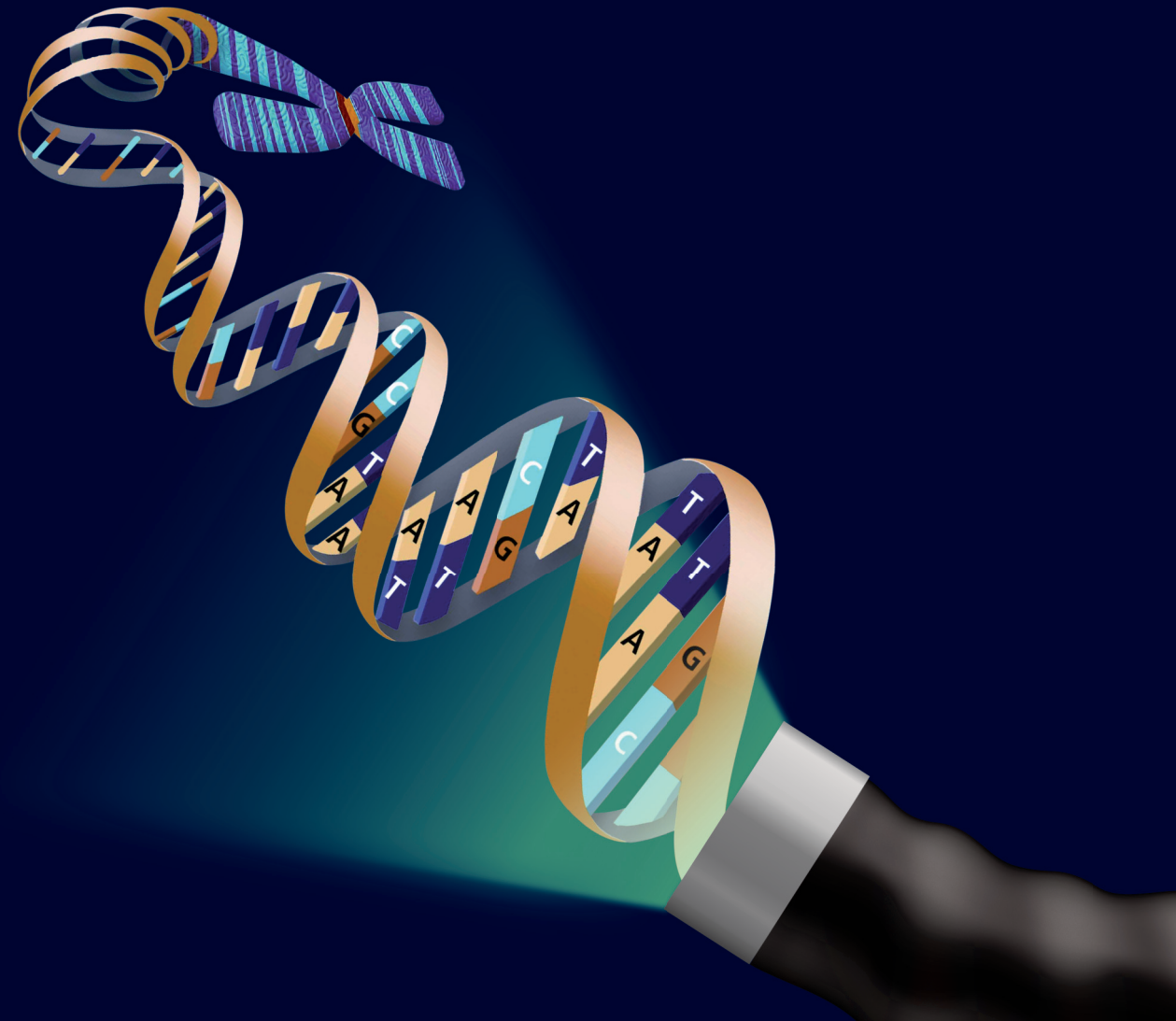


# Towards improved detection and management of Lynch Syndrome

*Dewkoemar Ramsoekh*



## Stellingen behorende bij het proefschrift

1. De Amsterdam II criteria zijn uitermate geschikt voor het uitsluiten van Lynch syndroom, maar niet voor het aantonen ervan. *(dit proefschrift)*
2. In vrouwelijke *MSH6* mutatie dragers moet endoscopische surveillance vanaf de leeftijd van 30 jaar beginnen. *(dit proefschrift)*
3. Endoscopische surveillance met autofluorescentie resulteert in een verhoogde detectie van adenomen in patiënten met Lynch syndroom. *(dit proefschrift)*
4. Het gebruik van predictiemodellen in de medische praktijk kan bijdragen tot een verbeterde opsporing van Lynch syndroom. *(dit proefschrift)*
5. Personen met een hoog risico op mutatie dragerschap zijn eerder geneigd tot het ondergaan van pre-symptomatisch mutatie analyse. *(dit proefschrift)*
6. Blootstelling aan zonlicht verhoogt het risico op huidkanker maar verlaagt het risico op darmkanker.  
*(van der Rhee, Eur J Cancer, 2006)*
7. Als artsen dagelijks 2 artikelen zouden lezen van de 6 miljoen medische artikelen die jaarlijks gepubliceerd worden, lopen ze na 1 jaar 82 eeuwen achter.  
*(Miser, J. Am. Board Fam. Pract, 1999)*
8. Statistics are like swim-wear: what they reveal is suggestive but what they conceal is vital. *(Mahajan, Lancet, 2007)*
9. Klein is fijn, aangezien korte mensen langer leven dan lange mensen.  
*(Samaras, Life Sci, 2003)*
10. Luister naar ieders kritiek maar behoud uw eigen oordeel.  
*(William Shakespeare, 1564-1616 n.C.)*
11. In tegenstelling tot een veelvuldige vraag bij een promotie vraagt men op een begrafenis niet wanneer jij aan de beurt bent.

# **Towards improved detection and management of Lynch Syndrome**

**Dewkoemar Ramsoekh**

The work in this thesis was conducted at the Department of Gastroenterology and Hepatology, the Department of Clinical Genetics and the Department of Public Health, Erasmus MC University Medical Center, Rotterdam

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# Towards improved detection and management of Lynch Syndrome

## Naar een verbeterde diagnostiek en behandeling van Lynch Syndroom

### PROEFSCHRIFT

Ter verkrijging van de graad van doctor  
aan de Erasmus Universiteit Rotterdam  
op gezag van de rector magnificus Prof.dr. H.G. Schmidt  
en volgens het besluit van het College voor Promoties.

De openbare verdediging zal plaatsvinden op  
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door

**Dewkoemar Ramsoekh**  
Geboren te Paramaribo, Suriname



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# Chapter 1

## Outline of the thesis





## OUTLINE OF THE THESIS

Lynch syndrome is the most common hereditary colorectal cancer syndrome, responsible for 3-5% of all colorectal cancer (CRC) cases. In addition, tumors of the endometrium, ovaries, stomach, small bowel, biliary tract, urinary tract, skin and brain occur at higher frequencies compared to the general population. Mutations in at least four different mismatch repair (MMR) genes, including *MLH1*, *MSH2*, *MSH6* and *PMS2*, are the underlying defect in Lynch syndrome. The introduction in **chapter 2** gives a general overview of different aspects of Lynch syndrome. Clinical features, cancer risks, diagnostic strategies, surveillance and management of Lynch syndrome are discussed. The identification of Lynch syndrome is still suboptimal, mainly due to the lack of specific diagnostic features. Early identification of Lynch syndrome is important for optimal surveillance.

**Chapter 3** characterizes the cumulative lifetime risk of Lynch syndrome associated cancer in mutation carriers originating from 67 Lynch syndrome families. The risks for the three different mutation carriers, *MLH1*, *MSH2* and *MSH6*, is evaluated.

In **chapter 4**, the presence of germline mutations in *MLH1*, *MSH2* and *MSH6* is studied in 108 families referred for diagnostics for Lynch syndrome. We evaluate the Amsterdam Criteria II and the revised Bethesda guidelines as diagnostic tools to identify *MLH1*, *MSH2*, as well as *MSH6* mutations.

Another tool to optimize mutation detection are mutation prediction models. In **chapter 5** five different mutation prediction models are being externally validated and evaluated for use in clinical practice.

Once a germline mutation is detected in a family risk carriers in this family can be identified. **Chapter 6** describes the use of germline mutation analysis in high-risk subjects originating from Lynch syndrome and other hereditary colorectal carcinoma families. Colonoscopic surveillance in Lynch syndrome is important in order to prevent the development CRC in carriers of a predisposition for Lynch syndrome. In **chapter 7** an advanced endoscopic modality, autofluorescence endoscopy (AFE), is being compared with standard white light endoscopy (WLE) for the detection of adenomatous lesions in high risk subjects.

Finally, the general discussion in **chapter 8** gives an overview of the thesis and discusses the new insights in the detection and surveillance of Lynch syndrome. Also, recommendations and suggestions for future research are made.



# Chapter 2

## General introduction

Part of this chapter has been published under the title:

*Detection and management of hereditary non-polyposis colorectal cancer (Lynch syndrome).*

*Aliment Pharmacol Ther. 2007;26 Suppl 2:101-11.*





## INTRODUCTION

Colorectal cancer (CRC) is a common disease in Western populations, with a typical onset above 60 years. The majority of CRC are sporadic, and have a multifactorial etiology. However, in 15-20% of all CRC cases inherited genetic factors are expected to be a major underlying cause of the disease. The majority of these cases are classified as familial CRC. In familial CRC there is a clear familial history of CRC but a disease causing mutation cannot be found. In the remainder, an underlying mutation can be found. The most readily distinguished hereditary CRC syndrome is Familial Adenomatous Polyposis (FAP). This syndrome is caused by mutations in the *APC* gene and is characterized by the presence of a large number (> 100) of adenomatous polyps in the colon. An attenuated form of FAP, AFAP, is characterized by the presence of fewer adenomatous polyps (< 100). Furthermore, in patients with AFAP CRC develops at a more advanced age (on average 15 years later) than classical FAP and the adenomas have a predilection to the right side of the colon.<sup>1</sup> In patients with AFAP, the *APC* mutation is mostly found at the 5' or 3' part of the *APC* gene. *MUTYH* associated polyposis (MAP) is another polyposis syndrome with a similar clinical phenotype as AFAP.<sup>2</sup> This syndrome is caused by a mutation in the *MUTYH* gene, which is a base-excision repair gene.<sup>3</sup> MAP, however, has an autosomal recessive inheritance pattern and the risk of developing CRC remains unclear. A polyposis syndrome is diagnosed in approximately 1% of all CRC cases.<sup>4</sup> The most common dominant inherited CRC syndrome is Lynch syndrome, also known as hereditary non polyposis colorectal cancer (HNPCC). This syndrome is caused by mutations in the mismatch repair genes (MMR), *MLH1*, *MSH2*, *MSH6* and *PMS2*. It is characterized by a high risk of colorectal and endometrial cancer, but also other tumors occur. Lynch syndrome is responsible for 2-5% of all CRC cases.<sup>5</sup> However, unlike (A)FAP and MAP the diagnosis of Lynch syndrome is hampered by the absence of specific diagnostic features, such as the presence of many adenomatous polyps in the colon. This chapter will focus on the clinical identification and management of Lynch syndrome.

### History

The first Lynch syndrome family was reported in 1913 by A.S. Warthin. He described the family of his seamstress, known as 'cancer family G'.<sup>6</sup> Warthin was a pathologist at the University of Michigan, and recognized the presence of familial cancer in this family. Warthin wrote a follow up report about cancer family G 12 years later and noted that most of the cancers occurred in the stomach, colon and uterus.<sup>7</sup> In 1936, two of his colleagues provided further follow up of this family.<sup>8</sup> Lynch described two additional families, families N and M (as they came from Nebraska and Michigan) in 1966 and revisited family G in 1966 and 1971.<sup>9,10</sup> In the mid-eighties, Finnish, Dutch and Italian investigators started to search for Lynch syndrome families in their respective countries.<sup>11-13</sup> In 1989, the International Collaborative Group (ICG) was set up to promote international research on the Lynch syndrome.<sup>14</sup> At the time of the establishment of the International

Collaborative Group the name Lynch syndrome was largely unknown. This was the reason to propose a new name, Hereditary Non Polyposis Colorectal Cancer (HNPCC), explaining which tumor is mainly involved in the disease.<sup>15</sup> Such a name might promote the recognition of the syndrome. Nowadays the syndrome is well defined and well known worldwide which made the reintroduction of the term Lynch syndrome appropriate.

### Molecular basis of Lynch syndrome

Lynch syndrome is caused by germline mutations in mismatch repair (MMR) genes. In 1993 germline mutations in the MMR gene *MSH2* were found<sup>16</sup> and in the following years germline mutations in the *MLH1*, *MSH6* and *PMS2* genes.<sup>17-20</sup>

The protein products of the MMR genes are involved in correction of mismatches and small insertion/deletion loops that arise during DNA replication, but also recognize exogenous mutations and are involved in transcription-coupled repair.<sup>21-24</sup> Two different MutS-related heterodimeric complexes are responsible for mismatch recognition: MSH2-MSH3 and MSH2-MSH6. After mismatch binding, a heterodimeric complex of MutL-related proteins, MLH1-PMS2 or MLH1-MLH3, is recruited and this initiates the actual mismatch repair. Mismatch repair deficiency gives rise to microsatellite instability (MSI). Microsatellites are simple repetitive DNA sequences that are found throughout the genome. A National Cancer Institute workshop recommended five informative markers for MSI-analysis in colorectal cancers.<sup>25</sup> Using these markers more than 90% of colorectal cancer from patients with Lynch syndrome exhibit MSI in contrast with about 15% of sporadic CRC, making MSI a hallmark of Lynch syndrome.<sup>5</sup>

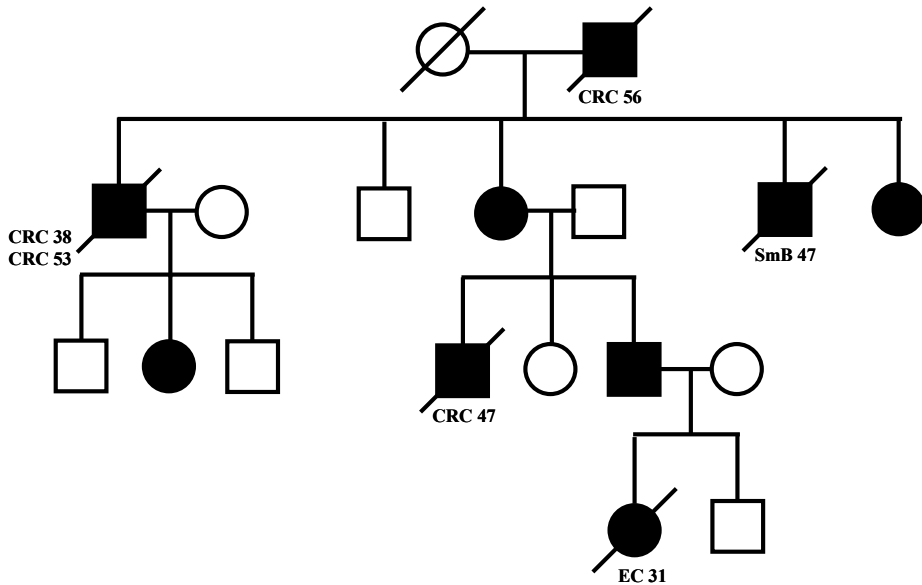
### Clinical features

Autosomal dominant inheritance is one of the features of Lynch syndrome (Table 1 and Figure 1). In contrast with familial adenomatous polyposis in which approximately one-fourth of cases

**Table 1.** Features of the Lynch syndrome

Autosomal dominant inheritance
Associated cancers: cancer of colorectum, stomach, small bowel, biliary tract, uroepithelial tract, ovary, endometrium, brain, skin (sebaceous adenoma)
Development of cancer at an early age
Development of multiple cancers
Features of colorectal cancer: predilection for proximal location, improved survival, multiple colorectal cancers, poorly differentiated tumors and Crohn's-like infiltration of lymphocytes
Features of adenomas: the numbers vary from one to a few, increased proportion of adenomas with a villous growth pattern, high degree of dysplasia, rapid progression from adenoma to carcinoma
High frequency of microsatellite instability
Immunohistochemistry: loss of MLH1, MSH2, MSH6 and PMS2 protein expression





**Figure 1.** Pedigree of family with classical Lynch syndrome

CRC = colorectal cancer

EC = endometrial cancer

SmB = small bowel cancer

is caused by a de novo *APC*-gene mutation, Lynch syndrome based on a de novo mismatch repair gene mutation has been rarely reported.<sup>26-28</sup>

As mentioned before, predisposed individuals from Lynch syndrome families have an increased risk of developing CRC.<sup>29-31</sup> The main precursors of CRC are adenomatous polyps.<sup>32</sup> In predisposed individuals to Lynch syndrome adenomas appear to develop at the same rate as in individuals in the general population, but these adenomas develop at an earlier age, have more villous components and are more dysplastic than adenomas detected in the general population. Furthermore, the adenomas in predisposed individuals seem to progress more rapidly (2 to 3 years) to invasive colorectal cancer compared to those in the general population (8 to 10 years).<sup>33</sup> Therefore, colorectal cancer in Lynch syndrome mutation carriers is often diagnosed at an early age, and synchronous and metachronous CRC are more common. Also, unlike in the general population, the majority of these CRC is located in the proximal colon.<sup>34,35</sup> Specific pathological characteristics of Lynch syndrome colorectal tumors have been identified but none of them are pathognomic. These features include poor differentiation, presence of mucinous and signet cells, medullary features, peritumoral lymphocytic infiltration, Crohn's like reaction, and tumor infiltrating lymphocytes mixed with tumor cells.<sup>36-38</sup>

Lynch syndrome mutation carriers are also at higher risk for other tumors including endometrial cancer (after CRC the most common cancer in Lynch syndrome patients) and to a lesser extent other cancers such as tumors of the stomach (particularly in Asian countries such as Japan

and Korea), small bowel, ovary, upper uroepithelial tract, biliary tract, skin and brain.<sup>29-31, 39</sup> In the presence of skin or brain tumors the phenotype is also addressed to as Muire-Torre syndrome and Turcot syndrome respectively.

Lynch syndrome associated colorectal tumors are adenocarcinomas. The endometrial cancers seen in Lynch syndrome are mostly of the endometrioid subtype<sup>40</sup>, whereas ovarian cancers are serous or mucinous.<sup>30, 41</sup> Gastric cancers are generally of the intestinal type.<sup>36, 42, 43</sup> With respect to tumors of the urinary tract, transitional cell carcinomas are associated with Lynch syndrome, localized in the ureter and renal pelvis but surprisingly not in the bladder.<sup>44, 45</sup> The skin tumors in Lynch syndrome are mostly sebaceous adenomas and adenocarcinomas, while the brain tumors are predominantly glioblastomas.<sup>46</sup>

### Cancer risks in Lynch syndrome

Lynch syndrome has a variable phenotype with respect to the tumor site, age of onset and the penetrance. Several studies have evaluated the cancer risks in Lynch syndrome. A summary of these studies is presented in Table 2.<sup>30, 39, 47-56</sup> The cancer risks in Lynch syndrome are associated with the affected MMR gene. In *MLH1* and *MSH2* mutation carriers the described CRC risk at the age of 70 yrs ranges between 28-75% in males and 24-52% in females.

**Table 2.** Life time cancer risks in Lynch syndrome

Cancer type	Affected Mismatch repair gene		
	<i>MLH1+MSH2</i>	<i>MSH6</i>	<i>PMS2</i>
Colorectal cancer (male)	28-75%	60-70%	15-20%
Colorectal cancer (female)	24-52%	30-40%	15-20%
Endometrial cancer	27-60%	60-70%	15%
Small bowel cancer	4-7%	n.a.	
Gastric cancer	2-13%	n.a.	
Ovarian cancer	3-13%	n.a.	25-32%*
Biliary tract	4%	n.a.	
Upper urothelial tract	1-12%	n.a.	
Brain	1-4%	n.a.	

n.a. = not available

\* all other Lynch syndrome-associated cancers

Average life time risk based on the studies of Aarnio et al.<sup>30</sup>, Dunlop et al.<sup>47</sup>, Hampel et al.<sup>48</sup>, Hendriks et al.<sup>49</sup>, Plaschke et al.<sup>50</sup>, Quehenberger et al.<sup>51</sup>, Vasen et al.<sup>39, 52-54, 57</sup>, Barrow et al.<sup>55, 56</sup>

The mean age of CRC onset in *MLH1* and *MSH2* mutation carriers is approximately 45 years<sup>30, 39, 47-56</sup> Only few studies report the cumulative risk of CRC in *MSH6* mutation carriers.<sup>50, 55</sup> The risk of CRC at the age of 70 years in male and female *MSH6* mutation carriers is respectively 60-70% and 30-40%. The age of diagnosis of CRC in *MSH6* mutation carriers is an average 5-10 years delayed compared to *MLH1* or *MSH2* carriers. The risk for developing endometrial cancer in female *MSH6* mutation carriers is 60-70%, while this is lower in *MLH1* and *MSH2* mutation

carriers (27-60%). Furthermore, the mean age of onset of endometrial cancer seems slightly lower in female *MSH6* mutation carriers compared to *MLH1* or *MSH2* mutation carriers (54 years vs. 59 years). The risk of other Lynch syndrome associated tumors usually does not exceed 15% in *MLH1* and *MSH2* mutation carriers. In *MSH6* mutation carriers these risks are at present largely unknown. So far, only one study has reported on data regarding cancer risk in *PMS2* mutation carriers.<sup>57</sup> The reported risk for developing colorectal cancer was 15-20%, while the risk of endometrial cancer was 15%. The risk for other Lynch syndrome associated cancer was 25-32%, which is much higher than in *MLH1*, *MSH2* or *MSH6* mutation carriers. More studies evaluating the cancer risks in *MSH6* and *PMS2* mutation carriers are needed.

It should be noted that these risks could possibly be underestimated because some studies evaluated families that were selected by using the Amsterdam criteria<sup>52</sup>, or by including both carriers as well as non carriers.<sup>51</sup> On the other hand, the risks might also be biased because high risk families are being referred to a clinical genetic department, so called referral bias, while families without an apparent clustering of colorectal cancer are less frequently referred.

## Diagnosis of Lynch syndrome

### *Clinical diagnostic criteria*

Identification of subjects carrying a MMR mutation is important as surveillance can be restricted to these individuals, while those without a gene defect can be reassured and spared from surveillance. Surveillance in Lynch syndrome is important because it reduces the incidence and mortality of colorectal cancer.<sup>58-61</sup> Currently, the Amsterdam criteria II and revised Bethesda criteria are used in clinical practice to select individuals for further analysis.

The Amsterdam criteria were formulated in 1990 by the International Collaborative Group on HNPCC.<sup>15</sup> However, in the following years various studies provided evidence that Lynch syndrome was also associated with extracolonic tumors. This was the reason to propose a new set of criteria that include various extracolonic cancers, the Amsterdam criteria II (Table 3).<sup>62</sup> These criteria are used in clinical practice as a selection criterion for mutation analysis in the MMR genes, however these criteria are too stringent to identify all Lynch syndrome families. Families suspected of Lynch syndrome but not fulfilling these criteria should not be falsely reassured and excluded from genetic counseling, DNA testing or surveillance.

The Bethesda criteria were formulated in 1996 and updated to the Revised Bethesda criteria in 2004 (table 2).<sup>25, 35, 63</sup> The Bethesda criteria were formulated for the identification of tumors that should be tested for MSI in order to select patients for subsequent MMR gene mutation analysis. Like the Amsterdam criteria II, the revised Bethesda criteria do not exclude a hereditary factor for CRC. Whether the Amsterdam II and revised Bethesda criteria are adequate to identify Lynch syndrome patients is established by determining the proportion of cases with a MMR gene muta-

**Table 3.** The Amsterdam Criteria II<sup>62</sup> and revised Bethesda criteria<sup>35</sup>

<b>Amsterdam criteria II</b>
There should be at least three relatives with a Lynch syndrome associated tumor (CRC, endometrial, small bowel, ureter/renal pelvis cancer); all of the following criteria should be present: <ul style="list-style-type: none"> <li>• one should be a first degree relative of the other two</li> <li>• at least two successive generations should be affected</li> <li>• at least one should be diagnosed before age 50 years</li> <li>• all tumors should be verified by pathological examination</li> <li>• FAP should be excluded in the CRC case</li> </ul>
<b>Revised Bethesda criteria</b>
<ul style="list-style-type: none"> <li>• CRC &lt; age 50 years</li> <li>• presence of synchronous / metachronous Lynch syndrome-related cancer*, regardless of age</li> <li>• CRC with specific pathological features &lt; 60 years**</li> <li>• CRC diagnosed in one or more 1<sup>st</sup> degree relatives with an Lynch syndrome- related cancer, with 1 of the diagnosis under age 50 years</li> <li>• CRC in two or more 1<sup>st</sup> or 2<sup>nd</sup> degree relatives with an Lynch syndrome related cancer, regardless of age</li> </ul>
* Lynch syndrome-related cancer: CRC, endometrial, stomach, ovarian, pancreas, ureter and renal pelvis, biliary tract, brain, sebaceous gland and small bowel carcinoma.
**tumor infiltrating lymphocytes, Crohn's-like lymphocyte reaction, mucinous/signet ring differentiation or medullary growth pattern.

tion that would be missed using these criteria in a series of unselected CRC cases. One study<sup>64</sup> evaluated six studies<sup>5, 65-69</sup> in which either MSI or IHC analysis or both tests were performed as the primary screening tool in prospective, unselected series of colorectal cancer patients. The six studies showed that the sensitivity of the Amsterdam criteria II for detection of Lynch syndrome *MLH1* and *MSH2* mutation carriers was approximately 40%, while the sensitivity of the revised Bethesda criteria was approximately 90%. This means that especially the Amsterdam criteria II miss a large proportion of *MLH1* and *MSH2* mutation carriers.<sup>70</sup> These studies and our own data (this thesis) indicate that the Amsterdam II and revised Bethesda criteria are suboptimal for the identification of Lynch syndrome mutation carriers, especially in families with a milder phenotype such as *MSH6* and probably also *PMS2* families.

### *Mutation prediction models*

In recent years several models to predict the likelihood of carrying a germline mutation have been developed.<sup>71-75</sup> Mutation prediction models predict the probability of a mutation using logistic regression or Bayesian methods. These models use information based on personal and family history as input to predict the probability of mutation carriership.

A major advantage of prediction models is that these models give a quantitative estimation of the likelihood of mutation carriership instead of a bivariate (yes/no) assessment as provided by the clinical diagnostic criteria. Mutation prediction models are thus potentially useful in clinical practice to optimize the identification of Lynch syndrome. The key issues involving these models are their performance in clinical practice and their applicability in specific patient

groups. However, most of these models are based on samples of Caucasian populations with European ancestry and further validation in other ethnic groups is necessary. Furthermore, the performance of these models has been evaluated in the same study setting which formed the basis for their development. External validation is necessary to study generalizability of these models. Data concerning the performance of these models in a population-based cohort are sparse. One study has evaluated the P<sub>remm</sub><sub>1,2</sub> model in a population based cohort of 1222 CRC patients (the EPICOLON cohort). In this study cohort, the P<sub>remm</sub><sub>1,2</sub> model identified all the *MLH1* and *MSH2* mutation carriers, indicating a good performance of this model.<sup>76</sup> However, the number of identified mutations (n=8) was very low, limiting the reliability of this study. Furthermore, the P<sub>remm</sub><sub>1,2</sub> model is not able to identify *MSH6* and *PMS2* mutation carriers. Another study used the same EPICOLON cohort to compare the P<sub>remm</sub><sub>1,2</sub> model with the Edinburgh model<sup>71</sup> and reported a similar performance of both models.<sup>77</sup>

### *Microsatellite instability analysis (MSI) & immunohistochemistry (IHC)*

As mentioned before MSI is a hallmark of Lynch syndrome. Using the international set of recommended markers (D2S123, D5S346, D17S250, BAT25 and BAT26) more than 90% of Lynch syndrome-associated CRC and about 15% of sporadic CRC exhibit microsatellite instability.<sup>22,78-83</sup> Comparing the marker size in normal and tumor DNA from the same individual tumors is scored as MSI-high if at least two of the markers show instability, MSI low if one marker shows instability, or MSI stable if none of the markers shows instability. The current standard method for MSI analysis is relatively time consuming, laborious and expensive, due to the need to compare allelic profiles between tumor and matching germline DNA. However, new MSI analysis methods such as the fluorescent multiplex PCR of mononucleotide repeats and the computerized fragment analysis method are promising. This alternative simple and straightforward MSI analysis system of mononucleotide microsatellite repeats can identify MSI-H tumors with a high sensitivity and specificity. An advantage of these systems is that comparison of tumor with matching germline DNA is unnecessary. Studies evaluating these new MSI analysis system are promising, but further validation is needed.<sup>84,85</sup>

MSI analysis can be performed in DNA extracted from paraffin-wax embedded tumors. To optimize MSI analyses good quality of tumor tissue is warranted and an experienced molecular laboratory/pathologist is needed. It should also be noted that an MSI-stable phenotype does not exclude Lynch syndrome, because of the possibility of a phenocopy. In proven Lynch syndrome families, frequently tumors are encountered with no indication of MSI. These individuals could have developed, for example, a CRC or endometrial carcinoma because these tumors have a relatively high prevalence in the population.<sup>86,87</sup> When a strong suspicion for Lynch syndrome remains in spite of a MSI stable tumor, MSI analysis on a second tumor in the family may be considered.

MSI analysis is not suitable to predict which of the MMR genes is affected, but this can be demonstrated by further IHC analysis. For this analysis, specific antibodies are used to visualize the presence or absence of MLH1, MSH2, MSH6 and PMS2 proteins in tumor cells compared to normal cells. An IHC pattern with absent staining for MLH1 and PMS2 and positive staining for MSH2 and MSH6 is indicative for a mutation in *MLH1* (Table 4). This pattern is explained by the fact that the MLH1 protein forms a heterodimer with the PMS2 protein. In the absence of MLH1 protein, the heterodimer will not be formed and the PMS2 protein will degrade resulting in the absence of staining of both proteins. Because the MSH2 protein forms a heterodimer with MSH6, the specific immunohistochemical pattern observed in tumors of *MSH2* mutation carriers, comprises absence of staining of MSH2 and MSH6 with normal staining of MLH1 and PMS2. In tumors from *MSH6* mutation carriers generally only absence of staining of the MSH6 protein is observed whereas in tumors from carriers of a PMS2 mutation absence of the PMS2 protein is found.

**Table 4.** IHC patterns associated with *MLH1*, *MSH2*, *MSH6* and *PMS2* mutations<sup>21,105</sup>

IHC staining	MMR gene mutation			
	<i>MLH1</i>	<i>MSH2</i>	<i>MSH6</i>	<i>PMS2</i>
MLH1	-	+	+	+
MSH2	+	-	+	+
MSH6	+	-	-	+
PMS2	-	+	+	-

However, a tumor with an MSI-high phenotype and absent staining of MLH1 can also be caused by hypermethylation of the promotor region of the *MLH1* gene, which is found in sporadic MSI-high CRC. In 50% of the sporadic CRC with MLH1 promotor hypermethylation specific mutations in the BRAF gene are found in the tumor tissue. Therefore, additional BRAF analysis and MLH1 promotor methylation analysis can differentiate between a somatic sporadic MSI-high CRC and a Lynch syndrome related cancer.<sup>88-91</sup>

IHC is especially indicative for MMR mutations that result in truncation of the protein, such as nonsense, frameshift, splice site mutations and large genomic rearrangements. In case of missense mutations, IHC is not always diagnostic as the protein may be (partly) expressed and therefore still detected by IHC. Also, the value of IHC largely depends on the quality of the nuclear staining and the experience of the pathologist.<sup>92-94</sup>

Several studies have prospectively evaluated the results of MSI and IHC analysis in CRC tissue for the identification of MMR mutations.<sup>67-69,71,95-97</sup> The reported sensitivity and specificity of MSI in these studies varied between 80%-100% and 70-95% respectively. For IHC, the reported sensitivity and specificity were 85-95% and 80-95%, respectively. However, only two of these studies evaluated all the known MMR proteins.<sup>68,96</sup>

MSI and IHC analysis is optimally performed on colorectal tumor tissue of the youngest patient in the family. Other tumor tissues can be analyzed, however, the value of MSI/IHC in

other Lynch syndrome related tumors is largely unknown. An American study evaluated the use of MSI and IHC analysis in 543 endometrial tumors in an unselected population.<sup>98</sup> Of these 543 tumors, 98 (18%) were MSI-high and 20 (4%) were MSI-low. An abnormal IHC staining was found in 90 (92%) of the MSI-high tumors. In 10 (8%) MSI/IHC positive tumors a MMR gene mutation was found. The authors concluded that MSI and IHC analysis is feasible in endometrial cancer. However, this study did not fully address the sensitivity and specificity of MSI and IHC in endometrial cancer since mutation testing in the MSI negative tumors was not performed. Nevertheless, other studies, although smaller, also have shown that MSI and IHC is feasible in endometrial cancer.<sup>99-101</sup>

Colorectal adenomas can also be used for MSI and IHC analysis, however previous studies have shown that not all the colorectal adenomas found in mutation carriers exhibit an MSI-high phenotype. This is due to the fact that a MSI-high phenotype is not yet fully manifested in early or low grade colorectal adenomas.<sup>102-104</sup>

A combination of MSI and IHC provides the most optimal selection for mutation analysis, however in view of the costs some advocate IHC as the first step.<sup>95, 105</sup> As mentioned before the revised Bethesda criteria were designed to select CRC patients for MSI / IHC analysis. Another strategy would be to perform MSI and IHC on all newly diagnosed colorectal cancer and or endometrial cancer cases. The advantage of such a strategy may be that families with a mild phenotype would also be identified. Studies evaluating this strategy show promising results<sup>106, 107</sup>, but more studies are needed to evaluate the feasibility, diagnostic yield and cost effectiveness of this strategy.

### *Mutation analysis*

To confirm the presence of a mutation in a MMR gene, mutation analysis is performed in DNA from blood derived lymphocytes. At this moment there are several techniques available for mutation analysis including direct sequencing, denaturing gradient gel electrophoresis (DGGE) with sequencing of aberrant fragments and multiplex ligand dependent probe amplification (MLPA) for the detection of large genomic deletions, which occur most frequently in *MSH2*. Mutation analysis is expensive and time consuming. Therefore it is generally performed when MSI and IHC analysis are indicative for a germline mutation or if there is a very high suspicion of a mutation based on the family history.

All genomic coding changes are potentially deleterious. However, as opposed to nonsense mutations (which create a stop codon or lead to a frame shift) or those that cause abnormal splicing, missense mutations (which lead to the substitution of an amino acid) are usually not considered a priori pathogenic. Of all mutations identified in *MLH1* and *MSH2*, 29% and 16%, respectively, are missense mutations. A functional test to reliably assess the competence of the mismatch

repair proteins is currently not available. Therefore, most missense mutations are designated as unclassified variants (UV). These variants cannot be used for diagnostic purposes.

### **Genetic testing and counseling**

The diagnosis of a germline mutation confirming a predisposition to cancer can be complex and may have considerable medical and psychosocial consequences.<sup>108</sup> Individuals who opt for genetic testing should receive genetic counseling and psychosocial guidance.

Once a mutation has been detected in an affected individual, healthy family members can be offered mutation analysis, so called presymptomatic diagnostic testing. A negative genetic test may result in emotional relief regarding personal and/or offspring cancer risk, and avoidance of unnecessary surveillance. However, feelings of guilt towards affected relatives, so called survivor-guilt, may seriously harm inter-familial relations. A positive genetic test may lead to emotional distress regarding personal cancer risk and frequent surveillance or considerations for prophylactic management. Furthermore, it may have consequences for offspring or the desire to have children. Also, a positive result can have financial consequences such as increased mortgage and life-insurance costs. The protocol for genetic testing of the American Society of Clinical Oncology recommends three sessions with a clinical geneticist.<sup>108</sup> During the first session the discussed issues include the reasons for testing, the clinical features of the hereditary colorectal cancer syndrome, the mode of inheritance, the consequences of the test results, the options for surveillance or prophylactic procedures in case of a positive result and the DNA testing procedure. In the second session blood samples are taken and during the third session the test results are disclosed and if necessary further surveillance strategy discussed. In the Netherlands genetic counseling for CRC is offered by the department of Clinical Genetics of the University Medical Centers. In clinical practice in the Netherlands blood samples are generally taken directly after the first counseling session.

The uptake for genetic testing in Lynch syndrome families varies. A Finnish study reported an uptake rate of 75%, while an American study reported a rate of 43%.<sup>109,110</sup> However, both studies were performed in a research setting and therefore these studies do not reflect clinical practice. We evaluated the uptake in clinical practice and reported uptake rates of 43% and 50%.<sup>111,112</sup> In view of the preventative options in risk carriers for Lynch syndrome a higher uptake for genetic testing is desirable. The more since a previous study reported that genetic testing improves the compliance of colonoscopy surveillance from 19% to 88%.<sup>113</sup> and mutation carriers are able to cope well with their cancer susceptibility on the short as well on the long term.<sup>113-115</sup>

Studies at the reasons for risk carriers not to be tested and a better implementation of genetic testing in clinical practice are desirable.



## Diagnostic approach in patients suspected of Lynch syndrome

A detailed family history in all patients with cancer is the simplest and most cost-effective way to identify hereditary colorectal cancer. Characteristics of hereditary forms of colorectal cancer that might be helpful in the differential diagnosis for non hereditary cases include an unusual early age of onset, the presence of multiple tumors and the combined occurrence of colorectal cancer with endometrial cancer or another Lynch syndrome associated cancer.

In patients who comply to the revised Bethesda criteria MSI and/or IHC analysis should be performed on the available tumor tissue.<sup>116</sup> However, carcinomas in *MSH6* mutation carriers, particularly endometrial carcinomas, have been shown to be present with a MSI-stable phenotype in a minority that cannot be neglected.<sup>86, 117</sup> (this thesis) Therefore, if an MSI-stable phenotype is found in a family with clustering of endometrial carcinoma, IHC of *MSH6* is the next step. If IHC is negative for *MSH6*, mutation analysis follows. If staining is present, MSI analysis of a second tumor can be considered. With respect to *PMS2* mutations further studies are required.

In MSI-H cases with absent staining of *MLH1* promotor hypermethylation analysis should be performed before germline mutation analysis to exclude sporadic MSI-H cases.

In cases with a strong positive family history but an MSI stable tumor, MSI analysis on a second tumor from the same family is recommended to exclude the possibility of phenocopies. When MSI and IHC analysis do not show abnormalities, germline mutation analysis is not useful.<sup>118</sup>

## Surveillance in Lynch Syndrome

### *Surveillance of the colon*

The surveillance program of Lynch syndrome includes colorectal surveillance by biennial colonoscopy starting from the age of 20-25 years (Table 5). The rationale for biennial colonoscopy screening is that the risk for developing an invasive CRC within this two year period is small.<sup>119, 120</sup> There is evidence that colonoscopy surveillance is effective in reducing the incidence and mortality of CRC.<sup>58-61</sup> A Finnish study followed 22 Lynch syndrome families during a period of 15 years.<sup>61</sup> Colonoscopy surveillance at 3-year interval resulted in a 65% decrease in mortality and an increased detection of early stage CRC. A decrease in mortality was also found in a

**Table 5.** Surveillance guidelines in Lynch syndrome<sup>64</sup>

Surveillance	Examination	Start at age	Interval
Colon	Colonoscopy	20-25 years	1-2 year
Endometrium	Gynaecological examination, transvaginal US	30-35 years	1-2 year
Stomach*	Gastroscopy	30-35 years	1-2 year
Urinary tract*	Urine cytology	30-35 years	1-2 year

\* if stomach or urinary tract cancer runs in the family (more than one case)

Dutch study that evaluated the Dutch surveillance program for Lynch syndrome.<sup>58</sup> This study reported a 70% decrease in the standardized mortality ratio for colorectal cancer when one or more surveillance colonoscopies were performed. However, interval cancers have been reported despite surveillance colonoscopy.<sup>121</sup>

Currently, colonoscopy surveillance is performed by white light endoscopy, but a recent meta analysis including 6 studies with tandem colonoscopies showed an adenoma miss rate of 2% for adenomas > 10 mm and even a 26% miss rate for adenomas < 5 mm.<sup>122</sup> In Lynch syndrome, flat adenomas are particularly prone to malignant transformation compared to adenomas in the general population.<sup>33,34</sup> Other endoscopic modalities such as high magnification chromo endoscopy, narrow band imaging or autofluorescence endoscopy could decrease the adenoma miss rate. Chromo endoscopy is a colonoscopic technique in which the colonic surface is sprayed with a dye, such as indigo carmine, resulting in an enhanced view of the epithelial surface. Two small studies have evaluated the use of high magnification chromo-endoscopy in Lynch syndrome patients. A French study evaluated chromo-endoscopy in 36 consecutive asymptomatic patients belonging to Lynch syndrome families.<sup>123</sup> During white light colonoscopy 7 adenomas were detected in five patients and chromo endoscopy detected an additional 11 adenomas in eight patients. The adenoma detection rate of chromo-endoscopy was significantly higher ( $p = 0.045$ ) than white light colonoscopy. These results were confirmed by a British study, in which 25 asymptomatic patients fulfilling the modified Amsterdam criteria underwent both conventional and chromo-endoscopy.<sup>124</sup> White light colonoscopy detected 11 adenomas and chromo-endoscopy detected an additional 32 adenomas ( $p < 0.01$ ). However, chromo-endoscopy is time consuming because of the dye spraying. Both narrow band imaging and autofluorescence do not need additional dye spraying thus these techniques might be less time consuming. Narrow band imaging is another endoscopic modality, in which superficial capillaries in the mucosa are highlighted. Neoplasia in the mucosa has an increased vascular density and thus can be easily detected by narrow band imaging. So far, only one study has evaluated narrow band imaging for colonoscopic surveillance in Lynch syndrome.<sup>125</sup> In total 62 patients were evaluated and with the use of narrow band imaging the number of patients with adenomas increased with 15% to a total of 42%.

Autofluorescence endoscopy also is another technique which may be used in the colonoscopic surveillance of Lynch syndrome. The polyp detection rate with autofluorescence may be higher<sup>126</sup> than conventional white light colonoscopy. More studies evaluating autofluorescence endoscopy and narrow band imaging are needed. Until then, biennial colonoscopy screening by conventional high quality magnification white light colonoscopy remains the gold standard.

### *Surveillance of the endometrium*

Endometrial cancer is the second most common malignancy in Lynch syndrome and therefore female mutation carriers are offered endometrial cancer surveillance. The endometrial surveil-

lance program includes biennial gynaecological examination and transvaginal US examination, starting at the age of 30-35 years. However, the effect of endometrial cancer surveillance is disputable.<sup>127-129</sup> Because of the higher risk of developing endometrial carcinoma in *MSH6* mutation carriers, hysterectomy can be suggested in these women after menopause.

In view of the risk of ovarian carcinoma, the failure of early cancer detection with transvaginal US and determination of the tumor marker CA125, bilateral salpingo-oophorectomy may be considered in families with an excess of ovarian carcinoma. Also an ovariectomy can be considered in females with a prophylactic hysterectomy.<sup>130, 131</sup> The value of surveillance for endometrial cancer is not known and further studies need to prove that biennial surveillance leads to the detection of premalignant lesions and early cancers. Accurate treatment of women with postmenopausal blood loss is probably the most important.

Surveillance of gastric carcinoma and upper urothelial cell carcinoma is recommended if two or more tumor cases occur within the family.<sup>116</sup> However, the value of this strategy still remains unclear. Surveillance guidelines for other Lynch syndrome associated tumors (small bowel, ovary, biliary tract, skin and brain) are lacking.

## **Therapy of colorectal carcinoma in Lynch syndrome**

### *Surgical treatment for colorectal carcinoma*

Previous studies have raised the question whether a subtotal colectomy instead of a segmental resection might be the preferred treatment in Lynch syndrome patients with a primary CRC.

A Finnish study reported that 15/37 Lynch syndrome patients who underwent a segmental colon resection developed a metachronous CRC, compared to 4/17 Lynch syndrome patients who underwent a subtotal colectomy.<sup>132</sup> An American study reported a metachronous CRC in 16/70 Lynch syndrome patients who underwent a segmental colon resection versus 0/23 Lynch syndrome patients who underwent a subtotal colectomy.<sup>133</sup> The results of these studies suggest that a subtotal colectomy is the preferred treatment in Lynch syndrome related CRC. In a Dutch study a decision analysis was performed to compare the life expectancy for patients who underwent either a subtotal colectomy or a segmental colon resection.<sup>134</sup> The authors concluded that a subtotal colectomy performed at a young age (< 47 years) would result in an increased life expectancy of up to 2.3 years. A subtotal colectomy in a 67 years old patient resulted in an increased life expectancy up to only 0.3 years. Based on these findings a subtotal colectomy with an ileorectal anastomosis should be the treatment of first choice in young patients (< 60 years) presenting with CRC, while in older patients a segmental colon resection might be appropriate. Of course, surveillance of the residual colon remains important.

### *Chemotherapy*

Currently, chemotherapeutic regimes for colorectal cancer include 5FU with or without leucovorin, oxaliplatin and irinotecan. The effect of chemotherapy on MSI-high tumors have been reported in a few studies.<sup>135-139</sup> Most of these studies reported that there was no benefit of 5FU-based chemotherapy and questioned the benefit of such therapy for patients with MSI-high tumors. However, one study was a prospective non randomized study in 244 patients, including 52 with a MSI-high tumor.<sup>135</sup> The authors concluded that patients with an MSI-high tumor that received 5FU-based chemotherapy had a better survival. Prospective clinical trials are needed to evaluate the effect of different chemotherapeutic regimes in MSI-high tumors.

### *Chemoprevention in Lynch syndrome*

The use of aspirin has been associated with a moderate reduction in the risk of colonic adenomas and colorectal cancer in the general population, while resistant starch (an isomer of starch) has been associated with an antineoplastic effect.<sup>140-143</sup> Therefore, aspirin and resistant starch would be a candidate for chemoprevention in Lynch syndrome. Recently, a randomized, placebo controlled trial evaluated the use of aspirin and resistant starch in proven mutation carriers during a follow up period of 4 years. Of 693 participants randomly assigned to receive aspirin or placebo, neoplasia developed in 66 (18.9%) participants receiving aspirin versus 65 (19%) participants receiving placebo. The trial did not report a significant difference between the two groups with respect to the development of advanced colorectal neoplasia (7.4% vs. 9.9%,  $p=0.33$ ). Of the 727 participants receiving resistant starch or placebo, also no significant difference was reported (18.7% vs. 18.4%). Furthermore, the distribution of advanced adenoma and colorectal cancer were evenly distributed in both groups. Although the concept of chemoprevention is promising, there are currently no chemopreventive agents available for the prevention of colorectal cancer in Lynch syndrome.

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# Chapter 3

## **Cancer risk in *MLH1*, *MSH2* and *MSH6* mutation carriers; different risk profiles may influence clinical management**

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## ABSTRACT

**Background & Aims:** Lynch syndrome (LS) is associated with a high risk for colorectal cancer (CRC) and extracolonic malignancies, such as endometrial carcinoma (EC). The risk is dependent of the affected mismatch repair gene. The aim of the present study was to calculate the cumulative risk of LS related cancers in proven *MLH1*, *MSH2* and *MSH6* mutation carriers.

**Methods:** The study population consisted out of 67 proven LS families. Clinical information including mutation status and tumour diagnosis was collected. Cumulative risks were calculated and compared using Kaplan Meier survival analysis.

**Results:** *MSH6* mutation carriers, both males and females had the lowest risk for developing CRC at age 70 years, 54% and 30% respectively and the age of onset was delayed by 3-5 years in males. With respect to endometrial carcinoma, female *MSH6* mutation carriers had the highest risk at age 70 years (61%) compared to *MLH1* (25%) and *MSH2* (49%). Also, the age of EC onset was delayed by 5-10 years in comparison with *MLH1* and *MSH2*.

**Conclusions:** Although the cumulative lifetime risk of LS related cancer is similar, *MLH1*, *MSH2* and *MSH6* mutations seem to cause distinguishable cancer risk profiles. *MSH6* mutation carriers have a low CRC risk under the age of 30. As a possible consequence, surveillance colonoscopy starting at a higher age is more suitable.

## INTRODUCTION

Lynch syndrome (LS), also known as hereditary non-polyposis colorectal cancer, is the most common hereditary colorectal cancer (CRC) syndrome and accounts for 2-5% of all colorectal cancer cases.<sup>1</sup> Germline mutations in any of the four mismatch repair (MMR) genes, *MLH1*<sup>2</sup>, *MSH2*<sup>3</sup>, *MSH6*<sup>4</sup> and *PMS2*<sup>5</sup>, are the underlying cause of LS. Subjects carrying a mutation in one of the MMR genes have a higher risk for developing colorectal cancer, but also endometrial carcinoma and to a lesser extent malignancies of the stomach, small bowel, ovaries, upper uroepithelial tract, biliary tract, skin and brain are more often seen in these subjects.<sup>6-9</sup>

The colorectal cancer risk in LS is dependent on sex and the MMR gene involved. The reported lifetime risk for colorectal cancer in the literature varies from 28-100% in males and 25-83% in females.<sup>7, 10-18</sup> The risk of developing endometrial carcinoma ranges from 30-71% and the risk of other LS-associated cancers is less than 10-15%.<sup>9</sup> Furthermore, some studies have suggested that extracolonic cancers are more often observed in *MSH2* mutation families compared to *MLH1* mutation families.<sup>13, 19</sup> *MSH6* mutation families probably have a milder clinical phenotype with a later onset of both CRC and EC and clustering of endometrial carcinoma.<sup>17</sup> The risks in *PMS2* mutation families are largely unknown. One study reported that *PMS2* mutation families have a milder phenotype compared to *MLH1* or *MSH2* mutation families.<sup>20</sup>

Unfortunately, the precise lifetime risk for CRC and endometrial carcinoma may be biased because the families selected in previous studies were mainly selected on basis of clustering of CRC or fulfilment of clinical criteria (Amsterdam II criteria). Furthermore, it was not always clear whether the affected subjects were proven mutation carriers. In addition, most studies have only evaluated lifetime risks for *MLH1* and *MSH2* mutations, while studies evaluating *MSH6* mutation families are sparse. The most efficient way to calculate the lifetime risks of CRC and EC in Lynch syndrome would be to calculate these risks based on a cohort of proven mutation carriers. Therefore, the aim of the present study was to calculate the cumulative lifetime risks for CRC and EC in Lynch syndrome using a cohort of proven *MLH1*, *MSH2* and *MSH6* mutation carriers.

## MATERIALS AND METHODS

### Study population

During the period 1994-2007, an MMR gene mutation was detected in 67 families who were counselled at the Department of Clinical Genetics of the Erasmus MC University Medical Center, because of a clinical suspicion for Lynch syndrome. Clinical data of family members including sex, age, mutation status, age at diagnosis of both LS-associated and other cancers were collected. LS-associated cancer included colorectal, endometrial, stomach, ovaries, up-

per uroepithelial tract, biliary tract, skin and brain cancer. Also, the site of the tumour, age at death and cause of death were collected. The cancer diagnosis was confirmed by pathology and medical reports. In addition, data regarding colonoscopic surveillance of affected and unaffected family members were collected.

Only subjects with a proven MMR gene mutation were included in this study.

### **Mutation analysis**

Mutation analysis was performed by denaturing gradient gel electrophoresis, sequencing and multiplex ligation-dependent probe amplification (MRC-Holland kits P003 and P008). Mutation nomenclature was used according to international guidelines ([www.hgvs.org](http://www.hgvs.org)). A variant was considered a mutation when leading to a predicted truncated protein or based on previously published data. Silent or missense variants which were previously unreported or of unclear status were labelled unclassified variants (UV) and not considered as an MMR gene mutation.

### **Statistical analysis**

Data were submitted for statistical testing using the Statistical Package for the Social Sciences (SPSS Inc, Chicago, IL), version 12.0.1. Data are given as median and range or as mean with standard deviation when appropriate. The chi square test, Student's t test and log rank test were used to compare differences between *MLH1*, *MSH2* and *MSH6* mutation carriers. Penetrance for age was calculated using the Kaplan Meier survival analysis method and included the 67 index cases. In case of multiple or recurrent colorectal carcinoma or endometrial adenocarcinoma, only the first diagnosis of either cancer was included in the analysis. The observation time for the different cancers was from birth until the date of first cancer diagnosis, death, date of hysterectomy (only for the observation time of endometrial carcinoma) or the end of the study (31 December 2007). A p value below .05 was considered statistically significant.

## **RESULTS**

### **Study population**

In the 67 families with an MMR gene mutation, 26 (39%) were detected with an *MLH1* mutation, 20 (30%) with an *MSH2* mutation and 21 (31%) with an *MSH6* mutation. Of the 67 families, 46 (69%) met the Amsterdam II criteria. Mutation analysis was performed in 725 subjects (296 men and 429 women) and a mutation was identified in 246 subjects (92 men, 154 women) (Table 1). At the time of mutation analysis the mean age of the 246 mutation carriers was 49 ( $\pm$  16) years.



**Table 1.** Study population characteristics

	<i>MLH1</i>	<i>MSH2</i>	<i>MSH6</i>	Total
Families	26	20	21	67
Mutation carriers	70	67	109	246
Males (%)	28 (40)	28 (42)	36 (33)	92 (37)
Subjects with colorectal cancer (%)	36 (51)	21 (31)	26 (24)	83 (34)
Subjects with endometrial carcinoma	7 (10)	9 (13)	21 (19)	37 (15)
Subjects with other Lynch associated cancer (%)*				
Ovarian carcinoma	1 (1)	3 (4)	6 (6)	10 (4)
Small bowel cancer	1 (1)	2 (3)	0 (0)	3 (1)
Transitional cell carcinoma	0 (0)	3 (4)	3 (3)	6 (2)

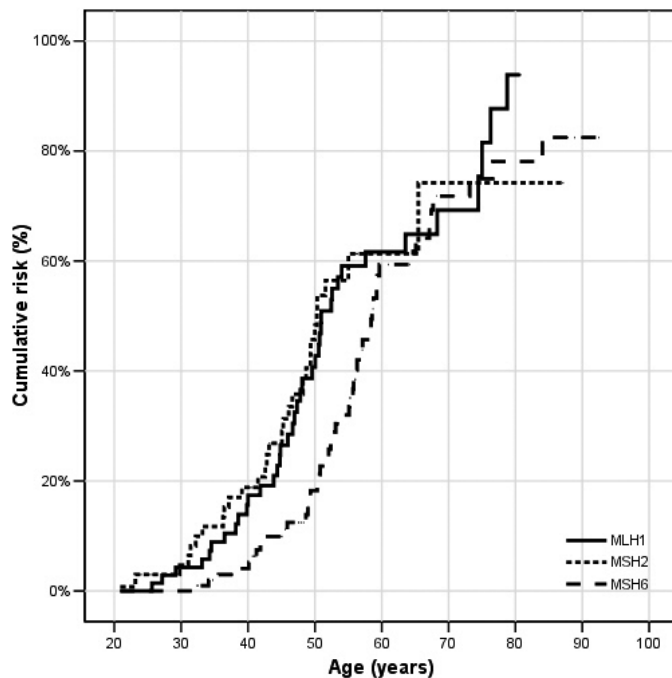
\* No histological proven stomach cancers were reported.

Of the 246 mutation carriers, 115 (47%) were diagnosed with a Lynch syndrome associated tumour. One hundred and four (42%) mutation carriers already had been diagnosed with a Lynch syndrome associated tumour before mutation analysis was performed. Colorectal cancer was diagnosed in 83 (34%) mutation carriers, including 17 (7%) mutation carriers who developed 2 or more CRCs during their lifetime. Endometrial carcinoma was diagnosed in 37 (24%) of the 154 female mutation carriers, including 13 mutation carriers who also developed CRC during their life. Of the six families with a strong family history of endometrial carcinoma (two or more cases within the family), five (83%) were diagnosed with an *MSH6* mutation. With respect to the other LS-associated cancers, 19 (8%) mutation carriers developed another LS-associated cancer during their life (Table 1). Seven of these nineteen mutation carriers were also diagnosed with CRC, one mutation carrier also with endometrial carcinoma and four mutation carriers with both CRC and EC.

In total, 194 mutation carriers were under colonoscopic surveillance, including 69 subjects who had already been diagnosed with colorectal cancer before mutational testing was performed. One of these 69 mutation carriers was already diagnosed with endometrial carcinoma at the time of mutation analysis and developed colorectal cancer shortly after being one year under colonoscopic surveillance. The other 68 mutation carriers were included in a colonoscopic surveillance program after being diagnosed with colorectal cancer. These 68 subjects were treated surgically (partial colectomy) for colorectal cancer and colonoscopic surveillance of the remaining colon was performed. Of the remaining 125 mutation carriers none developed colorectal cancer and in 23 (18%) adenomatous polyps had been detected and removed. The person-years of follow up was 1414 years and the mean follow up time of the subjects under colonoscopic surveillance was  $7 \pm 4$  years.

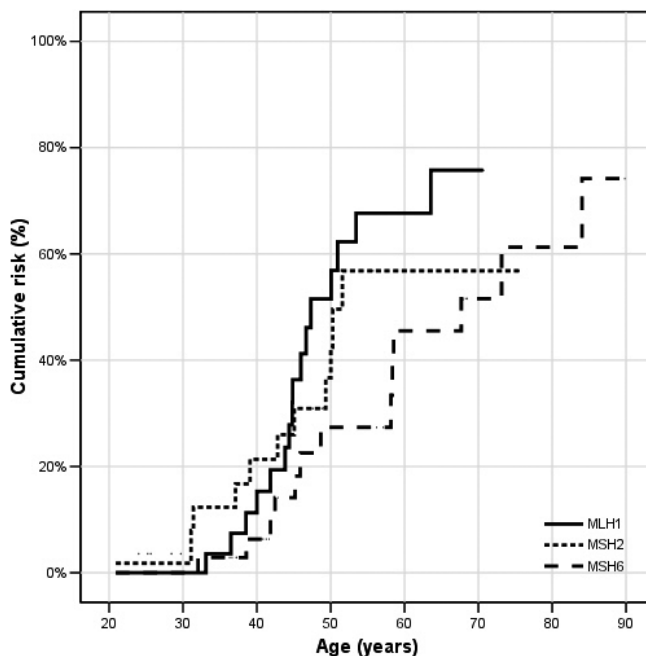
## Lifetime risks

The respective lifetime risks curves are shown in figures 1-4. For all LS-associated tumours, the cumulative risks in both male and female mutation carriers at 70 years was 71% for *MLH1*, 77% for *MSH2* and 75% for *MSH6* mutation carriers (Figure 1). Although the cumulative risks at age 70 years were similar for the three different MMR genes, the log rank test showed a significant difference for developing any Lynch syndrome associated cancer between *MSH6*, *MLH1* and *MSH2* mutation carriers ( $p = 0.01$ ). This was due to the fact that before the age of 70 years the risk of developing any Lynch syndrome associated cancer in *MSH6* carriers was lower compared to *MLH1* or *MSH2* mutation carriers (Figure 1).



**Figure 1.** All Lynch associated tumours: cumulative risks for *MLH1*, *MSH2* and *MSH6* mutation carriers.

In Figure 2, the age related cumulative risk for CRC is shown for male *MLH1*, *MSH2* and *MSH6* mutation carriers. At age 70 years, the cumulative risk was the highest for *MLH1* mutation carriers, 78%, while the cumulative risks for *MSH2* and *MSH6* mutation carriers were 57% and 54% respectively. There was no significant difference in age related cumulative risk between *MSH6* mutation carriers ( $p = 0.05$ ) compared to *MLH1* and *MSH2* mutation carriers. However, the highest increase in risk in male *MLH1* and *MSH2* mutation carriers was observed between the ages of 40 to 50 years, while the risk in male *MSH6* mutation carriers mostly increased



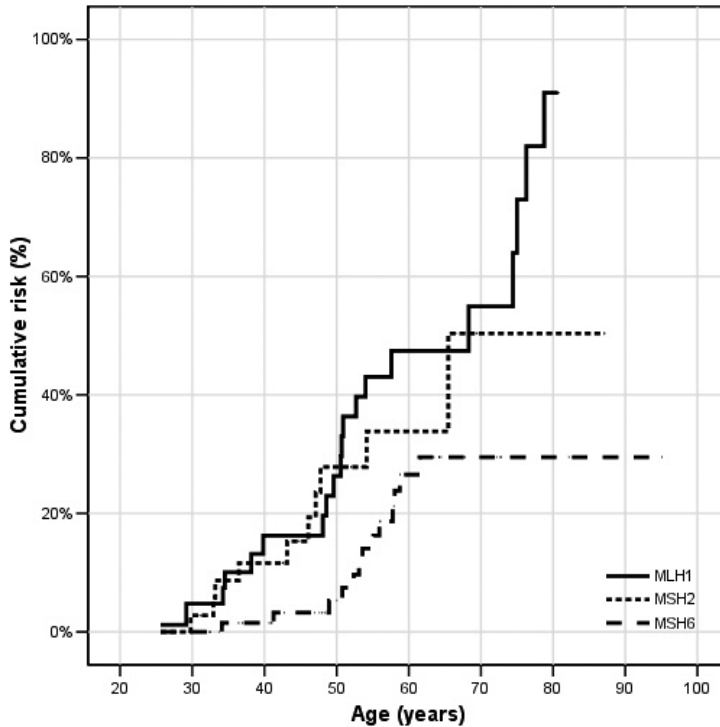
**Figure 2.** Colorectal cancer in males; cumulative risks for *MLH1*, *MSH2* and *MSH6* mutation carriers.

between the ages of 50 to 60 years. Although the age related risks were not significantly different between the three different MMR genes, there was a trend in male *MLH1* and *MSH2* mutation carriers to develop CRC at an earlier age than male *MSH6* mutation carriers. The cumulative risks for CRC in females were lower compared to males, 57% for *MLH1*, 52% for *MSH2* and 30% for *MSH6* mutation carriers (Figure 3), with a significantly lower age related cumulative risk in *MSH6* mutation carriers ( $p = 0.001$ ) compared to *MLH1* and *MSH2* mutation carriers.

For endometrial carcinoma, the highest cumulative risk was observed in the *MSH6* mutation carriers (61%), while the cumulative risks for *MLH1* and *MSH2* mutation carriers were 25% and 49% respectively. However, the log rank test showed no significant difference in age related cumulative risk ( $p = 0.58$ ) between *MSH6* mutation carriers compared to *MLH1* and *MSH2* mutation carriers.

### Median age of onset

The median age of CRC onset in males was significantly higher in *MSH6* mutation carriers (48 years; range 32-84 years) compared to *MSH2* mutation carriers (43 years; range 20-51 years,  $p = 0.03$ ), but not significantly higher compared to *MLH1* mutation carriers (45 years; range 33-63 years,  $p = 0.07$ ). For female mutation carriers, no significant differences in the median age of CRC onset were found when comparing *MSH6* (53 years; range 34-61 years) with *MLH1* (50 years; range 25-79 years,  $p = 0.88$ ) and *MSH2* (44 years; range 29-82 years,  $p = 0.28$ ). The



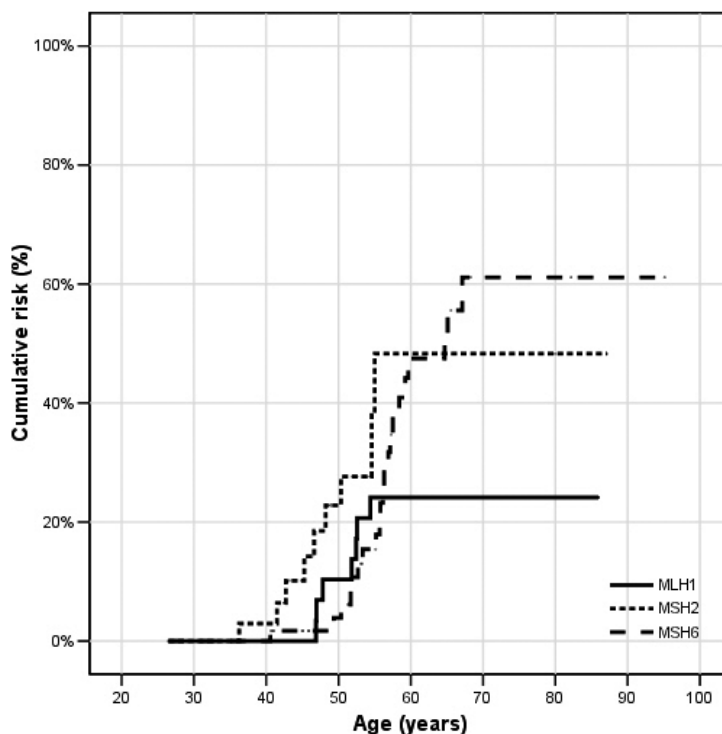
**Figure 3.** Colorectal cancer in females, cumulative risks for *MLH1*, *MSH2* and *MSH6* mutation carriers.

median age of EC onset was significant higher in *MSH6* mutation carriers (56 years; 47-67 years) compared to *MLH1* mutation carriers (51 years; 46-54 years,  $p = 0.02$ ) and *MSH2* mutation carriers (46 years; 36-55 years,  $p = 0.001$ ). There were no significant differences in the age of onset of other LS-associated cancers between *MLH1* (53 years; range 52-54 years), *MSH2* (42 years; range 23-59 years) and *MSH6* (50 years; range: 35-76) mutation carriers (*MLH1* vs. *MSH2*,  $p = 0.41$ ; *MLH1* vs. *MSH6*,  $p = 0.76$  and *MSH2* vs. *MSH6*,  $p = 0.41$ ).

## Discussion

In this study, we evaluated 246 individuals from 67 families with a proven mismatch repair gene mutation to determine the cumulative lifetime risk of developing cancer. Previous studies have shown different lifetime risks for developing CRC in Lynch patients.

One of the first studies evaluating the lifetime risk reported a lifetime risk for CRC at age 75 years of 92% in males and 83% in females.<sup>10</sup> Most later studies reported somewhat similar risks for CRC ranging from 65-100% in males and 30- 63% risk in females.<sup>7, 11-13</sup> A more recently published study reported the lowest lifetime risk for CRC so far, 27% for males and 22% for females at age 70 years.<sup>15</sup> All these studies only evaluated the risks associated with *MLH1* and *MSH2* mutations. Thirty one percent of the families included in our study carried an *MSH6*



**Figure 4.** Endometrial carcinoma in females, cumulative risks for *MLH1*, *MSH2* and *MSH6* mutation carriers.

mutation. This frequency is higher than previously reported.<sup>4,21-23</sup> Studies evaluating the lifetime risks of cancer amongst *MLH1*, *MSH2* and *MSH6* families are sparse. A study evaluating the risk in 20 *MSH6* families showed that colorectal cancer was less frequent and developed 10 years later in *MSH6* compared to *MLH1* and *MSH2*. In addition a significant higher lifetime risk of endometrial carcinoma of 71% in *MSH6* mutation carriers with a later age of onset (54 years vs. 48 and 49 years for *MLH1* and *MSH2*) was reported.<sup>17</sup> A German study comparing 27 *MSH6* mutation families with 156 *MLH1* and *MSH2* mutation families confirmed the lower risk and later age of onset of CRC in *MSH6* families.<sup>24</sup> These results were also confirmed by a recently published British study, but this study only included 11 proven *MSH6* mutation carriers.<sup>18</sup>

Our study indicates that, however the cumulative risks of cancer at age 70 years in *MLH1*, *MSH2* and *MSH6* mutation carriers is similar, each mutated gene has a distinguishable cancer risk profile. In *MSH6* mutation carriers the risk at age 70 years for developing CRC was the lowest in both male (54%) and female (30%) when compared to carriers of *MLH1* and *MSH2* mutations. Between male *MSH6* and *MSH2* mutation carriers also a significant difference in the age of CRC onset (48 vs. 43 years,  $p = 0.03$ ) was found and there was a trend in higher age of CRC onset between male *MSH6* and *MLH1* mutation carriers. For female mutation carriers, no significant differences were found in the mean age of onset of CRC. This can be explained by the fact that female *MLH1* and *MSH2* mutation carriers still developed CRC at an older age. The

lower risk of CRC onset in female *MSH6* mutation carriers under the age of 50 years raises the question whether colonoscopic surveillance guidelines in these subjects can be changed. Current guidelines advise to start with biennial colonoscopy surveillance from the age of 20-25 years.<sup>25</sup> In our study population, the youngest affected female *MSH6* mutation carrier with CRC was 34 years. Our data and the data from previous studies support that colonoscopic surveillance can be started at an age of 30 years in female *MSH6* mutation carriers.<sup>17</sup>

However our numbers are too small to draw definite conclusions, CRC seems to be the predominant cancer in *MLH1* mutation carriers. In *MSH2* and *MSH6* mutation carriers extracolonic cancers appear to contribute more to the similar cumulative lifetime risk of cancer in *MLH1*, *MSH2* and *MSH6* mutation carriers. A higher risk of extracolonic-LS-associated cancer was previously reported in *MSH2* mutation carriers compared to *MLH1* mutation carriers.<sup>13, 19</sup> Unfortunately, the number of extracolonic-LS associated cancer (excluding endometrial carcinoma) in our study population was too low to calculate accurate risk estimates for these cancers. In concordance with other studies<sup>17, 26</sup> our study indicates that *MSH6* carriers have the highest endometrial cancer risk followed by *MSH2* and *MLH1* mutation carriers. Also, this risk increases sharply after the age of 50 years. In view of the disputable effect of endometrial carcinoma surveillance<sup>27, 28</sup>, in female *MSH6* carriers aged 45 years or above prophylactic hysterectomy may be suggested in order to decrease the risk for developing endometrial carcinoma.<sup>29</sup> In *MSH2* and *MLH1* female mutation carriers this option may be more questionable. In *MSH2* mutation carriers the risk of other extracolonic and extraendometrial cancers may reduce faith in and benefit of risk reducing surgery. In *MLH1* mutation carriers the risk of endometrial cancer may not outweigh the disadvantages of surgery. In case of surgery for another cause, additional hysterectomy should be considered also in *MLH1* en *MSH2* mutation carriers.

A strength of the present study was that the age related risks were calculated using proven mutation carriers. However, the age related risks might be somewhat lower since not all the unaffected individuals from proven mutation families opted for genetic testing and thus the total number of unaffected mutation carriers in the mutation families may be underestimated.

In addition, individuals with a higher risk for mutation carriership, i.e. with an affected first degree relative, more often opt for genetic testing.<sup>30</sup> This may also have introduced some bias with respect to the age related risks. Also, we included the index cases in our study population. Index cases give rise to the suspicion of Lynch syndrome and they always have cancer. This may also have resulted in a slightly higher age related risk. On the other hand, the majority (77%) of not affected mutation carriers was under colonoscopy surveillance, which likely has influenced the age related risks for developing invasive CRC, since colonoscopy surveillance in Lynch syndrome patients is effective in reducing the incidence and mortality of CRC.<sup>31</sup> A limitation of our study was that our study population was not very large (n=246), and the number of male carriers was 92. This could explain why we did not find a significant difference in both the mean age of CRC onset and the age related risk between male *MLH1*, *MSH2* and *MSH6* mutation carriers.

In conclusion, the present study indicates that, although the cumulative risks at age 70 years of LS related cancer in *MLH1*, *MSH2* and *MSH6* mutation carriers are similar, each mutated gene has a distinguishable cancer risk profile. It underlines that *MSH6* mutation carriers have a distinct clinical phenotype with a lower CRC risk and a higher risk for developing endometrial carcinoma. Starting with biennial colonoscopic surveillance at an age of 30 years instead of an age of 20-25 years in female *MSH6* mutation carriers is more suitable. Moreover, in female *MSH6* mutation carriers prophylactic hysterectomy may be considered from an age of 45 years.

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# Chapter 4

## **A high incidence of *MSH6* mutations in Amsterdam Criteria II negative families tested in a diagnostic setting**

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## ABSTRACT

**Background/aims:** In Lynch syndrome, the clinical phenotype in *MSH6* mutation families differs from that in *MLH1* and *MSH2* families. Therefore, *MSH6* mutation families are less likely to fulfil diagnostic criteria such as the Amsterdam II criteria (AC II) and the revised Bethesda guidelines (rBG), and will be underdiagnosed. The aim of the present study was to evaluate the contribution of *MSH6* gene mutations in families that were analysed for Lynch syndrome in a diagnostic setting.

**Methods:** Families that had molecular analysis for Lynch syndrome were included in this study. Complete molecular screening of the *MLH1*, *MSH2* and *MSH6* genes was performed in all families. Microsatellite instability (MSI) and immunohistochemical (IHC) analysis was performed in almost all families. Clinical data were collected from medical records and family pedigrees.

**Results:** A total of 108 families were included. MSI and IHC analysis was performed in 97 families and in 40 an MSI-high phenotype with absent protein expression was found. Germline mutation analysis detected mutations in 23 families (7 *MLH1*, 4 *MSH2* and 12 *MSH6*). The majority of *MSH6* families were AC II negative, but fulfilled the rBG.

**Conclusions:** There is a high incidence of *MSH6* mutations in families tested for Lynch syndrome in a diagnostic setting. Many of these families remain underdiagnosed using the AC II. The rBG are more useful to select these families for further analysis. However, to optimize the detection of *MSH6* families, MSI and IHC analysis should also be performed in families with clustering of late onset endometrial carcinoma.

## INTRODUCTION

Lynch syndrome (LS), also known as hereditary non-polyposis colorectal cancer (HNPCC), is the most common form of hereditary colorectal cancer (CRC) and accounts for 2-5% of all CRC.<sup>1,2</sup> This syndrome is mainly characterized by early onset of CRC and endometrial carcinoma. In addition, tumours of the stomach, small bowel, urinary tract, ovaries, brain and skin occur at higher frequencies in Lynch syndrome families compared to the general population.<sup>3</sup> Traditionally, LS is clinically defined by the diagnostic Amsterdam criteria, which were initially introduced in 1990 (AC-I).<sup>4</sup> In 1999 these criteria were modified with the inclusion of the LS associated extracolonic tumours (AC-II, Table 1).<sup>5</sup> In order to increase the identification of LS carriers the Bethesda guidelines were formulated and subsequently revised in 2004 into the revised Bethesda guidelines (rBG).<sup>6,7</sup> The rBG are used to identify tumours that should be tested for microsatellite instability (MSI) in order to select patients for further analysis of mismatch repair (MMR) gene mutations. Mutations in these genes (*MLH1*, *MSH2*, *MSH6* and *PMS2*) underlie LS, but the phenotype may differ depending on the affected gene. In particular, the clinical phenotype of *MSH6* mutation carriers seems to differ from *MLH1* and *MSH2* mutation carriers. These differences include a later age of onset of the tumours, a lower risk of CRC and a higher risk of endometrial carcinoma in female *MSH6* mutation carriers.<sup>8,9</sup> Several reports showed that mutations in the *MLH1* and *MSH2* genes account for almost 90% of Lynch syndrome cases, while mutations in the *MSH6* gene account for approximately 10% of cases. *PMS2* mutations have been reported in only a few families thus far.<sup>10-13</sup> The aim of the present study was to evaluate the contribution of mutations in the *MSH6* gene, in comparison to *MLH1* and

**Table 1.** The Amsterdam Criteria II and the revised Bethesda guidelines

Amsterdam Criteria II
There should be at least three relatives with a LS associated tumour (CRC, endometrial, small bowel, ureter/renal pelvis cancer); all of the following criteria should be present:
<ul style="list-style-type: none"> <li>• one should be a first degree relative of the other two</li> <li>• at least two successive generations should be affected</li> <li>• at least one should be diagnosed before age 50 years</li> <li>• all tumours should be verified by pathological examination</li> <li>• FAP should be excluded in the CRC case</li> </ul>
Revised Bethesda guidelines
<ul style="list-style-type: none"> <li>• CRC diagnosed before age 50 years</li> <li>• presence of synchronous / metachronous LS-related cancer*, regardless of age</li> <li>• CRC with specific pathological features &lt; 60 years**</li> <li>• Patient with CRC with one or more 1<sup>st</sup> degree relatives with an LS related cancer, with 1 of the diagnosis under age 50 years</li> <li>• Patient with CRC with two or more 1<sup>st</sup> or 2<sup>nd</sup> degree relatives with an LS related cancer, regardless of age</li> </ul>

\* LS related cancer: CRC, endometrial, stomach, ovarian, pancreas, ureter and renal pelvis, biliary tract, brain, sebaceous gland and small bowel carcinoma.

\*\*tumour infiltrating lymphocytes, Crohn's-like lymphocyte reaction, mucinous/signet ring differentiation or medullary growth pattern.

*MSH2* mutations, in families that presented in a diagnostic setting with a familial clustering of CRC and other LS associated tumours.

## **METHODS**

### **Study population**

Following genetic counselling at the department of Clinical Genetics of the Erasmus MC University Medical Center, a total of 108 families were tested for germline mutations of the MMR genes during the period 2000-2006 because of a clinical suspicion of LS.

Microsatellite instability (MSI) analysis and/or immunohistochemistry (IHC) analysis was performed in tumours originating from one or more index cases of these families. Mutation analysis of the *MLH1*, *MSH2* and *MSH6* genes was mostly performed in the youngest colorectal cancer or endometrial carcinoma patient in the family. The family pedigrees of the 108 families were evaluated for compliance to the AC II and rBG (Table 1).

Late onset colorectal cancer or endometrial cancer was defined as cancer diagnosed above the age of 50 years. Clinical data were collected from family pedigrees and medical records. The data collection included the diagnosis of cancer, the age at diagnosis, the presence of multiple (synchronous or metachronous) tumours, results of MSI and immunohistochemical (IHC) analysis and the results of DNA mutation analysis.

### **Molecular analyses**

#### *Microsatellite instability analysis*

MSI analysis was performed on paired tumour and normal tissue DNA using a panel of 5 microsatellite markers (BAT 25, BAT 26, BAT 40, D2S123 and D5S346), as described previously.<sup>14</sup> Tumours were regarded MSI-high if at least two of the five markers showed instability, MSI-low if one of the five markers showed instability and MSI-stable if all markers were stable.

#### *Immunohistochemical analysis*

IHC analysis for *MLH1*, *MSH2* and *MSH6* was carried out by using the primary antibodies anti-*MLH1*, anti-*MSH2* and anti-*MSH6*, as described previously.<sup>14</sup> The sections were scored as either negative (i.e. the absence of detectable nuclear staining of cancer cells) or positive for *MLH1*, *MSH2* and *MSH6* staining.

### *BRAF mutation analysis*

*BRAF* mutation analysis for the p.Val600Glu mutation (p.V600E) was performed to determine the presence of a sporadic CRC and to rule out Lynch syndrome in patients with MSI-positive tumours and absent MLH1 staining. Mutation analysis was performed by direct sequencing of tumour DNA, as described previously.<sup>15</sup>

### *Germline mutation analysis*

Genomic DNA from the index patients was isolated from peripheral blood samples. The analysed families were predominantly of Dutch ethnic background. All coding regions and intron-exon boundaries of the *MLH1*, *MSH2* and *MSH6* genes were completely analysed using direct sequence analysis (PCR primers and reaction conditions available on request). Reaction products were analysed using an ABI 3730xl capillary automated sequencer. In addition, all three genes were analysed for genomic rearrangements using Multiplex Ligation-dependent Probe Amplification (MLPA kits P003 & P008, MRC Holland). Mutation nomenclature was used according to international guidelines (<http://www.hgvs.org/mutnomen>). In case of novel DNA variants, 200 Dutch anonymous control chromosomes were screened to identify common polymorphisms. A variant was considered a mutation when leading to a predicted truncated protein or based on previously published data. Silent or missense variants, which were previously unreported or of unclear status were labelled unclassified variants (UVs).

### *Haplotype analysis in MSH6 mutation families*

To identify possible founder effects underlying the presence of the c.1614\_1615delCTinsAG and p.Ser156X mutations in the population extended haplotype analysis was performed. Several intragenic polymorphisms and extragenic polymorphic markers flanking the *MSH6* gene (D2S2298, D2S2240, D2S2378, D2S391, D2S288, D2S2227, AFM196XF6, AFM073WF3, AFM079XG9, D2S1248, D2S2156, D2S2251, D2S2153 and D2S378) were used to construct haplotypes segregating with the c.1614\_1615delCTinsAG mutation and the p.Ser156X mutation in affected families. Intra familial segregation analysis was performed where possible, to confirm the validity of the constructed haplotypes.

### **Statistical analysis**

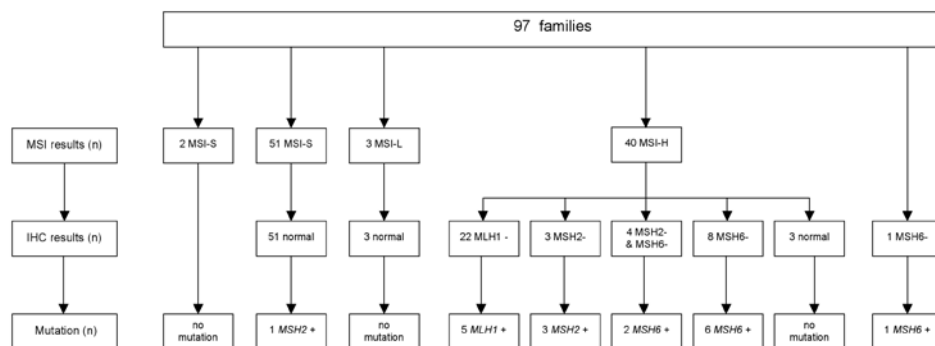
Data were submitted for statistical testing by using the Statistical Package for the Social Sciences (SPSS Inc, Chicago, IL), version 12.0.1. Data are given as median and range or as mean with standard deviation when appropriate. Chi square and student's t test analysis was carried

out to evaluate differences between families. Sensitivity and specificity of the AC II and rBG were calculated. A two-sided p value less than 0.05 was considered significant.

## RESULTS

### Molecular analyses and germline mutation analysis

MSI and/or IHC analysis were performed in tumour tissue of 97/108 (90%) families (Figure 1) and an MSI-high phenotype was found in 40 (41%) of these families. Consecutive IHC analysis showed an absence of expression of at least one of the three MMR proteins in 37 of these 40 families, including 22 families with an absence of MLH1 expression. *BRAF* p.V600E mutation analysis in these 22 families showed the presence of this mutation in 6 (27%) families.



In 11 families only germline mutation analysis was performed and in those 11 families five mutations were detected (2 *MLH1* and 3 *MSH6*).

**Figure 1.** Molecular screening and germline mutation analysis in 97 families.

Germline mutation analysis for the *MLH1*, *MSH2* and *MSH6* genes was performed in all 108 families. We detected 17 MMR mutations (5 *MLH1*, 4 *MSH2* and 8 *MSH6*) in 94 families, in which both MSI and IHC analysis was performed. This included one *MSH2* mutation in a family with an MSI-stable tumour and normal protein expression of the three MMR proteins. In two families, MSI analysis alone was performed and in both an MSI-stable phenotype was found. Germline mutation analysis did not detect a pathogenic mutation in these two families. In one family, MSI analysis failed, but consecutive IHC analysis showed an absence of *MSH6* protein expression. Germline mutation analysis in this family resulted in the identification of an *MSH6* mutation.

In 11 families only germline mutation analysis was performed and in 5 of these families a mutation was identified (2 *MLH1* and 3 *MSH6*). Taken together, a pathogenic MMR mutation was found in 23/108 families (7 *MLH1*, 4 *MSH2* and 12 *MSH6*) (Tables 2 and 3).



**Table 2.** Family characteristics of the 108 families

Families	No. Families	AC II +	rBG +	No. CRC	Mean age of CRC onset (yrs)	No. EC	Mean age of EC onset (yrs)
Mutation							
<i>MLH1</i>	7	4 (57%)	6 (86%)	17	56 ± 11	4	45 ± 5
<i>MSH2</i>	4	2 (50%)	4 (100%)	11	49 ± 21	2	52 ± 5
<i>MSH6</i>	12	3 (25%)	10 (83%)	27	60 ± 14	19	57 ± 5
No Mutation	85	8 (9%)	50 (59%)	157	59 ± 14	9	62 ± 13
Total	108	17 (16%)	70 (65%)	212	58 ± 15	34	57 + 10

AC II = Amsterdam II criteria

rBG = revised Bethesda guidelines

CRC = colorectal carcinoma

EC = endometrial carcinoma

### Performance of the Amsterdam II criteria and revised Bethesda guidelines

Seventeen (16%) of the 108 families fulfilled the AC II criteria, including 9 (39%) with an MMR mutation (4 *MLH1*, 2 *MSH2* and 3 *MSH6*) (Table 2). The sensitivity and specificity of the AC II for the detection of mutation families in this high-risk group was 39% and 91%, respectively. Seventy (65%) families, including 20 MMR mutation families, fulfilled the rBG, corresponding with a sensitivity and specificity of 87% and 41%, respectively, for the detection of mutation carriers. In three families that did not fulfil the rBG a pathogenic MMR mutation was identified. These families showed clustering of late onset CRC (one *MLH1* family) or clustering of late onset endometrial carcinoma (2 *MSH6* families). Of the 35 families that did not fulfil the rBG and in which no mutation was identified, 17 families showed clustering of late onset CRC and 9 families showed clustering of other Lynch associated tumours. In the remaining 9 families there was one family member affected with late onset CRC in combination with the presence of adenomatous polyps in other family members. Combining the rBG with the presence of clustering of late onset CRC in a family would increase the sensitivity for detecting mutation carriers to 91%, but decrease the specificity to 21%. Combining the rBG with the presence of clustering of late onset endometrial carcinoma in the family would result in an increase of the sensitivity to 96% and a small increase of specificity to 42%.

### Characteristics of the MSH6 mutation families

Nine (75%) of the twelve *MSH6* mutation families did not fulfil the AC II criteria, while 2 (17%) *MSH6* mutation families did not fulfill the rBG. In these two families there was a clustering of late onset endometrial carcinoma. Seven (58%) of the 12 index cases from the *MSH6* mutation families were diagnosed with endometrial carcinoma and three (25%) were diagnosed with colorectal cancer. Of the remaining two index cases, one was diagnosed with ovarian carcinoma

and the other with uroepithelial carcinoma. In contrast to other reports, the mean age of CRC onset in the *MSH6* families ( $60 \pm 14$  years) was not higher compared to the *MLH1* mutation families ( $56 \pm 11$  years,  $p=0.3$ ), the *MSH2* mutation families ( $49 \pm 21$  years,  $p=0.07$ ) or the non mutation families ( $59 \pm 21$  years,  $p=0.7$ ). However, due to small numbers, this conclusion was not statistically significant. In our study, the mean age of endometrial carcinoma onset in the *MSH6* families ( $57 \pm 7$  years) was significantly higher compared to the *MLH1* mutation families ( $45 \pm 5$  years,  $p = 0.004$ ), but not compared to the *MSH2* mutation families ( $52 \pm 5$  years,  $p = 0.29$ ) or the non mutation families ( $62 \pm 13$  years,  $p=0.3$ ).

### The *MSH6* mutations p.Ser156X and c.1614\_1615delCTinsAG are founder mutations

In the families with a mutation in the *MSH6* gene, two mutations were seen in more than one family (table 3). Analyses were performed to test whether the p.Ser156X and c.1614\_1615delCTinsAG mutations showed a founder effect. Extended haplotype analysis was performed in probands of seven *MSH6* mutation families with the p.Ser156X mutation. Five of these seven probands had been diagnosed with this *MSH6* mutation elsewhere (Bonn, Germany and Leiden, the Netherlands). All seven p.Ser156X mutation carriers showed shared alleles from marker D2S391 to

**Table 3.** Mutations in the *MLH1*, *MSH2* and *MSH6* genes that were identified during the study

Gene	Exon	Nucl. change	Amino Acid	Frequency	Consequence	No. of mutation carriers
<i>MLH1</i>	4	c.380G>A	(p.Arg127Lys)	1	Splice donor site	1
	6	deletion exon 6		1	Truncated/no protein	1
	10	c.806C>G	p.Ser269X	1	Nonsense	1
	12	c.1039-2A>T		1	Splice acceptor site	1
	12	c.1225C>T	p.Gln409X	1	Nonsense	3
	16	deletion exon 16		1	Truncated/no protein	2
	16	c.1896G>A		1	Splice donor site	3
				7		
<i>MSH2</i>	2	c.212-1G>A		1	Splice acceptor site	1
	3	c.551delT		1	Frameshift	1
	5	c.942+3A>T		1	Splice donor site	6
	13	c.2020G>C	p.Gly674Arg	1	Missense	4
				4		
<i>MSH6</i>	3	c.476C>G	p.Ser156X	4	Nonsense	20
	4	c.651dupT		1	Frameshift	2
	4	c.1614_1615delCTinsAG	p.Tyr538X	3	Nonsense	17
	4	c.1784delT		1	Frameshift	12
	4	c.2719_2720delGT		1	Frameshift	9
	6	c.3519_3522dup4		1	Frameshift	4
	9	c.3932_3935dup4		1	Frameshift	2

marker AFM079XG9, with a large number of recombination events in the regions outside the shared haplotype, indicating that the p.Ser156X is a founder mutation of ancient origin (Figure 2a). Haplotype analysis in the probands of the three families with the c.1614\_1615delCTinsAG

	1		2		3		4		5		6		7	
D2S2298	10	2	12	7	4	8	2	9	13	2	13	3	2	6
D2S2240	4	1	5	2	4	5	4	7	4	2	4	3	5	6
D2S2378	3	1	8	7	7	7	7	4	2	7	2	5	2	3
D2S391	4	5	4	3	4	1	4	3	4	4	4	2	4	3
D2S2227	2	2	2	2	2	2	2	1	2	2	2	2	2	3
<b>p.Ser156X</b>	+	-	+	-	+	-	+	-	+	-	+	-	+	-
c.540T/C	T	T	T	T	T	C	nd	nd	T	C	T	T	nd	nd
c.642C/T	C	C	C	C	C	T	nd	nd	C	T	C	C	nd	nd
c.3646+34ins4	-	+	nd	nd	-	-	nd	nd	-	-	-	+	nd	nd
c.3801+51insC	-	-	nd	nd	-	+	nd	nd	-	+	-	-	nd	nd
c.3801+54C/G	G	C	nd	nd	G	G	nd	nd	G	G	G	C	nd	nd
AFM196XF6	1	1	1	3	1	2	1	1	1	1	1	1	1	1
AFM073WF3	2	4	2	4	5	1	2	2	2	5	2	2	2	5
AFM079XG9	1	1	1	1	1	1	1	1	1	1	1	1	1	1
D2S1248	1	1	1	9	1	4	nd	nd	9	8	9	7	9	3
D2S2156	3	3	3	3	3	3	3	3	3	1	3	3	3	5
D2S2251	1	1	4	8	4	5	1	8	2	10	3	9	3	3
D2S2153	1	7	2	7	2	7	7	5	7	1	5	5	7	3
D2S378	1	2	6	7	6	6	5	3	5	6	6	4	nd	nd

**Figure 2a.** The haplotype associated with the p.Ser156X mutation as determined in 7 probands from independent families. The MSH6 gene is between the dotted lines. Alleles of intragenic polymorphisms in the MSH6 gene are shown between the dotted lines. A schematic representation of alleles of extragenic polymorphic markers that were analysed are shown outside the dotted lines. All carriers of the p.Ser156X mutation shared alleles from marker D2S391 to marker AFM079XG9 (dark grey area). A large number of recombination events in the regions outside the shared haplotype (light gray) indicate an ancient origin of the p.Ser156X mutation. n.d.: not determined.

mutation showed shared alleles from marker D2S2240 to marker D2S2153, demonstrating a founder effect for this *MSH6* mutation too.

Comparison of the c.1614\_1615delCTinsAG haplotype with the haplotype of the similar c.1614\_1615delCTinsG mutation showed that the c.1614\_1615delCTinsAG mutation is on a different chromosomal background than the very similar c.1614\_1615delCTinsG mutation (Figure 2b) and identifies the c. 1614\_1615 dinucleotide in the *MSH6* gene as a mutational hotspot.

## DISCUSSION

In this study we have evaluated the contribution of mutations in the *MSH6* gene in families analysed for Lynch syndrome in a diagnostic setting and compared this with contributions of *MLH1* and *MSH2* mutations. Twenty-three (21%) of the 108 analysed families carried a mutation in one of the mismatch repair genes, in particular in the *MSH6* gene (52%). This finding is in contrast with previous studies, which reported that *MSH6* gene mutations account for approximately 10% of LS cases.<sup>10-13</sup> There are a number of possible explanations for the high number of *MSH6* mutation families in our study population.

		1		2		3			4	
D2S2298	44.053.930	4	6	6	2	4	6	D2S2298	7	1
D2S2240		2	2	2	4	2	2	D2S2240	3	4
D2S2378		3	5	3	2	3	1	D2S2378	3	3
D2S2227		2	2	2	2	2	2	D2S2227	3	2
c.116G/A		G	A	G	A	G	G	c.116G/A	G	G
c.186C/G		G	C	G	C	G	C	c.186C/G	C	C
c.260+22C/G		G	C	G	C	G	C	c.260+22C/G	C	C
c.276A/G		G	A	G	A	G	A	c.276A/G	A	A
c.540T/C		C	T	C	T	C	T	c.540T/C	T	T
<b>c.1614_1615delTCinsAG</b>		+	-	+	-	+	-	<b>c.1614_1615delTCinsG</b>	+	-
c.3646+34ins4		-	-	-	-	-	+	c.3646+34ins4	-	-
c.3801+51insC		-	-	-	-	-	-	c.3801+51insC	-	-
c.3801+54C/G		G	G	G	G	G	G	c.3801+54C/G	G	G
D2S1248		2	6	2	10	2	9	D2S1248	4	4
D2S2156		3	5	3	4	3	1	D2S2156	1	3
D2S2251		4	3	4	1	4	2	D2S2251	1	1
D2S2153		6	5	6	1	6	1	D2S2153	6	2
D2S378	57.215.224	3	1	2	5	1	1	D2S378	2	3

**Figure 2b.** The haplotype associated with the c.1614\_1615delTCinsAG mutation as determined in probands from 3 different families. The *MSH6* gene is between the dotted lines. Alleles of intragenic polymorphisms in the *MSH6* gene are shown between the dotted lines. A schematic representation of alleles of extragenic polymorphic markers that were analysed are shown outside the dotted lines. All carriers of the c.1614\_1615delTCinsAG mutation shared alleles from marker D2S2240 to marker D2S2153 (dark grey area). The c.1614\_1615delTCinsAG mutation is on a different chromosomal background than the similar c.1614\_1615delTCinsG mutation (see haplotype 4), indicating a different origin and defining the c.1614\_1615 position in the *MSH6* gene as a mutational “hotspot”

Firstly, the *MSH6* gene has been recognized as a cause of Lynch syndrome a few years later than the *MLH1* and *MSH2* genes.<sup>10, 16, 17</sup> As a result, diagnostic *MSH6* testing was implemented in laboratories a few years later and is still not routine analysis in all LS screening programs, causing an underdiagnosis of *MSH6* families.

Secondly, previous studies may have underestimated the presence of *MSH6* mutations due to the more atypical presentation of disease in *MSH6* families<sup>10, 18</sup>. As reported previously, *MSH6* mutations are associated with a lower colorectal cancer risk in combination with a later age of onset. Female *MSH6* mutation carriers have a higher risk of developing endometrial carcinoma, but at a later age of onset than female *MLH1* and *MSH2* mutation carriers.<sup>8, 19</sup> Clinicians may fail to recognize a positive family history of endometrial carcinoma as Lynch syndrome. Of all the endometrial carcinomas (n = 34) diagnosed in our study cohort, more than half were diagnosed in the twelve *MSH6* families (n = 19). This supports previous findings suggesting a 5-fold increased likelihood of finding a deleterious mutation in *MSH6* among endometrial carcinoma patients compared to colorectal cancer patients.<sup>20, 21</sup> Awareness of the high prevalence of endometrial carcinoma combined with the later onset of both CRC and endometrial carcinoma in *MSH6* mutation families could result in an improved detection of *MSH6* mutation families.

Thirdly, mutation analysis was performed in families presenting with clustering of Lynch syndrome associated tumours and not only restricted to AC II or rBG positive families. This may have resulted in a higher detection rate of *MSH6* families. In our study population, the sensitivity of the AC II criteria was 39%. These findings are in line with previous studies which reported a sensitivity of 40% of the AC II criteria for the detection of MMR mutations.<sup>22-28</sup>

The reported sensitivity of the rBG is 90% and a similar sensitivity of 87% was found in our study.<sup>22-28</sup> The *MSH6* mutation families missed by the rBG showed only clustering of late onset endometrial carcinoma.<sup>29</sup> Combining the rBG with the presence of clustering of late onset CRC showed an increase in the sensitivity to 91%. Also, to increase the detection of mutation families, combining the rBG with the clustering of late onset endometrial carcinoma might be an option. In our study, we found that this combination resulted in an increase of sensitivity to 96%.

In seven of the twelve (58%) *MSH6* mutation families a pathogenic founder mutation (p.Ser156X or c.1614\_1615delCTinsAG ) was detected. A founder mutation arises in the DNA of an individual who is a founder of a distinct population. Such a mutation can subsequently get passed on to next generations if it does not pose a great burden on reproductive fitness. Typically, the incidence of founder mutations can be increased at population bottlenecks. The identification of two founder mutations in the *MSH6* gene during the present study suggests that the *MSH6* gene may accommodate founder mutations easily, possibly due to the fact that mutations in this gene cause a later onset of disease and thus form a smaller reproductive burden. This would also suggest that likely more *MSH6* founder effects are out in the population waiting to be identified.

Theoretically, the presence of founder mutations may have influenced the high number of *MSH6* families in our study population. In relatively small, homogenous and isolated populations the incidence of founder mutations may increase or at least remain stable because of the small effects of genetic drift. However, the Dutch population is not known to be a genetic isolate. Furthermore, data on the incidence of the contribution of *MSH6* mutations in similar clinical patient groups in other populations is lacking, making this possibility difficult to address. Thus, it is presently not clear whether the high incidence of *MSH6* mutations in our region can partially be explained by the presence of two founder mutations or whether it reflects the fact that the use of very stringent inclusion criteria for *MSH6* mutation analysis in other studies (AC II) resulted in a failure to detect the majority of *MSH6* families.

A limitation of our study was the use of a selected clinical population consisting of families referred for genetic counselling of Lynch syndrome. All families were counselled and mutation analysis was performed at our clinical genetics department during a period of 7 years. Using such a clinical population will likely have introduced some selection bias. However, based on the large difference in the incidence of *MSH6* mutations, we think that the previously reported incidence of 10% *MSH6* mutations is an underestimation.

In conclusion, the present study reports a high number of *MSH6* mutations, in families tested for Lynch syndrome in a diagnostic setting. The revised Bethesda guidelines identify the majority of *MSH6* families. However, also in Bethesda negative families presenting with clustering of late onset endometrial carcinoma, MSI and IHC analysis should be performed.

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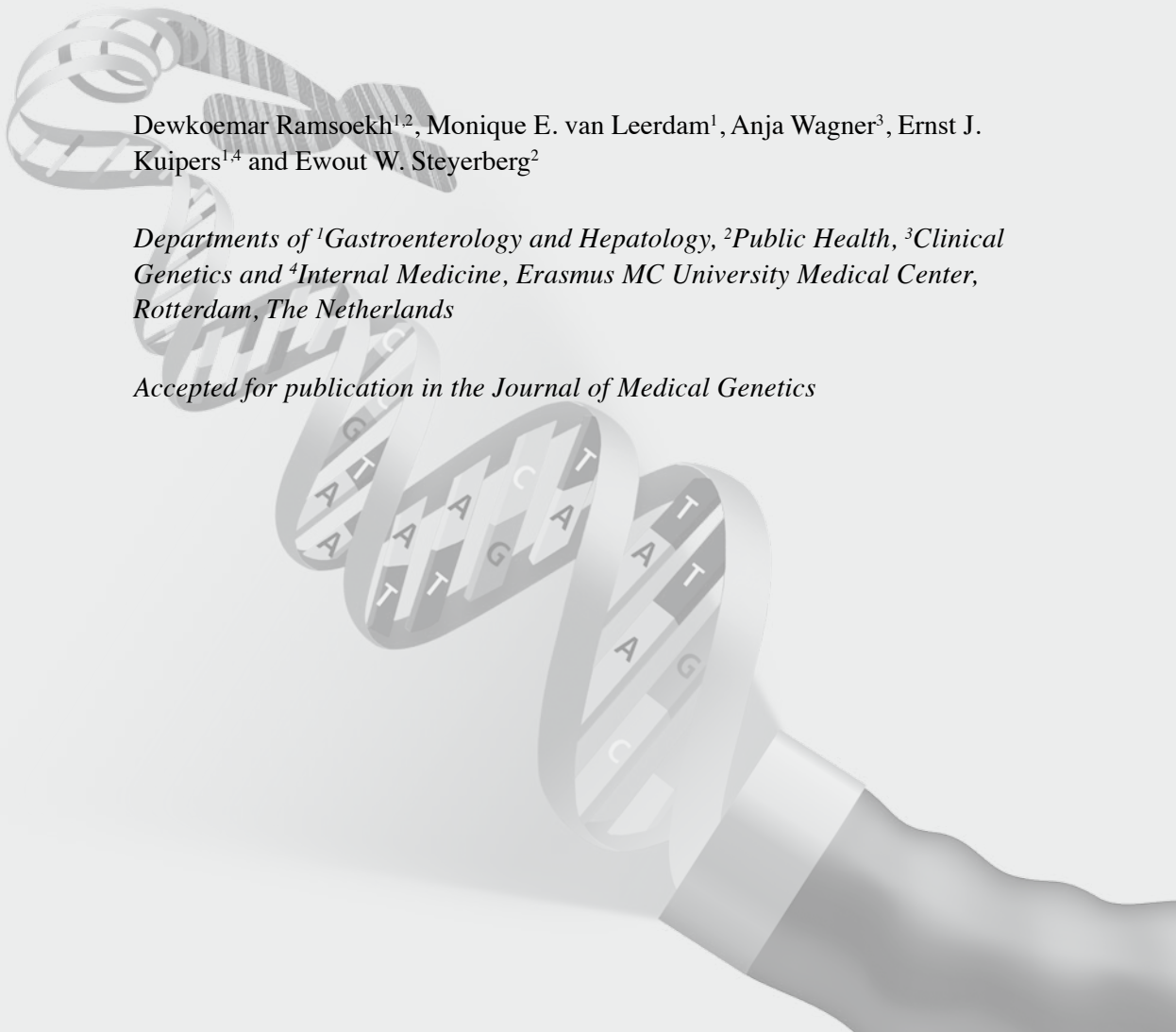
# Chapter 5

## **Mutation prediction models in Lynch syndrome: evaluation in a clinical genetic setting**

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## ABSTRACT

**Background/aims:** The identification of Lynch syndrome is hampered by the absence of specific diagnostic features and underutilization of genetic testing. Prediction models have therefore been developed, but they have not been validated for a clinical genetic setting. The aim of the present study was to evaluate the usefulness of currently available prediction models.

**Methods:** We collected data of 321 index probands who were referred to the department of Clinical Genetics of the Erasmus Medical Center because of a family history of colorectal cancer. These data were used as input for five previously published models. External validity was assessed by discriminative ability (AUC: area under the receiver operating characteristic curve) and calibration. For further insight, predicted probabilities were categorized with cut-offs of 5%, 10%, 20% and 40%. Furthermore, costs of different testing strategies were related to the number of extra detected mutation carriers.

**Results:** Of the 321 index probands, 66 harboured a germline mutation. All models discriminated well between high risk and low risk index probands (AUC: 0.82-0.84). Calibration was well for the Premm<sub>1,2</sub> and Edinburgh model, but poor for the other models. Cut-offs could be found for the prediction models where costs could be saved while missing only few mutations.

**Conclusions:** The Edinburgh and Premm<sub>1,2</sub> model were the models with the best performance for an intermediate to high-risk setting. These models may well be of use in clinical practice to select patients for further testing of mismatch repair gene mutations.

## INTRODUCTION

Lynch syndrome, also known as hereditary non-polyposis colorectal cancer, is the most common form of hereditary colorectal cancer (CRC). This syndrome is characterized by early onset of CRC and endometrial cancer. In addition, tumours of the stomach, small bowel, urinary tract, ovaries, brain and skin occur at higher frequencies in Lynch syndrome families compared to the general population.<sup>1,2</sup> Lynch syndrome is caused by mutations in the mismatch repair (MMR) genes (*MLH1*, *MSH2*, *MSH6* and *PMS2*). As a consequence, Lynch syndrome-associated tumours exhibit microsatellite instability (MSI) and absent protein expression of the affected gene. Early identification of mutation carriers is important because of the high risk for colorectal cancer and other malignancies.

Identification of mutation carriers can be based on a combination of clinical diagnostic criteria, the Amsterdam II criteria (AC II) and the revised Bethesda guidelines (BG).<sup>3,4</sup> The AC II, however, have a limited sensitivity for the detection of mutation carriers and therefore it is suboptimal for identification of mutation carriers. The BG were therefore developed to identify individuals eligible for molecular screening by microsatellite instability (MSI) and immunohistochemical (IHC) staining of MMR proteins. However, some components of the BG are rather complex. Molecular screening by MSI testing and IHC staining of proteins has a higher sensitivity compared to the AC II and BG<sup>5-11</sup> but MSI with absent staining of *MLH1* and *PMS2* is also present in 15% of sporadic CRC cases.<sup>12-14</sup> Furthermore, MSI and IHC analysis can only be performed when tumour tissue is available. The optimal diagnostic strategy for Lynch syndrome is still under debate. Performing MSI and IHC in CRC cases selected by the pathologists has been proposed as a diagnostic strategy by some authors<sup>9,15,16</sup>, while others propose fulfilment of BG followed by MSI and IHC analysis as a more effective strategy.<sup>10</sup> These different views emphasize the need for other diagnostic tools in the identification of Lynch syndrome. In recent years, several models have been developed to predict the likelihood of carrying a germline mutation.<sup>11,17-20</sup> These models use information from personal and family history as input to predict the probability of mutation carriership. A major advantage of prediction models is that these models give a quantitative estimate instead of a binary (yes/no) assessment as provided by the clinical diagnostic criteria. Mutation prediction models are thus potentially useful in clinical practice to optimise the identification of Lynch syndrome carriers, but the performances of these models have not been evaluated other than in similar settings as where they were developed. External validation is necessary to study generalizability of these models. Moreover, we need to consider the cut-off that should be used to select patients for further evaluation for Lynch syndrome. For example, a cut-off of 5% may be preferable to 10% if the additional costs of testing patients with risks between 5% and 10% are reasonable compared to the detection of some extra mutation carriers in this group. The aim of the present study was to evaluate the usefulness of five easily applicable mutation prediction models in a clinical population of familial CRC.

## Methods

### Study population

Since 1992 high-risk families for Lynch syndrome have been referred by general practitioners and medical specialists to the department of Clinical Genetics of the Erasmus MC University Medical Center for oncogenetic counselling. We included families with one or more subjects who had undergone cancer risk assessment for Lynch syndrome. From every family one or more family members underwent tumour analysis by MSI and IHC analysis, and /or underwent germline mutation analysis for mutations in the *MLH1*, *MSH2* and *MSH6* genes. In total, 321 unrelated families with 17552 members were included in this study. In every family, an index proband was identified, defined as the youngest family member diagnosed with CRC and in which MSI, IHC analysis and/or germline mutation analysis had been performed. Demographic, clinical and tumour related characteristics of the index proband and a detailed family history were obtained from medical records and family pedigrees. Only findings that were confirmed by pathology or medical records were included. Family pedigrees were traced backwards and laterally as far as possible, at least to second-degree relatives. For all the affected individuals the age of cancer onset, type, location, tumour stage of the malignancy, the presence and number of colorectal adenomas and results of MSI, IHC and/or germline mutation analysis were recorded. Furthermore, the presence and number of colorectal adenomas and the results of germline mutation analysis in healthy individuals was recorded.

### Molecular screening

MSI analysis was performed on paired tumour and normal tissue DNA using a panel of 5 validated microsatellite markers, as described previously.<sup>21</sup> Tumours were regarded as MSI-high if at least two of the five markers showed instability, MSI-low if one of the five markers showed instability and MSI-stable if none of the markers showed instability.

Immunostaining for MLH1, MSH2 and MSH6 was carried out as described previously.<sup>21</sup> The slides were scored as either negative (i.e. the absence of detectable nuclear staining of cancer cells), or positive for MLH1, MSH2 and MSH6 staining. Individuals found to have tumours with either an MSI-high phenotype or lack of MLH1, MSH2 or MSH6 protein expression were considered MMR deficient, while individuals with a tumour exhibiting an MSI-stable phenotype with normal MLH1, MSH2 or MSH6 protein expression were considered MMR proficient.

## Germline mutation analysis

All individuals with a MMR deficient tumour underwent germline mutation analysis of the *MLH1*, *MSH2* and *MSH6* gene. Germline mutation analysis was performed by sequencing and Multiplex Ligation-dependent Probe Amplification (MRC Holland).

## Classification of index probands

Index probands were considered positive if germline mutation analysis revealed a mutation in one of the mismatch repair genes. Index probands in whom germline mutation analysis detected an unclassified variant or no mutation were classified as non-mutation carrier. Index probands in which only MSI and IHC analysis had been performed and the analysis showed a MMR proficient tumour were also classified as non-mutation carrier.

## Prediction models

Mutation probability estimates for *MLH1*, *MSH2* and *MSH6* were calculated using five, previously published prediction models, i.e. the Leiden<sup>11</sup>, PREMM<sub>1,2</sub><sup>19</sup>, Edinburgh<sup>18</sup>, UK-Amsterdam Plus (UK-Ams)<sup>17</sup>, and UK-Alternative (UK-Alt) model.<sup>17</sup> The Leiden and PREMM<sub>1,2</sub> model predict the likelihood of finding an *MLH1* or *MSH2* gene mutation, while the Edinburgh, UK-Ams and UK-Alt model predict the likelihood of finding a *MLH1*, *MSH2* or *MSH6* gene mutation in high risk individuals (Table 1).

## Cost effectiveness analysis

We estimated the costs and effects (number of detected mutations) of different diagnostic strategies. The costs of tumour MMR deficiency (MSI and IHC) testing were estimated as € 590, the tariff recommended by the Dutch Pathology association ([www.pathology.nl](http://www.pathology.nl)). The costs of germline mutation analysis were assumed to be € 620 for each MMR gene.<sup>22</sup> In case of direct germline mutation analysis, three MMR genes have to be analysed, thus the costs associated with this strategy was € 1,860. Tumour MMR deficiency analysis is mostly indicative for the mutated MMR gene and therefore the costs of subsequent germline mutation analysis was calculated for a single MMR gene (€ 620). Costs were related to the number of mutations detected in *MLH1*, *MSH2*, or *MSH6* genes for all prediction models. The diagnostic strategies included: i) direct germline mutation analysis in all index probands, ii) tumour MMR deficiency analysis in all index probands followed by germline mutation analysis in those with MMR deficient tumours and iii) a strategy in which prediction models were used to select cases eligible for additional tumour MMR deficiency analysis and subsequent germline mutation analysis. Strategy iii considered consecutive cut-off values for the predicted probability of a mutation of each

**Table 1.** Input variables and features of the five prediction models.

Variable	Leiden	Premm <sub>1,2</sub>	Edinburgh	UK-Ams	UK-Alt
<b>Model input</b>					
Gender counselee			x		
CRC status counselee	x	x	x	x	x
CRC status relatives	x	x	x	x	x
No. of CRC in counselee		x		x	x
No. of relatives with CRC		x		x	x
CRC age of onset counselee	x	x	x	x	x
CRC age of onset relatives	x	x	x	x	x
EC status counselee	x	x	x	x	x
EC status relatives	x	x	x	x	x
No. of relatives with EC	x			x	x
EC age of onset counselee		x		x	x
EC age of onset relatives		x		x	x
Colonic adenoma status counselee		x		x <sup>†</sup>	x <sup>†</sup>
Colonic adenoma status relatives				x <sup>†</sup>	x <sup>†</sup>
No. of relatives with colonic adenoma				x <sup>†</sup>	x <sup>†</sup>
Lynch syndrome associated cancer status counselee		x			
Lynch syndrome associated cancer status relatives		x			
Fulfilment of Amsterdam II criteria	x			x	
Presence of syn- / metachronous cancer			x	x <sup>‡</sup>	x <sup>‡</sup>
Proximal location CRC			x		
<b>Model output</b>					
Predictions for carrying a <i>MLH1</i> or <i>MSH2</i> mutation	x	x			
Predictions for carrying a <i>MLH1</i> , <i>MSH2</i> or <i>MSH6</i>			x	x	x

CRC = colorectal cancer

EC = endometrial carcinoma

<sup>†</sup> For the UK-Ams and UK-Alt only counselees and relatives with > 5 colonic adenomas are included.

<sup>‡</sup> For the UK-Ams and UK-Alt only individuals with syn- / metachronous CRC and EC are included.

prediction model, such that incremental cost-effectiveness could be calculated, expressed as extra costs (k€ = thousands of Euro) per extra detected mutation.

## Statistical analysis

Sensitivity and specificity were calculated for the five different models with respect to the presence of a *MLH1*, *MSH2*, or *MSH6* germline mutation, considering cut-offs for the predicted probability of a mutation of 5%, 10%, 20% and 40%. The predicted probabilities for each index proband included in the study were calculated using an extensive coding program in the SPSS software package (version 12.0.1, SPSS Inc, Chicago, IL), with verification in an independently developed

Microsoft Excel spreadsheet (Microsoft Corporation, Redmond, WA). The performance of the models was assessed with respect to discrimination and calibration. Discrimination is the model's ability to separate patients with and without mutations. To quantify discrimination, the area under the receiver operating characteristic curve (AUC) was calculated. A model with an AUC of 0.50 has no discriminative power, while an AUC of 1.0 reflects perfect discrimination. Calibration reflects the ability of a model to produce unbiased estimates of the probability of an outcome. For example, if patients with certain characteristics are predicted to have a 10% chance of carrying a mutation, the observed prevalence of mutation carriership should also be 10%. Calibration was assessed graphically by plotting observed outcome against the predicted probability (0%-100%). Calibration was further tested with the Hosmer-Lemeshow goodness-of-fit test.<sup>23</sup> For the five models we compared observed outcome vs. predicted risks for each decile. Discrimination and calibration were calculated using R software (Version 2.5.1, the R Foundation for Statistical Computing). All p-values were two sided and a p-value of less than 0.05 was considered statistically significant.

## RESULTS

### Index proband characteristics

A total of 321 unrelated index probands (155 male / 166 female) of 321 families were included in this study (Table 2). Twenty-seven (8%) and 155 (48%) of them met the Amsterdam II criteria and Bethesda guidelines respectively. Among the *MLH1* and *MSH2* mutation carriers, the BG were positive in respectively 84% and 91%. Among the *MSH6* mutation carriers, the BG were positive in only 50%. The index probands were primarily analysed by MSI and IHC (n=277; 86%) or by direct germline mutation analysis (n=44; 14%). Of the 277 (86%) index probands who underwent molecular analysis by MSI and IHC, 54 (19%) index probands had a tumour demonstrating MMR deficiency. Germline mutation analysis was performed in 175 (55%) index probands, 54 (31%) with an MMR deficient tumour, 77(44%) with an MMR proficient tumour and 44 (25%) who directly underwent germline mutation analysis. In total, 66 mutations in either *MLH1* (n=25; 38%), *MSH2* (n = 23; 35%) or *MSH6* (n= 18; 27%) were identified. In 146 (45%) index probands only MSI and IHC analysis was performed which showed an MMR proficient tumour. These index probands were considered as non-mutation carriers.

### Detection of mutations

The AC II had a sensitivity for identification of mutation carriers of 30% (20/66) and a specificity of 89% (228/255). The BG missed 15 of the 66 mutation carriers, corresponding with a sensitivity of 77% and a specificity of 59% (151/255). Molecular analysis with MSI and IHC in tumours of 277 index probands identified 54 (19%) index probands with a MMR deficient

**Table 2.** Family history and index probands characteristics (n=321)

Characteristics	<i>MLH1</i> mutation	<i>MSH2</i> mutation	<i>MSH6</i> mutation	No mutation	Total
	n (%)	n (%)	n (%)	n (%)	n (%)
Mutation status	25	23	18	255	321
<i>Family history</i>					
No. of CRC	65	64	41	426	596
No. of EC	13	13	23	5	54
No. of other LS-associated cancer	7	16	6	63	92
Amsterdam II criteria +*	7 (28)	9 (39)	4 (22)	7 (3)	27 (8)
Revised Bethesda guidelines +*	21 (84)	21 (91)	9 (50)	104 (41)	155 (48)
<i>Proband characteristics</i>					
Male gender	11 (44)	10 (43)	8 (44)	126 (49)	155 (48)
Mean age CRC onset (yrs ± SD)	44 (± 11)	30 (± 11)	52 (± 14)	54 (± 13)	51 (± 13)
Mean age EC onset (yrs ± SD)	51 (± 4)	49 (± 4)	57 (± 5)	52 (± 5)	53 (± 6)
<i>Site of tumour</i>					
Proximal	22 (88)	16 (70)	10 (56)	66 (26)	114 (36)
Synchronous CRC	4 (16)	6 (26)	1 (6)	9 (4)	20 (6)
<i>First degree relative with CRC</i>					
0 relatives	11 (44)	11 (48)	10 (56)	161 (63)	193 (60)
1 relative	8 (32)	8 (35)	5 (28)	81 (32)	102 (32)
≥ 2 relatives	6 (24)	4 (17)	3 (16)	13 (5)	26 (8)
MMR deficient tumour <sup>†</sup>	9/9	7/7	11/11	27/250	54/277

CRC = colorectal cancer

EC = endometrial cancer

LS = Lynch syndrome

SD = standard deviation

\* fulfilling the Amsterdam II criteria or the revised Bethesda guidelines

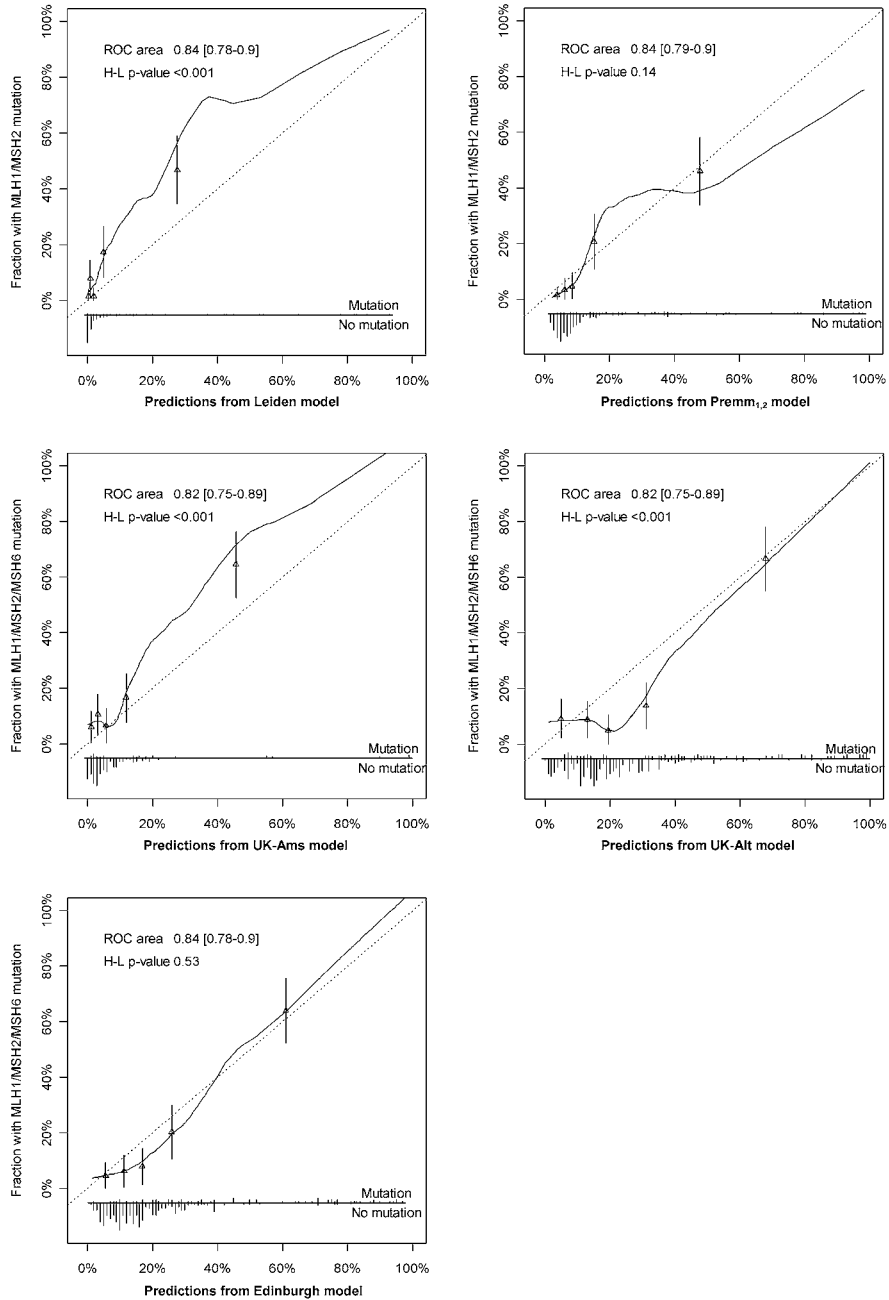
<sup>†</sup> defined as MSI-high phenotype or loss of *MLH1*, *MSH2* or *MSH6* protein expression by immunohistochemistry

tumour, corresponding with a sensitivity of 100% and a specificity of 89% for detection of mutation carriers.

## Performance of prediction models

All prediction models discriminated well between high risk and low risk probands, with an AUC of 0.82 for the UK-Ams and UK-Alt model and an AUC of 0.84 for the Leiden, Premm<sub>1,2</sub> and Edinburgh model. Calibration curves showed considerable differences between observed mutation frequency and predictions from the Leiden, UK-Ams and UK-Alt models (Figure 1, Hosmer-Lemeshow goodness of fit test  $p < 0.0001$ ). For example, the calibration curve in the Leiden model was above the dotted ideal line. This implies that most predicted probabilities for mutation carriership were systematically too low; for example index probands with a predicted probability of 40% actually had an observed probability for a mutation carriership around 70%.





**Figure 1.** Validation of the five mutation prediction models.

The smooth curves reflect the relationship between observed fraction with a mutation and predictions from each model. Perfect calibration is represented by the straight dotted line through the origin. Triangles indicate the fraction of mutations in quintiles of patients with similar predictions, with 95% confidence intervals. Spikes at the bottom of each graph represent the distribution of predictions for those with and without mutations respectively.

Using a probability cut-off value of  $\geq 5\%$ , the UK-Alt model identified all mutation carriers, corresponding with a sensitivity of 100% (Table 3). The 100% sensitivity of the UK-Alt model is explained by the fact that the predicted probabilities were systematically too high, as shown in Figure 1. The two well-calibrated models, the Premm<sub>1,2</sub> and Edinburgh, both had a sensitivity of 98% at a 5% cut-off. The specificity of both models at a 5% cut-off was 22% and 9%, respectively. The Leiden model had the lowest sensitivity at a 5% cut-off (73%) and the highest specificity (80%), which relates to systematic underestimation of actual frequencies of muta-

**Table 3.** Performance characteristics of the prediction models according to different strategies

Model	Without MMR deficiency analysis		With MMR deficiency analysis*
	Sensitivity (%)	Specificity (%)	Specificity (%)
<b>Leiden model</b>			
$\geq 5\%$	73	80	80
$\geq 10\%$	58	90	89
$\geq 20\%$	38	98	100
$\geq 40\%$	27	99	100
<b>Premm<sub>1,2</sub> model</b>			
$\geq 5\%$	98	22	82
$\geq 10\%$	88	67	87
$\geq 20\%$	67	84	86
$\geq 40\%$	29	94	87
<b>Edinburgh model</b>			
$\geq 5\%$	98	9	90
$\geq 10\%$	94	29	90
$\geq 20\%$	83	69	90
$\geq 40\%$	53	96	89
<b>UK-Ams model</b>			
$\geq 5\%$	82	55	89
$\geq 10\%$	76	80	84
$\geq 20\%$	56	95	93
$\geq 40\%$	38	99	100
<b>UK-Alt model</b>			
$\geq 5\%$	100	13	90
$\geq 10\%$	91	24	91
$\geq 20\%$	77	60	89
$\geq 40\%$	65	91	87

\* The sensitivity was calculated for 66 mutation carriers; specificity without MMR deficiency analysis for 255 index probands without mutation. The specificity with MMR deficiency analysis was calculated for 250 index probands without mutation among the 277 index probands who underwent tumour MMR deficiency analysis.

tions (Fig 1). Using cut-off values of 10% or above resulted in a progressive loss of sensitivity of all the models (Table 3).

As expected, the combination of prediction models with subsequent MMR deficiency analysis before MMR mutation testing increased the specificity associated with each model. For example, at a 5% cut-off the specificity of the  $\text{Premm}_{1,2}$  model increased from 22% to 82%.

### Cost effectiveness of different diagnostic strategies

The most expensive diagnostic strategy was direct germline mutation analysis in all index probands (k€ 597 or k€ 9,1 per mutation). Performing tumour MMR deficiency analysis in all index probands followed by germline mutation analysis in those with a MMR deficient tumour was less expensive (k€ 248, k€ 3,8 per mutation, Table 4). Using prediction models to select cases for additional tumour MMR deficiency analysis and subsequent germline mutation analysis was associated with lower costs, at the expense of missing mutations. At a 5% cut-off, the Leiden model was associated with the lowest costs (k€ 84), but 23 mutations would be missed. To detect all mutations, the extra costs per extra detected mutation were k€ 7. The Edinburgh and  $\text{Premm}_{1,2}$  models detected nearly all mutations at a 5% cut-off (65/66 and 64/66 respectively). To detect the one or two missed mutations would cost an additional k€ 16 or k€ 20 respectively. With a cut-off of 10%, three (65-62) or 11 (64-53) more mutations would be missed. The extra costs were k€ 37 and k€ 8 per extra mutation for the Edinburgh and  $\text{Premm}_{1,2}$  model respectively. A cut-off of 20% would lead to many more mutations missed (62-55 = 7 and 53-41 = 12 respectively), with costs of k€ 11 and k€ 4 per extra detected mutation. A cut-off of 5% for the  $\text{Premm}_{1,2}$  model hence had a similar cost-effectiveness as a threshold of 10% for the Edinburgh model, which is related to the slight difference in calibration at low predicted probabilities (Figure 1). Accepting a threshold for the costs per extra detected mutation of approximately k€ 10, would result in the use of different cut-off values. The Leiden and UK-Ams model should be used with a cut-off value less than 5%, the UK-Alt and  $\text{Premm}_{1,2}$  model with a  $\geq 5\%$  cut-off and the Edinburgh model with a cut-off value of  $\geq 10\%$

**Table 4.** Cost effectiveness of the prediction models at different cut-off values.

	MMR deficiency analysis (n)	Germline mutation analysis (n)	Total costs	Mutations detected (n)	Costs per extra detected mutation
<b>MMR deficiency analysis</b>	321	94	€ 247,670	66	NA
<b>Leiden model</b>					
≥ 0%	321	94	€ 247,670	66	€ 7,112
≥ 5%	90	50	€ 84,100	43	€ 2,871
≥ 10%	55	37	€ 55,390	33	€ 2,351
≥ 20%	24	21	€ 27,180	21	€ 1,505
≥ 40%	15	15	€ 18,150	15	€ 1,210
<b>Premm<sub>1,2</sub> model</b>					
≥ 0%	321	94	€ 247,670	66	€ 20,475
≥ 5%	260	86	€ 206,720	64	€ 8,331
≥ 10%	132	60	€ 115,080	53	€ 3,831
≥ 20%	73	42	€ 69,110	41	€ 1,724
≥ 40%	31	18	€ 29,450	18	€ 1,636
<b>Edinburgh model</b>					
≥ 0%	321	94	€ 247,670	66	€ 16,640
≥ 5%	297	90	€ 231,030	65	€ 37,410
≥ 10%	242	82	€ 193,620	62	€ 10,524
≥ 20%	135	65	€ 119,950	55	€ 3,554
≥ 40%	45	36	€ 48,870	35	€ 1,396
<b>UK-Ams model</b>					
≥ 0%	321	94	€ 247,670	66	€ 8,819
≥ 5%	170	67	€ 141,840	54	€ 11,270
≥ 10%	102	59	€ 96,760	50	€ 3,316
≥ 20%	51	38	€ 53,650	37	€ 1,852
≥ 40%	27	25	€ 31,430	25	€ 1,257
<b>UK-Alt model</b>					
≥ 0%	321	94	€ 247,670	66	∞
≥ 5%	288	89	€ 225,100	66	€ 4,372
≥ 10%	253	80	€ 198,870	60	€ 7,796
≥ 20%	153	62	€ 128,710	51	€ 7,656
≥ 40%	66	46	€ 67,460	43	€ 1,569

NA = not applicable

## DISCUSSION

Identification of high-risk subjects at high risk for Lynch syndrome still remains difficult. In this study we found adequate discriminative ability of five different mutation prediction models for Lynch syndrome using clinical data of 321 index probands with suspicion of Lynch syndrome.

Among the five models considered, the P<sub>remm</sub><sub>1,2</sub> and Edinburgh model had the best performance in predicting mutation carriership, because these two models had the highest discriminative ability and were well calibrated. Combining prediction models with tumour MMR deficiency analysis resulted in a substantial increase of the specificity.

The calibration of the Leiden, UK-Ams and UK-Alt models was relatively poor, reflecting that predicted probabilities were systematically too high or too low. Although the Edinburgh model was developed using population-based data, this model was well calibrated in our intermediate to high-risk population. The P<sub>remm</sub><sub>1,2</sub> model and the Leiden model were designed to predict only the presence of *MLH1* and *MSH2* mutations. Recently, the P<sub>remm</sub><sub>1,2</sub> model was evaluated in a population based cohort of 1222 colorectal cancer patients, and identified all *MLH1* and *MSH2* mutation carriers.<sup>24</sup> However, the number of identified mutations (n=8) was very low, limiting the strength of the conclusions from this study.

In subjects suspected of Lynch syndrome, high sensitivity is important to identify mutation carriers. Tumour MMR testing in all by definition has the highest sensitivity (100%), but the lowest specificity (0%). This strategy was associated with high costs. Therefore, selection of high-risk individuals may be considered. The low sensitivity of the AC II and the complexity of the BG necessitate new diagnostic strategies to identify individuals at risk for Lynch syndrome. Prediction models have a higher sensitivity compared to the AC II and BG if relatively low cut-offs are used for the predicted probability of mutation. With cut-offs of 5% to 10% such models can well be useful in a clinical setting. External validation showed that especially the P<sub>remm</sub><sub>1,2</sub> and Edinburgh model were accurate in predicting mutation carriership, with a sensitivity of 98% at a 5% cut-off. In clinical practice, these two models can be used to identify high-risk individuals who are eligible for tumour MMR deficiency analysis. Using prediction models led to lower costs than tumour MMR deficiency analysis in all CRC cases at the expense of missing no or only few mutation carriers. The cost-effectiveness is determined by the cut-off value used, with lower cut-off values resulting in higher costs per extra detected mutation. The optimal threshold in terms of cost-effectiveness is not known and may depend on the specific health care setting. One might accept high costs per extra detected mutations when proven mutation carriers will follow colonoscopic surveillance. Such surveillance is highly effective in Lynch syndrome. A 25-year-old person would gain 13.5 years of life expectancy compared to no surveillance.<sup>25, 26</sup> The reported compliance in mutation carriers is approximately 90%, thus the expected gain in life expectancy may be only slightly less.<sup>27</sup> Further establishment of the optimal threshold in terms of cost effectiveness and life years gained is needed. We note that the availability of user-friendly formats, such as the web-based P<sub>remm</sub><sub>1,2</sub> model (<http://www.dana-farber.org/pat/cancer/gastrointestinal/crc-calculator/>), enables easy calculation of predicted probabilities in clinical practice.

Our study population consisted of families that had been counselled at the department of clinical genetics. This enabled verification of the family history by medical records and pathology reports. The family history was as complete as possible which can be considered as strength of our study. But the results of this study may not apply to the performance of mutation prediction models in the general population, because we used an intermediate to high-risk study population. Another limitation of our study was that not all probands underwent germline mutation analysis. We classified the probands with normal tumor MMR results and in whom no germline mutation analysis was performed as non-mutation carriers. Theoretically, these probands could harbour a mutation. However, the reported sensitivity of both MSI (80%-100%) and IHC analysis (85-95%) are high.<sup>8-10, 18, 28-30</sup> Finally, we only evaluated logistic regression based prediction models and therefore excluded the MMRpro model. MMRpro is a Mendelian model, which uses Bayesian calculations considering information from the full pedigree, including unaffected relatives. The performance of MMRpro may be similar to the Edinburgh and Pemm<sub>1,2</sub> models in the clinical setting, but further evaluation is needed.

In conclusion, we have evaluated five easily applicable mutation prediction models for Lynch syndrome in a selected clinical population. The models have a high accuracy and cost-effectiveness for detecting germline mutations in the mismatch repair genes. The Edinburgh and Pemm<sub>1,2</sub> model had the best performance in an intermediate to high-risk setting and these models may well be of use in clinical practice. The poor calibration of the Leiden, UK-Ams and UK-Alt model hampers direct application of these mutation prediction models. Further evaluation of mutation prediction models across different settings is needed.

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# Chapter 6

## **The use of genetic testing in hereditary colorectal cancer syndromes; Genetic testing in HNPCC, (A)FAP and MAP**

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## **ABSTRACT**

This study evaluated the use of genetic testing and time trends in hereditary non polyposis colorectal cancer (HNPCC), (attenuated) familial adenomatous polyposis ((A)FAP) and MUTYH associated polyposis (MAP) families. Eighty-seven families, who were diagnosed with disease causing mutations between 1995-2006, were included in this study. The families consisted of 1547 individuals at risk. Data of these individuals were collected from medical records and family pedigrees.

There was considerable interest in genetic testing with test rates of 41% in HNPCC families, 42% in (A)FAP families and 53% in MAP families. The use of genetic testing was associated with age and parenthood. Despite the interest in genetic testing, many risk-carriers do not apply for testing. Moreover, time trend analysis showed a decline in test rate in HNPCC families. Studies evaluating the reasons for not testing are needed. Furthermore, a better implementation of genetic testing in clinical practice is desirable.

### **Keywords:**

(A)FAP, genetic testing, HNPCC, MAP, mutation carrier.

## INTRODUCTION

Hereditary Non Polyposis Colorectal Cancer (HNPCC) and Familial Adenomatous Polyposis (FAP) are the two most common hereditary colorectal cancer syndromes, accounting for 2-5% of colorectal cancer cases.<sup>1,2</sup> These syndromes are caused respectively by germline mutations in the MMR genes (*MLH1*, *MSH2*, *MSH6* or *PMS2*) and in the *APC* gene.<sup>3-5</sup> An attenuated form of FAP (AFAP) is characterized by the development of less polyps than in classical FAP (10-100 polyps vs. more than 100 polyps) at an older age. AFAP is associated with mutations in the *APC* gene or mutations in the *MUTYH* gene.<sup>6,7</sup> The latter is also known as *MUTYH* Associated Polyposis (MAP). HNPCC and (A)FAP are inherited in an autosomal dominant way, whereas MAP is recessively inherited. About 25% of the *APC* mutations are de novo.<sup>8,9</sup>

Genetic testing in HNPCC, (A)FAP or MAP family members has a considerable medical and psychological impact. Subjects with a known mutation can benefit from medical surveillance programs, while subjects without a mutation are relieved from anxiety and can be dismissed from surveillance. However, genetic testing can also be associated with negative effects such as an increased anxiety about one's health, uncertainty about the future, social discrimination and financial consequences, for instance related to health care and life-insurances.

Data on the use of genetic tests in clinical practice in HNPCC families are sparse. A few studies have reported genetic test rates ranging from 43 to 75%.<sup>10-12</sup> Data concerning the genetic test rate in (A)FAP and MAP families are lacking. The aim of the present study was therefore to evaluate the use of genetic testing and time trends in molecularly confirmed HNPCC, (A)FAP and MAP families in a clinical setting. Furthermore, factors associated with the use of genetic testing were evaluated.

## MATERIAL AND METHODS

### Subjects

In total, a cohort of 87 families diagnosed with a hereditary colorectal cancer syndrome at the department of Clinical Genetics of the Erasmus MC University Medical Center between 1995 and 2006 was selected. Of these 87 families, 45 families were identified with a pathogenic germline mutation in the *MLH1* (n=17), *MSH2* (n=12), or *MSH6* (n=16) gene. In 34 families a pathogenic mutation in the *APC* gene was identified and in eight families pathogenic mutations in the *MUTYH* gene. DNA analysis was performed at the Department of Human and Clinical Genetics of the Leiden University Medical Center or at the Department of Clinical Genetics of the Erasmus MC University Medical Center in Rotterdam. Subjects originating from families with a germline HNPCC gene mutation or with two germline *MUTYH* mutations were included if they were aged 18 years or older and in case of an a priori risk of 100%, 50% or 25% for carry-

ing the mutation(s) (Table 1). Subjects originating from families with a germline *APC* mutation were included if they were aged 10 years or older and in case of an a priori risk of 100%, 50% or 25% for carrying the mutation. Unaffected parents and unaffected siblings of apparently de novo *APC* mutation carriers were also included.

**Table 1.** Definition of a priori risk groups.

A priori risk	HNPCC	(A)FAP	MAP
100%*	Person with a HNPCC related tumor or obligate carriers	Person with (attenuated) polyposis +/- CRC	Person with attenuated polyposis +/- CRC
50%	1 <sup>st</sup> degree relative to an affected person with an HNPCC related tumor or proven mutation	1 <sup>st</sup> degree relative to an affected person with (attenuated) polyposis +/- CRC or proven mutation	
25%	Person with an unaffected living or deceased parent with a 50% risk	Person with an unaffected living or deceased parent with a 50% risk	Person with a sibling with attenuated polyposis +/- CRC or two proven mutations
Parents		Parent of an apparently de novo mutation carrier	
Siblings		Sibling of an apparently de novo mutation carrier	

\* 100% a priori risk subjects may include phenocopies

## Counseling procedure

The first relatives seeking genetic advice for colorectal cancer in the family, the initial counsees, were healthy risk carriers as well as relatives affected by an HNPCC, (A)FAP or MAP related tumor or polyposis. In general, the identification of a causative germline mutation in a family was performed on blood DNA of affected individuals. If the initial counselee was a healthy risk carrier, affected relatives were approached to cooperate. After identification of a pathogenic mutation, the initial counselee was asked to inform all adult first- and second degree relatives of patients with an HNPCC, (A)FAP, or MAP related tumor or polyposis about the possibility of genetic testing. Written information about the inheritance of familial cancer, risks for developing cancer, the availability of diagnostic genetic testing and possibility of medical surveillance programs was available for relatives. Relatives who underwent genetic testing received one or more pre-test counseling sessions as recommended by the American Society of Clinical Oncology.<sup>13</sup> Psychological support was available for relatives throughout the testing procedure.

## Data collection

All data were collected from family pedigrees and medical records. Data collection included sex, presence of an HNPCC related tumor or A(FAP) and MAP related polyposis or tumors, age at tumor diagnosis, age at time of genetic testing, parenthood and a priori risk (Table 1).

The interval between the molecular diagnosis in the family and the individual genetic test was evaluated for HNPCC, (A)FAP and MAP families.

Time trend analysis regarding genetic testing was evaluated using data of genetic test use in 18 HNPCC families<sup>12</sup> and 13 (A)FAP families who were molecularly diagnosed in the period 1995-2000. These data were compared with the data of 27 HNPCC families and 21 (A)FAP families who were molecularly diagnosed in the period 2001-2006. All MAP families were molecularly diagnosed after 2001 and therefore not included in the time trend analysis.

## Statistics

Data were submitted for statistical testing using the Statistical Package for the Social Sciences (SPSS Inc, Chicago, IL), version 12.0.1. Data are given as median and range or as mean with standard deviation when appropriate. Descriptive statistics were used to establish test rates of genetic testing. Chi square analysis was performed to calculate differences between the different a priori risk groups. A two-sided p value < 0.05 was considered significant. Univariate analysis was used to establish the influence of parenthood, gender and age on the use of genetic testing in 50% a priori risk subjects. Multivariate logistic regression analysis was used to establish the simultaneous influence of age, gender and parenthood on the use of genetic testing in 50% a priori risk subjects. Age was categorized into subjects younger or older than 50 years for HNPCC families. For the (A)FAP families, subjects were categorized into three groups, subjects younger than 18 years, aged 18-40 and older than 40 years. Subjects younger than 18 years were not included in the univariate and multivariate analysis regarding the influence of parenthood.

## RESULTS

### HNPCC families

The 45 HNPCC families consisted of 1230 living subjects, including 112 subjects with a 100% a priori risk, 640 subjects with a 50% a priori risk and 478 subjects with a 25% a priori risk (Table 2). At the time of clinical ascertainment, 39 of the 45 families fulfilled the Amsterdam II criteria. The median follow-up time after identification of the family specific mutation was 82 months (10-140 months).

**Table 2.** Genetic testing and outcome in the 87 families

A priori risk	100%	50%	25%		Residual risk			
			Parent alive	Parent dead	Total	Parent*	Sibling*	Total
<b>HNPCC families (n=45)</b>								
Number	112	640	279	199	478			1230
Tested	95 (85%)	330 (52%)	12 (4%)	62 (31%)	74 (15%)			499 (41%)
Mutation Carrier	91 (96%)	147 (45%)	1 (8%)	4 (6%)	5 (7%)			243 (49%)
<b>(A)FAP families (n=34)</b>								
Number	46	102	31	26	57	34	48	287
Tested	43 (93%)	46 (45%)	0	3 (12%)	3 (5%)	15 (44%)	13 (27%)	120 (42%)
Mutation Carrier	41 (95%)	9 (20%)	0	0	0	0	0	50 (42%)
<b>MAP families (n=8)</b>								
Number	8				22	30		
Tested	8 (100%)				8 (36%)	16 (53%)		
Mutation Carrier	8 (100%)				5 (63%)	13 (81%)		
homozygous	6				0			
heterozygous	0				5			
compound heterozygous	2				0			

\* of a subject with an apparently de novo *APC* mutation

Genetic testing was used in 499 subjects (41%). Of the subjects with a 100%, 50% and 25% a priori risk for carrying the mutation, genetic testing was used in 85%, 52% and 15% respectively ( $p < 0.001$  for 100% vs. 50% and  $p < 0.001$  for 50% vs. 25%). Multivariate analysis showed that gender and parenthood were significantly associated with the use of genetic testing in 50% a priori risk subjects (Table 3). The effect of age was masked by having children, but was significantly associated with genetic testing in the multivariate analysis.

**Table 3.** Univariate and multivariate analysis of the 50% a priori risk subjects in the HNPCC and the APC mutation families.

	Total	Tested (%)	Univariate OR (95% CI)	p value	Adjusted OR (95% CI)	p value
<b>HNPCC families (n=640)</b>						
Age						
< 50 year	455	244 (54%)	1.3 (0.9-1.9)	0.1	2.5 (1.7-3.8)	< 0.001
> 50 year	185	86 (46%)				
Gender						
Female	333	196 (59%)	1.8 (1.3-2.5)	< 0.001	1.7 (1.2-2.4)	< 0.01
Male	307	134 (44%)				
Children						
yes	301	199 (66%)	3.0 (2.2-4.3)	< 0.001	4.1 (2.8-6.0)	< 0.001
no	339	131 (39%)				
<b>(A)FAP families (n=102)</b>						
Age (categorized)						
< 18 yr	22	10 (45%)		0.04		0.005
18- 40 yr	36	27 (75%)	0.5 (0.2-1.5)		0.6 (0.2-1.8)	
> 40 yr	44	9 (20%)	2.5 (0.8-7.7)		8.1 (1.4-47.0)	
Gender						
Female	50	25 (50%)	1.5 (0.7-3.2)	0.3	1.3 (0.6-3.1)	0.5
Male	52	21 (40%)				
Children*						
yes	30	14 (47%)	1.1 (0.4-2.8)	0.8	5.3 (1.1-24.4)	0.04
no	50	22 (44%)				

\* only for the 50% a priori risk subjects aged 18 years or older

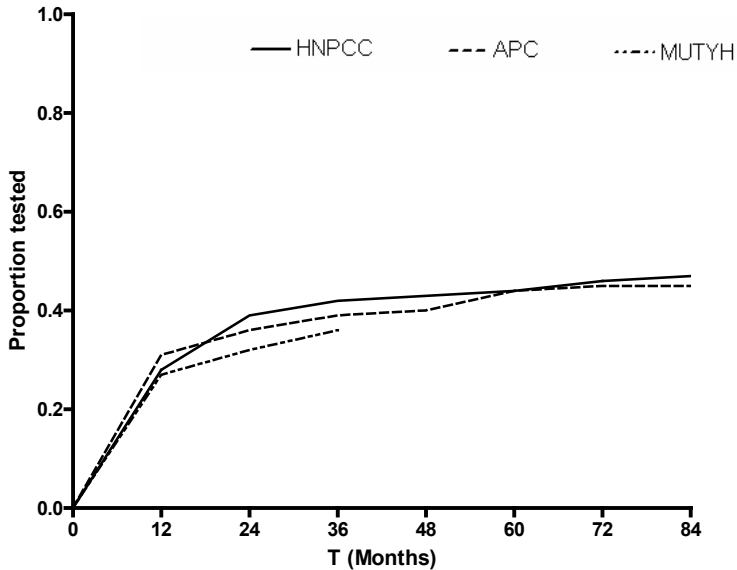
Twenty-eight percent of 50% a priori risk subjects decided to opt for genetic testing within one year after the molecular diagnosis in the family, 46% after two years and 52% after three years (Figure 1).

Comparison of the genetic test rate for 50% a priori risk subjects who were found to be eligible for genetic testing during the period 1995-2000 and during the period 2001-2006 showed a significant decline in genetic test rate from 57% to 46% ( $p = 0.03$ ).

### (A)FAP families

The (A)FAP families consisted of 287 living subjects, including 46 subjects with a 100% a priori risk, 102 subjects with a 50% a priori risk, 57 subjects with a 25% a priori risk, 34 unaffected parents of an apparently de novo mutation carrier and 48 unaffected siblings of an apparently de novo mutation carrier (Table 2). The median follow up time after identification of the family-specific mutation was 74 months (range 17-137 months).

Genetic testing was used in 120 subjects (42%). Of the subjects with an a priori risk of 100%, 50% and 25% for carrying the mutation, genetic testing was used in 93%, 45% and 5%



**Figure 1.** Proportion of 50% HNPCC and (A)FAP risk subjects and 25% MAP risk subjects tested since identification of the familial mutation.

respectively ( $p < 0.0001$  for 100% vs. 50% and  $p < 0.0001$  for 50% vs. 25%) (Table 2). Of the parents and siblings of an apparently de novo mutation carrier respectively 44% and 27% used genetic testing. The test rate in 50% a priori risk subjects was significantly lower for subjects older than 40 years of age (Table 3). Multivariate analysis showed that age and parenthood were significantly associated with the use of genetic testing in 50% a priori risk subjects (Table 3).

Thirty-one percent of 50% a priori risk subjects decided to opt for genetic testing within one year after the molecular diagnosis in the family, 36% after two years and 39% after three years (Figure 1).

Comparison of the genetic test rate for 50% a priori risk subjects who were found to be eligible for genetic testing in the period 1995-2000 and in the period 2001-2006 showed no significant decline in genetic test rate from 47% to 43% ( $p = 0.2$ ).

### MAP families

The eight MAP families consisted of 30 living subjects, including eight subjects with a 100% a priori risk and 22 subjects with a 25% a priori risk (Table 2). The median follow up time after identification of the family specific mutations was 31 months (range 12-38 months). Genetic testing was used in eight 25% a priori risk subjects (36%) (Table 2).



## DISCUSSION

There is a clear interest in genetic testing for hereditary colorectal cancer syndromes. However, more than half of the subjects at risk do not opt for testing. A higher genetic test rate would be desirable, since subjects without a mutation can be dismissed from burdensome surveillance.<sup>14-16</sup> Furthermore, preventive options are available for identified mutation carriers. Besides, subjects with a known mutation who have knowledge of their mutation status comply better with colonoscopy surveillance.<sup>17</sup>

A reason for refraining from testing may be a lack of adequate information about the possibility of testing. This may be due to the current procedure in the Netherlands. In The Netherlands, the initial counsellor should inform all relatives. Studies on the efficiency of the current procedure and other possible procedures to inform risk carriers are needed.

It is also possible that well-informed risk carriers refrain from genetic testing because of possible psychosocial consequences or the fear of screening. However, changes in distress amongst mutation carriers of cancer predisposition syndromes appear to be only temporary. Immediately after test disclosure there is increase in general anxiety. Carriers are able to cope with having the predisposition on the short as well as the long term.<sup>17-21</sup> With respect to the burden of screening, the majority of HNPCC carriers experiences colonoscopic surveillance as uncomfortable. Nevertheless, 88% of proven mutation carriers comply with colonoscopic surveillance.<sup>17</sup> Another reason for declining genetic testing are the possible financial consequences such as higher costs for health insurances or a mortgage.<sup>22</sup>

The genetic test rate is correlated with the a priori risk for carrying a mutation. It should however be taken into account that affected relatives (100% a priori risk) were actively recruited for genetic testing to identify the familial mutation. This probably contributed to the high test rate among 100% a priori risk subjects. The lower test rate in 25% a priori risk subjects may be due to a lower perceived cancer risk in this group. Risk carriers may feel “more safe” with a parent between them and the family with the predisposition. Not willing to violate the parent’s “right not to know” may be another factor that contributes to the difference in test rate in 25% a priori risk subjects with and without a deceased parent.

In the HNPCC and (A)FAP families the uptake of the 50% a priori risk subjects was comparable (52% vs. 45%) and associated with age. The influence of age may be due to a decreased risk to carry the familial mutation with increasing age. Furthermore, there may be a decrease of perceived risk of cancer being healthy at an older age.

Another factor associated with performing genetic testing among 50% a priori risk subjects is parenthood. In other cancer syndromes such as hereditary breast and ovary cancer the as-

sociation between parenthood and genetic testing has also been observed.<sup>23</sup> Genetic testing provides knowledge about the presence or absence of the cancer risks for offspring and parental responsibility is therefore a stimulation for testing.

In our study female 50% a priori risk subjects from HNPCC families tended to undergo genetic testing more often. This finding is in contrast with previous studies which did not report a gender difference in the uptake of genetic testing in HNPCC families.<sup>10, 11, 24</sup> However, female MMR mutation carriers have an higher risk for developing endometrial cancer and this could possibly influence the uptake of genetic testing among females.<sup>25, 26</sup> Besides, in general women are more likely to use health care services compared to men.<sup>27, 28</sup>

Time trend analysis showed a significant decline in the performance of genetic testing by 50% a priori risk subjects originating from HNPCC families. There were no major changes in the counseling procedure and staff at our department during the study period that can explain the observed decline. The fear of financial consequences is a known factor to refrain from genetic testing.<sup>22</sup> The decline in genetic testing may therefore reflect a less favorable social situation for testing in The Netherlands in the past years. Professionals in the field should be aware of this and actively contribute to a good social situation for genetic testing. Further studies into the reasons for refraining from testing should be performed.

Also, the awareness among health care providers should be improved. A previous study reported that 95% of American gastroenterologists were aware of genetic counseling, but only 52% of the gastroenterologists were aware of genetic testing for FAP and 34% for HNPCC.<sup>29</sup> Therefore further implementation of genetic testing in clinical practice is needed.

In conclusion, the present study shows a clear interest in genetic testing for hereditary colorectal cancer syndromes. However, more than half of the subjects at risk do not opt for testing and in HNPCC families this proportion has increased over the past years. The reasons for refraining from testing should be further studied. Also, in view of the considerable medical benefit of genetic testing, social discrimination of possible mutation carriers should be minimized and the awareness of testing should be increased among at-risk individuals as well as health care providers. Further implementation of genetic testing in clinical practice is needed.

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# Chapter 7

## **A back-to-back comparison of white light video endoscopy to autofluorescence endoscopy for adenoma detection in high-risk subjects**

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## ABSTRACT

**Objective:** To compare the sensitivity of autofluorescence endoscopy (AFE) and white light video endoscopy (WLE) for the detection of colorectal adenomas in high-risk patients belonging to Lynch syndrome (LS) or familial colorectal cancer (CRC) families.

**Design:** Prospective single centre study.

**Setting:** Tertiary referral centre.

**Patients:** Seventy-five asymptomatic patients originating from Lynch syndrome or familial CRC families.

**Interventions:** Patients were examined with either WLE followed by AFE or AFE followed by WLE. Back-to-back colonoscopy was performed by two blinded endoscopists. All lesions were removed during the second endoscopic procedure. Lesions missed during the second procedure were identified and removed on 3<sup>rd</sup> pass. The sensitivity calculations for colorectal adenomas were based on histology results.

**Main outcome measures:** The difference in sensitivity between WLE and AFE for the detection of adenomas in patients with LS or familial CRC.

**Results:** At least one adenoma was detected in 41 (55%) patients. WLE identified adenomas in 28/41 patients and AFE in 37/41 patients; corresponding with a 32% increase. In total 95 adenomas were detected, 65 by WLE and 87 by AFE, resulting in a significantly higher sensitivity of AFE compared to WLE (92% vs. 68%;  $p = 0.001$ ). The additionally detected adenomas with AFE were significantly smaller than the adenomas detected by WLE (mean 3.0 mm vs. 4.9 mm,  $p < 0.01$ ).

**Conclusions:** Autofluorescence endoscopy improves the detection of colorectal adenomas in patients with LS or familial CRC. The results of this study suggest that AFE may be preferable for surveillance of these high-risk patients.

## INTRODUCTION

The detection and removal of colorectal adenomas has been proven effective in reducing mortality and incidence of colorectal cancer (CRC).<sup>1</sup> Therefore, endoscopic surveillance is highly recommended in high-risk populations such as Lynch syndrome (LS) or familial CRC.<sup>2</sup> Standard screening and surveillance colonoscopy is performed using flexible white light video endoscopy (WLE). However, with this technique 2-26% of adenomatous polyps are missed.<sup>3</sup> Furthermore, flat and depressed adenomas are often invisible to WLE.<sup>4,5</sup> Such lesions reportedly make up 36% of neoplasia in a standard population<sup>6</sup>, and they are presumably more common in high-risk subjects. Identification of these lesions is especially important in these high-risk populations. In LS mutation carriers, the mortality due to colorectal cancer remains more than 10-fold increased, even when undergoing a (bi-)annual WLE colonoscopy screening.<sup>2</sup> This may to a considerable extent be due to rapid progression of smaller, missed lesions.

Autofluorescence endoscopy (AFE) is a technique specifically designed to probe large areas of mucosa to detect neoplasia. The technique has been approved by the U.S. Food and Drug Administration for use in bronchoscopy and may also be of special use in the colon.<sup>7</sup> The technique is based on the phenomenon that when tissue is exposed to light of a short wavelength (typically blue light) some endogenous biological substances (fluorophores) are excited, leading to subsequent emission of fluorescence light of a longer wavelength. This phenomenon is called tissue autofluorescence.<sup>8</sup> The technique does not require administration of fluorescent dyes. Several pathological processes, in particular inflammation and neoplasia change the concentration and distribution of the various endogenous fluorophores in the tissue and consequently alter the tissue's endogenous fluorescence. Other phenomena like the increase in mucosal thickness and variation in distribution of haemoglobin in early colonic neoplastic lesions leads to attenuation of the emitted tissue autofluorescence. In AFE the autofluorescent image is induced by using a white light source with a green filter. The tissue is thereby exposed to the remaining visible blue and red light. The reflected blue light is blocked by a filter in the CCD camera. The emitted green autofluorescence from the tissue and the reflected red light are used to obtain a false colour image. The system consisting of a light source, processor and dual CCD camera produces an image in which the normal colonic mucosa is depicted in cyan blue and adenomatous lesions in brick red.

Available data indeed suggest that AFE can detect small or early stage lesions and flat or depressed adenomas with a high sensitivity compared to WLE.<sup>9,10</sup> However, prospective comparative studies with current standard video colonoscopy and autofluorescence endoscopy for surveillance of colorectal adenomas and CRC are missing. The aim of this study therefore was to compare the sensitivity of autofluorescence endoscopy and white light video endoscopy for the detection of colorectal adenomas in patients with LS or familial CRC.

## METHODS

### Study population

Patients aged 18 years or older scheduled for surveillance colonoscopy were eligible for this study if they originated from LS or familial CRC families. Patients were categorized as LS patients if they fulfilled the Amsterdam II criteria or if they carried a proven mutation in one of the mismatch repair genes (*MLH1*, *MSH2* or *MSH6*).<sup>11</sup> Patients were categorized as familial CRC if they had one first degree relative with colorectal cancer diagnosed at a young age (< 50 years) or two first degree relatives regardless of age.<sup>12</sup>

Patients with inflammatory bowel disease, familial adenomatous polyposis, Peutz-Jeghers syndrome, or juvenile polyposis were excluded from the study, as well as patients with a coagulopathy or on anticoagulant therapy that could not be discontinued.

The study protocol was approved by the institutional review board of the Erasmus MC University Medical Center, and informed consent for participation in the study was obtained from all subjects before inclusion.

### Imaging systems

High definition white light video endoscopy was performed using a standard flexible video endoscope (CF160, Olympus Optical Co, Tokyo, Japan) connected to a xenon light source. Autofluorescence endoscopy was performed using the Onco-Life system (Xillix Technologies Corporation, Richmond, BC, Canada). The Onco-Life system consists of a metal-halide light source, processor and dual CCD camera that is attached to the eyepiece of a standard fiberoptic endoscope (CF40, Olympus Optical Co, Tokyo, Japan). The Onco-Life system operates in two modes providing both autofluorescence and conventional white light imaging. Modes can be switched instantly by pressing a lever on the camera head.

In fluorescence mode, blue light (400-450 nm) triggers autofluorescence from the tissue within the endoscopic field of view. The emitted green fluorescence passes through a dichroic mirror and a 490-560 nm bandpass filter to an intensified CCD, whereas the red reflected light is projected on a second CCD. The processor combines the two images into a single dual colour digital image that can be displayed on a standard RGB monitor. The resolution and contrast of these images, although less than those of white light images, are sufficient to allow visualization of the usual features evident by standard endoscopy.

In the white light mode, the Onco-Life system light source provides a standard broad-spectrum light (400-700 nm) for illumination of the endoscopic field. The reflected light is captured by a colour CCD camera. The white light images are processed and displayed in the conventional manner.



## Endoscopic procedure

All patients were prepared for colonoscopy by ingesting 4 litres of a standard oral polyethylene glycol-electrolyte lavage solution (Coloforte, Ipsen Farmaceutica BV, the Netherlands). All patients received 40 mg butylscopolamine intravenously to improve visualization of the colon. Colonoscopy was performed under conscious sedation with midazolam in combination with fentanyl citrate, and cardiopulmonary monitoring was used.

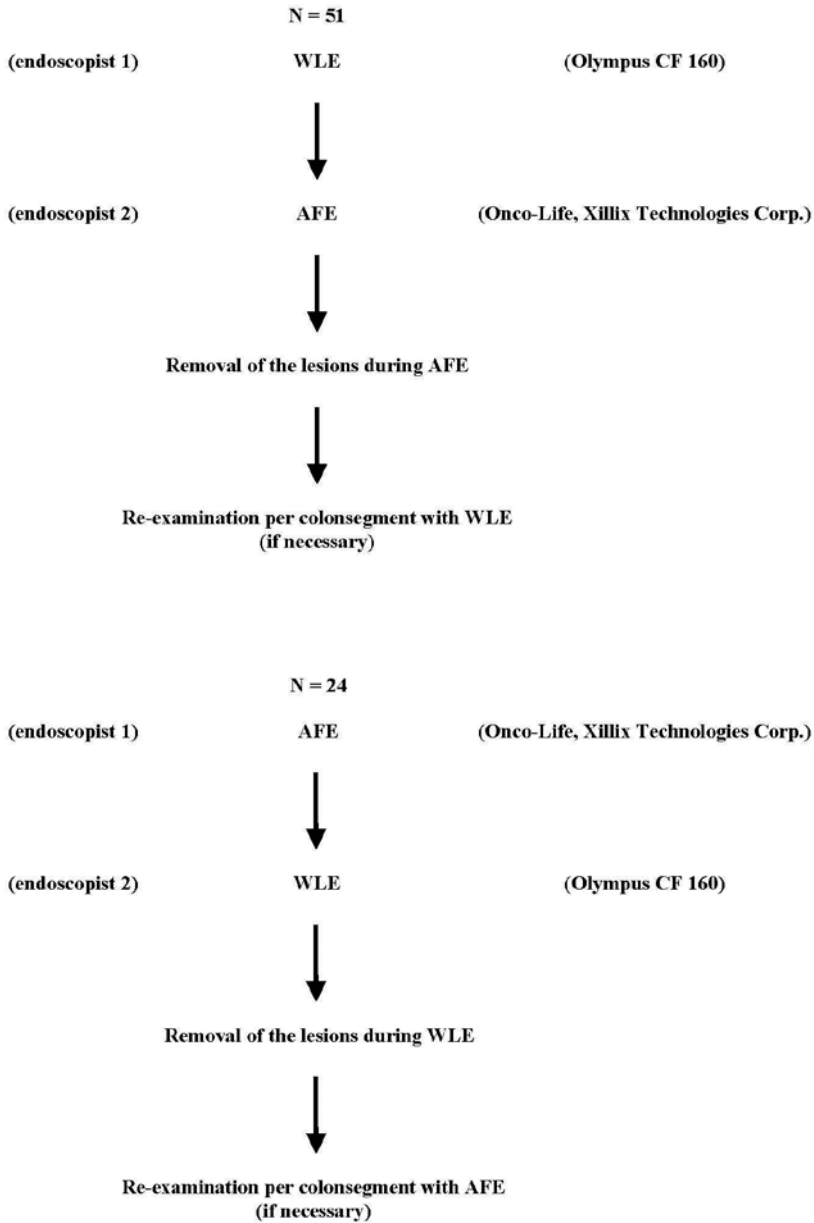
All patients underwent two colonoscopic examinations in one session (Figure 1). An independent observer (DR or PP) recorded every macroscopically visible lesion suspicious of adenoma during withdrawal. Every procedure was videotaped and every lesions was immediately stored as a JPEG still image. Each lesion was graded by the endoscopist with respect to size (in millimetres, by comparison to the known diameter of an open biopsy forceps), morphology (flat or depressed, sessile, polypoid), and location. The latter was done both by measurement of the distance in centimetres from the anal verge with a fully stretched endoscope, and by identification of the located segment of the colon (i.e. caecum/ ascending colon/ transverse colon/ descending colon/ sigmoid/ rectum). The first colonoscopy was performed with either a standard high definition video colonoscope (WLE) or with autofluorescence endoscopy (AFE) by an experienced endoscopist (Figure 1). All lesions were left in situ. Immediately after the first endoscopic procedure, the first endoscopist left the endoscopy suite and a second experienced endoscopist, who was unaware of the results of the first endoscopic procedure, performed the second endoscopic procedure with the alternative endoscopic method. All endoscopists involved had similar adenoma detection rates over the past years as identified from our endoscopy database, and endoscopists alternated with respect to the type of endoscopy performed in this study (WLE or AFE). All identified lesions were removed during the second endoscopic procedure and sent in for histology. After finishing the second procedure, the independent observer unblinded the results of both WLE and AFE.

Lesions found during WLE and AFE were matched based on the location in the colon and the comparison of the photographs taken during both procedures of any lesions. AFE was switched back to WLE only for the subsequent polypectomy. In case of a switch back for stools, there was immediately a switch back to AFE to examine the remaining colonic mucosa to ensure that the colonic mucosa was not assessed by WLE.

In case lesions had been detected during the first endoscopic procedure but missed by the second procedure, the colon was re-examined on a third pass with either WLE or AFE to remove lesions left in situ (Figure 1).

## Data collection

Demographic data, medical history, family history, known mismatch repair gene mutations, drug use, degree of cleansing of the colon (1 excellent, 2 good, 3 moderate, 4 poor), endoscopic



**Figure 1.** Flow chart study design

withdrawal time of each colonoscopy and all adverse events were recorded in the case record form (CRF). The withdrawal time was determined from a central review of videos by the first author (DR) and included the time for withdrawing the endoscope from caecum to removal from the rectum, without the additional time needed to evaluate suspicious lesions and/or to perform polypectomy.

All removed lesions were stained with haematoxylin and eosin and assessed by an experienced GI pathologist, who was blinded for the macroscopic aspect of the lesion. Lesions were categorized by the pathologist as hyperplasia, adenoma (tubular, tubulovillous, villous or serrated) or carcinoma. Neoplasia was defined as the presence of adenoma or carcinoma in the specimen.

Adverse events were defined as any event during the study which impaired the well being of the patient, including changes in the physical, psychological or biochemical condition of the patient, whether or not considered procedure related. The adverse events were categorized into events caused by 1) the autofluorescence endoscopy, 2) the white light endoscopy, or 3) the polypectomy. Serious adverse events included 1) events that were fatal or life threatening, 2) events that were permanently disabling, 3) events that required hospitalization and 4) complications due to the endoscopic procedure including bleeding and perforation. Date of onset, duration, intensity, action taken and outcome of the event were recorded on the CRF.

### **Outcome parameters**

The primary outcome parameter was the difference in sensitivity between WLE and AFE for the detection of adenomas during colonoscopy in patients with LS or familial CRC.

The secondary outcome parameters were: 1) the histological difference of the lesions detected only by WLE or only by AFE; 2) withdrawal time of the procedure for WLE and AFE, and 3) the size of the lesions detected with WLE and AFE.

### **Sample size calculation**

Based on data on file of patients with LS or familial CRC who had undergone surveillance by WLE in 2004 in our department, at least one lesion was expected in 60% of patients. Autofluorescence was considered to be of benefit when 20% more adenomas would be detected in comparison with WLE<sup>13</sup>. Based on an  $\alpha$  of 0.05 and a power of 0.80 the required sample size was 100 patients (Mc Nemar's test of equality of paired proportions, nQuery Advisor).

The study protocol required an interim analysis after 50 enrolments. If a highly significant difference in the primary measure outcome was found ( $p < 0.01$ ; to limit the risk of a false positive finding), the study would be terminated. Based on the interim analysis, the study was terminated after 51 patients. However, in the original study protocol AFE was routinely performed after WLE. In order to control for unintended biases we extended the study by performing back-to-back colonoscopy in the reverse order, i.e. AFE followed by WLE. Based on the results of the interim analysis (adenoma detected in 39% of patients with WLE compared to 59% with AFE and thus a 50% increase in adenoma-positive patients during AFE in comparison with WLE) and using an  $\alpha$  of 0.05 and a power of 0.80, the required sample size for the study extension study was 22 patients (Mc Nemar's test of equality of paired proportions, nQuery Advisor).

## Statistical analysis

Data were analyzed with the Statistical Package for the Social Sciences (SPSS), version 12.0. Data are given as mean and standard deviation. Results of WLE and AFE were compared with each other and with histology results. These analyses ignored correlations between findings within patients.

The sensitivity of WLE and AFE for adenomas was calculated using histology as the standard. The McNemar's test was used to calculate differences between WLE and AFE regarding the number of adenoma positive patients, the sensitivity for adenomas and the detection rate of non-adenomatous lesions. The Mann-Whitney U test was used to calculate differences in the size of lesions detected by WLE and AFE only. The Fisher exact test was used to calculate differences in the endoscopic prediction and morphology of detected adenomas. The paired t-test was used to compare the endoscopic withdrawal time of AFE and WLE. Multivariable proportional odds logistic regression analysis was performed to identify possible correlations between the withdrawal time and the number of adenomas detected with each technique, and for the difference in number of adenomas detected against the difference in the withdrawal times. A two-sided p value of less than 0.05 was considered statistically significant.

## RESULTS

In total 76 patients gave informed consent for inclusion in the study. One of them could not be included because back-to-back colonoscopy could not be performed due to very poor bowel preparation. The remaining 75 were included in the trial. Fifty-one patients were evaluated by WLE followed by AFE and 24 patients were evaluated by AFE followed by WLE (Figure 1). Forty-one (55%) patients fulfilled the criteria of familial CRC, thirty-four (45%) patients were classified as LS patients. Twenty-nine of the latter 34 (85%) patients were proven mutation carriers, whereas the other five fulfilled the Amsterdam II criteria, but no gene mutation had so far been identified in them. Thirty-four (45%) of the 75 patients were male and the mean age of the study population was 48 ( $\pm$  12) years. Bowel preparation was excellent in 31 (41%) patients, good in 27 (36%) patients and moderate in 17 (23%) patients. The caecum was intubated in all WLE and AFE procedures. No complications occurred during or after WLE and AFE procedures.

A third inspection was required in twenty-six (35%) patients for the removal of 44 lesions. In 22 of them, this third inspection was necessary for removal of 36 lesions that had been identified during WLE, but were missed during subsequent AFE (Table 1). Histology showed that 3 (8%) of these 36 lesions were adenomas, the remaining 33 (92%) were hyperplastic lesions. In the remaining 4 patients requiring a third inspection, this was done to remove eight lesions that had been identified during AFE, but were missed during subsequent WLE. Histology showed that all eight (100%) lesions were adenomas.

**Table 1.** Detected lesions by WLE and AFE

<b>Endoscopic modality</b>	<b>WLE + AFE</b>	<b>WLE only</b>	<b>AFE only</b>
Type of lesion			
<b>Adenoma (n = 95)</b>			
<i>Size</i>			
≤ 5 mm	47	8	29
6-9 mm	7	0	1
≥ 10 mm	3	0	0
<i>Morphology</i>			
flat	4	1	6
sessile	45	5	21
pedunculated	8	2	3
<i>Histology</i>			
Tubular adenoma	54	8	29
Tubulovillous adenoma	2	0	0
Serrated adenoma	1	0	1
<b>Colorectal carcinoma (n=3)</b>	3	0	0
<b>Non adenomatous lesions (n=75)</b>			
Hyperplastic polyps	19	42	14

In this study, a total of 173 lesions were detected; 3 colorectal cancers, 95 adenomas and 75 hyperplastic lesions. AFE detected a total of 123 lesions, while WLE detected 129 lesions. (Table 1).

The mean withdrawal time of AFE was significantly longer than that of WLE ( $11.3 \pm 3.9$  vs.  $9.8 \pm 3.0$  minutes,  $p < 0.001$ , paired t-test). Multivariable proportional odds logistic regression analysis however revealed no significant relation between withdrawal time and number of adenomatous lesions detected with both techniques ( $p = 0.29$ ).

### Adenoma-positive patients

Of the 75 patients included, 41 (55%) were found to have one or more adenomas. WLE detected one or more adenomas in 28 patients, while AFE detected one or more adenomas in 37 patients. This 32% increase (95% CI  $-0.01 - 0.23$ ) in the number of adenoma-positive patients identified by AFE was significant ( $p = 0.03$ ). Twenty-four (59%) of the forty-one patients were found to be adenoma-positive by both WLE and AFE. Furthermore, WLE detected adenomas in four patients in whom no neoplastic lesions were detected by AFE. In contrast, AFE detected adenomas in 13 other patients in whom WLE detected no abnormalities. Of these 13 patients, seven were classified as familial CRC and six as LS.

## Neoplasia detection rate

In total 98 neoplastic lesions were detected in our study population; 91 tubular adenomas with low-grade dysplasia, 2 tubulovillous adenomas with low-grade dysplasia, 2 serrated adenomas, and 3 adenocarcinomas. Fifty-seven of the 95 (60%) adenomas were detected by both WLE and AFE, including two tubulovillous adenomas with low-grade dysplasia and one serrated adenoma. Thirty-eight of the 95 (40%) adenomas were only seen with one of the two light techniques. WLE enabled detection of 8 adenomas that were missed by AFE, resulting in an overall adenoma detection rate by WLE of 68% (65/95) (Table 2). Of the latter eight adenomas, all had a size ranging from 3-5 mm. Seven of the eight adenomas had a sessile morphology, while one had a pedunculated morphology. All the eight adenomas were located in the proximal colon, two in the caecum, four in the ascending colon and two in the transverse colon. AFE, on the other hand, detected 30 additional adenomas that were not seen with WLE, resulting in an adenoma detection rate of 92% (87/95). AFE thus enabled the detection of 34% (95% CI -0.34 - -0.11) more adenomas than WLE.

**Table 2a.** Detection of adenomas with WLE and AFE

		WLE	
		Detected	Undetected
AFE	Detected	57	30
	Undetected	8	0

**Table 2 b.** Detection of hyperplastic polyps with WLE and AFE

		WLE	
		Detected	Undetected
AFE	Detected	19	14
	Undetected	42	0

Each lesion was immediately graded by the endoscopist as either non-adenomatous or suspicious for adenoma. Forty-nine (75%) of the 65 detected adenomas by WLE were correctly classified by the endoscopist, while 80 (92%) of the 87 adenomas detected by AFE were correctly classified ( $p=0.003$ , Fisher exact test).

The sensitivity of AFE for the detection of adenomas was significantly higher (92% vs. 68%,  $p=0.001$ , McNemar's test) than that of WLE. This difference in detection rate between both methods was observed for both the endoscopists. The majority (16/30) of the adenomas detected by AFE only were located proximal to the splenic flexure. The mean size of the adenomas detected by AFE only was significantly smaller than the size of those detected by both WLE and AFE ( $3.0 \pm 1.1$  mm versus  $4.9 \pm 2.1$  mm;  $p<0.001$ , Mann Whitney U test). Eleven (10%) adenomas were flat, 10 of them were detected by AFE compared to 5 by WLE ( $p=0.063$ , Fisher exact test).

## Non-adenomatous lesions

A total of 75 hyperplastic lesions were identified. WLE detected more hyperplastic lesions than AFE (81% vs. 44%,  $p = 0.001$ , McNemar's test).

## Lynch syndrome versus Familial Colorectal Cancer

Of the 41 patients with one or more adenoma, 14 (34%) were classified as LS patients while the remaining 27 (66%) were classified as familial CRC patients (Table 3).

**Table 3.** Adenoma detection rate and size per patient group

Patient group	Lynch Syndrome	Familial Colorectal cancer
<b>Adenoma positive patients (n)</b>	14	27
<b>Adenoma (n)</b>		
WLE + AFE	17	40
WLE only	4	4
AFE only	9	21
<b>Mean size (<math>\pm</math> SD)</b>		
WLE + AFE	6.1 ( $\pm$ 2.3) mm	4.8 ( $\pm$ 1.7) mm
AFE only	3.0 ( $\pm$ 1.3) mm	3.1 ( $\pm$ 1.1) mm

## DISCUSSION

This study has compared the yield of autofluorescence endoscopy with high definition white light video endoscopy for the detection of adenomatous lesions in a high-risk population. Both techniques yielded an equal number of lesions. However, AFE identified more adenomas including flat and serrated adenomas, whereas WLE identified more hyperplastic lesions. Using AFE, the overall adenoma detection rate increased significantly by 34%, corresponding with a higher sensitivity of AFE compared to WLE (92% vs. 68%,  $p = 0.001$ ). Also, AFE identified a significant 32% more patients with adenomas. This yield of AFE compared to WLE is slightly higher than in a previous Canadian study, which reported an increased adenoma detection rate of 18% with AFE.<sup>13</sup> The Canadian study population consisted of patients with an indication for surveillance after previous adenoma or colon cancer resection, while our study population consisted of high-risk LS and familial cancer patients. Furthermore, the Canadian study had a somewhat different design, as the white light mode and autofluorescent modality were compared during the same procedure by the same endoscopist. This by definition meant that the endoscopist while doing AFE was aware of the white light mode results. This contrasted with the currently presented study in which video WLE and AFE were performed by independent endoscopists unaware

of the results of the other procedure. These differences may explain the level of difference in adenoma detection rate. More importantly, both studies consistently support the hypothesis that AFE significantly increases the detection of colorectal adenomas.

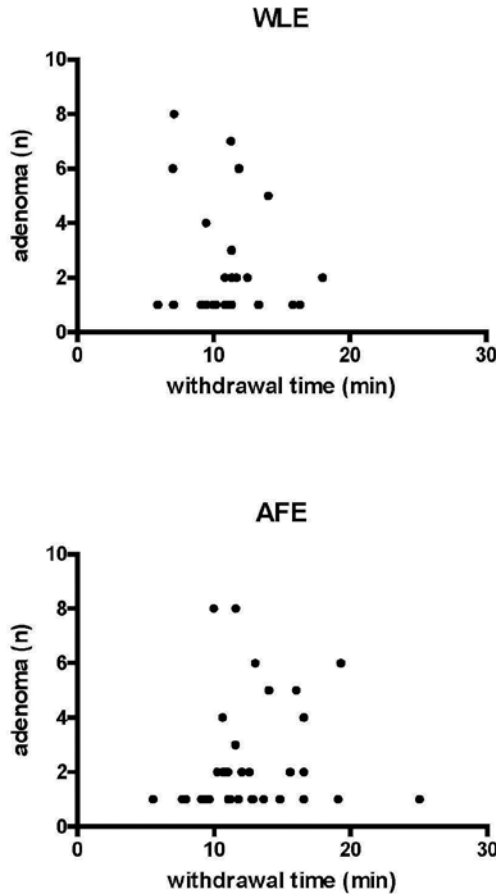
The higher detection rate of AFE could also be influenced by the experience of the endoscopist. However, both endoscopists in our study were highly experienced with a similar level of endoscopic competence and with similar adenoma detection rates in the past during surveillance colonoscopies.

A strength of our study was that AFE and WLE were performed cross over. In the original study design AFE was routinely performed after WLE ( $n = 51$ ). However, as this could have introduced bias, the study was extended with 24 additional patients. These patients underwent back-to-back colonoscopy in a reverse order, i.e. AFE followed by WLE. The current study design enabled a true comparison of the diagnostic yield of both WLE and AFE. All endoscopies were done by two independent endoscopists who were unaware of the results of the prior endoscopy instead of a design with one endoscopist and segmental unblinding.

A potential shortcoming of our study is the difference in withdrawal time between WLE and AFE. Previous studies have reported that a longer withdrawal time is associated with improved adenoma detection.<sup>14-16</sup> In our study the mean endoscopic withdrawal time for both WLE and AFE was significantly longer than the recommended minimum of six minutes.<sup>17, 18</sup> There was no time restraint on either procedure, and the endoscopists were aware that the patients participating in the study were high-risk cases. Withdrawal with AFE averaged two minutes more than with WLE (11.3 vs. 9.8 minutes). This can in part be explained by the sensitivity of AFE to stool particles demanding additional cleansing. Stool particles can have the same red appearance as adenomatous tissue. For this reason, any 'red flag' that is spotted needs to be checked with white light also, requiring switching between both light modalities of the endoscope. In the case of a switch back for stool we immediately switched back to AFE, ensuring that the colonic mucosa was not assessed by WLE. In case of an adenoma, the switch back to WLE was extended for the duration of the polypectomy, after which AFE was continued for the remainder of the colon. One might consider the switching back as a major limitation of AFE, but this should be balanced against the additional number of detected adenomas with AFE. Most importantly, the difference in adenoma detection rates was not explained by the difference in withdrawal time between the two techniques ( $p = 0.29$ ) (Figure 2).

In our study, the adenomas detected by AFE only were significantly smaller than those detected by WLE, and mostly had a tubular histology with low-grade dysplasia. Adenomas in LS patients seem to progress more rapidly (2 to 3 years) to invasive colorectal cancer compared to those in the general population (8 to 10 years), so also smaller lesions are considered of particular importance in our patient category.<sup>19</sup> Removal of these low grade adenomas is mandatory, as rapid progression of small adenomas to invasive cancer is one of the likely explanations of the interval cancers that occur in LS despite surveillance colonoscopy.<sup>20, 21</sup>





**Figure 2.** Correlations between the endoscopic withdrawal time and detection rate of colorectal adenomas for WLE and AFE. The dots represent the number of detected adenomas (y axis) and the corresponding endoscopic withdrawal time (x axis) for WLE and AFE.

The number of hyperplastic lesions detected by AFE was significant lower than the number detected by WLE. Hyperplastic lesions do not exhibit neoplastic changes that might influence the tissue autofluorescence. During AFE, hyperplastic lesions do not appear as ‘flagged’ lesions but in fact only appear as irregularity of the mucosal surface, sometimes with minimal red or white coloration that can be distinguished from a background with a normal autofluorescence. One could consider the lower detection rate of hyperplastic lesions as an additional benefit of AFE, as hyperplastic lesions generally do not carry a malignant potential and their removal is associated with costs and a small but relevant complication risk. On the other hand, it has been acknowledged that colorectal carcinoma also can develop via the “serrated” pathway from hyperplastic polyps to sessile serrated polyps to carcinoma.<sup>22</sup> In this respect, it is important to note that AFE enabled identification of all the serrated adenomas.

Our study demonstrated that AFE is a suitable and reliable endoscopic technique for the detection of colorectal adenomas in Lynch syndrome as well as familial CRC patients. Other endoscopic techniques to improve the detection of adenomas include pancolonoscopic chromoendoscopy and narrow band imaging. Pancolonoscopic chromoendoscopy has been shown to improve the detection of small adenomas with low-grade dysplasia. This technique has been evaluated in a number of studies, including studies with Lynch syndrome patients.<sup>23,24</sup> However, pancolonoscopic chromoendoscopy is time consuming because of the required dye spraying and therefore less practical in routine practice. Narrow band imaging (NBI) uses blue light which penetrates the mucosa less deeply than white light and the reflected light produces an image with greater mucosal detail. With NBI, the adenoma surface has a darker brown color than the adjacent normal mucosa and thus may highlight adenomas for detection. The value of NBI in high-risk patients is not yet clear. A recent study reported that the use of NBI did not increase the adenoma detection rate in comparison with WLE<sup>25</sup>, while another study reported a significant increase in the number of adenoma positive patients.<sup>26</sup> More studies evaluating NBI are needed to evaluate the yield of NBI in high-risk patients.

In conclusion, the use of autofluorescence endoscopy improves the detection of colorectal adenomas in patients with LS or familial CRC in comparison with video colonoscopy. Although more time consuming, the additional value of AFE is mainly in the detection of small lesions, which is for this specific population of clinical relevance. Therefore, AFE may be considered for colonoscopic surveillance of these high-risk patients.

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# Chapter 8

## General Discussion





## GENERAL DISCUSSION

Identification of Lynch syndrome remains a clinical challenge requiring a multi disciplinary approach. The absence of specific diagnostic features, such as the abundant number of polyps as seen in Familial Adenomatous Polyposis, and the geno- and phenotypic heterogeneity makes identification of Lynch syndrome patients difficult. Good characterization of the risks associated with the different causative germline mutations forms the basis for the identification of Lynch syndrome families.<sup>1</sup> Clinical criteria such as the Amsterdam Criteria II<sup>2</sup> and the revised Bethesda guidelines<sup>3</sup> have been developed to identify families at risk for Lynch syndrome, as well as mutation prediction models. However, the optimal identification of MMR-gene mutation carriers is still a subject of debate. Identification of Lynch syndrome risk carriers is of utmost importance because these subjects are at risk for developing colorectal cancer. Adequate colonoscopic surveillance increases the survival of these subjects. Therefore, evaluation of the uptake for genetic testing once a germline mutation is detected in a family is important, as well as optimizing surveillance tools. In this thesis all these aspects of Lynch syndrome are studied.

### Cancer risk in Lynch syndrome

The most common cancer seen in Lynch syndrome is colorectal cancer (CRC), followed by endometrial cancer. However, other cancers such as stomach, small bowel, ovary, upper uro-epithelial tract, biliary tract, skin and brain also occur at higher rates compared to the general population.<sup>4-13</sup> Compared to the general population, proven mutation carriers have a significantly higher risk for developing CRC.<sup>14</sup> The extend of this increased risk for CRC depends on the affected mismatch repair gene and gender. At age 70 years, the cumulative risk is 78% for male *MLH1* mutation carriers, while the cumulative risks for male *MSH2* and male *MSH6* mutation carriers are 57% and 54% respectively (Chapter 3). The cumulative risks for CRC in females are lower compared to males, 57% for *MLH1*, 52% for *MSH2* and 30% for *MSH6* mutation carriers (Chapter 3). The risk for endometrial carcinoma is 61% for female *MSH6* mutation carriers (61%), while in female *MLH1* and *MSH2* mutation carriers the risk is 25% and 49%, respectively.

### Diagnostic tools for Lynch syndrome

The different phenotype of *MSH6* mutations families is important for the identification of Lynch syndrome families. The majority of previously reported data includes Lynch syndrome patients with mutations in the *MLH1* and *MSH2* gene, accounting for approximately 90% of all Lynch syndrome cases.<sup>15-18</sup> However, our detection rate of *MSH6* mutations equals that of *MLH1* and *MSH2*.<sup>19</sup> The main reason that *MSH6* mutation families are underreported is the different risk profile. *MSH6* mutation families are less likely to fulfill current clinical criteria, the Amsterdam

Criteria II and the revised Bethesda guidelines, thereby decreasing the identification of Lynch syndrome. To optimize identification of *MSH6* mutation families, families with a clustering of late onset endometrial carcinoma should also be included for MSI and/or IHC analysis.

Another tool to identify Lynch syndrome families are mutation prediction models. In recent years several mutation prediction models have been developed. Some of these models use multivariate logistic regression to predict the likelihood based on personal and family history of Lynch syndrome associated tumors.<sup>20-24</sup> Parametric models, on the other hand, use more input data, such as information of unaffected relatives of the counselee or the results of tumor MSI analysis. In contrast with regression models, parametric models are able to predict the likelihood of a *MLH1*, *MSH2* or *MSH6* mutation separately, while regression models predict the likelihood of carrying any mismatch repair gene mutation.

Few studies so far have evaluated prediction models, of which the PREMM<sub>1,2</sub> model have been validated more extensively with population based data from Spain.<sup>25, 26</sup> Although the sensitivity of the PREMM<sub>1,2</sub> model is high (98%), a major disadvantage is the fact that it does not take into account *MSH6* mutations. Another prediction model, the Edinburgh model, has the advantage of predicting the probability of *MLH1*, *MSH2* and *MSH6* mutations. In our population of Lynch syndrome families, both the Premm<sub>1,2</sub> and Edinburgh model had the same sensitivity for predicting mutations (98%), but their specificity was low, 22% and 9% respectively (chapter 5). Adding MSI and IHC results yielded an increase in the specificity for detecting a mutation to 82% for the Premm<sub>1,2</sub> model and 90% for the Edinburgh model. The Edinburgh model, is more suitable for use in clinical practice because of its capability to predict mutations in three different MMR genes. Prediction models seem to be promising but their clinical value still has to be determined. User-friendly interfaces are needed to make the input of data more easy and orderly. Accessibility through the World Wide Web will increase the use of these models.

In our study, we evaluated families already referred to a clinical genetics department. In this setting both extending revised Bethesda guidelines and prediction models are useful tools to identify *MLH1*, *MSH2* as well as *MSH6* mutation families. However, the use of these tools in routine clinical practice of other specialists is suboptimal<sup>27</sup> and the referral of patients to a department of Clinical Genetics is not optimal, resulting in the underdiagnosis of Lynch syndrome. Also the contribution of *PMS2* germline mutations to Lynch syndrome becomes increasingly clear.<sup>28</sup> Because of the reduced penetrance of *PMS2* mutations, compared to the other Lynch syndrome genes<sup>29</sup> both extended revised Bethesda guidelines and prediction models such as the PREMM<sub>1,2</sub> and Edinburgh model are likely to miss *PMS2* families. Efforts are done to implement MSI-testing and/or immunohistochemistry for the MMR-genes in routine clinical practice of the pathologists (MIPA). However further studies are needed to come to the most reliable and cost-effective way to identify LS families.



## The use of genetic testing in LS families

After identification of a mutation in a family, all relatives can be offered direct mutation analysis of the affected mismatch repair gene. Healthy individuals, who carry the familial mutation are likely to benefit most from the offered colonoscopic surveillance. The current uptake of genetic testing by relatives at 50% risk of carrying the mutation is unexpectedly low, 52% of subjects with a 50% risk opted for genetic testing within three years after identification of a mutation in the family (chapter 6). There are several reasons for the low uptake like possible financial consequences such as higher costs for health insurances or limitation for a mortgage.<sup>30</sup> However, another important reason for the low uptake is probably a lack of adequate information about the possibility of testing. This may be due to the current procedure in the Netherlands. In The Netherlands, the initial counselee should inform all relatives. Therefore, studies on the efficiency of the current procedure and other possible (more active) procedures are needed.

## Colorectal cancer surveillance

The main goal for optimizing Lynch syndrome identification is to offer CRC surveillance. The surveillance program for Lynch syndrome includes colorectal surveillance by biennial colonoscopy starting from the age of 20-25 years. Interval CRC are seen with a triennial surveillance colonoscopy, but the risk for developing an invasive CRC within a 2-year period is small.<sup>31, 32</sup> There is evidence that colonoscopy surveillance is effective in reducing the incidence and mortality of colorectal cancer.<sup>33-36</sup> Currently, colonoscopy surveillance is performed with white light endoscopy, however this technique has a miss rate of 2% for large adenomas (> 10 mm) and 26% for small adenomas (< 5mm).<sup>37</sup> In Lynch syndrome, especially small and flat adenomas are prone to malignant transformation compared to the general population.<sup>38, 39</sup> Detection of these small and flat adenomas is important and new endoscopic modalities are needed. Chromo endoscopy is an endoscopic modality in which dye is used to improve the visualization of the colonic mucosa. Although this technique detects more adenomas<sup>40</sup> it is more time consuming due to the dye spraying. Another technique is narrow band imaging. This technique highlights superficial capillaries in the mucosa. Neoplasia in the mucosa has an increased vascular density and thus can be easily detected by narrow band imaging (NBI). This technique also has a higher adenoma detection rate than conventional colonoscopy and may be suitable for surveillance of Lynch syndrome.<sup>41</sup> However, a study evaluating NBI in 471 asymptomatic patients showed no significant difference between conventional colonoscopy and NBI if the procedure was performed by a experienced gastroenterologist.<sup>42</sup> Therefore, the additional value of NBI in the surveillance of Lynch syndrome is still disputable. Another promising technique is autofluorescence imaging. This technique uses the endogenous autofluorescence of tissue to detect abnormalities. Colorectal neoplasia generates another autofluorescence image compared to normal mucosal tissue. This distinct pattern can be used to discriminate between adenomas and normal tissue. In a series

of 75 patients with Lynch syndrome or familial cancer, the use of autofluorescence endoscopy resulted in 34% increase of adenoma detection rate (chapter 7). The majority of the additional adenomas detected were smaller than 5 millimeters, emphasizing the potential diagnostic value of this technique. One could discuss the value of finding such small adenomas, since these adenomas may also be seen, in somewhat larger size, when surveillance is repeated after 2 years. However, detecting these small adenomas is important in this high-risk group, because CRC may develop out of these small adenomas, even within two years. Further evaluation of the autofluorescence technique, narrow band imaging or chromo endoscopy is needed. Long follow up studies are needed to evaluate the effect on adenoma and CRC incidence and mortality rate. Nevertheless, in Lynch syndrome these techniques seem to be superior to white light endoscopy.

## CONCLUSION

The studies in this thesis help to better characterize LS and evaluate the tools to identify LS families. Despite the available diagnostic tools the identification of Lynch syndrome remains difficult. Current clinical criteria and mutation prediction models are not sufficient enough to identify all Lynch syndrome families missing a large proportion of *MSH6* and *PMS2* families. Routinely molecular screening is a better tool for the identification of Lynch syndrome, but analyses of the feasibility, diagnostic yield and cost effectiveness of molecular screening in all newly diagnosed colorectal and endometrial cancer cases in the Dutch situation should be performed. The current procedure to inform individual at risk after the detection of a MMR germline mutation in a family should be evaluated and new strategies must be studied to optimize the use of genetic testing. With respect to surveillance of colorectal cancer in Lynch syndrome, new endoscopic modalities should be further evaluated and compared with conventional white light colonoscopy before implementation in routine clinical practice can take place. So, further research on diagnostic tools and endoscopic modalities is necessary to improve the detection and surveillance of Lynch syndrome.

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# Summary

Lynch syndrome (LS) is a hereditary cancer syndrome caused by mutations in the mismatch repair genes (MMR), *MLH1*, *MSH2*, *MSH6* and *PMS2*. It is characterized by a high risk of colorectal and endometrial cancer, but also other tumors occur. Lynch syndrome is responsible for 2-5% of all CRC cases. However, the diagnosis of Lynch syndrome is hampered by the absence of specific diagnostic features, such as the presence of many adenomatous polyps in the colon. In addition, the currently used clinical criteria are sub optimal and new diagnostic strategies are needed to identify Lynch syndrome families.

The general aims and outline of this thesis are described in **chapter 1** and in **chapter 2** a general overview of different aspects of Lynch syndrome is given.

In **chapter 3** we calculated the lifetime risk of colorectal cancer and endometrial cancer using a population of 67 Lynch syndrome families who were counselled at the Department of Clinical Genetics of the Erasmus MC University Medical Center in the period 1994-2007. The study population consisted out of 246 proven mutation carriers. This study confirms the high risk of colorectal and endometrial cancer in Lynch syndrome. The highest risk for colorectal cancer was found for *MLH1* mutation carriers (78% for males and 57% for females). In contrast, *MSH6* carriers had the highest risk for developing endometrial cancer (61%). Furthermore, we found that each mutated gene has a distinguishable cancer risk profile.

In Chapter 4 the contribution of *MSH6* gene mutations in families that were analysed for Lynch syndrome in a diagnostic setting was evaluated. A total of 108 families suspected of LS were tested for germline mutations of the MMR genes during the period 2000-2006. Previous reports showed that mutations in the *MLH1* and *MSH2* genes account for almost 90% of Lynch syndrome cases, while mutations in the *MSH6* gene account for approximately 10% of cases. In our study cohort we found a mutation in 23 families (7 *MLH1*, 4 *MSH2* and 12 *MSH6*). However, 53% of the proven mutation families were detected with a *MSH6* mutation. Furthermore, we found that the Amsterdam Criteria II failed to identify the majority of the *MSH6* families while the revised Bethesda guidelines did identify the majority of *MSH6* families. Nevertheless, we also detected *MSH6* mutations in Bethesda negative families presenting with clustering of late onset endometrial carcinoma. The results of this study suggest that the previously reported incidence of 10% *MSH6* mutations is an underestimation. Awareness of the high prevalence of endometrial carcinoma combined with the later onset of both CRC and endometrial carcinoma in *MSH6* mutation families could result in an improved detection of *MSH6* mutation families.

**Chapter 5** describes a study in which we evaluated the usefulness of five different prediction models for the detection of Lynch syndrome. Data of 321 families, including 66 mutation families, were used as input for the prediction models. We found that all prediction models discriminated well between high risk and low risk probands. Among the five models considered, the Premm<sub>1,2</sub> and Edinburgh model had the best performance in predicting mutation carrier-ship, because these two models had the highest discriminative ability and were well calibrated.

Combining prediction models with tumour MMR deficiency analysis resulted in a substantial increase of the specificity. Furthermore, the use of prediction models could lead to lower costs compared to a strategy of performing tumour MMR deficiency analysis in all CRC cases. However, before prediction models can be implemented in clinical practice further evaluation of these models across different settings is needed.

The detection of a familial MMR gene mutation enables individuals at risk to obtain certainty about whether they inherited the Lynch susceptibility or not. Lynch syndrome is inherited in an autosomal dominant fashion. Therefore children of MMR gene mutation carriers have 50% risk to carry the familial mutation. If tested negative, relatives can be dismissed from surveillance while mutation carriers can benefit from surveillance. In **chapter 6** we performed a pedigree based study on 45 proven Lynch syndrome families diagnosed in a clinical setting. Fifty-two percent of 50% risk carriers opted for genetic testing. Testing was used more frequently by women (women vs. men 59% vs. 44%,  $p < 0.001$ ) and by subjects with children (with children vs. without children 66% vs. 39%,  $p < 0.001$ ). Hence, in a clinical setting a considerable portion of the risk carriers refrained from testing. Reasons for not testing may include a lack of information, fear for cancer for themselves or their children, fear for screening procedures or fear for social discrimination. More data on the reasons of refraining of genetic testing are needed. Also, there is an important task for the professionals in the field to improve the implementation of genetic testing in clinical practice.

After identification of a mutation carrier colonoscopic surveillance can be offered to lower the risk for developing colorectal cancer. Currently, the surveillance is performed with white light colonoscopy, but with this technique 2-26% of adenomatous polyps are missed. Furthermore, flat and depressed adenomas are often invisible to white light endoscopy (WLE). Such lesions reportedly make up 36% of neoplasias in a standard population, and they are presumably more common in high-risk subjects. In **chapter 7** we compared the diagnostic yield of white light endoscopy with autofluorescence endoscopy (AFE) for the surveillance of high-risk subjects. In total 75 high risk subjects, including 34 Lynch syndrome patients, were evaluated with both WLE and AFE. At least one adenoma was detected in 41 (55%) patients. WLE identified adenomas in 28 patients and AFE in 37 patients; corresponding with a 32% increase. In total 95 adenomas were detected, 65 by WLE and 87 by AFE, resulting in a significantly higher sensitivity of AFE compared to WLE (92% vs. 68%;  $p = 0.001$ ). The additionally detected adenomas with AFE were significantly smaller than the adenomas detected by WLE (mean 3.0 mm vs. 4.9 mm,  $p < 0.01$ ). The results of this study suggest that AFE may be preferable for the colonoscopic surveillance of high-risk patients.

The main findings of this thesis and directions for future research are discussed in **chapter 8**.



# Samenvatting

Lynch syndroom is een erfelijk kanker syndroom dat wordt veroorzaakt door mutaties in de DNA herstel genen, *MLH1*, *MSH2*, *MSH6* en *PMS2*. Dit syndroom wordt gekenmerkt door een hoog risico op het ontwikkelen van colorectaal carcinoom en endometrium carcinoom, maar ook andere soorten kanker komen veelvuldig voor. Twee tot vijf procent van alle colorectaal carcinomen wordt veroorzaakt door het Lynch syndroom. De diagnostiek van Lynch syndroom wordt bemoeilijkt door het ontbreken van specifieke diagnostische kenmerken zoals het ontstaan van honderden poliepen in de darm. Tevens zijn de huidige klinische criteria niet optimaal voor de diagnostiek van Lynch syndroom. Er zijn nieuwe diagnostische strategieën nodig om Lynch syndroom families te kunnen identificeren.

De algemene doelen en achtergrond van dit proefschrift worden beschreven in **hoofdstuk 1** en in **hoofdstuk 2** wordt een overzicht gegeven van de verschillende aspecten van Lynch syndroom. **Hoofdstuk 3** beschrijft een studie waarin het risico van het ontwikkelen van colorectaal carcinoom en endometrium carcinoom gedurende het leven werd berekend. De studie-populatie bestond uit 67 Lynch syndroom families, totaal 246 bewezen mutatie dragers, die in de periode 1994-2007 werden gezien op de afdeling Klinische Genetica van het Erasmus MC te Rotterdam. De resultaten van deze studie bevestigen het hoge risico voor het ontwikkelen van colorectaal carcinoom en endometrium carcinoom in Lynch syndroom. *MLH1* mutatie dragers hadden het hoogste risico voor het ontwikkelen van colorectaal carcinoom (78% voor mannen en 57% voor vrouwen). Wat betreft het endometrium carcinoom hadden vrouwelijke *MSH6* mutatie dragers het hoogste risico (61%). Eerdere studies hebben uitgewezen dat in 90% van de Lynch syndroom gevallen er een defect is in het *MLH1* of *MSH2* gen, terwijl er in 10% van de gevallen er sprake is van een mutatie in het *MSH6* gen. In **hoofdstuk 4** worden de resultaten beschreven van een studie waarin het aandeel van *MSH6* mutaties wordt geëvalueerd. De studie populatie bestond uit 108 families die waren getest op een mutatie in de DNA herstel genen gedurende de periode 2000-2006. Van de 108 families bleken er 23 een mutatie te dragen (7 *MLH1*, 4 *MSH2* en 12 *MSH6*), hiervan had 53% een mutatie in het *MSH6* gen. Daarnaast bleek dat de Amsterdam criteria II de meerderheid van de *MSH6* had gemist. De gereviseerde Bethesda criteria identificeerde de meerderheid van deze families, maar miste toch een aantal families met clustering van 'late onset' endometrium carcinoom. De resultaten van deze studie tonen aan dat de eerder gemelde incidentie van 10% *MSH6* mutaties een onderschatting is. De identificatie van *MSH6* mutatie families kan worden verbeterd door rekening te houden met het feit dat *MSH6* mutaties vaker kunnen voorkomen in families waarin sprake is van clustering van endometrium carcinoom en colorectaal carcinoom op latere leeftijd. In **hoofdstuk 5** wordt een studie beschreven waarin de bruikbaarheid van vijf verschillende voorspellende modellen voor de diagnostiek van Lynch syndroom wordt geëvalueerd. Voor deze studie werd de data van 321 families, inclusief 66 mutatie families, gebruikt als input voor de modellen. Al de vijf modellen waren in staat om een goede onderscheid te maken tussen hoog en laag risico individuen. De  $Premm_{1,2}$  en de

Edinburgh model waren het beste in staat om de aanwezigheid van een mutatie te voorspellen, mede door het hoge discriminatief vermogen en een goede calibratie. Door het combineren van de voorspellende modellen met de resultaten van moleculaire analyse werd de specificiteit van de modellen verhoogd. Daarnaast bleek dat het gebruik van voorspellende modellen kan leiden tot lagere kosten in vergelijking met het verrichten van moleculaire analyse in alle colorectaal carcinoemen. Echter is verdere evaluatie van de voorspellende modellen nodig alvorens implementatie in de klinische praktijk kan plaatsvinden. De identificatie van een mutatie kan leiden tot veel onrust binnen een familie. Kinderen van een bewezen mutatiedrager hebben een 50% kans op dragerschap. Als pre-symptomatische mutatie analyse negatief blijkt, dan hoeven individuen geen endoscopische surveillance te ondergaan. **Hoofdstuk 6** beschrijft de resultaten van een studie naar het gebruik van pre-symptomatische mutatie analyse. Ongeveer de helft van de 50% risico dragers bleek gebruik te maken pre-symptomatische mutatie analyse. Het gebruik van pre-symptomatische mutatie analyse was geassocieerd met het vrouwelijke geslacht (vrouwen vs. mannen 59% vs. 44%,  $p < 0.001$ ) en het hebben van kinderen (met kinderen vs. zonder kinderen 66% vs. 39%,  $p < 0.001$ ). Desalniettemin liet een groot deel van de risico dragers zich niet testen. De reden hiervoor zijn onder andere angst voor de test procedure, gebrek aan informatie of de angst voor sociale discriminatie. Verder onderzoek naar het weigeren van mutatie analyse en een verbetering van het gebruik van pre-symptomatische mutatie analyse is noodzakelijk. Na de identificatie van een mutatie drager kan colonoscopische surveillance worden aangeboden om het risico op colorectaal carcinoom te verlagen. De huidige methode hiervoor is wit licht colonoscopie maar deze techniek is niet optimaal. Zo hebben eerdere studies uitgewezen dat 2-26% van de adenomateuze poliepen worden gemist. Daarnaast is deze techniek niet goed in staat om vlakke adenomateuze poliepen op te sporen. Deze afwijkingen worden geacht vaker aanwezig te zijn in hoog risico patiënten. In **hoofdstuk 7** hebben we de diagnostische waarde van wit licht colonoscopie (WLE) vergeleken met autofluorescentie colonoscopie (AFE). In deze studie werden 75 hoog risico individuen onderzocht met beide technieken. Eenenvetig (55%) personen bleken een of meer adenomateuze poliepen te hebben. Wit licht colonoscopie identificeerde een poliep in 28 individuen en autofluorescentie colonoscopie detecteerde een poliep in 37 individuen, dit kwam overeen met een stijging van 32%. In totaal werden 95 adenomateuze poliepen ontdekt, 65 door WLE en 87 door AFE, wat correspondeert met een hogere sensitiviteit van AFE (92% vs. 68%,  $p = 0.001$ ). De additioneel gevonden adenomen bleken ook nog eens een significante kleinere omvang te hebben (gemiddelde 3.0 mm vs. 4.9 mm,  $p < 0.01$ ). De resultaten van deze studie suggereren dat AFE een betere techniek is voor de colonoscopische surveillance in deze hoog risico populatie. Tenslotte worden de belangrijkste bevindingen van dit proefschrift en aanwijzingen voor toekomstig onderzoek besproken in **hoofdstuk 8**.

# Dankwoord





## DANKWOORD

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# Curriculum Vitae







## CURRICULUM VITAE

Dewkoemar Ramsoekh werd geboren op 19 december 1977 te Paramaribo, Suriname. Hij emigreerde met zijn ouders in 1980 naar Nederland. Na het behalen van het diploma Voortgezet Wetenschappelijk Onderwijs aan het Oosterlicht College te Nieuwegein, begon hij in 1998 (na tweemaal uitgeloot te zijn) met de studie Geneeskunde aan de Universiteit van Amsterdam. Tijdens zijn studie verrichte hij wetenschappelijk onderzoek naar acute tractus digestivus bloedingen. Dit onderzoek werd verricht onder supervisie van dr. M.E. van Leerdam. Na het doorlopen van de co-schappen werd het arts examen in april 2005 behaald. In aansluiting hierop was hij werkzaam als arts onderzoeker op de afdeling Maag-, Darm- en Leverziekten van het Erasmus Medisch Centrum te Rotterdam, waar hij werkzaam was onder supervisie van dr. Monique van Leerdam, dr. Anja Wagner, professor dr. E. Steyerberg en professor dr. Ernst J. Kuipers. Vanaf 1 juni 2008 is hij in opleiding tot maag-, darm-, en leverarts (opleider dr. R.A. de Man). De vooropleiding interne geneeskunde wordt thans verricht in het Erasmus Medisch Centrum (opleider professor dr. J. van Saasse).



# Portfolio





## PORTFOLIO

### Publications

Ramsoekh D, van Leerdam ME, Rauws EA, Tytgat GN. Outcome of peptic ulcer bleeding, nonsteroidal anti-inflammatory drug use, and Helicobacter pylori infection. *Clin Gastroenterol Hepatol* 2005;3:859-64.

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Ramsoekh D, van Leerdam ME, Wagner A, Kuipers EJ, Steyerberg EW. Mutation prediction models in Lynch syndrome: evaluation in a clinical genetic setting. *J Med Genet* 2009.

### Conferences

- 2009** Evaluation of molecular changes in sporadic duodenal adenomas.  
*Digestive Disease Week, Chicago, USA. (Poster)*  
*Dutch Society of Gastroenterology, Veldhoven, the Netherlands. (Oral)*

- 2008** A high incidence of *MSH6* mutations in Amsterdam criteria II negative families tested in a clinical setting.  
*Digestive Disease Week, San Diego, USA. (Poster)*  
*Dutch Society of Gastroenterology, Veldhoven, the Netherlands. (Oral)*
- Evaluation of mutation prediction models in Lynch syndrome.  
*Digestive Disease Week, San Diego, USA. (Poster)*
- Low prevalence of microsatellite instability in sporadic duodenal adenomas. *Digestive Disease Week, San Diego, USA. (Poster)*
- 2007** The incidence of hereditary non-polyposis related cancer in clinically ascertained *MLH1*, *MSH2* and *MSH6* families.  
*Digestive Disease Week, Washington DC, USA. (Poster)*  
*Dutch Society of Gastroenterology, Veldhoven, the Netherlands. (Oral)*
- The use of genetic testing in (attenuated) familial adenomatous polyposis families.  
*Dutch Society of Gastroenterology, Veldhoven, the Netherlands. (Oral)*
- The use of genetic testing in families with hereditary colorectal cancer.  
*2<sup>nd</sup> Biennial Scientific Meeting of the International Society for Gastrointestinal Hereditary Tumours (INSIGHT), Yokohama, Japan. (Poster)*
- Towards improved detection of hereditary colorectal cancer.  
*22<sup>nd</sup> Highlights of Gastroenterology, Alexandria, Egypt. (Oral)*
- Autofluorescence endoscopy improves the detection of adenomas in patients with familial colorectal cancer: preliminary results of a back-to-back colonoscopy study.  
*Digestive Disease Week, Washington DC, USA. (Oral)*  
*Dutch Society of Gastroenterology, Veldhoven, the Netherlands. (Oral)*
- Sporadic duodenal adenoma is associated with colorectal neoplasia.  
*Digestive Disease Week, Washington DC, USA. (Poster)*  
*Dutch Society of Gastroenterology, Veldhoven, the Netherlands. (Oral)*
- 2006** The use of genetic testing in Hereditary Non-Polyposis Colorectal Cancer families.  
*Digestive Disease Week, Los Angeles, USA. (Poster)*  
*Dutch Society of Gastroenterology, Veldhoven, the Netherlands. (Oral)*

- 2004** Outcome of peptic ulcer bleeding, nonsteroidal anti-inflammatory drug use, and *Helicobacter pylori* infection.  
*Digestive Disease Week, New Orleans, USA. (Poster)*  
*Dutch Society of Gastroenterology, Veldhoven, the Netherlands. (Oral)*

### **Memberships**

- 2004** Member of the Dutch Society of Gastroenterology (NVGE)

### **Awards**

- 2004** Best student investigator award, Dutch Society of Gastroenterology (NVGE)