

Basal Cell Carcinoma in The Netherlands

Sophie Christien Flohil

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Basal Cell Carcinoma in The Netherlands

Het basaalcelcarcinoom in Nederland

Proefschrift

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Promotoren:

Prof.dr. T.E.C. Nijsten

Prof.dr. H.A.M. Neumann

Overige leden:

Prof.dr. R.J. Baatenburg de Jong

Prof.dr. J.W.W. Coebergh

Dr. N.W.J. Kelleners – Smeets

Copromotor:

Dr. E. de Vries

LIST OF FREQUENTLY USED ABBREVIATIONS

| | |
|------|------------------------------------|
| AK | actinic keratosis |
| BCC | basal cell carcinoma |
| CI | confidence interval |
| EAPC | estimated annual percentage change |
| ESR | European standardized rate |
| FBSE | full body skin examination |
| KC | keratinocyte carcinoma |
| LB | lower bound |
| MM | malignant melanoma |
| Mel | melanoma |
| N | number |
| NMSC | non-melanoma skin cancer |
| Ref | reference |
| SCC | squamous cell carcinoma |
| UB | upper bound |
| USA | United States of America |
| UV | ultraviolet |
| WSR | world standardized rate |

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CHAPTER 1

Introduction and aims of this thesis

1. INTRODUCTION

2. There are many different cutaneous malignancies, but malignant melanoma, squamous
3. cell carcinoma (SCC) and basal cell carcinoma (BCC) represent approximately 98% of all skin
4. cancers.¹⁻⁴ In literature, these three skin cancers are often divided into melanoma and non-
5. melanoma skin cancers (NMSC; including BCC and SCC). However NMSC can be considered
6. a misnomer, as there are many other rare cutaneous malignancies that are not a melanoma.
7. A more appropriate term to classify BCC and SCC together could be keratinocyte carcinoma
8. (KC) as they both arise from keratinocytes.³
9. Melanoma is the least common (about 11% of all skin cancers) but one of the most deadly
10. types of skin cancer and develops from melanocytes.⁴ BCC is the most common skin cancer
11. (approximately 70% of all skin cancers) and also the least dangerous of the three.⁵ However,
12. substantial morbidity and cosmetic disfigurement can occur (figure 1), because around 80%
13. of the BCCs are located within the chronically to the sun-exposed head and neck region. The
14. studies presented in this thesis primarily focus on BCC.

15.

16. Epidemiology

17. BCC is the most common cancer among Caucasians and incidence rates are increasing
18. worldwide.⁶⁻⁸ In general, a BCC is considered a disease of the elderly, although recent studies
19. reported increases in the number of young patients.⁹⁻¹⁰ The absolute BCC incidence is dif-
20. ficult to determine because it varies by age- and sex distribution within populations, study
21. localisation, demographic shifts over time and differences in BCC registration across studies.⁸
22. The number of population-based studies investigating trends in BCC incidence rates is lim-
23. ited, because only few (national) cancer registries collect BCC information. Countries that do
24. register BCCs often report them together with SCC as NMSC or only report the first histologi-
25. cally confirmed BCC per patient because of practical problems such as the large number of

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39. **Figure 1.** A patient with a basal cell carcinoma inducing significant cosmetic disfigurement and functional loss.

1. cases involved, difficulties accessing private clinics and the herewith associated time and
2. costs.
3. The highest age-standardised incidence rates (to world standard population, WSR) are
4. reported in Australia with 1,541 persons affected per 100,000 person-years, based on data
5. from a followed population of the Nambour Skin Cancer Study (Queensland).¹¹ Although
6. it is estimated that approximately two out of three Australians will develop skin cancer,
7. Australian cancer registries do not collect BCC information and therefore true BCC estimates
8. are unknown.¹² These high rates in Australia are followed by the United States (US) with rates
9. (from relatively old cancer registry studies) ranging from 170 to 936 per 100,000 person-years
10. (to US standard populations; 1970¹³⁻¹⁴ and 1980¹⁵) and by Europe with 80 to 165 per 100,000
11. person-years (to European standard population, ESR).^{6,8,12} This order in BCC incidence was to
12. be expected as people in Australia have the highest amount of ultraviolet (UV) – exposure
13. followed by US and Europe, and is primarily inhabited by people of primary Northern Euro-
14. pean descent with light pigmentation traits (i.e., light eye-, hair- and skin color).
15. Unfortunately strict comparisons of incidence rates are difficult because of the use of different
16. standard populations (i.e., WSR and ESR). The increase of rates may be more easily compared.
17. A recent systematic review estimated that BCC incidence is increasing by approximately 1
18. per 100,000 persons per year in Europe, but 6 per 100,000 persons in the United Kingdom.⁸
19. Limited population-based studies have investigated the number of patients developing mul-
20. tiple BCCs, mainly because cancer registries often only collect patient-based and not tumor-
21. based BCC data for reasons explained above. Epidemiological studies with selected and small
22. populations from the US and Australia reported that about 40 to 50% of patients with a prior
23. BCC will develop a subsequent BCC within 5 years after first diagnosis.^{11, 16} However these
24. data cannot be generalized to The Netherlands as the other countries have different climates
25. and latitudes with many more sun hours (with higher UV index) per year. In absence of these
26. data, the exact size of BCC occurrence in The Netherlands is difficult to estimate, as the exact
27. proportion of patients developing multiple BCCs remains unknown. Insight into the size of
28. BCC occurrence in The Netherlands is important, as this cancer is becoming a worldwide
29. public health concern and the life time risk for developing a BCC is currently increasing.

30.

31. **Carcinogenesis**

32. Carcinogenesis of BCC is complex and includes multiple genetic alterations, which ultimately
33. lead to growth of a malignant tumor. For BCC carcinogenesis, (UV-induced) mutations in p53
34. and *PTCH* tumor suppressor genes are important factors.
35. The p53 gene is the most frequently mutated gene in human cancer and controls signal-
36. ling pathways involved in cell division and apoptosis.^{1, 17-19} Around 50% of the sporadic BCC
37. cases have mutated p53 proteins.²⁰ The *PTCH* gene controls proliferation and differentiation
38. and encodes a large transmembrane protein which functions as receptor for the *hedgehog*
39. protein in the *hedgehog* signalling pathway.²¹ Loss-of-function mutations in *PTCH* or inap-

1. appropriate activation of the *hedgehog* signalling pathway promotes proliferation rather than
2. differentiation and leads to activation of the transmembrane G-protein receptor named
3. Smoothed (SMO). Continuously activated SMO leads to overexpression of transcription
4. factor *Gli* and downstream target genes, which is considered necessary for BCC development.
5. Somatic loss-of-function mutations in *PTCH* gene are identified in 20% to 40% of the sporadic
6. BCC cases, whereas germ-line mutations or loss of heterozygosity in this gene are associated
7. with the nevoid basal cell carcinoma (or Gorlin's) syndrome.¹⁹ This syndrome is inherited as
8. an autosomal dominant trait and features of this syndrome are early onset of multiple BCC,
9. skeletal abnormalities, jaw cysts, macrocephaly and palmoplantar pits.²²⁻²³

10.

11. **Risk factors**

12. UV-radiation by sunlight is the best-known risk factor for BCC development. However, its
13. associated risk ratios observed in several epidemiological studies are often less than 1.5.²⁴⁻²⁷
14. Therefore, UV-radiation is not a strong, but a very common risk factor. The most important
15. risk factors associated with BCC are summed in table 1.^{1, 24, 26-29} However, a distinction between
16. risk factor profiles of patients who develop one or multiple BCC(s) is not well documented.
17. Identification of BCC patients who are at high risk of developing subsequent lesions may
18. assist physicians in the adequate selection of individuals who should be followed-up more
19. closely over time. Although genome-wide association studies (GWAS) have already detected

20.

21. **Table 1.** Risk factors associated with basal cell carcinoma development

| Patient characteristics | Relative risks | Risk |
|---|------------------------------------|--------------------------------------|
| Men | 1.0 – 1.5 ^{24, 33} | |
| Older age | 1.1 – 1.4 ^{24, 33} | |
| Blond or red hair | 1.5 – 1.7 ^{26-27, 29} | |
| Blue or green eyes | 1.2 – 1.4 ^{26-27, 29} | |
| Light skin color | 2.3 – 2.7 ^{26-27, 29, 34} | |
| History of prior BCC | 17.4 ³⁵ | 22 – 70% (3-yr CR) ^{16, 28} |
| Environmental exposures | | |
| Sun exposure | 1.5 – 1.8 ^{25-27, 36} | |
| Other exposures to ultraviolet light (e.g. sun beds, PUVA) | 1.2 – 4.1 ³⁷⁻³⁹ | |
| Ionizing radiation | 2.6 – 3.3 ⁴⁰⁻⁴¹ | |
| Chemicals (e.g. arsenic) | 1.4 – 2.0 ⁴²⁻⁴⁴ | |
| Genodermatoses | | |
| Albinism | | ~ 25% ⁴⁵⁻⁴⁶ |
| Xeroderma pigmentosum* | > 10,000 ⁴⁷⁻⁴⁸ | |
| Nevoid basal cell carcinoma syndrome (Gorlin's syndrome) | | 38 – 85% ^{23, 49} |
| Immunosuppression | | |
| Recipients of solid-organ transplants or other patients with long-term immunosuppressive drug usage | 6.4 – 21.0 ⁵⁰⁻⁵² | |

37. † CR, cumulative risk

38. + Risks based on case-series only

39. * Characterized by photosensitivity, premature skin aging and multiple skin cancers

1. more than ten common variants associated with the development of a first BCC (pigmentation-dependent and independent polymorphisms), the clinical and genetic susceptibility to
2. develop multiple BCC has not yet been studied.³⁰⁻³²

4.

5. **Clinical presentation and histological appearance**

6. A BCC develops most often on skin that is chronically exposed to the sun and can only develop
7. in skin that contains hair follicles. In contrast with the other keratinocyte skin cancer SCC,
8. which can develop from skin pre-malignancies such as Morbus Bowen or actinic keratosis
9. (AK), BCC has no clear clinical precursor.
10. BCC occurs as several histological subtypes such as a nodular, superficial, infiltrative or
11. micronodular growing BCC. A nodular (also known as 'solid') BCC is most common (~ 60%
12. of the BCCs) and often has a pearly appearance and telangiectasia that may appear as an
13. (ulcerated) papule or nodule with a shiny border.⁵³ In histology, large lobules of basaloid cells
14. with peripheral palisading nuclei are seen, projecting into the reticular dermis or deeper.⁵³
15. A superficial growing BCC, which is the second most common subtype, presents as a slow
16. growing thin erythematous patch and may resemble eczema or psoriasis. This variant occurs
17. most frequently on the trunk.⁵⁴ In histology, superficial growing lobules of basaloid cells are
18. attached to the undersurface of the epidermis and confined to the papillary dermis.⁵³ Both
19. superficial and nodular BCC can be pigmented. In these cases, brown colored spoke-wheel
20. areas and / or large blue-grey ovoid nests may be seen with dermatoscopy.⁵⁵ A sclerosing BCC
21. (also known in literature as 'infiltrative'), typically appear as a pale scar-like lesion with poorly-
22. defined margins. Histologically, this subtype has elongated strands of tumor cells infiltrating
23. into the dermis and subcutis. Also, perineural invasion is particularly associated with this
24. subtype. Another BCC subtype is the micronodular variant. This type clinically resembles
25. a nodular BCC, but is like the infiltrative subtype considered more 'aggressive' because its
26. tumor extension is (clinically) difficult to determine. In contrast to nodular BCCs, small lob-
27. ules of basaloid cells grow into the dermis and sometimes extend widely into the subcutis.
28. Besides the four common subtypes described above, there are also more rare variants such as
29. a fibroepithelial BCC, BCC with adnexal differentiation, basosquamous carcinoma, keratotic
30. BCC, a cystic, adenoid and infundibulocystic BCC.⁵³
31. In addition, a BCC may contain a mixed histological subtype. Prevalence of mixed cases has
32. been estimated ranging from 9% to 43%, often based on small studies.⁵⁶⁻⁵⁷ A recent large
33. observational study from Italy among 3513 patients who underwent conventional surgical
34. excision for BCC, observed that mixed histology was found in 17.8% (95% confidence interval
35. 16.5 – 19.%) of the cases, most often including the combination of superficial and nodular
36. growing BCC.⁵⁸
37. Histologically confirmed BCCs are most often located in the head and neck region, followed
38. by trunk, legs and arms.^{28,54} Population-based incidence data of histological subtypes is not
39. available as cancer registries often only register the anatomical location of the first histo-

1. logically confirmed BCC and ignore the histological subtype, also because patients may have
2. two BCCs diagnosed simultaneously and it is not clear which BCC subtype should then be
3. registered.

4.

5. **Diagnosis**

6. A skin lesion, clinically suspected for a BCC, is most often diagnosed with punch biopsy in
7. order to exclude other diagnoses and to identify the histological subtype. The latter influ-
8. ences the choice of treatment modality and appropriate surgical excision margins (3 versus
9. 5 millimeter).⁵⁹ However, a recent Dutch study with 243 BCCs found that the agreement
10. between BCC subtype on punch biopsy and the subsequent surgical excision in a primary
11. BCC is only 60.9%.⁶⁰

12. Also, physicians may diagnose and treat BCCs without histological confirmation.^{54, 61} This has
13. been suggested as a factor of substantial underestimation of BCC incidence in The Nether-
14. lands. It has been assumed that omitting histological confirmation may have become more
15. common over the last decade, because of the introduction of new noninvasive treatments
16. such as photo-dynamic therapy and imiquimod cream, which often have better cosmetic
17. outcome than standard surgery. However, cancer registry and pathology databases cannot
18. include clinically diagnosed BCCs without histological confirmation and therefore limited
19. data about their frequency, location or suspected subtype is available.^{54, 61}

20.

21. **Treatment**

22. In The Netherlands, most BCCs are treated with standard surgical excision.^{59, 61} The Dutch
23. guideline recommends clinical excision margins of 3 millimeter (mm) for BCC lesions smaller
24. or equal to 10 mm and clinical margins of 5 mm for lesions larger than 10 mm, infiltrative
25. BCCs or recurrences. The five-year recurrence rates after standard surgical excision are around
26. 4 to 10% for primary and 17% for recurrent BCC.⁶²⁻⁶⁴ High risk BCCs or those on delicate sites
27. (e.g., nose and eyelids) are eligible for treatment with Mohs micrographic surgery, which is an
28. elegant tissue sparing method that uses a frozen-tissue technique with microscopic margin
29. control. With this technique, unnecessary excision of uninvolved tissue is avoided, enabling
30. a better preservation of function and cosmesis.⁶⁵⁻⁶⁶ Compared to other treatment modalities
31. MMS has the highest five-year cure rates ranging from 94% to 99% for primary and from 90%
32. to 96% for recurrent BCCs.⁶⁷⁻⁶⁹ Nevertheless, Mohs micrographic surgery is time-consuming,
33. labour intensive, and needs specialized assistants and treatment facilities, and is only per-
34. formed in a limited number of Dutch dermatological centers.⁶⁷

35. Non-surgical treatments options such as photodynamic therapy, and topical imiquimod
36. and 5-fluorouracil are alternatives for superficial BCCs when surgery is contra-indicated or
37. because of their favorable cosmetic outcome compared to surgical intervention. However,
38. disadvantages of these treatments are the lack of histological control and higher recurrence
39. rates.¹ Therefore, in general, these treatments are not considered optimal for recurrent BCCs,

1. 'aggressive' BCCs or for those BCCs located within the H-zone (i.e., represents the embryonic
2. fusion planes and includes surroundings of eyes, nose, upper lip, pre-auricular regions and
3. ears).⁵⁹ In addition, radiotherapy, cryotherapy and curettage with electrodesiccation are not
4. routinely recommended for BCC treatment in The Netherlands.

5.

6. **Prognosis**

7. The prognosis for patients with BCC is excellent with relative survival rates of around 100%,
8. as they are slow growing and tend to be locally invasive.⁷⁰ Nevertheless, significant morbidity
9. and cosmetic disfigurement can occur because BCCs are locally destructive of the skin and
10. may invade underlying tissues such as nerves, muscles and bone (figure 1).²⁸ Although a BCC
11. is a malignant tumor, metastases are very uncommon with percentages ranging from 0.0028
12. to 0.55%.^{1,71} Most common sites of metastases are the lymph nodes, bones and lungs. The
13. disease is fatal when it reaches this stage.

14.

15. **Follow-up**

16. Follow-up of BCC patients serves several purposes: (1) (early) detection of a recurrence or (2)
17. a subsequent primary BCC and (3) for psychosocial support for the patient.⁷²⁻⁷³ There is no
18. international consensus on a suitable follow-up regime for BCC patients and no evidence-
19. based guideline exists.⁷⁴ The British guideline recommends follow-up for at least 3 years for
20. patients treated for recurrent disease or with multiple BCCs only.⁷³ In contrast, the German
21. guideline suggests annual follow-up visits for all patients with a BCC for at least 3 years.⁷⁵ The
22. guidelines of the United States are far more conservative, suggesting at least annual follow-
23. up visits for all patients with a BCC for life.⁷⁶ Also, in Australia, all patients with a previous skin
24. cancer are advised to undergo annual skin examinations for life, but as part of routine health
25. checks by their health care provider.⁷⁷ In The Netherlands, it is suggested by the most recent
26. Dutch BCC guideline to follow those patients with a BCC in a high-risk zone (e.g. nose and
27. surroundings of the eye) or those patients with two or more BCCs. Follow-up frequency is not
28. determined, but in general once a year is considered sufficient.⁵⁹

29.

30. **Aims of this thesis**

31. In this thesis different aspects of the epidemiology of BCC in The Netherlands are described
32. in order to provide insight to the size of BCC occurrence to all clinicians and policy makers
33. involved in management of these patients. I also present some of the results of the Rotter-
34. dam Study, a Dutch large prospective population-based cohort study among participants of
35. 45 years and older living in the Ommoord district of Rotterdam, The Netherlands. Within the
36. framework of the Rotterdam Study, I investigated the prevalence of AK, its risk factors and
37. association with history of skin cancer (including BCC, SCC and melanoma).

38.

39. The main questions addressed in this thesis are:

1. 1. What are the incidence, prevalence and (future) trends of primary BCC in The Netherlands?
2. 2. What are the cumulative risks and rates of developing a subsequent BCC?
3. 3. How often are clinically suspected BCCs diagnosed without histological confirmation?
4. 4. What are the risk factors associated with the development of single or multiple BCC(s)?
5. 5. What is the prevalence of and what are risk factors for actinic keratosis in The Netherlands?
6. 7.
8. **Chapters 2 and 3** of this thesis are based on routinely collected cancer registry data of the
9. Eindhoven Cancer Registry (ECR). ECR is located in the southeast Netherlands and registers
10. since 1973 the first histologically confirmed BCC per patient. In chapter 2 we estimated the
11. incidence, prevalence and future trends of primary BCC in The Netherlands. In chapter 3 cur-
12. rent trends in BCC incidence rates were assessed and possible reasons for the increases in
13. BCC incidence rates were explored.
14. To bridge the gap between known incidence data and a full assessment of the public health
15. burden of BCC in The Netherlands, we investigated how many people developed multiple
16. BCCs. Since 1999, ECR collects more than one BCC per patient according to certain registra-
17. tion rules (see appendix 1), however these data are not assumed completely reliable because
18. of several methodological and practical issues.⁷⁸ In **chapter 4** the proportion of patients
19. developing multiple BCCs was therefore investigated with data from PALGA, the nationwide
20. network and registry of histo- and cytopathology in The Netherlands.⁷⁹ In **chapter 5** the
21. observed proportion of patients with multiple BCCs in The Netherlands (from chapter 4)
22. was compared to observations from other studies and countries by performing a systematic
23. review and meta-analysis. In addition, the likelihood between a prior BCC and the risk of
24. developing a subsequent SCC or melanoma was assessed.
25. Cancer registries and pathology databases only contain histologically confirmed BCCs, ex-
26. cluding the occurrence of clinically diagnosed BCCs without histological confirmation. This
27. could underestimate the true size of BCC occurrence in The Netherlands. In **chapter 6** patient
28. records of more than 1000 patients with a prior histologically confirmed BCC from four
29. dermatology departments in The Netherlands were investigated to identify the proportion
30. of clinically diagnosed BCCs without histological confirmation. In **chapter 7** the proportion
31. of clinically diagnosed BCCs (from chapter 6) was compared to observations from Scotland,
32. Finland and Malta.
33. The final aim of this thesis was to describe risk factors associated with BCC and AK develop-
34. ment to provide guidance for primary and secondary prevention to patients, clinicians and
35. health care policy makers. AK is one of the most sensitive markers for skin aging and may
36. be an indicator for the risk of skin cancer. The research described in chapters 8 and 9 was
37. performed using the Rotterdam Study. In **chapter 8** risk factors associated with the develop-
38. ment of single and multiple BCC(s) were studied. In **chapter 9** full body skin examinations
39. were performed among 2061 participants to investigate the prevalence of AK, its risk factors

1. and association with history of skin cancer. To conclude, in **chapter 10**, a summary of the
2. results is given, five research questions are answered, and limitations of the included studies
3. are discussed.
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1. **Appendix 1. Rules Non-Melanoma Skin Cancer Registration of the Eindhoven
2. Cancer Registry**

3. Registration of all skin cancers (except melanoma***), with incidence dates starting from
4. 1-1-1999. Registration of localisation takes place according to the ICD-10 code.

5.

6. Per patient more than one primary skin tumor with the same morphology can be registered
7. according to the following rules:

8. - In the case of multiple tumors at the same time* on the same sub-localisation**: registra-
9. tion of one primary tumor with the remark "multifocal".

10. - In the case of multiple tumors at the same time* on different sub-localisations**: registra-
11. tion of a new primary tumor per sub-localisation.

12. - In the case of a "new" skin tumor at the same sub-localisation** as former skin tumor:
13. regard this tumor as a recurrent tumor, register only the last date of contact and hospital
14. or practice where the tumor was diagnosed.

15. - In the case of a "new" skin tumor on another sub-localisation ** than the former skin
16. tumor: registration as a new primary tumor.

17.

18. * At the same time means: incidence dates are within 3 months of each other.

19. ** Same sub-localisation means: Fourth digit of the ICD-10 code AND lateralization are
20. identical.

21. *** Melanomas are registered according to the rules of the national Dutch Cancer
22. Registration.

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CHAPTER 2

Incidence, prevalence and future trends of primary basal cell carcinoma in The Netherlands

S.C. Flohil

E. de Vries

H.A.M Neumann

J.W.W. Coebergh

T. Nijsten

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1. **ABSTRACT**

2. Basal cell carcinoma (BCC) incidence rates are increasing worldwide. This study's objective
3. was to estimate occurrence of BCC in The Netherlands in terms of incidence and prevalence.
4. Data on first primary BCC were retrieved from the Eindhoven Cancer Registry and extrapo-
5. lated to the Dutch population. Extrapolated data showed a total of 444,131 first, histologically
6. confirmed BCCs in The Netherlands between 1973 and 2008. In this period, age-adjusted
7. incidence rates (European Standard population) increased approximately three folds from
8. 40 to 148 per 100 000 in males and from 34 to 141 in females. Life time risk for BCC was 1 in
9. 5-6 for Dutch citizens. BCC prevalence in The Netherlands was 1.4% and almost four times
10. higher (5.4%) in the oldest age group (65 years or more). BCC trend predictions for the future
11. showed no signs of flattening. These estimates should urge Dutch policymakers to provide
12. solutions for this growing group of BCC patients.

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1. INTRODUCTION

2. Non-melanoma skin cancer (NMSC) is by far the most common cancer in Caucasians and
3. numerous studies have shown that incidence rates, especially of basal cell carcinomas (BCC)
4. are increasing worldwide.¹⁻⁵ The burden of BCC is becoming an increasingly important public
5. health issue, because of rapidly increasing incidence rates of patients with a BCC history, the
6. total number of BCC patients and their treatment and follow up related costs.^{4, 6-7}
7. A Dutch population-based survey observed increases in European Standardised BCC inci-
8. dence rates between 1973 and 2000, from 40 to 92 per 100 000 in men and 34 to 79 per 100
9. 000 in women.⁶ Forecasts of BCC incidence in The Netherlands, which were based on data
10. from the Eindhoven Cancer Registry (ECR) for the years 1989 – 2000, estimated an annual
11. incidence rate of 122 per 100 000 for males and 119 for females in 2015.⁷
12. Main causes of this continuous rise in BCC incidence are the effects of altered UV exposure
13. patterns in the past, population-aging and the increasing number of people with a prior
14. skin cancer diagnosis, who are at high risk of developing subsequent skin cancers, and an
15. increased awareness for skin cancer among patients and physicians (i.e., detection bias). An
16. estimated 40% of patients with a first BCC will develop subsequent tumors in the next 5
17. years.⁸⁻⁹
18. Whilst BCC has very low mortality, it is associated with considerable functional and cosmetic
19. morbidity as most lesions are located on the face and are most often treated surgically. There
20. is currently a shortage of dermatologists in The Netherlands (about 475 full and part-time
21. dermatologists for a population of 16.4 million) and the rising incidence rates of BCC is putting
22. a heavy burden on the already limited healthcare system in terms of diagnosis, treatment and
23. especially follow up, which represents a substantial proportion of dermatologists' workload.¹⁰
24. Earlier predictions for 2015 (made in 2004), warned Dutch policy makers about the need
25. to provide solutions in order to continue to be able to provide sufficient management for
26. the already large and continuously growing group of BCC patients. Direct treatment-related
27. costs depend on the number of treated lesions and selected therapies. In order to make
28. correct workload en cost estimates, we need recent accurate estimates of size of the BCC
29. problem in The Netherlands, which are currently not up-to-date, including estimates on the
30. prevalence of BCC that is lacking.
31. Therefore, the objective of this study was to reliably estimate occurrence of BCC in The Neth-
32. erlands with up-to-date data from the ECR in terms of incidence and prevalence. With BCC
33. data available from 1973 until 2008 we were the first to estimate the 19-years prevalence of
34. first, histologically proven BCC in The Netherlands. Additionally, new predictions were made
35. for incidence rates and numbers in The Netherlands for the years 2010, 2015 and 2020.
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1. **METHODS**

2. *Data*

3. Data were obtained from the Eindhoven Cancer Registry (ECR) which is part of The Netherlands
4. Cancer Registry, located at the Comprehensive Cancer Center South, which is the only popu-
5. lation-based cancer registry in The Netherlands that routinely registers the first, histologically
6. proven BCC per patient using the national pathology laboratories network (PALGA) as a signaling
7. source.⁶ All individuals with a histologically proven first primary BCC, diagnosed between 1973
8. and 2008, were included. ECR also registers data concerning BCC body location. During the study
9. period (1st of January 1973 until 1st of January 2009) the population size of the ECR catchment
10. region increased from 591,916 to 2,252 757, mainly due to an expansion of the registry area.¹¹
11. Age-adjustment was performed by direct standardization according to the European and World
12. Standard Population (European standardized rates [ESR] and World standardized rates [WSR],
13. respectively). Annual incidence rates were computed per 100 000 person-years for each sex and
14. calculated as 5-year moving means, except for annual incidence by site (3-year moving means).⁶

15. *Statistical analysis*

17. The incidence of the first primary, histologically confirmed BCC was calculated. Number of
18. BCC cases (by sex and eighteen 5-year age groups [0-4, 5-9, 10-14, etc.] were divided by the
19. number of inhabitants in the catchment area of the ECR in those same categories. Sex- and
20. age-specific incidence rates were multiplied by the population size of these specific cat-
21. egories in The Netherlands in the concerning year, resulting in an estimated number of first
22. primary BCC patients in The Netherlands. The population sizes were gained from Statistics
23. Netherlands and estimated on the first of January of the considered year.¹²

24. The cumulative incidence rates at age 84 were calculated as the sum of the age-specific in-
25. cidence rates for ages 0-84, multiplied with the width of the age groups (5 year). Cumulative
26. risks were derived from the cumulative incidence rates and calculated with the following
27. formula; Cumulative risk = $100 \times (1 - \exp(-\text{cumulative rate}/100))$.

28. To calculate the prevalence of BCC in the ECR catchment areas between 1990 and 2008, we
29. retrieved data of the vital status on all patients diagnosed with a BCC since 1990 and still alive
30. on the first of January 2009. Therefore, we used the method previously used for reports on
31. cancer prevalence in The Netherlands.¹³

32. The predicted numbers of BCC cases in The Netherlands in 2010, 2015 and 2020 were esti-
33. mated by first predicting the incidence rates on the basis of observed rates for 2000 – 2008,
34. and then multiplying these rates by the population forecasts for these periods, derived from
35. Statistics Netherlands. The statistical models used have been described before and the best
36. fitted model, $Em_{it} = \alpha_i + \beta_i * t$ was chosen.^{7, 14}

37. All statistical analyses were performed using SPSS 15.0 for windows (SPSS inc., Chicago, IL)
38. and p-values were two-sided and considered significant if <0.05 .

39.

Table 1. Data of first, primary histologically confirmed BCC cases extrapolated to The Netherlands

| | First, primary histologically confirmed BCC in Netherlands | | | |
|------------------|--|--------|-------|--------|
| | Men | | Women | |
| | 1973 | 2008 | 1973 | 2008 |
| ESR | 40 | 148 | 34 | 141 |
| WSR | 27 | 101 | 22 | 101 |
| Cumulative risk* | 5.0 | 19.3 | 5.2 | 16.3 |
| Total number BCC | 1,946 | 13,891 | 2,233 | 15,094 |

* Calculated at age 84

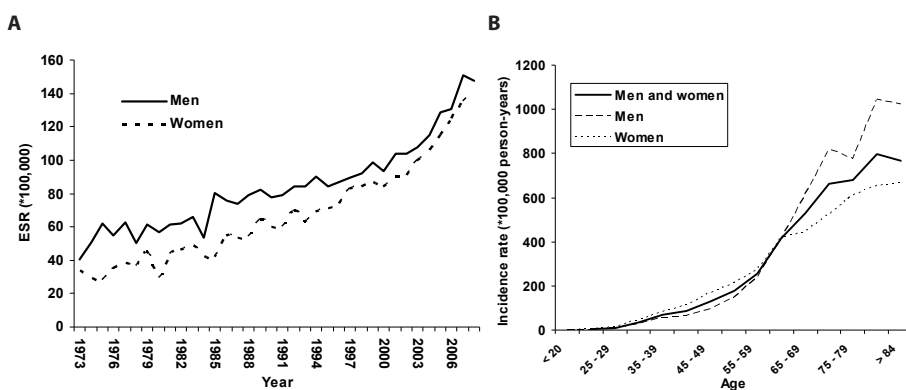
Abbreviations: BCC, basal cell carcinoma; ESR, European Standard Rate; WSR, World Standard Rate

RESULTS

Incidence data ECR

A total of 48,221 first primary BCC cases were diagnosed in the ECR catchment areas between the 1st of January 1973 and 31st December 2008; of whom 23,918 (49.6%) were men and 24,303 (50.4%) were women. The age-adjusted ESR for BCC increased from 40 to 148 per 100 000 for males and from 34 to 141 per 100 000 for females (Table 1 and Figure 1A). The age-adjusted incidence rates calculated with the WSR rose from 27 to 101 per 100 000 for males and from 22 to 101 per 100 000 for females (Table 1). In this 25-year period the cumulative incidence risk at age 84 for BCC development increased from 5.0% to 19.3% in males and from 5.2% to 16.3% in females (Table 1). This implies that 1 in 5 men and 1 in 6 women had developed a BCC before the age of 85 in 2008.

We plotted the age-adjusted BCC incidence rates stratified by age and sex for the most recent year (2008) in Figure 1B. This Figure showed that up to the age of 60 women had higher BCC incidence rates than men, which turned the other way around after the age of 60.

**Figure 1.**

A. Age-adjusted BCC incidence of first primary BCCs diagnosed between 1973 and 2008 in The Netherlands

B. Age-adjusted BCC incidence of first primary BCCs by age and sex for The Netherlands in 2008

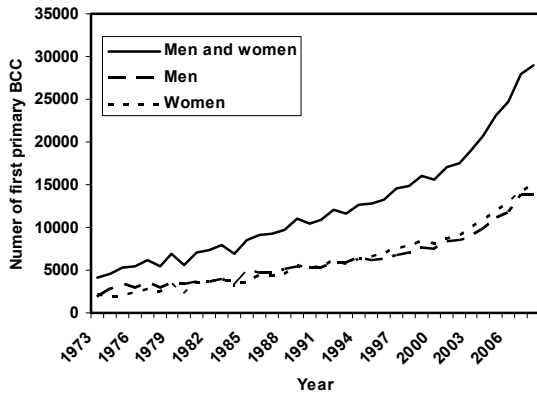


Figure 2. Estimated absolute number of first primary BCCs diagnosed between 1973 and 2008 in The Netherlands

Incidence data extrapolated to The Netherlands

We assumed age-specific ESR of the ECR region to be representative for The Netherlands as a whole. Extrapolating the age-specific incidence rates to the Dutch population sizes estimated a total of 444,131 primary BCC cases diagnosed between 1973 and 2008; of whom 220,758 were men and 223,373 were women. In this study period the total annual number of newly diagnosed BCC patients diagnosed rose from 4,179 to 28,985 (for men from 1,946 to 13,891 and for women from 2,233 to 15,095; Table 1 and Figure 2).

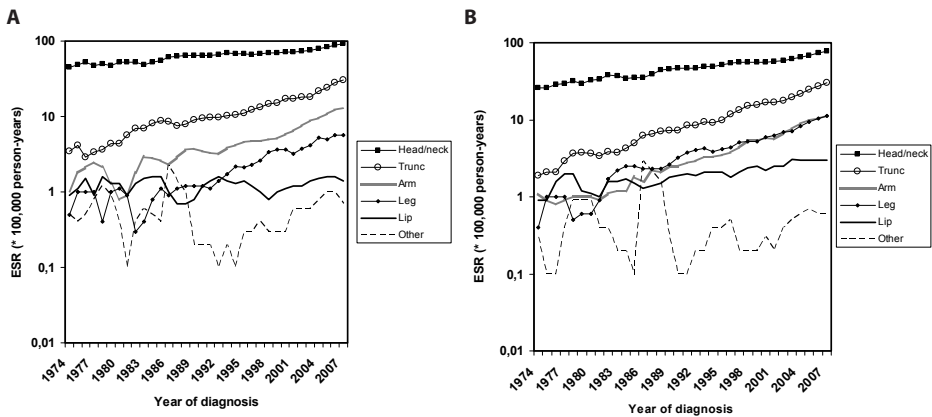


Figure 3.

A. Incidence of BCC by body site for men by 3-year moving average, European Standardized Rates (ESR) (* 100 000 person-years)

B. Incidence of BCC by body site for women by 3-year moving average, European Standardized Rates (ESR) (* 100 000 person-years)

1. *Incidence data by site*

2. For both sexes BCCs occurred approximately ten folds more likely in the head and neck region
 3. compared to the other regions such as trunk and limbs. Men had higher BCC rates in the head
 4. and neck region compared to women (Figure 3). There was an increase in rates observed for
 5. all sites, with the most prominent increases observed on the trunk and the smallest for the
 6. lips, which remained close to 1/100 000 person-years. Extrapolating these estimates to The
 7. Netherlands between 1999 and 2008 showed an increase of 280% in women with first BCC
 8. on legs, followed by the head and neck region (234%) and arms (216%). For men, the largest
 9. increase was seen on trunk (290%), followed by arms (267%) and legs (185%).

10.

11. *BCC prevalence*

12. Of the 39,595 patients with a first, primary BCC diagnosed between 1990 and 31 December
 13. 2008, 31,414 (79.3%) patients were still alive on the 1st of January 2009, 7,916 (20.0%) had died
 14. and 265 (0.7%) were lost to follow-up. Of the 31,414 BCC patients alive on the 1st of January
 15. 2009, 14,904 (47.4%) were men and 16,510 (52.6%) were women. Based on these numbers,
 16. the overall prevalence in the ECR catchment areas was estimated to be 1.4% (1.3% and 1.5%
 17. for men and women, respectively). The overall 19-year prevalence for age group 65+ was

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19. **Table 2.** 5- and 19-years prevalence of BCC in The Netherlands

| Prevalence | Sex | Age groups | BCC cases | Population | Prevalence (%) (* 100) | |
|-----------------|--------------|--------------|-----------|------------|------------------------|------|
| 5 years | Total | | 16356 | 2252757 | 0.7 | |
| | | Men | 7896 | 1125315 | 0.7 | |
| | | Women | 8460 | 1127442 | 0.8 | |
| | Total | 15 - 34 | 224 | 535445 | 0.04 | |
| | | 35 - 64 | 6954 | 979963 | 0.7 | |
| | | 65 + | 9178 | 342381 | 2.7 | |
| 19 years | | Men | 15 - 34 | 85 | 274553 | 0.03 |
| | | | 35 - 64 | 3112 | 497898 | 0.6 |
| | | | 65 + | 4699 | 151004 | 3.1 |
| | | Women | 15 - 34 | 139 | 260892 | 0.05 |
| | | | 35 - 64 | 3842 | 482065 | 0.8 |
| | | | 65 + | 4479 | 191377 | 2.3 |
| | | Total | | 31414 | 2252757 | 1.4 |
| | | Men | | 14904 | 1125315 | 1.3 |
| | | Women | | 16510 | 1127442 | 1.5 |
| 19 years | Total | 15 - 34 | 299 | 535445 | 0.06 | |
| | | 35 - 64 | 12474 | 979963 | 1.3 | |
| | | 65 + | 18641 | 342381 | 5.4 | |
| | | Men | 15 - 34 | 114 | 274553 | 0.04 |
| | | | 35 - 64 | 5527 | 497898 | 1,1 |
| | | | 65 + | 9263 | 151004 | 6.1 |
| | | Women | 15 - 34 | 185 | 260892 | 0.07 |
| | | | 35 - 64 | 6947 | 482065 | 1.4 |
| | | | 65 + | 9378 | 191377 | 4.9 |

39.

1. 5.4% (6.1% for men and 4.9% women). The overall 5-year prevalence of BCC for the elderly
 2. people (65+ years) was 2.7% (3.1% and 2.3% for men and women, respectively; Table 2).

3.

4. *BCC predictions*

5. Trends in BCC incidence for 2010, 2015 and 2020 (estimations based on the data available
 6. for 2000 – 2008) increased continuously among all age groups and both sexes. The predicted
 7. rates and absolute numbers of BCC showed no signs of flattening or levelling off up to 2020
 8. (Table 3). Compared to the observed rates and numbers in 2005, the steepest increases in
 9. 2020 were observed for both sexes in the oldest age group (65+ years), except for the ex-
 10. pected rates for women, which had the highest increase in age group 35 - 64.

11. Trends in BCC incidence by subsite based on the years 2000 - 2008, calculated for the four
 12. most common BCC locations, increased constantly (Table 4). Predictions revealed the head
 13. and neck area to be the most common site for first, primary BCCs for all predicted years. In
 14. 2020 rates for first primary BCCs in men, located on the arm, are expected to have more
 15. than two and half times doubled compared with observed rates in 2005. Within women
 16. the steepest increase was seen for first, primary BCCs located at trunk (234%). The highest

17.

18.

19. **Table 3.** Predicted BCC incidence rates (European Standardised Rates) and numbers by age.

| Rates | | 2005 | 2010 | 2015 | 2020 |
|----------------|------------|-----------------|--------------------------|--------------------------|---------------------------|
| BCC | Age | Observed | Expected (95% PI) | Expected (95% PI) | Expected (95% PI) |
| Men | 15-34 | 7.8 | 9.8 (6.5 - 12.9) | 12.2(7.1 - 17.3) | 14.6 (7.5 - 21.7) |
| | 35 – 64 | 136.5 | 167.6 (155.8 - 179.3) | 198.2 (178.9 - 217.5) | 228.8 (201.5 - 256.1) |
| | 65 + | 658.1 | 859.9 (813.6 - 906.3) | 1067.5 (992.4 - 1142.6) | 1275.0 (1169.7 - 21688.8) |
| | All | 139.5 | 162.7 (155.8 - 169.5) | 198.1 (186.9 - 209.3) | 233.5 (217.7 - 249.2) |
| Women | 15-34 | 14.2 | 16.1 (11.9 - 20.3) | 18.71 (12.0 - 25.4) | 21.3 (12.0 - 30.6) |
| | 35 – 64 | 160.7 | 216.0 (202.9 - 229.6) | 267.4 (246.0 - 288.8) | 318.7 (288.7 - 348.8) |
| | 65 + | 458.8 | 587.3 (556.0 - 618.7) | 727.6 (677.5 - 777.8) | 867.9 (797.9 - 938.0) |
| | All | 143.7 | 153.4 (147.2 - 159.6) | 189.5 (179.4 - 199.6) | 225.6 (211.5 - 239.8) |
| Numbers | | 2005 | 2010 | 2015 | 2020 |
| BCC | Age | Observed | Expected (95% PI) | Expected (95% PI) | Expected (95% PI) |
| Men | 15-34 | 163 | 195 (131 - 259) | 251 (146 - 357) | 306 (157 - 456) |
| | 35 – 64 | 4741 | 6235 (5798 - 6672) | 7335 (6616 - 8054) | 8604 (7565 - 9642) |
| | 65 + | 6347 | 9584 (9067 – 10101) | 14308 (13300 -15316) | 200019 (18350 - 21689) |
| | All | 11250 | 16011 (15335 - 16687) | 21884 (20646 - 23123) | 28913 (26943 - 30883) |
| Women | 15-34 | 304 | 320 (237 - 403) | 377 (242 - 512) | 418 (238 - 598) |
| | 35 – 64 | 5463 | 7846 (7369 - 8323) | 9670 (8892 - 10448) | 11627 (10514 - 12741) |
| | 65 + | 6074 | 8750 (8301 - 9199) | 12252 (11433 - 13072) | 16282 (15010 - 17555) |
| | All | 11841 | 16913 (16248 - 17579) | 22288 (21130 - 23447) | 28338 (26596 - 30080) |

39. Abbreviations: BCC, basal cell carcinoma; PI, prediction interval.

Table 4. Predicted BCC incidence rates (European Standardised Rates) and numbers by body site

| 1. Rates | | | | | |
|----------|-----------|----------|----------------------|-----------------------|-----------------------|
| 2. BCC | Site | 2005 | 2010 | 2015 | 2020 |
| 3. | | Observed | Expected (95% PI) | Expected (95% PI) | Expected (95% PI) |
| 4. Men | Head/Neck | 83.1 | 100.6 (95.7 - 105.5) | 117.2 (109.3 - 125.1) | 133.8 (122.7 - 145.0) |
| 5. | Trunk | 26.2 | 36.0 (33.0 - 39.0) | 46.8 (42.0 - 51.5) | 57.5 (50.9 - 64.2) |
| 6. | Arm | 10.3 | 16.2 (14.6 - 17.9) | 21.7 (19.2 - 24.3) | 27.2 (23.7 - 30.7) |
| 7. Women | Leg | 5.8 | 7.3 (6.0 - 8.5) | 9.4 (7.4 - 11.4) | 11.5 (8.7 - 14.3) |
| 8. | Head/Neck | 67.2 | 85.1 (80.9 - 89.4) | 101.0 (94.1 - 107.8) | 116.8 (107.2 - 126.3) |
| 9. | Trunk | 24.6 | 36.0 (33.3 - 38.7) | 46.8 (42.6 - 51.0) | 57.6 (51.7 - 63.4) |
| | Arm | 9.7 | 13.9 (12.1 - 15.7) | 24.7 (15.3 - 21.1) | 22.6 (18.6 - 26.6) |
| | Leg | 9.7 | 13.5 (12.0 - 15.0) | 17.5 (15.1 - 20.0) | 21.5 (18.2 - 24.9) |

| 10. Numbers | | | | | |
|-------------|-----------|----------|---------------------|-----------------------|-----------------------|
| BCC | | 2005 | 2010 | 2015 | 2020 |
| | | Observed | Expected (95% PI) | Expected (95% PI) | Expected (95% PI) |
| 11. Men | Head/Neck | 7188 | 9972 (9487 - 10456) | 13221 (12331 - 14111) | 17190 (15764 - 18616) |
| 12. | Trunk | 2350 | 3477 (3189 - 3765) | 4945 (4438 - 5451) | 6651 (5876 - 7427) |
| 13. | Arm | 907 | 1579 (1419 - 1738) | 2339 (2064 - 2614) | 3200 (2780 - 3620) |
| 14. | Leg | 500 | 721 (597 - 845) | 1048 (824 - 1272) | 1454 (1099 - 1809) |
| 15. Women | Head/Neck | 7131 | 9856 (9388 - 10324) | 12576 (11762 - 13390) | 15785 (14552 - 17018) |
| 16. | Trunk | 2273 | 3537 (3279 - 3796) | 4797 (4371 - 5222) | 6083 (5472 - 6694) |
| 17. | Arm | 974 | 1466 (1280 - 1652) | 2083 (1762 - 2403) | 2750 (2275 - 3225) |
| 18. | Leg | 989 | 1491 (1327 - 1655) | 2113 (1832 - 2394) | 2827 (2405 - 3249) |

19. Abbreviations: BCC, basal cell carcinoma; PI, prediction interval.

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21. increase for predicted BCC numbers in 2020 compared to the observed numbers in 2005 was
 22. seen in arms for men (352%) and in legs for women (285%).

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25. DISCUSSION

26. BCC is the most common skin malignancy in people of European ancestry. The very high
 27. incidence rates of BCC observed in the past decades has continued to rise in the last years
 28. and is likely to further increase in the next decade. In the last 25 years, the absolute numbers
 29. of patients with first, histologically confirmed BCC increased with about 700% in both men
 30. and women in The Netherlands. Furthermore, 1:5 and 1:6 of men and women, respectively,
 31. will have developed a BCC before the age of 85 years. The 19-year BCC prevalence in the ECR
 32. region was 1.4% of the population and was assumed to be representative for The Netherlands
 33. in a whole. Although the epidemiology of BCC varies geographically, it is difficult to compare
 34. these observations to international studies because very few cancer registries document BCC
 35. and its prevalence has not been studied extensively. Nevertheless, other studies confirm the
 36. increasing trend of BCC in time.^{5, 15} A recent study in the United States based on a mathemati-
 37. cal model found a 31-year prevalence of nearly 5% of people with (multiple) skin cancer(s).¹⁶
 38. Although their prevalence was about 3 times higher than ours, we calculated the prevalence
 39.

1. for first primary, histologically confirmed BCC cases alone and our prevalence was not based
2. on a statistical model but on data from a population-based cancer registry.
3.
4. The ECR does not have reliable data on multiple BCCs. A recent Dutch prospective popula-
5. tion-based cohort study among people aged 55+ showed that approximately one third of
6. BCC patients developed multiple BCCs during an average of almost 10 years of follow-up, of
7. whom 18.1% developed two and 12.9% three or more BCCs.⁹ Taking these proportions into
8. considerations, the absolute number of BCC tumors in The Netherlands since 1990 might be
9. up to more than 300,000. Moreover, high risk for developing subsequent BCC tumors puts a
10. heavy burden on the dermatologists' restricted time since besides diagnosis and treatment,
11. follow up is extremely time consuming. Although recent revisions of the BCC guideline
12. recommend that only patients with high-risk and/or multiple BCCs should be followed, this
13. means in practice that almost all BCC patients are followed once a year up to 5 years after
14. diagnosis.¹⁷
15. Incidence predictions for the future, showed no signs of flattening or levelling off; therefore
16. policymakers and the dermatologic communities should work together on finding a solution
17. to the ever growing burden of BCC. Raised awareness of the population may have contributed
18. to the increase in BCC detection, but the main causes of the 'BCC epidemic' are probably due
19. to aging and life style changes, such as altered UV-exposition patterns in the past. Although
20. earlier skin cancer and sun tanning campaigns have increased awareness among people,
21. they have failed to influence our UV-exposition behavior (so called, 'knowledge-behavior
22. gap').¹⁸⁻²⁰ The use of commercial sunbeds has been regulated recently in The Netherlands
23. (>18 years of age and joules per exposure are depending on skin type), but it could be
24. banned completely or at least the ability to purchase a sunbed for home use because indoor
25. tanning represents an avoidable risk factor for NMSC.²¹ Other strategies than prevention that
26. have to be addressed to deal with the upcoming and already beginning 'BCC epidemic' is to
27. increase workforce of dermatologists and re-evaluate its organizational structure. Therefore
28. investments in supportive professionals such as specialized nurses and nurse practitioners
29. could release pressure of the dermatologist's nowadays already restricted time. Furthermore,
30. technical advances in the management of BCC patients may alleviate the pressure on special-
31. ized care. BCC treatments other than surgery, could contribute to a more efficient way to deal
32. with the large group of BCC patients. Although new therapies such as photodynamic therapy
33. and imiquimod have been developed over the last decade, these therapies may not be very
34. effective in reducing the BCC burden. Currently, no new technological perspectives are
35. known that could make BCC care in the future more efficient, although promising research
36. has been done for early stage diagnosis of NMSC with fluorescence detection.^{19,22}
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1. *Strengths and limitations*

2. Extrapolating data from ECR gave us an impression of the annual number of newly diagnosed
3. BCC patients in The Netherlands. Nevertheless, demographic factors such as high socio-
4. economic status, (work related) UV-exposition and ethnicity differ across the country; which
5. can influence BCC development.²³⁻²⁵ Indeed, nationwide age-specific incidence rates of mela-
6. noma standardized to the European Standard population do slightly differ from melanoma
7. incidence rates calculated by ECR, however this difference is relatively small (20.0 versus 18.8
8. ESR, respectively) and indicates an underestimation of our extrapolated BCC estimates.²⁶
9. ECR is the only cancer registry of The Netherlands that registers first, histologically proven
10. BCCs, and is therefore the only database available to calculate estimates of size for BCCs in
11. The Netherlands. In practise BCCs may be treated without histological verification (especially
12. when treated with non-surgical therapies or when a patient has had a previous BCC diag-
13. nosis). BCCs based on a clinical diagnosis will therefore not appear in the cancer registry.
14. Although, this likely underestimates the absolute numbers of first histologically proven BCCs
15. in the ECR data and thus in the calculated numbers for The Netherlands, it implies that the
16. BCC incidence numbers are actually even higher than thought. Furthermore, it is almost im-
17. possible for ECR to register multiple BCCs per patients, since it would consume lots of limited
18. resources because of its volume and it is difficult to distinguish between primary tumors and
19. recurrences and to decide which of the BCCs that occur on the same day would be the 'first'.
20. Our observed first, primary BCC numbers by subsite extrapolated to The Netherlands are in con-
21. cordance with the results of a large Dutch population-based study including more than 11,000
22. people of 55 years or more, with the head and neck area being the predominant site for BCCs in
23. both studies followed by trunk.⁹ Therefore, location by subsite seems to be adequately registered
24. by ECR with PALGA as a signalling source. To validate the prediction methods used, we compared
25. predicted with observed BCC incidence rates and numbers in The Netherlands for the year 2005
26. (Table 3).⁷ The rates and numbers for predicted BCC of 2005 have been re-calculated compared
27. to the previous publication of de Vries *et al.* because of corrections in the registry database, but
28. using the same methodology and based on the corrected data of 1989 – 2000.⁷ Observed BCC
29. incidence in 2005 was higher than predicted, suggesting that rates increased faster than assumed
30. based on data from 1989 – 2000 (data not shown). The total number of patients diagnosed with a
31. first BCC in 2005 was 23,091 versus 19,023 (95% PI 17,913 – 20,132) predicted, which is 21% higher.
32. This 21% underestimation of the predicted numbers is likely due to an apparent acceleration of
33. the estimated absolute numbers of first, primary BCCs starting around the year 2000 as is seen in
34. figure 1B. The most probable explanation for this relatively steep acceleration in first, primary BCC
35. incidence rates and numbers is changes in medical practice, i.e. increased (early) detection and
36. more BCCs being diagnosed with histological verification. Other causes could be real accelera-
37. tions in the increasing trends in BCCs or methodological problems in the prediction models.²⁷
38. The time period on which the predictions were based was probably correct, as the increases in
39. incidence were steady over time since 1989. However, our recent predictions made for the future

1. should be interpreted with caution and be used as guidelines by health care organizations, since
2. BCC rates and numbers will probably be much higher than suggested.
3. In addition, the advantage of using prevalence data is that the 'multiple' BCCs are of less
4. importance. Patients who have been diagnosed with one or multiple tumors are still 'only'
5. one person; hence the number of prevalent cases is informative. Moreover, since we know
6. from previous studies that within 5 years after diagnosis about 40% of BCC patients have
7. developed multiple tumors, we can apply these numbers to the prevalence data to get a
8. grasp of the multiple BCC problem.⁸⁻⁹

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11. **CONCLUSION**

12. This observational study shows that BCC is a significant health care issue and that its burden
13. is likely to further increase over time. The incidence and prevalence estimates of BCC should
14. urge policymakers to provide solutions, since this growing group of BCC patients are already
15. and will remain a serious healthcare problem in terms of numbers of patients treated and
16. monitored and related health care costs.

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CHAPTER 3

Trends in basal cell carcinoma incidence rates: a 37-year Dutch observational study

S.C. Flohil

I. Seubring

M.M. van Rossum

J.W.W. Coebergh

E. de Vries

T. Nijsten

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1. **ABSTRACT**

2. Basal cell carcinoma (BCC) incidence rates are increasing. From 1973-2009, data on all first
3. histologically confirmed BCCs were gained from the Eindhoven Cancer Registry to estimate
4. trends in patient-based BCC incidence rates by sex, age group and site in the southeast of
5. The Netherlands. Trends in European age-standardised (ESR) and age- and site- specific in-
6. cidence rates were assessed by calculating the estimated annual percentage change (EAPC).
7. Between 1973 and 2009, the ESR quadrupled from 40 to 165 per 100 000 person-years for
8. men and from 34 to 157 for women, significantly increasing since 1973 in both sexes, but
9. accelerating from 2002 until 2009 with an EAPC of 6.8% (95% confidence interval [CI] 5.3 to
10. 8.3) for men and 7.9% (95% CI 6.2 to 9.7) for women. Women below forty exhibited a constant
11. linear increase of 6.3% since 1973. Head and neck was most often affected in both sexes, but
12. the steepest increase was seen for the trunk (EAPC men 13%, women 15%).
13. In the absence of reliable tumour-based rates, these alarming patient-based rates are
14. probably an interesting indicator for the impact of more intensive ultraviolet-exposure in a
15. prosperous European population.

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1. INTRODUCTION

2. The incidence rates of ultraviolet (UV)-induced skin cancers, including basal cell carcinoma
 3. (BCC), are increasing worldwide and are becoming a major public health concern.¹⁻⁴ Mor-
 4. tality rates associated with BCC are low (< 0.1%), but localized tissue invasion may induce
 5. considerable functional and cosmetic morbidity, especially since the majority of the lesions
 6. are located on the face.⁵⁻⁷ Only few cancer registries record BCC and in most cases only the
 7. first histologically confirmed BCC per patient is included.^{1,3-4} This is mainly due to the large
 8. number of tumors involved and its associated costs.
 9. In general, BCCs are considered a disease of the elderly.^{1,4,8} However, a recent large Danish
 10. population-based study between 1978 and 2007 showed that the average percentage change
 11. in BCC incidence was significantly higher among those younger than forty years than in older
 12. persons, especially in women.^{1,3} This was in accordance with most other studies reporting
 13. a trend toward higher increase in BCC incidence in younger persons.⁹⁻¹¹ For squamous cell
 14. carcinoma (SCC) and melanoma similar increases in rates have been observed for younger
 15. persons.¹² An increase in the number of BCC patients, especially in younger age groups, may
 16. lead to an exponential rise in the overall occurrence of BCC over time, particularly since the
 17. population ages and most likely around 30% will develop subsequent BCCs within 5 years.¹³
 18. A previous study of the BCCs registered in the Dutch Eindhoven Cancer Registry (ECR) from
 19. 1973 until 2000, observed significant and consistent increases in BCC rates for both sexes.¹⁴
 20. ⁹ The objective of this study was to investigate potential changes in trends in the increasing
 21. BCC incidence rates by age and site in the southeast Netherlands (latitude 51° north), using
 22. up-to-date ECR data until 2009.

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25. RESULTS

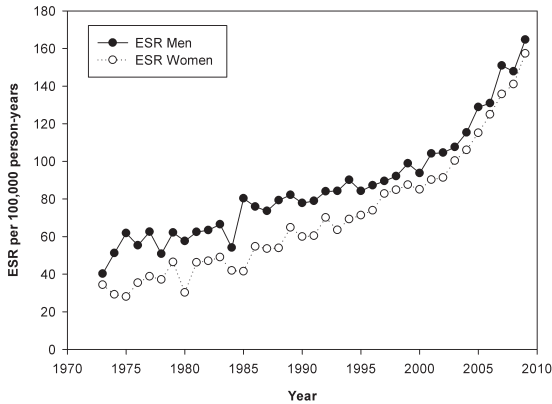
26. *Age-standardised incidence rates*

27. Between 1973 and 2009, 52 831 first histologically confirmed BCCs were registered in 52
 28. 831 patients recorded in the ECR, corresponding to 26 155 (49.5%) men and 26 676 (50.5%)
 29. women. During this 37-year-period, the age-standardised incidence rates increased with ap-
 30. proximately a fourfold for both men and women, respectively from 40 to 165 and 34 to 157
 31. per 100 000 person-years (figure 1 and table 1).

32. The highest relative increase in rates (12-fold) was found in women below forty years, with
 33. an increase from 1.82 to 22.2 per 100 000 persons-years, followed by women aged between
 34. 40 – 64 years (table 1). For men, this was observed within the oldest age group (4.4-fold),
 35. while women in this age group had the lowest increase (3-fold) over time.

36. For all body sites, the age-standardised BCC incidence rates increased significantly between
 37. 1973 and 2009. In 2009, the head and neck region was most often affected in both men and
 38. women (99.2 and 85.5 per 100 000 persons-years, respectively). More than 58% of the total
 39. number of newly diagnosed BCCs (n = 4 511) in 2009 was located within the head and neck

1. region. However, during the 37-years of observation, the highest relative increase in rates
 2. was found on trunk in men (77-fold), followed by legs (32-fold) and trunk (25-fold) in women
 3. (table 1).



17. **Figure 1.** Age-standardised incidence rates (European standardised rates [ESR]) from 1973 to
 18. 2009 of first, histologically confirmed basal cell carcinoma in the southeast Netherlands by men and
 19. women

20.
 21. *Trends by age*

22. Since 1973, a significant increase in patient-based incidence rates was observed with join-
 23. point analyses for men and women, 2.3% and 3.9% respectively (table 2). Around the years
 24. 2002 – 2003 until 2009, this trend accelerated, which resulted in a more than doubled EAPC
 25. of 6.8% for men and 7.9% for women. Men within the youngest age group had no significant

27. **Table 1.** Age-standardised incidence rates (European standardised rates [ESR]) by age and site from 1973
 28. to 2009 of first, histologically confirmed basal cell carcinoma in the southeast Netherlands

| | ESR Men | | ESR Women | |
|------------------|---------|-------|-----------|-------|
| | 1973 | 2009 | 1973 | 2009 |
| Age (yrs) | | | | |
| All | 40.2 | 164.7 | 34.4 | 157.3 |
| < 40 | 2.4 | 9.9 | 1.8 | 22.2 |
| 40 - 64 | 53.9 | 203.1 | 35.4 | 242.8 |
| ≥ 65 | 196.6 | 854.6 | 199.6 | 608.8 |
| Site | | | | |
| Head/neck | 37.7 | 99.2 | 28.5 | 85.5 |
| Trunk | 0.5 | 38.7 | 1.7 | 41.7 |
| Arms | 1.4 | 15.0 | 1.8 | 12.5 |
| Legs | 0.5 | 8.5 | 0.4 | 12.8 |
| Lip | 0.0 | 2.4 | 1.4 | 4.2 |

39. Legend: European standardised rate (ESR) expressed per 100 000 persons-years

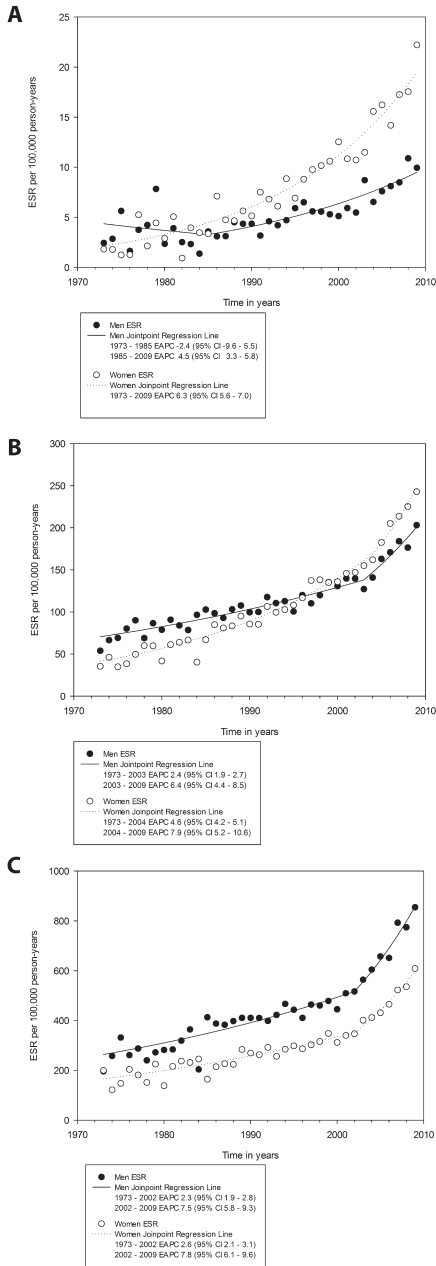


Figure 2. Jointpoint regression lines based on basal cell carcinoma age-standardised incidence rates (European standardised rates [ESR]) from 1973 to 2009 in the southeast Netherlands

- A. Men and women < 40 years
- B. Men and women 40 - 64 years
- C. Men and women ≥ 65 years

Abbreviations: CI, confidence interval; EAPC, estimated annual percentage change (within jointpoint time segment); ESR, European Standardised Rate

Table 2. Time trends in age- and site-specific incidence rates of basal cell carcinoma among men and women in the southeast Netherlands from 1973 to 2009

| | Men | | | | Women | | | |
|------------------|--------------------|-------------------|-------------|-------------------|-------------|-----------------|-------------|-------------------|
| | Trend 1 | | Trend 2 | | Trend 1 | | Trend 2 | |
| | Years ¹ | EAPC (95% CI) | Years | EAPC (95% CI) | Years | EAPC (95% CI) | Years | EAPC (95% CI) |
| Age (yrs) | | | | | | | | |
| All | 1973 - 2002 | 2.3 (2.0 - 2.7) | 2002 - 2009 | 6.8 (5.3 - 8.3) | 1973 - 2003 | 3.9 (3.5 - 4.2) | 2003 - 2009 | 7.9 (6.2 - 9.7) |
| < 40 | 1973 - 1985 | -2.4 (-9.6 - 5.5) | 1985 - 2009 | 4.5 (3.3 - 5.8) | 1973 - 2009 | 6.3 (5.6 - 7.0) | | |
| 40 - 64 | 1973 - 2003 | 2.4 (1.9 - 2.7) | 2003 - 2009 | 6.4 (4.4 - 8.5) | 1973 - 2004 | 4.6 (4.2 - 5.1) | 2004 - 2009 | 7.9 (5.2 - 10.6) |
| ≥ 65 | 1973 - 2002 | 2.3 (1.9 - 2.8) | 2002 - 2009 | 7.5 (5.8 - 9.3) | 1973 - 2002 | 2.6 (2.1 - 3.1) | 2002 - 2009 | 7.8 (6.1 - 9.6) |
| Site | | | | | | | | |
| Head/neck | 1973 - 2003 | 1.6 (1.2 - 1.9) | 2003 - 2009 | 4.7 (2.3 - 7.1) | 1973 - 2004 | 2.8 (2.4 - 3.1) | 2004 - 2009 | 5.8 (2.8 - 8.8) |
| Trunk | 1973 - 2003 | 5.6 (4.6 - 6.5) | 2003 - 2009 | 12.8 (8.8 - 17.0) | 1973 - 2005 | 7.8 (7.0 - 8.5) | 2005 - 2009 | 14.8 (9.4 - 20.5) |
| Arms | 1973 - 1998 | 4.2 (2.5 - 6.0) | 1998 - 2009 | 11.2 (9.0 - 13.4) | 1973 - 2009 | 8.7 (8.0 - 9.5) | | |
| Legs | 1973 - 2009 | 8.1 (6.9 - 9.4) | | | 1973 - 2009 | 7.8 (7.0 - 8.6) | | |
| Lip | 1973 - 2009 | 0.5 (-0.8 - 1.9) | | | 1973 - 2009 | 3.2 (2.3 - 4.1) | | |

¹Period in years within joinpoint segment.

Abbreviations: CI, confidence interval; EAPC, estimated annual percentage change within joinpoint segment

increase in BCC incidence from 1973 to 1985, whereas after that period the EAPC rose to 4.5% (95% confidence interval [CI] 3.3 – 5.8). For women below forty years, no joinpoint was observed between 1973 and 2009 resulting in an annual linear increase of BCC incidence of 6.3% from 1973 to 2009 (figure 2). From 1973 onwards, the BCC incidence of men and women in age groups 40 – 64 and ≥ 65 years increased steadily over time, with EAPCs ranging from 2.3 to 4.6% (figure 2). Around the years 2002 – 2004, this pattern significantly altered according to the joinpoint analysis and trends in BCC incidence accelerated, with the highest EAPC of 7.9% (95% CI 5.2 – 10.6) found in women aged between 40 and 64 years (table 2).

Trends by site

All body sites exhibited significant increases in BCC rates, except for the lip in men (table 2). The head and neck region was the most commonly affected site for BCC during the whole study period, but showed the lowest annual increase in rates per year (4.7% in men and 5.8% in women). In the last 4 to 6 years prior to 2009, steep increases were especially seen for BCC on the trunk in men (EAPC 12.8%) and women (EAPC 14.8%). From 1998 onwards, a similar acceleration in rates was observed for BCCs on arms in men. For BCC located on the legs, there was a constant linear increase in rates (table 2).

BCC cumulative risk

In 2009, the cumulative risk for developing a first histologically confirmed BCC before the age of 40 years was higher in women (0.9%) than in men (0.4%) corresponding to 1 in 112 and 1 in 250 respectively. When expanding the risk set to 65 years, again women were more often

1. affected by BCC than men (7.0% [1 in 14] versus 5.5% [1 in 18]). However, the lifetime BCC risk
2. for men was approximately 1 in 5 (21%) and 1 in 6 (18%) for women.

3.

4.

5. **DISCUSSION**

6. In 2009, more Dutch citizens were newly diagnosed with BCC than with any other cancer.¹⁵ El-
7. derly people were most often affected by BCC, but increases in BCC occurrence were steepest
8. among young women, which is in line with other observational studies.^{3,10} Between 1973 and
9. 2009, women aged forty years or less showed the most rapid increase in BCC rates compared
10. to other age groups. Young women were also the only subgroup with a high constant linear
11. increase in BCC rates over the last 37 years. Since 2002, accelerations in the speed of increase
12. of incidence were also noted among older women and in men.

13.

14. The continuous increment was not restricted to BCC incidence. The melanoma and SCC inci-
15. dence increased significantly in young people but this is even more pronounced in elderly.^{12,16}
16. In accordance with previous studies, BCC were predominantly located in the chronically UV
17. - exposed head and neck region.^{13,17} However, the steepest acceleration in site-specific BCC
18. rates was detected on the trunk, most likely due to more frequent intermittent UV - exposure
19. (e.g., more people travelling, wearing a bikini and practicing outdoor activities) of otherwise
20. covered body parts.¹⁸

21.

22. *Accelerating increase in BCC rates*

23. From 2002 onwards, we observe an acceleration in the increase in BCC rates. Interestingly, we
24. also observed a jointpoint for SCC trends in the same year with an EAPC of 9.2% for women
25. and 6.9% for men¹⁹, but not in trends of melanoma incidence using nationwide cancer reg-
26. istry data.² This deviation from the trend in incidence of keratinocyte carcinomas (BCC and
27. SCC) may be due to several factors. An increased awareness of cutaneous malignancies in
28. the general population due to skin cancer prevention campaigns may have led to more skin
29. checks and diagnoses of previously undiagnosed KCs. Also, the number of practicing derma-
30. tologists in the ECR region may have increased. This, together with the fact that clinicians are
31. more aware of skin cancer and more often perform full body skin examinations, increases the
32. likelihood of KCs being diagnosed.²⁰⁻²¹ The fact that the most marked increase in incidence
33. is on the trunk is also consistent with increased surveillance as suggested previously.²¹ Al-
34. though the rise in melanoma incidence can partly be explained by overdiagnosis through
35. improvement of histological diagnostic criteria, this is probably not the case for BCC.²² An
36. increased detection rate of BCC in cancer registries based on pathology reports may also be
37. induced by changes in healthcare organization and/or reimbursement of care. The jointpoint
38. for BCC was observed in 2002, however market forces were introduced much later in the
39. Netherlands, namely in 2006, stimulating Dutch clinicians to treat non-life threatening BCCs

1. more rigorously than before (e.g. surgical excision instead of cryotherapy, curettage, electro-
2. dissection or a wait-and-see policy in elderly with multiple co-morbidities). This resulted in
3. more histopathologically confirmed BCCs. It is unlikely that improved processes within the
4. registry resulted in a higher capture rate and explain the changes in BCC and SCC incidence
5. as there is substantial continuity in the registration staff (personal communication Eindhoven
6. Cancer Registry). The demographic changes in the Dutch population (i.e., gender and age)
7. were adjusted for in the analyses and therefore cannot explain the increase in BCC either. In
8. fact, the proportion of people with dark skin, protective of skin cancer, increased during the
9. study period which would in theory lead to dilution of risk.²³⁻²⁵ The most plausible explanation
10. is increased UV- exposure due to outdoor leisure activities and sports since the 1950's
11. when weekly working hours were reduced and the number of holidays were increased.¹⁸ In
12. the early 1980's air travel became less expensive and more accessible to the general Dutch
13. population encouraging people to travel to sunny destinations.²⁶ Furthermore in the last
14. decade sunbed use has increased dramatically, particularly in younger populations.²⁷ In the
15. Netherlands, the popularity of sunbed use started around 1990, but regulatory changes have
16. only been introduced recently.

17.

18. *Implications*

19. Knowledge about sun protective behaviour is considered to be relatively good among the
20. European population, but informing people about adverse effects (of the sun) does not necessarily
21. induce changes in risk behaviour²⁸⁻³⁰ because despite multiple prevention campaigns
22. the 'knowledge-behaviour gap' remains.^{1, 28, 31-34} Therefore, more effective interventions focused
23. on specific subgroups of the populations to influence skin cancer risk behaviour should
24. be explored. More strict legislations should be considered, for example, restricting sunbed
25. use to persons of eighteen years or older. The growing number of skin cancers will place
26. increasing demands on health care providers, i.e., more dermatologists, nurse practitioners
27. and physician assistants specialized in skin cancer may be required. Also, general physicians
28. and (plastic-)surgeons might wish to be more informed about diagnosis and treatment of
29. cutaneous (pre)malignancies.
30. Moreover, the fast growing number of (young) BCC patients emphasises the need to increase
31. skin cancer awareness among all clinicians to improve the case-finding strategy among
32. those involved in skin cancer care (e.g., general practitioners, dermatologists and [plastic-]
33. surgeons). BCCs should be part of the differential diagnosis in persistent solitary skin lesion
34. even in younger persons.

35.

36. *Strengths and limitations*

37. The ECR reports the first histologically confirmed BCC per patient, ignoring BCCs that were
38. clinically diagnosed and treated without histological confirmation. Therefore, the incidence
39. rates provided within this study are probably an underestimation of the true BCC incidence.

1. The degree of underestimation is likely to be small as a previous Dutch study observed that
2. 7% of all subsequent BCCs in patients with prior BCC were not histologically diagnosed.³⁵⁻³⁶
3. Unfortunately, there is no information available on the proportion of non-histologically
4. diagnosed BCC before this study of 2012.
5. It was assumed that the age-standardised BCC rates calculated with population-based
6. data from the ECR were representative for the Netherlands as a whole¹, although other
7. demographic factors such as socio-economic status and ethnicity that affect BCC risk may
8. vary across the country. However, age-standardised incidence rates of melanoma based on
9. nationwide data from 2009 differed only slightly from the rates calculated with data from ECR
10. (22.8 versus 19.6, respectively), indicating a minor underestimation when extrapolating our
11. BCC estimates).¹⁵
12. The non significant trend in rates observed among men under forty from 1973 to 1985 is
13. probably due to the small number of men with BCC in this age category during that period.
- 14.

15.

16. **CONCLUSION**

17. In addition to the continuous increase in BCC incidence rates, an alarming acceleration in BCC
18. rates was observed after 2002 in the southeast of The Netherlands. Besides emphasising the
19. need for effective primary preventative skin cancer strategies, these results are a warning to
20. our society. Governments together with health professionals need to respond more actively
21. and differently to this 'BCC-epidemic' to stabilize and ultimately decrease BCC rates in the
22. future.

23.

24.

25. **MATERIAL AND METHODS**

26. *Data collection*

27. For BCC incidence, we used patient-based data from ECR, which is part of the Netherlands
28. Cancer Registry and located at the Comprehensive Cancer Centre South. ECR is the only
29. population-based cancer registry in the Netherlands that routinely registers the first, histo-
30. logically confirmed BCC per patient using PALGA, the nationwide network and registry of
31. histo- and cytopathology as a signaling source.^{1,37} PALGA contains all excerpts of pathology
32. reports and has nationwide coverage since 1991 based on all dutch laboratories which gave
33. their excerpts to the cancer registry.³⁷ In this study, all individuals with a first, histologically
34. confirmed BCC diagnosed between 1973 and 2009 were obtained from ECR.³⁸ During this
35. period (January 1st 1973 to January 1st 2010), primarily due to expansion of the registry area,
36. the number of inhabitants within the ECR catchment area increased from 591 916 to 2 261
37. 967.¹⁴

38.

39.

1. *Statistical analysis*

2. First, the crude incidence of the first histologically confirmed BCC per patient was calculated.
3. Numbers of BCC cases, subdivided by sex and eighteen 5-year age groups (0 – 4, 5 – 9, 10 – 15,
4. etc), were divided by the number of inhabitants in the ECR catchment area in these same
5. categories. Then, age-standardized incidence rates were calculated for sex by age group and
6. site by direct standardization to the European Standard Population (European Standardized
7. Rates [ESR]), expressed per 100 000 person-years.¹
8. BCC site was coded according to the International Classification of Diseases for Oncology
9. (ICD-O-3) and categorized into: head and neck, trunk, arms, legs, lips and other.³⁸ Given the
10. small number of BCC cases within category 'other', these data have not been reported in this
11. study. 'Age' at date of first histologically confirmed BCC was (a priori) subdivided into three
12. groups, < 40 years, 40 – 64 years and ≥ 65 years.
13. Trends in BCC incidence rates were assessed, by calculating the estimated annual percentage
14. change (EAPC) and the corresponding 95% confidence interval (CI), with the joinpoint re-
15. gression model. The latter identifies the year in which a significant change in rates occurred.¹²
16. ³⁹ To calculate this, a regression line was fitted to the natural logarithm of the rates, using the
17. calendar year as a regressor variable (i.e., $y = ax + b$, where $y = \ln(\text{rate})$ and $x = \text{calendar year}$,
18. then $\text{EAPC} = 100 \times (e^a - 1)$). Trends in incidence rates were described for site and age group
19. by sex. Statistical analyses were performed with Joinpoint version 3.5.2 obtained from the
20. National Cancer Institute (<http://surveillance.cancer.gov/joinpoint>).
21. The cumulative risk of developing a BCC before ages 40, 65 and 85 years (the latter consid-
22. ered as BCC lifetime risk) was calculated for the year 2009. First, the cumulative incidence
23. rates of BCC occurrence before ages 40, 65 and 85 years were calculated as the sum of the
24. sex – and age - specific incidence rates for ages 0 – 39, 0 – 64 and 0 – 84, respectively and
25. multiplied by the width of the age groups (5-years). Then, cumulative risks were calculated
26. from the cumulative incidence rates using the following formula: $\text{cumulative risk} = 100 \times$
27. $(1 - \exp(-\text{cumulative rate}/100))$.¹

28.

29. **Acknowledgements**

30. We thank all staff from Eindhoven Cancer Registry for dedicated data collection and disposi-
31. tion. We thank Loes Hollestein for statistical assistance.

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CHAPTER 4

Cumulative risks and rates of subsequent basal cell carcinomas in The Netherlands

S.C. Flohil

S. Koljenović

E.R.M. de Haas

L.I.H. Overbeek

E. de Vries

T. Nijsten

Br J Dermatol 2011;165:874-81

1. **ABSTRACT**

2. **Background**

3. The incidence of multiple basal cell carcinomas (BCCs) is not well documented.

4. **Objectives**

5. To calculate the cumulative risks, rates and risk factors for the development of subsequent
6. histology confirmed BCCs.

7. **Methods**

8. For this cohort study the Dutch nationwide network and registry of histo- and cytopathology
9. (PALGA) was used. The first 2,483 patients diagnosed with a first histologically confirmed BCC
10. in the year 2004 were followed for 5 years. Multifailure survival models were used to study
11. whether gender or age affected the risk of developing subsequent tumors.

12. **Results**

13. During our observational period, the 2,483 patients developed a total of 3,793 histologically
14. confirmed BCCs. The five year cumulative risk of developing one or more subsequent BCCs
15. was 29.2%. Incidence rates were 25,318 per 100 000 person-years in the first half year after
16. first BCC diagnosis, decreasing to 6,953 per 100 000 person-years after 5 years of follow-up.
17. Males compared to females had a 30% (adjusted HR 1.30 [95% CI 1.11 – 1.53]) higher risk of
18. developing multiple BCCs and those aged 65 – 79 years had more than 80% (adjusted HR 1.81
19. [95% CI 1.37 – 2.41]) higher risk of having subsequent tumors compared to patients younger
20. than 50 years.

21. **Conclusions**

22. The high incidence rate of subsequent BCCs among patients with a first BCC is highest in
23. the first months after diagnosis of the first BCC but persists long-term, indicating that BCC
24. patients should undergo full body skin examinations at first presentation and subsequent
25. follow-up visits. Special attention should be paid to males and persons of older age at index
26. lesion.

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1. INTRODUCTION

2. Basal cell carcinoma (BCC) is the most common cancer among Caucasians and is an increasing health problem.¹⁻⁴ In The Netherlands, the European age-standardized incidence rate increased more than threefold between 1973 and 2008. In 2008, about 30,000 of the 16 million Dutch citizens were diagnosed with a first primary histologically confirmed BCC.⁴

6. Currently, no internationally accepted follow-up guidelines for BCC patients are available. According to the Dutch guideline, only patients with two or more BCCs or a BCC located in a high risk zone (e.g. nose and surroundings of the eyes) should be followed.⁵ The British guideline recommends follow-up for at least three years for patients treated for recurrent disease or with multiple BCCs.⁶ In contrast, the German guideline suggests annual follow-up visits for all BCC patients for at least three years⁷. The guidelines of the USA and Australia are even more conservative, suggesting at least annual follow-up visits for all BCC patients for life.⁸⁻⁹

14. Previous studies have recognised that follow-up visits serve important purposes such as psychosocial support in patients and (early) detection of recurrent and/or second primary tumors.¹⁰ In some studies, but not all, patients with prior BCC are at increased risk for second (invasive) primary cancers, such as keratinocytic cancers, melanoma, non-hodgkin lymphoma and cancers of the lip and salivary glands.¹¹⁻¹³

19. Although epidemiological studies with selected and small populations from the USA, Australia and The Netherlands reported that about 30 - 40% of patients with prior BCC will develop further BCC(s) within 5 years after first diagnosis, no information from larger general population-based datasets is available because cancer registries do not record all multiple BCCs.¹⁴⁻¹⁶ Such data is valuable, since it can bridge the gap between known incidence data and a full assessment of the public health burden of BCC in The Netherlands.

25. Limited reports have been published about risk factors for subsequent BCC(s) after a first BCC.^{15, 17} A Dutch prospective cohort study observed that patients with red hair, those with higher socio-economic status, and those with a BCC located on their upper extremities have a higher risk of developing multiple BCCs. A recent study in Australia found that males and those aged 60 years or more are associated with the highest BCC counts among those affected.^{15, 17}

31. More population-based research is needed, since the proportion of patients with multiple BCCs and the rate at which multiple BCCs occur has rarely been documented. Our aim was to reliably estimate the occurrence of subsequent histologically confirmed BCCs among 2,500 patients with a first histologically confirmed BCC in the year 2004. Here, we reported the cumulative risks, incidence rates and risk factors for the development of subsequent BCC(s) in The Netherlands, based on data of PALGA, the nationwide network and registry of histo- and cytopathology.

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39.

1. MATERIAL AND METHODS

2. *Study population*

3. In The Netherlands all histopathology and cytopathology reports are collected in PALGA. The
4. PALGA database is an archive, which encompasses data from all 64 pathology laboratories in
5. The Netherlands, and contains excerpts of all pathology reports with nationwide coverage
6. from 1991 onwards. Each excerpt encloses encrypted patient data, a summary of the pathol-
7. ogy report and a diagnosis line based upon standard pathology terminology similar to the
8. Systematised Nomenclature of Medicine (SNOMED) issued by the College of American
9. Pathologist.¹⁸ Individuals in the database have an encrypted patient identification code, which
10. enables linkage with all available pathology data within PALGA of this code. The latter allows
11. us to follow individual patients for subsequent histology, irrespective of where (in which
12. hospital in The Netherlands) biopsies or excisions were performed. Our search in PALGA was
13. based on codes corresponding to all types of BCC (i.e. M80903, M80913, M80923, M80931,
14. M80933, M80943, M80953, M80973 and M80983). We extracted the first 2,500 individuals of
15. the year 2004 whose pathology reports were marked, for the first time in their lifetime, with
16. one of the corresponding codes. Follow-up of subsequent BCC histology were obtained until
17. 5 years after first BCC diagnosis. Since vital status is not registered by PALGA, we calculated
18. for all patients the expected vital status based on the sex specific life-expectancy at age of
19. first BCC diagnosis (data obtained from Statistics Netherlands).¹⁹ Based on these population
20. life-tables a fictive date of death was calculated; resulting in the expectation that during our
21. 5 years of follow-up a total of 128 (5.1%) patients had died (of unknown cause).

22.

23. *Case definition*

24. BCC case definitions were generated in cooperation with an experienced dermatopatholo-
25. gist. For most patients within the PALGA database anatomical location of BCC was mentioned
26. in the reports. When the anatomical location was present, it was possible to distinguish be-
27. tween single and multiple tumors within a patient. However, in a small number of patients no
28. tumor location was available in one or more subsequent pathological reports. In these cases
29. we assumed that the biopsy followed by excision was the same tumor. In situations where
30. the margins of the previous excision were tumor free, the next BCC reported was counted as
31. a different tumor, irrespective of the location. When the margins of the preceding excision
32. were not tumor free, the next reported tumor on the same/ adjacent location was considered
33. the same BCC.

34. We assumed that subsequent BCCs reported within six months of the very first date of BCC
35. diagnosis (index date), were already (clinically) present at index date. Therefore, we counted
36. the date of the first reported BCC (index lesion) as the index date for all subsequently re-
37. ported BCCs within the period of six months.¹⁵ The BCC count was continuous; patients with
38. multiple BCCs were defined as having 2 or more BCCs in the 5 years of study period. The
39. body site of BCC was classified by dividing the body surface area into 20 distinct regions

1. (table 1). Histological subtypes were categorized into: infiltrative (including sclerosing and
 2. morpheaform), micronodular, nodular (including nodulocystic, adenoid and basosquamous
 3. types) and superficial. In mixed type BCC a superiority rule was used according to the most
 4. unfavourable subtype: infiltrative > micronodular > nodular > superficial.

5.

6. *Data analysis*

7. The cumulative risks were estimated as the number of patients with 2 or more BCCs divided
 8. by the number of patients at risk, expressed in percentages. Person-based incidence rates
 9. were calculated as the number of people with multiple BCCs divided by the total number of
 10. person-years at risk, expressed per 100 000 person-years. Person-years were counted from
 11. date of diagnosis of the first BCC until either second BCC, date of death or the end date of
 12. observation (five years after BCC index date), whichever came first.

13. When estimating the annual number of patients with one or more additional BCC(s), patients
 14. could be counted more than once when having additional BCC(s) spread over the 5 observa-
 15. tional years (table 3).

16. Differences in distribution of demographic factors between patients with one and multiple
 17. BCC(s) were compared, using the Pearson chi-square test (data not shown). The Andersen-Gill
 18. recurrent event survival analysis was performed to calculate the association between the
 19. risk factors and the development of subsequent BCC(s). This multifailure survival analysis has
 20. the advantage that it models the repeated occurrence of tumor episodes for each person as
 21. separate observations but adjusts for the relatedness of observations within one person.²⁰
 22. Proportional hazard assumptions, tested by examining the interaction between the risk esti-
 23. mates (sex and age at index date) and event time, were not violated. BCCs occurring within
 24. six months of the index date, and therefore counted as additional BCCs at index date, were
 25. counted as separate BCCs in the multifailure analysis with one day time interval between
 26. occurrences compared to the index lesion. In the multivariate model, the risk estimates were
 27. adjusted for age at index BCC (continuous variable) and sex. For all data analyses, SPSS statis-
 28. tical software version 17.0 (SPSS Inc, Chicago, Illinois) was used, except for the Andersen-Gill
 29. recurrent event survival analysis, for which SAS statistical software version 9.2 (SAS institute
 30. Inc, Cary, North Carolina) was used.

31.

32.

33. **RESULTS**

34. *Study population*

35. Of the 2,500 Dutch patients with a first histological confirmed BCC in 2004 2,483 (99.3%)
 36. were included in our study population. BCC cases were excluded from our study when the
 37. pathology reports were incomplete (n=2) or when the PALGA code did not correspond to the
 38. PALGA excerpt (n=15). The date of first diagnosis of BCC was between January 1st 2004 and
 39. March 29th 2004. Of the 2,483 included patients, 1,224 (49.3%) were males and 1,259 (50.7%)

Table 1. Characteristics of the 3,793 basal cell carcinomas developed in 2,483 patients during a total follow-up time of 12,297 person-years

| Number of tumors (total follow-up time of 12,297 persons-years) | | Number of patients (%) (n=2483) | |
|--|---|--|--|
| 1 | | 1796 (72.3%) | |
| ≥ 2 | | 687 (27.7) | |
| Number of tumors at index date* | | Number of patients (%) (n=2483) | |
| 1 | | 2204 (88.8) | |
| ≥ 2 | | 279 (11.2) | |
| Tumor site | Total study population number of tumors (%)† | Patients with 1 BCC number of tumors (%)† | Patients with multiple BCCs number of tumors (%)† |
| | (n= 3793) | (n= 1796) | (n = 1997) |
| Head and neck | 2296 (60.5) | 1225 (68.2) | 1071 (53.6) |
| Scalp | 60 (1.6) | 28 (1.6) | 32 (1.6) |
| Temporal areas | 293 (7.7) | 138 (7.7) | 155 (7.8) |
| Forehead | 341 (9.0) | 176 (9.8) | 165 (8.3) |
| Retro- and preauricular areas | 122 (3.2) | 57 (3.2) | 65 (3.3) |
| Cheeks | 238 (6.3) | 131 (7.3) | 107 (5.4) |
| Nose / surroundings of the nose | 441 (11.6) | 263 (14.6) | 178 (8.9) |
| Nasolabial fold and upper lip | 129 (3.4) | 68 (3.8) | 61 (3.1) |
| Lower lip and chin | 37 (1.0) | 13 (0.7) | 24 (1.2) |
| Jaw | 26 (0.7) | 12 (0.7) | 14 (0.7) |
| Ears | 97 (2.6) | 55 (3.1) | 42 (2.1) |
| Eyebrow areas / eye surroundings | 286 (7.5) | 180 (10.0) | 106 (5.3) |
| Head, specific location unknown | 58 (1.5) | 34 (1.9) | 24 (1.2) |
| Neck | 168 (4.4) | 70 (3.9) | 98 (4.9) |
| Trunk | 935 (24.7) | 327 (18.2) | 608 (30.4) |
| Back and shoulders | 530 (14.0) | 169 (9.4) | 361 (18.0) |
| Thorax | 310 (8.2) | 120 (6.7) | 190 (9.5) |
| Abdomen | 89 (2.3) | 36 (2.0) | 53 (2.7) |
| Trunk, specific location unknown | 6 (0.2) | 2 (0.1) | 4 (0.2) |
| Upper extremities | 157 (4.1) | 66 (3.7) | 91 (4.6) |
| Lower extremities | 247 (6.5) | 95 (5.3) | 152 (7.6) |
| Other (pelvic/anogenital area, buttocks) | 19 (0.5) | 15 (0.8) | 4 (0.2) |
| Missing | 139 (3.7) | 68 (3.8) | 71 (3.6) |
| Tumor histology | Number of tumors (%) | Number of tumors (%) | Number of tumors (%) |
| | (n= 3793) | (n= 1796) | (n = 1997) |
| Nodular | 2214 (58.4) | 1140 (63.5) | 1074 (53.8) |
| Superficial | 674 (17.7) | 187 (10.4) | 487 (24.4) |
| Infiltrative | 542 (14.3) | 298 (16.6) | 244 (12.2) |
| Micronodular | 38 (1.0) | 17 (0.9) | 21 (1.0) |
| Basal cell carcinoma, unspecified | 325 (8.6) | 154 (8.6) | 171 (8.6) |

* Basal cell carcinomas occurring within 6 months of the first date of BCC diagnosis (index date) were counted as additional tumors at index date.

† Total may not equal 100% due to rounding.

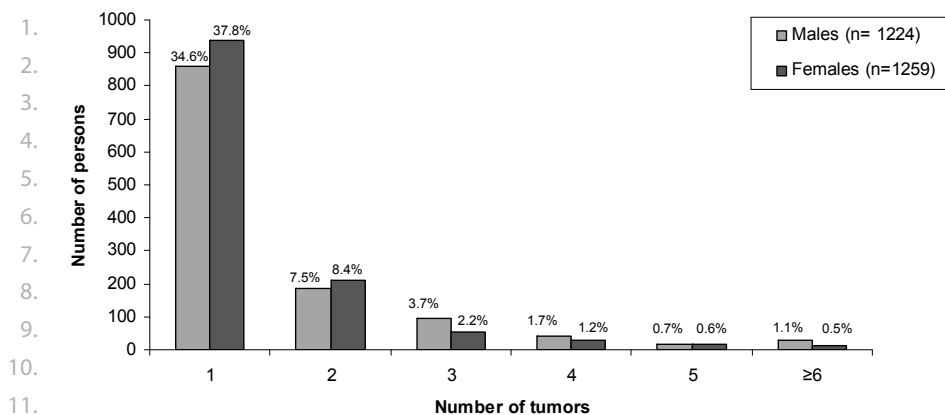


Figure 1. Absolute number of basal cell carcinomas among the 2,483 cohort members with at least one histologically confirmed basal cell carcinoma, stratified for sex and expressed in percentages

were females; in total they developed 3,793 pathology-confirmed BCCs during 5-years of follow-up. They contributed 12,297 person-years of follow-up during our study period of five years. The mean age at BCC index date was 65.1 years (range 16 – 100 years); two persons were under the age of eighteen.

BCC description

During our observational period, 1,796 (72.3%) patients had one BCC; 394 (15.9%) patients developed two and 293 (11.8%) three or more BCCs (figure 1). The mean and median numbers of BCCs were 1.52 (standard deviation 1.20) and 1.0 (interquartile range [IQR] 1), respectively. In the total study population, the most frequently affected body site was head and neck (60.5%), followed by trunk (24.7%), lower extremities (6.5%) and upper extremities (4.1%). Of the 3,793 BCCs, 19 (0.5%) tumors were located in the pelvic/anogenital region or buttocks and for 139 (3.7%) tumors a location was not registered in the PALGA database. Among patients with one or multiple BCCs, the distribution of tumor site was similar (table 1). Overall, most BCCs were of nodular histological subtype (58.4%), followed by superficial (17.7%), infiltrative (14.3%) and micronodular subtype (1.0%). Among patients with multiple BCCs, the distribution of histological subtype was similar. In contrast with the total study population and patients with multiple BCCs, the proportion of infiltrative BCCs was higher than the proportion of superficial BCCs in patients with a single BCC (table 1).

Cumulative risk of multiple BCCs

The cumulative risk of a BCC after the first 6 months from BCC index date was 11.2% (table 2). As expected, this risk increased with follow-up time. After three years, 546 patients had developed subsequent BCCs, and based on the expected life-expectancies 15 patients had died, resulting in a cumulative risk of 22.0%. Five years after BCC index date 687 patients had

1. developed subsequent BCCs and more than 5% was expected to have died; the cumulative
 2. risk was almost 30% (table 2).

3.
 4. *Incidence rate of multiple BCCs*

5. The incidence rate for the development of additional BCC(s) was the highest in the first 6
 6. months after BCC index date: 25,318 per 100 000 person-years. After one year of follow-up
 7. this rate decreased with almost 40% compared to the first six months. Three years after diag-
 8. nosis (follow-up time 6,239 person-years), the incidence rate was reduced to 8,752 per 100
 9. 000 person-years. Five years after index date the rate declined to 6,953 per 100 000 person-
 10. years, which was almost a fourfold lower than the incidence rate in the first 6 months after
 11. index date (table 2).

12.
 13.
 14. **Table 2.** Cumulative risks and incidence rates of the development of subsequent basal cell
 15. carcinomas (≥ 2 tumors in total) after index basal cell carcinoma

| Years | Number of patients with subsequent BCC (%) | Deaths during follow-up (%)** | Total follow-up time (person-years) | Cumulative risk (%) | Incidence rate (*100 000 person-years) |
|-------|--|-------------------------------|-------------------------------------|---------------------|--|
| 0.5* | 279 (11.2) | 0 (0.0) | 1102.0 | 11.2 | 25318 |
| 1 | 345 (13.9) | 0 (0.0) | 2192.2 | 13.9 | 15737 |
| 2 | 460 (18.5) | 1 (0.04) | 4267.6 | 18.5 | 10779 |
| 3 | 546 (22.0) | 15 (0.6) | 6238.9 | 22.1 | 8752 |
| 4 | 618 (24.9) | 60 (2.4) | 8110.1 | 25.5 | 7620 |
| 5 | 687 (27.7) | 128 (5.2) | 9881.2 | 29.2 | 6953 |

22. * Basal cell carcinomas occurring within 6 months of the first date of BCC diagnosis (index date) were
 23. counted as additional tumors at index date

24. ** Expected vital status of patients was calculated based on sex-specific life expectancy at age of Index
 25. BCC (data from Statistics Netherlands)

26. Abbreviation: BCC, basal cell carcinoma

27. **Table 3.** Number of patients with subsequent basal cell carcinomas and total number of subsequent
 28. basal cell carcinomas per year after index basal cell carcinoma

| Year | Number of patients with subsequent BCC (%) (n=687)** | Total number of subsequent BCCs (%) (n=1310) | Percentage of patients with two or more subsequent BCCs |
|----------|--|--|---|
| 0 - 0.5* | 279 (40.6) | 377 (28.8) | 25.5% |
| 0.5 - 1 | 95 (13.8) | 119 (9.1) | 20.0% |
| 1 - 2 | 179 (24.0) | 232 (17.7) | 17.3% |
| 2 - 3 | 165 (26.1) | 210 (16.0) | 20.0% |
| 3 - 4 | 132 (19.2) | 181 (13.8) | 20.5% |
| 4 - 5 | 151 (22.0) | 191 (14.6) | 20.5% |

36. * Basal cell carcinomas occurring within 6 months of the first date of BCC diagnosis (index date) were
 37. counted as additional tumors at index date

38. ** Patients can be counted more than once, when having additional BCC(s) spread over several years

39. Abbreviation: BCC, basal cell carcinoma

1. The median time to develop a second BCC was 11 months (IQR 32). When excluding those
2. patients who had their second BCC at index date or within the first 6 months after index date
3. (n = 279) the median time to develop a second BCC increased to 26 months (IQR 25.75).

4.
5. *Subsequent BCC per year*

6. Of the 2,483 patients, 687 developed two or more BCC(s) during our study period. About
7. 40% of these patients had one or more subsequent BCC(s) at index date or within the first
8. 6 months after index date, suggesting simultaneous development of BCCs (table 3). In the
9. years thereafter, about a quarter of the patients with multiple BCCs developed at least one
10. BCC irrespective of what occurred in the years before or after the study year. In total, the
11. 687 patients developed 1,310 (median 1 [IQR 1]) subsequent tumors. In line with the unique
12. number of patients who developed subsequent BCCs, the absolute number of BCCs (and its
13. proportion) was the highest in the first 6 months after index date. For each of the 5 years
14. since index date, approximately 15% of all subsequent BCCs were recorded. The proportion
15. of patients with multiple BCCs that developed two or more BCCs per follow-up year was
16. limited ($\leq 25.5\%$; see table 3), suggesting that if patients developed a subsequent BCC it was
17. likely to be one per year (median number of subsequent BCCs was 1.0 [IQR = 1] for each of
18. the follow-up years).

19. The number of patients with additional BCC(s), and their corresponding total number of
20. subsequent BCCs, was overall higher for men than for women (figure 2). The distribution of
21. the four age categories at index data, showed that the oldest age-group (≥ 80 years) had the

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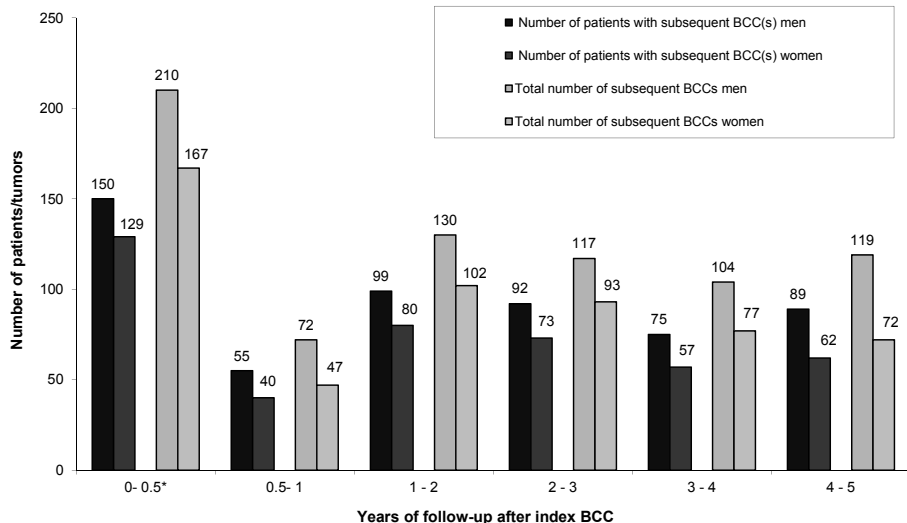
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38. **Figure 2.** Number of patients with, and the absolute number of subsequent basal cell carcinomas by sex
39. and years after index basal cell carcinoma

Table 4. Risk factors associated with the development of subsequent basal cell carcinomas

| | Patient with one BCC (n=1796) | Patients with multiple BCC (n=687) | Univariate Andersen- Gill for multiple BCCs (95% CI) | Multivariate Andersen-Gill for multiple BCCs (95% CI)* |
|--|-------------------------------------|--|--|--|
| Person-years of follow-up | 8890 | 3407 | NA | NA |
| Mean follow-up per patient (years) | 4.95 | 4.96 | NA | NA |
| Sex | | | | |
| Female | 938 (52.2) | 321 (46.7) | 1.00 (ref) | 1.00 (ref) |
| Male | 858 (47.8) | 366 (53.3) | 1.29 (1.10 - 1.51) | 1.30 (1.11 - 1.53) |
| Age, mean (min. max in years) | 64.5 (16-100) | 66.6 (28-95) | | |
| Age at index lesion (years) | | | | |
| < 50 | 280 (15.6) | 64 (9.3) | 1.00 (ref) | 1.00 (ref) |
| 50 - 64 | 589 (32.8) | 219 (31.9) | 1.68 (1.26 - 2.26) | 1.67 (1.25 - 2.24) |
| 65 - 79 | 644 (35.9) | 296 (43.1) | 1.84 (1.39 - 2.44) | 1.81 (1.37 - 2.41) |
| ≥ 80 | 283 (15.8) | 108 (15.7) | 1.53 (1.11 - 2.11) | 1.54 (1.12 - 2.13) |

* Multivariate Andersen-Gill: adjusted for sex and age at index date

Abbreviations: BCC, basal cell carcinoma; CI, confidence interval

highest percentage (13.6%) of patients with subsequent BCC(s) on index date or within the first six months after their index BCC, followed by age-groups 65 – 79 years (11.4%), 50 – 64 years (10.9%) and the youngest age-group (9.0%) (data not shown).

Age, sex and multiple BCCs

In the univariate Andersen-Gill multi failure analyses male sex and higher age at index BCC were significantly associated with the development of multiple BCCs. After inclusion of sex and age at index date in the multivariate model, both factors remained statistically significant. Males had almost 30% (adjusted HR 1.30 [95% CI 1.11 – 1.53]) more risk of developing multiple BCCs compared to females. Patients within age-group 65 until 79 years had more than 80% (adjusted HR 1.81 [95% CI 1.37 – 2.41]) higher risk of having subsequent BCC(s) in comparison with patients younger than 50 years (table 4).

DISCUSSION

This is the first Dutch study investigating the risk of subsequent BCC development in a large population-based cohort of BCC patients, including almost 2,500 patients with a first BCC. We observed a cumulative risk of approximately 30% of developing subsequent BCC(s) in first 5 years following diagnosis of the first BCC. The observed incidence rates for further BCC(s) were especially high in the first six months after BCC index date. In total, 2,483 patients developed 3,793 histologically confirmed BCCs, of which about two third were located in the head and neck region and were of the nodular subtype.

1. Our five-year cumulative risk is in accordance with a previous Dutch cohort study of middle-
 2. aged and elderly people (i.e. Rotterdam Study), but considerably lower than that of studies of
 3. selected populations from the USA or Australia.¹⁵ The USA based New Hampshire Skin Cancer
 4. Study Group, observed a 5-year cumulative probability of 41% of further BCC(s) after prior
 5. BCC.²¹ In Australia, The Nambour Skin Cancer Study showed that 46% of the 301 patients
 6. with a prior BCC developed subsequent BCCs after a follow-up period of 10-years.¹⁶ The lower
 7. cumulative risks in The Netherlands were to be expected; since Australia and the USA have
 8. different climates/latitudes compared to The Netherlands with many more hours of sunshine
 9. per year and are primarily inhabited by people of primary Northern European descent. Other
 10. high risk populations, such as transplant patients and PUVA recipients had also 20% higher
 11. 5-year cumulative risks of subsequent BCC(s) than our group of patients with prior BCC.²²⁻²⁴
 12. The rate of developing a subsequent BCC was almost fourfold higher in the first 6 months
 13. post-diagnosis compared to year 5 after diagnosis. Increased skin cancer awareness and self-
 14. detection are likely to have caused part of the high incidence rate observed in the first half
 15. year of our study period. However, the main cause is probably similar to what occurs in or-
 16. ganised mass-screening programs with the 'prevalence screen', i.e. the first time a population
 17. is screened for a specific disease and many cases are identified due to a case finding effect,
 18. causing incidence rates to be high.²⁵ Although no formal screening took place in our study,
 19. after first BCC diagnosis, patients had probably several follow-up visits at their (dermatologi-
 20. cal) clinic, concerning patient reassuring, treatment and follow-up. When several pre-existing
 21. (prevalent) BCCs existed among these patients, they were detected by the patients and/or
 22. physicians during this period. Consequently, the number of patients with primary additional
 23. BCC(s) in the second half year after index date decreased rapidly compared to the first half
 24. year, as observed in our data and depicted in table 3 and figure 2.
 25. The characteristics of the 3,793 studied BCC tumors are in concordance with previous pub-
 26. lished results, in which the most prominent tumor site was head and neck, followed by trunk,
 27. lower and upper extremities.^{15,26} The distribution of histological subtypes were in accordance
 28. with previous studies in which nodular subtype was the most common, followed by superfi-
 29. cial, infiltrative and micronodular subtype.²⁶⁻²⁷

30. *Full body skin examination*

31. *Full body skin examination*
 32. Approximately one third of people diagnosed with subsequent BCC(s) had these tumors
 33. within the first half year of follow-up emphasizing the need for full body skin examination
 34. (FBSE), especially at date of first diagnosis. The 'prevalence screen-effect' observed in our
 35. study indicated that patients had multiple BCCs at date of first diagnosis. For example, as
 36. most BCCs are located in head and neck region, physicians confronted with such a patient,
 37. may disregard the less visible parts of the body whilst missing possible other (already ex-
 38. isting) BCC(s) hidden under the patients' clothes.²⁶ On the other side, patients and/or their
 39. relatives have after first skin cancer diagnosis and probably associated biopsy, treatment and

1. sun protecting advices, increased skin cancer awareness. They become concerned about
2. other suspected skin lesions, return to their physician, possibly presenting with additional
3. BCC(s), which were probably already present at date of first BCC diagnosis.
4. FBSE should especially be recommended for males and elderly people since we observed
5. that these patients were at greater risk of developing additional BCC(s), which is in accor-
6. dance with some, but not all, previously published studies.^{15, 17, 21, 28} Older age at index lesion
7. was related with an increased risk of subsequent BCC(s), which is different than reported
8. in a Dutch cohort study among elderly (aged >55 years) inhabitants.¹⁵ Variations in the age
9. distribution pattern between the two studies probably account for part of the dissimilarity
10. observed, especially since in the current study we included patients of all ages.

11.

12. *Follow-up*

13. The necessity of follow-up visits, their frequency and duration need to be assessed. Follow-
14. up has several important aims; however, there is no clear (inter)national consensus about a
15. suitable follow-up regime of patients with BCC(s).^{5-6, 8}

16. In our study the incidence rates of developing subsequent BCC(s) were particularly high in
17. the first years of follow-up; however, with time these rates decreased rapidly, although they
18. remained high. In contrast with recommendations from the current Dutch national guideline,
19. we recommend follow-up visits for (early) detection of subsequent BCC(s).²⁸ Early stage BCCs
20. are often asymptomatic and may not be noticed by the patient until the tumor becomes
21. larger and is in a more advanced stage. Early BCC detection by a physician during follow-up
22. may therefore reduce patient morbidity by preventing growth in vital structures and mutilat-
23. ing local tissue destruction. When the tumor is relatively small, more (less-invasive) treatment
24. options (e.g. nonsurgical therapies such as photodynamic therapy and 5-fluorouracil cream)
25. are available, often resulting in a better aesthetic outcome. When surgical excision is the
26. treatment of choice, less cosmetic disfigurement is induced and less time for reconstruction
27. is needed when operating a smaller compared to a larger tumor, and concurrently, costs will
28. be reduced.

29. Based on our data, annual follow-up visits for at least three years appear to be recommended,
30. particularly paying special attention to men and persons of older age at index lesion. Imple-
31. menting such a strict follow-up regime implicates large numbers of patients to be seen by
32. physicians. However, this number will not differ that much from the current situation; since a
33. majority of the patients are already followed at least once a year after first BCC diagnosis due
34. to the regular occurrence of multiple BCCs and recurrences of treated BCCs.

35.

36. *Strengths and limitations*

37. PALGA contains primary histologically confirmed BCCs with nationwide coverage from 1991
38. and onwards.¹⁸ However, we have probably underestimated the true incidence and risk
39. of subsequent BCC(s) in our study since some patients may have been diagnosed and/ or

1. treated on clinical diagnosis alone and to a lesser extent some may have been diagnosed
2. and/or treated abroad.
3. We did not have data on vital status of the BCC patients included in our study. However,
4. previous studies estimated a relative survival of almost 100% of BCC(s)^{19, 29} and therefore, we
5. considered it appropriate to predict vital status based on sex and age specific life-expectancy.

- 6.
- 7.

8. **CONCLUSION**

9. The 'BCC epidemic' in The Netherlands still continues and it is estimated that 1 in 6 Dutch
10. citizens will develop a BCC in his/her lifetime.⁴ Patients with a prior BCC are, with a 5-year
11. cumulative risk of almost 30%, among the highest risk groups of developing another BCC.
12. Ideally, annual FBSE seems to be appropriate for at least three years. However, the (Dutch)
13. health care system has to adjust to the huge workload BCC patients put on time and re-
14. sources of physicians who take care of these patients.

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CHAPTER 5

Risk of subsequent cutaneous malignancy in patients with prior keratinocyte carcinoma: a systematic review and meta-analysis

S.C. Flohil

R.J.T. van der Leest

L.R. Arends

E. de Vries

T. Nijsten

Submitted

1. **ABSTRACT**

2. **Background**

3. Patients with a keratinocyte carcinoma (KC) are at increased risk of developing subsequent
4. malignancies of the skin. In this systematic review and meta-analysis the risk of a subsequent
5. BCC, SCC or melanoma in patients with a previous KC was investigated.

6. **Methods**

7. A comprehensive literature search was performed in Pubmed, Embase, Web of Science and
8. the Cochrane library to find studies published before January 1st 2012 that reported risks
9. (i.e. proportions, cumulative risks or standardized incidence ratios [SIR]) of developing a
10. subsequent BCC, SCC or melanoma in patients with prior KC. Pooled estimates for propor-
11. tion and SIR with 95% confidence intervals (95% CI) were calculated using a random-effects
12. meta-analysis.

13. **Findings**

14. 45 articles fulfilled the inclusion criteria. In BCC patients, the pooled proportion for a sub-
15. sequent BCC, SCC or melanoma was respectively 29.2% (95% CI: 24.6 – 34.3%), 4.3% (1.7 –
16. 10.1%) and 0.5% (0.4 – 0.8%). The pooled proportion of a subsequent SCC, BCC or melanoma
17. in SCC patients was respectively 13.3% (95% CI: 7.4 – 22.8%), 15.9% (5.6 – 37.6%) and 0.5%
18. (0.3 – 0.6%). The pooled proportion for KC after KC was 37.0% (29.0 – 45.8%). The pooled
19. SIRs for a subsequent BCC, SCC or melanoma were respectively 17.4 (95% CI 0.0 – 37.4), 3.2
20. (0.0 – 6.5) and 2.4 (2.3 – 2.6) in BCC patients and 4.2 (95% CI 2.0 – 6.5), 15.0 (14.0 – 16.0) and 2.7
21. (2.3 – 3.2) in SCC patients. In the subgroup analyses (i.e. stratification by study quality, study
22. design and continent) of the pooled proportion and SIR, strongest differences in risks were
23. found in the continent strata (risks Australia > North America > Europe).

24. **Interpretation**

25. A prior KC is among the highest risk factors for developing another cutaneous malignancy,
26. especially for a subsequent tumor of the same origin. This risk is comparable to that of trans-
27. plant and radiotherapy recipients and patients with genodermatoses.

28. **Funding**

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30. bers 152001013 / VIDI 91711315.

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1. **INTRODUCTION**

2. Keratinocyte carcinoma (KC), comprising basal cell carcinoma (BCC) and squamous cell carcinoma (SCC) of the skin, is the most common cancer in Caucasian populations with increasing incidence rates across North America, Australia and Europe.¹ Currently, KC patients are putting a heavy burden on (dermatological) health services.¹⁻³ Although associated mortality rates are relatively low, KC may induce significant cosmetic and functional morbidity because the majority of these lesions are located in the head and neck region.⁴ Patients with prior KC are at increased risk of developing subsequent cutaneous (pre-)malignancies.^{2, 5-47} The number of population-based studies investigating risks of subsequent KC is low, because KC are often not or partially included in national or regional cancer registries. In 2000, Marcil and Stern estimated the risk of a subsequent BCC and SCC in patients with a history of KC in a meta-analysis including 17 studies.⁴⁸ A 3-year cumulative risk of 44% for BCC after BCC and 18% for SCC after SCC was observed. However, these analyses were not based on a systematic review, studies were not critically appraised, and melanoma was excluded. After 2000, multiple new studies on risk of subsequent cutaneous malignancies among patients with prior cutaneous malignancies have been published. In this systematic review and meta-analysis the risk of developing a subsequent BCC, SCC or melanoma in patients with previous KC was investigated to give a complete view on the currently available data regarding this topic. It may serve as a guide for patients and clinicians and form a basis for (future) skin cancer care and guidelines, health care policy makers and public health campaigns.

24. **METHODS**

25. This study was conducted to examine risk estimates of developing a subsequent BCC, SCC or melanoma in patients with a history of BCC, SCC or melanoma. This systematic review and meta-analysis is limited to the risk of developing a subsequent BCC, SCC or melanoma patients in patients with previous KC and excluded risks of these cutaneous malignancies amongst melanoma patients. Results were reported according to the PRISMA statement for reporting systematic reviews and meta-analyses of epidemiological studies.⁴⁹

32. *Search strategy*

33. A comprehensive literature search strategy was performed assisted by a medical librarian of the Erasmus MC University Medical Center, Rotterdam, The Netherlands. On May 5th 2011, Pubmed, Embase, Web of Science and the Cochrane library were searched with database-specific search strings (appendix table 1). On January 18th 2012, an update of the search query (May 1st 2011 until January 1st 2012) was performed. In figure 1, the selection process of included articles is shown. No relevant articles were found within the Cochrane database. To have insight in grey literature internet search engines were also searched and one ad-

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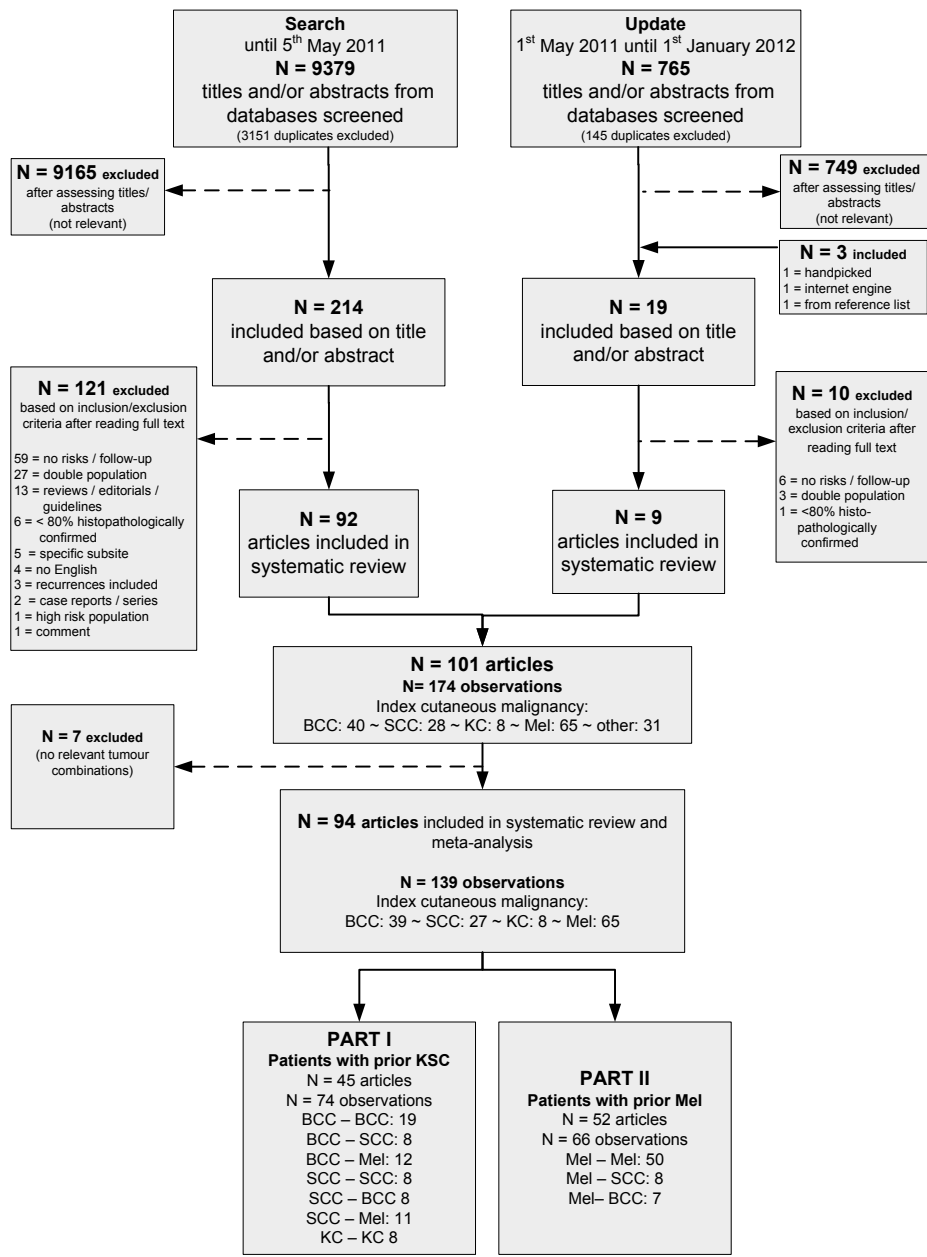


Figure 1. Selection process of included articles

Abbreviations: BCC, basal cell carcinoma; KC, keratinocyte carcinoma; Mel; melanoma; N, number; SCC, squamous cell carcinoma

ditional article was included.⁵⁰ Two other articles were handpicked; one after manually checking cross-references⁵¹ and another recent study conducted within our department.⁵² Two authors (S.F. and R.L.) reviewed independently all titles and/or abstracts (n = 10 147, including 3 handpicked). When an article fulfilled the inclusion criteria, data extraction and quality assessment were independently performed by S.F. and R.L. Disagreements were discussed and solved together in consensus with authors E.V. and T.N.

Inclusion and exclusion criteria

Studies were included when meeting the following inclusion criteria: (1) patients with a previous BCC or SCC were followed over time for the development of a subsequent BCC, SCC or melanoma and an associated proportion, standardized incidence ratio (SIR) or cumulative risk (CR) was provided; (2) skin cancer diagnoses were histopathologically confirmed in more than 80% of the cases; (3) reported in English.

Of the above mentioned eligible risk estimates, proportion was the most frequently reported in literature, however, in contrast with CR and SIR, this estimate is little informative as it is not time-specific, does not account for the competing risk 'death' and does not compare to the risk in the non-KC population.

Studies were excluded when meeting the following exclusion criteria: (1) specific patient populations who were at extreme risk of developing cutaneous malignancies (e.g. transplant patients or genodermatoses); (2) more than 10% of the first or subsequent cutaneous malignancies were recurrences or no adequate case definition was made (e.g. no distinction between recurrences and first or subsequent cutaneous malignancies); (3) animal studies; (4) review, editorial, meta-analysis, consensus, guideline, case-reports or case – series; (5) only reporting cutaneous malignancies on specific anatomical sites.

Study selection

The following 7 tumor combinations of interest were extracted: BCC after BCC, SCC after BCC, melanoma after BCC, BCC after SCC, SCC after SCC, melanoma after SCC and KC after KC.

The majority of the included articles reported separate observations for multiple tumor combinations.

If identical populations were described in several publications within the same or overlapping time period, these publications were compared and the study with the most extensive results was included. An exception was made for two studies with an overlapping study population, which provided different risks measurements.⁴⁰⁻⁴¹

Data extraction

The following information was extracted from each study: (1) study design (retrospective, prospective, population-based, hospital-based or cancer registry); (2) in - and exclusion criteria of the study; (3) abstract or full text; (4) the number of followed patients with a (first)

1. BCC, SCC or KC (the latter, when only combined data on BCC and SCC were available); (5) characteristics of study population (sex, mean [SD; standard deviation] or median age in years, mean [SD] or median follow-up time in years, total number of person-years); (6) risk estimate of developing a second or subsequent BCC, SCC, melanoma or KC (i.e. proportion, cumulative risk [CR], standardized incidence ratio [SIR]); (7) first cutaneous malignancy within patient (yes, no or unknown); (8) inclusion of *in situ* cutaneous malignancies (yes, no or unknown); (9) study location and continent; (10) year of publication.

8. In studies providing a CR for men and women separately without an overall CR, these numbers were averaged. Different nomenclature in medical literature is used for the risk measure 'Standardised Incidence Ratio' (SIR), therefore 'relative risk' (RR) and observed divided by expected (O:E) were also considered a SIR.

12.

13. *Quality assessment*

14. The study quality was assessed by using adapted criteria (appendix table 2) from the Newcastle-Ottawa quality assessment scale (NOS) which is a quality assessment tool for cohort and case-control studies in systematic reviews and meta-analyses.⁵³ The NOS is divided within three grouping items: selection (4 points), comparability (2 points) and outcome (3 points). The maximum score of an article was 9 points. The risk of bias was considered moderate or low when the overall sum was 5 points or higher.⁵⁴

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21. *Statistical methods*

22. The primary outcome of interest of this meta-analysis was the proportion of BCC, SCC or KC patients that developed a subsequent cutaneous malignancy (i.e., BCC, SCC, melanoma or KC separately). This proportion was calculated by dividing the number of patients with a subsequent skin cancer by the total number of followed patients. The second outcome of interest was SIR, calculated as the observed number of patients that developed a subsequent cutaneous malignancy by the expected number of patients in the general population (i.e. background incidence). CR was calculated by dividing the number of patients that developed a subsequent cutaneous malignancy by the total number of patients alive after a certain time period.

31. Pooled estimates for proportion and SIR with 95% confidence intervals (95% CI) were calculated with a random effects model as proposed by DerSimonian and Laird because of high study heterogeneity (I^2 index > 75%).^{55,56} In this model, the inverse of standard errors of proportion and SIR from the individual studies combined with the between study variation were used as weights. Only a limited number of studies provided a CR and most of them provided a 5 – years CR. In addition, confidence intervals and lifetables were often lacking. Therefore, it was not possible to calculate a pooled CR. However, to give an overview of the available CR data, the available 5 – year CR were averaged.

39.

1. Subgroup analyses (only performed when number of separate observations per tumor
 2. combination ≥ 5) and sensitivity analyses were performed to understand the ‘robustness’ of
 3. the data and to find possible sources for study heterogeneity.⁵⁷ In the subgroup analyses the
 4. following study characteristics were compared, overall NOS score < 5 versus ≥ 5 , popula-
 5. tion- versus hospital-based, in- versus exclusion of *in situ* cutaneous malignancies, studies
 6. that explicitly stated to follow patients with a ‘first’ BCC, SCC or KC versus studies without
 7. this statement (i.e., unknown if the patients under study were ‘new’ skin cancer patients or
 8. not). Stratification by study continent (i.e., Australia, North America and Europe) was also
 9. performed. Publication bias was statistically assessed by funnel plots and the Eggers’ test
 10. (appendix figure 1).⁵⁸ All statistical analyses were performed using the software package
 11. Comprehensive meta-analysis (version 2.2) and SPSS statistical software (version 18 for Win-
 12. dows, SPCC Inc, Chicago, Illinois).

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14. **Role of the funding source**

15. The Netherlands Organization for Health Research and Development (ZonMw project num-
 16. bers 152001013 / VID1 91711315) had no role in study design, data collection, data analysis,
 17. data interpretation or writing of the article. The corresponding author had full access to all
 18. the data in the study and had final responsibility for the decision to submit for publication.

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21. **RESULTS**

22. The literature search identified 10 147 articles of which 233 were found potentially eligible
 23. based on title or abstract. Of the 233 fully read articles, 45 were eligible in the prior KC
 24. analysis (figure 1). In these 45 articles (appendix table 3), a total of 74 separate observations
 25. (i.e., in most cases one article contained information on multiple tumor combinations) were
 26. reported for the 7 possible tumor combinations. Of these 74 separate observations, 39 had
 27. BCC as index tumor, 27 SCC and 8 KC.

28. The 45 articles in this meta-analysis included 43 cohort and 2 case – control studies. More
 29. than half of the articles were population-based ($n = 24$), of which 15 included cancer registry
 30. data. In total, 11 articles had a prospective, while 34 had a retrospective study design. Of 41
 31. articles the full text was available, whereas for 4 only abstracts^{5, 18, 35, 38} were retrieved. Fourteen
 32. countries were represented in the articles, corresponding to three continents (i.e. Australia,
 33. North America and Europe). Of the full articles, 44% was appraised with a high quality score
 34. (≥ 5 NOS score); 47% of the 74 separate observations also received this score.

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36. *BCC as index tumor*

37. 29 articles^{6, 8-9, 12, 14-18, 20, 22-24, 27-28, 31-33, 35-38, 40-42, 45, 47, 59-60}, corresponding to 39 separate observa-
 38. tions, included patients with a BCC as index tumor. In these patients, the pooled proportion
 39. for a subsequent BCC, SCC or melanoma was respectively 29.2% (95% CI 24.6 – 34.3%; $n =$

Table 1 Overview of pooled estimates of proportion with subgroup analyses for all observations

| N studies | BCC after BCC (%, 95% CI) | 7 | 11 | 6 | 5 | 9 | KC after KC (%, 95% CI) |
|-------------------------------------|------------------------------|------------------------|----------------------|-------------------------|-------------------------|----------------------|----------------------------|
| Pooled proportion | 19 (24.6-34.3) | 4.3 (1.7- 10.1) | 0.5 (0.4-0.8) | 15.9 (5.6-37.6) | 13.3 (7.4-22.8) | 0.5 (0.3-0.6) | 37.0 (29.0-45.8) |
| NOS ≥5 N studies | 6 | 4 | 9 | 4 | 3 | 8 | 1 |
| Pooled proportion | 31.1 (23.0-40.6) | 7.0 (2.3-19.6) | 0.5 (0.3-0.8) | 17.9 (4.6-49.5) | 11.3 (5.3-22.5) | 0.4 (0.3-0.5) | 50.0 (48.8-51.2) |
| NOS <5 N studies | 13 | 3 | 2 | 2 | 2 | 1 | 6 |
| Pooled proportion | 27.8 (21.9-34.6) | 1.7 (0.9-3.4) | 0.7 (0.5-1.2) | 11.5 (9.0-14.3) | 18.4 (12.3-26.7) | 1.2 (0.6-2.3) | 34.9 (25.0-46.3) |
| Population-based N studies | 8 | 4 | 9 | 4 | 4 | 8 | 2 |
| Pooled proportion | 29.7 (22.0-38.7) | 7.0 (2.3-19.6) | 0.5 (0.3-0.8) | 17.9 (4.6-49.5) | 11.8 (6.1-21.6) | 0.4 (0.3-0.5) | 44.2 (33.3-55.6) |
| Hospital based N studies | 11 | 3 | 2 | 2 | 1 | 1 | 5 |
| Pooled proportion | 29.0 (23.6-35.0) | 1.7 (0.9-3.4) | 0.7 (0.5-1.2) | 11.5 (9.1-14.3) | 21.2 (14.3-30.1) | 1.2 (0.7-2.3) | 34.2 (19.7-52.3) |
| Excluding in situ N studies | 15 | 4 | 6 | 5 | 5 | 4 | 7 |
| Pooled proportion | 29.7 (24.1-35.9) | 5.1 (1.5-15.6) | 0.6 (0.3-1.1) | 17.2 (5.1-44.0) | 13.0 (7.4-22.8) | 0.4 (0.2-0.7) | 37.0 (29.0-45.8) |
| Including in situ N studies | 0 | 1 | 0 | 1 | 0 | 1 | 0 |
| Pooled proportion | NA | 0.9 (0.3-2.9) | NA | 10.8 (8.9-13.1) | NA | 1.2 (0.7-2.3) | NA |
| In situ, unknown included N studies | 4 | 2 | 5 | 0 | 0 | 4 | 0 |
| Pooled proportion | 27.8 (20.1-37.1) | 6.0 (1.3-23.4) | 0.5 (0.3-0.6) | NA | NA | 0.5 (0.4-0.5) | NA |
| First tumor yes N studies | 9 | 4 | 7 | 4 | 3 | 9 | 1 |
| Pooled proportion | 25.8 (22.7-29.2) | 4.5 (2.5-7.9) | 0.4 (0.3-0.5) | 12.0 (6.0-22.5) | 9.7 (6.0-15.5) | 0.5 (0.3-0.6) | 39.3 (34.9-44.0) |
| First tumor no N studies | 7 | 3 | 2 | 1 | 1 | 0 | 6w |
| Pooled proportion | 42.9 (36.7-49.4) | 3.9 (0.5-27.9) | 1.5 (0.4-5.2) | 43.0 (40.9-45.1) | 17.3 (15.8-19.0) | NA | 36.7 (27.9-46.4) |
| First tumor unknown N studies | 3 | 0 | 2 | 1 | 1 | 0 | 0 |
| Pooled proportion | 20.6 (14.5-28.4) | NA | 0.5 (0.3-1.0) | 14.4 (8.9-22.6) | 21.2 (14.4-30.1) | NA | NA |
| Europe N studies | 12 | 5 | 9 | 3 | 2 | 7 | 3 |
| Pooled proportion | 27.3 (23.8-31.2) | 2.7 (1.7- 4.2) | 0.4 (0.3-0.5) | 7.2 (5.2-9.8) | 12.1 (3.8-32.7) | 0.4 (0.3-0.5) | 23.3 (19.5-27.5) |
| USA N studies | 6 | 2 | 2 | 3 | 3 | 2 | 2 |
| Pooled proportion | 32.5 (24.0-42.2) | 14.8 (8.3-25.2) | 1.7 (0.8-3.8) | 29.1 (11.0-57.7) | 15.3 (11.7-19.7) | 1.3 (0.8-2.3) | 44.8 (34.7-55.4) |
| Australia N studies | 1 | 0 | 0 | 0 | 0 | 0 | 2 |
| Pooled proportion | 57.9 (53.0-62.6) | NA | NA | NA | NA | NA | 53.3 (25.9-78.9) |

Abbreviations: BCC, basal cell carcinoma; CI, confidence interval; KC, keratinocyte carcinoma; Mel, melanoma; N, number; NA, not applicable; NOS, Newcastle – Ottawa scale; Pr, proportion; SCC, squamous cell carcinoma; USA, United States of America.

Table 2. Overview of pooled estimates of standardised incidence ratios (SIR)

| | BCC after BCC (95% CI) | SCC after BCC (95% CI) | Mel after BCC (95% CI) | BCC after SCC (95% CI) | SCC after SCC (95% CI) | Mel after SCC (95% CI) | KC after KC (95% CI) |
|----------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|---------------------------|-------------------------|
| N studies | 2 | 3 | 6 | 3 | 1 | 5 | 0 |
| Pooled estimate SIR | 17.4 (0.0-37.4) | 3.2(0.0-6.5) | 2.4 (2.3-2.6) | 4.2 (2.0-6.5) | 15.0 (14.0-16.0) | 2.8 (2.3-3.2) | NA |

Abbreviations: BCC, basal cell carcinoma; CI, confidence interval; KC, keratinocyte carcinoma; Mel, melanoma; NA, not applicable; N, number; SCC, squamous cell carcinoma; SIR, standardised incidence ratio

Table 3. Mean 5 – year cumulative risks

| | Number of studies | Mean 5-year cumulative risk (range) |
|---------------|------------------------|-------------------------------------|
| BCC after BCC | 7, 2,11,22-23,32,35,41 | 36.2% (11.0 – 49.9) |
| SCC after BCC | 0 | NA |
| Mel after BCC | 0 | NA |
| BCC after SCC | 2, 13,19 | 39.3% (6.0 – 72.5) |
| SCC after SCC | 3, 11,19,26 | 37.0% (30.0 – 50.0) |
| Mel after SCC | 0 | NA |
| KC after KC | 2, 11,30 | 36.2% (22.4 – 50.0) |

Abbreviations: BCC, basal cell carcinoma; CR, cumulative risk; Mel, melanoma; NA, not applicable; SCC, squamous cell carcinoma

19), 4.3% (1.7 – 10.1%; n = 7) and 0.5% (0.4 – 0.8%; n = 11) (figure 2 A-C). Pooled estimates within the subgroup analyses (i.e., study quality, study design, *in situ* cutaneous malignancies in- or excluded, ‘first’ cutaneous malignancy yes / no, continents) showed similar results with overlapping confidence intervals (table 1).

In the forest plots, the Australian study by Richmond Sinclair *et al.*⁴² was an outlier, with almost 58% of the BCC patients developing another BCC, compared to the other 18 studies (figure 2A). Two studies conducted in North America^{17, 20} presented relatively high proportions of patients developing a subsequent SCC (after BCC) compared to the other European studies (figure 2B). Also, melanoma risk after BCC was higher in United States (US) studies^{20,27}, compared to the European and one Canadian study¹⁵ (figure 2C).

The previous observations were confirmed within the subgroup analyses by continent (table 1). For BCC after BCC, the highest pooled proportion for BCC after BCC was found in Australia (n=1, 57.9%), followed by North America (n = 6, 32.5%) and Europe (n = 12, 27.3%). For SCC and melanoma after BCC the highest pooled proportion was observed in North America followed by Europe (table 1). In the latter two tumor combinations, no data from Australia was available.

In addition, two studies explicitly stated to have age restrictions (Cox *et al.*²⁴ and Kiiski *et al.*³¹) and two studies only contained data on low risk BCC (McLoone *et al.*¹⁴ and Pulido *et al.*³⁸). After excluding these 4 articles in a sensitivity analysis, the pooled proportion increased to 32.5% (95% CI 27.2 – 38.3).

1. Pooled SIRs, which compares the observed incidence to the expected incidence in the general
2. population, showed that patients with a BCC had a seventeen fold (SIR 17.4 [0.0 – 37.4; n = 2]
3. increased risk of a subsequent BCC compared to the general population. This was followed by
4. SCC (3.2 [0.0 – 6.5]; n = 3) and melanoma (2.4 [2.3 – 2.6]; n = 5) after BCC (table 2).
5. The mean 5–year cumulative risk (CR) for BCC after BCC^{2, 11, 22-23, 32, 35, 41} was 36.2% (n = 7, range
6. 11.0 – 49.9%). No 5-year CR were available for the other tumor combinations with BCC as
7. index tumor (table 3).

8.

9. *SCC as index tumor*

10. 17 articles^{5, 8, 10-11, 13, 15-19, 21, 26, 29, 34, 46, 61-62}, corresponding to 27 separate observations, described
11. patients with SCC as index tumor. The pooled proportion of a subsequent SCC, BCC or mela-
12. noma in SCC patients was respectively, 13.3% (95% CI 7.4 – 22.8; n = 5), 15.9% (5.6 – 37.6;
13. n = 6) and 0.5% (0.3 – 0.6; n = 9) (figure 2 D-F). In the 5 subgroup analyses, similar results
14. with overlapping CI compared to the overall pooled proportions were observed (table 1).
15. The continent with the highest pooled proportion for SCC, BCC and melanoma after SCC was
16. North America [15.3% (11.7 – 19.7; n = 3), 29.1% (11.0 – 57.7; n = 3) and 1.3% (0.8 – 2.2; n = 2),
17. respectively]. No data was available for Australia.

18. The studies performed in the USA (Schreiber *et al.*¹⁷ and Chuang *et al.*²¹, except the study by
19. Efird *et al.*¹⁰) had with 43% the highest proportions for BCC after SCC and seemed outliers
20. compared to the other four studies in this tumor combination. After excluding these two
21. studies in a sensitivity analysis, the pooled proportion for BCC after SCC decreased to 8.0%
22. (5.8 – 11.4). In melanoma after SCC, the highest proportions were found by relatively small
23. studies such as Chuang *et al.*²¹ (n = 189) and Efird *et al.*¹⁰ (n = 822), whereas others had study
24. sizes of more than 1000 patients, except Troyanova *et al.*¹⁸ (n = 741) (appendix table 3B). After
25. excluding Chang *et al.*²¹ and Efird *et al.*¹⁰ in the sensitivity analysis, the pooled proportion for
26. melanoma after SCC decreased to 0.4% (0.3 – 0.5).

27. A high SIR of 15.0 (14.0 – 16.0) was observed for SCC after SCC, however based on just one
28. study (table 2). The SIRs for BCC and melanoma after SCC were also increased, respectively
29. (4.2 [95% CI 2.0 – 6.5]; n = 3) and (2.7 [95% CI 2.3 – 3.2]; n = 5).

30.

31. The mean 5–year CR for SCC after SCC was 37.0%^{11, 19, 26} (n = 3, range 30.0 – 50.0%) and compa-
32. rable to the mean 5-year CR of BCC after SCC^{13, 19} (39.3% [n = 2, range 6.0 – 72.5%]). No 5 – year
33. CR was available for tumor combination melanoma after SCC (table 3).

34.

35. *KC as index tumor*

36. Seven articles^{7, 11, 17, 30, 39, 43, 63}, including 8 separate observations, investigated KC (BCC and SCC
37. combined) after KC. This resulted in a pooled proportion of 37.0% (95% CI 29.0 – 45.8; n =
38. 7). Czarnecki *et al.*²⁵ from Australia, the study with the longest mean follow-up time (i.e. 10
39. years), had with 67.8% a high proportion of KC patients developing another KC compared

1. to another Australian study (Raasch *et al.*³⁹ with 38.5%) and studies from North America and
2. Europe (figure 2G).
3. Although based on one study, subgroup analysis by continent (table 1) showed that the high-
4. est pooled proportion was found in Australia with 53.3% (n=2), followed by North America
5. (44.8%; n = 2) and Europe (23.3%; n = 3). No studies reported SIR as risk measurement for KC
6. after KC. The mean 5 – year CR for KC after KC was 36.2% (n = 2, range 22.4 – 50.0%).^{11,30}

7.

8.

9. **DISCUSSION**

10. This systematic review and meta-analysis emphasizes that a KC history is among the stron-
11. gest risk factors of developing another BCC, SCC or melanoma. The highest risk estimates
12. were found for subsequent cutaneous malignancies of the same type, especially for BCC in
13. which 29% of patients had subsequent BCCs. The increased risk of developing subsequent
14. BCC, SCC and melanoma after a first KC suggests a partially common aetiology of UV-induced
15. field cancerization and genetic predisposition among these three types of skin cancer.⁶⁴⁻⁶⁵
16. In contrast, the observation that people were most likely to develop an identical type of
17. malignancy suggest that there are differences in carcinogenesis and associated risk factors
18. among the three most common skin cancers.

19. A KC history seems to be among the highest risk factors for developing a subsequent KC, and
20. almost comparable to the risk of transplant recipients, radiotherapy treated patients and those
21. exposed to high doses of PUVA.⁶⁶⁻⁷⁰ Compared to these specific patient populations with an
22. iatrogenic risk of developing skin cancer, the number of patients with a history of KC is enor-
23. mous and constantly increasing implying a huge impact on health care services. Since primary
24. prevention appears to be unsuccessful in reducing the incidence of skin cancer, secondary
25. prevention strategies in which patients with a KC are informed about future risk, motivated
26. to perform self examinations and have annual total body skin examinations for 3 to 5 years by
27. trained physicians or nurse practitioners in order to detect new lesions early seems appropriate.
28. Both BCC and SCC patients also had increased SIRs for developing melanoma (2.4 and 2.7
29. respectively), which is in accordance with a previous systematic review.⁷¹ Unfortunately, in
30. this review, KC were not included as second primary cancers.⁷¹ These increased risks should
31. alert clinicians and KC patients because early detection of a subsequent melanoma may
32. decrease melanoma-associated mortality.

33.

34. *Subgroup analyses*

35. BCC, SCC and melanoma are all strongly associated with UV exposure and the incidence rates of
36. a primary skin cancer depends on geographic latitude.⁷²⁻⁷³ After stratifying for continent, effect
37. sizes of developing subsequent skin cancers after a first KC were the highest for Australia, fol-
38. lowed by North America and Europe as expected by the decreasing UV-levels among primarily
39. Caucasian populations. Therefore, the pooled risk estimates of all studies combined should be

1. interpreted with caution because it is biased by geographic location limiting the generaliz-
2. ability of the results. To maximize external validity of this meta-analysis, ideally, it would be
3. necessary to include many studies with identical study designs and large study populations for
4. each tumor combination in each continent to provide location-specific estimates.⁷⁴ Here, only
5. a limited number of countries (n = 14) and continents (n = 3) were available and the number of
6. studies in some geographic areas was low. Although we performed subgroup analyses by con-
7. tinents, differences in the distribution of people's characteristics such as pigmentation status
8. (i.e. eye-, hair- and skin color) were not accounted for further affecting the generalizability. No
9. pooled estimates could be calculated for Africa, Asia and inhabitants of the Middle-East. How-
10. ever, considering the darker pigmentation status of these inhabitants, primary and multiple
11. cutaneous malignancies might be a smaller public health problem in these regions.

12.

13. *Consequences and follow-up*

14. Recently, the US preventative task force recommended a case-finding approach in the screen-
15. ing of skin cancer.⁷⁵ Although total body skin examinations of all patients visiting a physician
16. may not be feasible in clinical practice, it is warranted in patients with a history of KC because
17. of their extremely high risk. Other important reasons of following patients with cutaneous
18. malignancies are for psychosocial support, (early) detection of a local recurrence for BCC and
19. to a lesser extent of SCC and progression of SCC and melanoma to the draining lymph nodes
20. and visceral organs.⁷⁶ Frequency and duration of follow-up of KC patients remains controver-
21. sial, but from the perspective of developing subsequent cutaneous malignancies follow-up
22. seems desirable for at least 3-5 years annually.⁵⁹

23.

24. *Strengths and limitations*

25. This is the largest systematic review and meta-analysis available on risk of subsequent skin
26. cancer after a BCC or SCC. To ensure high quality reporting, the PRISMA guidelines were
27. used.⁴⁹ The pooled risk estimates presented for all tumor combinations are probably under-
28. estimated, because some BCCs may be diagnosed clinically without histological confirma-
29. tion.⁷⁷ This problem is almost non-existent for melanoma and SCC, because these cutaneous
30. malignancies have a higher metastatic potential than BCC and are usually surgically treated
31. and histologically confirmed. A recent Dutch study observed that during a mean follow-up
32. of 6 years only 7% of the subsequent BCC in patients with a prior histologically confirmed
33. BCC were clinically diagnosed, indicating that the degree of underestimation of our data is
34. relatively limited.⁷⁸

35. The risk estimate proportion was the most frequently reported estimate in the literature
36. describing risks of subsequent cutaneous malignancies, but has the disadvantage that is not
37. time-specific nor does it account for the competing risk 'death'. A relative risk that is much
38. more informative about the risk in the study population compared to the general population
39. (SIR) and an unbiased risk over time (CR) that controls for the number of patients that died

1. during follow-up (i.e. competing risks) are preferred in subsequent (cutaneous) malignancy
2. research.⁷⁹ Unfortunately, the number of studies providing SIRs of the tumor combinations of
3. interest was low, which may be a KC specific problem. Most cancer registries do not register
4. BCCs and those that do reliably report the first but not the subsequent BCCs because of the
5. required resources and 'coding' difficulties.^{59, 80} Therefore, the risk of a subsequent BCC or SCC
6. was mostly based on smaller studies that may have inflated the pooled proportions by selec-
7. tion bias. Also, no pooled CR estimates were calculated because only a few studies reporting
8. the risk of BCC and SCC after a first KC provided this risk measurement. In contrast, studies
9. investigating the risks of subsequent melanomas were more often larger cancer registry
10. studies than studies that investigated the risk of a subsequent BCC or SCC in patients with
11. prior KC limiting the aforementioned limitations.
12. Publication bias is likely to be minimal because the risk estimates of developing a subsequent
13. cutaneous malignancy are probably increased in all studies, as illustrated in this review,
14. minimizing negative findings and thus publication bias.⁷⁴ Furthermore, publication bias was
15. unlikely due to symmetrical funnel plots and non-significant Egger's tests. The systematic lit-
16. erature search was done by a medical librarian using a string and included congress abstracts
17. and monographs (i.e., 'grey literature').⁸¹ However, language bias may have had an effect
18. because only studies reported in English were eligible. To control for multiple publication
19. bias, only the study that presented the most extensive results or had the longest follow-up
20. was included.

21.
22.

23. **CONCLUSION**

24. A history of a prior KC is a very strong predictor for developing a subsequent BCC and SCC and
25. to a lesser extent melanoma. Secondary prevention (early detection of subsequent episodes
26. of the disease) is pivotal in patients with a prior KC. Patients should be well informed about
27. future risk and require adequate follow up by physicians.

28.
29.

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34. 80. de Vries E, Micallef R, Brewster DH, et al. Population-based estimates of the occurrence of multiple vs first primary basal cell carcinomas in 4 European regions. *Arch Dermatol* 2012;148:347-54.
- 35.
36. 81. Ahmed I, Sutton AJ, Riley RD. Assessment of publication bias, selection bias, and unavailable data in meta-analyses using individual participant data: a database survey. *BMJ* 2012;344:d7762.
- 37.
- 38.
- 39.

Appendix Table 1. Search strings

| Database | Search string ^a | Number of articles (first search performed on May 5th 2012) | Number of articles (update from May 1st until January 1st 2012) ^b |
|------------------------------------|--|---|--|
| Pubmed | (cancer*[tw] OR tumor*[tw] OR tumors*[tw] OR tumou*[tw] OR carcinom*[tw] OR neoplas*[tw] OR squam*[tw] OR epitheliom*[tw] OR melanom*[tw]) AND (multiple[tw] OR subsequent[tw] OR second*[tw] OR metachron*[tw]) AND (skin*[tw] OR dermatol*[tw] OR basal[tw] OR baso*[tw] OR cutan*[tw] OR cutis*[tw] OR rodent ulcer*[tw] OR melanom*[tw]) AND (risk[mesh] OR risk*[tw] OR incidence*[tw] OR prevalence*[tw] OR epidemiol*[tw]) AND engl[aj] NOT (animals[mesh] NOT humans[mesh])) | 7076 | 409 |
| Embase | ((cancer* OR tumo* OR carcinom* OR neoplas* OR melanom*) NEAR/3 (multiple OR subsequent* OR another OR further OR more OR second* OR metachron*);ti,ab,de OR second cancer/syn) AND (((cancer* OR tumo* OR carcinom* OR neoplas* OR squam* OR epitheliom*) NEAR/3 (skin* OR derma* OR basal OR baso* OR cutan* OR cutis*);ti,ab,de OR 'skin tumor'/syn OR (rodent NEAR/1 ulcer*);ti,ab,de OR melanom*;ti,ab,de) AND (risk* OR incidence* OR prevalence* OR epidemiol*;ti,ab,de AND [english]/lim NOT ([animals]/lim NOT [humans]/lim)) | 3155 | 304 |
| Web of Science | (cancer* OR tumo* OR carcinom* OR neoplas* OR melanom*) SAME (multiple OR subsequent* OR another OR further OR more OR second* OR metachron*) AND (((cancer* OR tumo* OR carcinom* OR neoplas* OR squam* OR epitheliom*) SAME (skin* OR derma* OR basal OR baso* OR cutan* OR cutis*)) OR rodent-ulcer* OR melanom*) AND (risk* OR incidence* OR prevalence* OR epidemiol*) | 2299 | |
| Web of Science ^a | ((cancer* OR tumo* OR carcinom* OR neoplas* OR melanom*) NEAR/1 (multiple OR subsequent* OR another OR further OR more OR second* OR metachron*)) AND (((cancer* OR tumo* OR carcinom* OR neoplas* OR squam* OR epitheliom*) NEAR/3 (skin* OR derma* OR basal OR baso* OR cutan* OR cutis*)) OR (rodent NEAR/1 ulcer* OR melanom*)) AND (risk* OR incidence* OR prevalence* OR epidemiol*) | | 200 |
| Total | | 12530 | 913 |
| Deduplication | | -3151 | -148 |
| Total (after deduplication) | | 9379 | 765 |

^a Identical search strings were used for the update within Pubmed and Embase databases. The search string for Web of Science was adjusted due to changes of this database and time was restricted to 2011 and 2012.

^b Literature search was updated until January 1st 2012, but was performed on January 18th 2012

Appendix 2A. Adapted Newcastle – Ottawa quality assessment scale for cohort studies

| 1. | NOS ^a | | Adapted NOS ^b | |
|----|---|---|---|----|
| 2 | Selection | | | |
| 3 | Representativeness of the exposed cohort | | Representativeness of the cohort | |
| 4 | a) truly representative of the average ... (describe) in the community | * | population-based study | ** |
| 5 | b) somewhat representative of the average ... in the community | * | population-based study with restrictions (e.g. age limits) | * |
| 6 | c) selected group of users eg nurses, volunteers | - | hospital-based study | - |
| 7 | d) no description of the derivation of the cohort | - | no description of the derivation of the cohort | - |
| 8 | Selection of the non exposed cohort | | <i>Question removed, not applicable in our research question</i> | |
| 9 | a) drawn from the same community as the exposed cohort | * | | NA |
| 10 | b) drawn from a different source | - | | |
| 11 | c) no description of the derivation of the non exposed cohort | - | | |
| 12 | Ascertainment of exposure | | Ascertainment of completeness of the studied cohort | |
| 13 | a) secure record (eg surgical records) | * | nationwide pathology lab, Cancer Registry | * |
| 14 | b) structured interview | * | hospital-based | - |
| 15 | c) written self report | - | written self report | - |
| 16 | d) no description | - | no description | - |
| 17 | Demonstration that outcome of interest was not present at start of study | | Certainty of the first skin cancer | |
| 18 | a) Yes | * | yes, truly first skin cancer, explicitly mentioned in text | * |
| 19 | b) No | - | no / unknown | - |
| 20 | Comparability | | | |
| 21 | Comparability of cohorts on the basis of the design or analysis | | Comparability of cohorts on the basis of the design or analysis | |
| 22 | a) study controls for ... (select the most important factor) | * | risk of developing another skin cancer is stratified for sex | * |
| 23 | b) study controls for any additional factor (This criteria could be modified to indicate specific control for a second important factor.) | * | study controls for any additional factor (e.g. follow-up, age) | * |
| 24 | Outcome | | | |
| 25 | Assessment of outcome | | Ascertainment of another skin cancer | |
| 26 | a) independent blind assessment | * | record linkage (e.g. cancer registry, nationwide pathology database) | * |
| 27 | b) record linkage | * | hospital pathology database | * |
| 28 | c) self report | - | NA (exclusion criteria nr. ...) | - |
| 29 | d) no description | - | no description | - |
| 30 | Was follow-up long enough for outcomes to occur | | Was follow-up long enough for outcomes to occur | |
| 31 | a) yes (select an adequate follow up period for outcome of interest) | * | yes (mean/median follow-up time is at least 3 years) | * |
| 32 | b) No | - | no or unknown | - |
| 33 | Adequacy of follow up of cohorts | | Adequacy of follow up of cohorts | |
| 34 | a) complete follow up - all subjects accounted for | * | complete follow up - all subjects accounted for | * |
| 35 | b) subjects lost to follow up unlikely to introduce bias - small number lost - > ... % (select an adequate %) follow up, or description provided of those lost) | * | subjects lost to follow up unlikely to introduce bias - small number lost - > 80 % (select an adequate %) follow up, or description provided of those lost) | * |
| 36 | c) follow up rate < ... % (select an adequate %) and no description of those lost | - | follow up rate < 80 % (select an adequate %) and no description of those lost | - |
| 37 | d) no statement | - | no statement | - |

36. ^aA study can be awarded a maximum of one star for each numbered item within the Selection and Outcome categories. A maximum of two stars can be given for Comparability.

37. ^bA study can be awarded a maximum of one star for each numbered item within the Selection and Outcome categories (except Selection question 1, two stars can be given to population-based studies). A maximum of two stars can be given for Comparability.

Abbreviations: 'NOS'=Newcastle - Ottawa Scale; 'NA'= not applicable

Appendix 2B. Adapted Newcastle – Ottawa quality assessment scale for case-control studies

| NOS ^a | Adapted NOS ^b |
|---|---|
| Selection | |
| 1 Is the case definition adequate | Is the case definition (skin cancer patients who developed another skin cancer) adequate |
| a) yes, with independent validation | * secure record (e.g. cancer registry, nationwide pathology database) * |
| b) yes, e.g. record linkage or based on self reports | - hospital-based database - |
| c) no description | - no description - |
| 2 Representativeness of the cases | Representativeness of the cases (skin cancer patients who developed another skin cancer) |
| a) consecutive or obviously representative series of cases | * population-based study * |
| b) potential for selection biases or not stated | - population-based study with restrictions (e.g. age limits) * |
| c) | hospital-based study - |
| d) | no description of the derivation of the cohort - |
| 3 Selection of Controls | Selection of Controls (skin cancer patients who did not develop another skin cancer) |
| a) community controls | * population-based study * |
| b) hospital controls | * population-based study with restrictions (e.g. age limits) * |
| c) no description | - hospital-based study - |
| d) | no description of the derivation of the cohort - |
| 4 Definition of Controls | Definition of Controls (skin cancer patients who did not develop another skin cancer) |
| a) no history of disease (endpoint) | * no development of another skin cancer * |
| b) no description of source | - not described / unknown - |
| Comparability | |
| 1 Comparability of cases and controls on the basis of the design or analysis | Comparability of cases and controls on the basis of the design or analysis |
| a) study controls for ... (Select the most important factor.) | * study controls for age * |
| b) study controls for any additional factor (This criteria could be modified to indicate specific control for a second important factor.) | * study controls for any additional factor (e.g. sex) * |
| Exposure | |
| 2.1 Assessment of outcome | Ascertainment of another skin cancer |
| a) secure record (e.g. surgical records) | * secure record (e.g. cancer registry, nationwide pathology database) ** |
| b) structured interview where blind to case/control status | * hospital pathology database * |
| c) interview not blinded to case/control status | - NA - |
| d) written self report or medical record only | - NA - |
| e) no description | - no description - |
| 2.2 Same method of ascertainment for cases and controls | <i>Question removed, not applicable in our research question</i> |
| a) yes | * NA |
| b) No | - |
| 3 Non-Response rate | Non-Response rate |
| a) same rate for both groups | * same rate for both groups * |
| b) non respondents described | - non respondents described - |
| c) rate different and no designation | - rate different and no designation - |

^a A study can be awarded a maximum of one star for each numbered item within the Selection and Exposure categories. A maximum of two stars can be given for Comparability.

^b A study can be awarded a maximum of one star for each numbered item within the Selection and Exposure categories (except Exposure question 1, two stars can be given to a secure record). A maximum of two stars can be given for Comparability.

Abbreviations: 'NOS' = Newcastle - Ottawa Scale; 'NA' = not applicable

Appendix Table 3. Study characteristics
A. Basal cell carcinoma (BCC) as index tumor

| First author | Year | Country | Time Period | Study design | Cancer registry | Hospital-(H) or population (P)-based | No. of patients | % sub-sequent tumor | Mean age (years) | Mean follow-up years (total) | S | C | O | T |
|---------------------------------|------|-------------|-------------|----------------------|-----------------|--------------------------------------|---------------------|---------------------|------------------|------------------------------|---|---|---|---|
| BCC after BCC (n = 19) | | | | | | | | | | | | | | |
| Biro ⁴⁷ | 1975 | USA | 1966 - 1971 | Retrospective Cohort | No | H | 628 | 21.5 | - | - | 0 | 0 | 0 | 0 |
| Chuang ²⁰ | 1990 | USA | 1976 - 1984 | Retrospective Cohort | No | P | 657 | 26.0 | 65 | 5 | 4 | 0 | 2 | 6 |
| Cox ²⁴ | 1992 | UK | 1979 - 1989 | Retrospective Cohort | Yes | H | 15 - 34 year of age | 7.4 | 30 | 1 | 3 | 0 | 1 | 4 |
| Di Landro ⁹ | 2004 | Italy | 1994 - 2002 | Retrospective Cohort | No | H | 322 | 37.6 | 68 | 6 | 0 | 1 | 1 | 2 |
| Flohil ² | 2011 | Netherlands | 2004 - 2009 | Retrospective Cohort | No | P | 2483 | 27.7 | 65 | 5 | 4 | 2 | 3 | 9 |
| Karagas ¹¹ | 1992 | USA | 1980 - 1989 | Prospective Cohort | No | H | Multi-center trial | 37.5 | - | 5 | 0 | 2 | 1 | 3 |
| Kliski ³¹ | 2010 | Netherlands | 1990 - 2007 | Prospective Cohort | No | P | > 55 year of age | 31.1 | 69 | 12 | 3 | 2 | 2 | 7 |
| Lear ²² | 1997 | UK | 1991 - 1995 | Retrospective Cohort | No | H | 856 | 33.9 | 68 | - | 1 | 0 | 0 | 1 |
| Levi ³² | 2006 | Switzerland | 1976 - 2003 | Retrospective Cohort | Yes | P | 1868 | 27.1 | 69 | - | 4 | 2 | 2 | 8 |
| Marghoob ³⁵ | 1993 | USA | - | Retrospective Cohort | No | H | Whites | 52.7 | 59 | 7 | 0 | 1 | 2 | 3 |
| McLoone ¹⁴ | 2006 | UK | 1999 - 2000 | Retrospective Cohort | No | H | Only low risk BCC | 16.7 | 67 | - | 0 | 0 | 1 | 1 |
| Pulido ³⁸ | 2010 | Spain | 1997 - 2007 | Retrospective Cohort | No | H | Only solid BCC | 10.4 | 66 | 10 | 0 | 0 | 2 | 2 |
| Ramachandran ⁴⁰ | 2001 | UK | 1991 - 1998 | Prospective Cohort | No | H | 926 | 27.8 | - | - | 0 | 0 | 0 | 0 |
| Ramachandran ⁴¹ | 2009 | UK | 1991 - 1998 | Prospective Cohort | No | H | 174 | 48.9 | - | 5 | 0 | 0 | 2 | 2 |
| Reizner ⁴⁵ | 1993 | USA | 1983 - 1987 | Prospective Cohort | No | P | 242 | 16.9 | 57 | 5 | 2 | 0 | 1 | 3 |
| Revenega ⁶ | 2004 | Spain | 1998 - 2001 | Prospective Cohort | No | H | 85 | 5.9 | 3 | - | 1 | 0 | 2 | 3 |
| Richmond-Sinclair ⁴² | 2010 | Australia | 1992 - 2007 | Prospective Cohort | No | P / field trial | 401 | 57.9 | 60 | - | 1 | 1 | 1 | 3 |
| Schreiber ¹⁷ | 1990 | USA | 1985 - 1988 | Retrospective Cohort | Yes | P | 4670 | 46.9 | - | - | 3 | 1 | 1 | 5 |
| van Iersel ²³ | 2005 | Netherlands | 1993 - 1998 | Retrospective Cohort | No | H | 237 | 29.5 | - | 3.1 | 1 | 2 | 2 | 5 |

B. Squamous cell carcinoma (SCC) as index tumor

| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. | 9. | 10. | 11. | 12. | 13. | 14. | 15. | 16. | 17. | 18. | 19. | 20. | 21. | 22. | 23. | 24. | 25. | 26. | 27. | 28. | 29. | 30. | 31. | 32. | 33. | 34. | 35. | 36. | 37. | 38. | 39. |
|----------------------------------|-------------------------|-------------|-------------|----------------------------|-----------------|--------------------|------------------------|-----------------|---------------------|------------------|------------------------|----------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| First author | Year | Country | Time Period | Study design | Cancer registry | Hospital (P)-based | Sub-group | No. of patients | % sub-sequent tumor | Mean age (years) | Mean follow-up (years) | Person years (total) | S | C | O | T | | | | | | | | | | | | | | | | | | | | | | |
| SCC after SCC (n=8) | Chuang ²¹ | USA | 1976–1984 | Retrospective Cohort | No | P | - | 169 | 11.8 | 71 | 4 | - | 4 | 0 | 1 | 5 | | | | | | | | | | | | | | | | | | | | | | |
| | Chuang ⁴⁶ | USA | 1983–1987 | Prospective Cohort | No | P | - | 58 | 13.8 | 66 | 5 | 71888 | 2 | 0 | 1 | 3 | | | | | | | | | | | | | | | | | | | | | | |
| | Dong ⁶¹ | Sweden | 1958–1996 | Retrospective Cohort | Yes | P | - | 17438 | 6.9 | 72 | - | - | 4 | 2 | 1 | 7 | | | | | | | | | | | | | | | | | | | | | | |
| | Frankel ²⁶ | USA | 1980–1988 | Retrospective Cohort | No | H | Only those who had MMS | 101 | - | 67 | 5 | - | 0 | 2 | 1 | 3 | | | | | | | | | | | | | | | | | | | | | | |
| | Karagas ¹¹ | USA | 1980–1989 | Prospective Cohort | No | H | Multi-center trial | 189 | - | - | 5 | - | 0 | 2 | 1 | 3 | | | | | | | | | | | | | | | | | | | | | | |
| | Kemmett ⁵ | Scotland | 1999–2003 | Retrospective Cohort | No | H | - | 104 | 21.2 | 77 | 4 | - | 0 | 1 | 1 | 2 | | | | | | | | | | | | | | | | | | | | | | |
| | Schreiber ¹⁷ | USA | 1985–1988 | Retrospective Cohort | Yes | P | - | 2192 | 17.3 | - | - | - | 3 | 1 | 1 | 5 | | | | | | | | | | | | | | | | | | | | | | |
| | Young ¹⁹ | Australia | 1996–2006 | Retrospective Cohort | No | H | - | 40 | - | 65 | 8 | - | 0 | 0 | 3 | 3 | | | | | | | | | | | | | | | | | | | | | | |
| BCC after SCC (n=8) | Cantwell ⁸ | Ireland | 1993–2002 | Retrospective Cohort | Yes | P | - | 6401 | 5.2 | 74 | 4 | - | 4 | 1 | 3 | 8 | | | | | | | | | | | | | | | | | | | | | | |
| | Chuang ²¹ | USA | 1976–1984 | Retrospective Cohort | No | P | - | 169 | 43.2 | 71 | - | - | 4 | 0 | 1 | 5 | | | | | | | | | | | | | | | | | | | | | | |
| | Efrid ¹⁰ | USA | 1974–1989 | Retrospective Case-control | No | H | - | 822 | 10.8 | - | 8 | - | 2 | 0 | 2 | 4 | | | | | | | | | | | | | | | | | | | | | | |
| | Kemmett ⁵ | Scotland | 1999–2003 | Retrospective Cohort | No | H | - | 104 | 14.4 | 77 | 4 | - | 0 | 1 | 1 | 2 | | | | | | | | | | | | | | | | | | | | | | |
| | Levi ¹³ | Switzerland | 1974–1994 | Retrospective Cohort | Yes | P | - | 4639 | 6.8 | 74 | - | 23152 | 4 | 2 | 2 | 8 | | | | | | | | | | | | | | | | | | | | | | |
| | Pring ¹⁶ | UK | 1998–2007 | Retrospective Cohort | Yes | P | - | - | - | - | - | - | 2 | 0 | 1 | 3 | | | | | | | | | | | | | | | | | | | | | | |
| | Schreiber ¹⁷ | USA | 1985–1988 | Retrospective Cohort | Yes | P | - | 2192 | 43.0 | - | - | - | 3 | 1 | 1 | 5 | | | | | | | | | | | | | | | | | | | | | | |
| | Young ¹⁹ | Australia | 1996–2006 | Retrospective Cohort | No | H | - | 40 | - | 65 | 8 | - | 0 | 0 | 3 | 3 | | | | | | | | | | | | | | | | | | | | | | |
| Melanoma after SCC (n=11) | Cantwell ⁸ | Ireland | 1993–2002 | Retrospective Cohort | Yes | P | - | 6401 | 0.2 | 74 | 4 | - | 4 | 1 | 3 | 8 | | | | | | | | | | | | | | | | | | | | | | |
| | Chuang ²¹ | USA | 1976–1984 | Retrospective Cohort | No | P | - | 169 | 1.8 | 71 | - | - | 4 | 0 | 1 | 5 | | | | | | | | | | | | | | | | | | | | | | |
| | Efrid ¹⁰ | USA | 1974–1997 | Retrospective Case-control | No | H | - | 822 | 1.2 | - | 8 | - | 2 | 0 | 2 | 4 | | | | | | | | | | | | | | | | | | | | | | |
| | Frisch ²⁹ | Denmark | 1978–1989 | Retrospective Cohort | Yes | P | - | 5100 | 0.3 | 75 | - | 22916 | 4 | 2 | 2 | 8 | | | | | | | | | | | | | | | | | | | | | | |
| | Levi ¹³ | Switzerland | 1974–1994 | Retrospective Cohort | Yes | P | - | 4639 | 0.5 | 74 | - | 23152 | 4 | 2 | 2 | 8 | | | | | | | | | | | | | | | | | | | | | | |
| | Maitra ³⁴ | UK | 1961–2000 | Retrospective Cohort | Yes | P | - | 25731 | 0.3 | - | - | - | 4 | 1 | 1 | 6 | | | | | | | | | | | | | | | | | | | | | | |

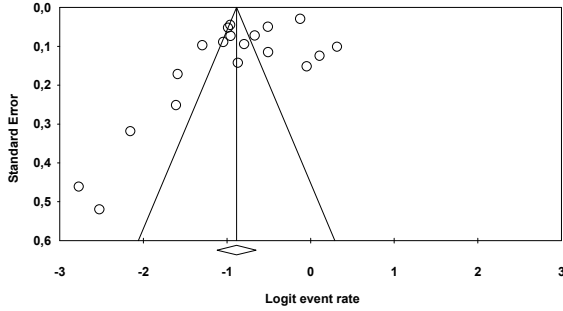
| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. | 9. | 10. | 11. | 12. | 13. | 14. | 15. | 16. | 17. | 18. | 19. | 20. | 21. | 22. | 23. | 24. | 25. | 26. | 27. | 28. | 29. | 30. | 31. | 32. | 33. | 34. | 35. | 36. | 37. | 38. | 39. |
|-------------------------|------|-----------|-----------|---------------|--------|-----|----|----|-----|-----|--------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Nugent ¹⁵ | 2005 | Canada | 1956-2000 | Retrospective | Cohort | Yes | P | - | 73 | - | 61416 | 4 | 2 | 1 | 7 | | | | | | | | | | | | | | | | | | | | | | | |
| Pring ¹⁶ | 2010 | UK | 1998-2007 | Retrospective | Cohort | Yes | P | - | - | - | - | 2 | 0 | 1 | 3 | | | | | | | | | | | | | | | | | | | | | | | |
| Troyanova ¹⁸ | 2002 | Bulgaria | 1993-2000 | Retrospective | Cohort | Yes | P | - | - | - | 15856 | 4 | 1 | 2 | 7 | | | | | | | | | | | | | | | | | | | | | | | |
| Wassberg ⁴¹ | 1999 | Sweden | 1985-1992 | Retrospective | Cohort | Yes | P | - | 75 | 5 | 137312 | 4 | 2 | 3 | 9 | | | | | | | | | | | | | | | | | | | | | | | |
| Young ¹⁹ | 2009 | Australia | 1996-2006 | Retrospective | Cohort | No | H | - | 65 | 8 | - | 0 | 0 | 3 | 3 | | | | | | | | | | | | | | | | | | | | | | | |

C. Keratinocyte carcinoma (KC) as index tumor

| First author | Year | Country | Time Period | Study Design | Cancer registry | Hospital (P) or population (H) -based | Sub-group | No. of patients | % sub-sequent tumor | Mean age (years) | Mean follow-up (years) | Person years (total) | S | C | O | T |
|----------------------------|------|-----------|-------------|---------------|-----------------|---------------------------------------|-----------|-----------------|---------------------|------------------|------------------------|----------------------|---|---|---|---|
| KC after KC (n = 8) | | | | | | | | | | | | | | | | |
| Czarniecki ²⁵ | 2002 | Australia | 1988-1989 | Prospective | Cohort | No | H | 481 | 67.8 | - | 10 | - | 0 | 1 | 2 | 3 |
| Graells ³⁰ | 2004 | Spain | 1995-2001 | Retrospective | Cohort | No | H | 535 | 22.4 | 68 | 2 | - | 0 | 0 | 1 | 1 |
| Karagas ¹¹ | 1992 | USA | 1980-1989 | Prospective | Cohort | No | H | 1805 | - | - | 5 | - | 0 | 2 | 1 | 3 |
| | | | | | | | | | | | | | | | | |
| Raasch ³⁹ | 2002 | Australia | 1997-1999 | Prospective | Cohort | No | P | 6708 | 38.5 | - | - | - | 2 | 0 | 1 | 3 |
| Schinstine ⁴³ | 2001 | USA | 1996-1998 | Retrospective | Cohort | No | H | 440 | 39.3 | - | 2 | - | 1 | 0 | 2 | 3 |
| | | | | | | | | | | | | | | | | |
| Schreiber ¹⁷ | 1990 | USA | 1985-1988 | Retrospective | Cohort | Yes | P | 6310 | 50.0 | 69 | - | - | 3 | 1 | 1 | 5 |
| Veien ⁷ | 2001 | Denmark | 1995-1998 | Prospective | Cohort | No | H | 638 | 27.1 | - | 2 | - | 0 | 0 | 0 | 0 |
| Veien ⁷ | 2001 | Denmark | 1990-1993 | Prospective | Cohort | No | H | 526 | 20.3 | - | 2 | - | 0 | 0 | 0 | 0 |

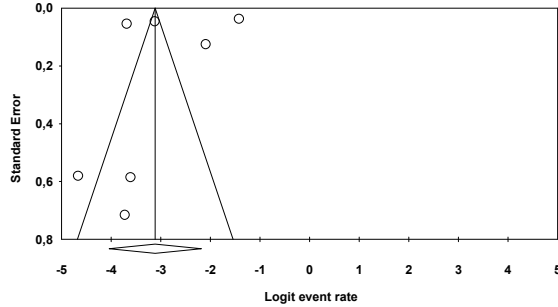
Abbreviations: BCC, basal cell carcinoma; H, hospital-based; KC, keratinocyte carcinoma; N, number; NA, not applicable; NOS, Newcastle – Ottawa scale; P, Population-based; SCC, squamous cell carcinoma; SCOT, Selection Comparability Outcome Total NOS; USA, United States of America.

A Funnel Plot of Standard Error by Logit event rate



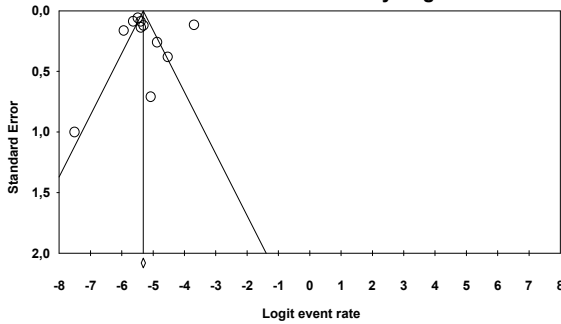
p value 0.6

B Funnel Plot of Standard Error by Logit event rate



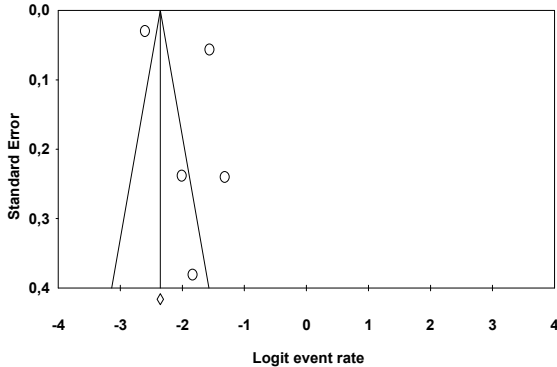
p value 0.6

C Funnel Plot of Standard Error by Logit event rate

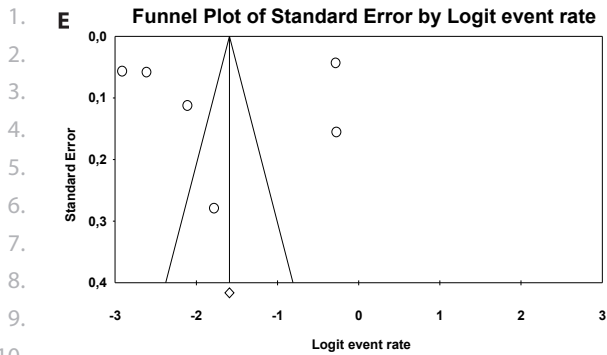


p value 0.7

D Funnel Plot of Standard Error by Logit event rate

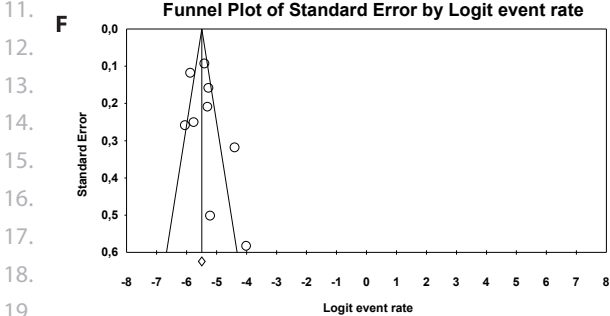


p value 0.4



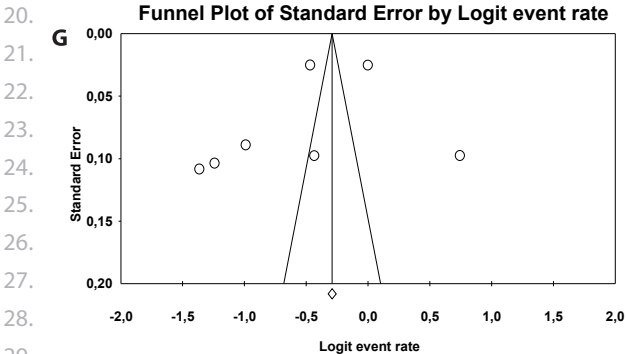
p value 0.8

10.



p value 0.3

19.



p value 0.4

27.

28.

29. **Appendix figure 1** Risk (%) of subsequent cutaneous malignancy in patients with prior keratinocyte carcinoma

30. **A** Basal cell carcinoma (BCC) after BCC (n = 19)

31. **B** Squamous cell carcinoma (SCC) after BCC (n = 7)

32. **C** Melanoma after BCC (n = 11)

33. **D** SCC after SCC (n = 5)

34. **E** BCC after SCC (n = 6)

35. **F** Melanoma after SCC (n = 9)

36. **G** Keratinocyte carcinoma (KC) after KC (n = 7)

37. Abbreviations : BCC, basal cell carcinoma; CI, confidence interval; LB, lower bound, KC, keratinocyte carcinoma; SCC, squamous cell carcinoma; UB, upper bound.

38.

39.

CHAPTER 6

Frequency of non-histologically diagnosed basal cell carcinomas in daily Dutch practice

S.C. Flohil

S. van Tiel

S. Koljenović

G. Jaanen-van der Sanden

L.I.H. Overbeek

E. de Vries

T. Nijsten

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1. **ABSTRACT**

2. **Background**

3. Population-based basal cell carcinoma (BCC) incidences are based on cancer registry data,
4. however these only include histologically diagnosed tumors.

5. **Objectives**

6. First, to investigate the number of subsequent non-histologically diagnosed BCC(s) in pa-
7. tients with a first histologically diagnosed BCC in 2004. Secondly, to observe differences in
8. tumor characteristics between subsequent histologically and subsequent non-histologically
9. diagnosed BCC(s).

10. **Methods**

11. All patients, from four hospitals located in the serving area of the Eindhoven Cancer Registry,
12. with a first histologically diagnosed BCC in 2004 (n=1,290) were selected. A linkage was
13. made with PALGA, the nationwide network and registry of histo- and cytopathology, to
14. obtain pathology reports of subsequent histologically diagnosed BCC(s) up to November 1st
15. 2010. Patient records were extracted from the participating dermatology departments and
16. reviewed up to November 1st 2010 to identify non-histologically diagnosed BCC(s).

17. **Results**

18. Overall, 33.2% of the 1,089 followed patients developed subsequent histologically and/or
19. non-histologically diagnosed BCCs. In total, 1,974 BCCs were observed of which 1,833 were
20. histologically and 141 were non-histologically diagnosed BCCs. The distribution of tumor
21. site and subtype differed significantly between subsequent histologically and subsequent
22. non-histologically diagnosed BCCs.

23. **Conclusions**

24. The total burden of BCC is underestimated by the absence of data on the occurrence of non-
25. histologically diagnosed BCCs in daily dermatological practice. It is pivotal for Dutch health
26. care policy makers to acknowledge this in order to make accurate BCC-related cost estimates.

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1. **INTRODUCTION**

2. The incidence of basal cell carcinoma (BCCs) of the skin is increasing worldwide and is
3. becoming a growing public health problem. Population-based basal cell carcinoma (BCC)
4. incidences are based on data from a few cancer registries that collect BCC information and
5. in most cases only report the first or limited number of subsequent histologically diagnosed
6. BCC(s) per patient (to limit registration costs).¹⁻³ About one third of the people with a first BCC
7. develop subsequent histologically diagnosed BCC(s), but the occurrence of non-histologically
8. diagnosed BCCs is not known.⁴⁻⁵
9. This study's objective was to investigate the frequency of BCCs that have not been examined
10. histologically in patients with a prior BCC and to compare tumor characteristics between
11. subsequent histologically and subsequent non-histologically diagnosed BCCs.

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14. **METHODS**

15. This study was primarily conducted to examine the health care consumption of patients
16. with a first histologically diagnosed BCC in 2004 for a report to the Dutch Ministry of Health.
17. Data were obtained from the Dutch Eindhoven Cancer Registry (ECR) and four dermatol-
18. ogy departments in that area.^{1,6} All 1,290 patients from the participating hospitals with a
19. first histologically diagnosed BCC in 2004 were extracted from ECR. These patients were
20. linked to PALGA, the Dutch nationwide network and registry of histo- and cytopathology.⁴
21. ⁶ Twenty-nine patients were not found within PALGA and twenty-three patients had already
22. a histologically diagnosed BCC prior to 2004. These 52 patients were excluded (figure 1).
23. Therefore, 1,238 of the 1,290 patients were eligible for this study. BCC case definition has
24. been described previously.⁴

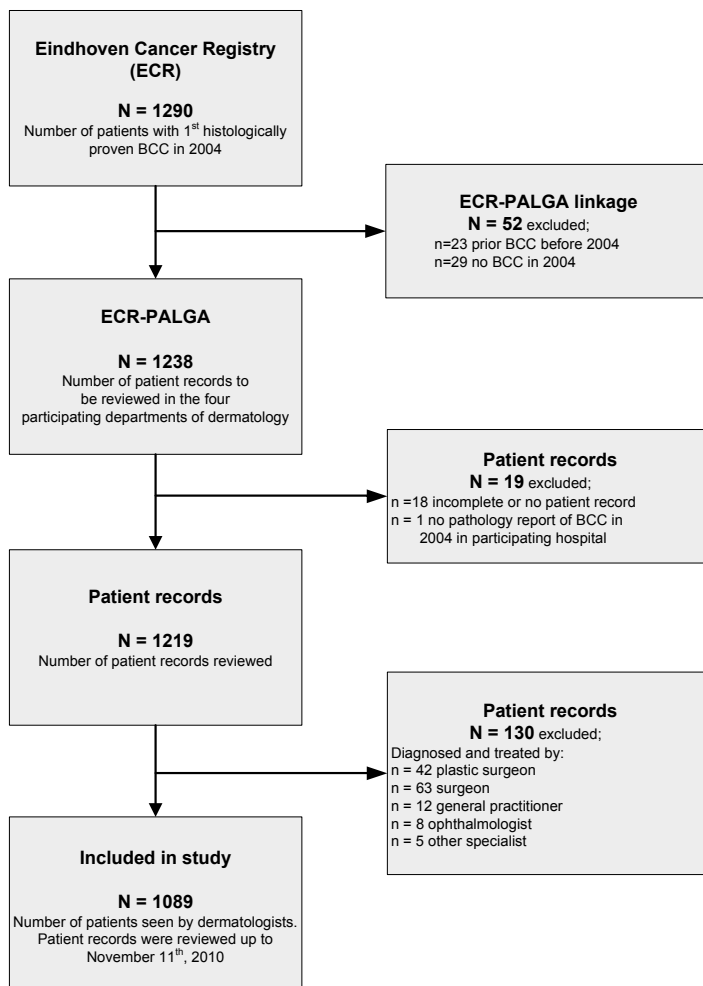
25. Between January 14th and March 28th 2011, the dermatology records were reviewed until
26. November 1st 2010 to identify subsequent histologically and non-histologically diagnosed
27. BCCs. When a BCC was described in the dermatology record and no pathology record of
28. this tumor was present, it was considered a non-histologically diagnosed BCC. Based on
29. these dermatology records, another 149 patients were excluded because their dermatology
30. records were incomplete and/or missing, or because they were not seen by a dermatologist
31. for their first histologically diagnosed BCC in 2004 (figure 1).

32. The Pearson chi-square or Fisher's exact test was used for categorical and the unpaired T-test
33. for continuous variables. In table 2, all patients (n=71) with multiple BCCs at date of first BCC
34. diagnosis were excluded, since it would have been an arbitrary decision to choose which
35. one was the initial index tumor. Person-years were counted from date of diagnosis of the first
36. histologically diagnosed BCC in 2004 until the end date of observation (November 1st, 2010)
37. or date of death (n= 196), whichever came first.

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27. **Figure 1.** Description of the study population

28. Abbreviations: BCC, basal cell carcinoma; ECR, Eindhoven Cancer Registry; PALGA; the nationwide network
29. and registry of histo- and cytopathology.

30. **RESULTS**

31. In total, 1,089 patients were included. Overall, they contributed 6,253 person-years of follow-
32. up (table 1). The mean age at date of first histologically confirmed BCC was 65.0 (standard
33. deviation 14.0). The male-female ratio was 1:1. During our study period, 33.2% of the 1,089
34. followed patients developed one or more subsequent
35. BCCs (table 1). More than a quarter of all eligible cases (27.1%) developed subsequent
36. histologically diagnosed BCCs, of which 171 (57.8%) had one and 125 (42.2%) two or more.
37. Sixty-six (6.1%) patients had subsequent non-histologically diagnosed BCCs of which 42
38. (63.6%) had one and 24 (36.4%) had two or more subsequent BCCs. Sixteen of these sixty-
39.

1. six patients had only subsequent non-histologically diagnosed BCCs, while the remaining
2. fifty patients also had subsequent histologically diagnosed BCCs. In total, 1,974 BCCs were
3. diagnosed in 1,089 patients of which 1,833 (92.9%) were histologically and 141 (7.1%) were
4. non-histologically diagnosed.
5. There were significant ($p = 0.02$) more females ($n=40$; 60.6%) with subsequent non-histo-
6. logically diagnosed BCCs (twelve of the forty females also had subsequent histologically
7. diagnosed BCCs) than there were females ($n= 131$; 44.3%) with subsequent histologically di-
8. agnosed BCCs (table 1). When comparing the dermatology departments ($p=0.002$) between
9. patients with subsequent histologically diagnosed BCCs ($n=296$) and those ($n=66$) with sub-
10. sequent non-histologically diagnosed BCCs (fifty persons also had subsequent histologically
11. diagnosed BCCs), there was a significant difference ($p = 0.002$).
12. Almost 70% of the first histologically diagnosed BCCs in 2004 were located in the head and
13. neck area, followed by trunk (20.1%) (table 2). More than half were nodular BCCs, followed
14. by infiltrative (14.1%), superficial (13.7%) and micronodular (0.4%). The distribution of tumor
- 15.

Table 1. Characteristics of the study population including 1,089 patients with a first, histologically diagnosed basal cell carcinoma in 2004

| Characteristics | Total study population ($n=1089$) ¹ | Patients with one BCC (%) ($n=727$) ¹ | Patients with subsequent histologically diagnosed BCC(s) (%) ($n=296$) ¹ | Patients with subsequent non-histologically diagnosed BCC(s) (%) ($n=66$) ^{1,2} | p-value ³ |
|---|---|---|--|---|----------------------|
| Total follow-up time (years) | 6252.5 | 4127.1 | 1733.9 | 391.4 | |
| Mean follow-up per patient (years) | 5.7 | 5.7 | 5.9 | 5.9 | |
| Age at date of first BCC (years) | | | | | |
| Mean (\pm SD) | 65.0 (14.0) | 64.8 (14.2) | 65.7 (13.4) | 64.2 (\pm 14.5) | 0.42 |
| Median (IQR) | 66.1 (19.2) | 65.6 (20.0) | 67.4 (18.6) | 66.4 (15.7) | |
| Sex | | | | | |
| Female | 544 (50.0) | 373 (51.3) | 131 (44.3) | 40 (60.6%) | 0.02 |
| Male | 545 (50.0) | 354 (48.7) | 165 (55.7) | 26 (39.4%) | |
| Dermatology department | | | | | |
| 1 | 374 (34.3) | 243 (33.4) | 119 (40.2) | 12 (18.2%) | 0.002 |
| 2 | 159 (14.6) | 106 (14.6) | 44 (14.9) | 9 (13.6%) | |
| 3 | 337 (30.9) | 221 (30.4) | 90 (30.4) | 26 (39.3%) | |
| 4 | 219 (20.1) | 157 (21.6) | 43 (14.5) | 19 (28.8%) | |

¹ Percentages may not equal 100% due to rounding.

² Of the 66 patients with subsequent non-histologically diagnosed BCC(s), 50 patients also had subsequent histologically diagnosed BCC(s).

³ Differences in distribution between patients with subsequent histologically diagnosed BCC(s) ($n=296$) and patients with subsequent non-histologically diagnosed BCC(s) ($n=66$) were compared, using the unpaired T-test and Pearson chi-square test. P-value was two-tailed and statistically significant when p-value < 0.05.

Abbreviations: BCC, basal cell carcinoma; IQR, interquartile range; N, number; SD, standard deviation

Table 2. Distribution of tumor site and subtype of subsequent (non-)histologically diagnosed basal cell carcinomas

| Tumor site | Number of first histologically diagnosed BCC (% in 2004) (n=1018) ^{1,3} | Number of subsequent histologically diagnosed BCCs (%) (n=551) ¹ | Number of subsequent non-histologically diagnosed BCCs (%) (n=121) ¹ | p-value ^{2,5} |
|--|--|---|---|------------------------|
| Head and neck | 711 (69.8) | 308 (55.9) | 14 (11.6) | < 0.001 |
| Scalp | 18 (1.8) | 16 (2.9) | - | |
| Temporal areas | 77 (7.6) | 40 (7.3) | 3 (2.5) | |
| Forehead | 93 (9.1) | 58 (10.5) | - | |
| Retro- and preauricular areas | 31 (3.0) | 20 (3.6) | 1 (0.8) | |
| Cheeks | 90 (8.8) | 27 (4.9) | 1 (0.8) | |
| Nose / surroundings of the nose | 163 (16.0) | 54 (9.8) | 2 (1.7) | |
| Nasolabial fold and upper lip | 40 (3.9) | 15 (2.7) | - | |
| Lower lip and chin | 10 (1.0) | 9 (1.6) | - | |
| Jaw | 7 (0.7) | 2 (0.4) | - | |
| Ears | 33 (3.2) | 8 (1.5) | 1 (0.8) | |
| Eyebrow areas / eye surroundings | 94 (9.2) | 23 (4.2) | - | |
| Head, specific location unknown | 13 (1.3) | 7 (1.3) | - | |
| Neck | 42 (4.1) | 29 (5.3) | 6 (5.0) | |
| Trunk | 205 (20.1) | 178 (32.3) | 66 (54.5) | < 0.001 |
| Back and shoulders | 112 (11.0) | 92 (16.7) | 49 (40.5) | |
| Thorax | 74 (7.3) | 64 (11.6) | 17 (14.0) | |
| Abdomen | 16 (1.6) | 21 (3.8) | - | |
| Trunk, specific location unknown | 3 (0.3) | 1 (0.2) | - | |
| Upper extremities | 38 (3.7) | 21 (3.8) | 21 (17.4) | < 0.001 |
| Lower extremities | 55 (5.4) | 37 (6.7) | 18 (14.8) | 0.003 |
| Other (anogenital area, buttocks) | 4 (0.4) | 4 (0.7) | - | |
| Missing | 5 (0.5) | 3 (0.5) | 2 (1.7) | |
| Tumor subtype ⁴ | Number of first histologically diagnosed BCC (%) in 2004 (n=1018) ¹ | Number of subsequent histologically diagnosed BCCs (%) (n=551) ¹ | Number of subsequent non-histologically diagnosed BCCs (%) (n=121) ¹ | p-value ^{2,5} |
| Nodular | 601 (59.0) | 257 (46.6) | - | < 0.001 |
| Superficial | 139 (13.7) | 161 (29.2) | 76 (62.8) | < 0.001 |
| Infiltrative | 144 (14.1) | 72 (13.1) | - | < 0.001 |
| Micronodular | 4 (0.4) | 8 (1.5) | - | 0.36 |
| Basal cell carcinoma, unspecified | 130 (12.8) | 53 (9.6) | 45 (37.2) | < 0.001 |

¹ Percentages may not equal 100% due to rounding.

² Differences in distribution between subsequent histologically and non-histologically diagnosed BCCs were compared using the Pearson chi-square test or Fisher's exact test. P-value was two-tailed and statistically significant when p-value < 0.05.

³ Seventy-one patients were excluded from this table since they had multiple BCCs at date of diagnosis of first histologically confirmed BCC in 2004.

⁴ Tumor subtype of histologically confirmed BCCs was based on pathology reports and for non-histologically diagnosed BCCs on clinical suspicion.

⁵ Reference category in statistical analyses: all other tumor sites or subtypes combined.

Abbreviations: BCC, basal cell carcinoma; N, number

site was similar for subsequent histologically confirmed BCCs, but differed for tumor subtype (table 2). Subsequent non-histologically diagnosed BCCs were predominantly located on trunk, while the head and neck area was the least affected. Almost two-third (n=76) of non-histologically diagnosed BCCs were suspected to be superficial, whereas in 45 cases (37.2%) the BCC subtype was not described.

When comparing tumor sites, there were significant more subsequent histologically diagnosed BCCs than subsequent non-histologically diagnosed BCCs located on the head and neck ($p < 0.001$). Compared to histologically diagnosed BCCs, there were significant more subsequent non-histologically diagnosed BCCs located on trunk ($p < 0.001$), upper extremities ($p < 0.001$) and lower extremities ($p = 0.003$). There were significantly more clinically suspicious superficial BCCs in the group of subsequent non-histologically diagnosed BCCs than there were in the group of subsequent histologically diagnosed BCCs ($p < 0.001$).

DISCUSSION

Among Dutch patients with a prior BCC receiving dermatological care, 7% of all subsequent BCCs were not histologically diagnosed, which is higher than a small Scottish study (3.8%) and lower than a French estimate based on a survey among dermatologists (14.1%).⁷⁻⁸ In addition to multiple BCCs, non-histologically diagnosed BCCs explain the underreporting of the absolute BCC number based on cancer registry data.^{5,9} Non-histologically diagnosed BCCs are often suspected to have a superficial subtype and are most often located on the trunk. In contrast, the head and neck area is the most prominent site for subsequent histologically diagnosed BCCs.

The present study has certain limitations that need to be taken into account when interpreting the data. We studied patients with a prior histologically diagnosed BCC only and included four Dutch centers, which may limit the generalizability of our findings. In contrast to histologically diagnosed BCCs (identified in PALGA), we may have missed non-histologically diagnosed BCCs (e.g., patients moved outside the catchment area which was not recorded in dermatology record). Non-histologically diagnosed BCCs may have been misdiagnosed by dermatologists, inducing a differential misclassification bias leading to an overestimation of the frequency.^{7,10} In this study, almost all non-histologically diagnosed BCCs were classified as a superficial subtype, which was supported by the location (i.e. trunk) on which the majority of these BCCs were observed.¹¹ Therefore, we expect the extent of this bias to be limited.

1. In conclusion, the occurrence of BCCs is underestimated when solely based on cancer regis-
2. try data. It is important for health care policy makers to acknowledge this in order to make
3. accurate BCC-related cost estimates.

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6. **ACKNOWLEDGEMENTS**

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9. the participating dermatology departments, ECR and PALGA for the data collection and data
10. disposition. We would especially like to thank Danielle Kuijpers from Amphia Hospital Breda,
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12. Bosch Hospital 's Hertogenbosch and Karin van der Wegen - Franken from Elkerliek Hospital
13. Helmond.

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CHAPTER 7

Basal cell carcinomas without histological confirmation and their treatment: an audit in four European regions

S.C. Flohil

C.M. Proby

A.D. Forrest

S. van Tiel

O. Saksela

S. Pitkänen

T. Ahti

R. Micallef

E. de Vries

and members of the EPIDERM group

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ABSTRACT

Background

Limited data is available on how often basal cell carcinomas (BCC) are clinically diagnosed without histological confirmation and how they are treated.

Objectives

Within the framework of the EPIDERM project, an audit was conducted in four European countries to study the occurrence of clinically diagnosed BCC without histological confirmation and to investigate how these are treated.

Methods

In The Netherlands, Scotland, Finland and Malta studies were performed within different timeframes. Patients with one or more BCC(s) were selected and the number of clinically diagnosed BCC without histological confirmation and their treatment was investigated by (manually) reviewing the (electronic) patient records and checking the (hospital) pathology databases to find evidence of histological confirmation.

Results

In The Netherlands, 1,089 patients with a first histologically confirmed BCC developed 1,974 BCCs of which 1,833 (92.9%) were histologically confirmed and 141 (7.1%) were not. The four months retrospective study conducted in Scotland selected 294 patients with 344 BCC; 306 (89.0%) were histologically confirmed and 38 (11.0%) were not. The three months prospective study performed at the same centre in Scotland identified 44 patients who developed 58 BCC, 44 (75.9%) of these were histologically confirmed and 14 (24.1%) were not. In Finland, they included 701 patients who developed 977 BCC, of which 807 (82.6%) were histologically and 170 (17.4%) non-histologically confirmed. In Malta, there were 420 patients with 477 BCCs. Only three (0.7%) of them were clinically diagnosed without histological confirmation. In The Netherlands and Finland, clinically diagnosed BCC without histological confirmation were most often treated with cryotherapy, whereas in Scotland 5% Imiquimod cream was the preferred treatment modality.

Conclusions

Although the frequency of clinically diagnosed BCC without histological confirmation differed between the four European regions (range 0.7%-24.1%), it confirms that the burden of BCC in Europe is underestimated when based on data from pathology and/or cancer registries.

1. INTRODUCTION

2. Basal cell carcinoma (BCC) is the most common cancer among Caucasians and its incidence
 3. is increasing worldwide.¹⁻⁵ The growing number of patients with a history of BCC and/or mul-
 4. tiple BCC, together with the costs related to treatment and follow-up, make this skin cancer
 5. an increasingly important public health problem.⁶⁻⁷
 6. Most incidence and prevalence rates reported in the literature for BCC are based on data
 7. from cancer registries. However, only a few population-based cancer registries register BCC
 8. information and most of them only collect the first histologically-confirmed BCC per patient.³
 9. ⁸ The large numbers involved, the high prevalence of multiple BCCs within one patient on
 10. day of diagnosis, the practical problems in coding 'multiple BCCs', the number of cancer
 11. registry clerks needed and the difficulties in accessing private clinics, all prevent many cancer
 12. registries from collecting (additional) BCC information.
 13. Therefore, the exact size of the BCC problem is largely unknown as a significant propor-
 14. tion of BCC patients develop multiple BCC over time and physicians may treat clinically
 15. diagnosed BCC without histological confirmation. In the last decade, the latter has become
 16. more common with the introduction of new non-invasive treatments such as photodynamic
 17. therapy and 5% imiquimod cream, which often have better cosmetic outcome than standard
 18. surgery.⁹ Besides a previous Dutch report, there is limited data on how often BCC(s) get
 19. diagnosed and treated without histological verification and whether there are differences
 20. across Europe.¹⁰ Lack of histological confirmation impedes registry of BCC in cancer registries
 21. and consequently BCC incidence and prevalence data will be lower than experienced by
 22. dermatologists.
 23. Within the framework of the EPIDERM project, an audit was conducted in four European
 24. countries (The Netherlands, Scotland, Finland and Malta) to investigate the occurrence of
 25. clinically diagnosed BCC without histological confirmation.¹¹

26.
 27.

28. METHODS

29. *The Netherlands*

30. This study has been described before.¹⁰ In short, a retrospective study was performed. All
 31. 1,290 patients from four participating hospitals with a first histologically diagnosed BCC in
 32. 2004 were extracted from Eindhoven Cancer Registry (ECR).² These patients were linked
 33. to PALGA, the Dutch nationwide network and registry of histo- and cytopathology.¹² The
 34. 1,290 extracted patients were followed for subsequent histologically-confirmed BCCs until
 35. November 1st 2010 or date of death, whichever came first. BCC case definition has been
 36. described before.⁷ Twenty-nine patients could not be retrieved from PALGA, 24 already had a
 37. histologically-confirmed BCC prior to 2004, 149 had incomplete or missing patients records
 38. or were never seen by a dermatologist; therefore 1,089 patients were considered eligible for
 39. this study. Among these patients, the number of non-histologically confirmed BCCs and the

1. treatment methods for histologically and non-histologically confirmed BCCs were registered
2. by manually reviewing the patient records of these individuals between January 14th and
3. March 28th 2011.

4.

5. *Scotland*

6. A four months retrospective and a three months prospective study was carried out in the
7. dermatology department of Ninewells Hospital, Dundee, to estimate the proportion of BCCs
8. seen that were clinically diagnosed without histological confirmation and therefore never
9. recorded on a histopathology or cancer registry database. Clinical details in the form of a
10. general practitioner letter are registered in an electronic clinical database (Dermabase) for
11. all patients attending the department of Dermatology. A Dermabase record is generated
12. after every dermatology appointment and therefore an individual patient may have multiple
13. Dermabase records. This Dermabase record includes a diagnosis recorded either as "Active
14. Diagnosis" or "Inactive Diagnosis". In case there were deficiencies in tracking all BCCs using
15. Dermabase, a prospective study was conducted as well, whereby all patients attending a
16. selection of out-patient clinics were audited over a three month period.

17.

18. *Four months retrospective study*

19. Electronic patient records in Dermabase with an active diagnosis of "Basal Cell Carcinoma"
20. were identified between September 1st 2009 and December 31st 2009, representing 310
21. patients. For each patient, the hospital pathology database was searched from September 1st
22. 2009 until May 31st 2010, allowing five additional months to accommodate delays in surgical
23. treatment. Nine patients were excluded because neither pathology data nor Dermabase let-
24. ters were available, or because the Dermabase entries represented first appointments that
25. were not attended. Two patients were excluded because the diagnosis of BCC was in fact "in-
26. active" and five patients because they were recorded under 'basal cell carcinoma' when they
27. had a diagnosis of basal cell papilloma. In total, 294 patients with an active diagnosis of BCC
28. were included in this study. For those with and without evidence of histological confirmation,
29. Dermabase was interrogated to find the method of treatment. No information was collected
30. on the histopathological subtypes and anatomical localisation of the included BCCs.

31.

32. *Three months prospective study*

33. Seventy-seven patients attending dermatology clinics at Ninewells Hospital, Dundee be-
34. tween January 11th and April 11th 2010 and identified as presenting with a BCC were studied.
35. Forty-four of these 77 had one or more BCC(s) correctly diagnosed at that clinic appointment
36. and were included in the audit, whereas the remainder had the initial clinical diagnosis of BCC
37. made prior to the study period and were therefore excluded. For each patient, the pathology
38. database was searched between January 10th and May 31st 2010 to look for receipt of a BCC
39. specimen after the appointment at which the clinical diagnosis of BCC was made. For those

1. with and without evidence of histological confirmation, Dermabase was interrogated to find
2. the method of treatment. No information was collected on the histopathological subtypes
3. and anatomical localisation of the included BCCs.

4.

5. *Finland*

6. Between October 1st and December 31st 2009 a retrospective and between January 1st and
7. March 31st 2010 a prospective study was performed at the department of dermatology of the
8. Skin and Allergy Hospital, Helsinki University Central Hospital in Helsinki (the regional centre
9. for dermato-oncology). All skin cancer patients who visited the department of dermatology
10. during these six months were included. During this study period, 701 patients were diag-
11. nosed or treated for one or more BCC(s). In June 2010 the hospital pathology database was
12. checked to verify histologically-confirmed BCCs, allowing two additional months to accom-
13. modate delays in surgical treatment. In the retrospective part of the study the patient records
14. were investigated to find the method of treatment, in the prospective part the method of
15. treatment was recorded after the appointment. No information was collected on the histo-
16. pathological subtypes and anatomical localisation of the included BCCs.

17.

18. *Malta*

19. Between October 1st 2009 and March 31st 2010, all hospitals and clinics both public and pri-
20. vate (Mater Dei Hospital, St. James Hospital, St. Philips Hospital, Dr. Deguara's lab, the oncol-
21. ogy department and St. Mark's lab) were visited to collect and count all patients with a BCC
22. between January 1st 2009 and December 31st 2009, by going through all hospital pathology
23. databases, patient records, oncology reports and notifications. When a BCC was mentioned
24. in the patient record, oncology report or in a notification, but not found in the pathology
25. database, it was considered a clinically diagnosed BCC without histological confirmation.
26. When a patient presented him/herself with multiple BCC on the day of diagnosis, only the
27. localisation of one BCC was registered in the Maltese Cancer Registry. If available within the
28. hospital pathology database, the histopathological subtype of the BCC was registered.

29.

30.

31. **RESULTS**

32. *The Netherlands*

33. After combining the data from PALGA, ECR and the hospital patient records, a total of
34. 1,974 BCC were diagnosed among 1,089 patients.¹⁰ Overall, the patients contributed 6,253
35. person-years of follow-up. The mean age at date of first histologically confirmed BCC was
36. 65.0 (standard deviation [SD] 14.0). The male-female ratio was 1:1. In total, 1,974 BCCs were
37. diagnosed in 1,089 patients of which 1,833 (92.9%) were histologically and 141 (7.1%) were
38. non-histologically confirmed (Table 1).

39.

1. Surgical excision (83.6%) was the most performed treatment modality, followed by
2. cryotherapy (6.1%) and photodynamic therapy (2.8%). This distribution was the same for
3. histologically-confirmed BCCs (Table 2a). For non-histologically confirmed BCCs, cryotherapy
4. (65.2%) was the predominant treatment, followed by photodynamic therapy (23.4%), 5-flu-
5. ourouracil (4.3%) and imiquimod cream (4.3%).

6. *Scotland*

7. *Four months retrospective study*

9. In total, 344 BCCs were recorded belonging to 294 patients, of which 156 (53.1%) were males
10. and 138 (46.9%) were females. The mean age at date of diagnosis was 70.5 years (SD 12.4). Of
11. the 344 BCCs, 306 (89.0%) were histologically-confirmed and 38 (11.0%) were not confirmed
12. histologically (Table 1).

13. Most BCCs were treated surgically (87.2%), followed by imiquimod cream (4.9%) and cryo-
14. therapy (2.3%). All but one histologically confirmed BCC were treated with simple surgical
15. excision or Mohs micrographic surgery. One was treated with radiotherapy. For non-histo-
16. logically confirmed BCC, imiquimod cream (44.7%) was the preferred treatment method,
17. followed by cryotherapy (21.1%) and 5-fluorouracil cream (Table 2b).

18. *Three months prospective study*

20. The 44 patients diagnosed with a BCC between January 11th and April 11th 2010 had a total of
21. 58 BCCs. Among the patients, there were 24 (54.5%) males and 20 (45.5%) females. The mean
22. age at diagnosis was 71.2 years (SD 10.7). Of the 58 BCCs, 44 (75.9%) were histologically and
23. 14 (24.1%) were not histologically confirmed (Table 1). The mean number of BCC diagnosed
24. at the date of appointment was 1.3 (SD 0.82), ranging from 1 to 5.

25. Taking the retrospective and prospective audits together, the majority of BCC were treated
26. surgically (86.8%), followed by imiquimod cream (7.0%) (data not shown). All histologically-
27. confirmed BCC were treated with surgical excision. For BCC without histological confirma-
28. tion, imiquimod cream (53.8%) was the preferred treatment, followed by cryotherapy (15.4%)
29. and overall for ten BCC it was decided to observe and not to treat because the patients were
30. elderly and frail.

31. *Finland*

33. Among the 701 included patients, there were 327 (46.6%) males and 374 (53.4%) females. In
34. total, they developed 977 BCCs during the study period. The mean age at diagnosis was 72.3
35. (SD 12.8). Of the total 977 BCCs, 807 were histologically confirmed (82.6%) and 170 (17.4%)
36. were non-histologically confirmed BCCs (Table 1).

37. The majority of BCC were treated with standard surgical excision (57.1%), followed by cryo-
38. therapy (28.4%) and photodynamic treatment (11.8%). For three patients the therapy was
39. missing because they died before they were treated. For histologically-confirmed BCC, the

1. distribution was similar. Non-histologically confirmed BCCs were most often treated with
 2. cryotherapy (77.1%) and the remainder with photodynamic therapy (22.9%) (Table 2c).

3.

4. *Malta*

5. Of the 420 included patients, there were 256 (61.0%) males and 264 (39.0%) females. In total,
 6. they developed 447 BCCs. The mean age at diagnosis was 65.9 (SD 13.8). Only 3 (0.7%) of the
 7. 447 tumors were diagnosed clinically without histological confirmation (Table 1). The most
 8. common site was the head and neck area (n=256), followed by trunk (n=67), upper extremi-
 9. ties and shoulders (n=28) and lower extremities (n=22). This excluded 47 BCC for whom site
 10. was not registered. The histopathological subtype was unspecified in 442 (98.9%) BCC. Of the
 11. remainder, 30 BCCs (6.7%) were superficial, while 5 (1.1%) had infiltrative growth pattern. No
 12. detailed information was available on the treatments used.

13.

14.

15. **DISCUSSION**

16. The frequency of clinically diagnosed BCC treated without histological confirmation differed
 17. between the four European regions (range 0.7% -24.1%), the highest proportion being ob-
 18. served in the small prospective study in Dundee, Scotland. This contrasts with the findings
 19. of a previous study in 1997 from Glasgow (3.8%), which suggested that dermatologists rarely
 20. treat clinically suspicious tumors without histological proof of diagnosis.¹³ Either practice
 21. varies significantly across Scotland or (more likely) dermatological practice has changed with
 22. the advent and greater availability of non-surgical treatments such as imiquimod cream and
 23. photodynamic therapy. A previous study among French dermatologists found that 14.1% of
 24. the clinically suspicious BCCs were not histologically confirmed, which is not dissimilar from
 25. the percentages observed in Finland (17.4%) and in the retrospective study performed in
 26. Dundee, Scotland (11.0%).¹⁴

27. Malta, with less than 1%, had the lowest percentage of BCCs diagnosed without histological
 28. confirmation. After interviewing the Maltese dermatologists (n= 12) about their practices
 29. of treating patients, they all confirmed that it was custom to verify all clinically suspicious
 30. BCCs histologically with biopsy and/or surgical excision. In The Netherlands, the number of
 31. subsequent clinically diagnosed BCCs without histological confirmation (developed during a
 32. mean follow-up period of almost six years) was investigated in patients with a prior histologi-
 33. cally confirmed BCC. This differs from the study design of the other three European regions
 34. in which there was no selection of patients who already had a first, histologically confirmed
 35. BCC. Besides dissimilarities in practice and study design, also differences in insurance reim-
 36. bursements between the European regions may account for the wide variation found in the
 37. percentage of clinically diagnosed BCC. However, the latter should not be such a large factor
 38. as in all four European regions BCC do not need histological confirmation for patients to re-
 39. ceive insurance reimbursements (based on personal communications with S.P., R.M and E.V).

Table 1. Number of histologically and non-histologically confirmed basal cell carcinomas in four European regions

| Country | Study design | Time period patient selection | Total number of patients | Total number of BCCs | Histologically confirmed BCCs | Non-histologically confirmed BCCs | Percentage non-histologically confirmed BCCs | Specifics |
|--------------------|---------------------------|---|--------------------------|----------------------|-------------------------------|-----------------------------------|--|---|
| Netherlands | Retrospective | January 1 st 2004 - December 31 st 2004 | 1,089 | 1,974 | 1,833 | 141 | 7.1% | The first 1,089 patients with a first histologically confirmed BCC in 2004 were selected in four hospitals and followed until November 1 st 2011 or date of death. |
| Scotland | 1. Retrospective | September 1 st 2009 - December 31 st 2009 | 294 | 344 | 306 | 38 | 11.0% | All patients with a Dermabase electronic record giving 'active diagnosis' of BCC were selected. |
| | 2. Prospective | January 1 st 2010 - April 11 th 2010 | 44 | 58 | 44 | 14 | 24.1% | All patients attending the Monday morning dermatology clinic at Ninewells Hospital were audited. All audited patients with a diagnosis of BCC were selected. |
| Finland | Retrospective/prospective | October 1 st 2009 - March 31 st 2010 | 701 | 977 | 807 | 170 | 17.4% | Three months retrospective and three months prospective study was conducted. All patients with a diagnosis of BCC who visited the department of dermatology were selected. |
| Malta | Retrospective | January 1 st 2009 - December 31 st 2009 | 420 | 447 | 444 | 3 | 0.7% | All hospitals and clinics in Malta were visited to collect and count all patients with a BCC. |

Abbreviation: BCC, basal cell carcinoma

Table 2. Treatment modalities of basal cell carcinoma in The Netherlands, Scotland and Finland1. **A. Dutch study: treatment per basal cell carcinoma**

| 2. Treatment | Total number BCCs (%) n=1974 | Histologically confirmed BCCs (%) n=1833 | Non-histologically confirmed BCCs (%) n=141 |
|-------------------------------|---|---|--|
| 3. Surgical excision | 1650 (83.6%) | 1650 (90.0%) | - |
| 4. Mohs micrographic surgery | 20 (1.0%) | 20 (1.1%) | - |
| 5. Cryotherapy | 121 (6.1%) | 29 (1.6%) | 92 (65.2%) |
| 6. Photodynamic therapy | 56 (2.8%) | 23 (1.3%) | 33 (23.4%) |
| 7. 5-Fluorouracil cream | 10 (0.5%) | 4 (0.2%) | 6 (4.3%) |
| 8. Imiquimod cream | 8 (0.4%) | 2 (0.1%) | 6 (4.3%) |
| 9. Diclofenac gel | - | - | - |
| 10. Curettage | 14 (0.7%) | 14 (0.8%) | - |
| 11. Tretinoin | - | - | - |
| 12. Radiotherapy | - | - | - |
| 13. Expectative / not treated | 4 (0.2%) | 2 (0.1%) | 2 (1.4%) |
| 14. Missing | 91 (4.6%) | 89 (4.9%) | 2 (1.4%) |

14. **B. Scottish four months retrospective study: treatment per basal cell carcinoma**

| 15. Treatment | Total number BCCs (%) n=344 | Histologically confirmed BCCs (%) n=306 | Non-histologically confirmed BCCs (%) n=38 |
|-------------------------------|--|--|---|
| 16. Surgical excision | 300 (87.2) | 300 (98.1) | - |
| 17. Mohs micrographic surgery | 5 (1.5) | 5 (1.6) | - |
| 18. Cryotherapy | 8 (2.3) | - | 8 (21.1) |
| 19. Photodynamic therapy | - | - | - |
| 20. 5-Fluorouracil cream | 5 (1.5) | - | 5 (13.2) |
| 21. Imiquimod cream | 17 (4.9) | - | 17 (44.7) |
| 22. Diclofenac gel | 1 (0.3) | - | 1 (2.6) |
| 23. Curettage | - | - | - |
| 24. Tretinoin | - | - | - |
| 25. Radiotherapy | 1 (0.3) | 1 (0.3) | - |
| 26. Expectative / not treated | 7 (2.0) | - | 7 (18.4) |
| 27. Missing | - | - | - |

26. **C. Finnish study: treatment per basal cell carcinoma**

| 28. Treatment | Total number BCCs (%) n=977 | Histologically confirmed BCCs (%) n=807 | Non-histologically confirmed BCCs (%) n=170 |
|-------------------------------|--|--|--|
| 29. Surgical excision | 558 (57.1) | 558 (69.1) | - |
| 30. Mohs micrographic surgery | 23 (2.4) | 23 (2.9) | - |
| 31. Cryotherapy | 278 (28.4) | 147 (18.2) | 131 (77.1) |
| 32. Photodynamic therapy | 115 (11.8) | 76 (9.4) | 39 (22.9) |
| 33. 5-Fluorouracil cream | - | - | - |
| 34. Imiquimod cream | - | - | - |
| 35. Diclofenac gel | - | - | - |
| 36. Curettage | - | - | - |
| 37. Tretinoin | - | - | - |
| 38. Radiotherapy | - | - | - |
| 39. Expectative / not treated | - | - | - |
| 40. Missing | 3 (0.3) | 3 (0.4) | - |

39. Abbreviation: BCC, basal cell carcinoma

1. This study confirms an underestimate of the absolute BCC number based on histologically-
2. confirmed BCC alone and illustrates that previous studies based on cancer registries and/or
3. pathology databases will have underestimated the true BCC burden. Therefore, health care
4. policy makers (especially in The Netherlands, Scotland and Finland) should incorporate the
5. proportion of BCC treated without histological confirmation into their calculations (in Malta
6. this seems to be a less of a problem). Especially, since in all four European regions the ratio of
7. dermatologists to the total population is dramatically low, ranging from 1 to 3.6 per 100.000
8. inhabitants.

9. In The Netherlands, Scotland and Finland, the preferred treatment modality for histologically-
10. confirmed BCC is standard surgical excision, followed by cryotherapy (The Netherlands and
11. Finland) and imiquimod cream (Scotland). No detailed data was available for Malta, however
12. it seems that the majority are surgically excised (based on personal communication). In The
13. Netherlands and Finland, for clinically diagnosed BCC without histology, cryotherapy was the
14. treatment used most often followed by photodynamic therapy. In Scotland, Imiquimod was
15. the preferred treatment, then cryotherapy.

16.

17. A limitation of this study was the methodological differences between the sub-studies
18. performed in the four European regions. The study design was notably different in The Neth-
19. erlands, where patients with a first histologically confirmed BCC in 2004 from four dermatol-
20. ogy departments were followed for subsequent clinically diagnosed BCCs. In Scotland and
21. Finland, the audits were performed at hospital level, while in Malta all hospitals and clinics
22. were investigated for clinically diagnosed BCC. This was possible for Malta because of its small
23. size and contained geographic region. In addition, the cancer registry in Malta recorded all
24. BCC for whom there was a histological diagnosis. Another important variation was the size
25. of the study populations. Although the prospective Scottish study observed the highest per-
26. centage of BCC diagnosed clinically without histology, this study also had the smallest study
27. population, which may have inflated the proportion. Nonetheless, the greater proportion of
28. BCC without histological diagnosis that were identified in this prospective study compared
29. with the retrospective study from the same department, does demonstrate the importance
30. of prospective investigation. This potential problem of missed BCC in retrospective studies
31. was largely avoided by interrogating hospital case notes for all included patients in the other
32. sub-studies.

33. A French medical cost analysis study described that when histological confirmation was
34. performed in a clinical suspicious superficial BCC; the BCC diagnosis was confirmed in 85% of
35. the cases.¹⁵ Additionally, a study from the United States suggested that the positive predic-
36. tive value of the clinical diagnosis of a BCC is only 80%, and that is when the dermatologist is
37. reasonably confident about the diagnosis.¹⁶ Therefore, the observed percentages of clinically
38. diagnosed BCC without histological confirmation within this study may be an overestimate.
39. These limitations and the differences identified between the sub-studies from four different

1. European regions suggest that our data are an estimate for the number of clinically diagnosed BCC and may not be representative for Europe as a whole.

3.

4.

5. **CONCLUSION**

6. Limited data is available about the frequency of clinically diagnosed BCCs without histological confirmation and their treatment. Although the percentage of non-histological confirmed BCCs differed between the four European regions, our findings do confirm that the burden of BCCs is underestimated when based solely on data from pathology records and/or cancer registries.

11.

12.

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39. 20.

CHAPTER 8

Risk factors for single and multiple basal cell carcinomas

V. Kiiski

E. de Vries

S.C. Flohil

M.J. Bijl

A. Hofman

B.H.Ch. Stricker

T. Nijsten

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1. **ABSTRACT**
2. **Objective**
3. To investigate the incidence of (multiple) basal cell carcinomas (BCC) and associated risk
4. factors.
5. **Design**
6. A prospective population-based cohort study.
7. **Setting**
8. Two cohorts of altogether 10,994 Dutch people, aged ≥ 55 years, were included since 1991 (1st
9. cohort) and 1999 (2nd cohort).
10. **Patients**
11. Patients with BCC were identified from the Dutch national pathology laboratories network,
12. hospitals and general practices.
13. **Main Outcome Measures**
14. The associations between determinants and first and multiple BCCs were studied by estimat-
15. ing odds ratios and hazard ratios, using multivariable logistic regression and Andersen-Gill
16. models, respectively.
17. **Results**
18. Of the eligible 10,820 cohort members, 524 (4.8%) had a BCC of whom 361 had one and
19. 163 patients had multiple lesions (31.1%). Age and red hair were significant risk factors for
20. a first BCC in a multivariable model. In the Anderson-Gill model, people who developed a
21. first BCC after 75 years of age were significantly less likely to develop multiple BCCs (≥ 75
22. years adjusted OR 0.58; 95% CI 0.47 – 0.71). Red hair (adjusted OR 1.43; 95% CI 1.05 – 1.94),
23. high educational level (adjusted OR 1.42; 95% CI 1.12 – 1.81) and a first BCC located on the
24. upper extremities (adjusted OR 1.49; 95% CI 1.02 – 2.15) were associated with a significantly
25. increased risk of developing multiple BCCs.
26. **Conclusion**
27. Patients who are relatively young at their first BCC diagnosis, those with red hair, higher
28. socioeconomic status and/or those who had a BCC on their upper extremities have a higher
29. risk of developing multiple BCCs and require more close follow-up over time.
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1. INTRODUCTION

2. Basal cell carcinoma (BCC) is the most common cancer in people with European ancestry and
3. its incidence continues to increase steeply.¹⁻⁵ The BCC incidence varies geographically. For
4. instance, in The Netherlands, the incidence rate is approximately 100 per 100 000 person-
5. years which is about one-tenth of the high risk in areas such as Australia.⁶ More than a million
6. people in the United States develop a BCC annually.⁷ Although BCC therapy is relatively
7. straightforward and BCC mortality is extremely low, its high incidence and high risk of devel-
8. oping multiple BCC put a major burden on limited health care resources, placing BCC on the
9. 5th place of most expensive cancers in the USA.⁸

10. Individual risk factors for BCC include age, male sex, race, phenotypic characteristics and
11. genetic predisposition. These factors may interact with environmental exposures such as
12. ultraviolet light or iatrogenic exposures.⁹⁻¹⁴ In contrast to risk factors associated with incident
13. BCC, the risk factor profile of those who develop multiple BCC among patients with a prior
14. BCC is not well documented. Most observational BCC studies were performed with data from
15. a few cancer registries that record incident BCC without detailed data on risk factors (except
16. for basic demographics). Specialized centers include specific subgroups of patients, with
17. detailed risk factor information but their results are often not generalizable due to selection
18. processes with potential bias or confounding.^{12, 15-17} The strongest risk factor for developing
19. multiple BCCs appears to be a personal history of a prior BCC, representing the accumulation
20. of gene-environmental interactions. It has been estimated that 40% to 50% of patients with a
21. BCC develop subsequent tumors.¹²⁻¹³ Previous studies have suggested that BCC patients who
22. had a truncal and/or superficial BCC, a sun sensitive skin type and who were unable to tan are
23. at an increased risk of developing subsequent tumors.^{12, 16-18}

24.

25. Identification of BCC patients at high risk of developing subsequent BCCs may assist physi-
26. cians in the adequate selection of individuals from the large number of BCC patients who
27. should be followed more closely over time. Currently, national BCC guidelines are ambiguous
28. concerning follow-up, but often advise patients to be followed annually for several years (of-
29. ten a 5-year period)¹⁹⁻²¹ leading to an overwhelming workload for (medical) dermatologists,
30. of whom there is a shortage in several countries such as The Netherlands, USA and UK.

31. Therefore, the study objective was to investigate incidence and risk factors associated with
32. development of (multiple) BCC in a population-based study of almost 11,000 middle-aged
33. and elderly people from the general Dutch population.

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1. **METHODS**

2. *Study population*

3. The Rotterdam Study is a well-established prospective population-based cohort study in the
4. Ommoord district in Rotterdam, The Netherlands, which was designed to study diseases in
5. elderly people.²² In January 1990, the first inception cohort of 7,983 people aged ≥ 55 years
6. (78% of invitees) was established. In 1999, an additional 3,011 participants who had turned
7. 55 or moved into the district were added to the cohort (67% of invitees). The Medical Ethics
8. Committee of the Erasmus Medical Center has approved the Rotterdam Study and written
9. informed consent was obtained from each participant.

10.

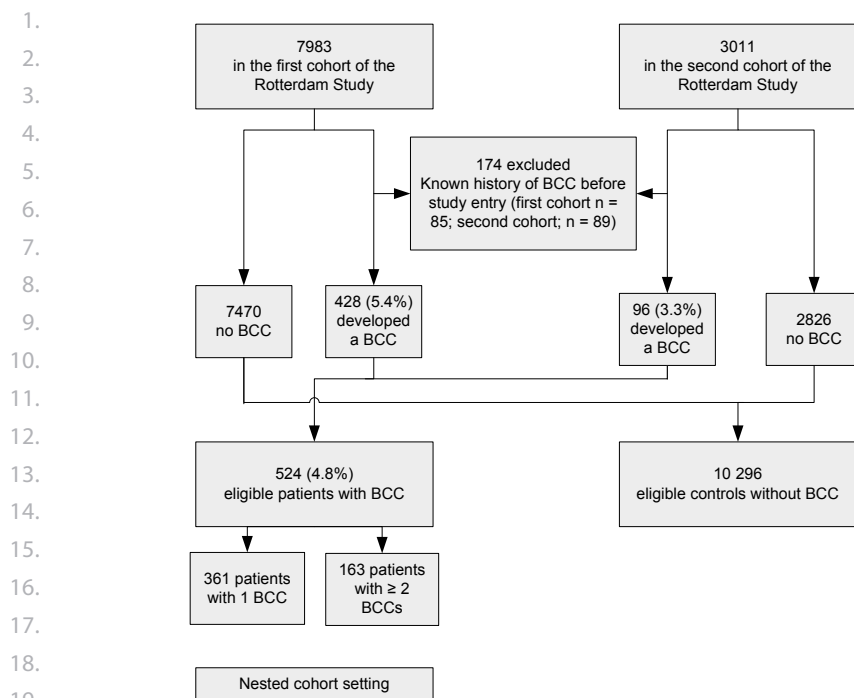
11. *Case definition*

12. All pathology-confirmed cases of BCC in the Rotterdam Study were extracted from the Dutch
13. national pathology laboratories network PALGA between the start of the cohort study and
14. December 2007. Patients with a BCC diagnosis prior to study entry were excluded from the
15. analyses (Figure 1). BCC reports with pathology sample dates 6 months or less apart with
16. the same location and/or pathology report summary including the words 'biopsy' or 'punch
17. biopsy' at first date and 'excision' on second/following dates were considered as the same
18. BCC and therefore counted as one lesion. If no further description was available, BCC reports
19. in cases appearing within 3 months with the same location were combined assuming that it
20. was a biopsy followed by an excision. Subsequent lesions occurring in the same location with
21. 'recurrence' or 're-excision' mentioned in the pathology report were considered as recurrent
22. tumors and were not counted as subsequent BCC. Lesions occurring within six months of the
23. very first date of BCC diagnosis (index date) were counted as additional tumors at the date of
24. the first diagnosis (index lesions) because they probably were present at index date as well;
25. they were counted as separate BCCs with a zero time interval between occurrence compared
26. to the index lesion. Tumor specific information was extracted from the SNOMED abstract
27. available in PALGA. The body site of BCC was classified by dividing the body surface area
28. into 20 parts. Histological subtypes were categorized into: nodular (including nodulocystic
29. and basosquamous types), infiltrative, superficial, micronodular and adenoid type. In mixed
30. type lesions a superiority rule was used according to aggressiveness, namely: infiltrative >
31. nodular > micronodular > superficial. When inconsistent, the pathology report of the exci-
32. sion overruled the biopsy report. The BCC count was continuous, multiple BCC was defined
33. as having had ≥ 2 BCCs. Patients were counted only once per histological subtypes or body
34. sites in analyses that stratified for these two characteristics. Cohort participants without a
35. pathology-based diagnosis of BCC were used as a reference group.

36.

37. *Co-variables*

38. Baseline data of determinants and potential risk factors for BCC included gender, age, hair
39. color (fair, red and brown/black) and eye color (blue, intermediate and brown), educational



22.

23. level (classified into 3 categories: low [primary education], medium [lower-level general
24. education, intermediate-level general education, and lower-level vocational education], and
25. high [higher-level general education, intermediate-level vocational education, higher-
26. level vocational education and university]), alcohol consumption (<10, 10-20 and >20 g/day),
27. smoking history (never, former or current smoker) and body mass index (BMI: <25, 25-29,99
28. and ≥30) at cohort entry. Four questions assessing ultraviolet (UV) exposure were available
29. including tendency for sunburns, history of more than 25 years of outdoor work, having lived
30. more than one year in sunny countries, and sun-protective behavior (i.e., wearing sunglasses
31. and/or a rimmed hat in the sunshine). The first three UV items had binary responses and the
32. latter was categorized into no, often and always.

33.

34. *Statistical analyses*

35. Differences in the distribution of demographic and BCC characteristics were compared using Stu-
36. dent's *t*-test or Mann-Whitney *U* test for continuous variables, as appropriate, and Pearson's χ^2 for
37. categorical variables. Due to violation of the proportional hazards assumption, logistic regression
38. models were used to calculate crude and adjusted odds ratios (OR) with 95% confidence intervals
39. (CI) for the development of the either a single BCC or multiple BCCs. In addition to gender, if a vari-

1. able showed an association with BCC occurrence with a p-value <0.20 in the univariable analyses,
2. it was included in the multivariable logistic regression model.²³ No significant interactions were
3. observed between variables that were eligible for the multivariate logistic regression model.
4. The Andersen-Gill multifailure survival model, which assesses the recurrence of multiple
5. events, was used to estimate hazard ratios (HR) and 95% CIs for multiple BCC. The advantage
6. of this method of analysis is that it includes all BCCs that patients developed after their initial
7. BCC and not just the second lesion, which makes it more appropriate for depicting a phe-
8. nomenon with a repeated character such as BCC occurrence.¹⁵ To increase the stability of the
9. Andersen-Gill analysis, patients were censored at a maximum of 5 BCCs because of the small
10. number of patients with more than 5 BCCs (n=12). Variables showing an association with
11. p < 0.20 were included in the adjusted model. Hazard ratios for lesion subtype and lesion
12. site were analysed making dummies by subcategory, resulting in analyses comparing one
13. subtype/site with all other subtypes/sites as a reference group. SPSS15.0 for Windows (SPSS
14. Inc., Chicago, IL) was used for data analyses, except for the Andersen-Gill multifailure survival
15. model for which SAS 9.13 for Windows (SAS institute Inc., Cary, NC) was used.

16.
17.

18. **RESULTS**

19. *Study population*

20. Of the total of 10,994 persons who participated in the Rotterdam Study, 174 (1.6%) were
21. excluded because they had a BCC prior to cohort entry (Figure 1). The remaining 10,820
22. patients were included and contributed 102,171 person-years of follow-up, with a mean
23. follow-up duration of 9.5 years (SD 4.8). The mean age at study entry was 69 years (Table 1).
24. Approximately 60% of our study population was female and 98% was Caucasian. Character-
25. istics of the study population are presented in Table 1.

26.
27.

27. *BCC data*

28. 1,556 pathology reports containing information on BCCs of the cohort members of the Rot-
29. terdam Study from 1982 to 2007 were extracted from PALGA. Of the 10,820 eligible cohort
30. members, 524 (4.8%) had at least one recorded pathology-confirmed BCC of whom 68.9% had
31. one, 18.1% two and 12.9% persons had three or more tumors (Figure 2). The mean and median
32. numbers of pathology-confirmed BCCs were 1.63 and 1, respectively, and ranged between 1
33. and 11. In total, 854 unique BCCs (recurrences excluded) were identified of which about two
34. thirds were located on the head and neck (Table 2). More than half of the BCCs were nodular
35. and about a quarter were of infiltrating histological subtype. Of all BCC patients 63.9% had at
36. least one cancer lesion of nodular, 31.5% an infiltrating and 14.7% a superficial subtype.
37. In the group of patients with multiple BCC, 79.4% had one or more nodular lesion, 43.6%
38. had infiltrating lesions and 30.9% superficial lesions. Most BCCs were located on the head
39. and neck area, followed by trunk and upper and lower extremities (60.4%, 17.8%, 5.3% and

Table 1. Description of study population and risk factor analysis of first basal cell carcinoma (BCC)

| Characteristic | Entire study population (n=10,820) | No. of cases (%) (n=524) | No. of controls (%) (n=10,296) | Crude Odds ratios (95% CI). First BCC (n=524)* | Multivariate Odds ratios (95% CI). First BCC (n=524)** |
|--|------------------------------------|--------------------------|--------------------------------|--|--|
| Total years of follow-up | 102 171 | 6274 | 95 896 | | |
| Mean years of follow-up/patient | 9.45 | 11.97 | 9.32 | | |
| Gender | | | | | |
| female | 6481 (59.9) | 300 (57.3) | 6181 (60.0) | 1.00 (ref) | 1.00 (ref) |
| Male | 4339 (40.1) | 224 (42.7) | 4115 (40.0) | 1.12 (0.94 - 1.34) | 1.04 (0.81 - 1.35) |
| Age at the entry to study | | | | | |
| <i>Mean, years (range, years)</i> | <i>69.1 (55-106)</i> | <i>68.5 (55-93)</i> | <i>69.1 (55-106)</i> | | |
| < 65 | 4595 (42.5) | 203 (38.7) | 4392 (42.7) | 1.00 (ref) | 1.00 (ref) |
| 65 - 74.99 | 3252 (30.1) | 208 (39.7) | 3044 (29.6) | 1.48 (1.21 - 1.80) | 1.39 (1.11 - 1.75) |
| ≥ 75 | 2971 (27.5) | 113 (21.6) | 2858 (27.8) | 0.86 (0.68 - 1.08) | 1.01 (0.76 - 1.34) |
| missing | 2 | 0 | 2 | NA | NA |
| Hair color | | | | | |
| Brown/black | 7435 (68.5) | 343 (65.5) | 7092 (68.9) | 1.00 (ref) | 1.00 (ref) |
| fair/blond | 2257 (20.9) | 127 (24.2) | 2130 (20.7) | 1.23 (1.00 - 1.52) | 1.16 (0.91 - 1.48) |
| Red | 297 (2.7) | 26 (5.0) | 271 (2.6) | 1.98 (1.31 - 3.01) | 1.98 (1.24 - 3.14) |
| missing | 831 (7.7) | 28 (5.3) | 803 (7.8) | 0.72 (0.49 - 1.07) | NA |
| Eye color | | | | | |
| Brown | 2239 (20.7) | 99 (18.9) | 2140 (20.8) | 1.00 (ref) | 1.00 (ref) |
| intermediate | 771 (7.1) | 39 (7.4) | 732 (7.1) | 1.15 (0.79 - 1.68) | 0.94 (0.62 - 1.43) |
| Blue | 6263 (57.9) | 332 (63.4) | 5931 (57.6) | 1.21 (0.96 - 1.52) | 1.10 (0.84 - 1.44) |
| missing | 1547 (14.3) | 54 (10.3) | 1493 (14.5) | 0.79 (0.56 - 1.11) | 1.71 (0.88 - 3.33) |
| Tendency for sunburns | | | | | |
| Low | 6634 (61.3) | 311 (59.4) | 6323 (61.4) | 1.00 (ref) | 1.00 (ref) |
| High | 3233 (29.9) | 183 (34.9) | 3050 (29.6) | 1.22 (1.01 - 1.47) | 1.13 (0.91 - 1.40) |
| missing | 953 (8.8) | 30 (5.7) | 923 (9.0) | 0.66 (0.45 - 0.97) | 0.40 (0.10 - 1.59) |
| Outdoor work history (≥25 years) | | | | | |
| No | 6086 (56.2) | 344 (65.6) | 5742 (55.8) | 1.00 (ref) | 1.00 (ref) |
| Yes | 1194 (11.0) | 69 (13.2) | 1125 (10.9) | 1.02 (0.78 - 1.34) | 1.00 (0.75 - 1.34) |
| missing | 3540 (32.7) | 111 (21.2) | 3429 (33.3) | 0.42 (0.25 - 0.70) | NA |
| History of living in sunny country (>1 year) | | | | | |
| No | 8972 (82.9) | 452 (86.3) | 8520 (82.9) | 1.00 (ref) | 1.00 (ref) |
| Yes | 1021 (9.4) | 44 (8.4) | 977 (9.5) | 0.85 (0.62 - 1.17) | 0.84 (0.59 - 1.20) |
| missing | 827 (7.6) | 28 (5.3) | 799 (7.8) | 0.66 (0.45 - 0.98) | NA |
| Sun protective behavior †† | | | | | |
| No | 4523 (41.8) | 228 (43.5) | 4295 (41.7) | 1.00 (ref) | |
| Often | 2982 (27.6) | 148 (28.2) | 2834 (27.5) | 0.98 (0.80 - 1.22) | |
| always | 2472 (22.8) | 118 (22.5) | 2354 (22.9) | 0.94 (0.75 - 1.19) | |
| missing | 843 (7.8) | 30 (5.7) | 813 (7.9) | 0.70 (0.47 - 1.03) | |
| Smoking † | | | | | |
| Never smoked | 3658 (33.8) | 185 (35.3) | 3473 (33.7) | 1.00 (ref) | 1.00 (ref) |
| Former | 4546 (42.0) | 244 (46.6) | 4302 (41.8) | 1.07 (0.88 - 1.30) | 1.10 (0.86 - 1.42) |
| Current | 2262 (20.9) | 89 (17.0) | 2173 (21.1) | 0.77 (0.59 - 1.00) | 0.74 (0.54 - 1.01) |
| missing | 354 (3.3) | 6 (1.1) | 384 (3.4) | 0.32 (0.14 - 0.74) | 0.59 (0.19 - 1.82) |
| Alcohol intake (g/d) † | | | | | |
| No | 3577 (33.1) | 146 (27.9) | 3431 (33.3) | 1.00 (ref) | 1.00 (ref) |

| | | | | | | |
|-----|--|-------------|------------|-------------|---------------------------|---------------------------|
| 1. | 0.01 - 9.99 g | 2639 (24.4) | 173 (33.0) | 2466 (24.0) | 1.65 (1.32 - 2.07) | 1.21 (0.89 - 1.63) |
| 2. | 10 - 19.99 g | 919 (8.5) | 54 (10.3) | 865 (8.4) | 1.47 (1.06 - 2.02) | 1.10 (0.74 - 1.16) |
| 3. | 20 g or more | 1170 (10.8) | 74 (14.1) | 1096 (10.6) | 1.59 (1.19 - 2.12) | 1.17 (0.38 - 1.29) |
| 4. | missing | 2515 (23.2) | 77 (14.7) | 2438 (23.7) | 0.73 (0.55 - 0.97) | 0.57 (0.38 - 0.86) |
| 5. | Body mass index (BMI, kg/m²) † | | | | | |
| 6. | < 25 (under- and normal weight) | 3446 (31.8) | 182 (34.7) | 3264 (31.7) | 1.00 (ref) | 1.00 (ref) |
| 7. | 25-29.99 (overweight) | 4439 (41.0) | 224 (42.7) | 4215 (40.9) | 0.95 (0.78 - 1.17) | 0.93 (0.74 - 1.16) |
| 8. | 30 or more (obese) | 1550 (14.3) | 75 (14.3) | 1475 (14.3) | 0.91 (0.69 - 1.20) | 1.00 (0.73 - 1.37) |
| 9. | missing | 1385 (12.8) | 43 (8.2) | 1342 (13.0) | 0.58 (0.41 - 0.81) | 0.67 (0.35 - 1.29) |
| 10. | Educational level | | | | | |
| 11. | Low | 5218 (48.2) | 259 (49.4) | 4959 (48.2) | 1.00 (ref) | 1.00 (ref) |
| 12. | Medium | 3208 (29.6) | 170 (32.4) | 3038 (29.5) | 1.07 (0.88 - 1.31) | 1.28 (1.01 - 1.60) |
| 13. | High | 1920 (17.7) | 82 (15.6) | 1838 (17.9) | 0.85 (0.66 - 1.10) | 1.06 (0.73 - 1.56) |
| 14. | missing | 474 (4.4) | 13 (2.5) | 461 (4.5) | 0.55 (0.31 - 0.96) | 0.87 (0.32 - 2.42) |

* Univariate Logistic regression analysis

** Multivariate Logistic regression analysis. Variables with p<0.20 and gender included to analysis.

† Assessed at baseline. 1990-91 for the initial cohort and 2000-2001 for the second cohort

‡ Defined by questions of wearing sunglasses and/or hat with a rim in sunshine

11.6% of all BCCs, respectively. Among patients with multiple BCC, almost half (48.1%) of those who had a superficial BCC additionally had at least one nodular lesion and 19.5% had also an infiltrating lesion.

BCC incidence

During the first year of follow up, 0.2% of cohort members developed a BCC and cumulative percentages of developing BCC during three, five and ten years of follow up were 0.9%, 1.8%

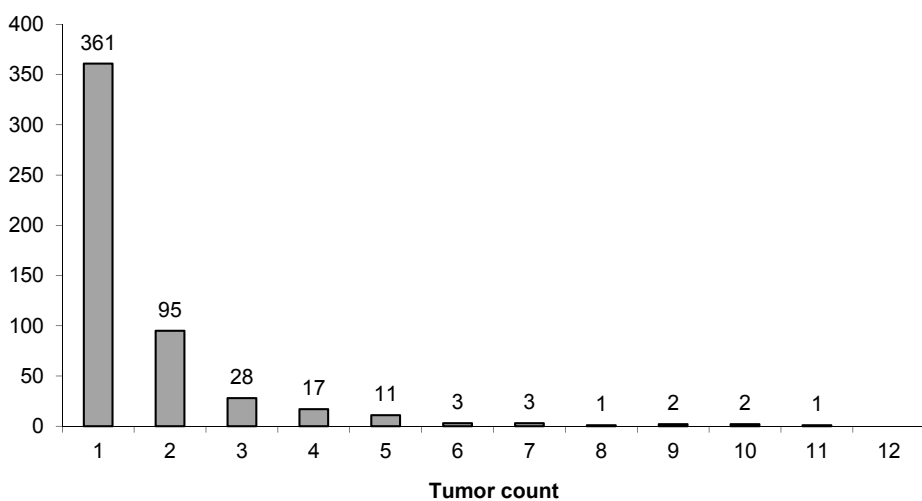


Figure 2. The absolute basal cell carcinoma count in the 10,820 cohort members

1. and 3.4%, respectively. The incidence rate of first BCC was 513/100 000 person-years. The
 2. incidence among women (497/100 000 person-years) was lower than among men (566/100
 3. 000 person-years); the risk difference of 87/100 000 was of borderline significance ($p=0.058$).
 4. The incidence for multiple BCCs was 161.5/100 000 person-years and it was significantly
 5. lower for women than for men (139 versus 197/100 000 person-years, respectively; risk dif-
 6. ference 58/100 000 person-years, $p=0.024$). Among those with a first BCC, 3, 5 and 10 years

7.

8.

Table 2. The characteristics of the 854 basal cell carcinoma (BCC) in 524 patients

| Total tumor count | | Number of cases (%) |
|----------------------------------|---|-----------------------------|
| 10. | 1 | 361 (68,9) |
| 11. | ≥2 | 163 (31,1) |
| Tumor count at index date | | Number of cases (%) |
| 12. | 1 | 456 (87,0) |
| 13. | ≥2 | 68 (13,0) |
| Tumor site | | Number of tumors (%) |
| 15. | Head and neck | 561 (65,6) |
| 16. | Scalp | 21 (2,5) |
| 17. | Temporal areas | 83 (9,7) |
| 18. | Forehead | 84 (9,8) |
| 19. | Retro- and preauricular areas | 27 (3,2) |
| 20. | Cheeks | 51 (6,0) |
| 21. | Nose / surroundings of the nose | 106 (12,4) |
| 22. | nasolabial fold and upper lip | 22 (2,6) |
| 23. | lower lip and chin | 11 (1,3) |
| 24. | Jaw | 13 (1,5) |
| 25. | Ears | 36 (4,2) |
| 26. | Eye-brow areas / eye surroundings | 46 (5,4) |
| 27. | Head, specific location unknown | 15 (1,8) |
| 28. | Neck | 46 (5,4) |
| 29. | Trunk | 127 (14,9) |
| 30. | Back and shoulders | 69 (8,1) |
| 31. | Thorax | 39 (4,6) |
| 32. | Abdomen | 11 (1,3) |
| 33. | Trunk, specific location unknown | 8 (0,9) |
| 34. | Upper extremities | 38 (4,4) |
| 35. | Lower extremities | 94 (11,0) |
| 36. | Other (pelvic/anogenital area, buttocks) | 9 (1,1) |
| 37. | Missing | 25 (3,0) |
| Tumor histology | | Number of tumors (%) |
| 38. | Nodular | 467 (54,7) |
| 39. | Infiltrating | 192 (22,5) |
| 40. | Superficial | 95 (11,1) |
| 41. | Micronodular | 6 (0,7) |
| 42. | Adenoid | 4 (0,5) |
| 43. | Other | 6 (0,7) |
| 44. | Missing | 84 (9,8) |

1. incidence rates per 100 000 person-years for developing multiple BCC were 893, 1,459 and
2. 1,974, respectively.

3.

4. *Risk factors for first BCC*

5. In univariable analyses, an age of 65-75 years at study entry, alcohol use, blond or red hair
6. color, and high tendency for sunburns were significantly associated with an increased risk of
7. developing a first BCC (Table 1). Red hair color had the strongest association (OR 1.98, 95%
8. CI 1.31 – 3.01). Eye color, educational level, outdoor work history, history of living in a sunny
9. country, sun protective behavior and BMI were not significantly associated with a higher risk
10. of BCC. In univariable analysis, current smoking was associated with a decreased risk of being
11. diagnosed with a BCC (OR 0.77; 95% CI 0.59–1.00).

12. After including gender, age, hair and eye color, tendency for sunburns, history of outdoor
13. work or living in a sunny country, smoking, alcohol consumption, BMI and educational level
14. ($p < 0.20$ in the univariable analyses) to a multivariable logistic regression model, older age
15. at study entry (65 - 74 years, adjusted OR 1.39; 95 %CI 1.11 - 1.75 compared to <65 years)
16. and red hair (adjusted OR 1.98; 95% CI 1.24 - 3.14) remained significantly associated with
17. an increased risk of developing a first BCC. In the multivariable model, a high tendency for
18. sunburns was no longer a significant risk factor but medium educational level was positively
19. associated with an increased risk.

20.

21. *Risk factors for multiple BCCs*

22. In the univariable Andersen-Gill multifaailure analyses, several demographic factors and
23. tumor characteristics (i.e., male gender, hair color, high tendency for sunburns), as well as
24. higher educational levels were significantly associated with an increased risk of developing
25. multiple BCCs. In contrast, higher age at the time of the first BCC lesion and alcohol use were
26. associated with a decreased risk (Table 3). In the univariable analyses, superficial histological
27. type at first occurrence of BCC and lesions located not in the head and neck region seemed
28. to increase the likelihood of developing subsequent BCCs.

29. In the multivariable model that adjusted for age at index lesion, gender, hair and eye color,
30. all UV related items, smoking and alcohol intake, lesion histology and location, and aged <
31. 65 years at time of first BCC were associated with a significantly increased risk of developing
32. multiple BCCs (e.g., ≥ 75 years vs. <65 years, adjusted HR 0.58; 95% CI 0.47 - 0.71 and HR 0.65;
33. 95% CI 0.53 - 0.81, respectively; Table 3). Older age (age groups 65-74.99 and ≥ 75) at index
34. lesion is associated with a decreased risk of developing multiple BCCs. For the oldest age
35. group this could be partly explained by the mean follow-up time, which is relatively shorter
36. than the mean follow-up time of the middle and youngest age group (mean follow-up times
37. 6.66, 10.36 and 10.70 years, respectively). However, this does not clarify the decreased risk
38. of developing multiple BCCs in age group 65 - 74.99. Blue eyes and blond hair were not
39. significantly associated with developing multiple BCCs, but people with red hair were about

1. 40% more likely to develop multiple BCCs than those with brown hair (adjusted HR 1.42;
2. 95% CI 1.05 - 1.93). Compared to patients with low educational level, those with a medium
3. and high educational level were about 20% and 40% more likely to develop multiple BCCs,
4. respectively. After adjusting for confounders, the index lesion location on upper extremi-
5. ties was the only BCC characteristic that remained significantly associated with developing
6. multiple BCCs (adjusted HR 1.49; 95% CI 1.02 - 2.15).

7.
8.

9. **Table 3.** Distribution and survival analysis of risk factors associated with development of multiple basal
10. cell carcinoma (BCC)

| 11. Characteristic | 12. No. of patients with one BCC (%) | 13. No. of patients with multiple BCC (%) | 14. Crude hazard ratios (Anderson-Gill) (95 % CI). multiple BCC (n = 163) [∞] | 15. Adjusted hazard ratios (Anderson-Gill) (95 % CI). multiple BCC (n = 163) ^{∞∞∞} |
|--|--------------------------------------|---|--|---|
| 16. Total number of patients | 361 | 163 | | |
| 17. Years of follow-up | 4 160 | 2 114 | | |
| 18. Mean years of follow-up/patient | 11.52 | 12.97 | | |
| 19. Gender | | | | |
| 20. female | 215 (59.6) | 85 (52.1) | 1.00 (ref) | 1.00 (ref) |
| 21. male | 146 (40.4) | 78 (47.9) | 1.20 (1.05 – 1.37) | 1.11 (0.93 – 1.33) |
| 22. Age at index lesion | | | | |
| 23. <i>Mean, years (range, years)</i> | <i>76.0 (55-98)</i> | <i>73.9 (57-95)</i> | | |
| 24. < 65 | 41 (11.4) | 27 (16.6) | 1.00 (ref) | 1.00 (ref) |
| 25. 65 – 74.99 | 115 (31.9) | 63 (38.7) | 0.62 (0.52 – 0.75) | 0.65 (0.53 – 0.81) |
| 26. ≥ 75 | 205 (56.8) | 73 (44.8) | 0.55 (0.46 – 0.65) | 0.58 (0.47 – 0.71) |
| 27. Hair color | | | | |
| 28. Brown/black | 239 (66.2) | 104 (63.8) | 1.00 (ref) | 1.00 (ref) |
| 29. fair/blond | 92 (25.5) | 35 (21.5) | 1.19 (1.02 – 1.38) | 1.13 (0.95 – 1.35) |
| 30. red | 11 (3.0) | 15 (9.2) | 1.54 (1.19 – 2.00) | 1.43 (1.05 – 1.94) |
| 31. missing | 19 (5.3) | 9 (5.5) | | |
| 32. Eye color | | | | |
| 33. brown | 73 (20.2) | 26 (16.0) | 1.00 (ref) | 1.00 (ref) |
| 34. intermediate | 24 (6.6) | 15 (9.2) | 1.19 (0.90 – 1.58) | 1.41 (1.04 – 1.91) |
| 35. blue | 224 (62.0) | 108 (66.3) | 1.15 (0.96 – 1.38) | 1.09 (0.88 – 1.35) |
| 36. missing | 40 (11.1) | 14 (8.6) | | |
| 37. Tendency for sunburns | | | | |
| 38. low | 229 (63.4) | 82 (50.3) | 1.00 (ref) | 1.00 (ref) |
| 39. high | 111 (30.7) | 72 (44.2) | 1.21 (1.06 – 1.39) | 1.13 (0.96 – 1.33) |
| 40. missing | 21 (5.8) | 9 (5.5) | | |
| 41. Outdoor work history (≥25 years) | | | | |
| 42. no | 241 (66.8) | 103 (63.2) | 1.00 (ref) | |
| 43. yes | 40 (11.1) | 29 (17.8) | 1.06 (0.87 – 1.29) | |
| 44. missing | 80 (22.2) | 31 (19.0) | | |
| 45. History of living in sunny country (>1 year) | | | | |
| 46. no | 321 (88.9) | 131 (80.4) | 1.00 (ref) | |
| 47. yes | 21 (5.8) | 23 (14.1) | 1.09 (0.87 – 1.36) | |
| 48. missing | 19 (5.3) | 9 (5.5) | | |

| | | | | | |
|-----|--|------------|------------|----------------------------------|--|
| 1. | Sun protective behavior †† | | | | |
| 2. | no | 167 (46.3) | 61 (37.4) | 1.00 (ref) | 1.00 (ref) |
| 3. | often | 95 (26.3) | 53 (32.5) | 1.22 (1.04-1.43) | 1.12 (0.93 – 1.34) |
| 4. | always | 79 (21.9) | 39 (23.9) | 1.19 (1.00-1.42) | 1.15 (0.94 – 1.39) |
| 5. | missing | 20 (5.5) | 10 (6.1) | | |
| 6. | Smoking † | | | | |
| 7. | Never smoked | 129 (35.7) | 56 (34.4) | 1.00 (ref) | 1.00 (ref) |
| 8. | Former | 160 (44.3) | 84 (51.5) | 1.10 (0.95 – 1.28) | 0.95 (0.79 – 1.16) |
| 9. | Current | 68 (18.8) | 21 (12.9) | 0.99 (0.81 – 1.21) | 0.88 (0.69 – 1.12) |
| 10. | missing | 4 (1.1) | 2 (1.2) | | |
| 11. | Alcohol intake (g/d) † | | | | |
| 12. | No | 104 (28.8) | 42 (25.8) | 1.00 (ref) | 1.00 (ref) |
| 13. | 0.01 – 9.99 g | 114 (31.6) | 59 (36.2) | 0.75 (0.63 – 0.88) | 0.83 (0.69 – 1.01) |
| 14. | 10 – 19.99 g | 38 (10.5) | 16 (9.8) | 0.63 (0.49 – 0.81) | 0.62 (0.47 – 0.81) |
| 15. | 20 g or more | 46 (12.7) | 28 (17.2) | 0.80 (0.65 – 0.99) | 0.84 (0.66 – 1.06) |
| 16. | missing | 59 (16.3) | 18 (11.0) | | |
| 17. | Body mass index (BMI, kg/m²) † | | | | |
| 18. | < 25 (under- and normal weight) | 126 (34.9) | 56 (34.4) | 1.00 (ref) | 1.00 (ref) |
| 19. | 25-29.99 (overweight) | 145 (40.2) | 79 (48.5) | 1.03 (0.89 – 1.19) | 1.08 (0.92 – 1.28) |
| 20. | 30 or more (obese) | 58 (16.1) | 17 (10.4) | 0.94 (0.75 – 1.17) | 1.01 (0.78 – 1.30) |
| 21. | missing | 32 (8.9) | 11 (6.7) | | |
| 22. | Educational level | | | | |
| 23. | Low | 184 (51.0) | 75 (46.0) | 1.00 (ref) | 1.00 (ref) |
| 24. | Medium | 111 (30.7) | 59 (36.2) | 1.27 (1.09 – 1.48) | 1.22 (1.02 – 1.45) |
| 25. | High | 59 (16.3) | 23 (14.1) | 1.66 (1.37 – 2.01) | 1.42 (1.12 – 1.81) |
| 26. | missing | 7 (1.9) | 6 (3.7) | | |
| 27. | Index lesion subtype | | | | |
| 28. | Nodular | 206 (57.1) | 97 (59.5) | 0.84 (0.70 – 1.01) [#] | 0.84 (0.66 – 1.06) [#] |
| 29. | Infiltrating | 93 (25.8) | 43 (26.4) | 0.86 (0.70 – 1.06) [#] | 0.80 (0.62 – 1.04) [#] |
| 30. | Superficial | 26 (7.2) | 28 (17.2) | 1.10 (0.87 – 1.39) [#] | 0.92 (0.70 – 1.22) [#] |
| 31. | Other | 8 (2.2) | 7 (4.3) | 0.89 (0.57 – 1.37) [#] | 0.90 (0.53 – 1.52) [#] |
| 32. | Missing | 28 (7.8) | 12 (7.4) | | |
| 33. | Index lesion site | | | | |
| 34. | Head/neck | 261 (72.3) | 114 (69.9) | 0.98 (0.79 – 1.21) ^{##} | 1.20 (0.91 – 1.59) ^{##} |
| 35. | Trunk | 46 (12.7) | 34 (20.9) | 1.17 (0.94 – 1.46) ^{##} | 1.27 (0.98 – 1.65) ^{##} |
| 36. | Upper extremities | 12 (3.3) | 11 (6.7) | 1.33 (0.96 – 1.85) ^{##} | 1.49 (1.02 – 2.15)^{##} |
| 37. | Lower extremities | 26 (7.2) | 26 (16.0) | 1.25 (0.96 – 1.61) ^{##} | 1.34 (0.98 – 1.82) ^{##} |
| 38. | Missing | 17 (4.7) | 3 (1.8) | | |

31. ∞ Univariate Anderson-Gill multifailure survival analysis

32. ∞∞∞ Multivariate adjusted Anderson-Gill multifailure survival analysis. Adjusted for variables shown. Cut of point p for including p<0.20 in univariate analysis

33. † Assessed at baseline. 1990-91 for the initial cohort and 2000-2001 for the second cohort

34. †† Defined by questions of wearing sunglasses and/or hat with a rim in sunshine

35. # Reference group: all other lesion subtypes combined

36. ## Reference group: all other sites combined

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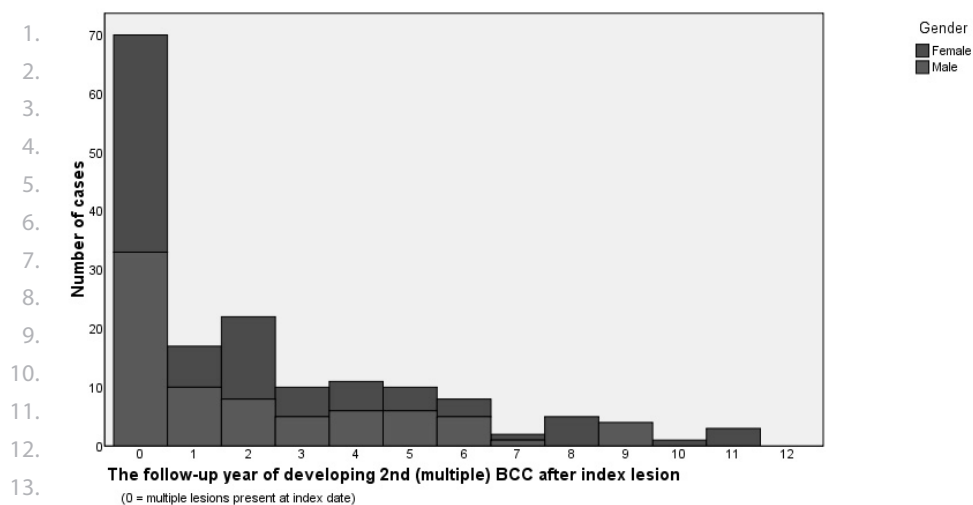


Figure 3. Time to development of subsequent basal cell carcinoma lesions. Zero indicates multiple lesions present at index date

DISCUSSION

In this study, 4.8% of the cohort members had a BCC and approximately one third of them developed multiple BCCs during an average of almost 10 years of follow-up, which is consistent with data from other studies.^{12-13, 16} Most risk factors associated with developing a first BCC such as age and hair color are in accordance with other studies, although men were not at an increased risk, which has been observed in some but not all previous studies.^{12, 17} As expected, BCC risk increased with age, but people who developed their first BCC before 65 years of age were significantly more likely to develop multiple BCCs. In contrast to developing a first BCC, high educational level was significantly positively associated with developing multiple BCC. This may be explained by the fact that people in higher socioeconomic class have different lifestyles (e.g. more frequently intermittent UV exposure) and in part because they are more likely to develop superficial non-facial BCCs, which are associated with the risk of developing multiple BCCs, or because of the immortal time bias (i.e., people with higher socioeconomic status are more likely to live longer and, thus, may have more time to develop a BCC).²⁴ However, the mean age at study entry of the participants with a higher education was actually younger (64.77 years vs. 71.32 years) and the mean follow-up period shorter than in the group of participants with only primary education (7.75 years vs. 10.47 years), hereby suggesting that this bias is not affecting our findings. Interestingly, after adjusting for confounding factors, known BCC risk factors such as blue eyes and blond hair were not associated with developing multiple BCC. Red hair seemed to be the most important risk factor for developing subsequent BCCs. No associations were observed between BCC and variables assessing in part cumulative UV exposure during lifetime, i.e. sun protective behavior, outdoor work and history of living in a sunny country.

1.
2. The observed discrepancy between risk factor profiles of developing one or multiple BCC
3. may suggest that once cumulative environmental-genetic interaction has surpassed a cer-
4. tain threshold and resulted in a BCC, the phenotypic characteristics of patients seem less
5. important. The clinical relevance of this finding is that physicians' risk assessment should
6. differentiate between patients at risk for a first BCC and those who have a history of BCC.
7. Of the people with a prior BCC, those who are relatively young at diagnosis of a first BCC,
8. those who have red hair and a higher socioeconomic status, and/or had a BCC on their up-
9. per extremities may require a more stringent follow-up regimen than other BCC patients.
10. However, of the classical phenotypic, UV-exposure and lifestyle risk factors examined in this
11. study, the strength of the risk estimates was modest (adjusted HR<1.5) suggesting that other
12. (genetic) factors may play an important role in the predisposition for developing multiple
13. BCCs. In this sample of the general population, more than 30% of the BCC patients developed
14. a subsequent skin cancer emphasizing the need for (annual) follow-up for several years. This
15. recommendation is often stated in the national BCC guidelines and has major implications
16. in the allocation of dermatological care because of the enormous volume of skin cancer
17. patients in predominantly Caucasian populations (most notably, Australia and USA, but also
18. Europe). Therefore, more observational research is needed to identify people who will benefit
19. most of long term and specialized follow up.
20.
21. Interestingly, more than half of the additional BCCs among patients with at least one
22. superficial BCC were of the nodular or infiltrating histological type suggesting a common
23. pathogenesis of these clinically and histologically different types of BCC. In clinical practices,
24. this implies that patients with superficial BCC, which are not very aggressive, are at a high
25. risk of developing more aggressive high risk BCCs.²⁵ We confirmed that non head and neck
26. location of BCC is a risk factor for developing multiple BCCs^{16, 26}, especially BCCs located on
27. the upper extremities. Most BCCs were located on the head and neck area, followed by trunk
28. and upper and lower extremities, which is in accordance with previous studies concerning
29. the anatomical distribution.²⁷
30.
31. This is the largest population-based study (including 100 000 person years of follow-up)
32. assessing and comparing risk factor profiles of first and multiple BCCs, based on detailed
33. information of BCC and patient characteristics. In total, four UV related questions were asked
34. (Table 2), but no information was available concerning UV exposure in childhood and adoles-
35. cence, which seems to be important in the pathogenesis of BCC. Because only histologically
36. confirmed BCCs were included in this study, lesions not biopsied or treated without histo-
37. pathological confirmation were missed. However, in the study period, the recommended
38. treatment according to the Dutch BCC guidelines¹⁹ was surgical excision and the community
39. hospital that is responsible for most of the health care provided in the study region usually

1. required pathological confirmation of diagnosis and used relatively few non-invasive skin
2. cancer therapies (cryotherapy, radiotherapy, topical therapies and photodynamic therapy)
3. during the study period (personal communication of chair of dermatology, St Franciscus
4. Hospital, Rotterdam) suggesting that the number of missed BCCs was limited. To minimize
5. multiple counting of a single BCC, a robust and conservative attempt was made to differenti-
6. ate between biopsied, excised, incident, recurrent and subsequent BCCs.
- 7.
8. In conclusion, more than 30% of Dutch BCC patients developed multiple BCC. The risk profiles
9. associated with incident BCC and multiple BCCs differed and require physicians to alter their
10. risk assessment after a first BCC has been diagnosed. More research is needed to identify
11. people who are at risk of developing multiple BCCs because the follow up of this large group
12. of people is putting a strain on limited specialized care.
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CHAPTER 9

Prevalence of actinic keratosis, its risk factors and association with skin cancer in an elderly population: the Rotterdam Study

S.C. Flohil

R.J.T. van der Leest

E.A. Dowlatshahi

A. Hofman

E. de Vries

T. Nijsten

Submitted

1. **ABSTRACT**

2. **Background**

3. Actinic keratoses (AK) are precursors of cutaneous squamous cell carcinomas (SCC). Limited
4. data is available on the prevalence and risk factors of AK.

5. **Methods**

6. Within the Rotterdam Study, a Dutch population-based cohort study, full body skin examina-
7. tions were performed among participants aged 45 years or older to estimate the age- and sex
8. standardized prevalence of AK and its associated risk factors. A multinomial logistic regres-
9. sion model calculated adjusted odds ratios (OR) with 95% confidence intervals (95% CI) for
10. associations between risk factors and the presence of 1 – 3, 4 – 9 and ≥ 10 AK. Binary logistic
11. regression compared participants without or with extensive actinic damage (≤ 9 AK versus
12. ≥ 10 AK). By linking the participants to PALGA, the nationwide network and registry of histo-
13. and cytopathology in The Netherlands, participants with a history of cutaneous malignancy
14. were identified.

15. **Results**

16. Of the 2,061 inspected cohort members (mean age 72 years), 21% had 1 to 3, 9% 4 to 9 and
17. 8% ten or more AK. Prevalence of AK in the Rotterdam Study was 49% (95% CI 46%–52%) for
18. men and 28% (26%–31%) for women. Extrapolation suggested that approximately 1.4 of the
19. 16 million Dutch citizens are affected with AK. Male sex, older age, light pigmentation status,
20. severe baldness, skin wrinkling and high tendency for sunburn were significantly associated
21. with number of AKs and extensive actinic damage (≥ 10 AKs) in the multivariate analyses.
22. Especially bald males were at an increased risk of severe actinic skin damage (adjusted OR=
23. 7.0 [3.8 – 13.1]). The group with no AKs had a lower positive history for SCC than the group
24. with 10 AKs (1.2% and 13.6%, respectively).

25. **Conclusions**

26. The prevalence of AK is very high, especially among elderly bald males, and the presence of
27. severe actinic damage significantly increases a history of SCC. The prevention and manage-
28. ment of AK is a true challenge for patients, physicians, and health care policy makers.

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1. INTRODUCTION

2. Actinic keratoses (AKs) are common keratinocytic intra-epidermal neoplasia (KIN) often oc-
 3. ccurring on chronically sun-exposed skin of Caucasian people.¹ Although AKs may persist or
 4. spontaneously regress. AKs may progress to invasive cutaneous squamous cell carcinoma
 5. (SCC) in approximately 0.1 to 20% of the lesions annually.²⁻⁴ Recently, a study suggested AKs
 6. may progress to basal cell carcinoma (BCC) as well.⁵ AKs are often diagnosed clinically (i.e.,
 7. rough red scaly patches on chronically sun-exposed skin) without histological confirmation
 8. and are, therefore, not recorded in pathology databases and cancer registries.
 9. Population-based studies investigating AK prevalence and its associated risk factors⁶⁻¹⁰
 10. conclude that elderly subjects with European ancestry and high cumulative ultraviolet (UV)
 11. exposure have the highest risk of developing AKs. However these studies are few and report
 12. prevalences of AK varying from 1.4 to 59.2%. These differences in prevalences could be due
 13. to the geographic variability in UV radiation levels (Australia > United States of America >
 14. Europe) and the differences between the studied populations (e.g. high-risk patients, pig-
 15. mentation status and age restrictions). Moreover skin examinations and AK count were not
 16. conducted uniformly in these studies.⁶⁻¹¹
 17. Most national guidelines or consensus reports recommend the treatment of AKs, for which a
 18. variety of modalities are available, and follow up of these patients because of their invasive
 19. potential. Implementing these recommendations puts a further burden on general physi-
 20. cians and the dermatological care that is already strained by the care of cutaneous malignan-
 21. cies.¹²⁻¹³
 22. More accurate insight into the prevalence of AK among the general population is pivotal for
 23. public health strategies and medical decision makers. For the first time in The Netherlands,
 24. the prevalence of AK and its associated risk factors were investigated in a population-based
 25. cohort study (i.e. Rotterdam Study) among 2,061 elderly participants.

26.

27.

28. METHODS

29. *Study population*

30. The Rotterdam Study is an ongoing prospective population-based cohort study that follows
 31. inhabitants of the Ommoord district of Rotterdam, The Netherlands since 1990. The study
 32. design and objectives of the Rotterdam Study have been described elsewhere.¹⁴ The Rot-
 33. terdam Study was designed to study frequencies and risk factors associated with diseases
 34. of the elderly (e.g. coronary heart disease, Alzheimer disease and osteoporosis). Every 3 to 4
 35. years, participants are interviewed at home and undergo an extensive set of examinations at
 36. the Rotterdam Study research facilities.

37. In January 1990, the first cohort (RS-I) of 7983 participants (78% of invitees) aged 55 years or
 38. older was established (figure 1). In 2000, a second cohort (RS-II) was added to the Rotterdam
 39. Study, including 3011 participants (67% of invitees) who had turned 55 years of age or had

1. moved into the study district. The third cohort (RS-III) was established in 2006, in which 3932
 2. participants (65% of invitees) aged 45 to 54 years were added to the cohort. Participants of
 3. the present study were all above 50 years of age. The Rotterdam Study is approved by the
 4. Medical Ethics Committee of the Erasmus MC University Medical Center and The Netherlands
 5. Ministry of Health, Welfare and Sports.

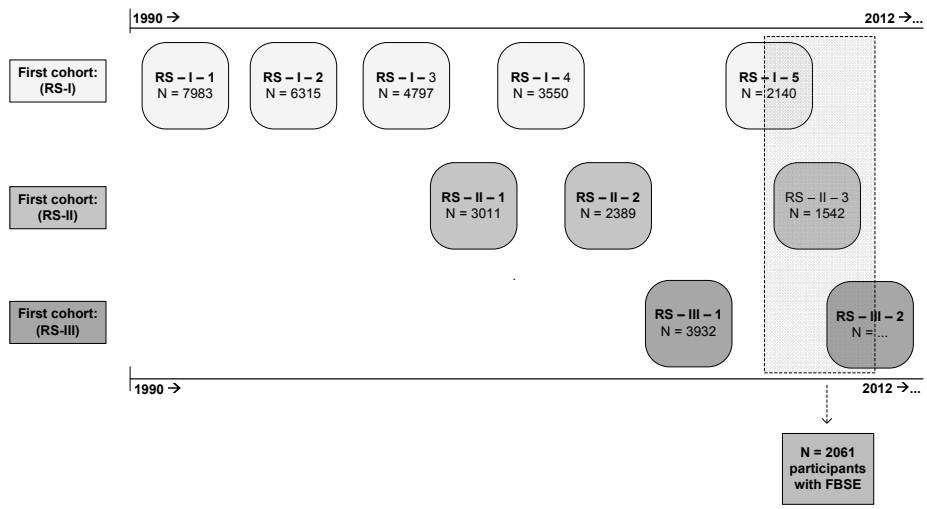
6.
 7. *Dermatology in the Rotterdam Study*

8. In August 2010, dermatology was introduced to the Rotterdam Study (figure 1). Since then,
 9. full body skin examinations (FBSE; with the exception of the feet and the skin covered by
 10. socks and underwear, respectively) are being conducted by four trained physicians focussing
 11. on the most common skin diseases such as skin (pre-)malignancies, atopic dermatitis, hand
 12. eczema, psoriasis and varicose veins.

13.
 14. *Actinic keratoses*

15. An AK was diagnosed clinically and was defined as a rough (keratotic) lesion with adherent
 16. scaling and erythema, not fitting another diagnosis.¹⁵ Since AK lesions are often confluent and
 17. located on sun-damaged skin, it is difficult to count the total number of individual lesions within
 18. a participant.¹⁵

19. We counted overall number of AK per participant and subdivided this into the number of AK per
 20. localisation using the same categories: no presence of AK, 1 to 3, 4 to 9 or ≥ 10 AK. The subdivi-
 21. sion per anatomical localisation consisted of the most important sun-exposed areas including
 22. scalp, face (excluding ears), ears, neck, back of hands, forearms, chest or other localisations.



38. **Figure 1.** Flowchart of the Rotterdam Study

39. Abbreviations: AK, actinic keratoses; FBSE, full body skin examination; RS, Rotterdam Study

1. *Risk factors*

2. Sex and age (in years) at date of skin examination were registered. Educational level (clas-
 3. sified into 3 categories: low [primary education and primary education with a higher not
 4. completed education], medium [lower-level secondary education, lower-level vocational
 5. education intermediate-level vocational education], and high [general secondary education,
 6. higher-level vocational education and university]), smoking (never versus ever), hair color at
 7. young age (red, fair / blond, dark blond / brown and black) and four questions assessing UV
 8. exposure were available from interview data. The questions on UV exposure included tendency
 9. for sunburns, history of more than 25 years of outdoor work, having lived more than one year
 10. in a sunny country and sun-protective behavior (i.e. wearing sunglasses and/or a rimmed hat
 11. in the sunshine). The first three UV items had binary responses and the latter was categorized
 12. into never / almost never, often / not always and always. Eye color (blue, intermediate, brown)
 13. was available from and scored by the ophthalmology department within the Rotterdam study.
 14. During FBSE, the following potential phenotypic risk factors for AK were scored; skin color
 15. (very white [3.4%], white [79.1%], white to olive [14.5%], light brown [1.7%], brown [1.1%],
 16. dark brown / black [0.2%]), Glogau score (type 1 'no wrinkles', type 2 'wrinkles in motion', type
 17. 3 'wrinkles at rest' and type 4 'only wrinkles')¹⁶, number of naevi (< 25, 25 – 50, 50 – 100, >
 18. 100) and baldness of the scalp based on the Norwood – Hamilton (NH)¹⁷⁻¹⁸ scale for men and
 19. the Ludwig scale (LS)¹⁹ for women. In the analyses, baldness of the scalp was divided into
 20. none or minimal (NH score A,B,C,I,J and LS score 1), mild (NH score D,E,F,K and LS score 2) and
 21. extensive baldness (NH score G,H,L and LS score 3).

22. Due to significant correlation (phi-test for correlation, $p < 0.001$) between the phenotypic char-
 23. acteristics hair color at young age, eye - and skin color, these three variables were combined into
 24. one variable 'pigmentation status' and classified by light, medium or dark pigmentation status.

25.

26. *Skin cancer history*

27. All RS participants were linked to PALGA, the Dutch nationwide network and registry of histo-
 28. and cytopathology in The Netherlands, which contains excerpts of all pathology reports with
 29. nationwide coverage from 1991 onwards.²⁰ A linkage between PALGA and our study popula-
 30. tion was made until September 23th 2011.²¹ An excerpt encloses encrypted patient data,
 31. a summary of the pathology report and a diagnosis line based upon standard pathology
 32. terminology similar to the Systematized Nomenclature of Medicine (SNOMED) issues by
 33. the College of American Pathologists. Individuals in the database have an encrypted patient
 34. identification code which enables linkage with all available pathology data within PALGA.
 35. The search in PALGA was based on codes corresponding to all types of BCC (i.e. M80903,
 36. M80913, M80923, M80933, M80943, M80963, M80973, M80983), SCC (i.e. M80703, M80713,
 37. M80723, M80743, M80753, M80704, M85603, M80711) and melanoma (i.e. M87203, M87213,
 38. M87223, M87233, M87263, M87303, M87403, M87423, M87433, M87443, M87453, M87700,
 39.

1. M87703, M87713, M87723, M87743, M87753, M87803). Participants were counted only once
2. per cutaneous malignancy (figure 1).

3.

4. *Statistical analyses*

5. The prevalence of AK within the 2,061 studied participants of the Rotterdam Study was stan-
6. dardized by age (5-year bands) and sex and 95% confidence intervals (95% CI) for proportion
7. were calculated. The sex- and age-specific prevalences were multiplied by the sex- and
8. age-specific population size in The Netherlands (5-year bands). Population size was obtained
9. from Statistics Netherlands and estimated on the first of January 2011.²² The extrapolated AK
10. prevalence was calculated for the Dutch population aged 50 years or more.

11. To investigate risk factors associated with the development of AK, uni- and multivariate
12. multinomial logistic regression analyses were performed and odds ratios (OR) with 95% CI
13. were calculated for each of the three outcome groups, 1 to 3, 4 to 9 and ≥ 10 AK.

14. In addition, considering the ordinal structure of the latter outcome groups, an ordinal logistic
15. regression was used to provide a cumulative OR. A significant cumulative OR corresponds
16. to a statistically significant trend of increase in risk across the AK strata.²³⁻²⁴ A corresponding
17. p-value for trend (based on the ordinal logistic regression) was calculated (table 4). However,
18. not all variables met the proportional odds assumption for this test and fitted therefore bet-
19. ter in the multinomial logistic regression model.

20. To compare participants with extensive actinic damage (≥ 10 AK) to those with no or less
21. actinic damage (0 to 9 AK), uni- and multivariate binary logistic regression analyses were
22. used to calculate (adjusted) OR with 95% CI. All variables included in the univariate analyses
23. were included in the multivariate analyses as possible confounders for AK risk. No significant
24. interaction terms were observed. All statistical analyses were performed using SPSS for
25. Windows version 17.0 (SPSS inc., Chicago, IL, USA). P-values were two-sided and considered
26. statistically significant if p-value < 0.05 .

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29. **RESULTS**

30. In total, 2,061 (99.9%) of 2063 participants visiting the Rotterdam Study research facility between
31. August 2010 and April 2012 agreed to undergo a FBSE. Hereof, 208 (10.1%) were from RS-I, 1,542
32. (74.8%) RS-II and 311 (15.1%) RS-III. The majority of the participants were women (55.0%; Table 1).
33. Mean age at date of FBSE was 71.6 years (standard deviation [SD] 7.1; ranging from 51 to 98 years).

34.

35. *Prevalence of actinic keratoses*

36. Of 2,061 participants, 773 (37.5%) had at least one or more AK of which 56.0% had 1 to 3
37. AK, followed by 4 to 9 (22.9%) and 10 or more (21.1%). Overall, the prevalence of one AK or
38. more was 49.0% (95% CI 45.8–52.2%) for men and 28.1% (25.5–30.7%) for women (table 2).
39. AK prevalence increased with age in both men and women, but there was a small dip in age

Table 1. Study characteristics of 2061 participants of the Rotterdam Study with a full body skin examination

| Characteristics | Total study population (n=2061) | No AK (%) (n=1288) | 1 - 3 AKs (%) (n=433) | 4 - 9 AKs (%) (n=177) | ≥ 10 AKs (%) (n=163) |
|--|------------------------------------|-----------------------|--------------------------|--------------------------|-------------------------|
| Sex | | | | | |
| Women | 1134 (55.0) | 815 (63.3) | 220 (50.8) | 58 (32.8) | 41 (25.2) |
| Men | 927 (45.0) | 473 (36.7) | 213 (49.2) | 119 (67.2) | 122 (74.8) |
| Age at FBSE | | | | | |
| Mean age in years (SD) | 71.6 (7.1) | 70.2 (7.2) | 73.0 (6.4) | 74.1 (6.5) | 75.6 (6.2) |
| < 70 | 874 (42.4) | 638 (49.5) | 156 (36.0) | 50 (28.2) | 30 (18.4) |
| 70 - 79.99 | 947 (45.9) | 532 (41.3) | 219 (50.6) | 98 (55.4) | 98 (60.1) |
| ≥ 80 | 240 (11.6) | 118 (9.2) | 58 (13.4) | 29 (16.4) | 35 (21.5) |
| Pigmentation status (based on eye, hair and skin color) | | | | | |
| Dark | 212 (10.3) | 164 (12.7) | 26 (6.0) | 12 (6.8) | 10 (6.1) |
| Medium | 1294 (62.8) | 813 (63.1) | 272 (62.8) | 116 (65.5) | 93 (57.1) |
| Light | 385 (18.7) | 201 (15.6) | 92 (21.2) | 39 (22.0) | 53 (32.5) |
| Data missing | 170 (8.2) | 110 (8.5) | 43 (9.9) | 10 (5.6) | 7 (4.3) |
| Glogau scale | | | | | |
| 1 and 2 | 180 (8.7) | 156 (12.1) | 17 (3.9) | 5 (2.8) | 2 (1.2) |
| 3 | 1684 (81.7) | 1026 (79.7) | 359 (82.9) | 154 (87.0) | 145 (89.0) |
| 4 | 197 (9.6) | 106 (8.2) | 57 (13.2) | 18 (10.2) | 16 (9.8) |
| Naevi | | | | | |
| < 25 | 1569 (76.1) | 985 (76.5) | 323 (74.6) | 130 (73.4) | 131 (80.4) |
| 25 - 50 | 385 (18.7) | 236 (18.3) | 90 (20.8) | 35 (19.8) | 24 (14.7) |
| 50 or more | 107 (5.2) | 67 (5.2) | 20 (4.6) | 12 (6.8) | 8 (4.9) |
| Baldness¹ | | | | | |
| No / almost no baldness | 1355 (65.7) | 940 (73.0) | 60 (13.9) | 66 (37.3) | 83 (50.9) |
| Mild baldness | 389 (18.9) | 240 (18.6) | 86 (19.9) | 35 (19.8) | 28 (17.2) |
| Severe baldness | 317 (15.4) | 108 (8.4) | 287 (66.3) | 76 (42.9) | 52 (31.9) |
| Tendency to develop sunburn | | | | | |
| Low | 1330 (64.5) | 873 (67.8) | 275 (63.5) | 108 (61.0) | 74 (45.4) |
| High | 607 (29.5) | 330 (25.6) | 129 (29.8) | 64 (36.2) | 84 (51.5) |
| Data missing | 124 (6.0) | 85 (6.6) | 29 (6.7) | 5 (2.8) | 5 (3.1) |
| Outdoor work history ≥ 25 years | | | | | |
| No | 334 (16.2) | 220 (17.1) | 51 (11.8) | 21 (11.9) | 42 (25.8) |
| Yes | 151 (7.3) | 105 (8.2) | 21 (4.8) | 14 (7.9) | 11 (6.7) |
| Data missing | 1576 (76.5) | 963 (74.8) | 361 (83.4) | 142 (80.2) | 110 (67.5) |
| History of living in sunny country of > 1 year | | | | | |
| No | 1730 (83.9) | 1064 (82.6) | 367 (84.8) | 163 (92.1) | 136 (83.4) |
| Yes | 213 (10.3) | 145 (11.3) | 37 (8.5) | 9 (5.1) | 22 (13.5) |
| Data missing | 118 (5.7) | 79 (6.1) | 29 (6.7) | 5 (2.8) | 5 (3.1) |
| Sun protective behavior² | | | | | |
| Never / almost never | 672 (32.6) | 454 (35.2) | 135 (31.2) | 49 (27.7) | 34 (20.9) |
| Often / not always | 640 (31.1) | 358 (27.8) | 136 (31.4) | 75 (42.4) | 71 (43.6) |
| Always | 631 (30.6) | 397 (30.8) | 133 (30.7) | 48 (27.1) | 53 (32.5) |
| Data missing | 118 (5.7) | 79 (6.1) | 29 (6.7) | 5 (2.8) | 5 (3.1) |
| Smoking history | | | | | |
| Never | 663 (32.2) | 434 (33.7) | 155 (35.8) | 35 (19.8) | 39 (23.9) |
| Ever | 1381 (67.0) | 846 (65.7) | 272 (62.8) | 139 (78.5) | 124 (76.1) |
| Data missing | 17 (0.8) | 8 (0.6) | 6 (1.4) | 3 (1.7) | 0 |

| Characteristics | Total study population (n=2061) | No AK (%) (n=1288) | 1 - 3 AKs (%) (n=433) | 4 - 9 AKs (%) (n=177) | ≥ 10 AKs (%) (n=163) |
|------------------------------------|------------------------------------|-----------------------|--------------------------|--------------------------|-------------------------|
| Education level³ | | | | | |
| Low | 374 (18.1) | 235 (18.2) | 78 (18.0) | 31 (17.5) | 30 (18.4) |
| Medium | 1215 (59.0) | 751 (58.3) | 271 (62.6) | 106 (59.9) | 87 (53.4) |
| High | 444 (21.5) | 285 (22.1) | 78 (18.0) | 39 (22.0) | 42 (25.8) |
| Data missing | 28 (1.4) | 17 (1.3) | 6 (1.4) | 1 (0.06) | 4 (2.5) |

¹Based on the Norwood – Hamilton scale for men and Luwdig scale for women.

²Wearing sunglasses and/or a rimmed hat in the sunshine.

³Low (primary education and primary education with a higher not completed education), medium (lower-level secondary education, lower-level vocational education intermediate-level vocational education), and high (general secondary education, higher-level vocational education and university)

Abbreviations: AK, actinic keratoses; FBSE, full body skin examination; SD, standard deviation; n, number

category 80 – 84 years compared to younger age-groups in men and women (table 2 and figure 2).

Extrapolation to The Netherlands showed that 1,408,641 of the 5,985,164 Dutch citizens aged fifty years or older were affected by AK in 2011, of which 817,823 (58%) were men and 596,487 (42%) were women. This corresponds to an AK prevalence of 23.5% (95% CI 21.7 – 25.3%) in the Dutch population aged 50 years or older; 28.8% (25.9–31.7%) for men and 19.0% (16.7–21.2%) for women.

Location of actinic keratoses

Overall, the face was the location most commonly affected by 1 to 3 (42.5%) and 4 to 9 (33.4%) AK, while ≥ 10 AK were more frequently located on scalp with 36.2% (table 3). Stratification by sex showed that extensive actinic damage (≥ 10 AK) was most often found on scalp (47.5%) in bald men, while this was 0.0% in women. In women, extensive actinic damage was most often located on the face followed by chest, respectively 32.2% and 29.0% (table 3).

Risk factors of actinic keratoses

Male sex, age of 70 years and older, medium and dark pigmentation status, Glogau score 3 and 4, high tendency for sunburn and often / not always use of sun protective measurements were all significantly associated with the three outcome groups in the univariate multinomial logistic regression analysis (appendix table 1). Medium baldness was associated with 4 to 9 (OR 1.8 [95% CI 1.2 – 2.8]) and ≥ 10 AK (OR 2.1 [95% CI 1.3 – 3.4]), whereas severe baldness was associated with all three outcome groups in a linear manner up to an OR 13.9 (9.3 – 20.7) for ≥ 10 AKs compared to no or minimal hairloss. Naevi and educational level were not significantly associated with AK, whereas ever smoking was associated with 4 to 9 and ≥ 10 AK (OR 2.0 [95% CI 1.4 – 3.0] and OR 1.6 [95% CI 1.1 – 2.4], respectively). All variables remained significantly associated with AKs in the multivariate multinomial model (table 4). After adjusting for the other risk factors, severe baldness remained the strongest risk factor for ≥ 10 AK (adjusted OR 6.3 [95% CI 3.6– 1.0]; p-value for trend < 0.001). After stratification

Table 2. Prevalence of actinic keratoses among 2061 participants of the Rotterdam Study

| Age - groups in years | Total study population | | | Men | | | Women | | |
|--------------------------|------------------------|-------------------|----------------------|------------------|-------------------|----------------------|-------------------|-------------------|----------------------|
| | Total n = 2061 | AK (%) n = 773 | (95% CI) | Total n = 927 | AK (%) n = 454 | (95% CI) | Total n = 1134 | AK (%) n = 319 | (95% CI) |
| 50 - 54 | 49 | 0,0 | (0,0 - 0,0) | 16 | 0,0 | (0,0 - 0,0) | 33 | 0,0 | (0,0 - 0,0) |
| 55 - 59 | 74 | 6,8 | (1,0 - 12,5) | 31 | 12,9 | (1,1 - 24,7) | 43 | 2,3 | (-2,2 - 6,8) |
| 60 - 64 | 108 | 19,4 | (12,0 - 26,9) | 38 | 23,7 | (10,2 - 37,2) | 70 | 17,1 | (8,3 - 25,9) |
| 65 - 69 | 643 | 32,7 | (29,0 - 36,3) | 302 | 41,1 | (35,6 - 46,6) | 341 | 25,2 | (20,6 - 29,8) |
| 70 - 74 | 674 | 41,1 | (37,4 - 44,8) | 306 | 52,9 | (47,3 - 58,5) | 368 | 31,3 | (26,6 - 36,0) |
| 75 - 79 | 273 | 50,5 | (44,6 - 56,6) | 127 | 70,9 | (63,0 - 78,8) | 146 | 32,9 | (25,3 - 40,5) |
| 80 - 84 | 146 | 41,1 | (33,1 - 49,1) | 71 | 54,9 | (43,3 - 66,5) | 75 | 28,0 | (17,8 - 38,2) |
| ≥ 85 | 94 | 66,0 | (56,4 - 75,6) | 36 | 72,2 | (57,6 - 86,8) | 58 | 62,1 | (49,6 - 74,6) |
| Overall | 2061 | 37,5 | (35,4 - 39,6) | 972 | 49,0 | (45,8 - 52,2) | 1134 | 28,1 | (25,5 - 30,7) |

Abbreviations: AK, actinic keratoses; CI, confidence interval; n, number

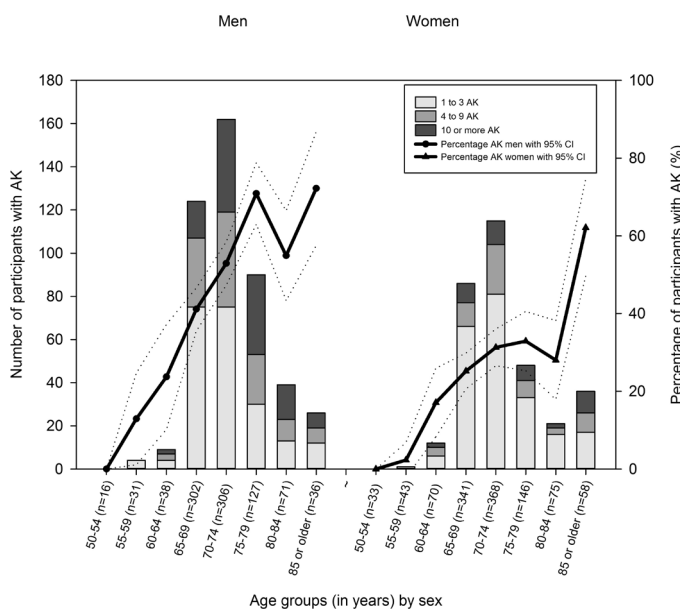


Figure 2. Prevalence of actinic keratoses among 2061 participants of the Rotterdam Study, stratified by sex. Abbreviations: AK, actinic keratoses; BCC, basal cell carcinoma; CI, confidence interval; SCC, squamous cell carcinoma

by sex (data not shown), severe baldness remained significantly associated with ≥ 10 AK in men (adjusted OR 7.0 [3.8–13.1]), but not in women (no OR could be calculated since only 8 women had severe baldness). Male sex, age of 70 years or older, Glogau 3 and 4 and tendency to develop sunburn remained significantly associated with all three outcome groups. Light pigmentation status was associated with 1 to 3 (OR 2.3 [95% CI 1.3 – 3.8]) and ≥ 10 AK (OR 2.5 [95% CI 1.1 – 5.7]), but not with 4 to 9 AK. Always use of sun protective measurement was associated with ≥ 10 AK (adjusted OR 2.0 [95% CI 1.2 – 3.4]).

Table 3. Anatomical location of actinic keratoses among 774 participants of the Rotterdam Study with actinic keratoses

| Number of AK | Study population | | | Men | | | Women | | |
|---------------------|-------------------|-------------------|-----------------|-------------------|-------------------|----------------|-------------------|------------------|----------------|
| | 1 to 3 n = 891 | 4 to 9 n = 290 | ≥ 10 n = 130 | 1 to 3 n = 506 | 4 to 9 n = 205 | ≥ 10 n = 99 | 1 to 3 n = 385 | 4 to 9 n = 85 | ≥ 10 n = 31 |
| Localisation | | | | | | | | | |
| Scalp | 117 (13.1) | 84 (29.0) | 47 (36.2) | 109 (21.5) | 82 (40.0) | 47 (47.5) | 8 (2.1) | 2 (2.4) | 0 (0.0) |
| Face | 379 (42.5) | 97 (33.4) | 35 (26.9) | 182 (36.0) | 64 (31.2) | 25 (25.3) | 197 (51.2) | 33 (38.8) | 10 (32.3) |
| Ears | 83 (9.3) | 11 (3.8) | 0 (0.0) | 71 (14.0) | 10 (4.9) | 0 (0.0) | 12 (3.1) | 1 (1.2) | 0 (0.0) |
| Neck | 8 (0.9) | 2 (0.7) | 1 (0.8) | 6 (1.2) | 1 (0.5) | 0 (0.0) | 2 (0.5) | 1 (1.2) | 1 (3.2) |
| Back of hands | 116 (13.0) | 37 (12.8) | 9 (6.9) | 63 (12.5) | 24 (11.7) | 6 (6.1) | 53 (13.8) | 13 (15.3) | 3 (9.7) |
| Forearms | 76 (8.5) | 30 (10.3) | 12 (9.2) | 34 (6.7) | 12 (5.9) | 7 (7.1) | 42 (10.9) | 18 (21.2) | 5 (16.1) |
| Chest | 72 (8.1) | 18 (6.2) | 14 (10.8) | 26 (5.1) | 4 (2.0) | 5 (5.0) | 46 (11.9) | 14 (16.5) | 9 (29.0) |
| Elsewhere | 40 (4.5) | 11 (3.8) | 12 (9.2) | 15 (3.0) | 8 (3.9) | 9 (9.1) | 25 (6.5) | 3 (3.5) | 3 (9.7) |

Abbreviations: AK, actinic keratoses; n, number

In line with the multinomial model, the multivariate binary logistic regression showed that men, older age (≥ 70 years), Glogau score 3, medium and severe baldness, high tendency to develop sunburn, and often /not always and always use of sun protective measurements were significantly associated with extensive actinic damage (≥ 10 AKs) (table 5).

Skin cancer history and detection during FBSE

In total, 238 (11.5%) participants had a history of BCC, 51 (2.5%) of SCC and 20 (0.5%) of melanoma. The risk of a history with one of these cutaneous malignancies increased across the AK severity strata (i.e. from none to >10 AKs). For BCC, SCC and melanoma, these risks increased respectively from 7.2 to 26.5%, 1.2 to 13.6% and 0.7 to 1.9% (figure 3). Although these risks increased gradually for BCC and melanoma, a sharper increase was seen for SCC. Participants with >10 AKs (13.6%) had a three fold higher risk for having a SCC history compared to participants with 4 to 9 AKs (4.0%). Of the 2061 participants who received a FBSE during our study period, it was histologically confirmed that 59 (2.9%) had a BCC, 11 (0.5%) had a SCC and 9 (0.4%) a melanoma (including 5 invasive and 4 in situ). Overall, the detection rate of these cutaneous malignancies in our study population was 4.0% (82 out of 2061 participants).

DISCUSSION

In this Dutch population-based study among more than 2,000 people with a mean age of 72 years who were examined by trained physicians, almost 38% had one or more AK and 8% had 10 or more (age- and sex-adjusted 23% and 5%, respectively). This AK prevalence is the highest overall AK prevalence in people aged 50 years or older when compared to previous European population-based studies and comparable or less to studies from the United States of America (USA) and Australia.^{7,9}

Table 4. Multivariate multinomial logistic regression: risk factors associated with actinic keratoses among 2061 participants of the Rotterdam Study

| Characteristics | 1 - 3 AKs adjusted odds ratio (95% CI) | 4 - 9 AKs adjusted odds ratio (95% CI) | ≥ 10 AKs adjusted odds ratio (95% CI) | P - value ^a (based on ordinal logistic regression) |
|--|--|--|---------------------------------------|---|
| Sex | | | | |
| Women | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) | |
| Men | 2.2 (1.6 - 2.9) | 2.5 (1.5 - 3.9) | 3.2 (1.8 - 5.6) | p < 0.001 |
| Age at clinical examination | | | | |
| < 70 | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) | |
| 70 - 79.99 | 1.6 (1.2 - 2.1) | 2.0 (1.4 - 3.0) | 3.7 (2.3 - 6.0) | p < 0.001 |
| ≥ 80 | 1.7 (1.1 - 2.7) | 2.7 (1.5 - 5.0) | 6.5 (3.4 - 12.4) | p < 0.001 |
| Pigmentation status (based on eye, hair and skin color) | | | | |
| Dark | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) | |
| Medium | 1.7 (1.1 - 2.8) | 1.5 (0.8 - 3.0) | 1.3 (0.6 - 2.7) | p = 0.05 |
| Light | 2.3 (1.3 - 3.8) | 1.9 (0.9 - 4.1) | 2.5 (1.1 - 5.7) | p < 0.001 |
| Glogau | | | | |
| 1 and 2 | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) | |
| 3 | 3.7 (1.9 - 7.0) | 4.1 (1.4 - 11.7) | 8.0 (1.9 - 34.8) | p < 0.001 |
| 4 | 5.5 (2.6 - 11.5) | 4.9 (1.5 - 16.2) | 6.0 (1.2 - 29.4) | p < 0.001 |
| Naevi | | | | |
| < 25 | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) | |
| 25 - 50 | 1.4 (1.0 - 1.9) | 1.3 (0.8 - 2.0) | 1.0 (0.6 - 1.8) | p = 0.15 |
| 50 or more | 1.3 (0.7 - 2.2) | 1.6 (0.8 - 3.4) | 1.3 (0.5 - 3.2) | p = 0.29 |
| Baldness¹ | | | | |
| No / almost no baldness | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) | |
| Mild baldness | 0.8 (0.6 - 1.1) | 1.1 (0.7 - 1.8) | 1.2 (0.7 - 2.0) | p = 0.79 |
| Severe baldness | 1.2 (0.8 - 1.8) | 4.1 (2.5 - 6.8) | 6.3 (3.6 - 11.0) | p < 0.001 |
| Tendency to develop sunburn | | | | |
| Low | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) | |
| High | 1.4 (1.0 - 1.8) | 2.0 (1.3 - 2.9) | 3.3 (2.2 - 5.0) | p < 0.001 |
| Data missing | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) | |
| Outdoor work history ≥ 25 years | | | | |
| No | 0.9 (0.5 - 1.7) | 1.6 (0.7 - 3.5) | 0.6 (0.3 - 1.5) | p = 0.60 |
| Yes | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) | |
| Data missing | 0.8 (0.5 - 1.2) | 0.3 (0.1 - 0.7) | 0.7 (0.4 - 1.3) | p = 0.01 |
| History of living in sunny country of > 1 year | | | | |
| No | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) | |
| Yes | 1.3 (0.9 - 1.7) | 1.9 (1.3 - 2.0) | 2.5 (1.5 - 4.1) | p < 0.001 |
| Data missing | 1.2 (0.9 - 1.6) | 1.2 (0.8 - 2.0) | 2.0 (1.2 - 3.4) | p = 0.02 |
| Sun protective behavior² | | | | |
| Never / almost never | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) | |
| Often / not always | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) | |
| Always | 0.7 (0.5 - 0.9) | 1.3 (0.8 - 2.0) | 0.9 (0.6 - 1.4) | p = 0.4 |
| Data missing | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) | |
| Smoking history | | | | |
| Never | 1.1 (0.8 - 1.4) | 1.1 (0.7 - 1.8) | 0.9 (0.5 - 1.4) | p = 0.84 |
| Ever | 0.8 (0.5 - 1.1) | 1.0 (0.6 - 1.7) | 0.9 (0.5 - 1.6) | p = 0.27 |

¹Based on the Norwood – Hamilton scale for men and Ludwig scale for women

²Wearing sunglasses and/or a rimmed hat in the sunshine

³ Low (primary education and primary education with a higher not completed education), medium (lower-level secondary education, lower-level vocational education intermediate-level vocational education), and high (general secondary education, higher-level vocational education and university)

⁴ P-value based on multivariate ordinal logistic regression

Abbreviations: AK, actinic keratoses; FBSE, full body skin examination; ref, reference group

Table 5. Risk factors associated with extensive actinic damage (≥ 10 actinic keratoses) among 2061 participants of the Rotterdam Study

| Characteristics | 0 - 9 AK n = 1898 | ≥ 10 AK n = 163 | ≥ 10 AK crude odds ratio (95% CI) | ≥ 10 AK adjusted odds ratio (95% CI) |
|--|----------------------|-------------------------|---|--|
| Sex | | | | |
| Women | 1093 (42.4) | 41 (25.2) | 1.0 (ref) | 1.0 (ref) |
| Men | 805 (42.4) | 122 (74.8) | 4.0 (2.8 - 5.8) | 2.3 (1.4 - 4.0) |
| Age at clinical examination | | | | |
| < 70 | 844 (44.5) | 30 (18.4) | 1.0 (ref) | 1.0 (ref) |
| 70 - 79.99 | 849 (44.7) | 98 (60.1) | 3.2 (2.1 - 4.9) | 2.9 (1.8 - 4.6) |
| ≥ 80 | 205 (10.8) | 35 (21.5) | 4.8 (2.9 - 8.0) | 4.7 (2.5 - 8.7) |
| Pigmentation status (based on eye, hair and skin color) | | | | |
| Dark | 202 (10.6) | 10 (6.1) | 1.0 (ref) | 1.0 (ref) |
| Medium | 1201 (63.3) | 93 (57.1) | 1.6 (0.8 - 3.1) | 1.0 (0.5 - 2.2) |
| Light | 332 (17.5) | 53 (32.5) | 3.2 (1.6 - 6.5) | 1.8 (0.8 - 4.1) |
| Data missing | 163 (8.6) | 7 (4.3) | | |
| Glogau | | | | |
| 1 and 2 | 178 (9.4) | 2 (1.2) | 1.0 (ref) | 1.0 (ref) |
| 3 | 1539 (81.1) | 145 (89.0) | 8.4 (2.1 - 34.1) | 5.4 (1.3 - 22.9) |
| 4 | 181 (9.5) | 16 (9.8) | 7.9 (1.8 - 34.7) | 3.4 (0.7 - 16.4) |
| Naevi | | | | |
| < 25 | 1438 (75.8) | 131 (80.4) | 1.0 (ref) | 1.0 (ref) |
| 25 - 50 | 361 (19.0) | 24 (14.7) | 0.7 (0.5 - 1.1) | 1.1 (0.5 - 2.5) |
| > 50 | 99 (5.2) | 8 (4.9) | 0.9 (0.4 - 1.9) | 0.9 (0.5 - 1.5) |
| Baldness¹ | | | | |
| No / almost no baldness | 1303 (68.7) | 83 (50.9) | 1.0 (ref) | 1.0 (ref) |
| Mild baldness | 361 (19.0) | 28 (17.2) | 1.9 (1.2 - 3.1) | 1.2 (0.7 - 2.1) |
| Severe baldness | 234 (12.3) | 52 (31.9) | 8.9 (6.1 - 12.9) | 4.5 (2.6 - 7.5) |
| Tendency to develop sunburn | | | | |
| Low | 1256 (66.2) | 74 (45.4) | 1.0 (ref) | 1.0 (ref) |
| High | 523 (27.6) | 84 (51.5) | 2.7 (2.0 - 3.8) | 2.7 (1.8 - 4.0) |
| Data missing | 119 (6.3) | 5 (3.1) | | |
| Outdoor work history ≥ 25 years | | | | |
| No | 292 (15.4) | 11 (6.7) | 1.0 (ref) | 1.0 (ref) |
| Yes | 140 (7.4) | 42 (25.8) | 0.5 (0.3 - 1.1) | 0.6 (0.3 - 1.3) |
| Data missing | 1466 (77.2) | 110 (67.5) | | |
| History of living in sunny country of > 1 year | | | | |
| No | 1594 (84.0) | 136 (83.4) | 1.0 (ref) | 1.0 (ref) |
| Yes | 191 (10.1) | 22 (13.5) | 1.4 (0.8 - 2.2) | 0.9 (0.5 - 1.6) |
| Data missing | 113 (6.0) | 5 (3.1) | | |
| Sun protective behavior² | | | | |
| Never / almost never | 638 (33.6) | 34 (20.9) | 1.0 (ref) | 1.0 (ref) |

| | | | | | |
|----|------------------------------------|-------------|------------|------------------------|------------------------|
| 1. | Often / not always | 569 (30.0) | 71 (43.6) | 2.3 (1.5 – 3.6) | 2.1 (1.3 - 3.3) |
| | Always | 578 (30.5) | 53 (32.5) | 1.7 (1.1 – 2.7) | 1.9 (1.1 - 3.1) |
| 2. | Data missing | 113 (6.0) | 5 (3.1) | | |
| 3. | Smoking history | | | | |
| 4. | Never | 624 (32.9) | 39 (23.9) | 1.0 (ref) | 1.0 (ref) |
| 5. | Ever | 1257 (66.2) | 124 (76.1) | 1.6 (1.1 – 2.3) | 0.9 (0.6 - 1.4) |
| | Data missing | 17 (0.9) | 0 (0.0) | | |
| 6. | Education level³ | | | | |
| 7. | Low | 402 (21.2) | 30 (18.4) | 1.0 (ref) | 1.0 (ref) |
| 8. | Medium | 1128 (59.4) | 87 (53.4) | 0.9 (0.6 – 1.4) | 0.9 (0.5 - 1.7) |
| 9. | High | 402 (21.2) | 42 (25.8) | 1.2 (0.7 – 2.0) | 1.8 (0.4 - 8.5) |
| | Data missing | 24 (1.3) | 4 (2.5) | | |

¹ Based on the Norwood – Hamilton scale for men and Luwdig scale for women

² Wearing sunglasses and/or a rimmed hat in the sunshine

³ Low (primary education and primary education with a higher not completed education), medium (lower-level secondary education, lower-level vocational education intermediate-level vocational education), and high (general secondary education, higher-level vocational education and university)

Abbreviations: AK, actinic keratoses; FBSE, full body skin examination

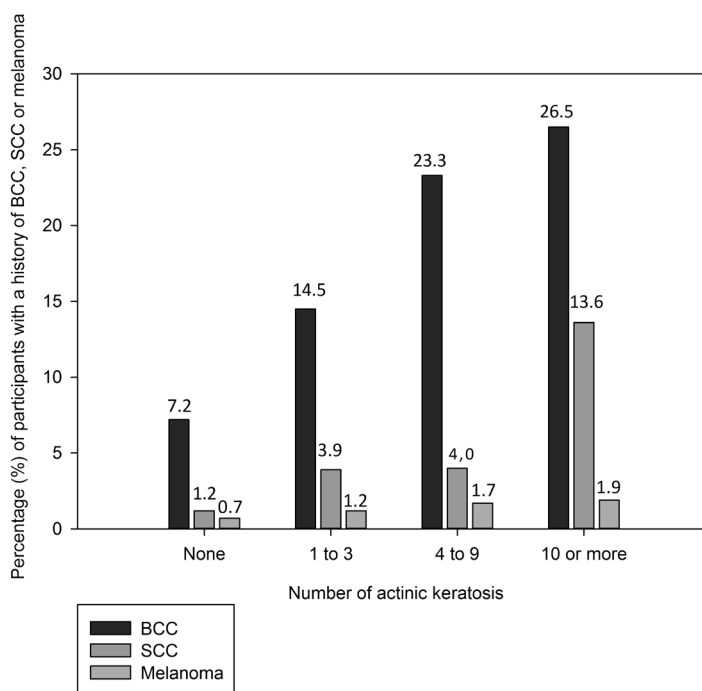


Figure 3. Percentage of participants with and without actinic keratoses who have a history of squamous cell carcinoma (SCC), basal cell carcinoma (BCC) or melanoma

Abbreviations: AK, actinic keratoses; BCC, basal cell carcinoma; SCC, squamous cell carcinoma

1. In Europe, the South Wales Skin Cancer Study observed an AK prevalence of 23% (95% CI 19.5
2. – 26.5), unadjusted for age and sex, among 1,034 persons aged 60 years or more. The lower
3. prevalence may be explained by the fact that skin examinations were limited to the head and
4. neck, lower arms (until shoulders), lower legs and feet and performed by research registrars
5. in dermatology. Recently, in the PRAKTIS study a representative sample of 12,483 people of
6. the Italian population aged > 45 years were selected by a stratified random sampling design
7. in which 1.4% was affected by AK.⁷ Again, skin examinations were performed by ‘interviewers’
8. and limited to the face and upper extremities.⁷ In addition, the distribution of phenotypic
9. characteristics of the Dutch (i.e. light skin, hair and eyes) increase the risk for AK development
10. when compared to the the distribution in the Italian population with slightly darker skin,
11. hair and eyes. German studies using claims data estimated an AK prevalences ranging from
12. 2 to 31%, but these data were not population-based and included dermatology patients²⁵⁻²⁶,
13. patients without history of skin cancer who were invited to undergo skin examination when
14. visiting their practice-based physician¹⁶ or healthy workers who could undergo a voluntary
15. FBSE at their work.²⁷ Between 1971-1975, a population-based study across the USA⁸, in which
16. 101 dermatologists performed FBSE in more than 8,000 white participants aged between
17. 25 and 74 years, observed a crude AK prevalence of around 17%.⁸ More recently, the crude
18. prevalence for AK in the USA was estimated to be 45% in men aged 65 years or older and
19. 35% in women.²⁸ Two Australian studies from the eighties who screened 2,095 and 1,040
20. people randomly selected from sample state electoral roll demonstrated that 40-60% of the
21. participants had at least one AK.^{6,10}

22.

23. *Risk factors and implications*

24. Multiple risk factors were found to be associated with AK development confirming findings
25. of previous studies assessing AK and SCC risk factors.²⁹⁻³⁰ In men, baldness was found to be
26. the strongest risk factor for presence of AK and severe actinic skin damage, probably because
27. it continuously exposes the scalp in a horizontal plane to UV radiation resulting in high cu-
28. mulative UV doses. In clinical practice, these patients with large cutaneous fields affected by
29. AKs on the scalp are numerous and difficult to manage.

30. Patients possessing risk factors associated with extensive actinic damage such as severe
31. baldness may require directed public health campaigns, a case-finding approach (i.e., inspec-
32. tion of the bald scalp during physician visits) including providing more information on sun
33. protection and behavior.

34. In the past decade, pharmaceutical companies have focused on AK treatments resulting
35. in new treatments other than cryotherapy, namely fluorouracil, imiquimod, photodynamic
36. therapy and most recently Ingenol mebutate gel.³¹⁻³² Recently, topical tretinoin failed to act
37. as a chemopreventive agent for AK development³³, whereas sunscreen use is effective in
38. both AK and SCC prevention.³⁴⁻³⁵

39.

1. Although it remains controversial whether or not to actively treat AKs (as not all will progress
 2. to SCC), people with multiple lesions (in this study defined as ≥ 10) are most likely to ben-
 3. efit from treatment and require a closer follow-up over time to prevent or detect the early
 4. development of SCC. Even this conservative approach is a health care challenge because it
 5. involves 5% of the Dutch 50-plus citizens (approximately 300,000 people) and this propor-
 6. tion is likely to increase over time. This is confirmed by a quick review of the claims data
 7. demonstrating that dermatologist reported twice as many AK related visits and treatment
 8. between 2007 and 2011 (from 42,115 to 76,395) emphasizing the strain cutaneous (pre-)
 9. malignancies put on the health care system.³⁶

10.

11. *Strengths and limitations*

12. The fact that FBSE were performed by a few trained physicians in more than 2,000 participants
 13. from a population-based study makes the Dutch point prevalence highly accurate. In general,
 14. AK have a typical presentation and are therefore clinically diagnosed by dermatologists and
 15. general practitioners. However AK can resemble keratinocyte carcinoma (including BCC and
 16. SCC), possibly leading to misclassification and an under or overestimation of AK in this study.⁵
 17. Nevertheless, this possible non – differential misclassification is considered small as trained
 18. physicians performed FBSE and previous studies observed a positive predictive value for AK
 19. diagnosis ranging from 74 to 94%.³⁷⁻³⁸ In this study, AK prevalence was determined cross-
 20. sectionally and it was unknown whether participants were previously treated for AK which
 21. also could have resulted in an underestimation of the Dutch AK prevalence. Unfortunately,
 22. the design of the study does not allow a longitudinal follow up of individual AK to study its
 23. natural course. The individual number of AK lesions within a participant was not counted;
 24. instead AK presence was divided into three categories (i.e., 1 to 3, 4 to 9, ≥ 10). Although
 25. categorical data is less precise than continuous, previous studies showed that the inter-
 26. observer variation between dermatologists was large when counting the individual number
 27. of AK lesions within a participant and using categorised data greatly reduced this variation.¹⁵
 28. The population of the Rotterdam Study is 45 years and older and almost exclusive Caucasian
 29. possibly limiting the generalizability of the findings. However, none of the participants aged
 30. below 55 years ($n = 50$) had AK and AKs are rare in people with darker skin suggesting that
 31. the extent of this limitation is rather small. At time of FBSE, only feet and areas covered by
 32. underwear were not examined because of practical and psychological reasons. It is unlikely
 33. that this restriction resulted in an underestimation of the AK prevalence because these areas
 34. are not chronically UV exposed.

35.

36.

37. **CONCLUSIONS**

38. More than a quarter of people are affected by AK and 8% by 10 or more lesions empha-
 39. sizing that cutaneous (pre)malignancies are an enormous burden for health care providers.

1. Preventive measures including promoting sun protective behavior, and raising awareness on
2. cutaneous keratinocyte carcinoma and persistent AKs, should focus in particular on elderly,
3. bald men and those with photodamaged facial skin to reduce the number of SCC.

- 4.
- 5.

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Appendix Table 1. Univariate multinomial logistic regression: risk factors associated with actinic keratoses among 2061 participants of the Rotterdam Study

| Characteristics | 1 - 3 AKs crude odds ratio (95% CI) | 4 - 9 AKs crude odds ratio (95% CI) | ≥ 10 AKs crude odds ratio (95% CI) |
|--|--|--|---------------------------------------|
| Sex | | | |
| Women | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) |
| Men | 1.7 (1.3 - 2.1) | 3.5 (2.5 - 4.9) | 5.1 (3.5 - 7.4) |
| Age at clinical examination | | | |
| < 70 | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) |
| 70 - 79.99 | 1.7 (1.3 - 2.1) | 2.4 (1.6 - 3.4) | 3.9 (2.6 - 6.0) |
| ≥ 80 | 2.0 (1.4 - 2.9) | 3.1 (1.9 - 5.2) | 6.3 (3.7 - 10.7) |
| Pigmentation status (based on eye, hair and skin color) | | | |
| Dark | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) |
| Medium | 2.1 (1.4 - 3.3) | 2.0 (1.1 - 3.6) | 1.9 (1.0 - 3.7) |
| Light | 2.9 (1.8 - 4.7) | 2.7 (1.3 - 5.2) | 4.3 (2.1 - 8.8) |
| Glogau | | | |
| 1 and 2 | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) |
| 3 | 3.2 (1.9 - 5.4) | 4.7 (1.9 - 11.6) | 11.0 (2.7 - 45.0) |
| 4 | 4.9 (2.7 - 8.9) | 5.3 (1.9 - 14.7) | 11.8 (2.7 - 52.3) |
| Naevi | | | |
| < 25 | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) |
| 25 - 50 | 1.2 (0.9 - 1.5) | 1.1 (0.8 - 1.7) | 0.8 (0.5 - 1.2) |
| 50 or more | 0.9 (0.5 - 1.5) | 1.4 (0.7 - 2.6) | 0.9 (0.4 - 1.9) |
| Baldness¹ | | | |
| No / almost no baldness | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) |
| Mild baldness | 1.2 (0.9 - 1.6) | 1.8 (1.2 - 2.8) | 2.1 (1.3 - 3.4) |
| Severe baldness | 1.8 (1.3 - 2.6) | 7.6 (5.2 - 11.1) | 13.9 (9.3 - 20.7) |
| Tendency to develop sunburn | | | |
| Low | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) |
| High | 1.2 (1.0 - 1.6) | 1.6 (1.1 - 2.2) | 3.0 (2.1 - 4.2) |
| Outdoor work history ≥ 25 years | | | |
| No | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) |
| Yes | 0.9 (0.5 - 1.5) | 1.4 (0.7 - 2.9) | 0.5 (0.3 - 1.1) |
| History of living in sunny country of > 1 year | | | |
| No | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) |
| Yes | 0.7 (0.5 - 1.1) | 0.4 (0.2 - 0.8) | 1.2 (0.7 - 1.9) |
| Sun protective behavior² | | | |
| Never / almost never | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) |
| Often / not always | 1.3 (1.0 - 1.7) | 1.9 (1.3 - 2.9) | 2.6 (1.7 - 4.1) |
| Always | 1.1 (0.9 - 1.5) | 1.1 (0.7 - 1.7) | 1.8 (1.1 - 2.8) |
| Smoking history | | | |
| Never | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) |
| Ever | 0.9 (0.7 - 1.1) | 2.0 (1.4 - 3.0) | 1.6 (1.1 - 2.4) |
| Education level³ | | | |
| Low | 1.0 (ref) | 1.0 (ref) | 1.0 (ref) |
| Medium | 1.1 (0.8 - 1.5) | 1.1 (0.7 - 1.6) | 0.9 (0.6 - 1.4) |
| High | 0.8 (0.6 - 1.2) | 1.0 (0.6 - 1.7) | 1.2 (0.7 - 1.9) |

¹ Based on the Norwood – Hamilton scale for men and Ludwig scale for women

² Wearing sunglasses and/or a rimmed hat in the sunshine

³ Low (primary education and primary education with a higher not completed education), medium

1. (lower-level secondary education, lower-level vocational education intermediate-level vocational
2. education), and high (general secondary education, higher-level vocational education and university)

3. Abbreviations: AK, actinic keratoses; FBSE, full body skin examination; Ref, reference group

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CHAPTER 10

General discussion and interpretation of the results

1. **General discussion and interpretation of the results**

2. The studies presented in this thesis provide insight into the occurrence of basal cell carcinoma
 3. (BCC) in The Netherlands. Knowledge on the occurrence of BCC is warranted as the burden
 4. of BCC increases with population ageing. In this chapter, I will first provide a short summary
 5. of the results related to the research questions posed in the introduction of this thesis. Then,
 6. the limitations of the studies will be presented and finally, I will discuss possible implications
 7. and recommendations for future perspectives.

8.

9. *What are the incidence, prevalence and (future) trends of primary basal cell carcinoma in The*
 10. *Netherlands?*

11.

12. Incidence rates of BCC (age-standardised to the European Standard Population, ESR) are in-
 13. creasing in The Netherlands. This is based on data on first, histologically confirmed primary
 14. BCC per patient from Eindhoven Cancer Registry (ECR).¹ Between 1973 and 2009, BCC rates
 15. quadrupled from 40 to 165 per 100 000 person-years for men and quintupled from 34 to 157
 16. for women. Until 2002, these rates annually increased with 2 to 4%, but then the rate of increase
 17. doubled to 6 to 8% per year in both men and women. Future predictions up to 2020 showed a
 18. continuous rise in rates for all age-categories, indicating no signs of plateauing or decreasing
 19. incidence. The overall 19-years prevalence of BCC (between 1990 and 2008) was 1.4%, but was
 20. three folds higher in the oldest age-category (65 years or more). Finally, the lifetime risk of de-
 21. veloping a BCC in The Netherlands in 2009 was estimated 1 in 5 for men and 1 in 6 for women.

22.

23. *What are the cumulative risks and rates of developing a subsequent basal cell carcinoma?*

24.

25. First, this question was answered with data from PALGA, the nationwide network and registry of
 26. histo- and cytopathology in The Netherlands.² Then, these observations were put in a broader
 27. perspective when compared to other studies in a systematic review and meta-analysis. Data
 28. from PALGA showed that the 5-year cumulative risk of developing a subsequent histologically
 29. confirmed BCC was almost 30%. Incidence rates for a subsequent BCC were especially high in
 30. the first 6 months after first BCC diagnosis (25 318 per 100 000 person-years), but with increas-
 31. ing time since first BCC diagnosis these rates decreased rapidly, to 6 953 per 100 000 person-
 32. years after 5 years of follow-up. Based on these data, follow-up visits for (early) detection of
 33. subsequent BCC(s) were recommended. Early BCC detection may reduce patient morbidity by
 34. preventing growth in vital structures and mutilating local tissue destruction. When the tumor
 35. is relatively small, more (less invasive) treatment options (e.g. nonsurgical therapies such as
 36. photodynamic therapy and 5-fluorouracil cream) are available, often resulting in a better aes-
 37. thetic outcome. When surgical excision is the treatment of choice, less cosmetic disfigurement
 38. is induced and less time for reconstruction is needed when excising a smaller compared with a
 39. larger tumor, and concurrently, costs will be reduced.

1. The 5-year cumulative risk of developing multiple BCCs (27.7%; based on PALGA) was in accordance with the estimated pooled proportion (29.2%) based on the 19 studies included in the meta-analysis described in this thesis. After stratifying by continent in the meta-analysis, the effect size of the estimated pooled proportion for BCC after BCC was as expected the highest in Australia (57.9%), followed by North America (32.5%; primarily including studies from the United States of America) and Europe with 27.3%.

7.
8. *How often are clinically suspected basal cell carcinomas diagnosed without histological confirmation?*
9.

10. Although I attempted to get a grip on the frequency of clinically diagnosed BCCs without histological confirmation, it remains difficult to draw any firm conclusions based on my study, as the results are not easy to generalize to the whole of The Netherlands. To answer this research question two population-based databases were linked, ECR (cancer registry) and PALGA (pathology), to identify patients of four dermatology departments in the southeast Netherlands who had their first, histologically confirmed BCC in 2004. Their patient records were reviewed for subsequent BCCs without histological confirmation. During a mean follow-up period of 6 years, approximately 7% of the subsequent BCCs in patients with a prior histologically confirmed BCC were clinically diagnosed without histological confirmation.

19. This Dutch study was compared to studies from other European regions such as Malta, Finland and Scotland. The frequencies of diagnosing a clinically suspected BCC without histological confirmation differed widely between the four regions ranging from 0.7 to 24.1%. This is probably to a large extent explained by the methodological differences between the studies (e.g. study design and size), but also in part by the different reimbursement criteria used in the European regions.

25.
26. *What are the risk factors associated with the development of single or multiple basal cell carcinoma(s)?*
27.

28. This and the following research question are answered with data from the Rotterdam Study, which is a large population-based cohort study (including three sub-cohorts) among inhabitants of 45 years and older living in the Ommoord district in Rotterdam, The Netherlands.³ Currently, 14 926 inhabitants participate within this unique Dutch study.

32. A linkage was made between the first two followed cohorts of the Rotterdam Study and PALGA, surrounding hospitals and general practices. Participants with a history of BCC before study entry were excluded. Of the eligible 10,820 cohort members included in our study, 524 (4.8%) had a BCC of whom 361 had one and 163 patients had multiple BCCs (31.1%). Multivariate analyses showed that age (between 65 and 75 years at study entry versus < 65 years), red hair and medium educational level were significant risk factors for a *first* BCC, whereas people who developed a first BCC after 65 years of age were significantly less likely to develop *multiple* histologically confirmed BCCs. Red hair was also a risk factor for multiple

1. BCC development together with medium and high educational level and a first BCC located
2. on the upper extremities. In conclusion, of the people with prior BCC, those who are relatively
3. young at diagnosis of a first lesion, those who have red hair and higher socioeconomic sta-
4. tus, and/or those who had a lesion on their upper extremities may require a more stringent
5. follow-up regimen than other patients with BCC.

6.

7. *What is the prevalence of and what are risk factors for actinic keratosis in The Netherlands?*

8.

9. Full body skin examinations among 2061 unselected Rotterdam Study participants were
10. performed to get a more accurate insight in AK prevalence among the Dutch population. It
11. unraveled that almost 37.5% (95% CI 35.4 - 39.6) of the participants had at least one and 8%
12. had ten or more AKs. Extrapolated to the whole Dutch population aged 50 years or older, this
13. would translate into an AK prevalence of 23.5% (95% CI 21.7 – 25.3%); 28.8% (25.9–31.7%)
14. for men and 19.0% (16.7–21.2%) for women. This suggests that approximately 1.4 of the 16
15. million Dutch citizens are currently affected with AK. This prevalence is the highest overall AK
16. prevalence in people aged 50 years or older when compared to previous European popula-
17. tion-based studies and comparable or less to studies from the United States of America (USA)
18. and Australia.⁴⁻⁷ Preventative measures should especially focus on elderly, bald males, those
19. with light pigmentation status or with photo-damaged facial skin, and persons who have a
20. high tendency to develop a sunburn, as these were significantly associated with increased
21. likelihood of AK development in the multivariate analyses.

22.

23. **Increase in BCC rates**

24. From 1973 until 2002, there was a steady annual increase in BCC rates over time.
25. Public awareness may have contributed to this, but the main causes of the increase are
26. probably ageing and lifestyle changes such as altered patterns of UV-exposure, including
27. more outdoor leisure activities and sports, travelling and the expanding use of sun beds. In
28. the second half of the twentieth century, a reduction in the number of working hours was
29. established by the society together with a guaranteed number of holidays.⁸ In the following
30. years air travel became less expensive and more accessible to the general Dutch population
31. which encouraged people to travel to sunny destinations.⁹
32. Although rates continue to increase in elderly, BCCs are now more commonly diagnosed in
33. younger persons, probably in part attributed to UV-exposure patterns including sun bed use.¹⁰
34. Sunbed use has increased dramatically and evidence for a dose-response relationship between
35. its use and the risk of BCC has been established.¹⁰⁻¹¹ In The Netherlands, the popularity of
36. sunbed use started around 1975, but regulatory changes have only been introduced recently.
37. In 2002, the speed of increase accelerated not only for BCC rates in The Netherlands but also
38. for SCC rates, although not in trends of melanoma incidence.¹² Besides a genuine increase
39. in BCC rates, the most probable explanation for this relatively steep acceleration in the first

1. primary BCC incidence rate and number of cases is changes in medical practice, i.e. increased
2. (early) detection and more KC being diagnosed histologically. The increase may be driven by
3. changing skin surveillance by patients and physicians as skin cancer awareness increased and
4. more full body skin examinations are performed. The fact that the most marked increase in
5. incidence is on the trunk is also consistent with increased surveillance.¹³ Further, it is possible
6. that improved processes within the registry have resulted in a greater proportion of lesions
7. being captured, but this seems an unlikely explanation for the size of the increase observed.
8. Also, the most common used treatment modality for BCC by dermatologists has changed over
9. time. In the 1980's radiotherapy was often used in the treatment of BCC, while in the 1990's
10. cryotherapy became more popular. Nowadays, surgical excision is most often used instead
11. of radiotherapy, cryotherapy, curettage, electro-dissection or a wait-and-see policy in elderly
12. with multiple co-morbidities.¹⁴ This may have resulted in more histologically confirmed BCCs.
13. Also, Mohs micrographic surgery (MMS) is becoming more common in the treatment of BCC.
14. However, according to the Dutch guideline of BCC, MMS is only advised for primary BCCs with
15. unfavourable prognostic factors, such as aggressive subtype and/or H-zone location, and for
16. recurrent BCCs located in the face. Currently, in The Netherlands the total number of BCCs
17. per year is estimated to be around 40,000. Rough calculations estimate that around 3,000 of
18. these BCC are being treated with MMS and this number is likely to increase in the future. MMS
19. is only performed in a limited number of Dutch dermatology centers and therefore not all
20. BCCs, even those fulfilling the indication criteria for this procedure as stated in the guideline,
21. are treated with this technique. In the coming years, we will probably witness a rise in the
22. number of Dutch centers offering MMS, not only due to the increase in BCC incidence, but
23. also because the benefits of this technique will be acknowledged and MMS will be considered
24. a part of good medical care. However, the Dutch Society of Dermatology and Venereology
25. should keep the indication criteria for MMS within boundaries in order to avoid United States
26. practices where approximately 25% the BCCs are treated with this expensive technique.
27. Although the rise in melanoma incidence can partly be explained by over diagnosis through
28. improvement of histological diagnostic criteria, this is probably not the case for BCC because
29. the criteria remained stable over time.¹⁵ Another possibility is the change in the distribution
30. of phenotypic characteristics of the population, particularly given the expansion of the ECR
31. registry area, but evidence suggests that this is unlikely since the proportion of people with
32. dark skin has increased, suggesting an inverse effect on BCC incidence.

33.

34. **Limitations of the studies within this thesis**

35. The studies presented in chapters 2 and 3 are based on population-based data of ECR. ECR
36. represents the population living in the southeast Netherlands, therefore, to estimate patient-
37. based data of BCC occurrence in The Netherlands these data had to be extrapolated. Off
38. course, BCC incidence rates may differ across the country due to demographic variability
39. such as socio-economic status, work-related UV-exposure and ethnicity. However, this bias is

1. assumed to be very small as nationwide melanoma incidence rates only differed slightly from
 2. the rates observed in the ECR region.¹⁶ In addition, there is a delay of up to 3 years between
 3. BCC recording by registry clerks of ECR and publishing incidence numbers. Considering the
 4. rising trends in BCC incidence rates, the incidence rates in 2012 are most likely higher than
 5. the most recently reported incidence rates of 2009 (chapter 3).
 6. Both ECR and PALGA only contain data on histologically confirmed BCCs and also within
 7. the Rotterdam Study only histologically confirmed BCCs were included. Therefore, the true
 8. incidence and risk of subsequent BCCs may have been underestimated in studies using these
 9. databases as some patients may have been diagnosed and/or treated on clinical diagnosis
 10. alone. However, establishment of the diagnosis with certainty is only on the basis of histo-
 11. logical confirmation. Therefore, BCC data from cancer registries or pathology databases are
 12. reliably as they limit the risk on false-negative and false-positive BCC diagnoses of clinically
 13. suspected BCC lesions without histological confirmation. In addition, a study from the United
 14. States observed that the positive predictive value of the clinical diagnosis of BCC is only 80%
 15. and that is when the dermatologist is reasonable confident about the diagnosis.¹⁷ This is in
 16. line with a French medical cost analysis study which found that a clinically suspicious BCC
 17. case was only histologically confirmed in 85% of the cases.¹⁸ Strengths of the included studies
 18. using ECR and PALGA as a data source are its population-based design instead of specialized
 19. hospital-based data, the latter often represent a selected population inflating observed BCC
 20. incidence rates. With these population-based data it was possible to reliably estimate the BCC
 21. occurrence in The Netherlands, not only for the first but also for multiple BCCs per patient.
 22. In addition, the population of the Rotterdam Study is aged 45 years and older (chapters 8
 23. and 9) and almost exclusive Caucasian possibly limiting the generalizability of the results to
 24. only the elderly, white part of the Dutch population.³ However, AK and BCC are considered
 25. skin diseases of the elderly and rarely develop in people with darker skin suggesting that
 26. the extent of this bias is limited. Although one of the strengths of the Rotterdam Study is its
 27. prospective population-based study design (i.e. unselected population) with long follow-up
 28. duration, AK prevalence was determined cross-sectionally and it was unknown whether par-
 29. ticipants were previously treated for AK which also could have resulted in an underestimation
 30. of the Dutch AK prevalence.

31.

32. **Implications and future perspectives**

33. In 1991, Coebergh *et al.* already observed that incidence rates of BCC in The Netherlands were
 34. increasing, emphasizing the need for adequate intervention by health care providers to stop
 35. this growing group of patients.¹ Twenty years later, the data presented in this thesis observe
 36. that these rates are still increasing, and even show acceleration in the speed of increase since
 37. 2002 for both sexes. Although future predictions showed no signs of plateauing or decrease
 38. in these rates, earlier predictions made for 2005 were underestimated with 21% compared to
 39. the observed number of BCC cases for 2005. This suggests that the incidence rates increased

1. faster than was estimated based on data from 1989–2000. In addition, observed incidence
2. rates of 2009 (chapter 3: based on data from 2000 - 2008) were already higher than those
3. predicted for 2010 (chapter 2). Although this shows the limitations of prediction modelling,
4. it also underlines that future perspectives on BCC numbers are even worse than assumed.
5. The group of patients with SCC and melanoma has also been increasing in The Netherlands.
6. However, as BCC reflects more than 70% of all skin cancer cases, it represents the largest
7. bulk of patients that currently strain dermatological care in terms of diagnosis, treatment and
8. follow-up.^{12,19} Besides cutaneous malignancies, there are also a large number of people with
9. cutaneous pre-malignancies such as AK. As it remains impossible for physicians to indicate
10. which AK will become invasive, it is still controversial whether or not to actively treat AK and if
11. follow-up of all AK patients is necessary. The current Dutch guideline recommends follow-up
12. twice a year of patients with more than three AKs (and a history of KC and / or high risk sun
13. behavior and / or immune-compromised patients) followed by annual follow-up visits for
14. three years.²⁰ Based on our data, it is most likely that participants with ten or more lesions
15. will certainly benefit from treatment and may require a closer follow-up over time to prevent
16. or detect early SCC development. From a practical point of view, it seems also impossible for
17. physicians to treat all 1.4 million Dutch inhabitants with AK (based on extrapolated data).
18.
19. The rates and trends of BCC incidence observed in The Netherlands fit into a pattern observed
20. in other parts of Europe.²¹ Incidence of BCC is strongly dependant by geographic location. It
21. is the highest in subtropical locations such as Australia and parts of North America, which are
22. primarily inhabited by Caucasians with light pigmentation traits who in the far past migrated
23. to these continents.²¹⁻²⁴
24. In neighboring countries the number of people with BCC is also increasing; however there
25. are often no cancer registry data available to really determine the incidence. As BCC related
26. death is extremely rare, the necessity of BCC registration is not given high priority, despite
27. the high workload and related costs.^{21,25-30} In The Netherlands we are fortunate to have ECR,
28. which is located in the southeast Netherlands and part of the Dutch Comprehensive Cancer
29. Centres.¹ It is the only population-based cancer registry in The Netherlands that routinely
30. reports the first primary histologically confirmed BCC per patient. This patient-based BCC
31. information is collected by ECR from early 1970's using PALGA as the main signalling source.
32. Most cancer registries only register the first, histologically confirmed BCC per patient due
33. to the large number of tumors involved, coding difficulties, associated time and costs. In
34. absence of tumor-based rates, these patient-based rates underscore the importance of
35. addressing the needs of this BCC population, but also provide insight into the frequency
36. of BCC occurrence in The Netherlands and the heavy strain it puts on current health care.
37. Also, the scientific skin cancer reports based on ECR data are considered to be among the
38. most robust studies available. Since 1999, ECR collects information on more than one BCC
39. per patient according to certain registration rules (see introduction); however these data are

1. not assumed completely reliable because of several methodological and practical issues.³¹ It
2. is the question whether this attempt of ECR to register tumor-based BCC data is necessary
3. as data from the PALGA database gave clear insight in tumor-based BCC information and the
4. number of people affected with multiple BCCs. In addition, PALGA provides information on
5. BCC subtypes (and more detailed information on location) while this is not registered by ECR.
6. However, as already mentioned above, limitations of cancer registry and pathology data-
7. bases are exclusion of BCCs that are diagnosed without histological confirmation. However,
8. this degree of underestimation is considered relatively small as observed in chapter 6. In the
9. future, it may be interesting for cancer registries to include skin cancer treatments to monitor
10. the effectiveness of newly introduced therapies of BCC, SCC and melanoma in daily practice.
11.

12. *Primary and secondary prevention*

13. Prior primary prevention strategies seem to have failed and knowledge on risk factors for BCC
14. development has not translated in significant behavioral changes. Although it is known that
15. UV-exposure is a common risk factor for cutaneous malignancies, people enjoy being in the
16. sun and a browned skin is still considered a sign of health and beauty. Also, prevention of skin
17. cancer development by avoiding UV-damage is a long term benefit, whereas on short term
18. sun avoidance has no clear benefits for the general population.

19. Even in Australia where there have been enormous efforts to change UV-related behavior
20. as its incidence of cutaneous malignancies is higher than anywhere else in the world, evi-
21. dence showed that young people have poor sun protective behavior.³² However, data from
22. Australian population surveys have also shown an increase in the use of sunscreen, together
23. with more frequent use of protecting clothing during summer when outdoors.³³⁻³⁵ Recent
24. studies in Australia report trends of plateauing in keratinocyte carcinomas (KC, including BCC
25. and SCC) and more recently in melanoma rates. This may provide some indirect evidence of
26. the impact of long-running primary prevention campaigns such as *Slip! Slop! Slap!* (i.e. an
27. internationally recognized sun protection campaign prominently introduced in Australia
28. during the 1980's).^{32,36}

29. In Europe, the most commonly used form of primary prevention is sunscreen use, however
30. this probably not the best method of protection as it increases the time spent in the sun
31. without getting a sunburn.³⁷ Besides topical sunscreen, many therapies such as tretinoin,
32. retinaldehyde, anti-inflammatory drugs (i.e. NSAIDs), and statins have been tested as che-
33. mopreventive agents for KC. So far, only two agents, sunscreen and systemic retinoids, have
34. shown to be effectively prevent SCC development but not BCC.³⁸⁻⁴⁰

35. In the past, the focus of most Dutch health care campaigns was on melanoma as it is one of
36. the most deadly types of skin cancer and therefore best known among the general popula-
37. tion. An unpublished survey on the internet (with easy access) showed that around 60% of
38. the participants did not know what a BCC or SCC was, while this was only 19% for melanoma
39. (personal communication drs. Kreukels). One of the successes of these campaigns is that

1. more melanomas are being diagnosed in an earlier stage and more people present them-
2. selves to their physicians with a suspicious mole.⁴¹⁻⁴² Because of this, the mortality of mela-
3. noma is not increasing as steadily as the incidence.⁴³ Although these (melanoma) campaigns
4. focused on the general population, special attention was being paid to parents with young
5. children as prevention of sunburn on young age was a central theme. Although KC are not
6. as lethal as melanoma, it is important to also focus on KC as they include the largest group
7. of skin cancer patients, although increased melanoma awareness is also of importance. The
8. amount of attention of current prevention programs (with main focus on melanoma) is in
9. contrast with the incidence of these cancers in The Netherlands, as melanoma is the least
10. (only 11% of the cases) and KC the most common skin cancer (more than 80% of the cases).¹²
11. Besides continuing already existing campaigns (with focus on prevention of UV-damage on
12. young age), more attention should be paid to the elderly to increase KC awareness and its
13. associated risk factors.

14.

15. In addition to primary prevention, secondary prevention is also important as almost one
16. third of the BCC patients develops a subsequent BCC. Prevention is probably more effec-
17. tive when focused on a smaller group of patients that have the highest risk of developing
18. multiple lesions. Patients at high risk of developing multiple BCCs should be identified to
19. assist physicians in the adequate selection of individuals (from the large number of patients
20. with BCC), who should be followed up more closely over time. Although this was investigated
21. in chapter 8, the study design only allowed identification of phenotypic and behavioral risk
22. factors, genetic information was not included. Recently, genome-wide association studies
23. detected multiple polymorphisms associated with the development of a first BCC.⁴⁴⁻⁴⁶ No ge-
24. netic research investigated the association between these polymorphisms and multiple BCC
25. development. Future research in this area could help building an adequate prediction model
26. (including phenotypic, genetic and environmental information) to predict which patients are
27. at high risk of developing multiple BCCs. Such a prediction model could consequently lead
28. to more insight into BCC aetiology and open doors to new therapies.

29.

30. **Conclusion**

31. The frequency of occurrence of BCC in The Netherlands is and remains a large burden for cur-
32. rent health care and will only increase in the future. This thesis presents studies on different
33. aspects and contributes to the current knowledge on the occurrence of BCC and AK in The
34. Netherlands and its associated risk factors. More research is needed into aetiology, genetics,
35. treatment, primary, secondary and tertiary prevention to manage BCC patients optimally. A
36. more comprehensive management is needed to reduce the strain on current dermatological
37. care in terms of diagnosis, treatment and follow-up.

38.

39.

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CHAPTER 11

Summary / Samenvatting

1. SUMMARY

2. In **chapter 1** a general introduction to this thesis is given. A BCC is the most common skin
3. cancer among Caucasians and its incidence rates are increasing worldwide. However, these
4. rates are often based on cancer registries who often only register the first, histologically con-
5. firmed BCC per patient. Therefore, there is limited data available on the number of patients
6. developing multiple BCCs (two or more), and those patients that have clinically diagnosed
7. BCCs without histological confirmation. In this thesis, different aspects of the epidemiology
8. of BCC in The Netherlands is described in order to provide insight to the size of BCC occur-
9. rence to all clinicians and policy makers involved in management of these patients.
- 10.
11. In **chapter 2** we describe the occurrence of BCC in The Netherlands in terms of incidence and
12. prevalence. This study is based on data on first primary carcinomas between 1973 and 2008
13. and retrieved from the Eindhoven Cancer Registry (Comprehensive Cancer Center South).
14. After extrapolation of these data to the Dutch population, a total of 444 131 histologically
15. confirmed cases were observed during these last 36 years. The age-standardised incidence
16. rates (European Standard Population [ESR]) increased approximately threefold from 40 to 148
17. per 100 000 person-years in men and from 34 to 141 in women. The prevalence of BCC in The
18. Netherlands between 1990 and 2008 was estimated on 1.4%. This prevalence was with 5.4%
19. the highest among patients aged 65 years or more. In 2008, the lifetime risk of developing
20. a BCC before the age of 85 years was 1 in 5 for men and 1 in 6 for women. The observed
21. predictions of future trends in incidence for the years 2010, 2015 and 2020 showed no signs
22. of plateauing for all ages and sex.
23. In conclusion, the absolute number of patients with a first histologically confirmed BCC in The
24. Netherlands increased in the last 36 years with almost a sevenfold in both men and women.
25. This chapter emphasizes that BCCs are a large public health problem. These estimates should
26. urge Dutch policymakers to provide solutions for the growing group of patients with BCC.
- 27.
28. In **chapter 3** we estimate trends in BCC incidence in the Southeast Netherlands by sex,
29. age-groups and tumor sites. We gained all first, histologically confirmed BCCs between 1973
30. and 2009 from Eindhoven Cancer Registry. Joinpoint regression analyses were applied and
31. observed a significant increase in rates since 1973 in both sexes. From 2002 – 2003 until 2009,
32. this increase in rates accelerated, represented by an estimated annual percentage change
33. (EAPC) of 6.8% for men and 7.9% for women. Young women (aged below forty years) had
34. a constant linear increase of 6.3% over the whole study period. In 2009, the head and neck
35. region was most often affected in both men and women with 58.4% of the total number of
36. BCCs diagnosed (n = 4511) within the ECR catchment area. However, in the last 5 – 7 years,
37. the steepest increases in trends were seen on the trunk with an EAPC of 12.8% for men and
38. 14.8% for women. These results show an alarming acceleration in the speed of increase in
39. BCC rates in The Netherlands, especially from 2002 onwards.

1.

2. In **chapter 4** we present the cumulative risks and incidence rates associated with the devel-
3. opment of multiple BCCs per patient in The Netherlands. This retrospective cohort study was
4. performed with data from PALGA, the nationwide network and registry of histopathology
5. and cytopathology in The Netherlands. Pathology reports of the first 2 483 patients with a
6. first, histologically confirmed BCC in the year 2004 were selected. The selected patients were
7. retrospectively followed for 5 years within the PALGA database for subsequent BCC pathol-
8. ogy reports. In total, 2 483 patients developed 3 793 BCCs. The five-year cumulative risk of
9. developing multiple BCCs was 29.2%. The incidence rate for the development of two or more
10. BCCs was 25 318 per 100 000 person-years in the first half year after first BCC diagnosis, de-
11. creasing to 6 953 per 100 000 person-years after 5 years of follow-up. These data emphasise
12. that at date of first BCC diagnosis, a full body skin examination should be performed and
13. repeated annually for at least three years.

14.

15. In **chapter 5** a systematic review and meta-analysis is performed to investigate the risk of a
16. subsequent BCC, squamous cell carcinoma (SCC) or melanoma in patients with a previous
17. keratinocyte carcinoma (KC; including BCC and SCC). We included 45 articles. In BCC patients,
18. the pooled proportion for a subsequent BCC, SCC or melanoma was respectively, 29.2%, 4.3%
19. and 0.5%. The pooled proportion for a subsequent SCC, BCC or melanoma in SCC patients
20. was respectively 13.3%, 15.9% and 0.5%. The pooled standardised incidence ratios (SIR) for
21. a subsequent BCC, SCC or melanoma were respectively 17.4, 3.2 and 2.4 in BCC patients and
22. 4.2, 15.0 and 2.7 in SCC patients. In subgroup analyses (i.e. stratified by study quality, study
23. design and continent), the effect sizes of the pooled proportion and SIR remained similar
24. although not identical.

25. A history of a prior KC is a very strong predictor for developing a subsequent BCC and SCC and
26. to a lesser extent melanoma. Secondary prevention (early detection of subsequent episodes
27. of the disease) is pivotal in patients with a prior KC. Patients should be well informed about
28. future risk and require adequate follow up by physicians.

29.

30. In **chapter 6** we investigate the number of subsequent non-histologically diagnosed BCCs
31. in patients (n = 1 290) from four hospitals located in the serving area of the Eindhoven
32. Cancer Registry who had a first histologically diagnosed BCC in 2004. A linkage was made
33. with PALGA to obtain pathology reports of subsequent histologically diagnosed BCCs up
34. to November 1st 2010. Patient records were extracted from the participating dermatology
35. departments and reviewed up to November 1st 2010 to identify non-histologically diagnosed
36. BCCs. In total, 1 089 were followed, contributing to 6 253 person-years. More than one third
37. (33.2%) of them developed subsequent histologically and/or non-histologically diagnosed
38. BCCs. All in all, 1974 BCCs were observed of which 1833 (92.9%) were histologically and 141
39.

1. (7.1%) were non-histologically diagnosed BCCs. Sixty-six patients (6.1%) had subsequent
2. non-histologically diagnosed BCCs, of which 42 had one (63.6%) and 24 two or more (36.4%).
- 3.
4. In **chapter 7** we compare the proportion of non-histologically confirmed BCCs observed
5. in chapter 6 to data from other European regions; Malta, Scotland and Finland. Also, it de-
6. scribes the treatment methods of histologically and non-histologically confirmed BCCs and
7. how these differ between the regions. After (manually) reviewing the (electronic) patient
8. records and checking the (hospital) pathology databases to find evidence of histologically
9. diagnosed BCCs, it was observed that the frequency of BCCs diagnosed without histologi-
10. cal confirmation differed widely between the four European regions from 0.7% until 24.1%.
11. The highest percentage was found in Scotland; however this study had the smallest study
12. population which may have inflated the proportion. The lowest was observed in Malta, where
13. it is custom to verify all clinically suspicious BCCs histologically by biopsy and/or surgical
14. excision.
15. In The Netherlands and Finland, clinically diagnosed BCCs without histological confirmation
16. were most often treated with cryotherapy, whereas in Scotland 5% Imiquimod cream was
17. the preferred treatment modality. Although there were methodological differences between
18. the four sub-studies and the percentage of non-histologically diagnosed BCC differed, these
19. findings do confirm that the burden of BCC is underestimated when based solely on data
20. from pathology databases and/ or cancer registries.
- 21.
22. In **chapter 8** risk factors associated with single and multiple BCC(s) development is reported.
23. This research was embedded within the Rotterdam Study, which is a prospective population-
24. based cohort study started in 1990 in the Ommoord district of Rotterdam, The Netherlands. In
25. this chapter two cohorts of 10 994 Dutch people of 55 years or older were studied from 1990
26. to 2007. Participants with histological confirmed BCCs were identified from PALGA, hospitals
27. and general practices. Of the eligible 10 820 cohort members, 524 (4.8%) developed a BCC,
28. of whom 361 had one and 163 (31.1%) had multiple BCC(s). Age between 65 - 75 years and
29. red hair were significant risk factors for a first BCC in a multivariate logistic regression model.
30. Patients who were relatively young at their first BCC diagnosis, those with red hair, those
31. with higher socioeconomic status, and/or those with a BCC lesion on their upper extremities
32. had a higher risk of developing multiple lesions. This latter group probably requires a closer
33. follow-up over time for (early) detection of subsequent BCCs.
- 34.
35. In **chapter 9** we investigate the prevalence of actinic keratosis (AK), its risk factors and as-
36. sociation with skin cancer in an elderly population. Within the Rotterdam Study, full body
37. skin examinations were performed among 2061 participants aged 45 years or older. Of these
38. cohort members (mean age 72 years), 21% had 1 to 3, 9% 4 to 9 and 8% ten or more AK.
39. Prevalence of AK in the Rotterdam Study was estimated 49% (95% CI 46%–52%) for men

1. and 28% (26%–31%) for women. Extrapolation suggested that approximately 1.4 of the 16
2. million Dutch citizens are affected with AK. Male sex, older age, light pigmentation status,
3. severe baldness, skin wrinkling and high tendency for sunburn were significantly associated
4. with number of AKs and extensive actinic damage (≥ 10 AKs) in the multivariate analyses. Es-
5. pecially bald males were at an increased risk of severe actinic skin damage (adjusted OR= 7.0
6. [3.8 – 13]). The group with no AKs had a lower positive history for skin cancer (including BCC,
7. SCC and melanoma) than the group with 10 or more AKs. The prevalence of AK is very high,
8. especially among elderly bald males, and the presence of severe actinic damage significantly
9. increases a history of skin cancer. The prevention and management of AK is a true challenge
10. for patients, physicians, and health care policy makers.

11.

12. In **chapter 10** the main findings of the studies presented in this thesis are discussed and
13. placed into perspective. In addition, study limitations are described and recommendations
14. for future research are given.

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1. SAMENVATTING

2. In **hoofdstuk 1** geef ik een algemene inleiding op dit proefschrift. Het basaalcelcarcinoom
3. (BCC) is de meest voorkomende vorm van huidkanker onder de Kaukasische bevolking en
4. de incidentiecijfers zijn wereldwijd aan het stijgen. Echter, deze incidentiecijfers zijn vaak
5. gebaseerd op kanker registratie data, die in de meeste gevallen alleen de eerste, histologisch
6. bevestigde BCC per patiënt registeren. Hierdoor is er weinig bekend over het aantal patiën-
7. ten wat twee of meerdere BCCs krijgt, en over het voorkomen van klinisch gediagnosticeerde
8. BCCs zonder histologische bevestiging. In dit proefschrift onderzoek ik verschillende epide-
9. miologische aspecten van het BCC in Nederland. Op deze manier wil ik inzichtelijk maken
10. hoe vaak deze vorm van huidkanker in Nederland voorkomt - nu, en in de toekomst - en wie
11. er risico loopt om een BCC te krijgen. Daarnaast beschrijf ik de prevalentie van actinische
12. keratoses (AKs) in Nederland. AKs zijn een van de meest gevoelige markers voor huidverou-
13. dering en kunnen mogelijk een indicatie geven over het risico op huidkanker ontwikkeling.
- 14.
15. In **hoofdstuk 2** wordt de incidentie en prevalentie van het BCC in Nederland beschreven.
16. Het onderzoek werd uitgevoerd met data van het Integraal Kankercentrum Zuid (IKZ). Alle
17. inwoners van de IKZ regio met een eerste histologisch bevestigde BCC, gedurende de pe-
18. riode 1973 tot en met 2008, werden geselecteerd. Extrapolatie van deze gegevens toonde
19. aan dat er gedurende dit tijdvak in heel Nederland 444 131 mensen waren met een eerste
20. histologisch bevestigde BCC. Tijdens de 36-jarige studieperiode verdriedubbelde de voor
21. leeftijd gestandaardiseerde incidentie (Europese Standaard Populatie [ESP]) voor mannen
22. van 40 naar 148 en voor vrouwen van 34 naar 141 per 100 000 persoonsjaren. Gedurende
23. 1990 en 2008, kreeg 1.4% van alle Nederlanders de diagnose 'BCC' te horen. Deze prevalentie
24. was met 5.4 procent het hoogst bij personen van 65 jaar en ouder. Verder werd berekend dat
25. in 2008 naar verwachting 1 op 5 mannen en 1 op 6 vrouwen een BCC zal ontwikkelen vóór
26. het 85e levensjaar. Voorspellingen voor 2010, 2015 en 2020 lieten een continue stijging van
27. incidentie zien voor alle leeftijdsgroepen en geslacht. Concluderend kan er worden gezegd
28. dat het absolute aantal patiënten met een eerste, histologisch bevestigde BCC in Nederland
29. in de afgelopen 36 jaar met ongeveer een zeventvoud is toegenomen bij zowel mannen als
30. vrouwen. Dit onderzoek ondersteunt dat BCCs een groot gezondheidsprobleem vormen. Er
31. zal ingegrepen moeten worden om de groeiende patiënten stroom in juiste banen te leiden.
- 32.
33. In **hoofdstuk 3** worden tijd trends in BCC incidentie berekend voor geslacht, verschillende
34. leeftijdsgroepen en anatomische tumor locatie, op basis van alle personen met een eerste,
35. histologisch bevestigde BCC gedurende de periode 1973 tot en met 2009. Ook deze data
36. werd verkregen via het IKZ. Met behulp van de 'jointpoint'-regressie analyse werd gekeken in
37. welk jaar een significante verandering (een zogenaamd 'knikpunt') in de incidentie zichtbaar
38. was. Vanaf 1973 werd een significante toename in BCC incidentie gezien bij zowel mannen
39. als vrouwen. In de jaren 2002 – 2003 tot en met 2009 versnelde deze incidentie, resulterend

1. in een 'estimated annual percentage change' (EAPC; de geschatte jaarlijkse procentuele
2. verandering van het incidentiecijfer berekend op basis van jaarlijkse incidentiecijfers in de
3. desbetreffende periode) van 6.8% bij mannen en 7.9% bij vrouwen. Bij jonge vrouwen (<
4. 40 jaar) werd een constante lineaire stijging van 6.3% gedurende de gehele studie periode
5. gezien. In 2009 waren de meeste BCCs, zowel bij de mannen als de vrouwen, gelokaliseerd in
6. het hoofd hals gebied (58.4% van het totale aantal BCCs [n = 4511] gediagnosticeerd binnen
7. de IKZ regio). Echter, de sterkste toename in BCC incidentie werd gezien gedurende 2005 –
8. 2009 op de romp met een EAPC van 12.8% bij mannen en 14.8% bij vrouwen. Er kan worden
9. geconcludeerd dat er in de laatste 5 tot 7 jaar een alarmerende toename in leeftijd en locatie
10. specifieke BCC incidentie wordt gezien in de regio zuidoost Nederland.

11.

12. In **hoofdstuk 4** onderzoeken wij het cumulatieve risico en het incidentiecijfer voor het ontwik-
13. kelen van multipele BCCs (twee of meer) per persoon in Nederland. Dit onderzoek werd verricht
14. met gegevens van het Pathologisch Anatomisch Landelijk Geautomatiseerd Archief (PALGA).
15. Pathologie rapporten van 2 483 patiënten met een eerste histologisch bevestigde BCC in het
16. jaar 2004 werden geselecteerd. Deze groep werd vervolgens gedurende 5 jaar retrospectief
17. binnen de PALGA database gevolgd. In totaal ontwikkelden de 2 483 patiënten 3 793 BCCs. Het
18. 5-jaars cumulatieve risico op het ontwikkelen van een of meer nieuwe BCCs bedroeg
19. 29.2%. Het incidentiecijfer voor multipele BCCs was 25 318 per 100 000 persoonjaren ge-
20. durende de eerste zes maanden na de eerste BCC diagnose. Dit daalde naar 6 953 per 100
21. 000 persoonsjaren na 5 jaar follow-up. Op basis van deze resultaten wordt er geadviseerd
22. patiënten met een BCC een volledig huidonderzoek te laten ondergaan ten tijde van de
23. eerste BCC diagnose en bij vervolfbezoeken, voor minstens drie jaar.

24.

25. In **hoofdstuk 5** beschrijven we een systematische review met meta-analyse waarin het risico
26. op het krijgen van een BCC, plaveiselcelcarcinoom (PCC) of melanoom wordt beschreven bij
27. patiënten die eerder werden gediagnosticeerd met een BCC of PCC. In totaal werden 45 arti-
28. kelen geïncludeerd. Voor BCC patiënten was de 'gepoolde' proportie voor het krijgen van een
29. nieuwe BCC, PCC of melanoom, respectievelijk 29.2%, 4.3% en 0.5%. De 'gepoolde' proportie
30. voor het krijgen van een PCC, BCC of melanoom bij patiënten met een PCC in de voorge-
31. schiedenis was achtereenvolgend 13.3%, 15.9% and 0.5%. De 'gepoolde' gestandaardiseerde
32. incidentie ratio (SIR) voor het krijgen van een BCC, PCC of melanoom was 17.4, 3.2 en 2.4 voor
33. patiënten die eerder een BCC hadden en 4.2, 15.0 en 2.7 voor patiënten die eerder een PCC
34. hadden. Effect groottes in de subgroep analyses (stratificatie voor studie kwaliteit, design en
35. continent) waren van dezelfde orde hoewel niet identiek. Concluderend, een voorgeschiede-
36. nis met een BCC of PCC geeft een hoog risico op het ontwikkelen van een opvolgende BCC
37. of PCC, en in mindere mate op melanoom. Secundaire preventie lijkt dus van groot belang te
38. zijn bij deze patiënten groep. Zij dienen goed geïnformeerd te worden over hun toekomstig
39. risico op meerdere huidtumoren.

1. In **hoofdstuk 6** wordt het aantal niet-histologisch bevestigde BCCs (= 'op het blote oog
2. gediagnosticeerd') onderzocht op vier afdelingen dermatologie, gelokaliseerd binnen de IKZ
3. regio. Dit onderzoek werd verricht bij 1 290 patiënten die in 2004 hun eerste histologisch
4. bevestigde BCC hadden. Er werd een link gemaakt met PALGA om zo de pathologie rap-
5. porten te verkrijgen van alle histologisch bevestigde BCCs van deze patiëntengroep tot 1
6. november 2010. Op de afdelingen dermatologie werden de patiënten statussen nagekeken
7. om zo niet-histologisch bevestigde BCCs op te sporen. Van de 1089 gevolgde BCC patienten
8. kreeg 33.2% meerdere BCCs (inclusief histologisch en niet-histologisch bevestigde BCCs). In
9. totaal werden er 1 974 BCCs vastgesteld, waarvan 1 833 (92.9%) histologisch bevestigd en 141
10. (7.1%) niet-histologisch bevestigd. Zesenzestig patiënten (6.1%) hadden alleen opvolgende
11. niet-histologisch bevestigde BCCs, waarvan er 42 (63.6%) één en 24 (36.4%) twee of meer.
- 12.
13. In **hoofdstuk 7** wordt er wederom gekeken naar het voorkomen van niet-histologisch
14. bevestigde BCCs. Waar dit in hoofdstuk 6 alleen in de regio zuidoost Nederland werd on-
15. derzocht, maakt men in dit hoofdstuk een vergelijking met soortgelijke studies uit andere
16. Europese regio's zoals Malta, Finland en Schotland. Daarnaast wordt er in dit hoofdstuk ge-
17. keken naar de behandeling van histologisch en niet-histologisch bevestigde BCCs en hoe dit
18. verschilt binnen Europa. In Nederland, Malta, Finland en Schotland werden in verschillende
19. ziekenhuizen handmatig de (elektronische) patiënten dossiers en (ziekenhuis) pathologie
20. databases doorgekeken. Hier werd op zoek gegaan naar histologische en niet-histologisch
21. bevestigde BCCs. Op basis van deze substudies werd geconcludeerd dat het voorkomen van
22. niet-histologisch bevestigde BCCs sterk varieert tussen de vier regio's wisselend van 0.7% tot
23. 24.1%. Het hoogste percentage werd gevonden in Schotland. Dit was tegelijkertijd ook de
24. kleinste studie, wat mogelijk heeft gezorgd voor inflatie van het percentage. Het laagste cijfer
25. werd gezien in Malta, alwaar het standaard is om alle voor BCC verdachte laesies histologisch
26. te bevestigen. In Nederland en Finland werden de klinisch gediagnosticeerde BCCs meestal
27. behandeld met cryotherapie, terwijl in Schotland 5% imiquimod crème de eerste keus was.
28. Hoewel er methodologische verschillen waren tussen de vier substudies en het percentage
29. niet-histologisch bevestigde BCCs uiteenliep, toont dit hoofdstuk duidelijk aan dat de ziekte-
30. last van BCCs in Europa wordt onderschat wanneer dit alleen wordt gebaseerd op gegevens
31. uit pathologie databases en/of kanker registratie studies.
- 32.
33. In **hoofdstuk 8** rapporteren wij de risicofactoren geassocieerd met het krijgen van één en
34. multipole BCC(s). Dit werd onderzocht binnen de Rotterdam Study (c.q. ERGO studie). Dit is
35. een prospectieve populatiegebonden cohort studie gestart in 1990 in de wijk Ommoord in
36. Rotterdam in Nederland. In dit hoofdstuk werden twee cohorten van 10 994 inwoners van 55
37. jaar en ouder onderzocht van 1990 tot en met 2007. Deelnemers met histologisch bevestigde
38. BCCs werden geïdentificeerd via PALGA, omringende ziekenhuizen en huisartsen. Van de 10
39. 820 geselecteerde deelnemers hadden er 524 (4.8%) een BCC, waarvan 361 deelnemers er

1. één en 164 (31.1%) multipele hadden. Uitkomsten van de multivariate logische regressie
2. analyse toonde aan dat de leeftijd 65 - 75 jaar en het hebben van rood haar significante
3. risicofactoren waren voor het krijgen van één BCC. Deelnemers die relatief jong waren bij
4. diagnose van hun eerste BCC, deelnemers uit een hogere sociaaleconomische klasse en
5. diegene die hun eerste BCC op hun armen hadden, bleken een significant verhoogd risico op
6. multipele BCCs te hebben. Deze laatste groep patiënten zal daarom mogelijk strenger in de
7. tijd gevolgd moeten worden om opvolgende BCCs (vroeg) te detecteren.
8.
9. In **hoofdstuk 9** onderzoeken wij binnen een oudere populatie de prevalentie van AKs, de
10. hiermee geassocieerde risicofactoren en de associatie met huidkanker. Bij 2061 deelnemers
11. van 45 jaar en ouder (gemiddelde leeftijd 72 jaar) van de Rotterdam Study werd een volledig
12. huid onderzoek uitgevoerd. Hiervan bleek 21% 1 tot en met 3 AKs te hebben, 9% 4 tot en
13. met 9 en 8% tien of meer. De AK prevalentie binnen de Rotterdam Study was 49% (95%
14. BI 46%–52%) voor mannen en 28% (26%–31%) voor vrouwen. Extrapolatie van deze data
15. toonde aan dat bijna 1.4 van de 16 miljoen Nederlanders AKs heeft. Mannen, oudere leeftijd,
16. lichte pigmentatie status, kaalheid, rimpels in het gezicht en gevoeligheid voor zonnebrand
17. waren allen significant geassocieerd met ernstige aktinische schade (≥ 10 AKs) in de mul-
18. tivariante analyses. Vooral kale mannen hadden een verhoogd risico op ernstige aktinische
19. schade (aangepaste odds ratio = 7.0 [3.8 – 13.1]). De groep deelnemers zonder AKs hadden
20. minder vaak een voorgeschiedenis met een BCC, PCC of melanoom vergeleken met de groep
21. deelnemers met tien of meer AKs. Concluderend kan er gezegd worden dat de prevalentie
22. van AKs erg hoog is, vooral bij oudere kale mannen, en dat de aanwezigheid van ernstige
23. aktinische schade het risico op een huidkanker voorgeschiedenis verhoogd. De preventie en
24. management van AKs is en zal een uitdaging worden voor patiënten, artsen en gezondheids-
25. zorg medewerkers.
26.
27. In **hoofdstuk 10** worden de belangrijkste bevindingen van dit proefschrift besproken en
28. in perspectief geplaatst. Daarnaast worden studie limitaties beschreven en suggesties voor
29. toekomstig onderzoek gegeven.
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CHAPTER 12

List of co-authors

List of publications

Curriculum Vitae

Phd Portfolio

Dankwoord

1. LIST OF CO-AUTHORS

2.

3. Affiliations at the time at which the research was conducted:

4. Tiina Ahti

5. Department of Dermatology and Allergology, Helsinki University Central Hospital, Helsinki,

6. Finland

7.

8. Lidia R. Arends

9. Department of Biostatistics, Erasmus MC University Center, Rotterdam, The Netherlands

10. Pedagogical and Educational Sciences, Erasmus University, Rotterdam, The Netherlands

11. Institute of Psychology, Erasmus University Rotterdam, Rotterdam, The Netherlands

12.

13. Jan-Willem W. Coebergh

14. Department of Public Health, Erasmus MC University Center, Rotterdam, The Netherlands

15. Comprehensive Cancer Center South, Eindhoven, The Netherlands

16.

17. Emilia A. Dowlatshahi

18. Department of Dermatology, Erasmus MC University Center, Rotterdam, The Netherlands

19.

20. Alisdair D. Forrest

21. Department of Dermatology, Ninewells Hospital and Medical School, University of Dundee,

22. United Kingdom

23.

24. Ellen R.M. de Haas

25. Department of Dermatology, Erasmus MC University Center, Rotterdam, The Netherlands

26.

27. Albert Hofman

28. Department of Epidemiology, Erasmus MC University Center, Rotterdam, The Netherlands

29.

30. Gitty Jaanen - van der Sanden

31. Comprehensive Cancer Center South, Eindhoven, The Netherlands

32.

33. Ville Kiiski

34. Department of Dermatology, Erasmus MC University Center, Rotterdam, The Netherlands

35.

36. Senada Koljenović

37. Department of Pathology, Erasmus MC University Center, Rotterdam, The Netherlands

38.

39.

1. Robert J.T. van der Leest
2. Department of Dermatology, Erasmus MC University Center, Rotterdam, The Netherlands
3. Rita Micallef
4. Malta National Cancer Registry, Department of Health Information and Research, Guardia-
5. mangia, Malta
- 6.
7. H.A. Martino Neumann
8. Department of Dermatology, Erasmus MC University Center, Rotterdam, The Netherlands
- 9.
10. Tamar E.C. Nijsten
11. Department of Dermatology, Erasmus MC University Center, Rotterdam, The Netherlands
- 12.
13. Lucy I.H. Overbeek
14. PALGA, the nationwide network and registry of histopathology and cytopathology, Utrecht,
15. The Netherlands
- 16.
17. Sari Pitkänen
18. Department of Dermatology and Allergology, Helsinki University Central Hospital, Helsinki,
19. Finland
- 20.
21. Charlotte M. Proby
22. Department of Dermatology, Ninewells Hospital and Medical School, University of Dundee,
23. United Kingdom
- 24.
25. Michelle M. van Rossum
26. Department of Dermatology, Radboud University Nijmegen Medical Center, Nijmegen,
27. The Netherlands
- 28.
29. Olli Saksela
30. Department of Dermatology and Allergology, Helsinki University Central Hospital, Helsinki,
31. Finland
- 32.
33. Inge Seubring
34. Department of Dermatology, Radboud University Nijmegen Medical Center, Nijmegen, The
35. Netherlands
- 36.
37. Sofie van Tiel
38. Department of Dermatology, Erasmus MC University Center, Rotterdam, The Netherlands
- 39.

1. Esther de Vries
2. Department of Dermatology, Erasmus MC University Center, Rotterdam, The Netherlands
3. Department of Public Health, Erasmus MC University Center, Rotterdam, The Netherlands
4. Comprehensive Cancer Center South, Eindhoven, The Netherlands
- 5.
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1. CURRICULUM VITAE

2. Sophie Christien Flohil is op 10 april 1985 geboren te Geldrop. In 2003 behaalde zij haar
3. gymnasium diploma aan het Pleincollege Sint Joris te Eindhoven. Hetzelfde jaar werd zij
4. door middel van decentrale selectie geplaatst voor de studie geneeskunde aan de Erasmus
5. Universiteit van Rotterdam en ging zij in Rotterdam wonen. Na haar reguliere co-schappen
6. in het Sint Elisabeth ziekenhuis te Tilburg, begon zij in 2009 aan haar afstudeeronderzoek
7. op de afdeling Dermatologie van het Erasmus Medisch Centrum te Rotterdam. Later dat jaar
8. liep zij ook haar oudste co-schap op deze afdeling. Eind 2009 behaalde zij cum laude haar
9. arts-examen en op 1 januari 2010 werd zij toegelaten tot de opleiding tot dermatoloog bij
10. professor H.A.M. Neumann in het Erasmus Medisch Centrum. In de periode 2010 tot en met
11. juli 2012 hield zij zich hoofdzakelijk bezig met haar huidige proefschrift onder begeleiding
12. van dr. E. de Vries, prof.dr. T. Nijsten en prof.dr. H.A.M. Neumann. Per juli 2012 is zij gestart
13. met haar klinische stages. Tijdens haar studie geneeskunde leerde zij haar partner Niels
14. Hoevenaars kennen. Zij wonen samen in Rotterdam.

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1. **PhD PORTFOLIO**

2. Summary of PhD training and teaching activities:

3. Name PhD student: Sophie Christien Flohil
 4. PhD period: January 2010 – December 2012
 5. Erasmus University Medical Center: Department of Dermatology
 6. Promotores: Prof.dr. T.E.C. Nijsten and Prof.dr. H.A.M. Neumann
 7. Supervisor: Dr. E. de Vries

| | Year | Workload (Hours/ECTS) |
|--|------|-----------------------|
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10. **1. PhD training**11. **General academic skills**

| | | |
|--|------|----------|
| 12. - Academic writing and presentation course | 2009 | 20 hours |
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14. **Research skills**

| | | |
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| 15. - Essentials of descriptive cancer epidemiology Karolinska Institute, Sweden | 2010 | 32 hours |
| 16. - NIHES course: SPSS | 2009 | 8 hours |
| 17. - NIHES course: Conceptual foundation of epidemiologic study design | 2010 | 15 hours |
| 18. - NIHES course: Principles of genetic epidemiology | 2010 | 25 hours |
| 19. - NIHES course: Biostatistics for clinicians | 2011 | 25 hours |
| 20. - NIHES course: Survival analysis | 2011 | 35 hours |
| 21. - DOO course: Evidence based medicine | 2010 | 20 hours |
| 22. - DOO course: Samenwerking | 2011 | 8 hours |
| 23. - Basic course: Regelgeving en organisatie (BROK) | 2010 | 22 hours |

26. **Presentations**27. **Oral**

| | | |
|---|------|--------|
| 28. - Vitamine D-bindende proteïnes zijn niet geassocieerd met basaalcelcarcinomen. 11 ^{de} Wetenschappelijke vergadering van de Nederlandse Vereniging voor Experimentele Dermatologie, Lunteren, Nederland | 2010 | 1 ECTS |
| 29. - Mohs micrographic surgery in The Netherlands: indication criteria and predictive factors. 20 th EADV congress, Lisbon, Portugal | 2011 | 1 ECTS |
| 30. - Cumulative risks and rates of subsequent basal cell carcinomas. 20 th EADV congress, Lisbon, Portugal | 2011 | 1 ECTS |
| 31. - Mohs micrographische chirurgie in The Netherlands: indication criteria and predictive factors. Skintermezzo, Gerlos, Oostenrijk | 2012 | 1 ECTS |
| 32. - Epidemiologie van het basaalcelcarcinoom in Nederland, Wetenschappelijke jaarvergadering Nederlandse Vereniging van Dermatologie en Venereologie, Rotterdam, Nederland | 2012 | 1 ECTS |
| 33. - Multipole basaalcelcarcinomen in Nederland, SPA II, Spa, België | 2012 | 1 ECTS |

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|-----|---|--|------|---------|
| 1. | - | Epidemiologie van actinische keratose, DIS symposium, Amsterdam, Nederland | 2012 | 1 ECTS |
| 2. | - | Prevalence of actinic keratosis, its risk factors and association with skin cancer in an elderly population: the Rotterdam Study, Malmö, Sweden | 2012 | 1 ECTS |
| 3. | | | | |
| 4. | - | Actuele ontwikkelingen AK en BCC in Nederland, Rotterdam, Nederland | 2012 | 1 ECTS |
| 5. | | Poster | | |
| 6. | - | Vitamin D-binding proteins are not associated with (multiple) basal cell carcinoma development, 6 th EADO congress, Athens, Greece | 2010 | 1 ECTS |
| 7. | | | | |
| 8. | - | Basal cell carcinoma in The Netherlands: how often do they occur? 6 th EADO congress, Athens, Greece | 2010 | 1 ECTS |
| 9. | | | | |
| 10. | - | De incidentie van multiple basaalcelcarcinomen in Nederland, 12 ^{de} Wetenschappelijke vergadering van de Nederlandse Vereniging voor Experimentele Dermatologie, Lunteren, Nederland | 2011 | 1 ECTS |
| 11. | | | | |
| 12. | | | | |
| 13. | | International conferences | | |
| 14. | - | 6 th EADO congress, Greece, Athens | 2010 | 1 ECTS |
| 15. | - | SPA I: Oncologie in de parel van de Ardennen, Spa, Belgium | 2010 | 1 ECTS |
| 16. | - | 20 th EADV congress, Lisbon, Portugal | 2011 | 1 ECTS |
| 17. | - | SPA II: Oncologie in de parel van de Ardennen, Spa, Belgium | 2012 | 1 ECTS |
| 18. | | | | |
| 19. | - | 6 th IDEA congress, Malmö, Sweden | 2012 | 1 ECTS |
| 20. | | National conferences | | |
| 21. | - | 11 ^{de} Wetenschappelijke vergadering van de Nederlandse Vereniging voor Experimentele Dermatologie, Lunteren, Nederland | 2010 | 1 ECTS |
| 22. | | | | |
| 23. | - | Dermatologen dagen, Papendal, Nederland | 2011 | 1 ECTS |
| 24. | - | DIS symposium, Amsterdam, Nederland | 2012 | 1 ECTS |
| 25. | | Other | | |
| 26. | | | | |
| 27. | - | PhD day, Rotterdam, Nederland | 2010 | 8 hours |
| 28. | - | NIHES masterclass: improving forensic analysis with human genomics | 2010 | 2 hours |
| 29. | - | NIHES masterclass: from 'data analysis' to 'model fitting' | 2011 | 2 hours |
| 30. | - | NIHES masterclass: epidemiologic methods are useless | 2011 | 2 hours |
| 31. | - | Dermatoscopie Boerhaave cursus, Leiden, Nederland | 2010 | 8 hours |
| 32. | - | Dermatoscopie specialistische basis cursus met e-learning, Leiden, Nederland | 2011 | 8 hours |
| 33. | - | Dermatoscopie cursus, Rotterdam, Nederland | 2012 | 4 hours |
| 34. | | | | |
| 35. | | Supervising master's theses: | | |
| 36. | - | Sofie van Tiel | 2011 | |
| 37. | - | Joris Verkouteren | 2011 | |
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1. **Occasional reviewer for:**
 2. - British Journal of Dermatology
 3. - Journal of Investigative Dermatology
 4. - Acta Dermato-Venereologica
 5. - Cancer Epidemiology, Biomarkers and Prevention
 6. - Photochemistry and Photobiology
 7. - Journal of the American Academy of Dermatology
 8. - Journal of the European Academy of Dermatology
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9. geduld mijn werk na en had je zelfs in het zoveelste overleg nog energie voor discussie – ik ben
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