at 40°C. *POSTN* probe was hybridized for 2 hours at 40°C, followed by a series of signal amplification and washing steps. Hybridization signals were detected by chromogenic reaction using DAB chromogen followed by 1:1 (vol/vol)-diluted hematoxylin (Fisher Scientific, Pittsburg, PA) counterstaining.

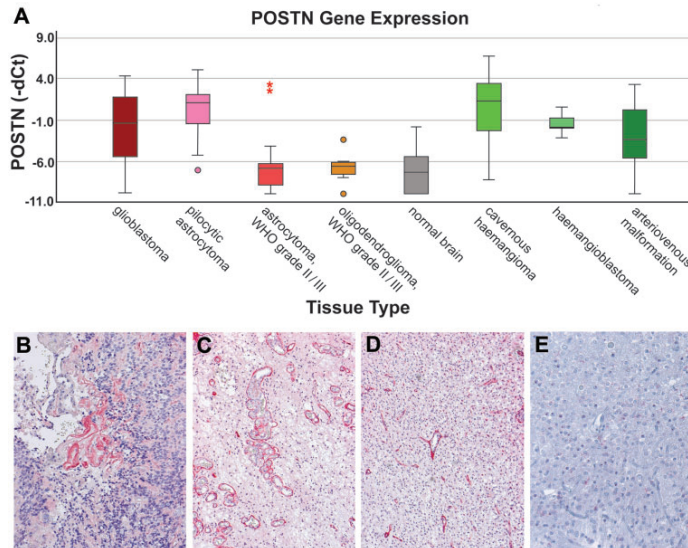
Cell Culture Experiments

In order to investigate the main source of periostin, various cell lines were used. HUVEC (ScienCell-1800), human brain vascular pericytes (ScienCell-1200), and human astro-

cytes (ScienCell-8000) were cultured following the manual protocols. Periostin expression in cell lysates was measured by Western blotting using Periostin antibody (HPA012306, 1:50, Sigma Life Sciences, St. Louis, MO). In addition, GBM cell line U87 was cultured for 3 days. After that, U87-conditioned media was added to the cultures of HUVECs, pericytes and astrocytes for 3 days. The expression of periostin in the cell lysate and the media was measured in the 3 cells lines by West-ern blot.

Silencing of POSTN

Two different siRNA sequences of periostin were used (Dharmacon, GE Health Care, Eindhoven, The Netherlands). A mix of nontargeting siRNA (referred to as siSham) were also obtained from Dharmacon and used as a negative control for silencing. Silencing experiments were performed using transfection buffer 1, following the manufacturer’s instructions. Human



**FIGURE 1.** Results of RT-PCR to *POSTN* and immunohistochemistry to periostin. **(A)** Box plots of RT-PCR to *POSTN*. Highest expression levels of *POSTN* were found in GBM and PA. Significantly lower levels of expression are encountered in A II/III and O II/III. In HB and cerebral vascular malformations (CH and AVM), *POSTN* expression levels are high relative to A II/III and O II/III. In normal brain (n.b.) samples, lowest expression was recorded. **(B–E)** Periostin immunohistochemistry in GBM. Expression is concentrated around areas of MVP **(B)**. The expression is confined to the proliferated vessels in PA **(C)**. In A II/III **(D)** and O II/III **(E)**, periostin expression is found in around scattered blood vessels ( $\times 100$ ).

brain vascular pericytes were transfected for 24 and 48 hours. RNA and proteins were isolated subsequently. The silencing efficiency was evaluated by RT-PCR and by Western blotting, following the protocols that were previously described.

### 3D In Vitro Angiogenesis Model

Pericytes were stained with DsRed and mixed with GFP labeled HUVECs at 1:5 ratio as described previously (21). To create a 3D in vitro angiogenesis model, bovine collagen type 1 was used. The parameters of angiogenesis, namely: number of tubule formation, length of tubule formation, and number of junctions were measured following 3 days of coculturing. These experiments were repeated 6 times.

## RESULTS

### POSTN Expression Is Associated With Angiogenesis in the Glial Tumors

The RNA expression of *POSTN* was corrected for vessel density by relating it to the expression of the endothelial marker CD31. *POSTN* expression was strongly elevated in PA and GBM as compared with that in normal brain (Fig. 1A). *POSTN* expression was also high in CH, HB, and AVM. The expression was low in A II/III and O II/III. The absolute expression and expression relative to vessel density (CD31 expression) are shown in Table 2. The results of immunohistochemistry were in line with those of the RT-PCR

(Fig. 1B–E). In GBM, the expression of periostin was present in the perivascular area of hypertrophic and glomeruloid vessels, with dissemination in the neuropil (Fig. 1B). In the PAs expression was confined to the hypertrophied vasculature (Fig. 1C). The expression levels of periostin in A II/III and O II/III were comparable to those found in control brain samples (Fig. 1D, E). In AVM and CH, periostin was variably expressed in arteries and veins. In the HB, only a minority of capillaries was surrounded by perivascular periostin.

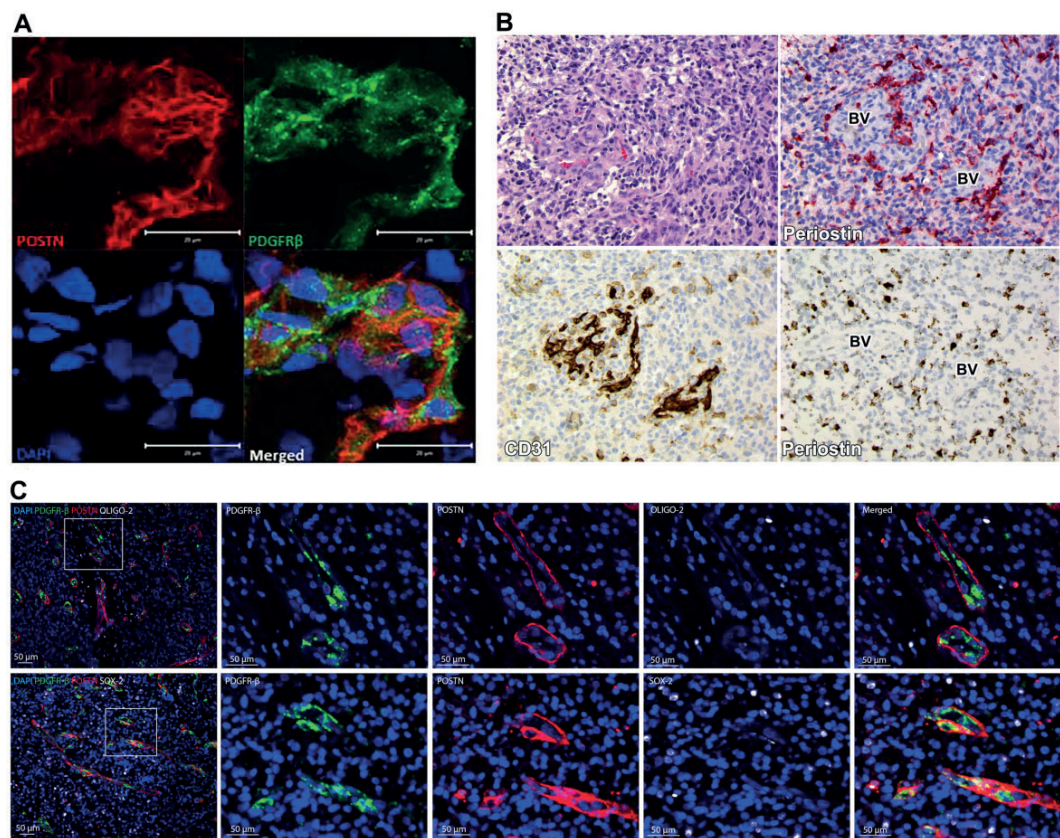
### POSTN Is Expressed by Pericytes

In order to characterize the cells that express periostin, we performed double labeling fluorescent IHC. Periostin expression colocalized with PDGFR $\beta^+$  pericytes (Fig. 2A). RNA in situ hybridization revealed that periostin protein expression localized with *POSTN* expression in scattered cells present just outside the cells expressing CD31 (Fig. 2B). GFAP-positive cells did not express *POSTN* (data not shown). IHC to the stem cell markers SOX2 and OLIG2 revealed that the expression of periostin does not colocalize with SOX2 and OLIG2-positive cells (Fig. 2C).

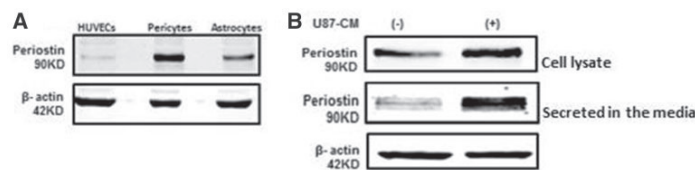
### Pericytes Are the Main Source of POSTN

In order to study the function of *POSTN*, we first identified the periostin expressing cells in vitro. Western blotting using a periostin specific antibody confirmed that pericytes are the main source of expression. In addition, periostin expres-





**FIGURE 2.** Periostin is expressed by pericytes. **(A)** Glioblastoma tissue immunostained for periostin and the pericyte marker PDGFRβ. There is overlapping expression of PDGFRβ and periostin (×400). **(B)** RNA in situ hybridization to *POSTN* in glioblastoma (lower right panel) reveals expression in scattered cells just outside of the endothelial layer. The CD31-positive endothelial cells (lower left panel) do not overlap with this RNA expression of *POSTN* (×40). **(C)** Cells expressing the stem cell transcription markers SOX2 and OLIG-2 do not overlap with the cells expressing periostin (IHC; ×40; DAPI counterstaining).

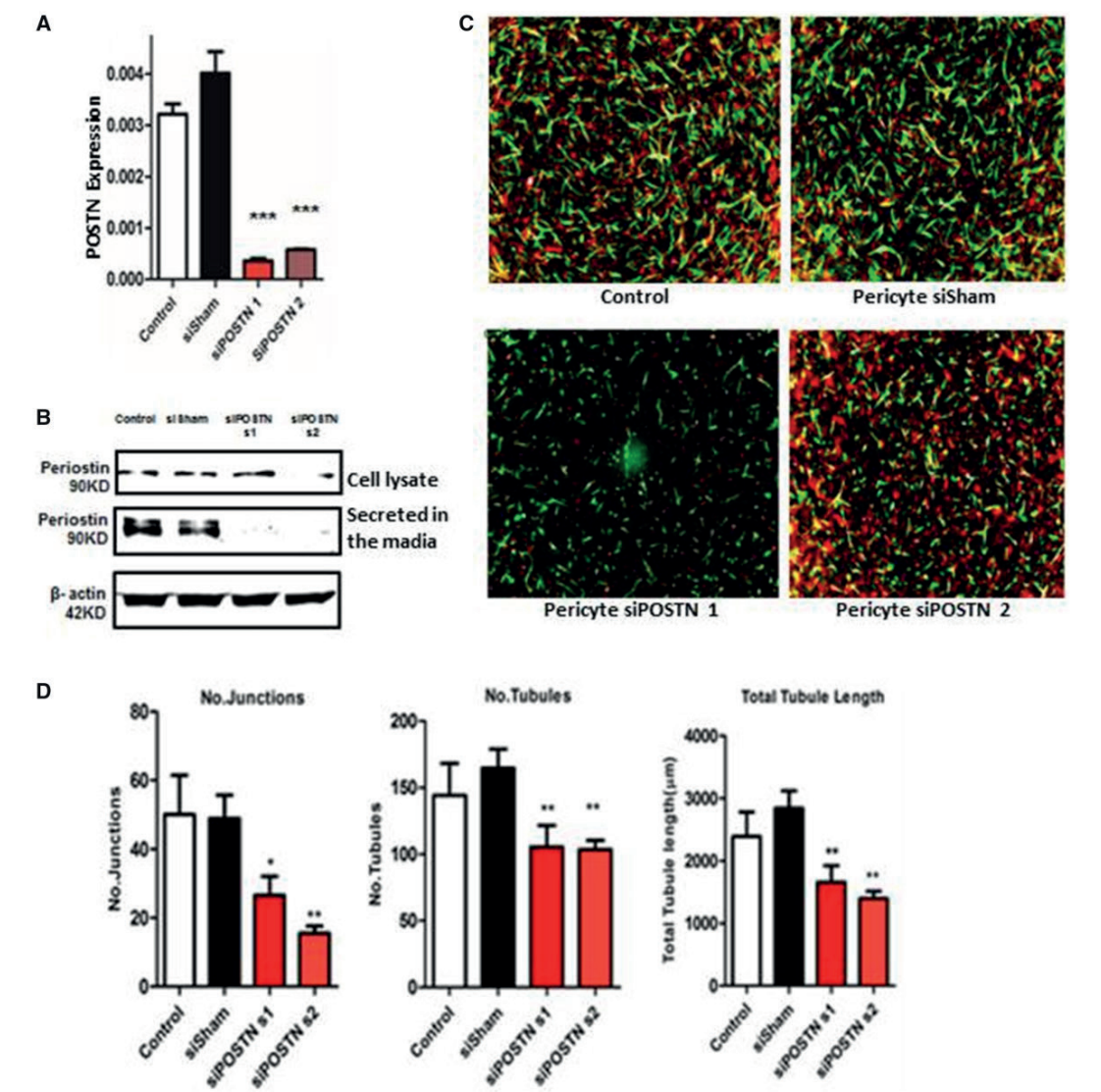


**FIGURE 3.** Western blotting to *POSTN* in cell cultures of various lineages. **(A)** Western blots for periostin in cell cultures of HUVEC (endothelial cells), pericytes, and normal astrocytes. *POSTN* expression is high in pericytes while expression is lower in astrocytes and absent from HUVEC. **(B)** Periostin protein expression by cultured pericytes w/o cell lysates of the glioma cell line U87, or U87-conditioned media (U87-CM). Increased expression of periostin is observed following the addition of U87-CM, and after culturing the pericytes in the presence of U87 cell lysates.

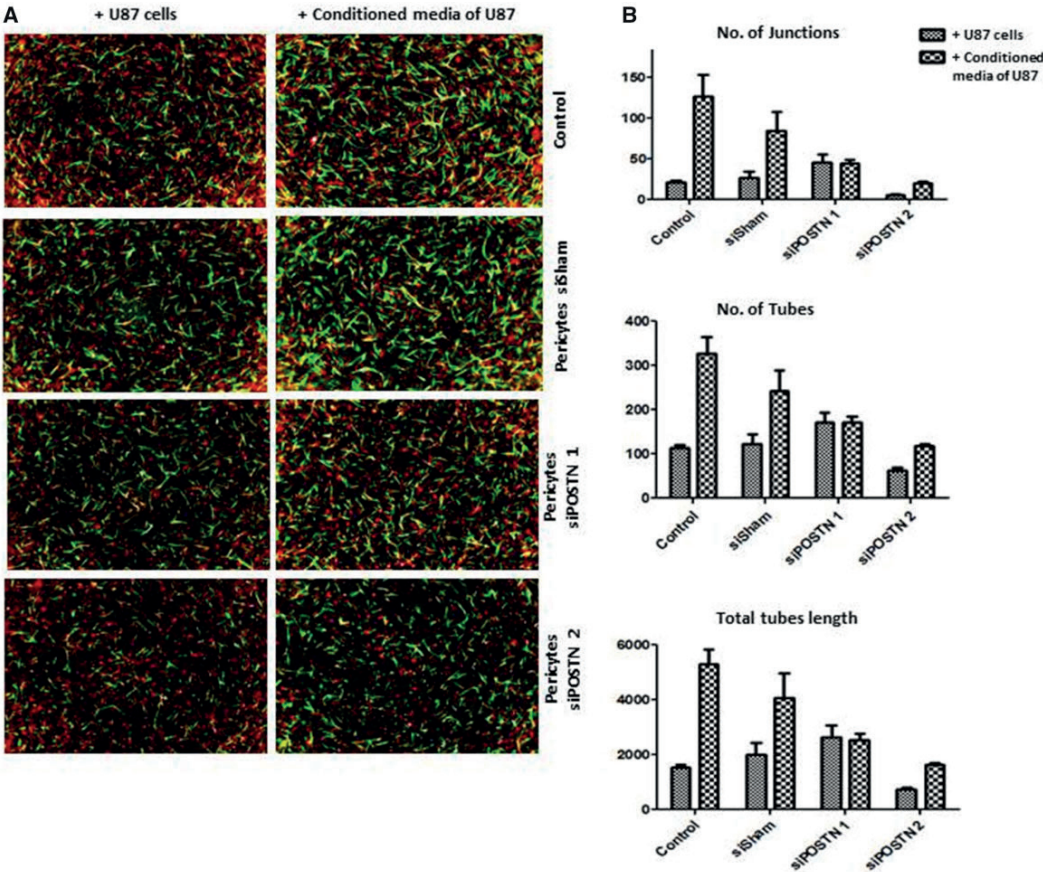
sion was found in astrocytes also, but to a lesser extent than in pericytes. No expression was detected in endothelial cells (Fig. 3A). To investigate periostin expression in the presence of glial tumor cells, we added the conditioned media of U87

cells to the cultured pericytes and measured the expression of periostin after 24 hours. Glioma-conditioned media stimulated pericytes to express higher level of periostin (Fig. 3B). Increased periostin expression was also obtained following cul-





**FIGURE 4.** In vitro angiogenesis following silencing of *POSTN*. **(A)** Bar diagram of RT-PCR results showing the successful silencing of *POSTN* by 2 siRNAs. **(B)** Western blots showing levels of periostin in pericyte cell lysates and conditioned media, following silencing of *POSTN* in the pericytes. Silencing by sequence #2 resulted in significant reduction of periostin expression in the pericyte cell lysates and conditioned media. Silencing by sequence #1 resulted in reduced expression only in the conditioned media, not in the cell lysates. **(C)** Images of the 3D angiogenesis culture assay (pericytes stained with DsRed, HUVEC expressing GFP) using different conditions: Off-target silencing sequences (siSham) did not affect the formation of the blood vessels (upper panel right); effective silencing of *POSTN* resulted in significant reduction of formation of angiogenesis for both sequences (lower panels). **(D)** Bar diagrams showing the results of *POSTN* silencing in the pericytes on angiogenesis. For both silencing sequences, significant reductions in numbers of tubules (middle panel), tubular lengths (right panel), and number of vascular junctions (left panel) was achieved.



**FIGURE 5.** In vitro angiogenesis following silencing of *POSTN* in the presence of U87 cells or U87-conditioned medium. **(A)** Images of the angiogenesis culture assay following silencing of *POSTN* (using 2 different sequences) combined with U87 cells or U87 condition medium. **(B)** Quantification of the numbers and lengths of tubes and junctions. The effects of silencing *POSTN* on the number of vascular tubes, their length and the number of junctions were reduced in the presence of U87 cells or U87-conditioned medium.

turing the pericytes in the presence of U87 cell lysates (Fig. 3B).

**POSTN Effect on In Vitro Angiogenesis**

In functional assays, we silenced *POSTN* in pericytes and used a 3D in vitro angiogenesis assay. Silencing *POSTN* was achieved by 2 different siRNA sequences and *POSTN* was successfully downregulated using siPOSTN for both sequences (n = 3; mean ± SEM; p = 0.005) (Fig. 4A). Effective downregulation of periostin protein in conditioned media and cell lysates of the cultured pericytes was achieved by using sequence #2. Following the use of sequence #1, downregulation of *POSTN* expression was only detected in the conditioned media, not in the cell lysates (Fig. 4B). The pericyte cultures silenced for *POSTN* were cocultured with endo-

thelial cells in the 3D in vitro angiogenesis model. The number and length of the tubules and the number of junctions formed in the assay revealed significant differences for both silencing sequences (Fig. 4C,D).

**In Vitro Angiogenesis Following Silencing of POSTN Partially Restored in the Presence of U87 Cells or U87 Conditioned Medium**

The effects of silencing of periostin on angiogenesis was measured following the introduction of U87 (glioma) cells and following the addition of U87-conditioned media to the culture system. The angiogenesis-inhibiting effect of *POSTN* silencing in pericytes was partially saved by the addition of U87 cells or conditioned medium (Fig. 5A, B). The effects of silencing were stronger by using sequence #2.

## DISCUSSION

In this study, we investigated the expression of periostin in gliomas of various malignancy grades and found the highest levels of expression in gliomas with proliferated microvasculature, that is, GBM and PA. Periostin expression appeared also to be high in other cerebral lesions with angiogenic activity, like HB and vascular malformations. In gliomas of lower malignancy grade, in which no visible changes of the vessel walls exist, the expression levels were comparable to those in normal brain. Both in the tissue samples of the patients and in the cell cultures, periostin was expressed by PDGFR $\beta$ <sup>+</sup> pericytes. However, in the cell cultures low-level expression by astrocytes was also observed. In the functional studies, we showed that periostin expression is necessary for proper formation of vasculature and that the presence of glioma cells (or their secretome) positively influences the angiogenesis-promoting effects of periostin.

Periostin is a matricellular protein and member of the tumor growth factor (TGF) family and its expression is induced by TGF- $\beta$  and BMP-2 (22). Periostin promotes the incorporation of tenascin-C into the extracellular matrix (23) and interacts with bone morphogenic proteins 1/2 (BMP1/2) for the regulation of collagen cross-linking (24). Periostin interacts with various matricellular proteins in reparative processes and plays a role in the epithelial-mesenchymal transition in the context of neoplasia (2, 23–27). In recent years, it became clear that periostin plays roles in the proliferation, migration and the epithelial-mesenchymal transition of cancer (28–31). In breast cancer, periostin is expressed by tumor associated fibroblasts and promotes the proliferation and metastatic capacities of the tumor cells (32, 33). The N-terminal region of the molecule binds to integrins  $\alpha$ V $\beta$ 3,  $\alpha$ V $\beta$ 5, and  $\alpha$ 6 $\beta$ 4 through its FAS domain (28), thereby promoting migration of tumor cells via the activation of Akt/PKB and focal adhesion kinase-mediated signaling pathways (14). In accordance, knock-down of *POSTN* in the ErbB2/Neu-driven murine breast tumor model results in reduced activity of the Notch signaling pathway and deceleration of tumor growth (34, 35). In breast and colonic cancer, it was shown that the expression of periostin by stromal cells is induced by tumor cells and is associated with cell proliferation, immune evasion, migration and genomic instability and decreases apoptosis of cancer cells (14, 36, 37). Periostin was associated with angiogenesis in wound healing and vascular heart disease, and also in neoplasia (38–44). In breast cancer-associated angiogenesis, the endothelial cells that navigate the branching of newly formed vessels, the vascular tip cells, also transiently express periostin (45).

To date, only few studies have focused on the expression of periostin in glial neoplasms and its expression was associated with tumor cell invasion (3, 17, 20, 46, 47). In contrast to periostin, the matricellular proteins tenascin-C and integrin- $\alpha$ V have been strongly associated with glioma angiogenesis (48–52). It is likely that endothelial cells and pericytes are responsible for the perivascular expression of tenascin-C while the proliferating glial tumor cells are the extravascular source of this protein (53, 54). The expression of tenascin-C is induced by several angiogenic factors, including VEGF, acidic

and basic fibroblast growth factors (FGF), platelet-derived growth factor (PDGF), and tumor necrosis factor (TNF) (55). The perivascular presence of tenascin-C correlates with microvessel density and tumor cell proliferation (49, 50, 52, 53). Therefore, tenascin-C was selected as target for experimental tumor therapy with the use of radio-labeled anti-tenascin-C monoclonal antibodies (55). Integrin- $\alpha$ V is another molecule that interacts with periostin and not only plays a role in angiogenesis, but also in the proliferation, migration and invasion of the tumor cells (56). Integrins coordinate the interaction of the extracellular matrix with the cytoskeleton. Tenascin-C preferentially binds to integrin- $\alpha$ V $\beta$ 3 (56). The expression of integrin- $\alpha$ V is increased during physiological angiogenesis (56, 57) and has been found upregulated in vascular malformations just as we found to be the case with respect to periostin (58). In the CNS, VEGF triggers the expression of integrin- $\alpha$ V by pericytes and endothelial and glial cells. The expression of integrin  $\alpha$ V $\beta$ 3 parallels the progression from low-grade to high-grade tumors (5, 59–61). Literature data point to upregulation of periostin expression by hypoxia and VEGF-driven angiogenesis (62–66). However, the expressional regulation seems more complex from the present findings. We found high expression of periostin in GBM as opposed to low expression in the lower-grade gliomas in which hypoxia is not yet dominant. However, hypoxia certainly drives angiogenesis in GBM, but the vascular proliferation in PA seems not essentially hypoxia-driven while the hypertrophied vasculature differs in architecture and protein expression patterns (67). We conclude that periostin expression contributes to aberrant angiogenesis, both in malformations and in gliomas, and that the formation and structure of the malformed blood vessels is a result of the cellular and environmental context of its expression.

Recently, it was suggested that periostin plays a role in the maintenance of stem cells in normal bone marrow and in the maintenance of leukemia-initiating cells (68). Among various extracellular matrix proteins, periostin was identified as important for the glioma stem cell niche (69). A similar effect of periostin on breast cancer stem cells has been described (32). In mice, it was shown that glioma stem cells defined by expression of SOX2 and OLIG2 produce periostin that stimulates the recruitment of tumor-associated macrophages through  $\alpha$ V $\beta$ 3 integrin signaling. In addition, periostin remodels the tumor micro-environment in concert with osteopontin and proteins of the CNN family by interaction with tumor-associated macrophages and other immune cells (69). Following their arrival in the brain, monocytes differentiate into M2-like macrophages that promote tumor progression and counteract the antitumor effects of T lymphocytes. In GBM the secretion of periostin was preferentially seen around cells marked by OLIG2 and SOX2 (69). In this study, we identified the PDGFR $\beta$ <sup>+</sup> pericytes as the source of periostin production. The perivascular RNA expression and the overlap with the protein by scattered cells corroborate this finding. In another recent paper, periostin secretion has been associated with glioma cell invasion, adhesion, migration and stem cell survival in gliomas, and correlates directly with glioma grade (70). Periostin expression was reportedly found in tumor cells but no double labeling for GFAP or other markers was provided.



The association between the expression levels of periostin on the one hand, and glioma grade and patient survival on the other, was explained by the increase in angiogenesis during glioma progression (70). Although we found expression of periostin in cultured astrocytes, we were unable to detect any expression in astrocytic tumor cells in the human glioma samples. We are, however, unable to confirm expression of periostin by any of the numerous cells expressing OLIG2 or SOX2, and we did not observe its overlap with the expression of SOX2 or OLIG2. Unfortunately, Zhou et al did not include immunohistochemistry to PDGFR in their study, which might have identified the true origin of periostin in their GBM samples. Therefore, we are unable to confirm expression of periostin by glioma tumor cells or glioma stem cells. The data indicate that the periostin expression in mice is only partly recapitulated in man.

In conclusion, periostin expression in gliomas serves a variety of functions that relate to neo-angiogenesis, an association that is also present in cerebral vascular malformations. The expression is significant in gliomas with microvascular proliferation (GBM and PA). We identified PDGFR<sup>+</sup> pericytes as the source of periostin, a finding that is relevant to new anti-angiogenic strategies in glioma.

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# **CHAPTER 6**

# **SUMMARY**

The overall aim of our project was to elucidate the role of both the tumor microenvironment and macro-environment (the blood) in glioblastoma (GBM) neovascularization, with a focus on circulating angiogenic cells (CACs). More specifically, we aimed to answer the following questions:

- 1) Which subtypes of CACs are mainly involved in GBM neovascularization compared to regenerative neovascularization (as represented by myocardial infarction, MI; Chapter 3)?
- 2) Do qualitative (gene expression) differences exist within CAC subsets between GBM and regenerative (MI) and developmental (fetal) neovascularization. If so, which (treatment-targetable) genes are predominantly involved (Chapter 4)?
- 3) What is the cellular source and the role of the matricellular protein periostin in GBM vessel formation (Chapter 5)?

To answer questions 1 and 2, we formulated the additional research question:

- 4) How can CAC subsets be best identified, characterized and isolated using Fluorescence-Activated Cell Sorting (FACS; Chapter 2)?

Since the definitions of “endothelial progenitor cells” EPCs and CACs are used promiscuously and confusingly in the literature, we will explain what we consider to be CACs versus EPCs for this chapter. In our technical paper [1], we used the term EPC as an overarching denotation describing both circulating pro-angiogenic cell types able to fully differentiate into mature endothelial cells and partake in the vessel wall and circulating cell types without endothelial differentiation capacity, stimulating target tissue neovascularization by paracrine pro-angiogenic factor secretion. The term EPC is widely used in the literature in this fashion. However, using this definition of ‘EPC’ does not allow for a separation between cells able as opposed to unable to differentiate into endothelial cells. For that reason, we later moved to a stricter definition of EPC, more in line with recent literature: cells able to fully differentiate into endothelial cells only (thus driving actual vasculogenesis), while we reserved the overarching term CAC to include the kaleidoscopic mixture of all cell types able to stimulate neovascularization in target tissue, regardless of their lineage or endothelial differentiation capacity. In the Introduction chapter, we furthermore specified a CAC subset with hematopoietic origin as ‘pro-angiogenic hematopoietic cells’ (PAHCs), which are usually acting by paracrine factor secretion to stimulate neovascularization (and therefore do not fit the definition of EPC used here). That having said, cells tend to not stick to the delimited functions we want to impose on them for the sake of categorization: there is large overlap between the groups. As an example: in the Introduction chapter we discovered that (the progeny of) hematopoietic stem cells (HSCs) usually stimulate target tissue neovascularization through paracrine factor secretion as bystander cells, but in the right circumstances can and will fully differentiate into endothelial cells

and partake in the target tissue vessel wall to an impressive degree (thus in most circumstances acting as PAHCs and in some as EPCs).

For our experiments, we included blood from treatment-naïve glioblastoma (GBM) patients, using healthy subjects (HC), acute myocardial infarction (MI) patients and umbilical cord blood (UCB) as controls representing steady state adult, acute ischemia and developmental CAC-induced neovascularization respectively. With this approach, we intended to unravel GBM-specific alterations in CAC-induced neovascularization. Pinpointing specific changes in GBM CAC-induced neovascularization may allow for the development of tailor-made therapies targeting GBM-specific vessel formation mediated by CACs.

## **CHAPTER 2: IMPROVING THE CHARACTERIZATION OF ENDOTHELIAL PROGENITOR CELL SUBSETS BY AN OPTIMIZED FACS PROTOCOL**

To investigate the role of CACs in any disease, their careful and reliable characterization and isolation from the circulation are primary prerequisites. To meet these prerequisites, we developed an improved Fluorescence-Activated Cell Sorting (FACS)-based protocol for the simultaneous characterization and isolation of the CAC subset of hematopoietic progenitor cells (HPCs) and of circulating endothelial cells (CECs) [1]. We succeeded in establishing a better definition of HPCs based on selection of the lympho/mono gate in the FSC/SSC plot and gating for dim expression of CD45. HPCs were thus defined as CD34<sup>+</sup>CD133<sup>+</sup>/–CD45<sup>dim</sup> lympho/mono. By combining CD34, CD133, CD45 and c-kit staining, we discovered 4 new HPC subgroups in UCB and adult blood: CD133<sup>neg</sup>c-kit<sup>high</sup>, CD133<sup>low</sup>c-kit<sup>med/high</sup>, CD133<sup>high</sup>c-kit<sup>med/high</sup> and CD133<sup>high</sup>c-kit<sup>neg/low</sup>, the latter only present in adult blood, not in UCB. We also found a very small subset of HPCs expressing KDR (0.0 - 0.3% of HPCs), indicating that the commonly used definition of circulating ‘EPC’ in the literature (i.e. CD34<sup>+</sup>CD133<sup>+</sup>KDR<sup>+</sup> cells) could be a very rare subset of HPCs.

In contrast to the assumption that CECs are apoptotic endothelial cells released into the circulation upon vascular damage, we discovered a fully viable subset of CECs. Viable CECs expressed c-kit more often than apoptotic CECs. Phenotypic FACS characterization of HPCs, CECs and outgrowth endothelial cells (OECs) revealed that marker expression of early passage OECs and HUVECs is almost identical and highly comparable to CECs, while HPCs exhibit essentially different expression of phenotypic markers than OECs, HUVECs and CECs.

Moreover, CECs, OECs and HUVECs have comparably high gene expression levels of angiogenic factors such as *APLN*, *VEGFA*, *PDGFB*, *FGF* and *KITL* (low expression in HPCs).

We thus hypothesized that OECs are derived from viable c-kit<sup>+</sup> CECs and that viable CECs should be considered as EPCs, not merely as a passive marker of vascular damage. Determining the OEC formation capacity of c-kit<sup>+</sup> versus c-kit<sup>–</sup> viable CECs would be required to prove our hypothesis. However, we and others were unable to grow OECs from exclusively FACS-isolated cells, be it CECs or PBMCs.



Future directions of research could include investigating the functional meaning of the newly described subpopulations of HPCs based on variable expression of CD133 and c-kit, as well as the functional meaning of viable c-kit<sup>+</sup> CECs.

### **CHAPTER 3: CIRCULATING PROANGIOGENIC CELLS AND PROTEINS IN PATIENTS WITH GLIOMA AND ACUTE MYOCARDIAL INFARCTION: DIFFERENCES IN NEOVASCULARIZATION BETWEEN NEOPLASIA AND TISSUE REGENERATION**

We next investigated which subtypes of CACs were mainly involved in GBM as compared to MI neovascularization [2]. Healthy adults and patients with grade II/III astrocytoma were included as controls. CAC subsets were picked based on different combinations of 3 to 4 markers (CD34, KDR, CD133, CD45) and their involvement in neovascularization in ischemic disease and/or cancer as previously described in the literature. HPCs (CD34<sup>+</sup>CD133<sup>+</sup>CD45<sup>dim</sup> lympho/mono), CECs (CD34<sup>+</sup>KDR<sup>+</sup>CD45<sup>+</sup>), KDR<sup>+</sup>CD133<sup>+</sup>(CD34<sup>-</sup>) cells, CD34<sup>+</sup>(CD133<sup>-</sup>KDR<sup>-</sup>) and KDR<sup>+</sup>(CD34<sup>-</sup>CD133<sup>-</sup>) cells were included in the study. In addition, we measured the levels of 21 plasma factors involved in the mobilization, chemoattraction and homing of CACs, as well as pro-angiogenic factors secreted by CACs.

Although in both contexts all CAC subsets measured were elevated compared to HC, specific subsets were prominently increased in GBM: KDR<sup>+</sup>(CD34<sup>-</sup>CD133<sup>-</sup>) and HPCs, in contrast to other subsets in MI: CD133<sup>+</sup>(KDR<sup>-</sup>CD34<sup>-</sup>) and KDR<sup>+</sup>CD133<sup>+</sup>(CD34<sup>-</sup>). CAC frequencies were similar between patients with grade II/III astrocytoma and healthy controls.

Both in GBM and MI patients, the factors MMP9 (mobilization and tissue invasion of CACs), HGF and vWF (angiogenic factors) were elevated in plasma relative to HC. VCAM1 (angiogenesis & chemoattraction of CACs) was specifically elevated in GBM, while angiogenin and tenascin-c (angiogenesis) were specifically elevated in MI. The concentrations of plasma factors in astrocytoma grade II and grade III (AII/III) patients were overall similar to HC. A strong positive correlation was found between plasma MMP9 and HPC levels, and between plasma VCAM1 and KDR<sup>+</sup> cell levels in GBM patients. Since plasma MMP9 can mobilize HPCs from the bone marrow, this positive correlation could imply causation. In MI patients, tenascin-c concentration correlated positively with both KDR<sup>+</sup>CD133<sup>+</sup> levels and CD133<sup>+</sup> levels.

These findings indicate that although neovascularization induced by CACs is paramount in both GBM (malignant high-grade neoplasia) and MI (acute ischemia), each elicits a disease-specific pattern of elevation of CAC subsets and CAC-related plasma factors. Grade II and III astrocytoma patients had equivalent levels of CACs and plasma factors as HC, suggesting that the role of CACs becomes pronounced in glioma when the amount of tumor neovascularization increases. We propose that disease-specific, tailor-made therapies targeting CACs can optimize treatment outcome in GBM and MI patients.

## CHAPTER 4: CIRCULATING ANGIOGENIC CELLS IN GLIOBLASTOMA: TOWARDS DEFINING CRUCIAL FUNCTIONAL DIFFERENCES IN CAC-INDUCED NEOPLASTIC VERSUS REACTIVE NEOVASCULARIZATION

After having established numerical differences in CAC subsets, we next examined potential qualitative differences within CACs between GBM and MI patients [3]. CACs from umbilical cord (representing developmental neovascularization) and healthy subjects served as controls. Expression analysis of a panel of 48 genes selected based on their function in CAC mobilization, chemo-attraction, homing, tissue invasion and angiogenesis was performed in 3 CAC subtypes (HPCs, CD34<sup>+</sup>KDR<sup>-</sup>CD133<sup>-</sup> cells, KDR<sup>+</sup>CD34<sup>-</sup>CD133<sup>-</sup> cells) using CECs and peripheral blood mononuclear cells (PBMCs) as controls. This revealed a unique and distinct pattern of expression in each disease state. Compared to MI, GBM CACs showed higher expression of chemotactic receptors (esp. *CXCR4* and *CCR2*) and integrins (*ITGA5* and *ITGA6*); pro-angiogenic factors (esp. *KITL*, *CXCL12* and *JAG1*) and growth factor receptors (e.g. *IGF1R*, *TGFBR2*) and the matricellular factor *POSTN*. These findings suggest that CAC-mediated neovascularization in GBM is characterized by more efficient CAC homing to target tissue and a more potent pro-angiogenic response than in reactive tissue repair in MI. A strong positive correlation between plasma MMP9 levels and expression of *CXCR4* in HPCs was found in GBM patients, illustrating that GBM tissue (the source of elevated MMP9 levels) appears capable of pre-programming circulating angiogenic cells to home more efficiently to tumor tissue. GBM, though non-metastatic, should thus be considered a systemic disease requiring systemic treatment.

Our study is the first to show that GBM CACs are qualitatively different from non-neoplastic CACs (i.e. in reactive (myocardial infarction), developmental (umbilical cord blood) and steady-state adult (healthy control) neovascularization). Our findings can aid in selecting targets for therapeutic strategies acting against GBM-specific CACs.

## CHAPTER 5: PERIOSTIN IS EXPRESSED BY PERICYTES AND IS CRUCIAL FOR ANGIOGENESIS IN GLIOMA

In a previous study [4] we discovered periostin protein was elevated in glioblastoma perivascular tissue. In our current project, we found periostin gene (*POSTN*) gene expression was increased in GBM CACs (CD34<sup>+</sup>) compared to MI, HC and UCB CACs [3], again highlighting the role of this matricellular protein in glioblastoma neovascularization.

We aimed to identify the cellular source of periostin expression in human gliomas and to clarify the role of periostin in an *in vitro* model of angiogenesis [5]. Periostin gene and protein expression was increased in tissue of both glioblastoma (grade IV glioma) and pilocytic astrocytoma (grade I glioma), but not in grade II and III gliomas (where expression was comparable to normal brain samples), corresponding to the degree of microvascular proliferation present in gliomas. Immunohistochemistry confirmed the presence of periostin protein in the perivascular tissue of glomeruloid and hypertrophic blood vessels in glioblastoma and pilocytic astrocytomas.

To determine the cellular source of periostin in gliomas, we set up RNA *in situ* hybridization (ISH) and double labelling immunohistochemistry (IHC) experiments, which indicated periostin protein expression co-localized with PDGFR $\beta$ <sup>+</sup> perivascular cells (pericytes). Periostin was neither expressed by putative glioblastoma stem cells (Sox-2<sup>+</sup> and/or Olig-2<sup>+</sup> tumor cells), nor by CD31<sup>+</sup> endothelial cells or GFAP<sup>+</sup> cells (astrocytes and GBM tumor cells).

*In vitro* studies confirmed that brain pericytes were the main source of periostin (HUVECs did not produce periostin, astrocytes at very low levels). Furthermore, pericytes increased production of periostin when glioblastoma (U87) cell conditioned medium (CM) or cell lysate was added to cell cultures. Measures of angiogenesis in a 3D angiogenesis model coculturing HUVECs and pericytes were greatly enhanced after the addition of GBM U87 CM. Silencing *POSTN* in pericytes led to an attenuation of angiogenesis in the same model. The addition of GBM U87 CM could only partially save the effect of pericytic *POSTN* silencing on measures of angiogenesis. The level of angiogenesis remained strikingly decreased compared with the normal pericytes with added GBM U87 CM.

To conclude, periostin is highly expressed by PDGFR $\beta$ <sup>+</sup> pericytes in cerebral lesions with abundant angiogenic activity and vascular remodeling (glioblastoma, pilocytic astrocytoma), not in grade II/III gliomas where neovascularization is present at low to normal levels. From the sum of our *in vitro* experiments it can be surmised that the angiogenesis-boosting effect of the GBM secretome is predominantly mediated by increasing the expression of periostin in pericytes.

## NEDERLANDSE SAMENVATTING

Middels onze studies wilden we de rol verhelderen van zowel de tumor micro-omgeving als de macro-omgeving (het bloed) in de vaatnieuwvorming van glioblastomen (GBM), met een focus op circulerende angiogene cellen (CACs). Meer in het bijzonder richtten we ons op het beantwoorden van de volgende vragen:

- 1) Welke subtypen CACs zijn vooral betrokken bij de vaatnieuwvorming van GBM vergeleken met regeneratieve vaatnieuwvorming (myocardinfarct, MI; Hoofdstuk 3)?
- 2) Bestaan er kwalitatieve verschillen tussen CAC-subsets in vaatnieuwvorming in het kader van GBM, regeneratie (MI) en ontwikkeling (navelstrengbloed, UCB), gemeten middels genexpressie? Zo ja, welke genen spelen met name een rol (Hoofdstuk 4)?
- 3) Wat is de cellulaire bron en de rol van het matricellulaire eiwit periostine in GBM-vaatnieuwvorming (Hoofdstuk 5)?

Om vraag 1 en 2 te beantwoorden formuleerden we de aanvullende vraag:

- 4) Hoe kunnen CAC-subsets het best geïdentificeerd, gekarakteriseerd en geïsoleerd worden middels Fluorescence-Activated Cell Sorting (FACS; Hoofdstuk 2)?

Daar de definitie van de celtypen 'endotheliale voorlopercellen' (EPCs) en CACs in de literatuur sterk varieert en de termen tot verwarrens toe door elkaar gebruikt worden zullen we starten met de door ons gebruikte definitie van beide celtypen voor dit hoofdstuk. In onze technische publicatie [1] gebruikten we de term 'EPCs' als een overkoepelende naam voor circulerende pro-angiogene cellen die in staat zijn te differentiëren naar volwassen endotheelcellen alsmede voor cellen zonder endotheliaal differentiatievermogen. Het laatste type stimuleert vaatnieuwvorming middels het aanzwengelen van de angiogenese door secretie van pro-angiogene factoren en is perivasculair gesitueerd. In de literatuur is deze allesomvattende definitie van 'EPCs' wijdverbreid. Het nadeel hiervan is dat een onderscheid tussen beide type cellen niet evident is. Om deze reden hanteerden we later een striktere definitie van EPCs, die meer in lijn is met de recentere literatuur: namelijk uitsluitend celtypen die in staat zijn volledig te differentiëren naar endotheelcellen en te integreren in de bloedvatwand (daarmee in staat tot daadwerkelijke vasculogenese). We reserveerden de term CACs voor het caleidoscopische mengsel van alle type circulerende cellen die de vaatnieuwvorming stimuleren in het doelwitweefsel, ongeacht hun 'lineage' of vermogen te differentiëren tot endotheelcellen. In **hoofdstuk 1** (Introductie) beschreven we een CAC-subtype van hematopoietische origine, genaamd 'pro-angiogene hematopoietische cellen' (PAHCs). Celtypen uit deze groep maken veelal gebruik van het paracrine pro-angiogene mechanisme zonder in staat

te zijn tot vasculogenese. Zij voldoen hiermee niet aan de definitie 'EPCs'. Echter, cellen hebben niet de neiging zich te houden aan door ons opgestelde definities voor het gemak van classificatie en overzicht: er is grote overlap tussen beide groepen. Als voorbeeld zagen we in **hoofdstuk 1** (Introductie) dat HSCs veelal de vaatnieuwvorming bevorderen door paracrien de angiogenese te stimuleren, maar onder de juiste omstandigheden tevens differentiëren naar endotheelcellen en in indrukwekkende mate integreren in nieuwgevormde bloedvaten. HSCs handelen hiermee veelal als PAHCs, maar soms als EPCs.

Voor onze experimenten includeerden we bloed van patiënten met een glioblastoom voordat behandeling was ingezet. Als controles gebruikten we bloed van gezonde volwassenen (HC), patiënten met een myocardinfarct (MI) en navelstrengbloed (UCB). Respectievelijk dienden deze controles als reflectie van vaatnieuwvorming in gezonde volwassenen ('steady state'), in acute ischemie en tijdens de foetale ontwikkeling. Met deze insteek was onze intentie om specifieke veranderingen in CAC-gemedieerde vaatnieuwvorming in GBM-patiënten te ontdekken. Het vinden van GBM-specifieke veranderingen in CAC-gemedieerde vaatnieuwvorming kan helpen bij het ontwikkelen van ziekte-specifieke behandelingen gericht op bloedvatvorming door CACs.

Voorwaarden voor het bestuderen van de rol van CACs in om het even welke aandoening zijn een betrouwbare karakterisering en isolatie uit de circulatie. Om aan deze voorwaarden te voldoen ontwikkelden we een "Fluorescence-Activated Cell Sorting" (FACS)-protocol voor de gelijktijdige karakterisering en isolatie van de volgende CAC-subsets: hematopoietische voorlopercellen (HPCs) en circulerende endotheelcellen (CECs). De resultaten zijn beschreven in **hoofdstuk 2** [1]. Middels dit geoptimaliseerde protocol zijn we erin geslaagd een betere definitie van HPCs te formuleren, gebaseerd op selectie van de lymfo/mono gate in de FSC/SSC grafiek, alsmede door te gaten op een zwakke expressie van CD45. Zodoende definieerden we HPCs als  $CD34^+CD133^+/CD45^{dim}$  lymfo/mono. Voorts ontdekten we door het combineren van de markers CD34, CD133, CD45 en c-kit 4 nieuwe subtypen HPCs in navelstrengbloed en volwassen bloed:  $CD133^-c-kit^{high}$ ,  $CD133^{low}c-kit^{med/high}$ ,  $CD133^{high}c-kit^{med/high}$  en  $CD133^{high}c-kit^{neg/low}$ ; de laatste was slechts aanwezig in volwassen bloed, niet in navelstrengbloed. Daarnaast bracht een zeer lage frequentie HPCs de endotheelcelmarker KDR tot expressie (0.0-0.3% van de totale HPCs), waaruit blijkt dat de veel gebruikte definitie van circulerende 'EPCs' in de literatuur (namelijk  $CD34^+CD133^+KDR^+$  cellen) een zeldzaam subtype HPCs kan betreffen.

In de literatuur worden CECs vaak beschreven als apoptotische endotheelcellen, die zodoende slechts als afspiegeling zouden dienen van de mate van vaatschade in het organisme. Wij vonden echter dat een aanzienlijk deel van de CECs levend was. Levende CECs brachten bovendien vaker c-kit tot expressie dan apoptotische CECs. Verdere fenotypische karakterisering middels FACS van vroege passage 'outgrowth endothelial cells' (OECs) en HUVECs toonde een vrijwel identiek patroon, dat bovendien overkwam met dat van CECs. HPCs echter waren fenotypisch sterk



afwijkend van OECs, HUVECs en CECs. De genexpressie van angiogene factoren zoals *APLN*, *VEGFA*, *PDGFB*, *FGF* en *KITL* was wederom vergelijkbaar in OECs, HUVECs en CECs, in tegenstelling tot HPCs.

Gebaseerd op deze data formuleren we de hypothese dat OECs ontstaan uit levende, c-kit<sup>+</sup> CECs en dat CECs als zodanig beschouwd dienen te worden als daadwerkelijke EPCs, niet slechts als een passieve indicator van vaatschade. De isolatie van levende c-kit<sup>+</sup> versus c-kit<sup>-</sup> CECs en het vaststellen van het vermogen van deze subtypen CECs om OECs te generen zou noodzakelijk zijn om deze hypothese te bewijzen. Zowel wijzelf als andere groepen zijn er echter niet in geslaagd om OECs te kweken uit cellen die uitsluitend middels FACS geïsoleerd zijn.

Verder toekomstig onderzoek kan zich richten op het onthullen van de functionele betekenis van de door ons beschreven subpopulaties HPCs gebaseerd op variabele expressie van CD133 en c-kit, alsmede op de functionele betekenis van levende, c-kit<sup>+</sup> CECs.

Na het optimaliseren van ons protocol voor de identificatie en isolatie van CACs onderzochten we welke CAC-subtypen voornamelijk een rol speelden in de vaatnieuwvorming in GBM vergeleken met MI (**hoofdstuk 3**) [2]. Gezonde volwassenen en patiënten met een graad II/III astrocytoom dienden als controles. De CAC-subsets werden geselecteerd op basis van verschillende combinaties van 3 tot 4 veel beschreven markers (CD34, KDR, CD133 en CD45) en op basis van beschikbare literatuur over CAC-subtypen in neoplasie en ischemie. We analyseerden de frequentie van HPCs (CD34<sup>+</sup>CD133<sup>+</sup>CD45<sup>dim</sup> lymfo/mono gate), CECs (CD34<sup>+</sup>KDR<sup>+</sup>CD45<sup>-</sup>), KDR<sup>+</sup>CD133<sup>+</sup>(CD34<sup>-</sup>), CD34<sup>+</sup>(CD133<sup>-</sup>KDR<sup>-</sup>) en KDR<sup>+</sup>(CD34<sup>-</sup>CD133<sup>-</sup>) cellen. Hiernaast analyseerden we de concentratie van 21 plasmafactoren die een rol spelen in CAC-mobilisatie, -chemo attractie, -homing en -angiogenese.

De frequentie van alle CAC-subsets was verhoogd in zowel GBM als MI vergeleken met gezonde controles. Echter, specifieke subtypen van CACs waren in het bijzonder aanwezig in het bloed van GBM-patiënten: KDR<sup>+</sup>(CD34<sup>-</sup>CD133<sup>-</sup>) en HPCs. Daarentegen waren juist CD133<sup>+</sup>(KDR<sup>-</sup>CD34<sup>-</sup>) en KDR<sup>+</sup>CD133<sup>+</sup>(CD34<sup>-</sup>) cellen sterker verhoogd in MI patiënten. De CAC-frequenties waren gelijk tussen de patiënten met graad II/III astrocytomen en gezonde controles.

Wat betreft plasmafactoren waren zowel MMP9 (mobilisatie van CACs en weefselinvasie), HGF en vWF (pro-angiogenese) in concentratie verhoogd in GBM en MI patiënten vergeleken met gezonde controles. VCAM1 (angiogenese en chemo attractie) was uitsluitend verhoogd in GBM-patiënten, terwijl er een specifieke stijging was van angiogenine en tenascin-c in MI-patiënten. Er werd geen verschil gezien in de concentratie van de plasmafactoren tussen graad II/III gliomen en gezonde controles. De MMP9 concentratie correleerde positief en sterk met de HPC-frequentie in GBM-patiënten. Gezien MMP9 tot mobilisatie kan leiden van HPCs betreft dit een mogelijk causaal verband. In MI-patiënten werd een positieve correlatie gezien tussen de plasmaconcentratie van tenascin-c en de frequentie van zowel KDR<sup>+</sup>CD133<sup>+</sup> als CD133<sup>+</sup> cellen.

Onze bevindingen duiden erop dat GBM (hooggradige neoplasie) en MI (acute ischemie) ieder een unieke respons geven wat betreft de frequentie van specifieke subsets van CACs, alsmede van bij CAC betrokken plasma factoren. Dit ondanks dat in beide ziektebeelden een cruciale rol is weggelegd voor neovascularisatie geïnduceerd door CACs. Graad II/III astrocytoma patiënten hadden vergelijkbare niveaus van CACs en plasmafactoren als gezonde controles, waaruit blijkt dat de rol van CACs toeneemt met het vaartrijker worden van gliomen bij een stijgende tumorgraad.

We stellen derhalve op basis van onze bevindingen voor dat ziekte-specifieke behandelingen gericht op CACs de uitkomst kunnen verbeteren van patiënten met GBM en MI.

Na het vaststellen van numerieke verschillen in CAC-subsets onderzochten we de aanwezigheid van kwalitatieve verschillen in CACs tussen GBM- en MI-patiënten (**hoofdstuk 4**) [3]. CACs uit navelstrengbloed en van gezonde proefpersonen dienden als controles. Navelstrengbloed werd geïncubeerd om een indruk te krijgen van CACs gedurende vaatnieuwvorming tijdens de foetale ontwikkeling. We selecteerden 48 genen voor genexpressieanalyse op basis van hun functie in de mobilisatie, chemo attractie, homing, weefselinvasie en angiogenese van en door CACs. Genexpressieanalyse werd verricht in 3 CAC subtypen (HPCs, CD34<sup>+</sup>KDR<sup>+</sup>CD133<sup>-</sup> cells, KDR<sup>+</sup>CD34<sup>-</sup>CD133<sup>-</sup> cellen). CECs en PBMCs dienden als controles. Voor elk ziektebeeld alsmede voor navelstrengbloed bleek het genexpressieprofiel van CACs zeer onderscheidend. Vergeleken met MI vertoonden GBM CACs een hogere expressie van chemotactische receptoren (in het bijzonder *CXCR4* en *CCR2*), integrines (*ITGA5* en *ITGA6*), pro-angiogene factoren (in het bijzonder *KITL*, *CXCL12* en *JAG1*), groeifactorreceptoren (bijvoorbeeld *IGF1R*, *TGFBR2*) en van de matricellulaire factor *POSTN*. Deze bevindingen suggereren dat CAC-gemedieerde vaatnieuwvorming in GBM wordt gekenmerkt door een efficiëntere homing van CACs naar het weefsel en een krachtigere pro-angiogene respons dan bij myocardischemie. In GBM-patiënten bestond een sterke positieve correlatie tussen plasma MMP9 concentraties en expressie van *CXCR4* in HPCs. Dit illustreert dat GBM-weefsel (de bron van de verhoogde MMP9 plasmaconcentratie) in staat lijkt om CACs voor te programmeren in het bloed om efficiënter naar tumorweefsel te migreren en aldaar een sterkere pro-angiogene respons op te wekken. Ondanks dat GBM niet uitzaait dient deze vorm van kanker daarom toch beschouwd te worden als een systemische ziekte, die een systemische behandeling behoeft.

Onze studie toont aan dat GBM CACs kwalitatief verschillen van niet-neoplastische CACs (in reactieve/ischemische, ontwikkelings- en 'steady-state' volwassen vaatnieuwvorming). Onze bevindingen kunnen helpen bij het ontwikkelen van therapeutische strategieën die zich richten op GBM-specifieke CACs.

In **hoofdstuk 5** beschrijven we de rol van periostine in vaatnieuwvorming in glioblastomen [5]. In een eerdere studie [2] ontdekten we dat het matricellulaire eiwit periostine verhoogd aanwezig was

in het perivasculaire weefsel van glioblastomen. In ons huidige project vonden we een verhoogde periostine (*POSTN*) genexpressie in circulerende angiogene cellen (CD34<sup>+</sup>) van patiënten met een glioblastoom vergeleken met controles. Deze bevinding benadrukt opnieuw de rol van dit matricellulaire eiwit in de vaatnieuwvorming van glioblastomen.

In de huidige studie onderzochten we de cellulaire bron van periostine-expressie in glioblastomen, alsmede de rol van periostine in vaatnieuwvorming. Periostine gen- en eiwitexpressie waren verhoogd in weefsel van zowel glioblastomen (graad IV gliomen) als pilocytair astrocytomen (graad I gliomen). De expressie was echter vergelijkbaar tussen graad II/III gliomen en normaal hersenweefsel. Dit suggereert dat verhoogde expressie van periostine uitsluitend voorkomt in gliomen met microvasculaire proliferatie. Immunohistochemie bevestigde de aanwezigheid van periostine-eiwit in het perivasculaire weefsel van glomeruloïde en hypertrofische bloedvaten in glioblastomen en pilocytair astrocytomen.

Om de cellulaire bron van periostine in gliomen te bepalen, verrichten we RNA *in situ*-hybridisatie (ISH) en dubbel-kleuring immunohistochemie (IHC). Periostine eiwitexpressie co-gelokaliseerde met pericyten (PDGFRβ<sup>+</sup> perivasculaire cellen). Periostine werd niet tot expressie gebracht door glioblastoom stamcellen (Sox-2<sup>+</sup> en/ of Olig-2<sup>+</sup> tumorcellen), noch door CD31<sup>+</sup> endotheelcellen of GFAP<sup>+</sup> cellen (astrocyten en GBM-tumorcellen). *In vitro* onderzoeken bevestigden dat humane brein pericyten de belangrijkste bron van periostine waren (HUVECs produceerden geen periostine, astrocyten in zeer lage concentraties). Bovendien verhoogden pericyten de productie van periostine wanneer GBM (U87) geconditioneerd medium of cel-lysaat werd toegevoegd aan celkweken.

Voorts onderzochten we of periostine de mate van angiogenese beïnvloedt door gebruik te maken van een 3D-angiogenese-model bestaande uit co-culturen van HUVECs en humane brein pericyten. De mate van angiogenese nam significant toe na additie van GBM (U87) geconditioneerd medium. 'Silencing' van *POSTN* in pericyten zorgde daarentegen voor een flinke afname van alle maten van angiogenese in hetzelfde model. De toevoeging van GBM (U87) geconditioneerd medium kon deze afname van angiogenese bij pericytaire 'silencing' van *POSTN* beperkt compenseren. De mate van angiogenese bleef sterk verlaagd vergeleken met normale pericyten en additie van GBM (U87) geconditioneerd medium.

Concluderend tonen onze bevindingen dat periostine verhoogd tot expressie wordt gebracht door pericyten in cerebrale neoplasma's gekenmerkt door veel vaatnieuwvorming en vasculaire omvorming (glioblastoom, pilocytair astrocytoma), niet in graad II/III gliomen, waarin neovascularisatie nog beperkt aanwezig is. Uit de som van onze *in vitro* experimenten blijkt dat het stimulerende effect van het GBM-secretoom op de angiogenese hoofdzakelijk wordt gemedieerd door de expressie van periostine in pericyten te verhogen.

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## CHAPTER 7

# DISCUSSION:

*Future avenues  
for Research*

## OPTIMIZATION OF FACS AND DOWNSTREAM APPLICATIONS

Our findings pave the way for multiple future research avenues. The foundation of these would be to acquire a more detailed understanding of the identity of CAC subsets. The FACS settings used for the CAC frequency [1] and expression [2] papers were limited by the highly sensitive simultaneous use of 5 colors. Newer FACS techniques can greatly increase the number of simultaneously detectable fluorescence channels, up to 10 or more, with similar reliability and sensitivity as our setup. This would allow for the determination in a single experiment of e.g. c-kit<sup>+</sup> vs c-kit<sup>-</sup> HPCs in adults, as well as the sporadic subsets of HPCs expressing individual endothelial cell markers (e.g. CD144, CD146, CD105 and/or KDR). CECs could be further subdivided into c-kit<sup>+</sup> vs c-kit<sup>-</sup> and Annexin-V<sup>+</sup> (apoptotic) vs Annexin-V<sup>-</sup> (viable).

While HPCs and CECs are coherent populations in our FACS setup, the three other CAC subsets we investigated are much less well defined (KDR<sup>+</sup>CD133<sup>+</sup>CD34<sup>-</sup>, KDR<sup>+</sup>CD34<sup>+</sup>CD133<sup>-</sup>, CD34<sup>+</sup>KDR<sup>-</sup>CD133<sup>-</sup>). These CAC subsets likely consist of a multitude of lineages with the mutual characteristic of a clear expression of the specific FACS markers chosen in our study. The latter hypothesis is supported by the finding of a more dispersed FSC/SSC pattern covering the lymphocyte, monocyte and granulocyte gate and of large variations in the levels of expression of CD34, KDR, CD133 as well as CD45 (data not shown). Since these populations are involved in stimulating (tumor) neovascularization, as discussed in the introduction of this thesis, identifying their precise composition and functional meaning can aid the development of targeted therapeutic strategies.

To improve the FACS characterization of these three populations and dissect their composition, different approaches may be used. Firstly, additional exclusion markers can be used to purify the analysis further, by gating out noisy particles (e.g. lineage markers to exclude platelets and erythrocytes, as CD41/CD61, Glycophorin/CD59). Secondly, positive lineage markers can be added to the setup, such as e.g. CD14 (monocyte lineage), CD3/CD4/CD8/CD17 and even TCR  $\gamma/\delta$  (T-lymphocytes), CD20/CD79 (B-lymphocytes). These markers could shed further light on the identity of the CAC subsets. Thirdly, sub-populations can be further classified based on a comparable scattering pattern and homogeneous expression of CD45 and other markers.

FACS setup and analysis could be improved by deploying simultaneous imaging, which adds information about cell morphology and allows for the exclusion of background debris and doublets [3], and machine learning for multidimensional data analysis [4]. Machine learning can uncover differences in overall population hierarchy between conditions, in particular when a high number of parameters is used simultaneously, as opposed to the Boolean gating strategy we used in our studies focusing on predefined subsets of cells.

Additional strategies could entail using targeted gene expression profiling on the sorted CAC subsets to for instance determine their immune profile. The outcome can be used to further optimize the FACS setup, which would in turn lead to a more precise characterization.

When the choice of new markers and combinations of markers leads to a better definition of CAC

subsets, subsequent sorting will allow for further downstream characterization. An experimental challenge arising from an ever more detailed subdivision of CAC subsets is that every newly identified subpopulation will be smaller in size, potentially hampering the sensitivity and specificity of the FACS setup as well as leading to small sample sizes as a basis for downstream techniques.

Novel techniques which would improve the downstream characterization of CACs subsets include RNA sequencing and, again, targeted gene expression profiling. Both can also be performed at the single cell level. When setting up our study, RT-PCR was the most cost-effective technique to further characterize isolated CACs. With our setup as described before [5], we were able to reliably determine the expression of ~50 genes in a few hundred sorted cells at an acceptable cost. The cost of RNA sequencing back then was too high for the sample sizes we aimed to include. However, the cost of RNA seq has dropped dramatically in the last few years, making it more feasible to employ (roughly a 10-fold drop in cost per sample since the start of our project; see fig. 1).

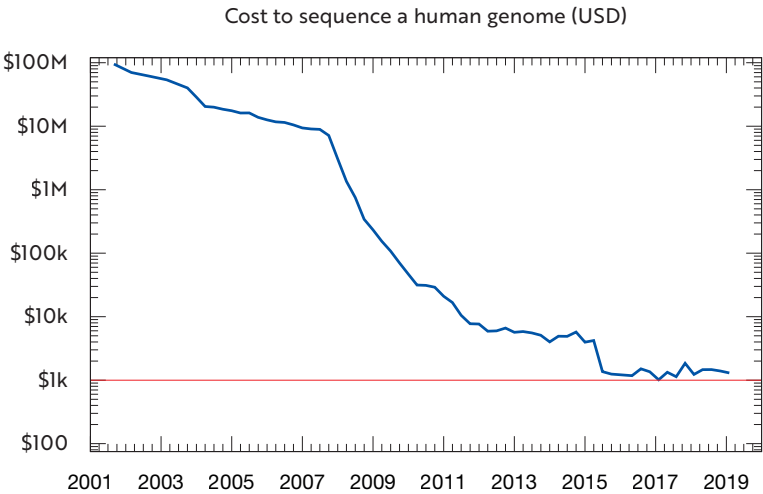


Fig. 1: Cost of sequencing a human genome (Y-axis: logarithmic scale in USD. X-axis: year).

Source of picture: [https://commons.wikimedia.org/wiki/File:Historic\\_cost\\_of\\_sequencing\\_a\\_human\\_genome.svg](https://commons.wikimedia.org/wiki/File:Historic_cost_of_sequencing_a_human_genome.svg)

The advantage of using RNA seq in a similar setting as our studies would obviously be whole exome (relative/absolute) quantification, allowing the sorted cell types to be further characterized based on their exome expression (e.g. lineage as well as for instance expression of angiogenic markers). Depending on the depth of sequencing, genomic variations may be discovered additionally, which could uncover potential tumor-derived cells (such as GBM cells transdifferentiated into endothelial cells shed into the circulation). The downside of any 'big data' generating experiment is that the sheer size of the data generated may hamper the power of the study.



Probe-based targeted gene expression profiling has the added advantage of not requiring cDNA conversion and amplification. An example is the nCounter® system by NanoString Technology (Seattle, USA). This generates fewer downstream errors and therefore leads to more robust data.

One question of particular interest which could be answered with a novel FACS setup and downstream applications is the missing link that currently still exists between our findings of periostin expression in GBM pericytes [6] and of increased *POSTN* expression in circulating CD34<sup>+</sup>(*KDR*/*CD133*) cells in GBM patients [2] (see fig. 2 below).

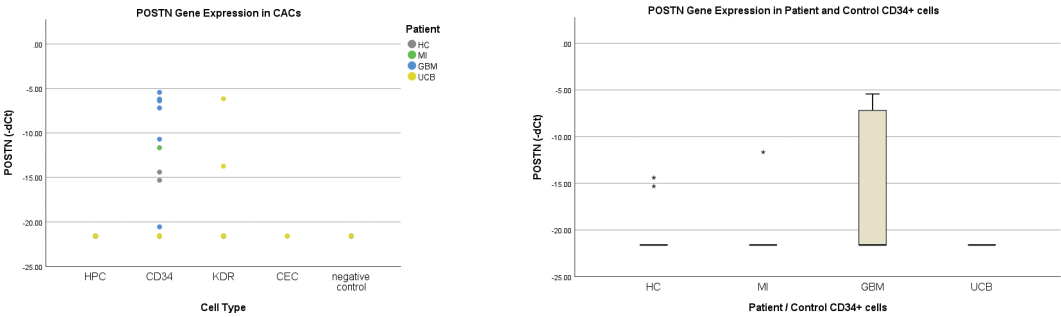


Fig. 2: Gene expression of *POSTN* in patient and control CACs and negative control PBMCs.

Left: Dot plot showing -dCt values of *POSTN* in CACs and negative control PBMCs in HC, MI and GBM. *POSTN* was not expressed by any HPCs, CECs, KDR<sup>+</sup> cells or negative control PBMCs (undetermined, -dCt value set at lowest measured *POSTN* -dCt value minus 1). Only a small subset of CD34<sup>+</sup> samples expressed *POSTN* (9 out of 47 CD34<sup>+</sup> samples). Six out of 14 GBM CD34<sup>+</sup> samples had a 'determined' expression of *POSTN* (the other *POSTN*-expressing CD34<sup>+</sup> samples consisted of 2 HC and 1 MI CD34<sup>+</sup> sample).

Right: Boxplot of *POSTN* -dCt values in CD34<sup>+</sup> cells from HC, MI, GBM and UCB samples. *POSTN* expression was significantly higher in GBM vs MI/UCB CD34<sup>+</sup> cells and borderline significantly higher vs HC CD34<sup>+</sup> cells.

Theoretically, these CD34<sup>+</sup> *POSTN*-expressing cells could be related to periostin-producing pericytes in GBM patients: mesenchymal stem cells can express both CD34 [7], pericytic markers such as PDGFRb and NG2 [8, 9] and periostin [10, 11]. Furthermore, MSCs display high tropism to GBM tissue and settle in a perivascular location [9]. In a tumor microenvironment, MSCs can differentiate into pericytes [12]. Recently, it was suggested that pericytes should be considered as mesenchymal stem cells due to their stem cell-like properties and largely overlapping phenotype [13]. Human bone marrow mesenchymal stem cells (BMSCs) produce high levels of KITL and CXCL12, important for lodging and maintenance of BM HSCs [14]. Other factors secreted by BMSCs include HGF and CSF2 [15]. Circulating CD34<sup>+</sup> cells with high *POSTN* expression expressed higher levels of KITL, CXCL12, HGF and CSF2 than *POSTN*<sup>-</sup> CD34<sup>+</sup> cells (see fig 3 below: data shown for KITL). However, although PDGFRB (also a pericyte marker) was expressed highest by GBM CD34<sup>+</sup> cells, significance was only reached in the comparison with UCB CD34<sup>+</sup> cells. No evident correlation was



discovered between *POSTN* and *PDGFRB* expression levels in (GBM) CD34<sup>+</sup> cells, possible due to the very low number of samples (n=9; see fig. 4).

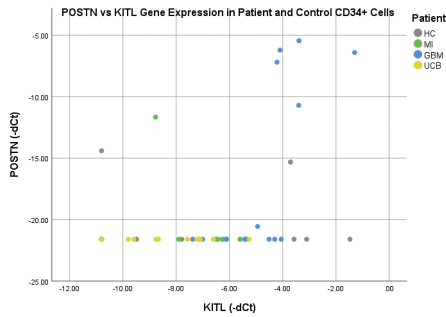


Fig. 3: Gene expression of *POSTN* and *KITL* in CD34<sup>+</sup> cells from HC, MI, GBM and UCB samples. High expression of both *POSTN* and *KITL* is reserved to GBM CD34<sup>+</sup> cells; expression of the two genes correlates positively.

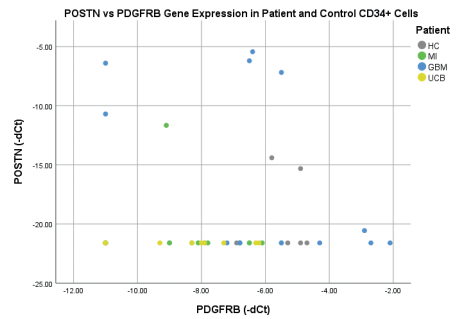
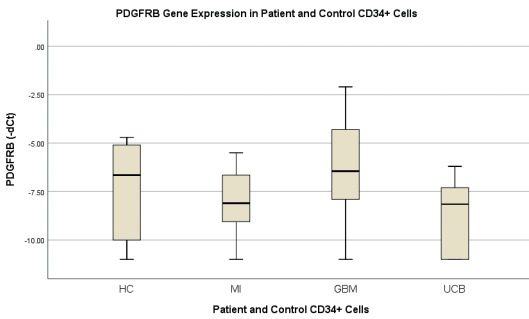


Fig. 4: Left: Boxplots of gene expression of *PDGFRB* (-dCt values) in CD34<sup>+</sup> cells of GBM patients and controls. Expression in GBM CD34<sup>+</sup> cells is highest, but only reaches significance ( $p \leq 0.05$ ) compared to UCB CD34<sup>+</sup> cells (Mann-Whitney U test).

Right: Gene expression of *POSTN* vs *PDGFRB* in patient and control CD34<sup>+</sup> cells. No evident relationship can be seen between the expression of *PDGFRB* and *POSTN* in the few *POSTN*-expressing CD34<sup>+</sup> cells (n=9).

Altogether, these results suggest that our population of sorted CD34<sup>+</sup> cells contained a subset of cells expressing *POSTN* in GBM patients; this population may (partially) consist of mesenchymal stem/progenitor cells, which could be precursors to *POSTN*-expressing GBM pericytes. However, since we did not include MSC markers in our RT-PCR study of CACs, we could not test this hypothesis in the experimental setup used. To determine if the *POSTN*<sup>+</sup> subset of GBM CD34<sup>+</sup> (*KDR* CD133<sup>+</sup>) cells are a mesenchymal stem/progenitor cell subset, different FACS markers should be used: for one, we would need to select CD34<sup>+</sup> cells as CD45<sup>-</sup> (since our CD34<sup>+</sup> samples included a mixture of CD45<sup>+</sup> cells). Also, CECs would need to be excluded by using additional positive endothelial cell markers not expressed by MSCs (in addition to *KDR*, such as CD144 or CD146). Finally, additional markers to select for mesenchymal lineage cells such as CD90, CD44, CD105

need to be added to the setup. The latter markers are also variably expressed by other cell lineages, including endothelial, which is why a combination of positive and negative selection markers is necessary to distinguish mesenchymal progenitor cells.

## THE ORIGIN OF CACS

In the introduction to this thesis it was clarified that CACs can be further subdivided into subsets with the potential to differentiate into endothelial cells (EPCs) and subsets unable to do so (for instance most pro-angiogenic hematopoietic cells - PAHCs). The latter type of CAC exerts their stimulating effects on neovascularization as bystander cells secreting pro-angiogenic factors. As to the precise identity of these cell types (lineage) as well as their origin much remains to be clarified.

For one, a missing link exists between culture-generated outgrowth endothelial cells (OECs) and their founder cell: we know the founder cell circulates in blood, but discussion exists both as to its original location (bone marrow derived or not?) and its lineage: some favor an endothelial lineage [5, 16-19], while others suggest a hematopoietic lineage [20-22].

Our experiments [5] suggest the circulating founder cell of OECs is a c-kit<sup>+</sup> viable CEC. However, since we failed to grow OECs from any sorted cell fraction including total PBMCs, we were unable to unequivocally prove this hypothesis. Most of the evidence in the literature refers to OECs grown from total PBMCs or PBMCs selected by immunomagnetic beads. However, the sole use of immunomagnetic beads for the isolation of CECs leads to a too high risk of contamination, which is incompatible with a reliable identification of OEC founder cells (e.g. contamination with cells expressing a negative selection protein at low levels, such as HPCs which are CD45<sup>dim</sup>, and contamination by cell doublets/clusters). To obtain a reliable purity of cells with dimly expressed surface markers, FACS would need to be added. Timmermans et al. [17] were able to grow OECs from immunomagnetic bead preselected CD34<sup>+</sup> cells, sorted for CD45-negativity, indicating that it is possible to grow OECs from sorted cells under optimal experimental circumstances. Since the authors did not use an additional endothelial cell marker for positive selection, the evidence is insufficient to conclude that OECs grew from CECs. In fact, there are small subsets of circulating CD45-CD34<sup>+</sup> cells which are not endothelial in lineage (e.g. mesenchymal). However, till now most researchers were unable to generate OECs from FACS-sorted fractions [5, 23], as is also evident from the fact that OECs are almost exclusively reported to be generated from unsorted PBMCs or immunomagnetic bead-sorted PBMC fractions, not FACS-sorted ones [19, 23-27]. If growing OECs from (rare) FACS-sorted cell populations were uncomplicated, the question about OEC source would have been answered already.

Numerous technical details, including the number of centrifugation steps before and after staining (our protocol had many staining/washing steps due to the addition of KDR-APC amplification), temperature at which samples were processed (0-4°C in our case), time between blood sampling and sample processing, FACS settings (e.g. nozzle size and flow speed) and culture

conditions (e.g. medium, plastic and coating used) could all explain the lack of OEC generation from sorted cells in our hands.

In conclusion, the final validation of our prediction that viable (c-kit<sup>+</sup>) CECs are OEC-precursors is still lacking. Follow-up experiments to prove this hypothesis would ideally use a similar set-up as Timmermans et al. [17], with the addition of c-kit as a selection marker for FACS isolation of c-kit<sup>+</sup> vs c-kit<sup>-</sup> CECs.

The original location of OEC precursors (bone marrow derived or not?) is a topic of ongoing discussion. OECs from sex-mismatched BM transplanted subjects appeared to be 95% host derived and 5% donor derived. However, host-derived OECs had a much lower capacity for proliferation (18-fold total cell divisions in 4 weeks) than donor derived OECs (1000-fold total cell divisions in 4 weeks) [28]. While these findings indicate that the large majority of OECs are not bone marrow derived, it also proves that a small subset of especially proliferative OECs are bone marrow derived. The lineage of the bone-marrow derived founder cells cannot be established from this experiment however (hematopoietic? Or bone marrow resident endothelial stem/progenitor cells?).

To determine whether bone marrow-derived OECs are hematopoietic lineage-derived, a mouse model with durable bone marrow engraftment of GFP-transfected HSCs could be used to generate OECs. If resulting OECs are GFP<sup>+</sup>, this would prove the founder cell is hematopoietic in origin. However, the endothelial origin of GFP<sup>+</sup> OECs would be much harder to prove in this model. A more elegant approach would therefore be to use novel techniques for *in vivo* cell lineage tracing, such as Cre-recombinase-driven *Polylox* barcoding [29] (see fig. 5). *Polylox* barcoding yields information on the number and cellular composition of clones originating from barcoded stem cells. This technique allows for the lineage tracing of adult murine cells after Tamoxifen-induced Cre-recombinase *Polylox* barcoding at any given moment during development (whole body or tissue delimited by expressing Cre from the locus of a gene specifically expressed by the cell type/tissue of interest). When barcoding is induced embryonically around the period when hemangioblasts start forming blood islands (around embryonic day 7) up until the formation of hematopoietic and endothelial cells (some days later), this would ideally lead to differential barcoding of various stages of hematopoietic vs endothelial lineages. Depending on the barcoding resolution generated in adult mice, another round of Cre-recombination could be added at a later stage of development to superimpose additional endothelial vs hematopoietic barcodes. The adult mouse would thus have cells of which the lineage can be traced based on their barcode patterns. This would allow for the identification of the origin of OECs as either hematopoietic or endothelial, or both. If the model is optimized, it would theoretically also be possible to distinguish the tissue source of endothelial founder cells (such as bone marrow endothelial cells vs other tissue endothelial cells). For this, the endothelial cells of several tissue types (e.g. bone marrow, kidney, liver, lung, large vessel etc.) could be isolated and their barcoding pattern compared with OEC barcodes.

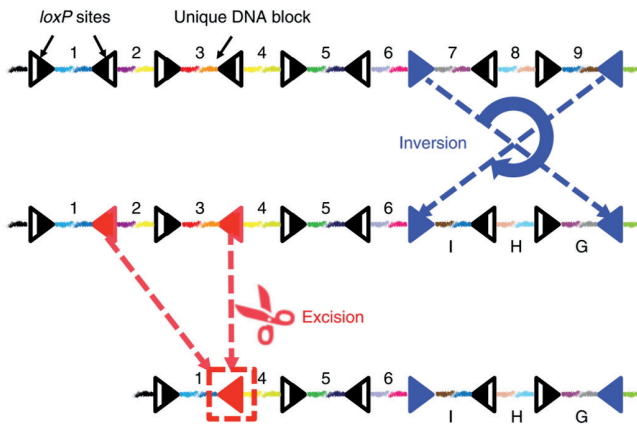


Fig. 5: Overview of the principle of Cre-recombinase-driven *Polylox* barcoding. Triangles indicate loxP sites, targeted by Cre-recombinase. Purple triangles indicate loxP sites where recombination takes place by inversion, red triangles by excision. Colored stripes indicate different DNA boxes (numbers 1-9) or their inversions after recombination (A – I). The bottom line shows a possible outcome after Cre-recombination, leading to strand '1-4-5-6-I-H-G'.

Source of picture: Pei et al. [29]

The model would allow for the lineage analysis of circulating angiogenic cell subsets such as investigated in our studies (e.g. circulating HPCs, CECs, CD133<sup>+</sup>KDR<sup>+</sup> cells etc.), for as far as these are comparable between mice and man.

## THE FATE OF CACS

Another question that could be answered using *in vivo* studies is what happens to CACs after they home to target (tumor/ischemic) tissue. How do they differentiate? How does their gene expression program change after exposure to the (tumor/ischemia) microenvironment?

The same *Polylox* mouse model mentioned before could help answer these questions when combined with glioblastoma xenotransplantation. This will allow for a precise lineage identification of host-derived (pro-angiogenic) cells infiltrating the tumor due to the presence of *Polylox* barcodes in host cells. Isolation of tumor endothelial cells and subsequent analysis of *Polylox* barcode frequency can give an indication on the number of host- vs donor-derived endothelial cells in different areas of the tumor. Further analysis of the *Polylox* barcode pattern of host-derived endothelial cells in GBM can indicate the origin of these cells (brain endothelial cells? Hematopoietic cells which have fully differentiated into endothelial cells? If the latter: through which hematopoietic lineage?). So far, a plethora of other tumor-resident bystander cells stimulating tumor progression have been determined based on their marker expression (see also the introduction to this thesis; e.g. hematopoietic cells such as hematopoietic progenitor

cells [30–32], pro-angiogenic T-lymphocytes [33], Tie-2-expressing monocytes (TEMs) [34, 35], CD14<sup>+</sup>CD34<sup>low</sup> monocytes [36], tumor associated macrophages (TAMs) [35], myeloid-derived suppressor cells (MDSCs) [37], tumor-associated neutrophils [37], tumor-associated eosinophils [37], tumor associated mast cells [35, 38], but also mesenchymal lineage cells such as pericytes [6] and mesenchymal stem/progenitor cells [39]. In the case of brain tumors these include (tumor-associated) microglial cells also. The precise origin of the latter remain a matter of debate [40]. A model akin to the *Polylox* mouse model with xenotransplantation could unveil the origin of these tumor-resident bystander cell types, and help unravel how they stimulate tumorigenesis.

We were unable to find studies investigating the differentiation capacity of labeled injected CACs after homing to tumor tissue (similar to Wang et al. [41], who injected GFP-expressing murine mesenchymal stem cells into the circulation of mice with murine subcutaneous or lung 4T1 breast cancer tumors and followed up on their differentiation after homing). One of the technical difficulties of using human CACs on GBM-xenotransplanted mouse models with the aim of following up on CAC *in situ* differentiation would be how to find and isolate CACs after homing: transfection requires their propagation *in vitro* which would alter them unacceptably, while temporary dyes do not last long enough for longer term follow-up. One way to circumvent these problems could be to use HLA-mismatched CACs and GBM tissue for intravenous injection and xenotransplantation respectively. If picked carefully, it should be technically feasible to pinpoint the intravenously injected CACs after homing to transplanted GBM using HLA-targeted IHC. Once this setup proves possible, target cells can be isolated after dissociation from GBM tissue by FACS based on HLA expression and further characterized using techniques such as gene expression profiling/RNAseq for comparison with the original circulating counterparts. Another option would be *in situ* analysis through novel techniques such as GeoMX<sup>®</sup> digital spatial profiling by NanoString Technology (Seattle, USA). Following up on tumor-homed CACs after different intervals (e.g. 1, 2 and 4 weeks after injection) could unveil how they behave once in their target environment. A similar setup would be possible (and much easier) in murine myocardial ischemia models: since this would not require xenotransplantation, it would suffice to use anti-human MHC antibodies *in situ* to distinguish human CACs in ischemic murine target tissue. Determining the effect of GBM vs (myocardial) ischemia microenvironment on the *in situ* differentiation of CACs could be achieved by injecting CACs from the same donor into both mouse models and following up on their fate as mentioned above.

In the case of CECs specifically, which we found elevated in GBM patients [1], another setup could shed light on their origin. The theory is that CECs derive from the vessel walls of damaged/activated blood vessels, such as in malignant neoplasia or after ischemic infarction [42]. However, since we hypothesize that OECs are derived from CECs, and since Lin et al [28] found evidence of not only extramedullary but also of intramedullary OEC founder cells, the question remains whether bone marrow endothelial cells contribute to the pool of CECs in GBM patients also. We have isolated CECs from a number of GBM patients by FACS [2]. Tumor tissue is available from around the time



we isolated blood (debulking surgery). Also, most if not all of these patients will by now have deceased. For the patients who had autopsies, bone marrow will be available in the tissue bank. Hence, it would be possible to compare the transcription profile of CECs to that of GBM tumor endothelial cells and bone marrow endothelial cells from the same patient, using for instance single cell RNA Sequencing or Digital Spatial Profiling (Nanostring). The presence of GBM-specific genomic aberrations (such as *EGFR*-amplification) was found before in a considerable subset of tumor endothelial cells, underlining the ability of GBM stem-like cells to transdifferentiate into mature endothelial cells [43]. It would therefore be interesting to investigate the potential presence of *EGFR*-amplification in CECs from GBM patients (using e.g. RNAseq, DNA-focused techniques such as ISH).

Although mouse models offer tremendous possibilities to investigate the functional behavior of CACs *in vivo*, they are notoriously labor and cost intensive, and obviously require the sacrifice of experimental animals. *In vitro* studies do not have these drawbacks, but have the downside of a more artificial environment which does not allow for a one-to-one translation to *in vivo* situations. However, to answer some focused questions *in vitro* experiments can be very useful. For instance: the question how CACs home to and invade tumor/ischemic target tissue can be investigated using chemotaxis assays.

The ability of CACs from GBM vs MI patients (FACS or immunomagnetic bead isolated) to migrate/invade along gradients of chemoattractants such as CXCL12, CCL2, sKITL, sKIT or sVCAM1 can be determined in trans-well assays. These assays can be combined with target tissue cells (e.g. GBM or myocardial cells). Silencing of CXCR4, KIT/KITL, ITGA5/ITGA4 in CACs or the addition of receptor blockers (against e.g. CXCR4, KITL/KIT or  $\text{Int}\alpha 5\beta 1/\text{Int}\alpha 4\beta 1$ ) can be used to validate the importance of these factors in the chemoattraction/homing response. Additionally, CACs could be treated with MMP9 to determine its effect on CXCR4 expression and chemotaxis.

To determine the angiogenic potential of CACs, isolated subsets from GBM and MI patients can be introduced into 3D angiogenesis assays, consisting of human brain endothelial cells, human brain pericytes and human astrocytes, with and without GBM cells, as described in our previous work [44]. After the assay, CACs can be isolated to compare their gene expression profile to freshly isolated CACs from blood of patients to get an impression of how target tissue can change CAC gene expression.

## CONCLUSION

Overall, glioma should be considered a systemic disease, despite being non-metastatic. Glioma manages to hijack an adult tissue richest in stem/progenitor cells (the bone marrow) and orchestrate the mobilized circulating cells to feed its own growth and development. While therapeutic strategies remain focused on local tumor control through debulking and radio-chemotherapy, the impressive regenerative abilities of not only the tumor microenvironment, but also the tumor

macroenvironment (blood, bone marrow) will continue to allow the tumor to recur. Effective therapeutic strategies will need to take over the baton from the tumor, and conduct the bone marrow – blood – brain triad away from tumor progression and into tumor remission. Our published work has paved the way for more focused studies into how these tumor ‘macroenvironmental’ treatments could be established.

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# PORTFOLIO

# PhD Portfolio

## Summary of PhD training and teaching

Name PhD student: Karin Huizer	PhD period: 2011 – 2020		
Erasmus MC Department: Pathology	Promotor: prof. dr. J.M. Kros		
Research School: MGC	Supervisor: prof. dr. J.M. Kros, dr. D.A. Mustafa		
1. PhD training			
(total 30 ECTS: courses, seminars etc, teaching)	Year	Workload	
		Hours	ECTS
General courses			
- Research Management for PhD students (MolMed)	2011	28	1
- Course Molecular Diagnostics (MolMed)	2011	28	1
- Biomedical Research Techniques (MolMed)	2012	44.8	1.6
- Biomedical English Writing and Communication	2012	56	2
- Coding in 'PALGA' course	2015	10	0.4
- BOP course Immunology	2015	28	1
- BOP course Molecular Pathology	2015	28	1
- BOP course Oncology	2016	28	1
- BOP course Pathophysiology	2016	28	1
- Coursera course Systems Biology and Biotechnology	2018	112	4
Total:		390.8	14
Specific courses (e.g. Research school, Medical Training)			
- Confocal Microscopy (Alex Nigg)	2011	8	0.3
- In vivo imaging	2012	50.4	1.8
- RT-PCR Workshop Life Technologies	2012	9	0.3
Total:		67.4	2.4
Seminars and workshops			
- PhD Day (Workshops: "Portfolio and PhD training", "There's no excuse for writing unreadable scientific articles")	2011	8	0.3

<b>Presentations</b> - poster presentation ECNP - Research meeting Obstetrics & Gynecology - presentation JNI meeting - presentation Neuro-Oncology meeting - presentation JNI meeting - presentation Neuro-Oncology meeting	2012	42	1.5
	2012	42	1.5
	2012	42	1.5
	2012	42	1.5
	2013	42	1.5
	2013	42	1.5
- poster presentation SNO conference - presentation 'Pathologendagen'	2014	42	1.5
	2015	42	1.5
Total:		328	12
<b>(Inter)national conferences</b> - ECNP - SNO - Pathologendagen	2012	42	1.5
	2014	42	1.5
	2015	42	1.5
	Total:	126	4.5
<b>Other</b> - seminar series: JNI meetings - seminar series: Neuro-Oncology meetings	2011-2014	150	5.4
	2011-2014	100	3.6
	Total:	250	9
<b>2. Teaching</b>			
- Teaching HLO student (9 month final internship) - Teaching Pathology classes to medical students	<b>Year</b>	<b>Workload</b>	
		<b>Hours</b>	<b>ECTS</b>
	2012-2013	400	14.3
	2014-2017	80	2.9
Total:		480	17.2
<b>Lecturing</b> n/a			







# ACKNOWLEDGEMENTS











# **ABOUT THE AUTHOR**

## ABOUT THE AUTHOR

Karin was born in Ridderkerk, the Netherlands on April 24, 1981. She finished grammar school *summa cum laude* at the “Erasmiaans Gymnasium” in Rotterdam in 1999. At the age of 15 she read “The Man Who Mistook his Wife for a Hat” by Oliver Sacks, which set the stage for the rest of her career.

She started studying Biology at University College Utrecht with the aim of becoming a neuroscientist. During her studies there, she enrolled in Medical School and was accepted for the newly started Neuroscience Research Master-program of academic excellence at Erasmus Medical Center. Her interest in how neuro(patho)physiology drives experience and behavior motivated her to do research at the intersection of Neuroscience and Psychiatry. After finishing her Neuroscience degree successfully, she worked as a junior researcher at the department of Clinical Genetics in Erasmus Medical Center before starting her internships. As a new medical doctor, Karin embarked on a PhD program in Neuropathological Oncology in 2011, combined with her Pathology residency. Although her curiosity regarding the biological foundation of (brain) diseases was fully satiated in the field of Pathology, she increasingly missed patient care and the link between pathophysiology and human experience. Karin therefore started her Psychiatry residency in 2019 at Antes/Parnassia Groep.

She aims to become a psychiatrist and researcher focusing on the neuropathology of psychiatric diseases.







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