

Is the Clinical Risk Score for Patients with Colorectal Liver Metastases Still Useable in the Era of Effective Neoadjuvant Chemotherapy?

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ABSTRACT

Background. Several clinical risk scores (CRSs) for the outcome of patients with colorectal liver metastases have been validated, but not in patients undergoing neoadjuvant chemotherapy. Therefore, this study evaluates the predictive value of these CRSs in this specific group.

Methods. Between January 2000 and December 2008, all patients undergoing a metastasectomy were analyzed and divided into two groups: 193 patients did not receive neoadjuvant chemotherapy (group A), and 159 patients received neoadjuvant chemotherapy (group B). In group B, the CRSs were calculated before and after administration of neoadjuvant chemotherapy. Results were evaluated by using the CRSs proposed by Nordlinger et al., Fong et al., Nagashima et al., and Konopke et al.

Results. In groups A and B, the overall median survival was 43 and 47 months, respectively ($P = 0.648$). In group A, all CRSs used were of statistically significant predictive value. Before administration of neoadjuvant chemotherapy, only the Nordlinger score was of predictive value. After administration of neoadjuvant chemotherapy, all CRSs were of predictive value again, except for the Konopke score.

Conclusions. Traditional CRSs are not a reliable prognostic tool when used in patients before treatment with

neoadjuvant chemotherapy. However, CRSs assessed after the administration of neoadjuvant chemotherapy are useful to predict prognosis.

In patients with colorectal cancer, about 50–60% will develop metastatic disease. Synchronous metastases are present in 25% of colorectal cancer patients.^{1,2} Nowadays, neoadjuvant chemotherapy is increasingly used for patients with colorectal liver metastases (CRLM). New systemic regimes are highly effective, and response rates of 50–80% have been reported; they appear to convert 10–30% of the formerly irresectable CRLM to a resectable size.^{3–6} Several clinical risk scores (CRSs) for the outcome of patients with CRLM have been published.^{7–16} A CRS is a predictive tool for patients with CRLM who undergo resection.^{4,8–12,17–22} CRSs were initially used to predict the prognosis of patients with CRLM considered for surgery. In addition, CRSs are used to stratify patients into risk categories, to compare patient cohorts from different studies and institutions, and to select patients for different treatment protocols.

However, the predictive value of these CRSs has not been assessed in the specific group of patients receiving neoadjuvant chemotherapy before resection of CRLM. It is possible that the traditional CRSs, applied before administration of neoadjuvant chemotherapy, may no longer be capable of correctly predicting the outcome in patients receiving neoadjuvant chemotherapy.^{4,19,20}

Therefore, in the present study, four widely used CRSs are applied in a cohort of patients with CRLM who received neoadjuvant chemotherapy before resection, to

evaluate whether neoadjuvant chemotherapy influences the predictive value of CRSs.

PATIENTS AND METHODS

Between January 2000 and December 2008, all consecutive patients who underwent liver resection for CRLM were analyzed. Patient characteristics were collected retrospectively from a prospectively recorded database. Two groups were created: group A (patients without neoadjuvant chemotherapy; $n = 193$) and group B (patients with neoadjuvant chemotherapy; $n = 159$). In group B, the CRSs were calculated before (B1) and after (B2) administration of neoadjuvant chemotherapy.

The prospective database comprises data on age, gender, primary tumor site, pathological primary tumor and lymph node stage, time between detection of primary tumor and liver metastases, type of surgery, location, maximum number and size of liver metastases on computed tomographic scan and pathology, carcinoembryonic antigen (CEA) levels, radicality of surgical margin, and extrahepatic disease.

Ours is a referral hospital; perioperative chemotherapy is not administered as a standard treatment protocol for patients with CRLM. Most of our patients have already received neoadjuvant chemotherapy in the referring hospital. In our center, the indication for neoadjuvant chemotherapy is twofold: in case of initially difficult/unresectable liver metastases, or in case of multiple synchronous metastases numbering ≥ 4 . It is our policy not to resect in case of tumor progression during chemotherapy. Neoadjuvant chemotherapy protocols comprise oxaliplatin-based combination therapies with or without bevacizumab. None of the patients in the present study received adjuvant chemotherapy. The duration of the chemotherapy was at minimum 3 cycles. If there were resectable metastases, chemotherapy was given to a maximum of 6 cycles or was stopped after 3 cycles in case of disappearing metastases. In case of unresectable disease, chemotherapy was provided until resectable status was achieved.

CRSs

Four widely used CRSs were evaluated (Table 1).^{8,9,11,12} The Nordlinger score includes seven risk factors and defines three risk groups, but, as proposed by Nordlinger et al., we used only six risk factors. Fong's score includes five risk factors and defines two risk groups. Nagashima's score includes five risk factors and defines three risk groups. The Konopke score includes three risk factors and defines three risk groups. These four CRSs were applied on our data to evaluate each of the scores.

Outcome

Overall survival (OS) was defined as the interval (in months) between resection of CRLM and death, or the date of last follow-up. Disease-free survival (DFS) was defined as the interval (in months) between resection of CRLM and intra- and/or extrahepatic recurrence, death without recurrence, or date of last follow-up without recurrence.

Statistical Analysis

Descriptive statistics are expressed as median (range). Comparison between the categorical variables was made with the chi-square test. Pre- and postchemotherapy variables are expressed as mean \pm standard deviation (SD) and compared with the paired *t*-test. Survival analysis was performed by the Kaplan-Meier method. Comparison between survival curves was made with log rank tests. For missing values, multiple imputation was used.

SPSS software, version 17.0 (SPSS, Chicago, IL), was used for statistical analysis; a *P*-value of ≤ 0.05 is considered statistically significant.

RESULTS

Between January 2000 and December 2008, a total of 352 patients underwent liver resection for CRLM (Table 2). The median follow-up was 32 (range 0–121) months. Median age was 63 (range 30–86) years. The clinical characteristics are presented in Table 2. The median DFS was 11 (95% confidence interval [CI] 9–13) months, and the median OS was 46 (95% CI 39–53) months. Neoadjuvant chemotherapy was provided to 159 patients (45.2%). Chemotherapy was given in a median of 6 (1–15) courses. In total, 43 patients received more than 6 courses with a median of 9 (7–15) courses.

Pre- and Postchemotherapy

In patients receiving neoadjuvant chemotherapy, the variables size of metastases, CEA level, number of metastases, bilobar disease, and extrahepatic disease were analyzed before and after administration of neoadjuvant chemotherapy. Before neoadjuvant chemotherapy, the mean \pm SD size, CEA level, and number of metastases were 3.98 ± 2.62 cm, 171 ± 568 $\mu\text{g/l}$ and 3.19 ± 1.95 , respectively. Before neoadjuvant chemotherapy, 83 patients had bilobar disease and 22 had extrahepatic disease. After neoadjuvant chemotherapy, the mean \pm SD size, CEA level, and number of metastases were 2.83 ± 2.45 cm, 21 ± 48 $\mu\text{g/l}$ and 2.64 ± 1.96 , respectively. After chemotherapy, 81 patients had bilobar disease

TABLE 1 Clinical risk score

Scale	Clinical score criteria ^a	Criteria	Score
Nordlinger	<ol style="list-style-type: none"> 1. Age ≥ 60 years 2. Extension into the serosa of the primary cancer 3. Lymphatic spread of the primary cancer 4. Interval less than 2 years from primary tumor to metastases 5. Number of metastases ≥ 4 6. Largest size of liver metastasis ≥ 5 	<p>Exclusion criteria</p> <ol style="list-style-type: none"> 1. Incomplete liver tumor resection 2. Extrahepatic tumor involvement 	<ul style="list-style-type: none"> • 0–2 risk factors “low risk” • 3–4 risk factors “intermediate risk” • 5–6 risk factors “high risk”
Fong	<ol style="list-style-type: none"> 1. Number of liver metastases > 1 2. Preoperative CEA level > 200 ng/ml 3. Largest size of liver metastasis ≥ 5 4. Lymph node–positive primary tumor 5. Interval from primary tumor resection to diagnosis of the liver metastases < 12 months 	<p>Exclusion criteria</p> <ol style="list-style-type: none"> 1. Positive resection margin 2. Preoperative extrahepatic disease 	<ul style="list-style-type: none"> • 0–2 risk factors • 3–4–5 risk factors
Nagashima	<ol style="list-style-type: none"> 1. Serosal invasion of primary tumor 2. Positive lymph node of primary tumor 3. Number of hepatic metastases ≥ 2 4. Diameter of hepatic metastases ≥ 5 cm 5. Resectable extrahepatic metastases. 	<p>Inclusion criteria</p> <ol style="list-style-type: none"> 1. Expected radical excision (including extrahepatic metastases) 	<ul style="list-style-type: none"> • 0–1 grade 1 • 2–3 grade 2 • ≥ 4 grade 3
Konopke	<ol style="list-style-type: none"> 1. Number of liver metastases ≥ 4 2. CEA level (ng/ml) ≥ 200 3. Synchronous liver metastases 	<p>Exclusion criteria</p> <ol style="list-style-type: none"> 1. Recurrent liver metastases 2. Simultaneous extrahepatic tumor recurrence 3. Simultaneous local ablative therapy 4. Intraoperative dissemination of tumor cells 5. Macroscopically or microscopically incomplete resection 	<ul style="list-style-type: none"> • 0 low risk • 1 intermediate risk • ≥ 2 high risk

CEA carcinoembryonic antigen

^a Each criterion is assigned 1 point

and 22 patients still had extrahepatic disease. To determine the size of the metastases the postchemotherapy abdominal scans were assessed. To determine the number of metastases the postsurgery pathological report was examined. Only when complete response was reported did the number of metastases decrease. The difference between pre- and postneoadjuvant chemotherapy was significant for the size of metastases, CEA level, and the number of metastases ($P < 0.001$, $P = 0.001$, and $P < 0.001$, respectively). No significant difference was found between bilobar disease and extrahepatic disease before and after neoadjuvant chemotherapy ($P = 0.832$ and $P = 0.999$, respectively).

Nordlinger

The CRS of Nordlinger could be applied to 150 patients in group A and to 101 patients in group B (Table 1).

In group A the median DFS was 16 (95% CI 12–20) months, and the median OS was 48 (95% CI 33–63) months (Tables 3, 4). In group A, the Nordlinger score was

of statistically significant predictive value. There was a significant difference between the CRS subgroups for DFS and OS ($P = 0.028$ and $P = 0.006$, respectively). Because of the small numbers of patients, the CRS subgroup 3 (CRS 5–6) was pooled together with subgroup 2 (CRS 3–4). In group B the median DFS was 13 (95% CI 9–17) months and the median OS was 65 (95% CI 44–86) months. The Nordlinger score was of predictive value both before and after administration of neoadjuvant chemotherapy. In these CRS subgroups a significant difference was found in OS: $P = 0.007$ before neoadjuvant chemotherapy and $P = 0.010$ after neoadjuvant chemotherapy.

Fong

The CRS of Fong could be applied to 150 patients in group A and to 101 patients in group B (Table 1).

In group A the median DFS was 16.0 (95% CI 12–20) months, and the median OS was 48 (95% CI 33–63) months (Tables 3, 4). There was a significant difference

TABLE 2 Characteristics of the study patients

Characteristic	All patients (<i>n</i> = 352)		Patients without chemotherapy (group A) (<i>n</i> = 193)		Patients with chemotherapy (group B) (<i>n</i> = 159)	
	Value	% or range	Value	% or range	Value	% or range
Male	218	62	122	63	96	60
Median age (years)	63	30–86	64	30–86	62	36–84
Primary tumor						
Rectal cancer	167	47	90	47	77	48
T3	259	74	140	73	119	75
T4	30	9	15	8	15	9
Missing before imputation	6	2				
Positive lymph node	205	58	110	57	92	58
Missing before imputation	6	2				
Liver metastases						
Synchronous	172	49	55	29	117	74
Median diameter (cm)	3.5	0.5–18	3.5	0.9–15	3.4	0.5–18
Missing before imputation	5	1				
Median no. of metastases	2	1–10	1	1–8	3.0	1–10
Missing before imputation	2	1				
Bilobar	135	38	52	27	83	52
Extrahepatic	29	8	7	44	22	14
Incomplete resection	72	21	40	21	32	20
Missing before imputation	7	2				
Overall survival (months)	46	95% CI 39–53	43	95% CI 34–52	47	95% CI 33–61
Disease-free survival (monthos)	11	95% CI 9–13	14	95% CI 11–17	9	95% CI 7–11

CI confidence interval

between the CRS subgroups for DFS and OS ($P < 0.001$ and $P = 0.001$, respectively).

In group B the median DFS was 13 (95% CI 9–17) months, and the median OS was 65 (95% CI 44–86) months. Fong's score was not of statistically significant predictive value when calculated before neoadjuvant chemotherapy. In the CRS subgroups no significant difference was found for OS ($P = 0.592$). After neoadjuvant chemotherapy, a significant difference ($P = 0.003$) was found between the CRS subgroups for OS.

Nagashima

The CRS of Nagashima could be applied to 193 patients in group A and to 159 patients in group B (Table 1).

In group A, the median DFS was 14 (95% CI 11–17) months and the median OS was 43 (95% CI 34–52) months (Tables 3, 4). In the CRS subgroups a significant difference was found for DFS and OS ($P = 0.001$ and $P = 0.001$, respectively). Because of the small numbers of patients, the CRS subgroup 3 (CRS ≥ 4) was pooled together with subgroup 2 (CRS 2–3).

In group B, the median DFS was 9 (95% CI 7–11) months and for OS the median was 47 (95% CI 33–61) months. When calculated before neoadjuvant chemotherapy Nagashima's score was not of significant predictive value, and no significant difference ($P = 0.122$) was found between the CRS subgroups for OS. However, after neoadjuvant chemotherapy, a significant difference ($P = 0.001$) was found between the CRS subgroups for OS.

Konopke

The CRS of Konopke could be applied to 145 patients in group A and to 69 patients in group B (Table 1).

In group A the median DFS was 16 (95% CI 11–21) months, and the median OS was 51 (95% CI 37–65) months (Tables 3, 4). Between the CRS subgroups a significant difference was found in DFS and OS ($P = 0.002$ and $P = 0.024$, respectively).

In group B the median DFS was 21 (95% CI 3–39) months, and the median OS was 65 months (the 95% CI could not be calculated by the SPSS software). There was no significant difference between the subgroups in OS,

TABLE 3 Kaplan-Meier analysis of disease-free survival in patients with and without chemotherapy

Scoring system	Without neoadjuvant chemotherapy (group A)					Before neoadjuvant chemotherapy (group B1)					After neoadjuvant chemotherapy (group B2)				
	<i>n</i>	Median time (months)	95% CI	3 years (%)	<i>P</i> -value	<i>n</i>	Median time (months)	95% CI	3 years (%)	<i>P</i> -value	<i>n</i>	Median time (months)	95% CI	3 years (%)	<i>P</i> -value
Nordlinger	150	16	12–20	40	0.028 ^a	101	13	9–17	36	0.458 ^a	101	13	9–17	36	0.173 ^a
0–2	87	18	0–43	46		37	13	5–22	38		47	14	7–23	40	
3–4	59	15	11–19	34		60	13	6–20	36		53	12	7–17	33	
5–6	4	4	0–12	0		4	3	0–9	0		1	–	–	–	
Fong	150	16	12–20	40	<0.001	101	13	9–17	36	0.603	101	13	9–17	36	0.096
0–2	123	21	3–39	47		54	13	3–23	38		70	14	6–22	39	
3–5	27	10	8–12	11		47	12	7–17	34		31	7	3–11	29	
Nagashima	193	14	11–17	35	0.001 ^a	159	9	7–11	26	0.030 ^a	159	9	7–11	26	0.001 ^a
0–1	112	18	10–26	44		61	13	6–20	32		72	14	8–20	34	
2–3	77	10	7–13	24		94	7	5–9	22		84	6	5–7	18	
≥4	4	11	5–17	0		4	6	–	25		3	6	–	–	
Konopke	145	16	11–21	41	0.002	69	21	3–39	45	0.354	69	21	3–39	45	0.663
0	91	37	12–61	51		13	41	–	61		15	41	0–83	59	
1	47	14	10–18	29		38	21	0–44	45		46	20	6–34	41	
≥2	7	9	0–22	0		18	6	0–14	32		8	6	0–14	38	

CI confidence interval, *CRS* clinical risk score

^a As a result of the small numbers of patients, *CRS* subgroup 3 (*CRS* ≥4) was pooled together with subgroup 2 (*CRS* 2–3)

TABLE 4 Kaplan-Meier analysis of overall survival in patients with and without chemotherapy

Scoring system	Without neoadjuvant chemotherapy (group A)					Before neoadjuvant chemotherapy (group B1)					After neoadjuvant chemotherapy (group B2)				
	<i>n</i>	Median time (months)	95% CI	5 years (%)	<i>P</i> -value	<i>n</i>	Median time (months)	95% CI	5 years (%)	<i>P</i> -value	<i>n</i>	Median time (months)	95% CI	5 years (%)	<i>P</i> -value
Nordlinger	150	48	33–63	45	0.006 ^a	101	65	44–86	53	0.007 ^a	101	65	44–86	53	0.010 ^a
0–2	87	66	36–96	51		37	65	NR	66		47	65	53–77	65	
3–4	59	42	23–61	39		60	47	20–74	46		53	46	29–63	41	
5–6	4	18	0–49	0		4	18	NR	0		1	–	–	–	
Fong	150	48	33–63	45	0.001	101	65	44–86	53	0.592	101	65	44–86	53	0.003
0–2	123	64	40–88	52		54	55	37–73	48		70	65	NR	58	
3–5	27	34	31–37	21		47	65	9–121	60		31	29	16–42	41	
Nagashima	193	43	34–52	38	0.001 ^a	159	47	33–61	47	0.122 ^a	159	47	33–61	47	0.001 ^a
0–1	112	54	38–70	47		61	55	39–71	47		72	65	43–87	56	
2–3	77	33	23–43	28		94	43	18–68	47		84	33	26–44	38	
≥4	4	34	20–48	0		4	23	8–37	0		3	23	20–26	0	
Konopke	145	51	37–65	45	0.024	69	65	NR	56	0.092	69	65	NR	56	0.505
0	91	66	39–93	52		13	NR	–	60		15	NR	–	63	
1	47	42	36–48	41		38	65	44–86	60		46	65	41–89	55	
≥2	7	41	18–64	0		18	32	27–37	45		8	32	12–52	45	

CI confidence interval, *NR* not reached, *CRS* clinical risk score

^a As a result of the small numbers of patients, *CRS* subgroup 3 (*CRS* ≥4) was pooled together with subgroup 2 (*CRS* 2–3)

either before or after administration of neoadjuvant chemotherapy ($P = 0.092$ and $P = 0.505$, respectively).

Survival Outcome after Neoadjuvant Chemotherapy

Patients with a lower CRS after neoadjuvant chemotherapy, compared to before chemotherapy, had the same survival outcome as patients with the same score but who did not have chemotherapy. For Nagashima's score, patients with a lower CRS after neoadjuvant chemotherapy had an even better survival outcome than patients with the same score who did not undergo chemotherapy ($P = 0.009$).

DISCUSSION

Until now, CRSs have not been evaluated for patients undergoing neoadjuvant chemotherapy before resection of CRLM. The present study evaluated CRSs in patients who received neoadjuvant chemotherapy before resection of liver metastases, and in patients who did not receive neoadjuvant chemotherapy.

Our results confirm that the CRSs of Nordlinger, Fong, Nagashima and Konopke could be applied to patients without neoadjuvant chemotherapy; however, when assessed before neoadjuvant chemotherapy, not all the CRSs are applicable.

A recent study evaluated eight prognostic scoring systems whereas we examined only four CRSs.²³ Our reason for not investigating more scoring systems was because we lacked data on some variables used for these scores. For example, the score of Ueno et al. uses a pathological factor 'tumor budding' which is not reported for all patients in our clinic.¹⁶ Rees et al. include the differentiation of the primary tumor in the score; however, because we are a referral center most patients had their primary tumor resected elsewhere and we were unable to obtain all information required for this score.¹⁴ Schindl et al. use specific laboratory findings in their score, but these variables were not available in our prospectively recorded database.¹³

Generally, CRSs are not used to determine the possibility of surgery in a patient with CRLM, but mainly to assess the prognosis of this group of patients after successful surgery. To compare results of different studies, it is helpful to assess outcome with knowledge of disease severity. The CRSs can be helpful in these cases and are often used.^{2,24} However, use of effective neoadjuvant chemotherapy might influence the value of the widely used CRSs.

Small et al. hypothesized that the power of prediction of Fong's score is reduced as a result of the effects of chemotherapy.²⁰ To our knowledge, the present study is the

first to explore this hypothesis in a single-center database with four CRSs in patients treated with neoadjuvant chemotherapy. Our results support the finding that when the CRS is calculated before starting neoadjuvant chemotherapy it is of no predictive value; however, we demonstrate that the scores are applicable when the score is addressed after administration of neoadjuvant chemotherapy. Konopke et al. described 43 patients who received neoadjuvant chemotherapy.¹² In their study, the factors concerning liver-related oncological status were determined intraoperatively. Konopke et al. also confirmed the prognostic value of their scoring system in the patients who received neoadjuvant chemotherapy. This means that the score was determined after receiving neoadjuvant chemotherapy so one would expect this score to be applicable. However, we could not demonstrate the same result in our group of patients.

In the present study, chemotherapy downstaged the size and the CEA level. When the pathology report was consulted and complete response was reported, then the number of metastases also decreased significantly from a mean of 3.19 ± 1.95 to 2.64 ± 1.96 ($P < 0.001$). This effect changes the CRS. Patients who had a higher risk score before chemotherapy became patients with a lower risk score after chemotherapy, with an associated improved survival. Bilobar disease showed no statistically significant change after administration of neoadjuvant chemotherapy, and extrahepatic disease did not change at all.

In conclusion, in the era of effective neoadjuvant chemotherapy, the traditional CRSs may no longer be a reliable predictive tool. On the basis of our findings, if prediction of prognosis is required, all the traditional CRSs can be used if they are determined *after* treatment with neoadjuvant chemotherapy. If a prognosis is required before starting neoadjuvant therapy, only the Nordlinger CRS is of statistically significant prognostic value.

CONFLICT OF INTEREST None.

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