

# **Fetal and Infant Origins *of* Childhood Asthma**

The Generation R Study

*Agnes Sonnenschein-van der Voort*

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# **FETAL AND INFANT ORIGINS OF CHILDHOOD ASTHMA**

*The Generation R Study*

## **Foetale en vroeg postnatale oorzaken van astma op de kinderleeftijd Het Generation R onderzoek**

### **Proefschrift**

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## **PROMOTIECOMMISSIE**

**Promotoren:** Prof. dr. J.C. de Jongste  
Prof. dr. V.W.V. Jaddoe

**Overige leden:** Prof. dr. A.J. Henderson  
Prof. dr. I.K.M. Reiss  
Prof. dr. H.A. Smit

**Copromotor:** Dr. L. Duijts

**Paranimfen:** drs. Romy Gaillard  
drs. Marieke Zwakman

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## MANUSCRIPTS THAT FORM THE BASIS OF THIS THESIS

### Chapter 2.1

**Sonnenschein-van der Voort AM**, Arends LR, de Jongste JC, Annesi-Maesano I, Arshad SH, Barros H, Basterrechea M, Bisgaard H, Chatzi L, Corpeleijn E, Correia S, Craig LC, Devereux G, Dogaru C, Dostal M, Duchon K, Eggesbø M, van der Ent CK, Fantini MP, Forastiere F, Frey U, Gehring U, Gori D, van der Gugten AC, Hanke W, Henderson AJ, Heude B, Iñiguez C, Inskip H, Keil T, Kelleher CC, Kogevinas M, Kreiner-Møller E, Kuehni CE, Küpers LK, Lancz K, Larsen PS, Lau S, Ludvigsson J, Mommers M, Nybo Andersen AM, Palkovicova L, Pike KC, Pizzi C, Polanska K, Porta D, Richiardi L, Roberts G, Schmidt A, Sram RJ, Sunyer J, Thijs C, Torrent M, Viljoen K, Wijga AH, Vrijheid M, Jaddoe VWV, Duijts L., Preterm birth, early growth and the risk of childhood asthma: A meta-analysis of 147,000 children. *Submitted*

### Chapter 2.2

**Sonnenschein-van der Voort AM**, Jaddoe VW, Raat H, Moll HA, Hofman A, de Jongste JC, Duijts L. Fetal and infant growth and asthma symptoms in preschool children: the Generation R Study. *Am J Respir Crit Care Med.* 2012;185(7):731-7. Epub 2012/01/24 DOI 10.1164/rccm.201107-1266OC

### Chapter 2.3

**Sonnenschein-van der Voort AM**, Gaillard R, de Jongste JC, Hofman A, Jaddoe VW, Duijts L. Fetal and infant growth patterns, airway resistance and school-age asthma. The Generation R Study. *Submitted*

### Chapter 2.4

**Sonnenschein-van der Voort AM**, Howe LD, Granell R, Duijts L, Sterne J.A.C, Tilling K, Henderson A.J. Influence of childhood growth on asthma and lung function in adolescence. *Submitted*

### Chapter 3.1

Guxens M, **Sonnenschein-van der Voort AM**, Tiemeier H, Hofman A, Sunyer J, de Jongste JC, Jaddoe VW, Duijts L. Parental psychological distress during pregnancy and wheezing in preschool children: The Generation R Study. *J Allergy Clin Immunol.* 2013. Epub 2013/06/20 DOI 10.1016/j.jaci.2013.04.044

## Chapter 3.2

Leermakers ET, **Sonnenschein-van der Voort AM**, Gaillard R, Hofman A, de Jongste JC, Jaddoe VW, Duijts L. Maternal weight, gestational weight gain and preschool wheezing. The Generation R Study. *Eur Respir J*. 2013 Nov;42(5):1234-43. DOI: 10.1183/09031936.00148212

## Chapter 3.3

**Sonnenschein-van der Voort AM**, Jaddoe VW, Moll HA, Hofman A, van der Valk RJ, de Jongste JC, Duijts L. Influence of maternal and cord blood C-reactive protein on childhood respiratory symptoms and eczema. *Pediatr Allergy Immunol*. 2013;24(5):469-75. Epub 2013/06/19 DOI 10.1111/pai.12094

## Chapter 4.1

**Sonnenschein-van der Voort AM**, Jaddoe VW, van der Valk RJ, Willemsen SP, Hofman A, Moll HA, de Jongste JC, Duijts L. Duration and exclusiveness of breastfeeding and childhood asthma-related symptoms. *Eur Respir J*. 2012;39(1):81-9. Epub 2011/07/23 DOI 10.1183/09031936.00178110

## Chapter 4.2

**Sonnenschein-van der Voort AM**, de Kluizenaar Y, Jaddoe VW, Gabriele C, Raat H, Moll HA, Hofman A, Pierik FH, Miedema HM, de Jongste, JC, Duijts L. Air pollution, fetal and infant tobacco smoke exposure, and wheezing in preschool children: a population-based prospective birth cohort. *Environ health*. 2012;11:91. Epub 2012/12/13 DOI 10.1186/1476-069X-11-91





# Chapter 1





# 1.1

## Introduction and design





## 1. BACKGROUND

2.

3. Asthma is a chronic inflammatory disorder of the airways. Asthma is associated with airway  
4. hyperresponsiveness and variable airflow limitation, that lead to recurrent episodes of respiratory  
5. symptoms including wheezing, shortness of breath, phlegm, and cough<sup>1</sup>. Symptoms in young  
6. children are nonspecific, and may also occur with viral infections. Objective tests, including spi-  
7. rometry or assessment of bronchial responsiveness, are not easy to conduct in young children,  
8. and have limited applicability. Therefore, a clear definition of asthma in childhood is not available<sup>2</sup>.  
9. In clinical practice asthma cannot be diagnosed for preschool children and usually the diagnosis  
10. of wheezing, elicited by viral infection or multiple other triggers, is used<sup>3</sup>. In epidemiological stud-  
11. ies the diagnosis of asthma is based on parental- or self-reported symptoms or reported physician  
12. diagnosis<sup>4</sup>. These studies have shown that childhood asthma has a high prevalence across many  
13. countries worldwide<sup>5</sup>. The reported prevalence among school-age children is around 5-10%. In  
14. preschool children, the prevalence of asthma-related symptoms, such as wheezing and shortness  
15. of breath, is even much higher. Childhood asthma is related to a reduced quality of life, limited  
16. exercise tolerance, and higher risks of school absenteeism and hospitalization<sup>6</sup>. The morbidity  
17. remains high despite the availability of safe and effective treatments<sup>7</sup>. The lack of curative options  
18. seems to be largely due to the unknown aetiology of asthma<sup>8</sup>.

19. Accumulating evidence suggest that childhood asthma has at least part of its origins in fetal  
20. life and infancy<sup>9</sup>. The developmental plasticity hypothesis suggests that adverse exposures in  
21. early life lead to developmental adaptations of various organ systems, including of the respi-  
22. ratory tract, to enhance survival in the short term. These adaptations may result in impaired  
23. airway- and lung development, which predisposes the individual to respiratory morbidity,  
24. such as asthma or chronic obstructive pulmonary disease, in later life<sup>10</sup>. This hypothesis is  
25. mainly based on studies showing associations of low birth with respiratory diseases in later  
26. life<sup>11</sup>. Not much is known about the mechanisms that explain these associations.

27.

28.

## 29. FETAL AND INFANT GROWTH

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31. Low birth weight has been associated with subsequent respiratory morbidity, including  
32. asthma and respiratory tract infections<sup>12-14</sup>. Since low birth weight is the result of various  
33. adverse fetal exposures and growth patterns, and the starting point of infant growth, it is not  
34. per se a causal factor for respiratory morbidity in later life<sup>15-18</sup>. Two recent studies suggested  
35. that fetal growth characteristics in early pregnancy affect the risk of wheezing<sup>16, 17</sup>. Not only  
36. fetal growth, but also rapid infant growth may be associated with asthma symptoms and a  
37. reduced lung function in childhood<sup>18-20</sup>. Studies focussed on the association of infant growth  
38. with childhood asthma were not able to take fetal growth into account. This is a limitation  
39. because fetal and infant growth are inversely correlated<sup>18, 19</sup>. The associations of low birth

1. weight with respiratory disease in later life may also be explained by preterm birth. Preterm
2. birth is related with impaired lung function and asthma diagnosis in childhood<sup>21-23</sup>. The lungs
3. of preterm born children have not yet fully developed, which makes them more vulnerable
4. for adverse exposures and developmental lung adaptations that may increase the risk of
5. asthma<sup>21-25</sup>. The associations of gestational age, birth weight and infant growth and their
6. interactions with the risks of wheezing and asthma are important to unravel.

7.

8.

## 9. **FETAL EXPOSURES**

10.

11. The associations of low birth weight with respiratory diseases in later life may be explained
12. by adverse fetal exposures, independent of early growth. Suggested environmental risk fac-
13. tors in fetal life for the development of reduced pulmonary function include psychological
14. distress, obesity, and maternal smoking.

15. Maternal obesity affects birth weight and gestational age at delivery<sup>26, 27</sup>. Also, proinflam-
16. matory cytokine levels are higher in obese mothers. Inflammatory processes in the mother
17. during pregnancy may lead to fetal developmental adaptations and a greater susceptibility
18. of impaired respiratory health in childhood and atopic diseases after birth<sup>28-31</sup>. Maternal low-
19. grade inflammatory status can be measured with C-reactive protein levels<sup>32</sup>. Also, maternal
20. psychological distress during pregnancy may lead to developmental adaptations of the
21. hypothalamic-pituitary-adrenal axis, the autonomic nervous system, the lung structure and
22. function, and immune responses in the offspring<sup>33-35</sup>. Next to direct programming effects, a
23. hypothesized mechanism is the intermediate role of early growth because maternal psycho-
24. logical distress during pregnancy may impair fetal growth<sup>36</sup>. Maternal smoking during preg-
25. nancy is strongly associated with fetal growth retardation and low birth weight<sup>37</sup>. Maternal
26. smoking during pregnancy may also affect respiratory tract development<sup>38-41</sup>.

27.

28.

## 29. **EXPOSURES IN INFANCY**

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31. Potential risk factors for the development of impaired pulmonary function and risk of respiratory
32. disease in infancy include a shorter duration of breastfeeding, and exposure to environmental
33. tobacco smoking and air pollutants<sup>9</sup>. Underlying mechanisms that have been suggested to
34. explain the associations of breastfeeding with the risks of respiratory symptoms are breast milk
35. components, including IgA, cytokines, glycans and long-chain fatty acids that stimulate and
36. balance the infant's innate immune system and growth<sup>42-44</sup>. Exposure to air pollution, including
37. tobacco smoke, might affect the risk of respiratory symptoms via bronchial hyperreactivity,
38. immunological changes, and direct toxic and irritant effects<sup>45, 46</sup>. Also, an increased vulnerability
39. of the airways and lungs to air pollutants might be caused by tobacco smoke exposure.

## 1. HYPOTHESIS

2.

3. The main hypothesis for this thesis is that early growth and adverse environmental exposures  
 4. lead to adaptations in respiratory and immunological development, that increase the risk of  
 5. asthma and asthma-related symptoms (Figure 1.1.1). From both an etiological and a preven-  
 6. tion perspective, it is important to identify specific fetal and infant exposures that lead to  
 7. childhood asthma in later life. The studies presented in this thesis were specifically focused  
 8. on the identification of early critical periods.

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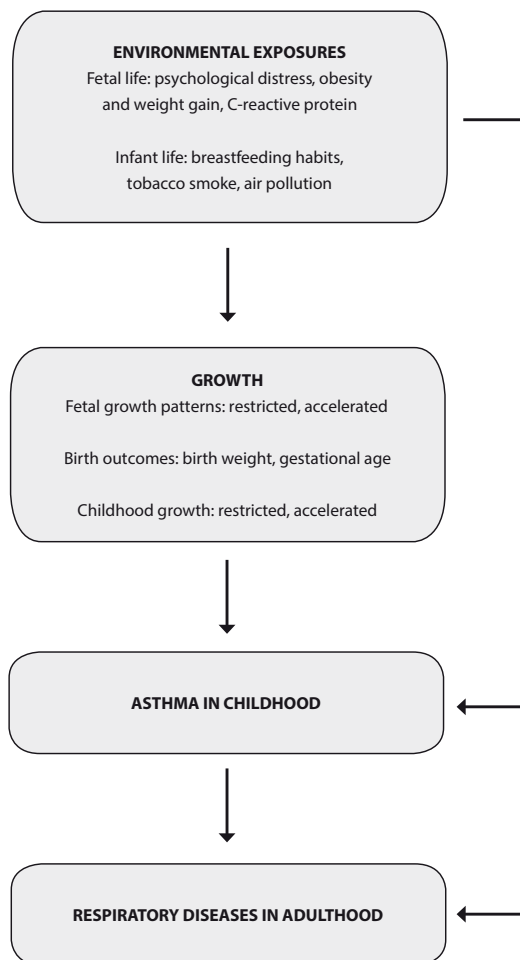
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**Figure 1.1.1.** Overview of the origins of childhood asthma and its potential underlying early growth and environmental mechanisms studied in this thesis.

1. **OBJECTIVES**

2.

3. The major aims of this thesis are:

4. 1. To assess the associations of fetal and infant growth patterns with childhood asthma  
5. symptoms.

6. 2. To assess the associations of fetal exposures with childhood asthma symptoms. The  
7. exposures of interest include maternal psychological distress, obesity and weight gain  
8. during pregnancy, and C-reactive protein levels.

9. 3. To assess the associations of infant exposures with childhood asthma symptoms. The  
10. exposures of interest include breastfeeding duration and exclusiveness, air pollution and  
11. tobacco smoke exposure.

12.

13.

14. **GENERAL DESIGN**

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16. The studies presented in this thesis were embedded in two population-based prospective  
17. cohort studies and a large European collaboration project.

18.

19. **The Generation R Study**

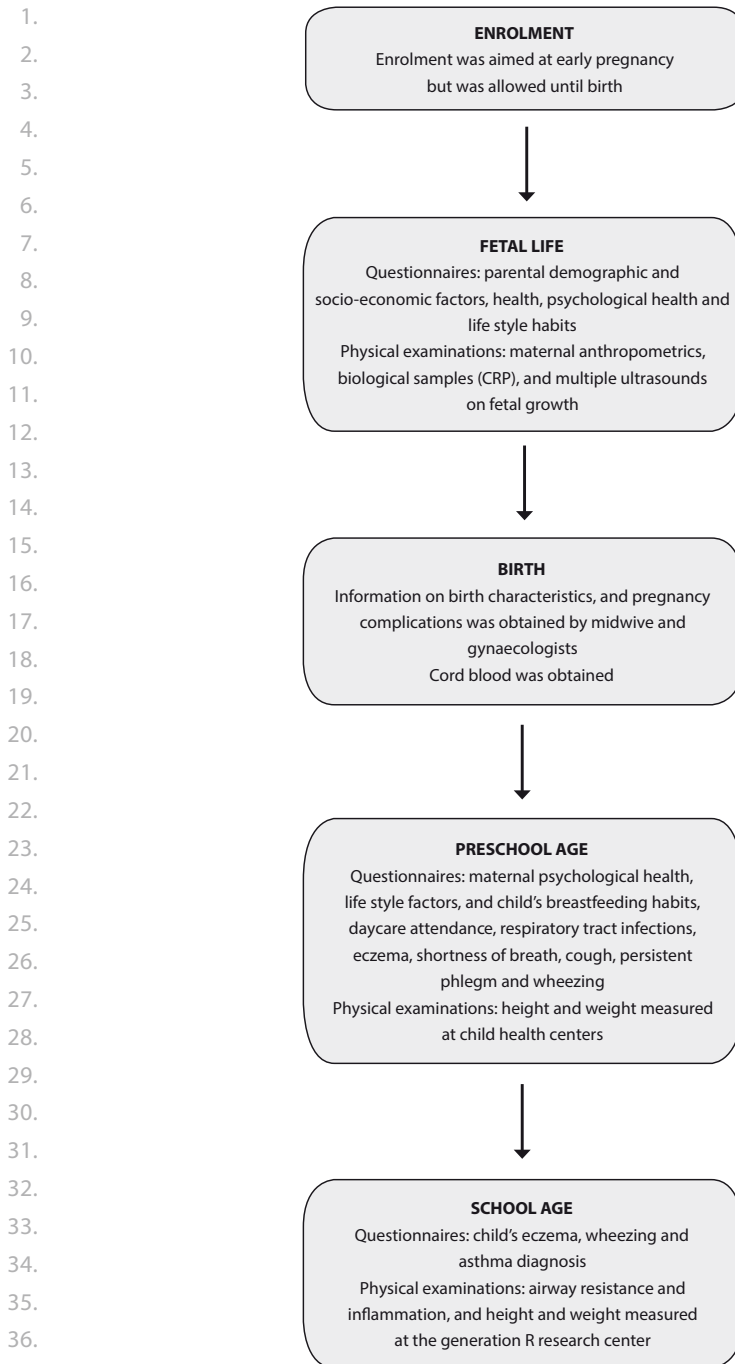
20.

21. The Generation R Study is a population-based prospective cohort study in Rotterdam, the  
22. Netherlands, following pregnant women and their children from fetal life onwards ([www.generationr.nl](http://www.generationr.nl))<sup>47</sup>. The study is designed to identify early environmental and genetic causes  
23. and causal pathways leading to normal and abnormal growth, development and health  
24. during fetal life, childhood and adulthood. Enrolment was aimed in first trimester, but was  
25. allowed until birth of the child. In total n=9,778 mothers with a delivery date from April 2002  
26. until January 2006 were enrolled in the study, and response at baseline was 61%. Data col-  
27. lection during each trimester of pregnancy included fetal ultrasounds examinations, detailed  
28. physical examinations, biological samples, and questionnaires. Information from midwife and  
29. hospital registries was obtained and a sample of cord blood was collected at birth. During the  
30. preschool years (from birth until the age of 4 years) information was mainly obtained from  
31. postal questionnaires including questions adapted from the International Study on Asthma  
32. and Allergy in Childhood (ISAAC)<sup>48</sup>. Growth data was collected at community health centres.  
33. At the age of 6 years, asthma diagnosis was obtained by questionnaire. Additional detailed  
34. hands-on assessments were performed in a dedicated research centre to measure length,  
35. weight, Fraction exhaled Nitric Oxide (FeNO), as a measure of eosinophilic airway inflamma-  
36. tion, and airway resistance (Rint) (Figure 1.1.2).

38.

39.





**Figure 1.1.2.** Overview of the data collection of the Generation R Study used in this thesis.

## 1. **Avon Longitudinal Study of Parents and Children (ALSPAC)**

2.

3. ALSPAC is a population-based prospective cohort study, based in the United Kingdom ([www.bristol.ac.uk/alspac](http://www.bristol.ac.uk/alspac))<sup>49</sup>. In brief, 14,541 pregnant women resident in one of three Bristol-based health districts with an expected delivery date between 1 April 1991 and 31 December 1992 were recruited to participate. Of these women, 14,541 were recruited and gave birth to 14,062 live born children. Detailed information about the children has been collected from questionnaires and clinic visits until the age of 17 years. In adolescence, the diagnosis of current asthma was based on questionnaires, and lung function and bronchial hyperresponsiveness were measured during clinic visits.

11.

## 12. **CHICOS Consortium**

13.

14. A meta-analysis was conducted within the framework of CHICOS (Child Cohort Research Strategy for Europe), a European consortium ([www.chicosproject.eu](http://www.chicosproject.eu)). The overall aim of CHICOS is to improve child health across Europe by developing an integrated strategy for mother-child cohort research in Europe. European population-based birth- and mother-child cohorts were able to participate in the meta-analysis if they included children from 1989 onwards, had information on at least gestational age and weight at birth, and preschool wheezing or school-age asthma, and were willing and able to exchange original data. We selected European cohorts from both the CHICOS consortium and other existing collaborations.

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## **OUTLINE OF THIS THESIS**

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27. **Chapter 2** focuses on associations of early growth with childhood asthma. The results of the European meta-analysis on the associations of preterm birth, birth weight, and infant growth with preschool wheezing and school-age asthma are presented in *chapter 2.1*. The associations of fetal and infant growth with preschool asthma symptoms and school-age respiratory morbidity are presented in *chapters 2.2 and 2.3*, respectively. In *chapter 2.4*, the association of childhood growth from birth until the age of 10 year with asthma, bronchial hyperresponsiveness and lung function in adolescence is explored.

34. In **chapter 3**, the effect of fetal exposures on childhood asthma symptoms are described. *Chapter 3.1 and 3.2* present the influence of maternal distress and weight before and during pregnancy on preschool wheezing, respectively. The associations of C-reactive protein measured during pregnancy and in cord blood with wheezing in preschool children is presented in *chapter 3.3*.

39.

1. In **chapter 4**, the effect of infant exposures on childhood asthma symptoms are described.
2. The associations of breastfeeding duration and exclusivity, exposure to air pollution and
3. tobacco smoke exposure with asthma symptoms until the age of 4 years are presented in
4. *chapter 4.1 and 4.2*.
5. The main findings and implications described in this thesis are discussed in the general
6. discussion in **chapter 5**, followed by a summary in **chapter 6**.
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# Chapter 2

Early growth and  
childhood asthma







# 2.1

## Preterm birth, early growth and the risk of childhood asthma:

A meta-analysis of 147,000 children



Agnes MM Sonnenschein-van der Voort, MSc<sup>1,3</sup>, Lidia R Arends, PhD<sup>4-6</sup>, Johan C de Jongste, MD, PhD<sup>3</sup>, Isabella Annesi-Maesano, MD, PhD<sup>7,8</sup>, S Hasan Arshad, DM<sup>9</sup>, Henrique Barros, MD, PhD<sup>10</sup>, Mikel Basterrechea, MD<sup>11,12</sup>, Hans Bisgaard, MD, DMSci<sup>13,14</sup>, Leda Chatzi, MD, PhD<sup>15</sup>, Eva Corpeleijn, PhD<sup>16</sup>, Sofia Correia, PharmD, MSc<sup>19</sup>, Leone C Craig, MD, PhD<sup>17</sup>, Graham Devereux, MD, PhD<sup>17</sup>, Cristian Dogaru, MD, PhD<sup>18</sup>, Miroslav Dostal, MD, DSc<sup>19</sup>, Karel Duchon, MD<sup>20</sup>, Merete Eggesbø, MD, PhD<sup>21</sup>, C Kors van der Ent, MD, PhD<sup>22</sup>, Maria P Fantini, MD<sup>23</sup>, Francesco Forastiere, MD, PhD<sup>24</sup>, Urs Frey, MD, PhD<sup>25</sup>, Ulrike Gehring, PhD<sup>26</sup>, Davide Gori, MD<sup>23</sup>, Anne C van der Gugten, MD, PhD<sup>22</sup>, Wojciech Hanke, MD, PhD<sup>27</sup>, A John Henderson, MD, PhD<sup>28</sup>, Barbara Heude, PhD<sup>29,30</sup>, Carmen Iñiguez, PhD<sup>12,31,32</sup>, Hazel M Inskip MSc, PhD<sup>33</sup>, Thomas Keil, MD, MScPH<sup>34,35</sup>, Cecily C Kelleher, MD, MPH<sup>36</sup>, Manolis Kogevinas, MD, PhD<sup>37</sup>, Eskil Kreiner-Møller, MD<sup>13,14</sup>, Claudia E Kuehni, MD, PhD<sup>18</sup>, Leanne K Küpers, MSc<sup>16</sup>, Kinga Lancz, PhD<sup>38</sup>, Pernille S Larsen, MSc<sup>39</sup>, Susanne Lau, MD, PhD<sup>40</sup>, Johnny Ludvigsson, MD, PhD<sup>20</sup>, Monique Mommers, PhD<sup>41</sup>, Anne-Marie Nybo Andersen, MD, PhD<sup>39</sup>, Lubica Palkovicova, MD, PhD<sup>38</sup>, Katharine C Pike, MD, PhD<sup>42</sup>, Costanza Pizzi, MSc<sup>43</sup>, Kinga Polanska, PhD<sup>27</sup>, Daniela Porta, MSc<sup>24</sup>, Lorenzo Richiardi, MD, PhD<sup>43</sup>, Graham Roberts, DM<sup>9</sup>, Anne Schmidt, MD<sup>44</sup>, Radim J Sram, MD, DSc<sup>19</sup>, Jordi Sunyer, MD, PhD<sup>12,45-47</sup>, Carel Thijs, MD, PhD<sup>41</sup>, Maties Torrent, MD, PhD<sup>48</sup>, Karien Viljoen, MBChB, MSc<sup>36</sup>, Alet H Wijga, PhD<sup>49</sup>, Martine Vrijheid, PhD<sup>12,45,46</sup>, Vincent WV Jaddoe, MD, PhD<sup>1,2,50</sup>, Liesbeth Duijts, MD, PhD<sup>2,3,51</sup>

<sup>1</sup>The Generation R Study Group, Erasmus Medical Center, Rotterdam, the Netherlands. <sup>2</sup>Department of Epidemiology, Erasmus Medical Center, Rotterdam, the Netherlands. <sup>3</sup>Department of Paediatrics, Division of Respiratory Medicine, Erasmus Medical Center, Rotterdam, the Netherlands. <sup>4</sup>Department of Biostatistics, Erasmus Medical Center, Rotterdam, the Netherlands. <sup>5</sup>Institute of Pedagogical Sciences, Erasmus University Rotterdam, Rotterdam, the Netherlands. <sup>6</sup>Institute of Psychology, Erasmus University Rotterdam, Rotterdam, the Netherlands. <sup>7</sup>EPAR, UMR-S 707 INSERM Paris, Paris, France. <sup>8</sup>EPAR, UMR-S 707, Université Pierre et Marie Curie Paris 06, Paris, France. <sup>9</sup>The David Hide Asthma and Allergy Research Centre, St Mary's Hospital, Newport, Isle of Wight, UK. <sup>10</sup>Department of Clinical Epidemiology, Predictive Medicine and Public Health, University of Porto Medical School, Porto, Portugal. <sup>11</sup>Public Health Division of Gipuzkoa, Basque Government, Spain. <sup>12</sup>Spanish Consortium for Research on Epidemiology and Public Health (CIBERESP), Barcelona, Spain. <sup>13</sup>The Copenhagen Prospective Studies on Asthma in Childhood (COPSAC), Faculty of Health Sciences, University of Copenhagen, Copenhagen, Denmark. <sup>14</sup>The Danish Pediatric Asthma Center, Copenhagen University Hospital, Gentofte, Denmark. <sup>15</sup>Department of Social Medicine, School of Medicine, University of Crete, Greece. <sup>16</sup>Department of Epidemiology, University of Groningen, University Medical Center Groningen, Groningen, The Netherlands. <sup>17</sup>Applied Health Sciences, University of Aberdeen, Aberdeen, United Kingdom. <sup>18</sup>Institute of Social and Preventive Medicine (ISPM), University of Bern, Bern, Switzerland. <sup>19</sup>Institute of Experimental Medicine, Academy of Sciences of the Czech Republic, Prague, Czech Republic. <sup>20</sup>Division of Pediatrics, Dept of Clinical and Experimental Medicine, Linköping University, and Pediatric Clinic, County Council of Östergötland County Council, Linköping, Sweden. <sup>21</sup>Department of Genes and Environment, Division of Epidemiology, Norwegian Institute of Public Health, Oslo, Norway. <sup>22</sup>Department of Paediatric Pulmonology, Wilhelmina Children's Hospital, University Medical Center Utrecht, The Netherlands. <sup>23</sup>Department of Biomedical and Neuromotor Sciences, University of Bologna, Bologna, Italy. <sup>24</sup>Department of Epidemiology, Lazio Regional Health Service, Rome, Italy. <sup>25</sup>University Children's Hospital Basel (UKBB), University of Basel, Basel, Switzerland. <sup>26</sup>Institute for Risk Assessment Sciences, Utrecht University, Utrecht, The Netherlands. <sup>27</sup>Nofer Institute of Occupational Medicine, Department of Environmental Epidemiology, Lodz, Poland. <sup>28</sup>School of Social and Community Medicine, University of Bristol, Bristol, United Kingdom. <sup>29</sup>INSERM, Center for Research in Epidemiology and Population Health, U1018, Lifelong epidemiology of obesity, diabetes, and renal disease Team, Villejuif, France. <sup>30</sup>University Paris-Sud, Villejuif, France. <sup>31</sup>Center for Public Health Research (CSISP), University of Valencia, Valencia, Spain. <sup>32</sup>Faculty of nursery and chiropody, University of Valencia, Valencia, Spain. <sup>33</sup>MRC Lifecourse Epidemiology Unit, University of Southampton, Southampton General Hospital, United Kingdom. <sup>34</sup>Institute of Social Medicine, Epidemiology and Health Economics, Charité University Medical Center, Berlin, Germany. <sup>35</sup>Institute for Clinical Epidemiology and Biometry, University of Würzburg, Würzburg, Germany. <sup>36</sup>School of Public Health, Physiotherapy and Population Science, University College Dublin, Woodview House, Belfield, Dublin 4. <sup>37</sup>National School of Public Health, Athens, Greece. <sup>38</sup>Department of Environmental Medicine, Faculty of Public Health, Slovak Medical University, Bratislava, Slovakia. <sup>39</sup>Section of Social Medicine, Department of Public Health, University of Copenhagen, Copenhagen, Denmark. <sup>40</sup>Department of Paediatric Pneumology and Immunology, Charité University Medical Centre, Berlin, Germany. <sup>41</sup>Department of Epidemiology, CAPHRI School for Public Health and Primary Care, Maastricht University, Maastricht, The Netherlands. <sup>42</sup>Clinical and Experimental Sciences Academic Unit, Faculty of Medicine, University of Southampton, United Kingdom. <sup>43</sup>Cancer Epidemiology Unit, Department of Medical Sciences, University of Turin, Turin, Italy. <sup>44</sup>Division of Respiratory Medicine, Department of Pediatrics, Inselspital, University of Bern, Bern, Switzerland. <sup>45</sup>Centre for Research in Environmental Epidemiology (CREAL), Barcelona, Spain. <sup>46</sup>Department of Experimental and Health Sciences, Pompeu Fabra University, Barcelona, Spain. <sup>47</sup>Institut Municipal d'Investigació Mèdica (IMIM)-Hospital del Mar, Barcelona, Spain. <sup>48</sup>IB-SALUT, Area de Salut de Menorca, Balearic Islands, Spain. <sup>49</sup>Centre for Prevention and Health Services Research, National Institute for Public Health and the Environment (RIVM), Bilthoven, The Netherlands. <sup>50</sup>Department of Paediatrics, Erasmus Medical Center, Rotterdam, the Netherlands. <sup>51</sup>Department of Paediatrics, Division of Neonatology; Erasmus Medical Center, Rotterdam, the Netherlands.

## 1. **ABSTRACT**

2.

3. **Background** Preterm birth, low birth weight and infant catch-up growth seems associated  
4. with increased risks of respiratory diseases in later life but individual studies showed conflict-  
5. ing results.

6.

7. **Objectives** We performed an individual participant data meta-analysis for 147,252 children  
8. of 31 birth-cohort studies to determine the associations of birth and infant growth charac-  
9. teristics with the risks of preschool wheezing (1-4 years) and school-age asthma (5-10 years).

10.

11. **Methods** First, we performed an adjusted 1-stage random-effect meta-analysis to assess the  
12. combined associations of gestational age, birth weight, and infant weight gain with child-  
13. hood asthma. Second, we performed an adjusted 2-stage random-effect meta-analysis to  
14. assess the associations of preterm birth (gestational age <37 weeks) and low birth weight  
15. (<2500 grams) with childhood asthma outcomes.

16.

17. **Results** Younger gestational age at birth and higher infant weight gain were independently  
18. associated with higher risks of preschool wheezing and school-age asthma ( $p$ -values <0.05).  
19. The inverse associations of birth weight with childhood asthma were explained by gesta-  
20. tional age at birth. As compared to term born children with normal infant weight gain, we  
21. observed the highest risks of school-age asthma in children born preterm with high infant  
22. weight gain (Odds Ratio (OR) 4.47 (95% Confidence Interval: 2.58, 7.76)). Preterm birth was  
23. positively associated with increased risks of preschool wheezing (Pooled OR (pOR) 1.34 (1.25,  
24. 1.43)) and school-age asthma (pOR 1.40 (1.18, 1.67)), independent of birth weight. Weaker  
25. effect estimates were observed for the associations of low birth weight, adjusted for gesta-  
26. tional age at birth, with preschool wheezing (pOR 1.10 (1.00, 1.21)) and school-age asthma  
27. (pOR 1.13 (1.01, 1.27)).

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29. **Conclusion** Younger gestational age at birth and higher infant weight gain were associated  
30. with childhood asthma outcomes. The associations of lower birth weight with childhood  
31. asthma were largely explained by gestational age at birth.

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## 1. INTRODUCTION

2.

3. Respiratory diseases have at least part of their origins in early life. It has been hypothesized  
4. that adverse exposures in fetal and early postnatal life may influence lung growth and  
5. development, which may lead to persistently smaller airways and impaired lung function.  
6. These developmental adaptations may predispose the individual for asthma and chronic  
7. obstructive pulmonary disease in childhood and adulthood<sup>1-3</sup>. This hypothesis is supported  
8. by studies showing associations of low birth weight with increased risks of wheezing and  
9. asthma in childhood,<sup>4,7</sup> and chronic obstructive pulmonary disease and lower pulmonary  
10. function in later life<sup>8-11</sup>. Published findings are not consistent,<sup>4,7,12,13</sup> which may be due to dif-  
11. ferences in study populations and in definitions of outcomes. Also, the observed associations  
12. of low birth weight with increased risks of asthma-related outcomes may be confounded by  
13. preterm birth or catch-up growth in infancy. The lungs of preterm children have not yet been  
14. fully developed, which makes them prone for suboptimal further development<sup>14-16</sup>.

15. Most children with a low birth weight show catch-up growth in infancy<sup>17</sup>. Recent stud-  
16. ies suggested that catch-up growth is associated with a lower pulmonary function, and  
17. increased risks of childhood asthma<sup>18-20</sup>. Whether and to what extent the previously reported  
18. associations of low birth weight with higher risks of asthma-related outcomes are explained  
19. by preterm birth and infant catch-up growth is not known.

20. Therefore, we conducted a meta-analysis of individual data from 147,252 children up to  
21. the age of 10 years participating in 31 European cohort studies to assess the strength, con-  
22. sistency, and independence of the associations of gestational age, birth weight and infant  
23. weight gain with the risks of preschool wheezing and school-age asthma. We specifically  
24. explored the combined effects of gestational age, birth weight and infant growth.

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## 27. METHODS

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### 29. Inclusion criteria and participating cohorts

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31. European population-based birth and mother-child cohorts participated if they included  
32. children born between 1989 and 2011, had information available on at least gestational age  
33. and weight at birth and preschool wheezing (1-4 years) or school-age asthma (5-10 years),  
34. and were willing and able to exchange original data. We identified 52 European cohorts  
35. selected from the existing collaborations on childhood health or asthma related outcomes  
36. ([www.chicosproject.eu](http://www.chicosproject.eu); [www.birthcohortsenrieco.net](http://www.birthcohortsenrieco.net); [www.ga2len.org](http://www.ga2len.org); [www.birthcohorts.net](http://www.birthcohorts.net)) (assessed until May 29<sup>th</sup> 2012). We invited the 52 potentially eligible cohorts, of which  
37. 41 responded to our invitation. From those, 31 cohorts agreed to participate, leading to  
38. 147,252 children with information on at least one early growth characteristic and respiratory  
39.

1. outcome (Flow chart given in supplementary Figure E2.1.1). All original cohort studies were
2. approved by their local institutional review boards, and provided written informed consent
3. for using their data. Anonymized datasets were stored on a single central secured dataser
4. with access for the main analysts (AMMS, LRA, LD) only.

5.

## 6. **Birth characteristics and infant growth**

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8. Information about birth weight, gestational age at birth and weight in the first year of life per
9. cohort was obtained by measurements, medical registries or parental questionnaires (cohort
10. specific information given in supplementary Table E2.1.1) and used as continuous and categori-
11. cal variables. Infant weight gain in the first year was defined as the difference between weight
12. at 1 year (range 6-18 months) and weight at birth, divided by the exact number of months
13. between those two measurements. We created gestational age adjusted birth weight standard
14. deviation scores (birth weight SDS) based on a North-European reference chart<sup>21</sup>. No general
15. European or WHO reference curves of birth weight for gestational age are available. To test
16. non-linear and dose-response associations, we categorized gestational age (<28.0; 28.0-29.9;
17. 30.0-31.9; 32.0-33.9; 34.0-35.9; 36.0-37.9; 38.0-39.9; 40-41.9; >=42 weeks), birth weight SDS
18. (<-4; -4- -3.01; -3- -2.01; -2- -1.01; -1- -0.01; 0-0.99; 1-1.99; 2-2.99; 3-3.99; >=4 SD), and infant
19. weight gain (<300; 300-399; 400-499; 500-599; 600-699; 700-799; 800-899; 900-999; >=1000
20. grams per month). To test the combined associations of gestational age, birth weight SDS and
21. infant weight gain with childhood asthma outcomes, we used a smaller number of groups to
22. have sufficient children per group (for gestational age: <32; 32-35.9; 36-39.9; >=40 weeks; for
23. birth weight SDS: <-2; -2- -1.01; -1-0.99; 1-1.99; >=2 SD; and for infant weight gain: <500; 500-
24. 599; 600-699; >=700 grams per months). Finally, we dichotomized gestational age at birth into
25. term birth (>= 37 weeks) and preterm birth (gestational age <37 weeks), and birth weight into
26. normal birth weight (>=2500 grams) and low birth weight (<2500 grams) to test the effects of
27. clinical birth complications on childhood asthma outcomes. Cohort specific characteristics of
28. determinants are given in supplementary Table E2.1.2.

29.

## 30. **Asthma-related outcomes in childhood**

31.

32. We used preschool wheezing and school-age asthma as main outcomes. These data were
33. mainly obtained by questionnaires adapted from the International Study on Asthma and
34. Allergy in Childhood (ISAAC)<sup>22</sup>. Cohort specific information is given in supplementary Table
35. E2.1.1. We defined preschool wheezing as 'ever reported wheezing during the first 4 years of
36. life (no, yes)' and school-age asthma as 'asthma diagnosis reported between 5 and 10 years
37. (no, yes); preferably physician diagnosed. If cohorts had repeatedly collected data on ever
38. wheezing in the first 4 years or asthma diagnosis between 5 and 10 years of life, we used data
39. collected at the oldest age.

## 1. **Covariates**

2.

3. We included covariates based on known associations with childhood asthma from previous  
4. studies<sup>23-27</sup>. Information on covariates was mostly assessed by questionnaires (Table E2.1.1).  
5. The individual cohort analyses were adjusted for potential confounders including maternal  
6. educational level (low, medium, high), smoking during pregnancy (no, yes), history of asthma  
7. (no, yes), and smoking during infancy of their offspring (no, yes), and child's sex (female,  
8. male), siblings (no, yes), attending daycare in first 2 years (no, yes) (description of available  
9. covariates per cohort is given in supplementary Table E2.1.3). We considered breastfeeding  
10. status (never, ever), lower respiratory tract infections (no, yes) and eczema (no, yes) in the first  
11. 2 years of life as potential intermediates (description of available intermediates per cohort is  
12. given in supplementary Table E2.1.4).

13.

## 14. **Statistical analysis**

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16. First, we performed 1-stage individual participant data random-effect meta-analysis to  
17. examine the separate and combined associations of gestational age, birth weight and infant  
18. weight gain with preschool wheezing and school-age asthma. For this analysis individual  
19. participant data from all cohorts were included in one multi-level analysis and were analyzed  
20. simultaneously taking into account clustering of participants within studies<sup>28</sup>. Since we  
21. used a North-European reference curve for birth weight for gestational age (birth weight  
22. SDS), we performed a sensitivity analysis to explore whether the association was different  
23. in North-West European subjects only (Denmark, France, Germany, Ireland, Netherlands,  
24. Norway, Sweden, Switzerland, and United Kingdom)<sup>29</sup>. Numbers were too low to perform  
25. these analyses separately in other European regions. Second, we performed a 2-stage  
26. random-effect meta-analysis to examine the associations of gestational age at birth, birth  
27. weight, and infant weight gain, and dichotomized preterm birth and low birth weight with  
28. the risks of preschool wheezing and school-age asthma. For this analysis, which was used for  
29. the clinical relevant associations of preterm birth and low birth weight, we first used logistic  
30. regression models to calculate effect estimates per cohort, and second calculated pooled  
31. odds ratios from the per cohort effect estimates<sup>28</sup>. To enable comparison of effect estimates,  
32. results for birth weight and infant weight gain are presented as pooled Odds Ratio (pOR) per  
33. 500 grams and 100 grams per month increase, respectively, which reflect the corresponding  
34. standard deviations. We tested for heterogeneity by calculating Cochran's Q and I<sup>2</sup>, which  
35. varied per analysis<sup>30</sup>. We used random effects models, which take into account the potential  
36. between-study variation next to the within-study variation<sup>31</sup>. To determine the influence of  
37. any particular cohort on the overall results, we repeated each meta-analysis leaving out one  
38. cohort at the time. The first model was adjusted for sex of the child (crude model), the second  
39. model was additionally adjusted for potential confounders (confounder model) and the

1. third model was additionally adjusted for potential intermediates (intermediate model). We  
 2. considered the confounder model as the main model. Results are presented as forest plots or  
 3. tables with central point estimates from the random effect models with their 95% Confidence  
 4. Intervals. The number of cohorts and children per meta-analysis differed due to differences  
 5. in data availability. For all analyses, missing values in covariates were used as an additional  
 6. group in the categorical variables to prevent exclusion of non-complete cases. We also per-  
 7. formed a complete-case sensitivity analysis to explore any differences with complete-case  
 8. analyses, and sensitivity analyses in which we first excluded children with parental report  
 9. of birth weight and secondly excluded children without ISAAC-based questionnaires on  
 10. wheezing. Statistical analyses were performed using SAS 9.2 (SAS institute, Cary, NC, USA),  
 11. and Comprehensive Meta-Analysis (Biostat, US).

12.

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## 14. **RESULTS**

15.

### 16. **Subject characteristics**

17.

18. The cohort specific information about the main exposures and outcomes are given in Table  
 19. 2.1.1. The overall prevalences of preterm birth (gestational age <37 weeks) and low birth  
 20. weight (<2500 grams) were 5.1% and 3.9%, respectively. Overall preschool wheezing preva-  
 21. lence was 31.6%, and overall school-age asthma prevalence was 12.8%.

22.

### 23. **Gestational age, birth weight, and infant weight gain**

24.

25. In the 1-stage individual participant data meta-analysis, we observed consistent inverse as-  
 26. sociations of gestational age at birth with the risks of preschool wheezing and school-age  
 27. asthma. As compared to term born children, children born before 28 weeks of gestation  
 28. had the highest risks of preschool wheezing (odds ratios (OR) 3.87 (95% Confidence Interval  
 29. (95% CI): 2.70, 5.53)) and school-age asthma (OR 2.92 (95% CI: 1.84, 4.62)) (Figures 2.1.1A and  
 30. 2.1.1B). Almost all children born before a gestational age of 40.0 weeks had increased risks of  
 31. preschool wheezing and school-age asthma. Birth weight SDS was not consistently associ-  
 32. ated with childhood asthma outcomes (Figures 2.1.1C and 2.1.1D). Results for birth weight in  
 33. grams without taking gestational age into account are given in supplementary Figure E2.1.2,  
 34. showing an inverse association. We observed a positive association of infant weight gain with  
 35. preschool wheezing and school-age asthma. Compared to children with a weight gain be-  
 36. tween 500 and 600 grams per month (largest group), children with a mean infant weight gain  
 37. between 900 and 1000 grams per month had the highest risks of preschool wheezing (OR  
 38. 1.79 (95% CI: 1.45, 2.21)), and school age asthma (OR 1.69 (95% CI: 1.19, 2.38)) (Figures 2.1.1E  
 39. and 2.1.1F). The overall results for the linear associations of gestational age at birth, birth

Table 2.1.1. Characteristics of the participating European birth cohorts

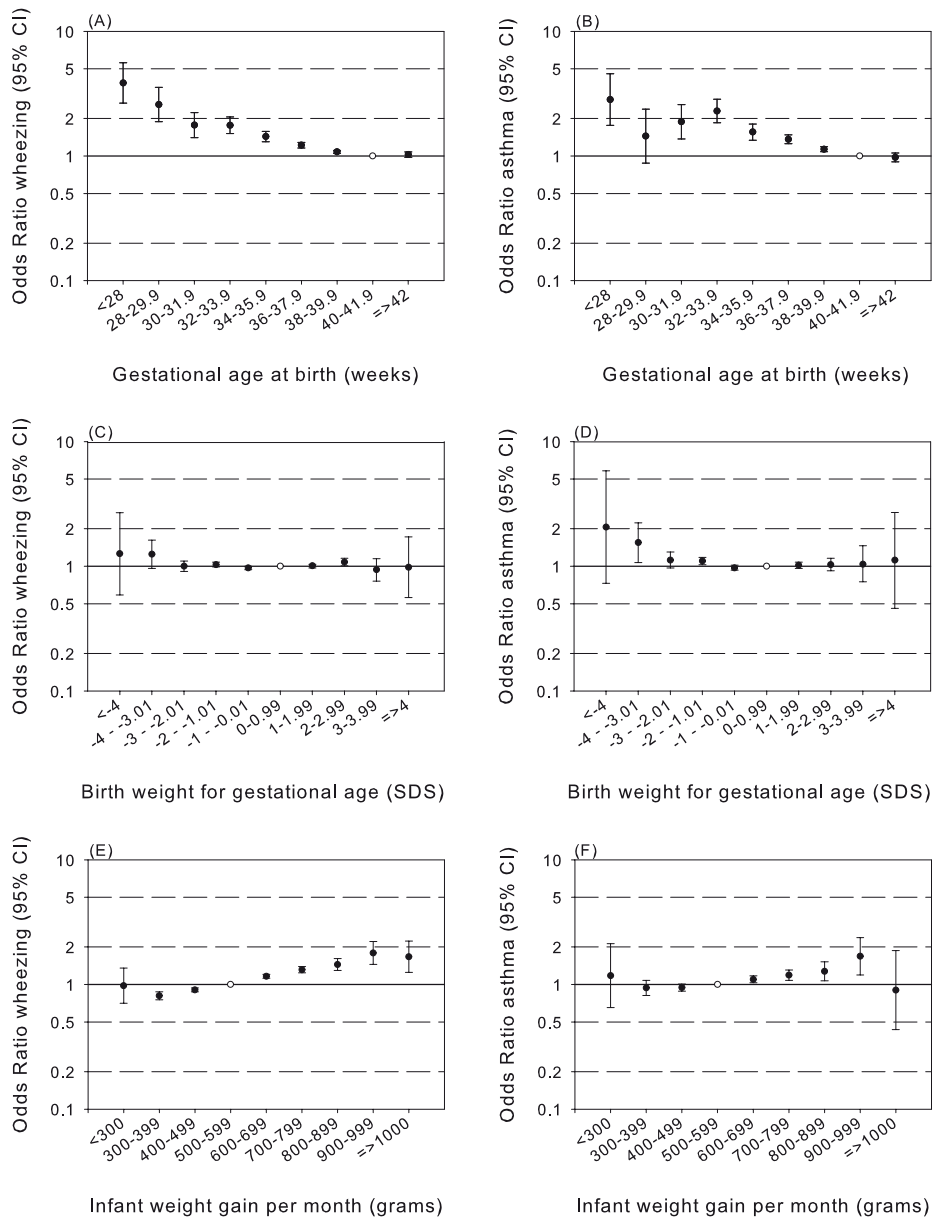
Cohort name (country)	N	Birth years	Birth weight (gram)	Gestational age at birth (weeks)	Preschool wheezing	School-age asthma	Available covariates
			mean (SD)	Median (5-95% range)	% (n)	% (n)	Maternal education
147,252							
ABIS (Sweden)	6,829	1997-1998	3,576 (537)	40 (37, 42)	32.6 (2,200)	9.9 (258)	✓
ALSPAC (United Kingdom)	12,485	1991-1992	3,403 (554)	40 (36, 42)	45.8 (5,683)	21.7 (1,622)	✓
BILD (Switzerland)	432	1999	3,382 (441)	39 (37, 41)	20.6 (89)	-	✓
CONER (Italy)	389	2004-2005	3,321 (448)	39 (37, 41)	41.4 (161)	-	✓
COPSAC (Denmark)	384	1998-2001	3,513 (524)	40 (37, 42)	89.5 (331)	18.2 (62)	✓
CZECH (Czech)	1,830	2001-2004	3,331 (519)	40 (36, 41)	-	13.3 (244)	✓
DNBC (Denmark)	76,810	1996-2001	3,594 (555)	40 (37, 42)	26.9 (17,671)	12.4 (6,498)	✓
EDEN (France)	1,774	2003-2005	3,285 (506)	40 (36, 41)	32.3 (573)	12.8 (227)	✓
GASPII (Italy)	694	2003-2004	3,313 (529)	40 (36, 41)	43.7 (303)	-	✓
GECKO Drenthe (The Netherlands)	1,718	2006-2007	3,557 (544)	40 (37, 42)	29.2 (501)	-	✓
GENERATION R (The Netherlands)	5,815	2002-2006	3,428 (575)	40 (37, 42)	29.3 (1,505)	6.0 (263)	✓
GENERATION XXI (Portugal)	7,053	2005-2006	3,149 (533)	39 (35, 41)	53.0 (2,970)	4.4 (305)	✓
HUMIS (Norway)	2,001	2003-2008	3,534 (677)	40 (34, 42)	15.0 (301)	-	✓
INMA Gipuzkoa (Spain)	478	2006-2008	3,298 (446)	40 (37, 42)	35.8 (171)	-	✓
INMA Menorca (Spain)	474	1997-1998	3,186 (498)	40 (37, 41)	47.9 (227)	6.4 (27)	✓
INMA Sabadell (Spain)	502	2004-2007	3,253 (412)	40 (37, 42)	59.8 (300)	-	✓
INMA Valencia (Spain)	604	2003-2005	3,247 (501)	40 (37, 42)	25.7 (155)	-	✓
ISLE OF WIGHT (United Kingdom)	1,405	1989-1990	3,411 (523)	40 (38, 42)	24.2 (263)	20.1 (272)	✓



**Table 2.1.1.** Characteristics of the participating European birth cohorts (table continued)

Cohort name (country)	N	Birth years	Birth weight (gram)	Gestational age at birth (weeks)	Preschool wheezing	School-age asthma	Available covariates
	147,252		mean (SD)	Median (5-95% range)	% (n)	% (n)	
KOALA (The Netherlands)	2,151	2000-2003	3,525 (499)	40 (38, 42)	24.7 (494)	7.6 (134)	Maternal education ✓ prenatal smoke exposure ✓ maternal asthma ✓ postnatal smoke exposure ✓ sex ✓ siblings ✓ day care ✓
LEICESTER 1990 (United Kingdom)	1,231	1990	3,381 (555)	40 (36, 41)	15.0 (156)	30.6 (136)	Maternal education ✓ prenatal smoke exposure ✓ maternal asthma ✓ postnatal smoke exposure ✓ sex ✓ siblings ✓ day care ✓
LEICESTER 1998 (United Kingdom)	6,836	1998	3,289 (582)	39 (36, 41)	38.0 (2,242)	22.3 (1,029)	Maternal education ✓ prenatal smoke exposure ✓ maternal asthma ✓ postnatal smoke exposure ✓ sex ✓ siblings ✓ day care ✓
LIFEWAYS (Ireland)	421	2001-2002	3,526 (565)	40 (38, 42)	-	26.4 (111)	Maternal education ✓ prenatal smoke exposure ✓ maternal asthma ✓ postnatal smoke exposure ✓ sex ✓ siblings ✓ day care ✓
MAS (Germany)	1,263	1990	3,412 (463)	40 (37, 42)	18.8 (237)	6.6 (44)	Maternal education ✓ prenatal smoke exposure ✓ maternal asthma ✓ postnatal smoke exposure ✓ sex ✓ siblings ✓ day care ✓
NINFEA (Italy)	1,922	2005-2010	3,215 (508)	40 (36, 42)	23.9 (460)	-	Maternal education ✓ prenatal smoke exposure ✓ maternal asthma ✓ postnatal smoke exposure ✓ sex ✓ siblings ✓ day care ✓
PCB (Slovakia)	429	2001-2004	3,359 (492)	40 (38, 41)	5.6 (24)	-	Maternal education ✓ prenatal smoke exposure ✓ maternal asthma ✓ postnatal smoke exposure ✓ sex ✓ siblings ✓ day care ✓
PIAMA (The Netherlands)	3,631	1996-1997	3,515 (543)	40 (37, 42)	27.3 (964)	10.1 (327)	Maternal education ✓ prenatal smoke exposure ✓ maternal asthma ✓ postnatal smoke exposure ✓ sex ✓ siblings ✓ day care ✓
REPRO PL (Poland)	314	2007-2011	3,349 (480)	40 (37, 41)	12.4 (39)	-	Maternal education ✓ prenatal smoke exposure ✓ maternal asthma ✓ postnatal smoke exposure ✓ sex ✓ siblings ✓ day care ✓
RHEA (Greece)	1,046	2007-2008	3,179 (437)	38 (36, 40)	25.7 (269)	-	Maternal education ✓ prenatal smoke exposure ✓ maternal asthma ✓ postnatal smoke exposure ✓ sex ✓ siblings ✓ day care ✓
SEATON (United Kingdom)	1,891	1997	3,414 (610)	40 (35, 42)	27.3 (517)	14.7 (131)	Maternal education ✓ prenatal smoke exposure ✓ maternal asthma ✓ postnatal smoke exposure ✓ sex ✓ siblings ✓ day care ✓
SWS (United Kingdom)	2,291	1998-2007	3,442 (555)	40 (37, 42)	70.9 (1,614)	15.4 (145)	Maternal education ✓ prenatal smoke exposure ✓ maternal asthma ✓ postnatal smoke exposure ✓ sex ✓ siblings ✓ day care ✓
WHISTLER (The Netherlands)	2,149	2001-2012	3,525 (513)	40 (37, 42)	27.2 (577)	7.7 (43)	Maternal education ✓ prenatal smoke exposure ✓ maternal asthma ✓ postnatal smoke exposure ✓ sex ✓ siblings ✓ day care ✓

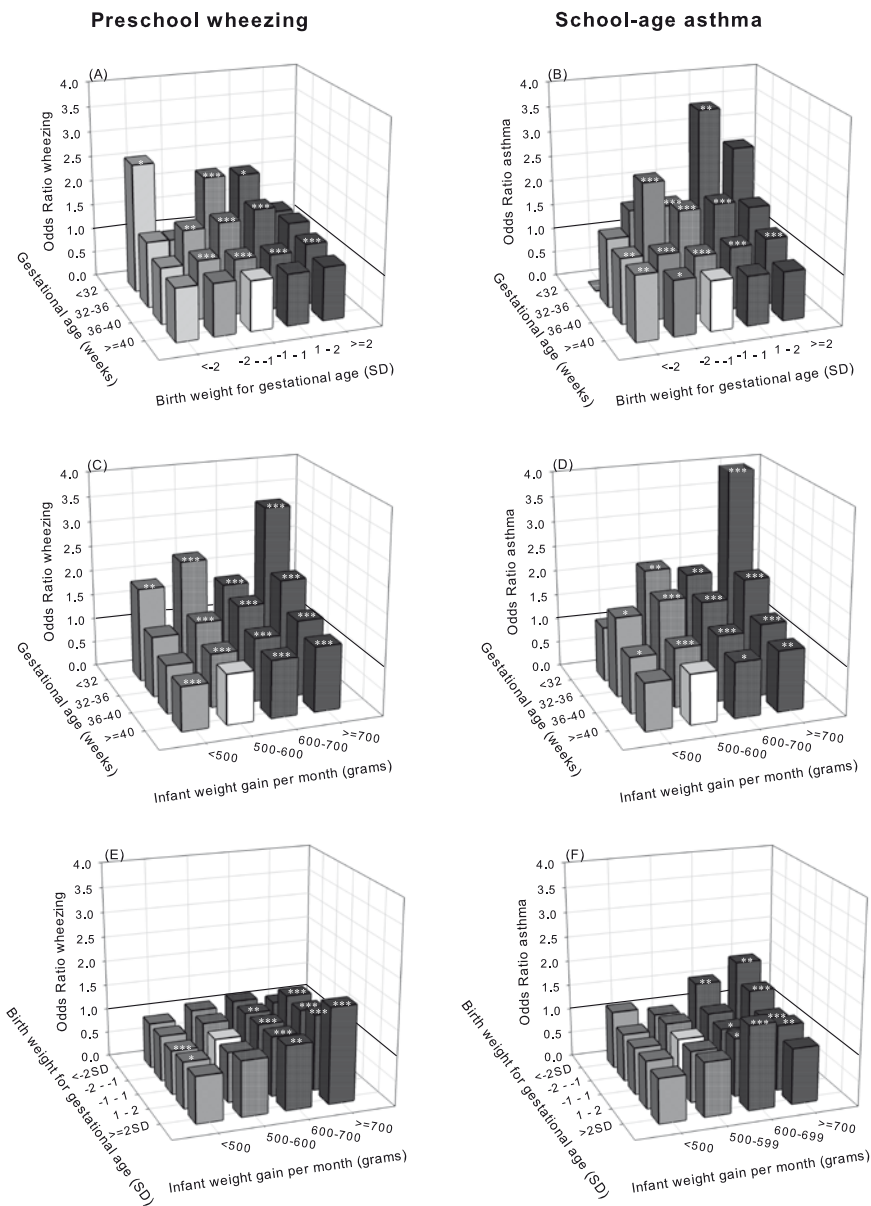
N: number of participants with information on at least birth weight or gestational age and a respiratory outcome.



**Figure 2.1.1.** Associations of gestational age at birth, birth weight, and infant weight gain with preschool wheezing and school-age asthma. Values are odds ratios (95% Confidence Interval) from random effect multi-level models for the associations of gestational age at birth (A, B), gestational age adjusted birth weight (birth weight SDS) (C, D), and infant weight gain (E, F) with preschool wheezing and school-age asthma. Models were adjusted for maternal educational level, smoking during pregnancy, history of asthma, and smoking during infancy, and for child's sex, siblings, and attending day care. Gestational age was additionally adjusted for birth weight, and infant weight gain was additionally adjusted for birth weight and gestational age at birth. Reference groups were 40-41.9 weeks of gestational age, 0-0.99 SD birth weight, and 500-599 gram per month weight gain (largest groups) and represented by a white bullet.

1. weight and infant weight gain from the 1-stage individual participant data meta-analysis  
2. were similar to those from the 2-stage individual participant data meta-analysis (results given  
3. in supplementary material Table E2.1.5.) The results from the confounder model were not  
4. materially different from the crude model. Also, additionally adjusting the confounder model  
5. for potential intermediates (breastfeeding, lower respiratory tract infections, and eczema)  
6. did not materially change the effect estimates (results given in supplementary material  
7. Tables E2.1.6 and E2.1.7). Also, we observed similar effect estimates for preschool wheezing  
8. and school-age asthma after excluding cohorts one by one, indicating no disturbing effect  
9. of any particular population (data not shown). After exclusion of the Danish National Birth  
10. Cohort, the largest cohort in our meta-analysis, or COPSAC, a high-risk for asthma and atopy  
11. cohort, we also did not observe major changes in the effect estimates (data not shown).

12. Next, we explored the combined effects of gestational age at birth, birth weight SDS,  
13. and infant weight gain. The significant correlations were between gestational age and  
14. birth weight  $r = 0.58$  ( $p < 0.001$ ); between gestational age and infant weight gain  $r = -0.16$   
15. ( $p < 0.001$ ); between birth weight and infant weight gain  $r = -0.12$  ( $p < 0.001$ ). We performed  
16. stratified analyses and an overall test for interaction. In each analysis, the largest group was  
17. used as reference group. For the combined effect analysis of gestational age at birth and birth  
18. weight SDS, we observed a higher risk of preschool wheezing among children born at an  
19. earlier age with a higher birth weight SDS, but the overall interaction term with birth weight  
20. SDS was not significant (Figure 2.1.2A). Similarly, we observed a tendency towards a higher  
21. risk of school-age asthma in children born at an earlier gestational age with a higher birth  
22. weight SDS ( $p$  for interaction: 0.04) (Figure 2.1.2B). The highest risks for school-age asthma  
23. were observed for children born before 32 weeks of gestation with a moderately high birth  
24. weight SDS (OR 3.47 (95% CI: 1.65, 7.31)), and with a high birth weight SDS (OR 2.63 (95% CI:  
25. 0.53, 13.13)), compared with children born at term with a normal birth weight SDS. The  $p$  for  
26. interaction between gestational age at birth and infant weight gain for the associations with  
27. preschool wheezing and school-age asthma were 0.05, and 0.23, respectively (Figures 2.1.2C  
28. and 2.1.2D). We observed the highest risks of preschool wheezing and school-age asthma  
29. among children born before 32 weeks of gestation with an infant weight gain of more than  
30. 700 grams, compared with children born at term with a normal weight gain (OR 3.27 (95%  
31. CI: 2.06, 5.19), and OR 4.47 (95% CI: 2.58, 7.76), respectively). The interactions between birth  
32. weight SDS and infant weight gain for the associations with preschool wheezing and school-  
33. age wheezing were not significant (Figures 2.1.2E and 2.1.2F). As a sensitivity analysis, we  
34. performed our analysis in North-West European cohorts only and observed similar results (re-  
35. sults given in supplementary Tables E2.1.8 and E2.1.9). The results of complete case analyses  
36. showed similar results (not shown). Also, we observed similar effect estimates for preschool  
37. wheezing and school-age asthma after excluding cohorts which used parental reports of  
38. birth weight or non-ISAAC based questions on wheezing, indicating that differences in data  
39. collection did not lead to systematic differences in effect estimates (data not shown).



**Figure 2.1.2.** Combined associations of gestational age at birth, birth weight, and infant weight gain with preschool wheezing and school-age asthma. Values are odds ratios (95% Confidence Interval) from random effect multi-level models for the associations of gestational age at birth and birth weight SDS (A, B), gestational age at birth and infant weight gain (C, D), and birth weight SDS and infant weight gain (E, F) with preschool wheezing and school-age asthma. Reference groups (largest groups), are represented by a white bar. Models are adjusted for maternal educational level, smoking during pregnancy, history of asthma, and smoking during infancy, and for child's sex, siblings, and attending day care. P for interaction gestational age\*SD birth weight: wheezing 0.97; asthma 0.04. P for interaction gestational age\*weight gain: wheezing 0.05; asthma 0.23. P for interaction birth weight SDS\*weight gain: wheezing 0.15; asthma 0.57. \*p<0.05, \*\*p<0.01, \*\*\*p<0.001.

1. **Preterm birth, low birth weight and childhood asthma outcomes**

2.

3. Results from the 2-stage meta-analysis focused on the associations of preterm birth and low  
 4. birth weight with childhood asthma outcomes are given in Figure 2.1.3. As compared to term  
 5. born children, preterm born children had increased risks of preschool wheezing (pOR 1.34  
 6. (95% CI: 1.25, 1.43)) and school-age asthma (pOR 1.40 (95% CI: 1.18, 1.67)) (Figures 2.1.3A and  
 7. 2.1.3B). These associations were independent of birth weight. The population attributable  
 8.

9.

A. Preterm birth and preschool wheezing

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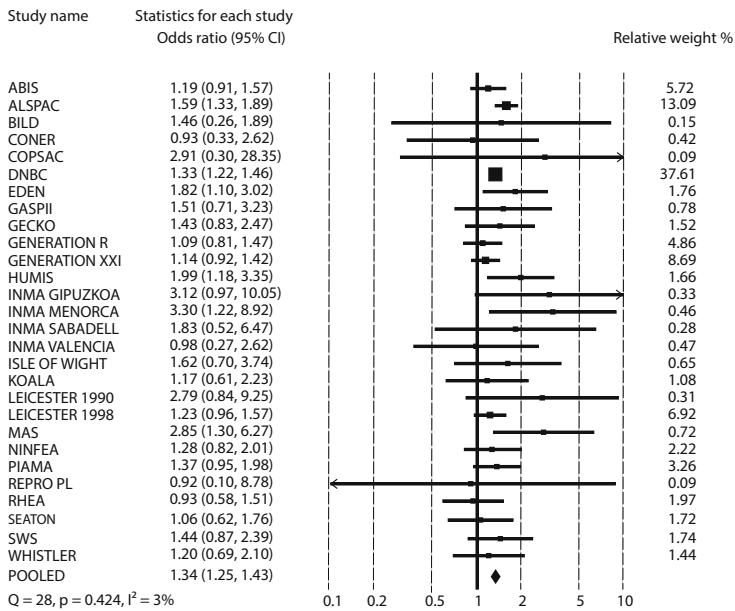
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B. Preterm birth and school-age asthma

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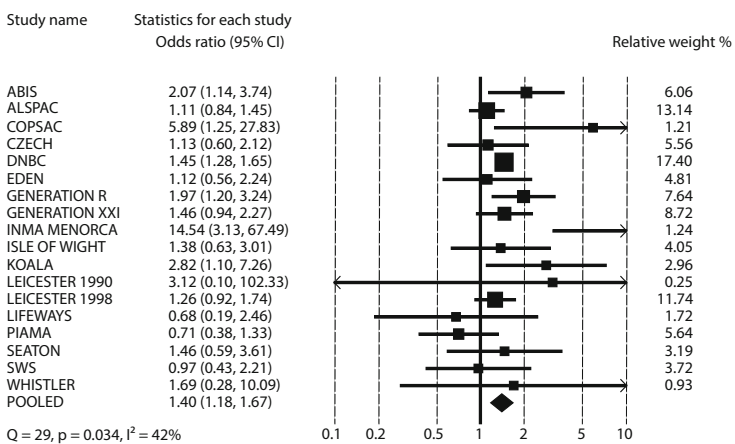
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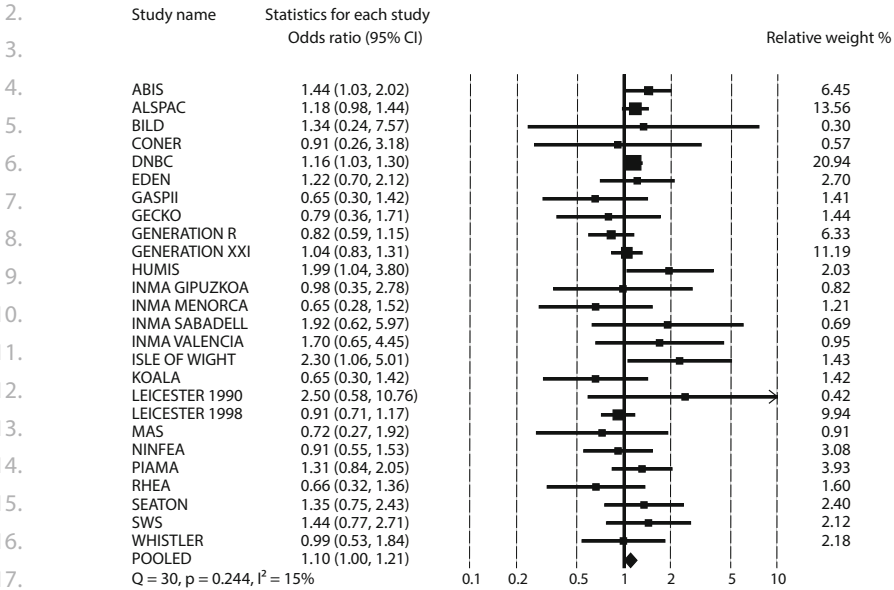
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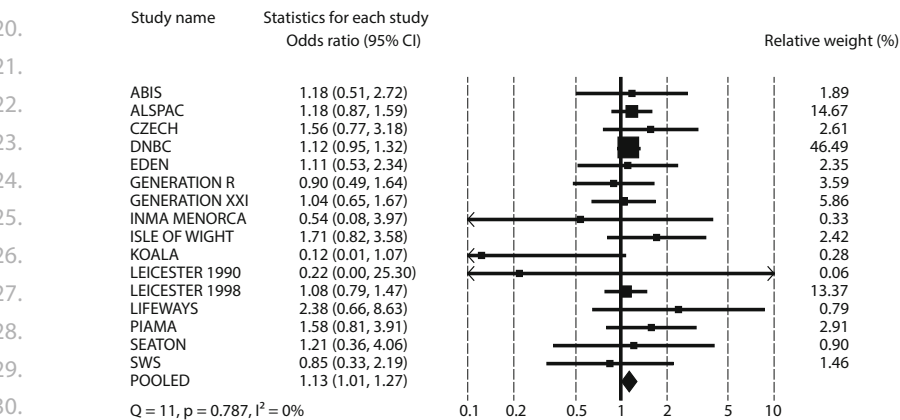
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1. **C. Low birth weight and preschool wheezing**



18. **D. Low birth weight and school-age asthma**



32. **Figure 2.1.3.** Meta-analysis for the associations of preterm birth and low birth weight with preschool wheezing and school-age asthma  
 33. Values from random effect models, reflect the odds ratios (95% Confidence Interval) of preschool wheezing and school-age asthma in preterm  
 34. children (<37 weeks) compared with children born at term (>= 37 weeks) (A, B), and of preschool wheezing and school-age asthma in low  
 35. birth weight children (<2500 grams) compared with children born with a normal birth weight (>=2500 grams) (C, D). Arrows represent 95%  
 36. Confidence Intervals that exceed the outer limits (0.1, 10). Models are adjusted for maternal educational level, smoking during pregnancy,  
 37. history of asthma, and smoking during infancy, and for child's sex, siblings, and attending day care. Preterm birth analyses were additionally  
 38. adjusted for birth weight, and low birth weight analyses were additionally adjusted for gestational age at birth.

1. risk of preterm birth was 1.96% for preschool wheezing and 2.14% for school-age asthma.
2. Compared to children with a normal birth weight, those with a low birth weight (<2500
3. grams) had increased risks of preschool wheezing (pOR 1.10 (95% CI: 1.00, 1.21)) and school-
4. age asthma (pOR 1.13 (95% CI: 1.01, 1.27)) (Figure 2.1.3C and 2.1.3D). These associations were
5. stronger without adjustment for gestational age at birth (results given in supplementary
6. Table E2.1.6).

7.

8.

## 9. **DISCUSSION**

10.

11. Results from this large scale meta-analysis of individual participant data suggested that
12. younger gestational age at birth and higher infant weight gain were associated with in-
13. creased risks of preschool wheezing and school-age asthma. The associations of low birth
14. weight with childhood asthma outcomes were largely explained by gestational age at birth.
15. The highest risk for childhood asthma outcomes was observed among children born before
16. a gestational age of 32 weeks with a high infant weight gain.

17.

### 18. **Comparison with earlier studies**

19.

20. Adverse exposures in fetal and early postnatal life may lead to developmental lung adapta-
21. tions, such as persistently smaller airways and impaired lung function. These developmental
22. adaptations may predispose the individual for obstructive pulmonary diseases in childhood
23. and adulthood<sup>1-3</sup>. This hypothesis is supported by studies showing associations of low birth
24. weight with increased risks of wheezing and asthma in childhood<sup>4-11</sup>. Since low birth weight
25. is correlated with gestational age at birth and infant weight gain, we aimed to disentangle
26. the associations of both gestational age at birth, gestational age adjusted birth weight and
27. infant weight gain with childhood asthma outcomes.

28. Jaakkola et al. performed a meta-analysis on the associations of preterm birth with asthma
29. based on 19 published cohort, case-control and cross-sectional studies<sup>16</sup>. They observed that
30. preterm born children, defined as birth before 37 weeks of gestation had an increased risk of
31. asthma between 1 and 24 years, with a similar effect estimate as we observed in our group of
32. 5-10 year olds. They did not assess associations of birth weight with asthma outcomes. Also,
33. Flaherman et al. performed a meta-analysis on 12 previously published prospective and ret-
- 34.rospective studies, and suggested that children with a high weight at birth had an increased
35. risk of asthma between 6 months and 31 years<sup>32</sup>. They were not able to explore the role of
36. confounders or the effect of gestational age at birth. No association of gestational age with
37. childhood asthma was presented. Since these reports were based on published results they
38. may be biased, and not able to take account for differences in adjustment. A recent analysis
39. by Rzehak et al of 8 European cohort studies with 12,050 participants observed an increased

1. incidence of asthma until the age of 6 years in children with a high gain of body mass index  
2. (BMI) in the first two years<sup>33</sup>. In line with this study, we observed increased risks of wheezing  
3. and asthma in children with an increased infant weight gain.

4. Combining childhood asthma outcomes from different age periods is not easy. Asthma is a diffi-  
5. cult clinical diagnosis and cannot easily be diagnosed in children younger than 5 years. Many stud-  
6. ies used asthma-related outcomes such as wheezing and shortness of breath as main outcomes in  
7. children. Wheezing seems to be the strongest risk factor for childhood asthma<sup>34</sup>. Still, wheezing in  
8. different age periods may reflect different physiological mechanisms<sup>35</sup>. As example, wheezing in  
9. infants may reflect viral airway infections instead of asthma. Therefore we used both wheezing in  
10. preschool children and asthma diagnosis in school-age children as outcomes. We observed that  
11. both a younger gestational age at birth and higher infant weight gain were associated with in-  
12. creased risks of preschool wheezing and school-age asthma. For both gestational age at birth and  
13. infant weight gain, we observed dose-response associations with childhood asthma outcomes.  
14. The associations were not restricted to the extremes of the distribution, but present across the  
15. full range of gestational age at birth and infant weight gain. To the best of our knowledge, this  
16. study is the first showing these associations within the normal ranges. Our results also suggest  
17. that the previously observed associations of low birth weight with childhood asthma were largely  
18. explained by gestational age at birth. We observed the highest risk of childhood asthma outcomes  
19. among children born before a gestational age of 32 weeks with a high weight gain in infancy.

## 21. Interpretation of main findings

22.  
23. Mechanisms underlying the associations of factors in early life with asthma outcomes in later child-  
24. hood might include smaller airways and lungs<sup>36</sup>. The highest rates of airway and alveolar develop-  
25. ment occur in early life, and growth and development of the airways and alveoli might continue  
26. until the age of 21 years<sup>37,38</sup>. Extreme prematures, with respiratory distress syndrome or chronic lung  
27. disease, commonly have an impaired lung function in later life<sup>39,40</sup>. Follow-up studies in preterm  
28. children showed persistently lower lung volumes and reduced airway calibre in later life<sup>40-44</sup>. How-  
29. ever, these extremes do not explain our associations within the less extreme range of gestational  
30. age. Children born preterm also have higher levels of chemokines and cytokines in nasopharyngeal  
31. aspirates at 1 year compared with term born children, which suggests that preterm born children  
32. are more responsive to pro-inflammatory stimuli<sup>45</sup>. The observed associations of high infant weight  
33. gain with childhood asthma outcomes are in line with previous studies reporting associations of  
34. BMI or adiposity with asthma<sup>33,46,47</sup>. These associations may be explained by immunological active  
35. factors from adipose tissue, such as leptin<sup>48</sup>. In mice, leptin has been shown to enhance airway hyper-  
36. responsiveness, suggesting an immunomodulatory role<sup>49</sup>. Results in humans are inconsistent<sup>50-52</sup>.  
37. High infant weight gain might also have a direct mechanical effect on lung function<sup>53</sup>. Further  
38. studies are needed to identify the developmental adaptations of the lungs and immune system  
39. that may explain the associations of preterm birth and infant weight gain with childhood asthma.



## 1. Strengths and limitations

2.

3. We performed a large individual participant data meta-analysis of many birth cohorts  
4. throughout Europe. We did not rely on published data, which limits any potential publica-  
5. tion bias. The large number of participants enabled us to assess small effects, and to adjust  
6. for various potential confounders. We presented results from random effect models, which  
7. allow heterogeneity in the true effect estimates between different populations and take  
8. between-study variation into account. Another strength is that information on exposures  
9. in early life was collected from records and did not depend on long-term participant recall.  
10. Misclassification of gestational age is always possible, because of the large number of  
11. pregnant women who did not know their exact gestational duration<sup>54, 55</sup>. Misclassification  
12. of gestational age might have increased the number of children born post-term with a small  
13. size for gestational age, and children born preterm with a large size for gestational age. Most  
14. cohorts used standardized and validated questionnaires to assess wheezing and asthma.  
15. This method is widely accepted in epidemiological studies and reliably reflects the incidence  
16. of wheezing and asthma in children<sup>22, 56</sup>. Multiple imputation has been suggested to be the  
17. preferable method to deal with missing values<sup>57</sup>. However, we did not have additional data  
18. on patterns of missing values and were therefore unable to perform multiple imputations  
19. within cohorts. We used missing values in covariates as an additional group to prevent exclu-  
20. sion of non-complete cases. No differences in results were observed between the missing as  
21. extra category and complete case analyses. In the current study, we were not able to assess  
22. the effects of early growth characteristics on other objective asthma-related outcomes such  
23. as lung function, or bronchial hyperresponsiveness. Although we did take major potential  
24. confounders into account, residual confounding may still be an issue. For example, although  
25. cohorts comprised predominantly Caucasian children, we were unable to adjust for ethnicity.  
26. Also, we were unable to adjust for maternal BMI or chorioamnionitis which may influence  
27. growth and inflammatory factors associated with childhood asthma<sup>58, 59</sup>. We were not able to  
28. take BMI at the time of obtaining information on childhood asthma outcomes into account.  
29. Especially the associations of infant weight gain with childhood asthma outcomes may be  
30. explained by later adiposity. Childhood adiposity may be an intermediate in this association.

31.

32.

## 33. CONCLUSIONS

34.

35. Younger gestational age at birth and higher weight gain in infancy were associated with  
36. childhood asthma outcomes. The association of lower birth weight with childhood asthma  
37. outcomes was largely explained by gestational age at birth. Further studies are needed to  
38. evaluate the effects of early life characteristics on specific asthma-related outcomes such as  
39. lung function, airway size and airway inflammation.

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# Supplements

**Table E2.1.1.** Data collection on early growth characteristics and respiratory outcomes per cohort

Cohort name (country)	Birth weight	Gestational age	Weight at 1 year	Preschool wheezing	School-age asthma	Covariates and intermediates
ABIS (Sweden)	Parental report	Parental report	Parental report	Parental report ISAAC based questionnaire at ages 1, 2-3 years	Parental report ISAAC based questionnaire at ages 5-6, 8-9 years	Parental questionnaires
ALSPAC (United Kingdom)	Measured by research midwife	Medical record abstraction	Maternal report from community child health record	Annual questionnaires to mother from 6 months to 42 months	Maternal reported doctor-diagnosed asthma at 6½ years	Questionnaires at 18 weeks and 32 weeks gestation and annually from 6 months of age.
BILD (Switzerland)	Midwife or gynaecologist record	Midwife or gynaecologist record	Measured at study visit (age 5 weeks)	Standardized weekly telephone interview during first year of life asking for respiratory symptoms (runny nose, cough, wheeze, other)	(data not included in this meta-analysis)	Standardized questionnaire, midwife or gynaecologist record, standardized weekly telephone interviews
CONER (Italy)	Interviews to the mothers	Interviews to the mothers	Collected by phone interviews to the mothers, based on the last measure taken during the last visit in the health care system	Collected by phone interviews to the mothers	Not collected	Questionnaires at birth, and phone interviews at 6m, 15m and 36m
COPSAC (Denmark)	Midwife or gynaecologist record	Midwife or gynaecologist record	Measured at research unit	Prospectively diary cards and diagnosed by research doctor	Diagnosed at research unit according to predefined algorithms	Interview to predefined questions and response categories
CZECH (Czech)	Pediatrician	Pediatrician	NA	NA	Pediatrician + allergologist	Pediatrician + maternal questionnaires

**Table E2.1.1.** Data collection on early growth characteristics and respiratory outcomes per cohort (continued)

Cohort name (country)	Birth weight	Gestational age	Weight at 1 year	Preschool wheezing	School-age asthma	Covariates and intermediates
DNBC (Denmark)	The Danish Medical Birth Register	The Danish National Patient Register	Computer-assisted telephone interview, age 18 months	ISAAC based computer-assisted telephone interview, age 18 months Has he/she had episodes with wheezing respiration?	ISAAC based questionnaire, physician diagnosed asthma ever, age 7 years Has a doctor ever said that your daughter had asthma?	Computer-assisted telephone interview week 12-16 of gestation, age 6 and 18 months
EDEN (France)	Midwife	Obstetric record	Clinical exam performed by a midwife	ISAAC based questionnaire at 4, 8, 12 months, 2 years, 3 years, 4 years, 5 years	ISAAC based questionnaire 5	Questionnaires and clinical exams during pregnancy and at 1 year
GASPII (Italy)	Medical records	Medical records	Measured by pediatrician	ISAAC based questionnaire Age 15 months, 4 years	NA	Questionnaires at birth, 6 months, 15 months, 4 years
GECKO Drenthe (The Netherlands)	Parent-reported and Midwife or gynaecologist record	Parent-reported and Midwife or gynaecologist record	Measured by trained staff at Well Baby Clinic	Questionnaire at 14 months: Has your child suffered from wheezing breathing in the previous 3 months? Questionnaire, at 45 months: Has your child ever suffered from wheezing in the chest? Has your child suffered from wheezing in the chest in the previous 12 months? How many attacks of wheezing in the chest has your child had during the previous 12 months?	Questionnaire at 45 months: Was your child ever diagnosed with asthma by a doctor? How old was your child when first diagnosed with asthma? Did your child have asthma in the previous 12 months?	Midwife or gynaecologist record. Questionnaires 3 <sup>rd</sup> trimester of pregnancy, and at ages 2 weeks and 1/2/3/4/6/7/9/11/14 months

Table E2.1.1. Data collection on early growth characteristics and respiratory outcomes per cohort (continued)

Cohort name (country)	Birth weight	Gestational age	Weight at 1 year	Preschool wheezing	School-age asthma	Covariates and intermediates
GENERATION R (The Netherlands)	Midwife or gynaecologist record	Midwife or gynaecologist record	Measured at community health centre	ISAAC based questionnaire, age 1 year Has your child ever suffered from a whistling noise in the chest?	ISAAC based questionnaire, physician diagnosed asthma ever, age 6 years Was your child ever diagnosed with asthma by a doctor?	Questionnaires 1 <sup>st</sup> -3 <sup>rd</sup> trimester of pregnancy, age 1 year, age 2 years
GENERATION XXI (Portugal)	Medical records	Medical records	From children health booklets: measured at community health centre	ISAAC based questionnaire, age 4-5 years Has your child ever suffered from a whistling noise in the chest?	ISAAC based questionnaire, physician diagnosed asthma ever, age 4-5 years Was your child ever diagnosed with asthma by a doctor?	Face-to-face structured questionnaires: birth, age 15 months, age 4-5 years
HUMIS (Norway)	Medical Birth Registry	Medical Birth Registry (136 newborns were intentionally oversampled, which results in a higher rate of preterm in the cohort than in the Norwegian population)	Maternal reports on measurement from community health centers	Has your child had any of the following diseases bronchitis? RS virus? (*In Norway there is no word for wheeze). Doctor-diagnosed? (yes/no)	NA	MBR & questionnaire 1 month after delivery
INMA Gipuzkoa (Spain)	Midwife	Self reported and confirmed by ultrasound from hospital records	Measured using a mechanical personal scale	ISAAC based questionnaire, age 1 year Has your child ever suffered from a whistling noise in the chest?	NA	Questionnaires 1 <sup>st</sup> -3 <sup>rd</sup> trimester of pregnancy, age 1 year
INMA Menorca (Spain)	Midwife or gynaecologist record	Midwife or gynaecologist record	Obtained from medical records	ISAAC based questionnaire, age 1 year Has your child ever suffered from a whistling noise in the chest?	ISAAC based questionnaire, physician diagnosed asthma ever, age 6 years Was your child ever diagnosed with asthma by a doctor?	Questionnaires of pregnancy, yearly 1 to 4 years, age 6 years



**Table E2.1.1.** Data collection on early growth characteristics and respiratory outcomes per cohort (continued)

Cohort name (country)	Birth weight	Gestational age	Weight at 1 year	Preschool wheezing	School-age asthma	Covariates and intermediates
INMA Sabadell (Spain)	Midwife	Self reported and confirmed by ultrasound from hospital records	Measured using a mechanical personal scale	ISAAC based questionnaire, age 1 year Has your child ever suffered from a whistling noise in the chest?	NA	Questionnaires 1 <sup>st</sup> -3 <sup>rd</sup> trimester of pregnancy, age 1 year, age 2, 3-4 years
INMA Valencia (Spain)	Midwife	Self reported and confirmed by ultrasound from hospital records	Measured using a mechanical personal scale	ISAAC based questionnaire, age 1 year Has your child ever suffered from a whistling noise in the chest?	NA	Questionnaires 1 <sup>st</sup> -3 <sup>rd</sup> trimester of pregnancy, age 1 year, age 2 years
ISLE OF WIGHT (United Kingdom)	Midwife or gynaecologist record	Midwife or gynaecologist record	Measured at research clinic	Questionnaire Has your child had wheeze in the last 12 months?	ISAAC based questionnaire, physician diagnosed asthma ever, age 10 and 18 years	Questionnaires at birth, age 1 year, age 2 years, age 4 years, age 10 years and age 18 years
KOALA (The Netherlands)	Midwife records and parental questionnaire	Midwife records and parental questionnaire	Parental questionnaire	ISAAC based questionnaire, age 7 and 12 months Has your child ever (or since last follow-up) suffered from a whistling noise in the chest?	ISAAC based questionnaire, physician diagnosed asthma ever, age 6-7 years Was your child ever diagnosed with asthma by a doctor?	Questionnaires 34 weeks of pregnancy, age 7 months, age 1, 2, 4-5, 6-7 years
LEICESTER 1990 (United Kingdom)	Leicestershire Health Authority Child Health Database: Birth notification and perinatal details	Leicestershire Health Authority Child Health Database: Birth notification and perinatal details	NA	Has your child ever had attacks of wheezing?	Has any doctor or hospital told you that he/she has asthma or bronchitis?	Questionnaires 1990 (1-5 yrs old) and in 1998 (8-13 yrs)

Table E2.1.1. Data collection on early growth characteristics and respiratory outcomes per cohort (continued)

Cohort name (country)	Birth weight	Gestational age	Weight at 1 year	Preschool wheezing	School-age asthma	Covariates and intermediates
LEICESTER 1998 (United Kingdom)	Leicestershire Health Authority Child Health Database: Birth notification and perinatal details	Leicestershire Health Authority Child Health Database: Birth notification and perinatal details	Leicestershire Health Authority Child Health Database: Health visitor records	Cohort 1998a: Has your child ever had attacks of wheezing? Cohort 1998b: ISAAC based questionnaire, age 1 year Has your child ever suffered from a whistling noise in the chest?	Have you ever been told by a doctor or nurse that your child had asthma?	Questionnaires in 1998 (1-4 yrs) and in 2003 (6-10 yrs)
LIFEWAYS (Ireland)	Maternal and neonatal hospital records	Maternal and neonatal hospital records	NA	NA	ISAAC adapted question – asthma diagnosed at age 5 years and or age 10 years 'Has a diagnosis of asthma ever been made in your Lifeways child?'	Baseline questionnaire at ante-natal stage, mother and baby hospital records, questionnaires year 5 and year 10 follow-up
MAS (Germany)	Infant's "yellow booklet"	Gynaecologist record in yellow booklet	Follow-up at centre	ISAAC based questionnaire	ISAAC based questionnaire	Interviews and questionnaires
NINFEA (Italy)	Questionnaire completed by the mothers at 6 months of age of the child	Questionnaire completed by the mothers during pregnancy and at 6 months of age of the child	Questionnaire completed by the mothers at 18 months of age of the child	ISAAC based questionnaire: Age 6 months "Did your child experience episodes of wheezing in the first 6 months of life?" Age 18 months "Did your child experience episodes of wheezing between 6 and 18 months of life?"	NA	Questionnaires during pregnancy, age 6 months, age 18 months

**Table E2.1.1.** Data collection on early growth characteristics and respiratory outcomes per cohort (continued)

Cohort name (country)	Birth weight	Gestational age	Weight at 1 year	Preschool wheezing	School-age asthma	Covariates and intermediates
PCB (Slovakia)	Birth record	Gynaecologist record	Weight at 6 and 16 months – measured by the regional paediatrician	Pediatric report, age 45 months; Wheezing associated with bronchitis, or pneumonia within the last year	NA	Questionnaires at delivery, age 6 and 16 months
PIAMA (The Netherlands)	Parental reported in questionnaire.	Parental reported in questionnaire. During pregnancy the mother reported the expected date of birth (which, in most cases, she must have obtained from a calculation made in the antenatal clinic based on last menstrual period). At about 3 months after birth, parents reported the actual date of birth	Parental reported in questionnaire. In some cases copied from records obtained from well baby clinic (JGZ); otherwise measured by the parents themselves	Parental reported in questionnaire	Parental reported in questionnaire	Parental reported in questionnaire
REPRO PL (Poland)	Midwife or gynaecologist record	Midwife or gynaecologist record	Measured at medical centre	ISAAC based questionnaire, age 1 and at 2 years: Has you child ever suffered from a whistling noise in the chest?	NA	Questionnaires 1 <sup>st</sup> , 2 <sup>nd</sup> and 3 <sup>rd</sup> trimester of pregnancy, age 1 year, age 2 years
RHEA (Greece)	Midwife or gynaecologist record	Midwife or gynaecologist record	Parental answered questionnaire	ISAAC based questionnaire, Has your child ever had wheezing or whistling in the chest since birth?	NA	Questionnaires 1 <sup>st</sup> -3 <sup>rd</sup> trimester of pregnancy, age 9 months,

**Table E2.1.1.** Data collection on early growth characteristics and respiratory outcomes per cohort (continued)

Cohort name (country)	Birth weight	Gestational age	Weight at 1 year	Preschool wheezing	School-age asthma	Covariates and intermediates
SEATON (United Kingdom)	Database of birth records	Database of birth records	NA	ISAAC based questionnaire, age 1 year Has your child ever suffered from a whistling noise in the chest?	ISAAC based questionnaire, physician diagnosed asthma ever, age 5 years Has your child ever been diagnosed with asthma by a doctor?	Questionnaires: 1 <sup>st</sup> trimester of pregnancy, age 6 months, 1, 2 and 5 years
SWS (United Kingdom)	Measurement recorded at birth	Detailed algorithm based on LMP and where necessary fetal ultrasound data.	Measured by research nurse in the infant's home	ISAAC-based questionnaire at 6, 12 and 36 months of life: Has your child had any episodes of chestiness associated with wheezing or whistling in his/her chest? (includes wheezy bronchitis, asthma)	ISAAC questionnaire at 6 years Has your children ever had asthma? If yes, Was asthma diagnosed by a doctor?	Questionnaires at 11 and 34 weeks gestation and at 6, 12 and 36 months of life
WHISTLER (The Netherlands)	Reported by the parents (as reported in the midwife or gynaecologist record)	Reported by the parents (as reported in the midwife or gynaecologist record)	Reported by the parents (measured at community health centre)	Daily questionnaire during first year of life. Did your child wheeze today (whistling sound from the chest, not from the upper airways/throat)? ICPC codes reported by the GP	ISAAC based questionnaire, physician diagnosed asthma ever, age 5 years. Was your child ever diagnosed with asthma by a doctor? ICPC codes reported by the GP	Questionnaires age 3-8 weeks

**Table E2.1.2.** Characteristics of cohorts: determinants

Cohort name (country)	N	Birth years	Preterm birth	Low birth weight	Birth weight (SDS)
	147,252		% (n)	% (n)	mean (SD)
ABIS (Sweden)	6,829	1997-1998	4.1 (278)	2.8 (188)	0.31 (1.0)
ALSPAC (United Kingdom)	12,485	1991-1992	5.9 (738)	5.1 (626)	0.03 (1.0)
BILD (Switzerland)	432	1999	1.9 (8)	1.6 (7)	-0.14 (1.0)
CONER (Italy)	389	2004-2005	4.9 (19)	3.1 (12)	-0.09 (0.9)
COPSAC (Denmark)	384	1998-2001	2.9 (11)	1.8 (7)	0.12 (1.0)
CZECH (Czech)	1,830	2001-2004	5.7 (102)	5.4 (98)	-0.13 (1.0)
DNBC (Denmark)	76,810	1996-2001	4.3 (3,338)	2.8 (2,033)	0.27 (1.0)
EDEN (France)	1,774	2003-2005	5.4 (95)	5.0 (89)	-0.20 (0.9)
GASPII (Italy)	694	2003-2004	6.1 (42)	5.9 (41)	-0.10 (1.0)
GECKO Drenthe (The Netherlands)	1,718	2006-2007	4.5 (74)	2.7 (45)	0.25 (1.0)
GENERATION R (The Netherlands)	5,815	2002-2006	5.7 (330)	5.3 (306)	-0.04 (1.0)
GENERATION XXI (Portugal)	7,053	2005-2006	9.3 (656)	9.2 (651)	-0.20 (0.9)
HUMIS (Norway)	2,001	2003-2008	10.5 (171)	6.8 (136)	0.28 (1.1)
INMA Gipuzkoa (Spain)	478	2006-2008	3.4 (16)	4.7 (22)	-0.33 (0.9)
INMA Menorca (Spain)	474	1997-1998	4.9 (23)	6.5 (31)	-0.44 (1.0)
INMA Sabadell (Spain)	502	2004-2007	3.0 (15)	4.2 (21)	-0.44 (0.9)
INMA Valencia (Spain)	604	2003-2005	4.6 (28)	5.1 (31)	-0.41 (1.0)
ISLE OF WIGHT (United Kingdom)	1,405	1989-1990	2.8 (40)	3.8 (53)	-0.15 (1.0)
KOALA (The Netherlands)	2,151	2000-2003	2.9 (63)	2.4 (51)	0.11 (1.0)
LEICESTER 1990 (United Kingdom)	1,231	1990	5.6 (24)	5.7 (70)	-0.00 (1.0)
LEICESTER 1998 (United Kingdom)	6,836	1998	6.4 (437)	7.3 (497)	-0.14 (1.1)
LIFEWAYS (Ireland)	421	2001-2002	4.4 (17)	4.3 (18)	0.16 (1.1)
MAS (Germany)	1,263	1990	3.1 (38)	2.7 (34)	-0.17 (0.9)
NINFEA (Italy)	1,922	2005-2010	7.3 (140)	6.4 (120)	-0.38 (1.0)
PCB (Slovakia)	429	2001-2004	1.2 (5)	3.5 (15)	-0.26 (1.0)
PIAMA (The Netherlands)	3,631	1996-1997	4.8 (173)	3.4 (122)	0.13 (1.0)
REPRO PL (Poland)	314	2007-2011	5.1 (16)	3.8 (11)	-0.03 (1.0)
RHEA (Greece)	1,046	2007-2008	11.9 (124)	5.1 (53)	-0.01 (0.9)
SEATON (United Kingdom)	1,891	1997	7.8 (148)	5.3 (97)	0.10 (1.0)
SWS (United Kingdom)	2,291	1998-2007	6.3 (145)	4.3 (98)	0.00 (1.0)
WHISTLER (The Netherlands)	2,149	2001-2012	3.3 (70)	2.7 (57)	0.16 (1.0)

Preterm birth was defined as a gestational age < 37 weeks, low birth weight was defined as a birth weight < 2500 grams.

Table E2.1.3. Characteristics of cohorts: confounders

Cohort name (country)	Educational level			Prenatal smoke			Maternal asthma			Postnatal smoke			Sex			Siblings			Day care		
	Low	Medium	High	Yes	Missing	Yes	Yes	Missing	Yes	Yes	Missing	Female	Missing	Yes	Yes	Missing	Yes	Yes	Yes	Missing	
ABIS (Sweden)	6.3 (430)	58.5 (3,983)	35.2 (2,396)	8.0 (545)	0.3 (20)	0.4 (24)	-	-	10.6 (715)	0.8 (56)	48.4 (3,303)	0	0	60.2 (4,098)	0.4 (24)	0.4 (223)	3.6 (223)	9.2 (629)			
ALSPAC (United Kingdom)	63.7 (7,488)	23.0 (2,701)	13.3 (1,566)	23.7 (2,862)	5.8 (730)	3.4 (425)	11.4 (1,343)	5.8 (723)	23.9 (2,657)	11.0 (1,376)	48.3 (6,031)	0	0	55.1 (6,526)	5.1 (631)	5.1 (374)	3.0 (374)	12.3 (1,535)			
BILD (Switzerland)	33.1 (139)	37.9 (159)	29.0 (122)	9.5 (41)	2.8 (12)	0 (0)	10.2 (44)	0.5 (2)	-	-	54.2 (234)	0	0	53.5 (230)	0.5 (2)	0.5 (2)	-	-			
CONER (Italy)	15.5 (60)	46.1 (179)	38.4 (149)	11.3 (43)	0.3 (1)	2.1 (8)	7.5 (29)	0 (0)	6.9 (27)	0 (0)	49.4 (192)	0	0	43.4 (169)	0 (0)	0 (0)	-	-			
COPSAC (Denmark)	59.8 (220)	26.1 (96)	14.1 (52)	14.6 (56)	4.2 (16)	0 (0)	100 (384)	0 (0)	18.9 (66)	8.9 (34)	50.0 (192)	0	0	40.6 (152)	2.6 (10)	2.6 (206)	56.3 (206)	4.7 (18)			
CZECH (Czech)	13.6 (249)	35.7 (652)	50.7 (925)	16.5 (302)	0.2 (4)	0.1 (2)	3.3 (60)	0.1 (1)	21.6 (395)	0.1 (2)	49.1 (899)	0	0	50.2 (918)	0.1 (2)	0.1 (2)	-	-			
DNBC (Denmark)	8.8 (6,466)	37.6 (27,580)	53.6 (39,317)	24.0 (18,414)	4.5 (3,447)	4.2 (3,227)	8.4 (6,186)	4.3 (3,295)	18.0 (11,151)	19.4 (14,925)	48.9 (37,566)	0	0	53.5 (39,387)	4.2 (3,233)	4.2 (58,492)	89.0 (58,492)	14.5 (11,120)			
EDEN (France)	6.1 (107)	61.1 (1,067)	32.7 (571)	25.9 (456)	1.6 (29)	0.9 (16)	10.9 (192)	0.3 (5)	19.8 (351)	0 (0)	48.0 (851)	0	0	68.7 (43)	22.7 (402)	12.0 (212)	0 (0)	0 (0)			
GASPII (Italy)	13.6 (94)	50.4 (348)	35.9 (248)	12.5 (86)	0.6 (4)	0.6 (4)	11.0 (76)	0 (0)	3.6 (25)	0 (0)	49.7 (345)	0	0	41.3 (286)	0.1 (1)	0.1 (31)	4.5 (31)	0 (0)			
GECKO Drenthe (The Netherlands)	0.7 (8)	62.7 (698)	36.6 (408)	14.3 (238)	35.2 (604)	3.4 (58)	-	-	14.6 (202)	19.7 (339)	49.4 (843)	0.6 (11)	0.6 (11)	69.2 (1,072)	9.8 (169)	9.8 (515)	32.6 (515)	8.0 (137)			
GENERATION R (The Netherlands)	6.4 (352)	38.7 (2,135)	54.9 (3,027)	13.7 (715)	5.2 (301)	10.1 (585)	7.7 (393)	11.7 (683)	14.6 (268)	68.4 (3,978)	50.3 (2,924)	0	0	42.0 (2,375)	2.9 (166)	2.9 (2,677)	58.0 (2,677)	20.6 (1,197)			
GENERATION XXI (Portugal)	22.8 (1,594)	51.7 (3,614)	25.5 (1,786)	13.5 (900)	0.8 (59)	5.2 (365)	5.3 (359)	4.4 (307)	-	-	49.1 (3,464)	0	0	42.0 (2,906)	1.8 (129)	1.8 (194)	21.6 (194)	87.3 (6,156)			

Table E2.1.3. Characteristics of cohorts: confounders (continued)

Cohort name (country)	Educational level	Prenatal smoke	Maternal asthma	Postnatal smoke	Sex	Siblings	Day care									
HUMIS (Norway)	14.2 (279)	23.2 (455)	62.6 (1,226)	2.0 (41)	12.2 (243)	0.7 (15)	7.0 (138)	1.0 (20)	8.7 (116)	33.3 (666)	48.0 (892)	0 (0)	60.5 (982)	18.9 (379)	18.3 (342)	6.8 (137)
INMA Gipuzkoa (Spain)	12.6 (60)	37.4 (179)	50.0 (239)	0 (0)	24.9 (116)	2.5 (12)	6.5 (31)	0 (0)	20.0 (95)	0.4 (2)	51.4 (245)	0 (0)	44.1 (211)	0 (0)	47.1 (224)	0.4 (2)
INMA Menorca (Spain)	58.5 (268)	28.2 (129)	13.3 (61)	3.4 (16)	37.6 (178)	0 (0)	5.9 (28)	0.2 (1)	30.0 (142)	0 (0)	48.5 (230)	0 (0)	50.8 (241)	0 (0)	23.5 (110)	1.1 (5)
INMA Sabadell (Spain)	25.7 (128)	43.1 (215)	31.3 (156)	0.6 (3)	30.2 (150)	1.2 (6)	8.2 (41)	0.2 (1)	27.6 (137)	1.0 (5)	47.0 (236)	0 (0)	43.8 (219)	0.4 (2)	32.3 (160)	1.2 (6)
INMA Valencia (Spain)	30.1 (182)	44.4 (268)	25.5 (154)	0 (0)	40.7 (246)	0 (0)	8.0 (48)	0.2 (1)	31.8 (189)	1.5 (9)	47.4 (286)	0 (0)	45.2 (273)	0 (0)	22.1 (132)	1.3 (8)
ISLE OF WIGHT (United Kingdom)	0 (0)	0 (0)	- (0)	- (0)	23.3 (325)	0.7 (10)	10.2 (143)	0.4 (6)	- (0)	- (0)	49.4 (694)	0 (0)	51.1 (597)	16.9 (237)	- (0)	- (0)
KOALA (The Netherlands)	3.8 (81)	45.5 (967)	50.7 (1,077)	1.2 (26)	6.1 (131)	0.3 (6)	9.4 (201)	0.9 (20)	- (0)	- (0)	49.3 (1,061)	0 (0)	55.4 (1,181)	0.9 (19)	60.3 (1,289)	0.6 (13)
LEICESTER 1990 (United Kingdom)	0 (0)	0 (0)	- (0)	- (0)	30.3 (363)	2.6 (32)	- (0)	- (0)	- (0)	- (0)	49.4 (608)	0 (0)	63.6 (272)	65.2 (803)	- (0)	- (0)
LEICESTER 1998 (United Kingdom)	42.0 (1,198)	35.6 (1,016)	22.4 (640)	58.3 (3,982)	16.1 (1,000)	8.9 (607)	19.9 (1,218)	10.6 (728)	- (0)	- (0)	48.1 (3,289)	0 (0)	58.6 (3,882)	3.0 (206)	- (0)	- (0)
LIFEWAYS (Ireland)	0 (0)	1.1 (2)	98.9 (174)	58.2 (245)	18.3 (77)	0.2 (1)	- (0)	- (0)	- (0)	- (0)	53.7 (226)	0 (0)	57.7 (239)	1.7 (7)	16.4 (69)	0 (0)
MAS (Germany)	9.2 (112)	53.5 (650)	37.3 (454)	3.7 (47)	25.4 (320)	0.4 (5)	8.2 (102)	1.3 (16)	28.4 (351)	2.1 (27)	47.7 (603)	0 (0)	40.8 (465)	9.8 (124)	6.6 (73)	12.4 (156)
NINFEA (Italy)	4.1 (78)	35.6 (678)	60.3 (1,146)	1.0 (20)	8.7 (166)	0.5 (9)	8.0 (148)	3.9 (75)	7.8 (146)	3.1 (60)	49.9 (959)	0 (0)	22.6 (434)	0 (0)	26.2 (474)	5.8 (112)
PCB (Slovakia)	43.6 (186)	50.8 (217)	5.6 (24)	0.5 (2)	- (0)	- (0)	1.8 (6)	24.0 (103)	- (0)	- (0)	50.3 (216)	0 (0)	59.4 (255)	0 (0)	- (0)	- (0)

Table E2.1.3. Characteristics of cohorts: confounders (continued)

Cohort name (country)	Educational level		Prenatal smoke	Maternal asthma	Postnatal smoke	Sex	Siblings	Day care								
PIAMA (The Netherlands)	22.6 (814)	42.0 (1,512)	35.4 (1,275)	0.8 (30)	16.9 (610)	0.6 (23)	7.1 (256)	0.2 (7)	13.7 (492)	0.7 (27)	48.3 (1,752)	0 (0)	50.3 (1,826)	0.1 (2)	56.3 (2,022)	1.1 (40)
REPRO PL (Poland)	5.7 (18)	30.9 (97)	63.4 (199)	0 (0)	12.1 (38)	0 (0)	1.9 (6)	0 (0)	14.1 (44)	1.0 (3)	51.9 (163)	0 (0)	41.1 (129)	0 (0)	5.9 (18)	2.5 (8)
RHEA (Greece)	18.2 (182)	51.3 (513)	30.5 (305)	4.4 (46)	21.8 (218)	4.6 (48)	3.0 (29)	8.3 (87)	28.7 (298)	0.7 (7)	49.9 (522)	0 (0)	59.3 (595)	4.1 (43)	2.4 (25)	0.2 (2)
SEATON (United Kingdom)	30.4 (463)	31.0 (472)	38.6 (587)	19.5 (369)	29.6 (559)	0.1 (1)	16.7 (315)	0.1 (1)	14.6 (211)	23.5 (445)	49.8 (897)	4.7 (88)	45.7 (865)	0 (0)	44.6 (417)	50.6 (957)
SWS (United Kingdom)	41.6 (951)	30.1 (687)	28.3 (646)	0.3 (7)	16.9 (376)	3.0 (68)	23.9 (510)	6.9 (157)	19.5 (440)	1.3 (30)	45.8 (1,050)	0 (0)	50.9 (1,164)	0.1 (2)	-	-
WHISTLER (The Netherlands)	7.8 (134)	26.5 (452)	65.7 (1,122)	20.5 (441)	6.0 (130)	0.1 (3)	8.5 (144)	21.0 (452)	-	-	50.6 (1,087)	0 (1)	53.1 (1,129)	1.0 (22)	71.0 (1,368)	10.3 (222)

Values are valid percentages (absolute numbers) for the information of the confounders, and percentages (absolute numbers) for the amount of missing data



**Table E2.1.4.** Characteristics of cohorts: intermediates

	Cohort name (country)	Ever breastfed		Lower respiratory tract infections		Eczema	
		Yes	Missing	Yes	Missing	Yes	Missing
4.	ABIS (Sweden)	-	-	6.5 (379)	14.1 (962)	22.4 (1,270)	17.0 (1,164)
5.	ALSPAC (United Kingdom)	73.9 (8,053)	12.7 (1,585)	-	-	45.2 (5,024)	10.9 (1,365)
7.	BILD (Switzerland)	-	-	-	-	-	-
9.	CONER (Italy)	90.6 (349)	1.0 (4)	29.6 (115)	0 (0)	18.3 (71)	0 (0)
10.	COPSAC (Denmark)	96.9 (370)	0.5 (2)	45.2 (169)	2.6 (10)	39.6 (128)	15.9 (61)
12.	CZECH (Czech)	90.4 (1,652)	0.1 (2)	38.5 (704)	0 (0)	14.5 (266)	0 (0)
14.	DNBC (Denmark)	99.1 (53,336)	29.9 (22,995)	20.1 (13,157)	14.9 (11,430)	9.2 (5,495)	22.2 (17,016)
15.	EDEN (France)	70.9 (1,225)	0.2 (4)	56.0 (993)	0 (0)	38.0 (657)	0 (0)
17.	GASPII (Italy)	88.6 (615)	0 (0)	25.6 (178)	0 (0)	21.9 (152)	0.1 (1)
18.	GECKO Drenthe (The Netherlands)	81.5 (1,396)	0.3 (6)	-	-	19.1 (96)	70.8 (1,216)
20.	GENERATION R (The Netherlands)	92.1 (5,141)	4.0 (230)	14.0 (749)	8.1 (471)	12.9 (636)	15.0 (873)
22.	GENERATION XXI (Portugal)	92.9 (6,503)	0.7 (51)	26.6 (233)	87.6 (6,177)	10.7 (74)	90.2 (6,359)
23.	HUMIS (Norway)	98.5 (1,685)	14.5 (290)	19.5 (390)	0 (0)	26.1 (522)	0.1 (2)
25.	INMA Gipuzkoa (Spain)	84.4 (342)	15.3 (73)	-	-	-	-
27.	INMA Menorca (Spain)	82.3 (390)	0 (0)	45.9 (188)	13.5 (64)	38.3 (146)	14.1 (67)
28.	INMA Sabadell (Spain)	86.9 (370)	15.1 (76)	63.7 (312)	2.4 (12)	36.7 (178)	3.4 (17)
30.	INMA Valencia (Spain)	70.7 (353)	17.4 (105)	47.6 (280)	2.6 (16)	30.9 (186)	0.3 (2)
32.	ISLE OF WIGHT (United Kingdom)	77.6 (972)	10.9 (153)	8.0 (95)	15.7 (220)	24.9 (295)	15.8 (222)
33.	KOALA (The Netherlands)	85.5 (1,840)	0 (0)	-	-	32.0 (681)	0 (0)
35.	LEICESTER 1990 (United Kingdom)	55.9 (264)	61.7 (759)	-	-	-	-
37.	LEICESTER 1998 (United Kingdom)	60.0 (3,829)	6.7 (455)	19.0 (754)	41.8 (2,860)	-	-

**Table E2.1.4.** Characteristics of cohorts: intermediates (continued)

Cohort name (country)	Ever breastfed		Lower respiratory tract infections		Eczema	
	Yes	Missing	Yes	Missing	Yes	Missing
LIFEWAYS (Ireland)	61.8 (260)	0 (0)	-	-	-	-
MAS (Germany)	91.6 (1,155)	0.2 (2)	38.1 (368)	23.6 (298)	-	-
NINFEA (Italy)	90.4 (1,689)	2.8 (53)	24.7 (439)	7.4 (143)	24.3 (493)	6.0 (115)
PCB (Slovakia)	2.1 (9)	0.9 (4)	-	-	-	-
PIAMA (The Netherlands)	82.5 (2,962)	1.1 (39)	22.9 (793)	4.7 (169)	23.5 (832)	2.7 (97)
REPRO PL (Poland)	93.9 (295)	0 (0)	40.8 (128)	0 (0)	20.2 (63)	0.6 (2)
RHEA (Greece)	85.2 (890)	0.1 (1)	21.5 (225)	0 (0)	13.6 (140)	2.5 (58)
SEATON (United Kingdom)	69.9 (1,117)	15.4 (292)	-	-	26.3 (441)	11.4 (216)
SWS (United Kingdom)	81.5 (1,809)	3.1 (72)	25.4 (569)	2.3 (53)	13.6 (304)	2.5 (58)
WHISTLER (The Netherlands)	77.5 (1,660)	0.4 (8)	35.7 (755)	1.7 (36)	24.3 (513)	1.7 (36)

Values are valid percentages (absolute numbers) for the information of the intermediates, and percentages (absolute numbers) for the amount of missing data

**Table E2.1.5.** Confounder models of associations of birth weight, gestational age and infant weight gain with preschool wheezing and school-age asthma

	Pooled odds ratios random effects	Q-value	p-value	I <sup>2</sup>
<b>Preschool wheezing</b>				
Gestational age at birth (week)	0.95 (0.94, 0.95)***	27.06	0.515	0.00
Gestational age at birth, adjusted for birth weight (week)	0.95 (0.94, 0.95)***	24.79	0.640	0.00
Preterm birth (<37 weeks vs. >=37 weeks)	1.34 (1.25, 1.43)***	27.75	0.424	2.70
Birth weight (500 gram)	0.95 (0.93, 0.96)***	32.57	0.252	14.02
Birth weight, adjusted for gestational age at birth (500 gram)	1.00 (0.98, 1.02)	30.84	0.324	9.20
Low birth weight (<2500 grams vs. >= 2500 grams)	1.37 (1.27, 1.27)***	27.27	0.343	8.32
Low birth weight, adjusted for gestational age at birth (<2500 grams vs. >= 2500 grams)	1.10 (1.00, 1.21)*	29.48	0.244	15.20
Infant weight gain (100 gram per month)	1.13 (1.10, 1.15)***	37.03	0.057	32.48
<b>School-age asthma</b>				
Gestational age at birth (week)	0.94 (0.91, 0.95)***	32.95	0.017	45.37
Gestational age at birth, adjusted for birth weight (week)	0.94 (0.91, 0.97)***	45.36	<0.001	60.31
Preterm birth (<37 weeks vs. >=37 weeks)	1.40 (1.18, 1.67)***	29.07	0.034	41.52
Birth weight (500 gram)	0.92 (0.90, 0.95)***	26.30	0.093	31.55
Birth weight, adjusted for gestational age at birth (500 gram)	0.99 (0.94, 1.04)	38.28	0.004	52.97
Low birth weight (<2500 grams vs. >= 2500 grams)	1.54 (1.40, 1.69)***	11.47	0.718	0.00
Low birth weight, adjusted for gestational age at birth (<2500 grams vs. >= 2500 grams)	1.13 (1.01, 1.27)*	10.50	0.787	0.00
Infant weight gain (100 gram per month)	1.10 (1.04, 1.16)***	39.52	0.001	62.04

Values are pooled odds ratios (95% Confidence Interval) from random effect models. Models are adjusted for maternal educational level, smoking during pregnancy, history of asthma, and smoking during infancy, and for child's sex, siblings, and attending day care. Infant weight gain is additionally adjusted for gestational age at birth and birth weight. \*p<0.05, \*\*p<0.01, \*\*\*p<0.001

**Table E2.1.6.** Crude models of associations of birth weight, gestational age and infant weight gain with preschool wheezing and school-age asthma

	Pooled odds ratios random effects	Q-value	p-value	I <sup>2</sup>
	<b>Preschool wheezing</b>			
Gestational age at birth (week)	0.95 (0.94, 0.96)**	30.30	0.349	7.58
Gestational age at birth, adjusted for birth weight (week)	0.95 (0.94, 0.96)**	31.57	0.293	11.29
Preterm birth (<37 weeks vs. ≥=37 weeks)	1.30 (1.23, 1.38)**	24.55	0.600	0.00
Birth weight (500 gram)	0.96 (0.94, 0.97)**	40.70	0.057	31.20
Birth weight, adjusted for gestational age at birth (500 gram)	1.01 (0.98, 1.03)	43.25	0.032	35.40
Low birth weight (<2500 grams vs. ≥= 2500 grams)	1.34 (1.24, 1.45)**	29.82	0.231	16.17
Low birth weight, adjusted for gestational age at birth (<2500 grams vs. ≥= 2500 grams)	1.08 (0.98, 1.19)	30.40	0.210	17.77
Infant weight gain (100 gram per month)	1.12 (1.09, 1.15)***	38.92	0.038	35.77
	<b>School-age asthma</b>			
Gestational age at birth (week)	0.93 (0.91, 0.95)**	41.01	0.002	56.11
Gestational age at birth, adjusted for birth weight (week)	0.94 (0.91, 0.97)**	46.96	0.000	61.68
Preterm birth (<37 weeks vs. ≥=37 weeks)	1.41 (1.18, 1.68)**	29.94	0.027	43.21
Birth weight (500 gram)	0.92 (0.89, 0.95)**	36.86	0.005	51.17
Birth weight, adjusted for gestational age at birth (500 gram)	0.98 (0.93, 1.03)	41.54	0.001	56.67
Low birth weight (<2500 grams vs. ≥= 2500 grams)	1.55 (1.38, 1.75)**	17.66	0.281	15.07
Low birth weight, adjusted for gestational age at birth (<2500 grams vs. ≥= 2500 grams)	1.18 (1.05, 1.32)**	9.89	0.827	0.00
Infant weight gain (100 gram per month)	1.11 (1.05, 1.17)***	38.29	0.001	60.83

Values are pooled odds ratios (95% Confidence Interval) from random effect models. Models are adjusted for child's sex. Infant weight gain is additionally adjusted for gestational age at birth and birth weight. \* p<0.05, \*\*p<0.01, \*\*\*p<0.001

**Table E2.1.7.** Intermediates models of associations of birth weight, gestational age and infant weight gain with preschool wheezing and school-age asthma

	Pooled odds ratios random effects model	Q-value	p-value	I <sup>2</sup>
<b>Preschool wheezing</b>				
Gestational age at birth (week)	0.95 (0.95, 0.96)**	22.82	0.695	0.00
Gestational age at birth, adjusted for birth weight (week)	0.95 (0.95, 0.96)**	22.17	0.729	0.00
Preterm birth (<37 weeks vs. >=37 weeks)	1.27 (1.19, 1.36)**	26.22	0.451	0.84
Birth weight (500 gram)	0.96 (0.94, 0.97)**	27.77	0.423	2.77
Birth weight, adjusted for gestational age at birth (500 gram)	1.00 (0.98, 1.02)	28.14	0.404	4.04
Low birth weight (<2500 grams vs. >= 2500 grams)	1.32 (1.23, 1.43)**	25.20	0.395	4.75
Low birth weight, adjusted for gestational age at birth (<2500 grams vs. >= 2500 grams)	1.09 (0.99, 1.19)	27.13	0.298	11.54
Infant weight gain (100 gram per month)	1.12 (1.09, 1.15)***	33.44	0.120	25.24
<b>School-age asthma</b>				
Gestational age at birth (week)	0.94 (0.92, 0.96)**	33.41	0.015	46.13
Gestational age at birth, adjusted for birth weight (week)	0.94 (0.91, 0.97)**	41.41	0.001	56.53
Preterm birth (<37 weeks vs. >=37 weeks)	1.36 (1.14, 1.63)**	29.01	0.034	41.39
Birth weight (500 gram)	0.93 (0.90, 0.96)**	29.24	0.046	38.43
Birth weight, adjusted for gestational age at birth (500 gram)	0.99 (0.94, 1.04)	37.74	0.004	52.30
Low birth weight (<2500 grams vs. >= 2500 grams)	1.50 (1.36, 1.65)**	11.32	0.730	0.00
Low birth weight, adjusted for gestational age at birth (<2500 grams vs. >= 2500 grams)	1.12 (1.00, 1.26)	9.80	0.832	0.00
Infant weight gain (100 gram per month)	1.09 (1.03, 1.15)**	36.15	0.002	58.50

Values are pooled odds ratios (95% Confidence Interval) from random effect models. Models are adjusted for maternal educational level, smoking during pregnancy, history of asthma, and smoking during infancy, and for child's sex, siblings, attending day care, breastfeeding status, lower respiratory tract infections, and eczema. Infant weight gain is additionally adjusted for gestational age at birth and birth weight. \*p<0.05, \*\*p<0.01, \*\*\*p<0.001

**Table E2.1.8.** Associations of gestational age, birth weight, and infant weight gain with preschool wheezing in all countries (as presented in Figure 2 in the main manuscript), and in North-West European cohorts only

		TOTAL EUROPE			NORTH-WEST EUROPE		
		(n = 129,813)			(n = 117,352)		
		n	Odds Ratio (95% CI)	p-value	n	Odds Ratio (95% CI)	p-value
<b>Gestational age</b>	<b>Birth weight</b>						
<b>&lt;32 weeks</b>	<b>&lt;-2 SD</b>	19	2.59 (1.02, 6.53)	0.044	18	2.99 (1.16, 7.70)	0.024
	<b>-2 to -1 SD</b>	71	0.98 (0.58, 1.65)	0.932	59	1.17 (0.67, 2.06)	0.582
	<b>-1 to 1 SD</b>	325	2.18 (1.73, 2.74)	<0.001	282	2.47 (1.93, 3.15)	<0.001
	<b>1 to 2 SD</b>	42	2.16 (1.15, 4.07)	0.017	39	1.97 (1.02, 3.78)	0.043
	<b>&gt;=2 SD</b>	10	1.31 (0.32, 5.35)	0.711	8	1.74 (0.39, 7.84)	0.470
<b>32-36 weeks</b>	<b>&lt;-2 SD</b>	93	1.32 (0.85, 2.05)	0.213	80	1.20 (0.74, 1.94)	0.458
	<b>-2 to -1 SD</b>	360	1.47 (1.18, 1.83)	0.001	300	1.47 (1.15, 1.87)	0.002
	<b>-1 to 1 SD</b>	1992	1.54 (1.40, 1.69)	<0.001	1702	1.56 (1.40, 1.73)	<0.001
	<b>1 to 2 SD</b>	365	1.72 (1.39, 2.14)	<0.001	317	1.75 (1.93, 2.20)	<0.001
	<b>&gt;=2 SD</b>	110	1.35 (0.90, 2.01)	0.143	85	1.54 (0.98, 2.40)	0.058
<b>36-40 weeks</b>	<b>&lt;-2 SD</b>	1145	1.12 (0.98, 1.27)	0.095	955	1.14 (0.99, 1.31)	0.077
	<b>-2 to -1 SD</b>	5458	1.17 (1.10, 1.25)	<0.001	4405	1.17 (1.09, 1.25)	<0.001
	<b>-1 to 1 SD</b>	35630	1.10 (1.07, 1.14)	<0.001	30422	1.10 (1.06, 1.14)	<0.001
	<b>1 to 2 SD</b>	7806	1.10 (1.04, 1.16)	<0.001	7232	1.11 (1.05, 1.17)	<0.001
	<b>&gt;=2 SD</b>	1759	1.22 (1.10, 1.35)	<0.001	1671	1.20 (1.09, 1.34)	0.001
<b>&gt;=40 weeks</b>	<b>&lt;-2 SD</b>	1239	1.06 (0.94, 1.21)	0.326	1068	1.10 (0.97, 1.26)	0.147
	<b>-2 to -1 SD</b>	7303	1.05 (0.99, 1.11)	0.093	6394	1.05 (0.99, 1.11)	0.137
	<b>-1 to 1 SD</b>	46339	Reference		43077	Reference	
	<b>1 to 2 SD</b>	11092	1.03 (0.99, 1.08)	0.155	10797	1.04 (0.99, 1.09)	0.134
	<b>&gt;=2 SD</b>	2435	1.07 (0.97, 1.17)	0.176	2400	1.07 (0.97, 1.17)	0.156
<b>Gestational age</b>	<b>Weight gain</b>						
<b>&lt;32 weeks</b>	<b>&lt;500 grams</b>	87	1.89 (1.21, 2.96)	0.005	70	1.82 (1.10, 3.00)	0.020
	<b>500-600 grams</b>	178	2.35 (1.73, 3.20)	<0.001	142	2.59 (1.84, 3.64)	<0.001
	<b>600-700 grams</b>	163	1.78 (1.29, 2.46)	<0.001	144	2.08 (1.48, 2.92)	<0.001
	<b>&gt;=700 grams</b>	81	3.27 (2.06, 5.19)	<0.001	75	3.27 (2.03, 5.27)	<0.001
<b>32-36 weeks</b>	<b>&lt;500 grams</b>	314	1.21 (0.94, 1.54)	0.136	257	1.24 (0.94, 1.62)	0.127
	<b>500-600 grams</b>	839	1.39 (1.19, 1.61)	<0.001	717	1.41 (1.20, 1.65)	<0.001
	<b>600-700 grams</b>	765	1.62 (1.39, 1.88)	<0.001	673	1.61 (1.37, 1.90)	<0.001
	<b>&gt;=700 grams</b>	437	2.04 (1.68, 2.49)	<0.001	358	2.08 (1.68, 2.59)	<0.001
<b>36-40 weeks</b>	<b>&lt;500 grams</b>	12107	0.96 (0.92, 1.02)	0.166	10314	0.97 (0.92, 1.02)	0.245
	<b>500-600 grams</b>	16593	1.07 (1.02, 1.11)	0.006	14571	1.06 (1.01, 1.11)	0.014
	<b>600-700 grams</b>	9199	1.27 (1.20, 1.33)	<0.001	8084	1.27 (1.20, 1.34)	<0.001
	<b>&gt;=700 grams</b>	4069	1.51 (1.40, 1.63)	<0.001	3167	1.53 (1.41, 1.66)	<0.001
<b>&gt;=40 weeks</b>	<b>&lt;500 grams</b>	20184	0.88 (0.84, 0.92)	<0.001	18489	0.88 (0.84, 0.92)	<0.001
	<b>500-600 grams</b>	22337	Reference		21149	Reference	
	<b>600-700 grams</b>	10284	1.15 (1.10, 1.22)	<0.001	9745	1.16 (1.10, 1.22)	<0.001
	<b>&gt;=700 grams</b>	3721	1.30 (1.20, 1.40)	<0.001	3377	1.31 (1.21, 1.41)	<0.001

**Table E2.1.8.** Associations of gestational age, birth weight, and infant weight gain with preschool wheezing in all countries (as presented in Figure 2.1. in the main manuscript), and in North-West European cohorts only (continued)

		TOTAL EUROPE (n = 129,813)			NORTH-WEST EUROPE (n = 117,352)		
		n	Odds Ratio (95% CI)	p-value	n	Odds Ratio (95% CI)	p-value
<b>Birth weight</b>	<b>Weight gain</b>						
<b>&lt;-2 SD</b>	<b>&lt;500 grams</b>	550	0.92 (0.76, 1.12)	0.410	433	0.96 (0.77, 1.19)	0.693
	<b>500-600 grams</b>	804	1.09 (0.94, 1.28)	0.251	707	1.11 (0.94, 1.31)	0.210
	<b>600-700 grams</b>	462	1.18 (0.97, 1.43)	0.106	424	1.17 (0.95, 1.44)	0.137
	<b>&gt;=700 grams</b>	210	1.05 (0.79, 1.40)	0.743	177	1.08 (0.79, 1.48)	0.628
<b>-2 to -1 SD</b>	<b>&lt;500 grams</b>	3128	0.92 (0.84, 1.00)	0.055	2507	0.92 (0.84, 1.01)	0.098
	<b>500-600 grams</b>	4222	1.07 (1.00, 1.15)	0.051	3676	1.09 (1.01, 1.18)	0.032
	<b>600-700 grams</b>	2287	1.17 (1.06, 1.28)	0.001	2014	1.15 (1.04, 1.27)	0.006
	<b>&gt;=700 grams</b>	962	1.43 (1.25, 1.64)	<0.001	800	1.42 (1.23, 1.65)	<0.001
<b>-1 to 1 SD</b>	<b>&lt;500 grams</b>	21459	0.88 (0.84, 0.92)	<0.001	18951	0.88 (0.84, 0.92)	<0.001
	<b>500-600 grams</b>	27207	Reference		24781	Reference	
	<b>600-700 grams</b>	13873	1.19 (1.14, 1.24)	<0.001	12589	1.20 (1.15, 1.26)	<0.001
	<b>&gt;=700 grams</b>	5650	1.37 (1.28, 1.46)	<0.001	4665	1.39 (1.30, 1.48)	<0.001
<b>1 to 2 SD</b>	<b>&lt;500 grams</b>	5553	0.93 (0.87, 1.00)	0.048	5295	0.94 (0.88, 1.01)	0.089
	<b>500-600 grams</b>	5930	1.00 (0.94, 1.06)	0.960	5681	1.01 (0.95, 1.08)	0.687
	<b>600-700 grams</b>	2975	1.20 (1.11, 1.31)	<0.001	2831	1.21 (1.11, 1.31)	<0.001
	<b>&gt;=700 grams</b>	1189	1.52 (1.34, 1.71)	<0.001	1056	1.52 (1.33, 1.72)	<0.001
<b>&gt;=2 SD</b>	<b>&lt;500 grams</b>	1516	0.93 (0.83, 1.05)	0.243	1469	0.93 (0.82, 1.05)	0.248
	<b>500-600 grams</b>	1228	1.11 (0.98, 1.25)	0.115	1195	1.10 (0.97, 1.25)	0.153
	<b>600-700 grams</b>	563	1.29 (1.08, 1.54)	0.005	543	1.30 (1.08, 1.56)	0.005
	<b>&gt;=700 grams</b>	209	1.92 (1.45, 2.55)	<0.001	192	2.01 (1.50, 2.69)	<0.001

Values are odds ratios (95% Confidence Interval) from multi-level regression analysis. Values reflect the odds of wheezing compared with children born at term with a normal birth weight for gestational age, born at term with moderate infant weight gain, and born with a normal birth weight for gestational age and moderate infant weight gain. Models are adjusted for maternal educational level, smoking during pregnancy, history of asthma, and smoking during infancy, and for child's sex, siblings, and attending day care. Total analysis includes all cohorts, North-West includes cohorts in Northern and Western Europe according to the UN definition (<http://unstats.un.org/unsd/methods/m49/m49regin.htm#europe>, assessed 31 May 2013): Denmark, France, Germany, Ireland, Netherlands, Norway, Sweden, Switzerland, and United Kingdom.

**Table E2.1.9.** Associations of gestational age, birth weight, and infant weight gain with school-age asthma in all countries (as presented in Figure 2.1. in the main manuscript), and in North-West European cohorts only

		TOTAL EUROPE			NORTH-WEST EUROPE		
		(n = 93,124)			(n = 83,890)		
		n	Odds Ratio (95% CI)	p-value	n	Odds Ratio (95% CI)	p-value
<b>Gestational age</b>	<b>Birth weight</b>						
<b>&lt;32 weeks</b>	<b>&lt;-2 SD</b>	11	NA	NA	10	NA	NA
	<b>-2 to -1 SD</b>	55	1.59 (0.77, 3.30)	0.210	45	1.73 (0.82, 3.63)	0.148
	<b>-1 to 1 SD</b>	247	1.85 (1.33, 2.58)	<0.001	199	1.95 (1.39, 2.74)	0.000
	<b>1 to 2 SD</b>	33	3.47 (1.65, 7.31)	0.001	27	3.13 (1.38, 7.09)	0.006
	<b>&gt;=2 SD</b>	10	2.63 (0.53, 13.13)	0.238	5	1.69 (0.18, 15.75)	0.644
<b>32-36 weeks</b>	<b>&lt;-2 SD</b>	82	1.39 (0.76, 2.56)	0.286	69	1.23 (0.64, 2.37)	0.537
	<b>-2 to -1 SD</b>	243	2.42 (1.77, 3.30)	<0.001	196	2.49 (1.80, 3.45)	0.000
	<b>-1 to 1 SD</b>	1398	1.78 (1.55, 2.06)	<0.001	1148	1.79 (1.54, 2.08)	0.000
	<b>1 to 2 SD</b>	256	1.83 (1.32, 2.53)	<0.001	220	1.90 (1.36, 2.66)	0.000
	<b>&gt;=2 SD</b>	73	1.68 (0.90, 3.12)	0.103	63	1.47 (0.76, 2.88)	0.256
<b>36-40 weeks</b>	<b>&lt;-2 SD</b>	789	1.31 (1.07, 1.61)	0.009	657	1.35 (1.10, 1.67)	0.005
	<b>-2 to -1 SD</b>	3863	1.29 (1.17, 1.43)	<0.001	3087	1.33 (1.20, 1.48)	0.000
	<b>-1 to 1 SD</b>	25833	1.17 (1.11, 1.23)	<0.001	21935	1.18 (1.12, 1.24)	0.000
	<b>1 to 2 SD</b>	5723	1.25 (1.15, 1.36)	<0.001	5263	1.27 (1.16, 1.38)	0.000
	<b>&gt;=2 SD</b>	1228	1.33 (1.13, 1.57)	0.001	1151	1.32 (1.12, 1.57)	0.001
<b>&gt;=40 weeks</b>	<b>&lt;-2 SD</b>	861	1.31 (1.08, 1.58)	0.006	751	1.33 (1.10, 1.62)	0.004
	<b>-2 to -1 SD</b>	5150	1.11 (1.02, 1.22)	0.017	4551	1.10 (1.01, 1.21)	0.037
	<b>-1 to 1 SD</b>	33932	Reference		31552	Reference	
	<b>1 to 2 SD</b>	8221	0.99 (0.92, 1.07)	0.891	7986	1.00 (0.92, 1.08)	0.976
	<b>&gt;=2 SD</b>	1787	0.98 (0.84, 1.14)	0.765	1761	0.97 (0.83, 1.14)	0.730
<b>Gestational age</b>	<b>Weight gain</b>						
<b>&lt;32 weeks</b>	<b>&lt;500 grams</b>	55	1.10 (0.46, 2.63)	0.825	41	1.23 (0.51, 2.98)	0.639
	<b>500-600 grams</b>	113	2.17 (1.32, 3.55)	0.002	80	2.19 (1.29, 3.69)	0.003
	<b>600-700 grams</b>	114	1.99 (1.23, 3.22)	0.005	98	2.00 (1.22, 3.29)	0.006
	<b>&gt;=700 grams</b>	59	4.47 (2.58, 7.76)	<0.001	56	4.34 (2.48, 7.62)	0.000
<b>32-36 weeks</b>	<b>&lt;500 grams</b>	183	1.60 (1.05, 2.43)	0.029	155	1.49 (0.96, 2.32)	0.079
	<b>500-600 grams</b>	511	1.84 (1.44, 2.35)	<0.001	421	1.84 (1.43, 2.38)	0.000
	<b>600-700 grams</b>	472	1.70 (1.33, 2.18)	<0.001	404	1.71 (1.33, 2.20)	0.000
	<b>&gt;=700 grams</b>	239	2.06 (1.51, 2.82)	<0.001	213	2.17 (1.58, 2.98)	0.000
<b>36-40 weeks</b>	<b>&lt;500 grams</b>	7339	1.12 (1.02, 1.23)	0.020	6373	1.13 (1.03, 1.24)	0.012
	<b>500-600 grams</b>	10822	1.19 (1.09, 1.28)	<0.001	9408	1.19 (1.10, 1.29)	0.000
	<b>600-700 grams</b>	6036	1.32 (1.20, 1.44)	<0.001	5285	1.33 (1.21, 1.45)	0.000
	<b>&gt;=700 grams</b>	2301	1.44 (1.27, 1.62)	<0.001	2085	1.46 (1.20, 1.65)	0.000
<b>&gt;=40 weeks</b>	<b>&lt;500 grams</b>	12357	0.95 (0.88, 1.03)	0.207	11798	0.96 (0.99, 1.04)	0.271
	<b>500-600 grams</b>	14478	Reference		13783	Reference	
	<b>600-700 grams</b>	6697	1.11 (1.02, 1.21)	0.020	6378	1.12 (1.03, 1.23)	0.010
	<b>&gt;=700 grams</b>	2316	1.22 (1.08, 1.38)	0.002	2233	1.21 (1.07, 1.38)	0.002



**Table E2.1.9.** Associations of gestational age, birth weight, and infant weight gain with school-age asthma in all countries (as presented in Figure 2 in the main manuscript), and in North-West European cohorts only (continued)

		TOTAL EUROPE (n = 93,124)			NORTH-WEST EUROPE (n = 83,890)		
		n	Odds Ratio (95% CI)	p-value	n	Odds Ratio (95% CI)	p-value
Birth weight	Weight gain						
<b>&lt;-2 SD</b>	<b>&lt;500 grams</b>	319	1.16 (0.83, 1.63)	0.394	319	1.16 (0.83, 1.63)	0.394
	<b>500-600 grams</b>	501	1.00 (0.76, 1.31)	0.973	501	1.00 (0.76, 1.31)	0.973
	<b>600-700 grams</b>	305	1.55 (1.16, 2.08)	0.003	305	1.55 (1.16, 2.08)	0.003
	<b>&gt;=700 grams</b>	123	1.90 (1.26, 2.88)	0.002	123	1.90 (1.26, 2.88)	0.002
<b>-2 to -1 SD</b>	<b>&lt;500 grams</b>	1834	0.95 (0.81, 1.12)	0.532	1834	0.95 (0.81, 1.12)	0.532
	<b>500-600 grams</b>	2690	1.06 (0.93, 1.20)	0.385	2690	1.06 (0.93, 1.20)	0.385
	<b>600-700 grams</b>	1489	1.16 (1.00, 1.36)	0.052	1489	1.16 (1.00, 1.36)	0.052
	<b>&gt;=700 grams</b>	550	1.53 (1.23, 1.89)	<0.001	550	1.53 (1.23, 1.89)	0.000
<b>-1 to 1 SD</b>	<b>&lt;500 grams</b>	13194	0.94 (0.87, 1.01)	0.086	13194	0.94 (0.87, 1.01)	0.086
	<b>500-600 grams</b>	17731	Reference		17731	Reference	
	<b>600-700 grams</b>	9074	1.09 (1.01, 1.18)	0.025	9074	1.09 (1.01, 1.18)	0.025
	<b>&gt;=700 grams</b>	3328	1.21 (1.09, 1.34)	<0.001	3328	1.21 (1.09, 1.34)	0.000
<b>1 to 2 SD</b>	<b>&lt;500 grams</b>	3386	0.95 (0.84, 1.07)	0.407	3386	0.95 (0.84, 1.07)	0.407
	<b>500-600 grams</b>	3887	1.02 (0.91, 1.14)	0.722	3887	1.02 (0.91, 1.14)	0.722
	<b>600-700 grams</b>	1922	1.16 (1.01, 1.33)	0.035	1922	1.16 (1.01, 1.33)	0.035
	<b>&gt;=700 grams</b>	751	1.31 (1.08, 1.59)	0.007	751	1.31 (1.08, 1.59)	0.007
<b>&gt;=2 SD</b>	<b>&lt;500 grams</b>	918	0.89 (0.71, 1.11)	0.307	918	0.89 (0.71, 1.11)	0.307
	<b>500-600 grams</b>	760	1.08 (0.86, 1.35)	0.503	760	1.08 (0.86, 1.35)	0.503
	<b>600-700 grams</b>	374	1.68 (1.29, 2.19)	<0.001	374	1.68 (1.29, 2.19)	0.000
	<b>&gt;=700 grams</b>	111	1.11 (0.67, 1.85)	0.684	111	1.11 (0.67, 1.85)	0.684

Values are odds ratios (95% Confidence Interval) from multi-level regression analysis. Values reflect the odds of asthma compared with children born at term with a normal birth weight for gestational age, born at term with moderate infant weight gain, and born with a normal birth weight for gestational age and moderate infant weight gain. Models are adjusted for maternal educational level, smoking during pregnancy, history of asthma, and smoking during infancy, and for child's sex, siblings, and attending day care. Total analysis includes all cohorts, North-West includes cohorts in Northern and Western Europe according to the UN definition (<http://unstats.un.org/unsd/methods/m49/m49regin.htm#europe>, assessed 31 May 2013): Denmark, France, Germany, Ireland, Netherlands, Norway, Sweden, Switzerland, and United Kingdom.

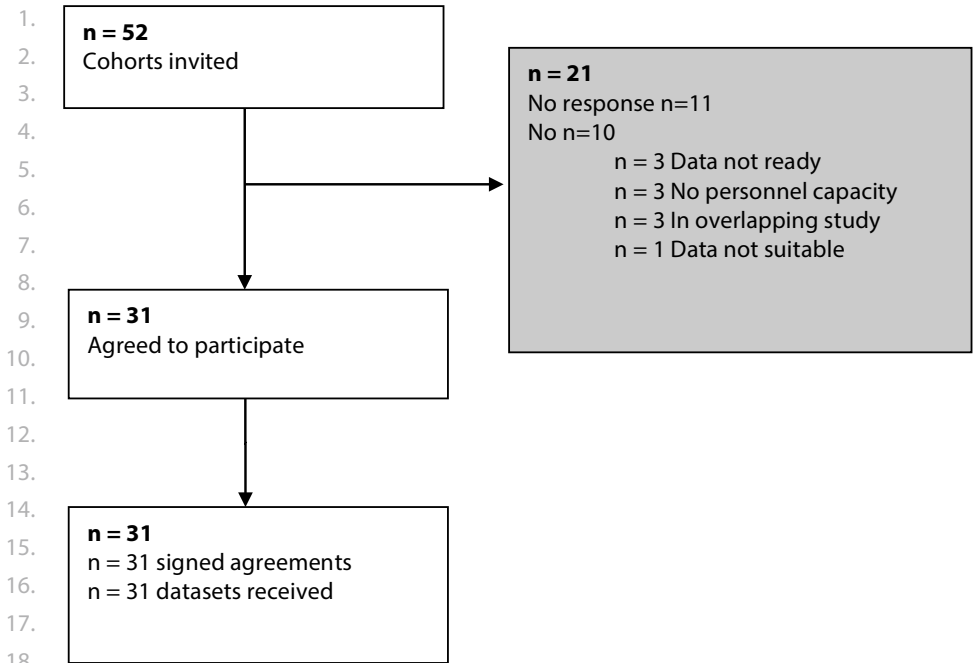


Figure E2.1.1. Flowchart of participating cohorts

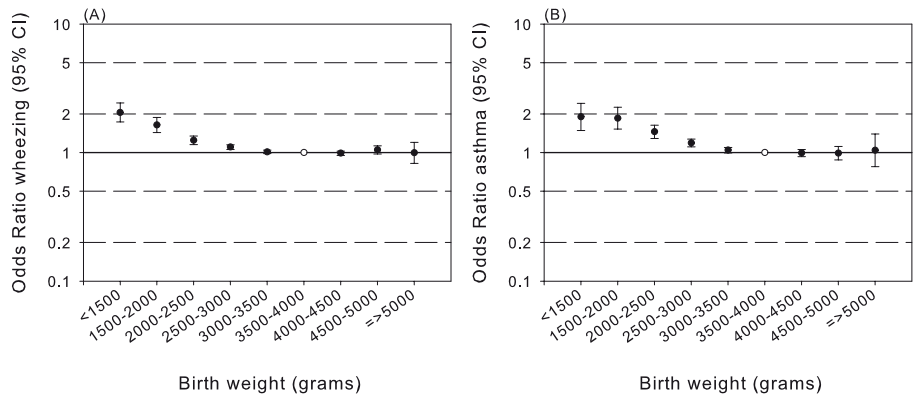


Figure E2.1.2. Associations of birth weight with preschool wheezing and school-age asthma. Values are odds ratios (95% Confidence Interval) from random effect multi-level models of birth weight with preschool wheezing (A) and school-age asthma (B). Models are adjusted for maternal educational level, smoking during pregnancy, history of asthma, and smoking during infancy, and for child's sex, siblings, and attending day care. Reference group was 3500-4000 gram and represented by a white bullet.

# 2.2

## Fetal and infant growth and asthma symptoms in preschool children

Agnes M.M. Sonnenschein-van der Voort, MSc<sup>1,2,3</sup>, Vincent W.V. Jaddoe, MD, PhD<sup>1,3,4</sup>, Hein Raat, MD, PhD<sup>5</sup>, Henriëtte A. Moll, MD, PhD<sup>4</sup>, Albert Hofman, MD, PhD<sup>3</sup>, Johan C. de Jongste, MD, PhD<sup>2</sup>, Liesbeth Duijts, MD, PhD<sup>1,2,3</sup>

<sup>1</sup>The Generation R Study Group, <sup>2</sup>Department of Pediatrics, Division of Respiratory Medicine, <sup>3</sup>Department of Epidemiology, <sup>4</sup>Department of Pediatrics, <sup>5</sup>Department of Public Health, Erasmus Medical Center, Rotterdam, The Netherlands

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1. **ABSTRACT**

2.

3. **Background** Low birth weight is associated with an increased risk of wheezing in childhood.

4. We examined the associations of longitudinally measured fetal and infant growth patterns

5. with the risks of asthma symptoms in preschool children.

6.

7. **Methods** This study was embedded in a population-based prospective cohort study among

8. 5,125 children. Second and third trimester fetal growth characteristics (head circumference,

9. femur length, abdominal circumference, weight) were estimated by repeated ultrasounds.

10. Infant growth (head circumference, length, weight) was measured at birth and at the ages of

11. 3, 6, and 12 months. Parental report of asthma symptoms until the age of 4 years was yearly

12. obtained by questionnaires.

13.

14. **Results** Both fetal restricted and accelerated growth, defined as a negative or positive

15. change of  $>0.67$  standard deviation score, were not associated with asthma symptoms until

16. the age of 4 years. Accelerated weight gain from birth to 3 months following normal fetal

17. growth was associated with increased risks of asthma symptoms (overall odds ratio (OR) for

18. wheezing: 1.44 (95% Confidence Interval (CI): 1.22, 1.70); shortness of breath: 1.32 (1.12, 1.56);

19. dry cough: 1.16 (1.01, 1.34); persistent phlegm: 1.30 (1.07, 1.58)), but not with eczema: 0.95

20. (0.80, 1.14)). These associations were independent of other fetal growth patterns and tended

21. to be stronger for children of atopic mothers than for children of non-atopic mothers.

22.

23. **Conclusions** Weight gain acceleration in early infancy was associated with increased risks of

24. asthma symptoms in preschool children, independent of fetal growth. Early infancy might be

25. a critical period for the development of asthma.

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## 1. INTRODUCTION

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3. Low birth weight is associated with increased risks of asthma, chronic obstructive airway  
4. disease, and impaired lung function, such as lower FEV1, and FVC in adults<sup>1</sup>. In children, low  
5. birth weight is associated with increased risks of respiratory morbidity, including asthma and  
6. respiratory tract infections<sup>2</sup>, but results are not consistent<sup>3-6</sup>. The developmental plasticity  
7. hypothesis suggests that the associations between low birth weight and common diseases  
8. in adulthood are explained by early adaptive mechanisms in response to various adverse  
9. exposures in fetal and early postnatal life<sup>7</sup>. These adaptive mechanisms might lead to im-  
10. paired lung development, smaller airways and impaired lung function<sup>8</sup>, and might lead to  
11. an increased susceptibility of development of respiratory diseases, including asthma and  
12. COPD<sup>9-10</sup>. Low birth weight per se is not likely to be the causal factor leading to asthma.  
13. The same birth weight might be the result of various growth patterns and different fetal  
14. exposures<sup>11</sup>. Information about fetal growth characteristics in different periods of pregnancy  
15. enables identification of critical periods for specific exposures and development of asthma  
16. in postnatal life<sup>12-13</sup>. Also, children with a low birth weight tend to have a postnatal catch up  
17. growth, which has also been suggested to be associated with respiratory morbidity, includ-  
18. ing childhood asthma<sup>12, 14-15</sup>. Studies so far focused on early growth patterns, and showed  
19. inconsistent results. This might partly be due to methodological issues including differences  
20. in definitions of fetal and infant growth patterns or asthma-related outcomes and the adjust-  
21. ment for gestational age and other potential confounders.

22. Therefore, we examined the associations of fetal and infant growth patterns with the risk of  
23. asthma symptoms in the first 4 years of life in a population-based prospective cohort study  
24. among 5,125 children who were followed up from fetal life. Some of the results of this study  
25. has been previously reported in the form of an abstract at the European Respiratory Society  
26. Conference 2011<sup>16</sup>.

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## 29. METHODS

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### 31. Design and setting

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33. This study was embedded in the Generation R Study, a population-based prospective cohort  
34. study of pregnant women and their children in Rotterdam, The Netherlands<sup>17</sup>. The study  
35. protocol was approved by the Medical Ethical Committee of the Erasmus Medical Centre,  
36. Rotterdam. Written informed consent was obtained from all participants. A total of 5,125  
37. children were included for the current analyses (Figure E2.2.1 in the supplement).

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1. **Growth characteristics**

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3. Fetal growth characteristics were measured in the first trimester (crown-rump length (CRL))<sup>18</sup>,  
4. and in the second and third trimester (head circumference (HC), abdominal circumference  
5. (AC), and femur length (FL))<sup>19-20</sup>. Estimated fetal weight (EFW) was calculated using the  
6. Hadlock formula<sup>21-22</sup>. HC, length and weight at birth were obtained from community midwife  
7. and hospital registries. Infant growth characteristics (HC, length and weight) were measured  
8. at the ages of 3, 6, and 12 months. All growth characteristics were converted into standard  
9. deviation scores (SDS) using fetal and infant reference growth charts<sup>19, 22</sup>, Growth Analyzer  
10. 3.0, Dutch Growth Research Foundation). We calculated growth (change in SDS) between  
11. various age intervals. Growth restriction and acceleration (from 2<sup>nd</sup> trimester to birth and  
12. birth to 3 months of age) were defined as a change, either decrease or increase, of more than  
13. 0.67 SDS, representing the width of each percentile band on standard growth charts<sup>23-24</sup>.

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15. **Asthma symptoms**

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17. Information on asthma symptoms (wheezing, shortness of breath, dry cough at night, and  
18. persistent phlegm (no, yes)) and doctor attended eczema (no, yes) was obtained by question-  
19. naires, adapted from the International Study on Asthma and Allergy in Childhood (ISAAC)<sup>25</sup> at  
20. the ages of 1, 2, 3 and 4 years. Response rates for these questionnaires were 71%, 76%, 72%,  
21. 73% respectively<sup>26</sup>.

22.

23. **Covariates**

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25. Maternal anthropometrics were obtained during first visit, education, history of asthma and  
26. atopy, smoking habits, parity, and children's ethnicity and pet keeping were obtained by  
27. questionnaire, completed by the mother at enrollment. Maternal gestational hypertension,  
28. diabetes and children's gestational age and sex were obtained from midwife and hospital  
29. registries at birth. Postal questionnaires at the ages of 6 and 12 months provided information  
30. about breastfeeding and daycare attendance<sup>17</sup>.

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32. **Statistical analysis**

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34. We used adjusted generalized estimating equations (GEEs) to examine the longitudinal ef-  
35. fects of fetal and infant growth and their interaction with each asthma symptom from the  
36. age of 1 to 4 years. With GEE analyses, repeatedly measured asthma symptoms over time  
37. were analyzed, taking correlations within the same subject into account. We calculated the  
38. overall effect (age 1 to 4 years combined) of fetal and infant growth on asthma symptoms.  
39. Missing data in covariates and outcomes were imputed using the multiple imputation

1. procedure<sup>27</sup>. All measures of association are presented as OR with 95% Confidence Intervals  
 2. (CI). Statistical analyses were performed using Statistical Package of Social Sciences version  
 3. 17.0 for Windows (SPSS Inc., Chicago, IL, US) and SAS 9.2 (SAS institute, Cary, NC, USA). An  
 4. extensive description of the methods is provided in the supplement (Text E2.2.1).

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## 7. RESULTS

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9. Characteristics of children and their mothers are presented in Table 2.2.1. Children were  
 10. born after median pregnancy duration of 40.1 weeks (range 25.3 – 43.4) with a mean birth  
 11. weight of 3,440 gram (SD 551 gram) (Table 2.2.1). Wheezing was the most prevalent asthma  
 12. symptom and its prevalence declined with increasing age (Table E2.2.1 in the supplement).

13.

14. **Table 2.2.1.** Characteristics of children and their mothers

		n=5,125
<b>Maternal characteristics</b>		
Age (%)		
<20 years		2.1 (107)
20-25 years		12.2 (624)
25-30 years		26.4 (1,353)
30-35 years		42.4 (2,173)
≥35 years		16.9 (868)
Missing		-
Height (cm)		168.0 (7.5)
Weight (kg)		69.4 (12.8)
Body mass index		
<20 kg/m <sup>2</sup>		8.9 (457)
20-25.0 kg/m <sup>2</sup>		54.5 (2,791)
25-30.0 kg/m <sup>2</sup>		24.9 (1,278)
≥30 kg/m <sup>2</sup>		11.1 (568)
Missing		0.6 (31)
Education (%)		
Primary, or secondary		46.7 (2,394)
Higher		48.9 (2,504)
Missing		4.4 (227)
History of asthma (%)		
No		56.7 (2,906)
Yes		31.9 (1,637)
Missing		11.4 (582)

39.

**Table 2.2.1.** Characteristics of children and their mothers (continued)

		n=5,125
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2.		
3.	Smoking during pregnancy (%)	
4.	No	76.5 (3,919)
5.	Yes	12.4 (633)
6.	Missing	11.2 (573)
7.	Parity (%)	
8.	0	62.1 (3,181)
9.	1-2	34.3 (1,756)
10.	≥3	3.1 (161)
11.	Missing	0.5 (27)
12.	Gestational hypertension (%)	
13.	No	91.8 (4,704)
14.	Yes	4.1 (208)
15.	Missing	4.2 (213)
16.	Gestational diabetes (%)	
17.	No	96.9 (4,964)
18.	Yes	0.7 (37)
19.	Missing	2.4 (124)
20.	<b>Child characteristics</b>	
21.	Male sex, no (%)	50.1 (2,567)
22.	Gestational age at birth (weeks)	40.1 (37.1-42.1)
23.	Birth weight (grams)	3,440 (551)
24.	Ethnicity (%)	
25.	European	66.8 (3,421)
26.	Non-European	30.7 (1,573)
27.	Missing	2.6 (131)
28.	Breastfeeding (%)	
29.	No	7.2 (370)
30.	Yes	88.6 (4,542)
31.	Missing	4.2 (213)
32.	Day care attendance 1 <sup>st</sup> year (%)	
33.	No	40.1 (2,054)
34.	Yes	43.5 (2,229)
35.	Missing	16.4 (842)
36.	Pet keeping (%)	
37.	No	58.8 (3,015)
38.	Yes	29.6 (1,519)
39.	Missing	11.5 (591)

Values are means (SD), medians (5-95<sup>th</sup> percentile) or percentages (absolute numbers).



## 1. Birth weight and gestational age

2.

3. We observed from crude analyses that birth weight was inversely associated with the risks of  
 4. asthma symptoms (Table 2.2.2), but these associations attenuated and became non-signifi-  
 5. cant after adjustment for gestational age (wheezing OR 0.97 (0.92, 1.02), shortness of breath  
 6. OR 0.96 (0.91, 1.01), dry cough OR 1.01 (0.97, 1.06), persistent phlegm OR 0.93 (0.87, 0.99)  
 7. and with eczema OR 1.01 (0.96, 1.07)). Similar changes in effect estimates were observed for  
 8. children with low birth weight (<2500 grams) with and without adjustment for gestational  
 9. age and the risk of asthma symptoms. As compared to term birth, preterm birth (< 36 weeks  
 10. of gestational age) was positively associated with the risks of wheezing (OR 1.55 (1.30, 1.84)),  
 11. shortness of breath (OR 1.54 (1.28, 1.85)) and persistent phlegm (OR 1.30 (1.03, 1.64)).

12.

13. **Table 2.2.2.** Birth characteristics and asthma symptoms

	Odds ratios (95% Confidence Interval)				
	Wheezing	Shortness of breath	Dry cough	Persistent phlegm	Eczema
<b>Birth weight</b>					
Weight (500 grams)	0.92 (0.89, 0.96)***	0.93 (0.89, 0.96)***	1.02 (0.99, 1.06)	0.90 (0.86, 0.95)***	1.01 (0.97, 1.06)
Gestational age adjusted weight (500 grams)	0.97 (0.92, 1.02)	0.96 (0.91, 1.01)	1.01 (0.97, 1.06)	0.93 (0.87, 0.99)*	1.01 (0.96, 1.07)
Low birth weight (<2500 grams)	1.34 (1.12, 1.62)**	1.24 (1.02, 1.52)*	0.87 (0.72, 1.05)	1.32 (1.05, 1.66)*	1.01 (0.81, 1.27)
Gestational age adjusted low birth weight (<2500 grams)	1.07 (0.85, 1.34)	0.99 (0.78, 1.27)	0.91 (0.74, 1.12)	1.05 (0.80, 1.39)	1.05 (0.81, 1.35)
<b>Gestational age</b>					
Gestational age (weeks)	0.94 (0.92, 0.97)***	0.95 (0.93, 0.97)***	1.02 (0.99, 1.04)	0.94 (0.92, 0.97)***	1.01 (0.98, 1.04)
Preterm birth (<37 weeks)	1.55 (1.30, 1.84)***	1.54 (1.28, 1.85)***	0.90 (0.74, 1.08)	1.30 (1.03, 1.64)*	1.00 (0.79, 1.25)

25. Values are odds ratios (95% Confidence Interval) and, if continuously measured, reflect the risk of asthma symptoms per 500 grams or  
 26. week of gestational age increase. \*P < 0.05, \*\*p < 0.01, \*\*\*p < 0.001 using longitudinal generalized estimating equation models. Models  
 27. were adjusted for maternal age, body mass index, education, history of asthma or atopy, smoking habits, parity, gestational hypertension,  
 28. gestational diabetes, children's sex, ethnicity, breastfeeding status, daycare attendance and pet keeping.

29.

## 30. Fetal and infant growth

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32. No consistent associations of fetal length and weight growth during different trimesters with  
 33. asthma symptoms were observed (Table 2.2.3). Crown-rump length in 1<sup>st</sup> trimester (data not  
 34. shown) and growth of fetal abdominal and head circumference were also not associated with  
 35. asthma symptoms (Table E2.2.2 in the supplement). Infant weight gain between birth and  
 36. 3 months, expressed as SDS increase in weight, was positively associated with the risks of  
 37. wheezing, shortness of breath and persistent phlegm (OR 1.17 (1.11, 1.23), 1.13 (1.08, 1.20),  
 38. 1.15 (1.08, 1.23), respectively) in the first 4 years of life. Length growth was not associated  
 39. with any asthma symptom (Table 2.2.3).

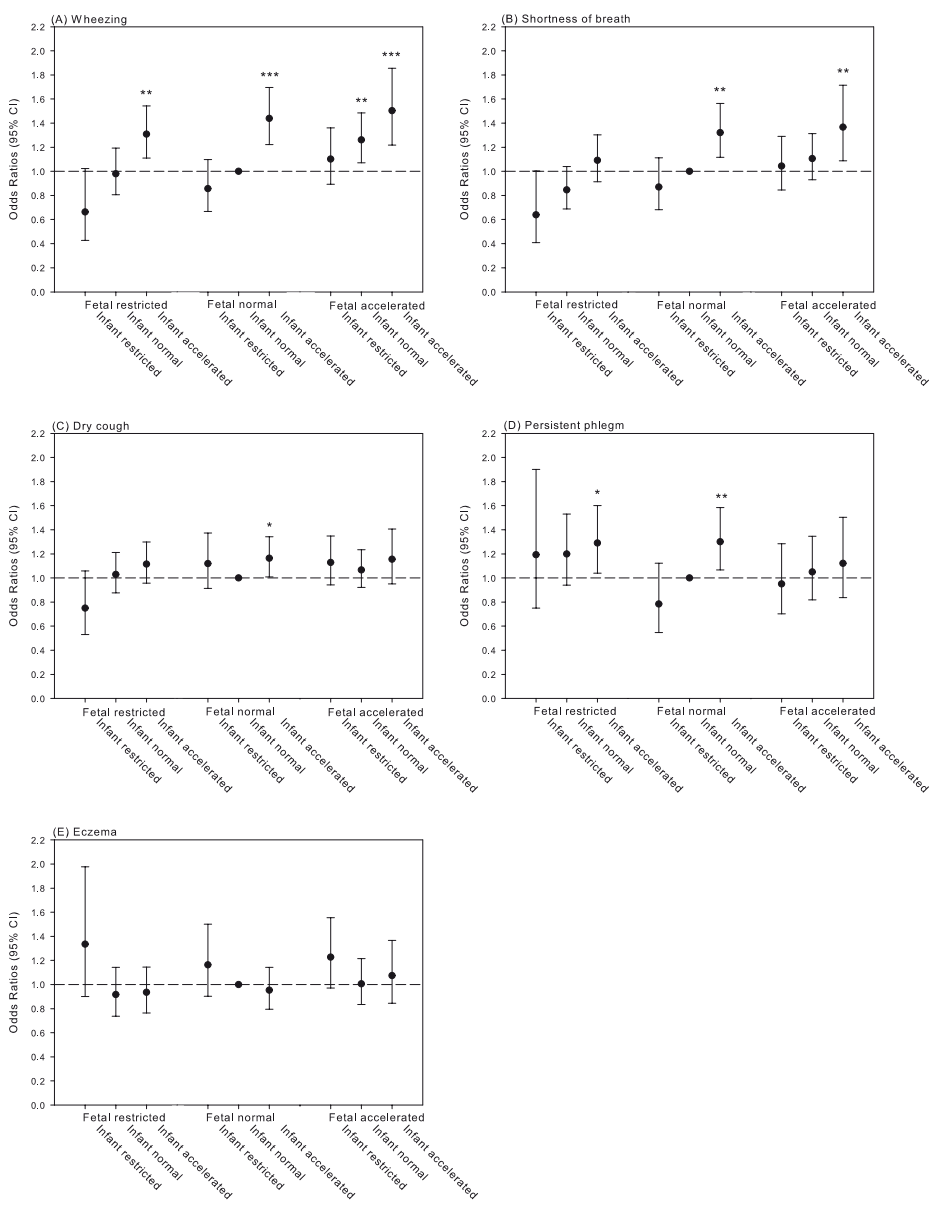
**Table 2.2.3.** Fetal and infant growth (change in SDS) and asthma symptoms

	Overall odds ratios (95% Confidence Interval)				
	Wheezing	Shortness of breath	Dry cough	Persistent phlegm	Eczema
<b>Length</b>					
2 <sup>nd</sup> - 3 <sup>rd</sup> trimester n=4,803	1.02 (0.98, 1.07)	1.00 (0.95, 1.05)	0.96 (0.93, 1.00)	0.99 (0.94, 1.05)	0.98 (0.93, 1.03)
3 <sup>rd</sup> trimester - birth n=3,270	0.99 (0.95, 1.03)	1.01 (0.97, 1.06)	0.99 (0.95, 1.03)	0.98 (0.93, 1.03)	1.00 (0.96, 1.05)
birth - 3 months n=2,031	1.02 (0.96, 1.08)	0.99 (0.94, 1.06)	1.03 (0.98, 1.09)	0.98 (0.90, 1.06)	0.98 (0.92, 1.04)
3 - 6 months n=2,619	1.04 (0.95, 1.14)	1.08 (0.98, 1.19)	1.00 (0.92, 1.09)	0.98 (0.86, 1.11)	0.91 (0.83, 1.01)
6 - 12 months n=3,425	0.93 (0.85, 1.01)	0.97 (0.88, 1.06)	0.99 (0.91, 1.06)	1.00 (0.89, 1.12)	0.98 (0.88, 1.08)
<b>Weight</b>					
2 <sup>nd</sup> - 3 <sup>rd</sup> trimester n=4,766	1.04 (0.99, 1.08)	1.01 (0.96, 1.05)	1.00 (0.96, 1.04)	0.99 (0.93, 1.05)	1.04 (0.99, 1.10)
3 <sup>rd</sup> trimester - birth n=5,023	1.00 (0.96, 1.04)	1.02 (0.98, 1.07)	0.99 (0.95, 1.03)	0.95 (0.89, 1.00)	0.99 (0.94, 1.04)
birth - 3 months n=3,558	1.17 (1.11, 1.23)***	1.13 (1.08, 1.20)***	1.04 (1.00, 1.09)	1.15 (1.07, 1.22)***	0.93 (0.88, 0.98)*
3 - 6 months n=3,391	0.97 (0.88, 1.06)	0.96 (0.87, 1.07)	1.04 (0.95, 1.13)	0.91 (0.80, 1.03)	0.88 (0.79, 0.99)*
6 - 12 months n=3,875	0.95 (0.86, 1.04)	0.95 (0.86, 1.04)	0.96 (0.89, 1.04)	0.90 (0.79, 1.02)	0.90 (0.81, 1.00)*

Values are odds ratios (95% Confidence Interval) and reflect the risk of asthma symptoms per standard deviation score (SDS) increase of length and weight. \*P < 0.05, \*\*p < 0.01, \*\*\*p < 0.001 using longitudinal generalized estimating equation models. Models were adjusted for maternal age, body mass index, education, history of asthma or atopy, smoking habits, parity, gestational hypertension, gestational diabetes, children's sex, gestational age, ethnicity, breastfeeding status, daycare attendance and pet keeping.

Further exploration of fetal and infant growth patterns showed that, as compared to children with a normal fetal and infant growth pattern, those with a normal fetal, but accelerated infant growth pattern had an increased risk of wheezing (OR 1.44 (1.22, 1.70)); shortness of breath (OR 1.32 (1.12, 1.56)); dry cough (OR 1.16 (1.01, 1.34)); and persistent phlegm (OR 1.30 (1.07, 1.58)), but not of eczema (Figure 2.2.1A-E). We observed a protective effect of a restricted fetal and infant growth pattern, compared to a normal growth pattern, for wheezing and shortness of breath (Figure 2.2.1A-B). The results did not materially change when preterm born infants were excluded from the analyses or when the associations of fetal and infant growth patterns for each year separately were analyzed (Table E2.2.3 in the supplement). Analysis stratified for maternal atopy showed that the effect estimates tended to be stronger for atopic mothers than non-atopic mothers, but the p for interaction was not significant (Figure E2.2.2 in the supplement).

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**Figure 2.2.1.** Weight growth patterns and asthma symptoms  
 Values are odds ratios (95% Confidence Interval). Normal fetal and normal infant growth pattern is used as reference category. \*P < 0.05, \*\*p < 0.01, \*\*\*p < 0.001 based on longitudinal generalized estimating equation models. Models were adjusted for maternal age, body mass index, education, history of asthma or atopy, smoking habits, parity, gestational hypertension, gestational diabetes, children's sex, gestational age, ethnicity, breastfeeding status, daycare attendance and pet keeping.

## 1. **DISCUSSION**

2.

3. Our results suggest that fetal growth during different periods of pregnancy was not associ-  
4. ated with the overall risk of asthma symptoms until the age of 4 years. However, we observed  
5. associations between early infant growth acceleration and increased risks of asthma symp-  
6. toms. These associations seem to be independent of fetal growth.

7.

### 8. **Birth weight and preterm birth**

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10. Previous child cohort studies reported inconsistent associations of birth weight with wheez-  
11. ing or asthma in childhood<sup>2-5</sup>. After adjustment for gestational age, we only observed an  
12. association of birth weight with persistent phlegm, not with wheezing or other asthma  
13. symptoms. Differences with previous published studies might be due to our assessment of  
14. the outcomes at a young age at which an asthma diagnosis is not possible and asthma symp-  
15. toms are common, but nonspecific and often transient<sup>28-29</sup>. Also, it might be that not low birth  
16. weight but preterm birth is the main risk factor for increased risks of asthma symptoms<sup>30-31</sup>.  
17. This is supported by our consistent associations of gestational age and preterm birth with  
18. wheezing, shortness of breath, and persistent phlegm.

19.

### 20. **Fetal and infant growth**

21.

22. Earlier studies used birth weight as a proxy for fetal growth<sup>4-6, 32</sup> and showed inconsistent as-  
23. sociations between either low or high birth weight and the risk of asthma symptoms, asthma  
24. diagnosis or a reduced lung function. Assessing fetal and infant growth characteristics related  
25. to birth weight might help to identify specific critical periods. Two recent studies focused on  
26. the associations of fetal growth characteristics in different trimesters and the risk of childhood  
27. asthma and atopy<sup>12-13</sup>. Pike et al. observed no association of fetal growth characteristics and  
28. 'ever wheezing' until the age of 3 years<sup>12</sup>. The authors did observe an association of abdominal  
29. circumference growth between 19 and 34 weeks with atopic wheezing (relative risk (95% CI)  
30. 0.80 (0.65, 1.00)) and of head circumference growth between 11 and 19 weeks and non-atopic  
31. wheezing (relative risk 0.90 (0.81, 1.00)). They suggest that the association with atopic wheez-  
32. ing might be the effect of an impaired thymic development, while non-atopic wheezing might  
33. be caused by mechanical changes in growth restricted children. Turner et al. recently showed  
34. that crown-rump length in first trimester was inversely associated with 'ever wheezing' (OR 0.96  
35. (0.93, 0.99) at the age of 5 years and diagnosed asthma (OR 0.94 (0.89, 0.99)) and lung func-  
36. tion at the ages of 5 and 10 years<sup>13</sup>, independent of atopy. In our study, in a larger number of  
37. children, we used ultrasound measurements in each trimester of pregnancy and observed no  
38. associations of fetal growth, including multiple growth parameters and patterns, with asthma  
39. symptoms in preschool children. We were however not able to differentiate between atopic

1. and non-atopic children as we had no direct measures of sensitization. When we stratified our  
2. analysis for atopic and non-atopic mothers, a proxy for atopic status of children<sup>33</sup>, the effect  
3. estimates of the association of fetal growth characteristics and patterns with asthma symptoms  
4. tended to be stronger for children with atopic mothers than non-atopic mothers.

5. Previous studies in children reported a slightly increased risk of wheezing (ORs up to 1.05 (1.01,  
6. 1.09) and reduced lung function for weight gain in the first year and no associations with length  
7. growth<sup>12, 15, 34-35</sup>. In adulthood no effect on airway obstruction, but a modest reduction of lung  
8. volume was observed if children had either a lower or higher weight gain in the first three years of  
9. life<sup>36</sup>. Due to our extensive anthropometric measurements after birth, we were able to specify the  
10. critical time period in which weight gain had an effect on asthma symptoms and found that ac-  
11. celerated weight gain between birth and 3 months of age was associated with asthma symptoms  
12. in childhood. Furthermore, we observed that this effect was independent of fetal growth. These  
13. results are in line with Pike et al. who observed that low 3<sup>rd</sup> trimester abdominal circumference  
14. with high weight gain and adiposity in the first 6 months was associated with a higher proportion  
15. of atopic wheezing<sup>12</sup>. Whether their highest weight gain group in the first 6 months showed con-  
16. sistently increased effect estimates for wheezing, independent of fetal growth, was not presented.

17. Our results suggest that the effect of infant weight gain on asthma symptoms is not due  
18. to 'catch up' growth of fetal growth-restricted infants only. The underlying mechanisms are  
19. unclear. Accelerated weight growth in the first three months of life might adversely affect  
20. lung growth, including a change in alveolar numbers, lung weight, and the developing im-  
21. mune system<sup>37-39</sup>. It was suggested that early infant weight gain is associated with a higher  
22. BMI in childhood with overweight and obesity in later life<sup>24, 40</sup> and subsequently may have a  
23. modifying effect on asthma, asthma symptoms and lung function during childhood and on  
24. the long term<sup>41-42</sup>. Also, adverse changes of the immune system in early life due to increased  
25. weight gain might affect the development of childhood asthma<sup>38-39, 43</sup>.

26. We observed that children with fetal and infant growth deceleration had a decreased  
27. risk of wheezing and shortness of breath up to the 4<sup>th</sup> year. A protective effect of fetal and  
28. infant growth deceleration was also observed in an earlier study on atopic wheezing, but  
29. not for non-atopic wheezing<sup>12</sup>. Pike et al observed that children with a normal fetal growth  
30. and a restricted infant growth tended to have a lower risk of wheezing than children with  
31. normal infant growth<sup>12</sup>. The underlying mechanisms for these associations were not shown.  
32. According to animal studies, it might be that fetal growth restriction lead to impaired growth  
33. of bronchial walls, affecting the airway compliance, alterations in mucus producing tissues,  
34. decrease in number of alveoli, thicker interalveolar septa and a greater volume density of  
35. lung tissue<sup>44-46</sup>. However, some of these adaptations resolved within weeks after birth. Hence,  
36. we speculate that at least a part of the effects on the lungs in children with a restricted fetal  
37. growth is caught up before the age of 1 to 4 years, and this might have reduced our effect  
38. estimates. If fetal growth indeed leads to respiratory symptoms via an effect on lung develop-  
39. ment, this might be of influence later in childhood.

## 1. **Strengths and limitations**

2.

3. This study was embedded in a population-based prospective cohort study with a large  
4. number of subjects being studied from early fetal life onwards with detailed and frequently  
5. prospectively measured information about fetal and infant anthropometrics. We adjusted  
6. for a large number of confounders and the results did not differ between non-imputed and  
7. imputed analyses. Non-response would lead to biased effect estimates if the associations of  
8. fetal and infant growth with asthma symptoms would be different between those included  
9. and not included in the analyses. However, this seems unlikely because biased estimates  
10. mainly arise from loss to follow-up rather than from non-response at baseline<sup>47</sup>. Although we  
11. used the established Hadlock formula for calculation of the estimated fetal weight, we cannot  
12. exclude that there may be a random measurement error in this estimation, especially in late  
13. third trimester, which might have led to underestimation of the effect estimates. Although,  
14. we showed that the intra and inter observer intraclass correlations for assessing fetal growth  
15. in early pregnancy were high, measurements error is expected to be higher for fetal growth  
16. measurements than for infant growth measurements<sup>20</sup>. We categorized growth patterns by a  
17. change of  $>0.67$  SD, a well-known recognized threshold value in studies on growth<sup>23</sup>. Other  
18. studies categorized fetal and infant growth by separating groups in tertiles<sup>12</sup>, or used a longer  
19. time interval for the SD change which might explain some differences with our results<sup>48</sup>. The  
20. main outcomes in our study were self-reported symptoms. This method is widely accepted  
21. in epidemiological studies and reliably reflects the incidence of asthma symptoms in young  
22. children<sup>49</sup>. In preschool children a diagnosis of asthma is based on symptoms<sup>50</sup>. Objective  
23. tests, including spirometry or bronchial hyperresponsiveness, are difficult to perform in young  
24. children, and have limited applicability. We were not able to assign phenotypes based on pat-  
25. terns of wheezing including transient, late onset, persistent or other wheezing phenotypes,  
26. due to the follow-up of children until the age of 4 years only<sup>28-29</sup>. Follow up studies at older  
27. ages which include more detailed assessments of asthma and atopy phenotypes are needed.  
28. We did not apply Bonferroni correction since we used repeated measurements analyses and  
29. correlated outcomes of both the exposure and outcomes. However, we observed consistent  
30. associations of infant weight gain independent of fetal growth with all asthma symptoms.

31.

32. In conclusion, our results suggest that not fetal growth, but accelerated growth in the first  
33. three months of life is associated with an increased risk of asthma symptoms during the first 4  
34. years of life. The results of this study should be considered as hypothesis generating. Further  
35. studies are needed to replicate these findings and to explore underlying mechanisms of the  
36. effect of growth acceleration on respiratory health, in particular on the various phenotypes  
37. of asthma in later life.

38.

39.

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# Supplements

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## 4. TEXT E2.2.1.

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### 6. Growth characteristics

7.

8. *Fetal growth characteristics* Fetal ultrasound examinations were carried out in a dedicated re-  
9. search center in each trimester of pregnancy. The ultrasound examinations were performed  
10. using an Aloka® model SSD-1700 (Tokyo, Japan) or the ATL-Philips® Model HDI 5000 (Seattle,  
11. WA, USA). These examinations were used for both establishing gestational age and assessing  
12. fetal growth characteristics<sup>1</sup>. In the first trimester, we used crown-rump length to assess fetal  
13. growth only in mothers with a known and reliable first day of the last menstrual period and a  
14. regular menstrual cycle of 28 (range: 24–32) days and who had crown-rump length measured  
15. between a gestational age of 10 and 15 wk<sup>2</sup>. The first day of the last menstrual period was  
16. obtained from the referring letter from the community midwife or hospital. This date was  
17. confirmed with the subjects at the ultrasound visit, and additional information on the regu-  
18. larity and duration of cycle was obtained. Because using the last menstrual period has several  
19. limitations, such as the large number of mothers who do not know the exact date of their last  
20. menstrual period or have irregular menstrual cycles, gestational age was established by fetal  
21. ultrasound examination for the second- and third-trimester growth measurements. In the  
22. second and third trimesters of pregnancy, we measured head circumference (HC), abdominal  
23. circumference (AC), and femur length (FL) to the nearest millimeter using standardized  
24. ultrasound procedures<sup>1,3</sup>. Estimated fetal weight was subsequently calculated by using the  
25. Hadlock formula ( $\log_{10} \text{EFW} = 1.5662 - 0.0108 (\text{HC}) + 0.0468 (\text{AC}) + 0.171 (\text{FL}) + 0.00034 (\text{HC})^2$   
26.  $- 0.003685 (\text{AC} \cdot \text{FL})$ )<sup>4-5</sup>. Standard deviation scores (SDS) for all fetal growth characteristics were  
27. constructed<sup>1,5</sup>. We calculated fetal growth (change in SDS) for HC, AC, FL and EFW between  
28. the various trimesters of pregnancy. Fetal growth (between 2<sup>nd</sup> trimester and birth) restric-  
29. tion and acceleration were defined as a change, either decrease or increase, of more than  
30. 0.67 SDS, which represents the width of each percentile band on a standard growth charts<sup>6</sup>.

31. At birth, information on head circumference, length and weight of the infants was obtained  
32. from community midwife and hospital registries. Birth length was only available in 3,313  
33. individuals, since this is not routinely measured in obstetric practices in The Netherlands.  
34. Gestational age adjusted standard deviation scores for length and weight at birth were  
35. constructed using reference growth standards<sup>5</sup>.

36. *Infant growth characteristics* Infant growth was measured at the Community Health Cen-  
37. ters according to a standard schedule and procedures by a well-trained staff at the ages of  
38. 3 months (range: 3.00-3.96 months), 6 months (range: 5.01-9.96 months), and 12 months  
39. (range: 10.00-12.97 months). Length was determined in supine position to the nearest

1. millimeter using a neonatometer. Weight was measured using a mechanical personal scale  
2. (SECA). Standard deviation scores for postnatal length, and weight were obtained using refer-  
3. ence growth charts (Growth Analyzer 3.0, Dutch Growth Research Foundation). We calculated  
4. infant growth (change in SDS) from birth to 3 months, 3 to 6 months and 6 to 12 months of  
5. age. We used the same definition for infant growth restriction and acceleration (between  
6. birth and 3 months of age) as described above for fetal growth.

7.

## 8. **Covariates**

9.

10. Information on maternal anthropometrics, history of asthma and atopy, children's ethnicity  
11. and pet keeping were obtained by questionnaire, completed by the mother at enrollment.  
12. Socio-economical status was assessed using the highest educational level achieved by the  
13. mother. Information about active maternal smoking was obtained by postal questionnaires  
14. sent in first, second and third trimester of pregnancy and combined into smoking (no, yes)<sup>7</sup>.  
15. We used parity as a proxy for siblings, the correlation between those variables was good  
16. ( $\kappa = 0.896$ ). Maternal gestational hypertension, diabetes and gestational age and sex  
17. of the children were obtained from midwife and hospital registries at birth. Postal question-  
18. naires at the ages of 6 and 12 months provided information about breastfeeding and daycare  
19. attendance<sup>7</sup>.

20.

## 21. **Statistical analysis**

22.

23. We used generalized estimating equations (GEEs) to examine the longitudinal effects of  
24. fetal and infant growth with the risk of asthma symptoms at the ages of 1, 2, 3 and 4 years  
25. These models take into account the correlations between repeated measurements within the  
26. same subject. We used a compound symmetry matrix, as we assumed that every observation  
27. of a subject was equally correlated to any other observation of that subject. To observe if  
28. there is a specific fetal growth pattern which might explain associations in infant growth, we  
29. combined fetal and infant growth restriction, normal and accelerated growth into a new vari-  
30. able representing 9 different growth patterns. Fetal growth was defined from 2<sup>nd</sup> trimester  
31. to birth and infant growth was defined from birth to the age of 3 months. Thereafter, we  
32. stratified our analyses for maternal history of atopy, as a proxy for atopy in the children. The  
33. models were adjusted for potential confounders including maternal age, body mass index,  
34. education, history of asthma or atopy, smoking habits and parity, children's sex, gestational  
35. age at birth, ethnicity, breastfeeding status, daycare attendance and pet keeping. Confound-  
36. ers were included in our statistical models based on literature, if they were associated with  
37. both the determinant and the outcome or if they changed the effect estimates with  $\geq 10\%$ .  
38. The percentages of missing values within the population for analysis were lower or near to  
39. 10%, except for daycare attendance (16%). Missing data in the covariates and outcomes

1. were imputed with multiple imputations using chained equations, which are used to select  
2. the most likely value for a missing response. The best predictor for an asthma symptom is  
3. another asthma symptom or the same type of symptom at a different age. Therefore, at least  
4. one other asthma symptom was available in our population for analysis to predict other  
5. asthma symptoms correctly. Twenty-five new datasets were created by imputation based on  
6. all covariates and outcomes in the model plus paternal age, educational level and history of  
7. asthma or atopy<sup>8</sup>. All datasets were analyzed separately after which results were combined.  
8. No differences in results were observed between analyses with imputed missing data or  
9. complete cases only. We only present the results based on imputed datasets. All measures of  
10. association are presented as an overall odds ratios (OR) (effect of age 1 to 4 years combined)  
11. with their 95% Confidence Intervals (CI). Statistical analyses were performed using the Statis-  
12. tical Package of Social Sciences version 17.0 for Windows (SPSS Inc., Chicago, IL, US) and SAS  
13. 9.2 (SAS institute, Cary, NC, USA).

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**Table E2.2.1.** Prevalence of asthma symptoms

	Age 1 year	Age 2 years	Age 3 years	Age 4 years
	<i>n</i> =4,566	<i>n</i> =4,359	<i>n</i> =4,041	<i>n</i> =4,048
<b>Wheezing</b>	<i>n</i> =4,286	<i>n</i> =4,271	<i>n</i> =3,973	<i>n</i> =3,974
No	70.9 (3,040)	80.0 (3,417)	87.4 (3,473)	87.1 (3,461)
Yes	29.1 (1,246)	20.0 (854)	12.6 (500)	12.9 (513)
<b>Shortness of breath</b>	<i>n</i> =4,287	<i>n</i> =4,289	<i>n</i> =3,982	<i>n</i> =3,991
No	78.1 (3,348)	82.4 (3,532)	88.4 (3,522)	89.5 (3,570)
Yes	21.9 (939)	17.6 (757)	11.6 (460)	10.5 (421)
<b>Dry cough</b>	<i>n</i> =4,236	<i>n</i> =4,297	<i>n</i> =3,932	<i>n</i> =3,979
No	77.5 (3,282)	75.9 (3,262)	76.2 (2,998)	73.3 (2,917)
Yes	22.5 (954)	24.1 (1,035)	23.8 (934)	26.7 (1,062)
<b>Persistent phlegm</b>	<i>n</i> =4,226	<i>n</i> =4,266	<i>n</i> =4,006	<i>n</i> =4,018
No	86.5 (3,657)	90.2 (3,846)	93.3 (3,736)	92.8 (3,729)
Yes	13.5 (569)	9.8 (420)	6.7 (270)	7.2 (289)
<b>Eczema</b>	<i>n</i> =4,491	<i>n</i> =4,185	<i>n</i> =3,873	<i>n</i> =3,825
No	80.9 (3,635)	85.9 (3,594)	90.7 (3,511)	92.0 (3,519)
Yes	16.7 (856)	14.1 (591)	9.3 (362)	8.0 (306)

Values are shown in % (absolute numbers).

**Table E2.2.2.** Fetal and infant growth (change in SDS) and asthma symptoms

	Odds Ratios of overall asthma symptoms (95% Confidence Interval)				
	Wheezing	Shortness of breath	Dry cough	Persistent phlegm	Eczema
<b>Abdominal circumference</b>					
2 <sup>nd</sup> - 3 <sup>rd</sup> trimester <i>n</i> =4,794	1.04 (1.00, 1.08)	1.01 (0.97, 1.06)	1.02 (0.99, 1.06)	1.00 (0.95, 1.05)	1.05 (1.01, 1.10)*
<b>Head circumference</b>					
2 <sup>nd</sup> - 3 <sup>rd</sup> trimester <i>n</i> =4,754	1.04 (1.00, 1.08)	1.05 (1.01, 1.10)*	1.03 (0.99, 1.06)	0.98 (0.93, 1.04)	1.01 (0.97, 1.06)
3 <sup>rd</sup> trimester - birth <i>n</i> =2,790	0.98 (0.94, 1.03)	0.99 (0.94, 1.04)	0.99 (0.95, 1.03)	1.00 (0.95, 1.07) <sup>a</sup>	1.00 (0.95, 1.05) <sup>a</sup>
birth - 3 months <i>n</i> =2,019	1.07 (1.02, 1.14)**	1.06 (1.00, 1.13)	1.02 (0.97, 1.07)	1.01 (0.94, 1.08) <sup>a</sup>	1.00 (0.94, 1.07) <sup>a</sup>
3 - 6 months <i>n</i> =3,261	0.96 (0.86, 1.06)	0.95 (0.85, 1.06)	0.95 (0.87, 1.05)	0.96 (0.84, 1.09)	0.88 (0.78, 0.99)*
6 - 12 months <i>n</i> =3,719	0.98 (0.90, 1.07)	0.97 (0.88, 1.06)	1.03 (0.95, 1.11)	0.96 (0.85, 1.07)	0.96 (0.87, 1.06)

Values are odds ratios (95% Confidence Interval) and reflect the risk of asthma symptoms per standard deviation score (SDS) increase of abdominal or head circumference. \**P* < 0.05, \*\**p* < 0.01, \*\*\**p* < 0.001 using longitudinal generalized estimating equation models. Models were adjusted for maternal age, body mass index, education, history of asthma or atopy, smoking habits, parity, gestational hypertension, gestational diabetes, children's sex, gestational age, ethnicity, breastfeeding status, daycare attendance and pet keeping.

<sup>a</sup>not adjusted for gestational diabetes due to not enough cases in the model

**Table E2.2.3.** Fetal and infant growth patterns and asthma symptoms per year

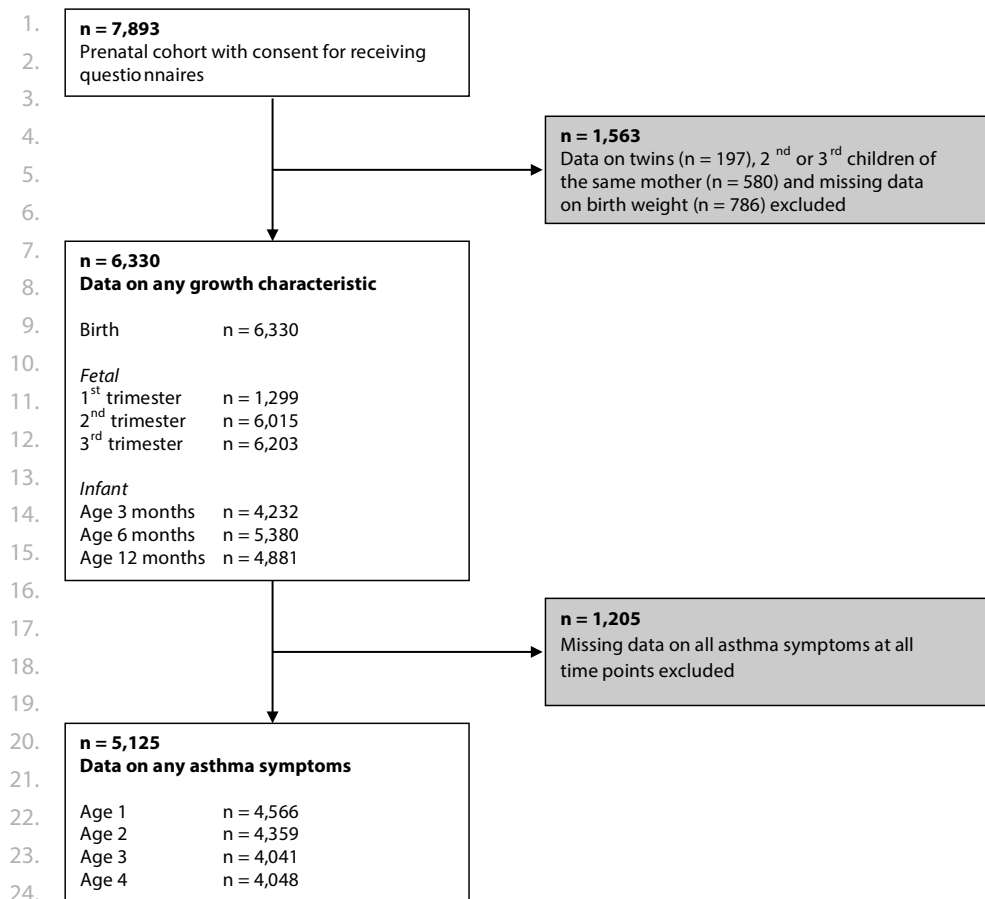
		Odds ratios (95% Confidence Interval)			
		Age 1 year	Age 2 years	Age 3 years	Age 4 years
<b>Growth</b>					
<b>Wheezing</b>					
Fetal restricted	Infant restricted	0.84 (0.43, 1.63)	0.39 (0.14, 1.07)	0.84 (0.34, 2.09)	0.55 (0.20, 1.47)
	Infant normal	0.91 (0.65, 1.26)	0.95 (0.66, 1.36)	1.40 (0.92, 2.13)	0.93 (0.60, 1.44)
	Infant accelerated	1.43 (1.09, 1.87)**	1.36 (0.99, 1.86)	1.27 (0.85, 1.90)	0.98 (0.68, 1.42)
Fetal normal	Infant restricted	0.99 (0.67, 1.46)	0.85 (0.53, 1.35)	0.93 (0.52, 1.64)	0.49 (0.27, 0.91)*
	Infant normal	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>
	Infant accelerated	1.43 (1.09, 1.88)*	1.54 (1.15, 2.05)**	1.53 (1.07, 2.20)*	1.21 (0.85, 1.73)
Fetal accelerated	Infant restricted	1.36 (0.97, 1.91)	0.78 (0.51, 1.21)	1.16 (0.72, 1.87)	1.03 (0.65, 1.63)
	Infant normal	1.38 (1.05, 1.81)*	1.28 (0.95, 1.74)	1.29 (0.89, 1.89)	0.91 (0.63, 1.31)
	Infant accelerated	1.49 (1.05, 2.11)*	1.66 (1.14, 2.42)**	1.46 (0.90, 2.35)	1.29 (0.83, 2.02)
<b>Shortness</b>					
Fetal restricted	Infant restricted	0.74 (0.36, 1.52)	0.47 (0.20, 1.14)	0.73 (0.27, 1.99)	0.64 (0.25, 1.67)
	Infant normal	0.87 (0.61, 1.24)	0.66 (0.45, 0.98)	1.29 (0.84, 1.99)	0.80 (0.49, 1.29)
	Infant accelerated	1.16 (0.86, 1.56)	0.96 (0.70, 1.33)	1.28 (0.84, 1.95)	0.80 (0.49, 1.29)
Fetal normal	Infant restricted	0.94 (0.62, 1.43)	0.79 (0.50, 1.24)	1.07 (0.62, 1.84)	0.70 (0.39, 1.26)
	Infant normal	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>
	Infant accelerated	1.20 (0.90, 1.61)	1.30 (0.97, 1.74)	1.55 (1.05, 2.30)*	1.45 (1.00, 2.09)*
Fetal accelerated	Infant restricted	1.12 (0.78, 1.62)	0.92 (0.62, 1.36)	1.18 (0.74, 1.91)	0.99 (0.61, 1.61)
	Infant normal	1.21 (0.90, 1.62)	0.98 (0.72, 1.34)	1.36 (0.93, 2.00)	0.89 (0.60, 1.33)
	Infant accelerated	1.56 (1.07, 2.26)*	1.24 (0.83, 1.84)	1.47 (0.87, 2.48)	1.11 (0.66, 1.86)
<b>Cough</b>					
Fetal restricted	Infant restricted	0.90 (0.46, 1.76)	0.73 (0.36, 1.48)	0.62 (0.32, 1.22)	0.77 (0.40, 1.49)
	Infant normal	1.22 (0.88, 1.68)	1.06 (0.76, 1.48)	0.96 (0.69, 1.34)	0.93 (0.67, 1.27)
	Infant accelerated	1.16 (0.87, 1.56)	1.12 (0.83, 1.49)	0.97 (0.72, 1.30)	1.23 (0.93, 1.62)
Fetal normal	Infant restricted	1.22 (0.82, 1.81)	1.44 (0.97, 2.12)	1.06 (0.71, 1.56)	0.86 (0.58, 1.28)
	Infant normal	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>
	Infant accelerated	1.11 (0.84, 1.48)	1.32 (1.02, 1.72)*	0.98 (0.74, 1.30)	1.26 (0.96, 1.65)
Fetal accelerated	Infant restricted	1.31 (0.92, 1.86)	1.28 (0.90, 1.83)	0.86 (0.59, 1.24)	1.13 (0.80, 1.61)
	Infant normal	1.13 (0.84, 1.50)	1.17 (0.88, 1.55)	0.94 (0.71, 1.24)	1.06 (0.80, 1.41)
	Infant accelerated	1.20 (0.82, 1.76)	1.24 (0.86, 1.79)	1.08 (0.76, 1.55)	1.11 (0.77, 1.62)

**Table E2.3.** Fetal and infant growth patterns and asthma symptoms per year (continued)

		Odds ratios (95% Confidence Interval)			
		Age 1 year	Age 2 years	Age 3 years	Age 4 years
<b>Phlegm</b>					
Fetal restricted	Infant restricted	1.33 (0.62, 2.86)	0.91 (0.34, 2.38)	0.92 (0.31, 2.75)	1.74 (0.70, 4.34)
	Infant normal	1.28 (0.86, 1.93)	1.22 (0.78, 1.92)	0.90 (0.49, 1.64)	1.30 (0.75, 2.26)
	Infant accelerated	1.32 (0.93, 1.88)	1.10 (0.73, 1.66)	1.25 (0.76, 2.06)	1.60 (0.98, 2.61)
Fetal normal	Infant restricted	0.89 (0.51, 1.55)	0.89 (0.47, 1.70)	0.59 (0.23, 1.50)	0.52 (0.19, 1.42)
	Infant normal	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>
	Infant accelerated	1.36 (0.97, 1.90)	1.18 (0.80, 1.74)	1.45 (0.91, 2.31)	1.23 (0.76, 1.99)
Fetal accelerated	Infant restricted	0.86 (0.52, 1.41)	0.83 (0.46, 1.52)	0.77 (0.38, 1.56)	1.64 (0.91, 2.96)
	Infant normal	0.93 (0.62, 1.40)	1.09 (0.70, 1.70)	1.20 (0.73, 1.99)	1.10 (0.63, 1.94)
	Infant accelerated	1.13 (0.69, 1.84)	1.13 (0.66, 1.94)	1.06 (0.54, 2.06)	1.14 (0.57, 2.25)
<b>Eczema</b>					
Fetal restricted	Infant restricted	2.10 (1.17, 3.74)*	1.18 (0.56, 2.48)	0.52 (0.13, 2.09)	0.63 (0.20, 2.02)
	Infant normal	0.98 (0.69, 1.38)	0.87 (0.58, 1.31)	0.84 (0.51, 1.39)	0.90 (0.54, 1.51)
	Infant accelerated	0.93 (0.68, 1.27)	0.99 (0.70, 1.39)	0.98 (0.62, 1.55)	0.79 (0.49, 1.26)
Fetal normal	Infant restricted	1.17 (0.77, 1.78)	1.11 (0.69, 1.77)	1.22 (0.67, 2.22)	1.20 (0.67, 2.15)
	Infant normal	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>
	Infant accelerated	1.01 (0.75, 1.36)	0.92 (0.66, 1.28)	0.93 (0.62, 1.40)	0.84 (0.55, 1.28)
Fetal accelerated	Infant restricted	1.76 (1.24, 2.50)**	0.98 (0.62, 1.54)	0.85 (0.47, 1.54)	0.65 (0.32, 1.32)
	Infant normal	1.00 (0.73, 1.38)	0.89 (0.63, 1.27)	1.28 (0.86, 1.90)	0.97 (0.63, 1.50)
	Infant accelerated	1.11 (0.75, 1.64)	1.11 (0.73, 1.71)	0.89 (0.50, 1.58)	1.06 (0.61, 1.85)

Values are odds ratios (95% Confidence Interval). Normal fetal and normal infant growth pattern is used as reference category.

\*  $p < 0.05$ , \*\*  $p < 0.01$  and \*\*\* $p < 0.001$  based on longitudinal generalized estimating equation models. Models were adjusted for maternal age, body mass index, education, history of asthma or atopy, smoking habits, parity, gestational hypertension, gestational diabetes, children's sex, gestational age, ethnicity, breastfeeding status, daycare attendance and pet keeping.

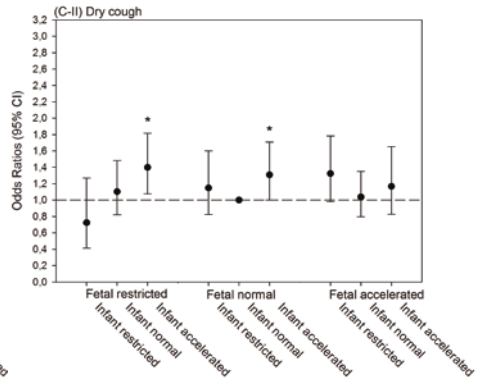
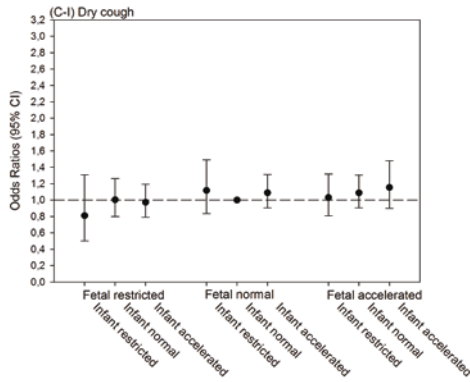
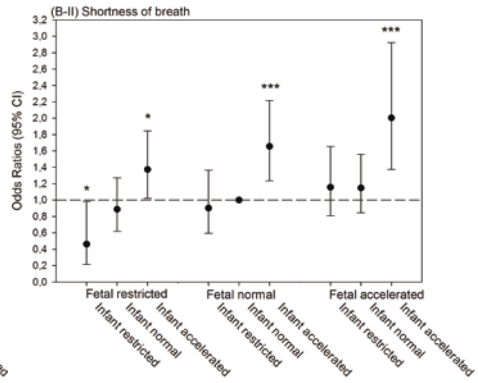
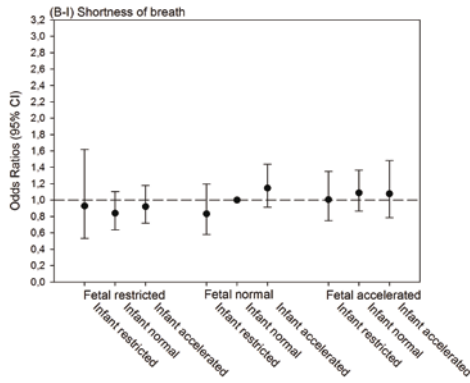
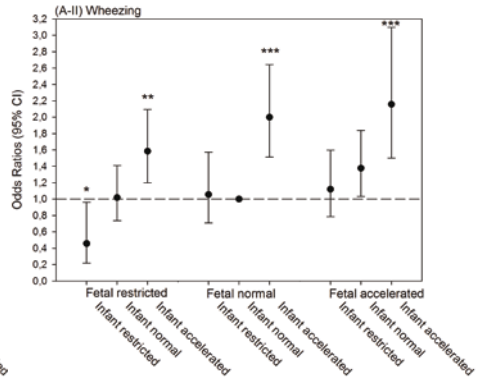
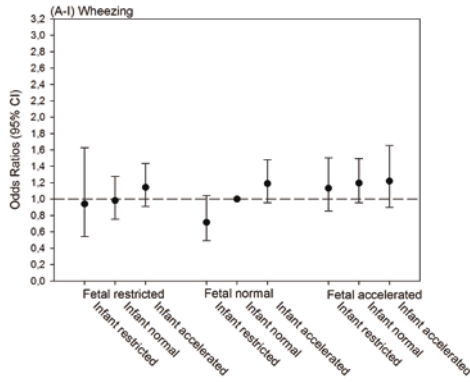


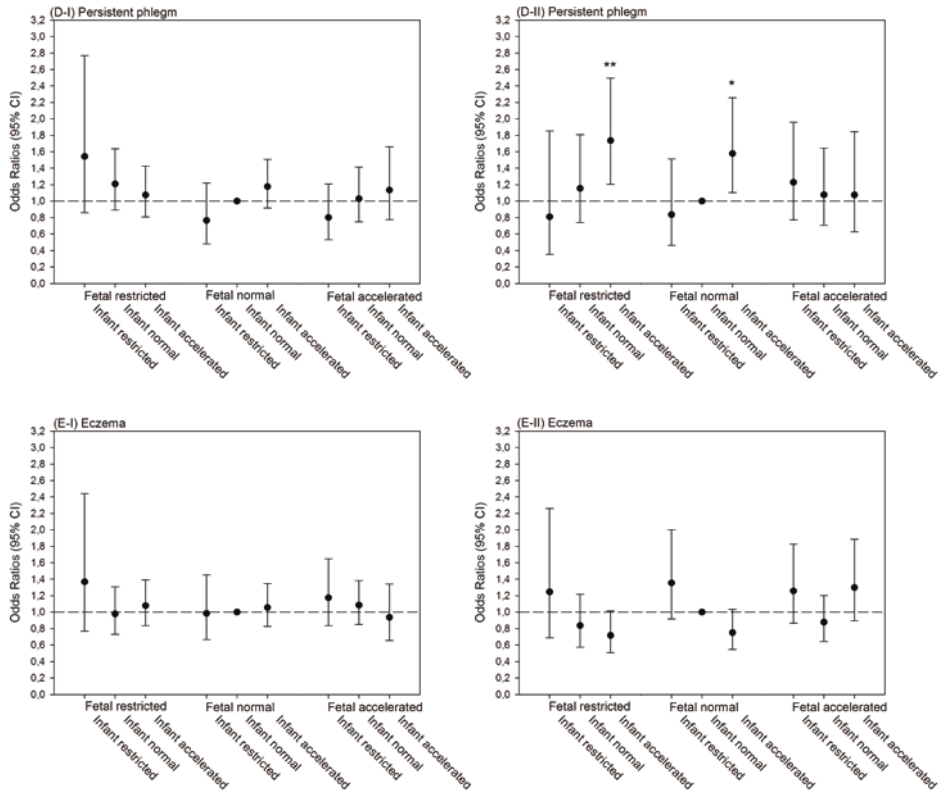
25. **Figure E2.2.1.** Flow chart of participants in study

26. Fetal growth characteristics include crown-rump length (1<sup>st</sup> trimester), head circumference, femur length, abdominal circumference and calculated estimated fetal weight (2<sup>nd</sup> and 3<sup>rd</sup> trimester). Birth and infant growth characteristics include head circumference, length, weight and calculated body mass index (birth, 3, 6 and 12 months).



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**Figure E2.2.2.** Weight growth patterns and asthma symptoms according to maternal atopy status.

Values are odds ratios (95% Confidence Interval). I = no history of maternal atopy, II = history of maternal atopy. Normal fetal and normal infant growth is used as reference category. \* $p < 0.05$ , \*\* $p < 0.01$  and \*\*\* $p < 0.001$  based on longitudinal generalized estimating equation models. Models were adjusted for maternal age, body mass index, education, history of asthma, smoking habits, parity, gestational hypertension, gestational diabetes, children's sex, gestational age, ethnicity, breastfeeding status, daycare attendance and pet keeping.

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# 2.3

## Early growth patterns associated with school-age respiratory outcomes

Agnes M.M. Sonnenschein-van der Voort, MSc<sup>1,2,3</sup>, Romy Gaillard, MSc<sup>1,3,4</sup>, Johan C. de Jongste, MD, PhD<sup>2</sup>, Albert Hofman, MD, PhD<sup>3</sup>, Vincent W.V. Jaddoe, MD, PhD<sup>1,3,4</sup>, Liesbeth Duijts, MD, PhD<sup>2,3,5</sup>

<sup>1</sup>The Generation R Study Group, <sup>2</sup>Department of Pediatrics, Division of Respiratory Medicine, <sup>3</sup>Department of Epidemiology, <sup>4</sup>Department of Pediatrics, <sup>5</sup>Department of Pediatrics, Division of Neonatology, Erasmus Medical Center, Rotterdam, The Netherlands

*Submitted*





# 2.4

## Influence of childhood growth on asthma and lung function in adolescence

A.M.M. Sonnenschein-van der Voort, MSc<sup>1,2,3</sup>, L.D. Howe, PhD<sup>1,4</sup>, R. Graneli, PhD<sup>1</sup>, Liesbeth Duijts, MD, PhD<sup>2,3,5</sup>, J.A.C. Sterne, PhD<sup>1</sup>, K. Tilling, PhD<sup>1</sup>, A.J. Henderson, MD<sup>1</sup>

<sup>1</sup>School of Social and Community Medicine, University of Bristol, Bristol, United Kingdom, <sup>2</sup>Department of Pediatrics, Division of Respiratory Medicine, Erasmus Medical Center, Rotterdam, The Netherlands, <sup>3</sup>Department of Epidemiology, Erasmus Medical Center, Rotterdam, The Netherlands, <sup>4</sup>Medical Research Council Integrative Epidemiology Unit, University of Bristol, Bristol, United Kingdom, <sup>5</sup>Department of Pediatrics, Division of Neonatology, Erasmus Medical Center, Rotterdam, The Netherlands

*Submitted*



1. **ABSTRACT**

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3. **Background** Low birth weight and rapid infant growth in early infancy are associated with  
4. increased risks of childhood asthma but little is known about the role of post-infancy growth  
5. in childhood with asthma.

6.

7. **Aims** To examine the associations of children's growth patterns with asthma, bronchial  
8. responsiveness, and lung function in childhood and adolescence.

9.

10. **Methods** Individual growth trajectories from birth until 10 years were estimated using linear  
11. spline multilevel models for 9,723 children participating in a population-based prospective  
12. cohort study. Weight trajectories were adjusted for height. Current asthma at 8, 14 and 17  
13. years was based on questionnaires. Lung function (z-scores of FVC, FEV<sub>1</sub>, FEV<sub>25-75</sub>, FEV<sub>1</sub>/FVC,  
14. and FEV<sub>25-75</sub>/FVC), and bronchial responsiveness or reversibility were measured during clinic  
15. visits at age 8 and 15 years.

16.

17. **Results** Rapid weight growth between 0-3 months was most consistently associated with in-  
18. creased risks of current asthma at age 8 and 17 years, bronchial responsiveness at 8 years, and  
19. bronchial reversibility at 15 years. Rapid weight growth through almost the whole of child-  
20. hood was associated with lung function values, with the strongest associations for weight  
21. gain between 3-7 years and higher FVC and FEV<sub>1</sub> at 15 years (0.12 (0.08, 0.17), and 0.11 (0.07,  
22. 0.15), z-score per SD respectively), and weight growth between 0-3 months with lower FEV<sub>1</sub>/  
23. FVC ratios at age 8 and 15 years (-0.13(-0.16, -0.10), and -0.04 (-0.07, -0.01) z-score per SD,  
24. respectively). Rapid length growth throughout childhood was associated with lower FVC and  
25. FVC<sub>1</sub> at age 15 years, but less consistently associated with the other respiratory outcomes.

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27. **Conclusion** Faster rate of weight growth in early childhood is associated with asthma and  
28. bronchial hyperresponsiveness, and faster weight growth across childhood with higher FVC  
29. and FEV<sub>1</sub>.

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## 1. INTRODUCTION

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3. Asthma is the most prevalent chronic respiratory disease in children worldwide<sup>1, 2</sup>. Many  
4. factors have been associated with increased risks of asthma, or lower lung function, such  
5. as gestational age, tobacco smoke exposure, breastfeeding habits, and a family history of  
6. asthma or allergy<sup>3-7</sup>. Respiratory morbidity might also be the result of abnormal growth.  
7. Fetal growth<sup>8-10</sup>, low birth weight<sup>10-16</sup>, and rapid infant weight gain during infancy<sup>17-21</sup> have  
8. been associated with asthma, or lower lung function in early childhood. Only a few studies  
9. have explored the associations of infant or childhood growth with the risk of asthma, or lung  
10. function in later life<sup>22-25</sup>. However, results of such studies are inconsistent, which could be  
11. explained in part by methodological issues, including differences in definitions of growth or  
12. asthma outcomes, and the adjustment for potential confounders.

13. The underlying mechanism of the associations between growth and respiratory morbidity  
14. may include abnormal growth and development of the lungs, or immunological or inflamma-  
15. tory effects such as adiposity related systemic and tissue-specific inflammation<sup>26-29</sup>.

16. To further elucidate the relationship between size at birth and subsequent growth with  
17. respiratory outcomes, we examined the associations of children's growth trajectories from  
18. birth until the age of 10 years with current asthma, bronchial responsiveness or reversibil-  
19. ity, and lung function in adolescence in a population-based prospective birth cohort study  
20. among 9,723 children.

21.

22.

## 23. METHODS

24.

### 25. Design and setting

26.

27. Subjects were participants in the Avon Longitudinal Study of Parents and Children (ALSPAC)  
28. in the United Kingdom, which has been described previously<sup>30</sup> and on the study website  
29. ([www.bristol.ac.uk/alspac](http://www.bristol.ac.uk/alspac)). In brief, 15,247 pregnant women, 15,458 fetuses, resident in one  
30. of three Bristol-based health districts with an expected delivery date between 1 April 1991  
31. and 31 December 1992 were recruited and gave birth to 14,316 singleton children alive  
32. at the age of 1 year. Children with no information on either growth trajectories (n=701) or  
33. any asthma outcome (n=3,892) were excluded, leaving a total of 9,723 children included in  
34. the current analyses (online supplement, Figure E2.4.1). Ethical approval for the study was  
35. obtained from the ALSPAC Law and Ethics Committee and the Local Research Ethics Com-  
36. mittees. Written informed consent was obtained from all participants and their parents or  
37. guardians.

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## 1. **Growth trajectories**

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3. Height and weight measurements were available from birth up to the age of 10 years from  
4. a variety of sources (see text E2.4.1 in the online supplement for full details). Linear spline  
5. multilevel models were used to estimate trajectories of height and weight; the models esti-  
6. mate mean and person-specific birth weight or length and mean and person-specific rates  
7. of weight or height growth between 0-3 months, 3 months-1 year, 1-3 years, 3-7 years, and  
8. 7-10 years; the models are described in full elsewhere<sup>31</sup>. We generated standard deviation  
9. scores (z-scores) for birth weight and length and rate of weight/height growth in each period  
10. of childhood by subtracting the mean from the person-specific value and dividing by the  
11. standard deviation. These standard deviation scores for birth weight/length and rates of  
12. growth are used as the exposures in our analyses.

13.

## 14. **Asthma and lung function**

15.

16. Current asthma status was obtained at ages 8, 14 years and 17 years. Current asthma was  
17. defined as a reported doctor-diagnosis of asthma ever and reported wheezing, asthma, or  
18. the use of asthma medication in the previous 12 months. Bronchial hyperresponsiveness,  
19. unselected for asthma or wheezing, was measured at the age of 8 and 15 years<sup>32</sup>. At 8 years  
20. of age we tested the provoking dose of methacholine causing a fall from baseline  $FEV_1$ .  
21. The dose response slope was calculated by fitting a linear function to the plot of percent  
22. decline from baseline. We dichotomized bronchial responsiveness using the highest tertile as  
23. responders, the rest as non-responders. At 15 years of age we defined bronchial reversibility  
24. as a change of equal or more than 12% between  $FEV_1$  before and after a standard dose (400  
25. micrograms) of salbutamol was inhaled<sup>33</sup>. Spirometry (Vitalograph 2120, Maids Moreton, UK)  
26. was performed at 8 and 15 years of age following American Thoracic Society standards<sup>34</sup>.  
27. Lung function measurements ( $FEV_1$ , FVC,  $FEF_{25-75}$ ,  $FEV_1/FVC$  and  $FEF_{25-75}/FVC$ ) were converted  
28. into sex-, age-, and height-adjusted z-scores<sup>35</sup>.

29.

## 30. **Covariates**

31.

32. Maternal age, highest qualification, body mass index, parity and a history of asthma or atopy  
33. were reported in questionnaires at 12 weeks of gestation, and smoking during pregnancy was  
34. assessed at 18 weeks of gestation using self-completion questionnaires sent to the mothers.  
35. Maternal anxiety during pregnancy was measured at 32 weeks of pregnancy and was defined  
36. as the highest quartile of the Crown-Crisp Experiential Index<sup>36</sup>. Children's gestational age and  
37. sex, were obtained from birth records. Breastfeeding status at 8 months was obtained from  
38. maternal self-completion questionnaires.

39.

## 1. **Statistical analysis**

2.

3. We used logistic regression models to assess the associations between growth trajectories  
4. and current asthma and bronchial responsiveness or reversibility. Linear regression models  
5. were used to assess the associations of the growth trajectories on with lung function mea-  
6. surements. The analyses were adjusted for potential confounders including maternal age,  
7. body mass index, anxiety, education, history of asthma or atopy, smoking habits, and parity,  
8. and child's sex, gestational age at birth, and breastfeeding status. Models of weight gain were  
9. additionally adjusted for preceding height-adjusted weight growth trajectories and birth  
10. weight, and models of height gain were additionally adjusted for preceding height growth  
11. trajectories and birth weight. Models for current asthma or lung function were additionally  
12. adjusted for previous current asthma or lung function measurements. Secondly, body mass  
13. index at the age of the outcome assessment was added as an interaction to explore potential  
14. effect modification on the associations of childhood growth with asthma and lung function.

15. Missing data in confounders were imputed using multiple imputations. The percentages  
16. of missing values within the population for analysis were lower or near to 10%, except for  
17. maternal body mass index (13.1%), and anxiety (13.6%) and child's breastfeeding duration  
18. (11.5%). Ten new datasets were created by imputation based on all covariates, determinants  
19. and outcomes in the model<sup>37</sup>. All datasets were analysed separately after which results were  
20. combined. No differences in results were observed between analyses with imputed missing  
21. data or complete cases only. Therefore, we present only results based on imputed datasets.  
22. Statistical analyses were performed using the Statistical Package of Social Sciences version  
23. 19.0 for Windows (SPSS Inc., Chicago, IL, US).

24.

25.

## 26. **RESULTS**

27.

28. Characteristics of mothers and their children are presented in Table 2.4.1. Children were  
29. born at a median (95% range) gestational age of 40 (35-42) weeks with an average (SD) birth  
30. weight 3,436 (524) grams. Current asthma was reported in 13.9%, 13.2% and 15.3% of the  
31. children at ages 8, 14, and 17 years.

32.

### 33. **Childhood growth with asthma**

34.

35. We observed no evidence for the association between higher birth length or weight and cur-  
36. rent asthma. Height growth in mid childhood tended to be negatively associated with cur-  
37. rent asthma at 8 years, with the strongest evidence of association for height gain between 3  
38. and 7 years with asthma at 8 years of age (odds ratio (OR) 0.75 (95% Confidence Interval 0.66,  
39. 0.86) per SD increase) (Table 2.4.2). More rapid weight gain during early childhood tended to

**Table 2.4.1.** Characteristics of mothers and their children n=9,723

	Observed	Imputed
<b>Maternal characteristics</b>		
Age (years)		
<20 years	4.3 (404)	4.3 (417)
20-24 years	19.8 (1,873)	19.8 (1,928)
25-29 year	41.8 (3,945)	41.8 (4,066)
30-34 years	26.1 (2,466)	26.1 (2,537)
≥ 35 years	8.0 (756)	8.0 (776)
Missing	2.9 (279)	-
Body mass index (kg/m <sup>2</sup> )		
<20	18.2 (1,541)	18.7 (1,815)
20-24	61.3 (5,183)	59.5 (5,793)
25-29	15.2 (1,282)	16.7 (1,623)
≥ 30	5.3 (448)	5.1 (492)
Missing	13.1 (1,269)	-
Education (%)		
Low/medium	60.3 (5,454)	60.7 (5,902)
Higher	39.7 (3,596)	39.3 (3,821)
Missing	6.9 (673)	-
History of asthma (%)		
No	88.8 (8,042)	88.7 (8,629)
Yes	11.2 (1,018)	11.3 (1,094)
Missing	6.8 (663)	-
Anxiety during pregnancy (%)		
No	73.3 (6,162)	73.3 (7,126)
Yes	26.7 (2,241)	26.7 (2,597)
Missing	13.6 (1,220)	-
Smoking during pregnancy (%)		
No	84.0 (7,753)	83.9 (8,159)
Yes	16.0 (1,472)	16.1 (1,564)
Missing	5.1 (498)	-
Parity (%)		
0	46.0 (4,181)	46.1 (4,486)
≥1	54.0 (4,909)	53.9 (5,237)
Missing	6.5 (633)	-
<b>Child characteristics</b>		
Female sex (%)	49.5 (4,814)	49.5 (4,814)
Gestational age at birth (weeks)	40.0 (35.0, 42.0)	40.0 (35.0, 42.0)
Birth weight (grams)	3,438 (532)	3,436 (524)
Breastfeeding duration (%)		
Never	23.3 (1,999)	23.7 (2,308)
< 3 months	22.9 (1,972)	23.0 (2,236)
3 - 6 months	17.1 (1,472)	17.1 (1,664)
≥ 6 months	36.8 (3,163)	36.2 (3,515)
Missing	11.5 (1,117)	-

39. Values are means (SD), medians (2.5-97.5<sup>th</sup> percentile) or percentages (absolute numbers). Gestational age at birth was missing for 2.9% (n=279), birth weight 3.9% (n=378).

**Table 2.4.2.** Growth trajectories and current asthma

	Current asthma					
	8 years		14 years		17 years	
	n=7,794	p	n=5,590	p	n=3,531	p
<b>Height</b>						
Birth length (SD)	0.97 (0.88, 1.08)	0.60	0.97 (0.84, 1.12)	0.66	0.94 (0.76, 1.16)	0.48
0-3 months (SD/month)	0.98 (0.91, 1.06)	0.57	0.97 (0.87, 1.09)	0.59	1.05 (0.89, 1.24)	0.55
3-12 months (SD/month)	1.02 (0.91, 1.14)	0.76	0.93 (0.79, 1.08)	0.32	1.05 (0.85, 1.30)	0.66
1-3 years (SD/month)	0.91 (0.84, 0.99)	0.03	0.96 (0.85, 1.08)	0.48	0.91 (0.77, 1.09)	0.31
3-7 years (SD/month)	0.75 (0.66, 0.86)	<0.001	1.10 (0.92, 1.31)	0.32	1.14 (0.88, 1.47)	0.32
7-10 years (SD/month)	-		1.06 (0.84, 1.35)	0.62	0.81 (0.57, 1.14)	0.23
<b>Weight</b>						
Birth weight (SD)	0.99 (0.89, 1.10)	0.81	0.97 (0.83, 1.13)	0.69	1.13 (0.91, 1.41)	0.27
0-3 months (SD/month)	1.09 (1.02, 1.17)	0.02	0.97 (0.88, 1.08)	0.61	1.18 (1.01, 1.37)	0.03
3-12 months (SD/month)	1.10 (1.02, 1.19)	0.02	1.10 (0.98, 1.24)	0.10	0.89 (0.75, 1.06)	0.18
1-3 years (SD/month)	1.11 (1.02, 1.20)	0.02	1.03 (0.91, 1.16)	0.68	1.03 (0.87, 1.23)	0.72
3-7 years (SD/month)	1.03 (0.94, 1.13)	0.57	0.94 (0.82, 1.08)	0.39	1.04 (0.86, 1.26)	0.69
7-10 years (SD/month)	-		1.00 (0.82, 1.21)	0.97	0.92 (0.70, 1.21)	0.54

Values are odds ratios (95% Confidence Intervals). Models are adjusted for maternal age, educational level, history of asthma, body mass index, parity, smoking during pregnancy, anxiety, and children's sex, gestational age, breastfeeding duration and previous height or weight gain. Models of weight were additionally adjusted for preceding height and weight growth trajectories and models of height were additional adjusted for preceding height growth trajectories and birth weight. And also were additionally adjusted for previous current asthma.

be positively associated with current asthma with the most consistent associations observed for weight gain between 0-3 months with asthma at 8 and 17 years of age (ORs 1.10 (1.02, 1.19), and OR 1.18 (1.01, 1.37), respectively) (Table 2.4.2). No strong evidence was observed for effect modification of childhood growth with current body mass index on current asthma (p for interactions >0.05).

### Childhood growth with bronchial responsiveness

We observed no evidence for an association between higher birth length or weight and bronchial hyperresponsiveness. Also, no evidence was found for associations between height gain in early, mid, or late childhood and bronchial responsiveness or reversibility at 8 and 15 years, respectively (Table 2.4.3). Higher weight gain in early childhood, between 0-3 and 3-12 months only, was associated with an increased risk of bronchial responsiveness to methacholine at 8 years (ORs 1.11 (1.03, 1.20), and 1.09 (1.00, 1.19), respectively, per SD increase) and bronchial responsiveness to salbutamol at 15 years (ORs 1.14 (1.00, 1.31), and 1.24 (1.07, 1.42), respectively, per SD increase) (Table 2.4.3). No strong evidence was observed for effect modification of childhood growth with current body mass index on bronchial responsiveness or reversibility (p for interaction >0.05).

**Table 2.4.3.** Growth trajectories and bronchial responsiveness at 8 and reversibility at 15 years

	Methacholine responsive at 8 years		Salbutamol responsive at 15 years	
	n=4,389	p	n=3,750	P
<b>Height</b>				
Birth length (SD)	1.00 (0.90, 1.11)	0.98	1.01 (0.84, 1.21)	0.95
0-3 months (SD/month)	0.96 (0.89, 1.04)	0.31	1.12 (0.97, 1.29)	0.12
3-12 months (SD/month)	0.99 (0.89, 1.11)	0.88	1.06 (0.87, 1.28)	0.59
1-3 years (SD/month)	1.01 (0.92, 1.10)	0.82	1.04 (0.89, 1.21)	0.62
3-7 years (SD/month)	0.96 (0.84, 1.10)	0.55	1.18 (0.95, 1.48)	0.14
7-10 years (SD/month)	-		1.04 (0.77, 1.40)	0.81
<b>Weight</b>				
Birth weight (SD)	0.94 (0.84, 1.06)	0.29	0.93 (0.76, 1.14)	0.47
0-3 months (SD/month)	1.11 (1.03, 1.20)	0.01	1.14 (1.00, 1.31)	0.05
3-12 months (SD/month)	1.09 (1.00, 1.19)	0.05	1.24 (1.07, 1.42)	0.00
1-3 years (SD/month)	0.95 (0.87, 1.04)	0.23	0.87 (0.75, 1.01)	0.08
3-7 years (SD/month)	1.00 (0.91, 1.10)	0.96	1.09 (0.93, 1.28)	0.31
7-10 years (SD/month)	-		0.93 (0.74, 1.17)	0.52

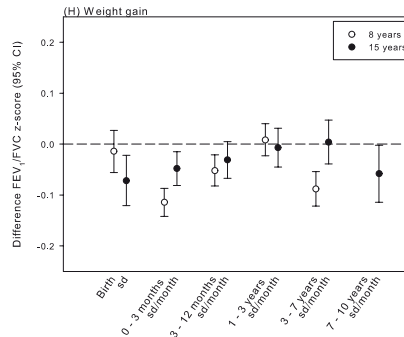
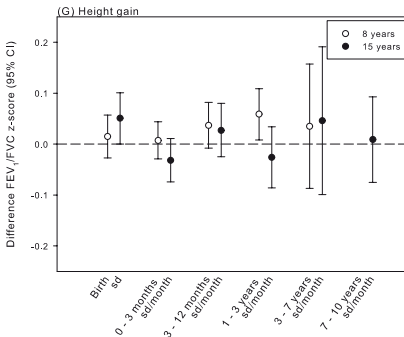
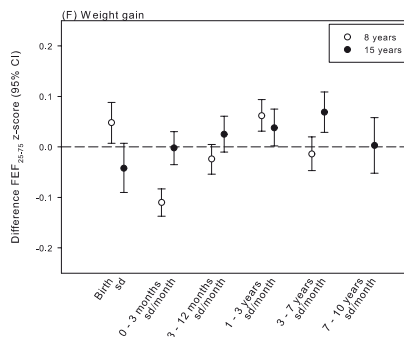
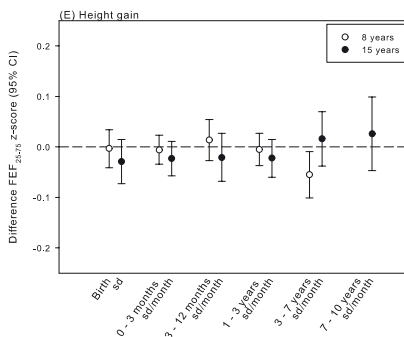
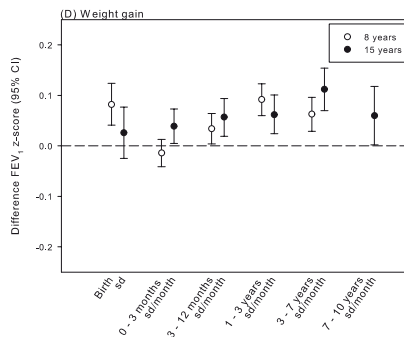
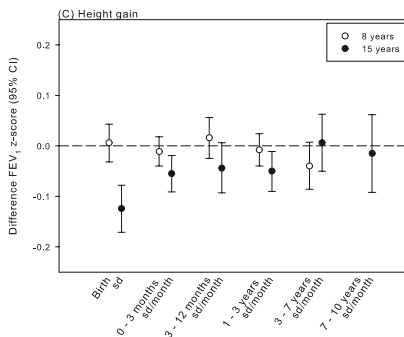
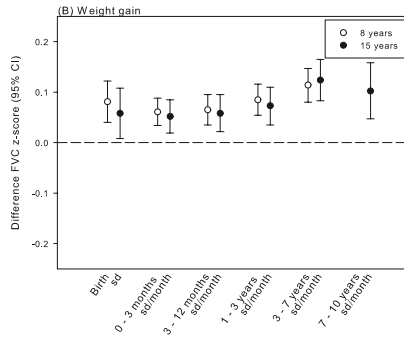
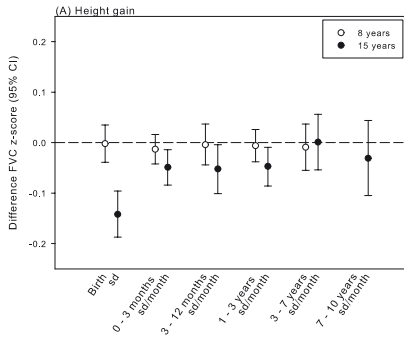
Values are odds ratios (95% Confidence Intervals). Models are adjusted for maternal age, educational level, history of asthma, body mass index, parity, smoking during pregnancy, anxiety, and children's sex, gestational age, breastfeeding duration and previous height or weight gain. Models of weight were additionally adjusted for preceding height and weight growth trajectories and models of height were additional adjusted for preceding height growth trajectories and birth weight.

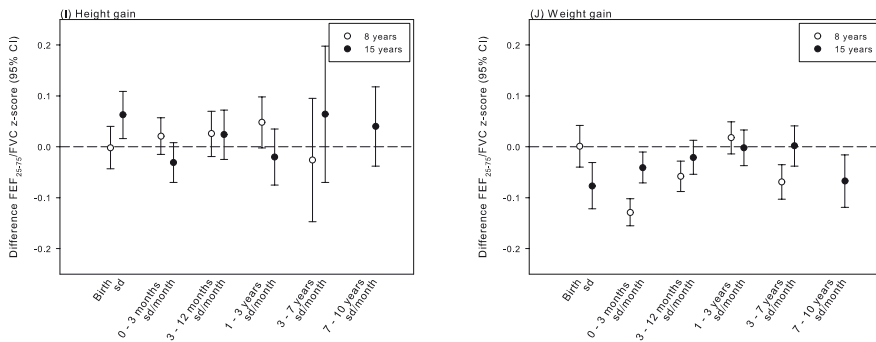
## Childhood growth with lung function

Figure 2.4.1 and Table E2.4.1 show the associations of height and weight trajectories with lung function measurements at 8 and 15 years of age. Lung function measures at 15 years were analysed independently from the corresponding lung function measure at age 8 years. Higher birth length was associated with a lower FVC and FEV<sub>1</sub> z-score at the age of 15 years (-0.14 (-0.19, -0.09), and -0.12 (-0.17, -0.08) per SD increase, respectively) (Figure 2.4.1A and C). Higher birth length was also associated with an increased FEV<sub>1</sub>/FVC and FEF<sub>25-75</sub>/FVC ratio (0.05 (0.00, 0.10), and 0.06 (0.02, 0.11) per SD increase, respectively) (Figure 2.4.1G and I). After birth, more rapid height gain in early, mid and late childhood was most consistently associated with a reduced FVC and FEV<sub>1</sub> at the age of 15 years, but not with other lung function variables or ratio's or with lung function at the age of 8 years (Figure 2.4.1A to I).

Higher birth weight was most strongly associated with higher FVC, FEV<sub>1</sub>, and FEF<sub>25-75</sub> z-scores at age 8 (0.08 (0.04, 0.12), 0.08 (0.04, 0.12), and 0.05 (0.01, 0.09) per SD increase, respectively), and with higher FVC at age 15 years only (0.06 (0.01, 0.11) (Figure 2.4.1B, D and F). Also, higher birth weight was associated with a reduced FEV<sub>1</sub>/FVC and FEF<sub>25-75</sub>/FVC ratio at the age of 15 years (Figure 2.4.1H and J). After birth, more rapid weight growth throughout childhood was associated with higher FVC and FEV<sub>1</sub>, with the greatest effect estimates for weight

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**Figure 2.4.1.** Growth (height and weight) with lung function measures FVC (A,B), FEV<sub>1</sub> (C,D), FEF<sub>25-75</sub> (E,F), and ratios FEV<sub>1</sub>/FVC (G,H) and FEF<sub>25-75</sub>/FVC (I,J).

Values are differences in z-score lung function (95% Confidence Intervals). Z-scores were calculated for sex, age and height at time of measurement. FEV<sub>1</sub>/FVC and FEF<sub>25-75</sub>/FVC sex-adjusted z-scores were additionally adjusted for age and height of measurement. Models are adjusted for maternal age, educational level, body mass index, parity, smoking during pregnancy, anxiety, history of asthma, and children's sex, gestational age, and breastfeeding duration. Models of weight were additionally adjusted for preceding height and weight growth trajectories and models of height were additionally adjusted for preceding height growth trajectories and birth weight. Models for lung function at 15 years of age were additionally adjusted for lung function measures at the age of 8 years.

gain in mid childhood and FVC and FEV<sub>1</sub> at 15 years (0.12 (0.08, 0.17), and 0.11 (0.07, 0.15), z-score per SD respectively) (Figure 2.4.1 B, D). For the other lung function variables, more rapid weight gain in early childhood was associated with a decreased FEF<sub>25-75</sub> at 8 years of age only (Figure 2.4.1F). We observed lower FEV<sub>1</sub>/FVC and FEF<sub>25-75</sub>/FVC ratios at the age of 8 and 15 years for early rapid weight gain, followed by normal ratios for mid childhood weight gain, but lower ratios again for late rapid weight gain (Figures 2.4.1H and J). We observed effect modification of childhood weight growth by body mass index on lung function ( $p$  for interaction  $<0.05$ ), but not of childhood height growth ( $p$  for interaction  $>0.05$ ). Stratified analyses for body mass index, showed that the effect estimates of childhood weight growth for FVC and FEV<sub>1</sub> were larger in the group of children with a normal body mass index compared with children with overweight (supplement, Table E2.4.2).

## DISCUSSION

Our results suggest positive associations of rapid weight growth during early and mid childhood with current asthma, higher weight growth during early childhood with increased bronchial responsiveness or reversibility, and higher weight growth in childhood with higher overall lung volumes but increased measures of obstruction (FEV<sub>1</sub>/FVC and FEF<sub>25-75</sub>/FVC ra-



1. tios) in childhood. Higher length at birth and height growth in childhood was associated with  
2. lower lung volumes, but less consistently associated with the other respiratory outcomes.

3.

#### 4. **Comparison with previous studies**

5.

6. Previous studies of the association of childhood growth with asthma have reported an  
7. increased risk of asthma symptoms in pre-school children with accelerated growth in early  
8. infancy<sup>10, 21</sup>. A previous study that measured asthma at an older age (6 years) showed no  
9. evidence for increased risks due to changes in growth, using similarly defined growth trajec-  
10. tories as in our study. However, they did report increased risks of ever wheezing for higher  
11. weight growth in early childhood<sup>19</sup>. Differences in results with our study might be explained  
12. by differences in the study populations (general population, term born children only) and  
13. age at which asthma was measured (early, mid or late childhood). A meta-analysis on body  
14. mass index gain in early and mid childhood suggested that more rapid body mass index gain  
15. in early childhood, but not thereafter, was associated with an increased incidence of asthma  
16. at 6 years<sup>38</sup>, which is consistent with our findings about asthma at age 8 years.

17. To the best of our knowledge, no previous studies have examined the relationship between  
18. childhood growth and bronchial responsiveness or reversibility. However, because asthma is  
19. associated with bronchial hyperresponsiveness<sup>39</sup>, the association between early childhood  
20. weight gain and the objective measure of bronchial responsiveness is in line with previous  
21. studies on growth and asthma outcomes<sup>9, 10, 19, 21, 38, 40</sup> and strengthens our conclusions about  
22. the association with asthma using objective as well as self-reported outcome measures.  
23. Previous studies measured lung function during early childhood reported lower FEV<sub>0.4</sub> in the  
24. first months of life in term born children showing greater postnatal weight gain<sup>20</sup>. Also, Turner  
25. et al showed a negative association of growth between 1 and 12 months and lung function  
26. change (V'maxFRC) during the same period. These changes were also associated with a lower  
27. FEV<sub>25-75</sub> at 11 years of age<sup>40</sup>. Our findings were in line with these results. In contrast, Canoy et  
28. al showed in adults that weight gain during the first year was positively associated with adult  
29. lung function independently of birth weight<sup>24</sup>. Additionally, we showed in a large number  
30. of subjects that weight gain in mid and late childhood was associated with lung function  
31. independent of birth weight and weight gain in early childhood.

32.

#### 33. **Interpretation of results**

34.

35. The most prominent and novel findings in this study are the positive associations of weight  
36. gain in early childhood, specifically weight gain in the first 3 months of life, and lung func-  
37. tion changes at 8 and 15 years. This early postnatal period has been observed previously to  
38. be important for the development of asthma symptoms and decreased lung function up  
39. to preschool age<sup>10, 19-21</sup>. Our results suggest that the effects of rapid weight gain in the first

1. 3 months of life on asthma and bronchial hyperresponsiveness persist until adolescence.  
2. Additionally, rapid weight growth in mid and late childhood were associated with changes  
3. in lung function variables. The underlying mechanisms of rapid weight gain in childhood on  
4. asthma and lung function outcomes are unclear and should be assessed in future studies.  
5. We speculate that abnormal growth and development of the lungs, possibly with mismatch  
6. between airway and alveolar growth, or immunological and inflammatory effects with lung  
7. and airway remodelling may play a role<sup>26,29,41</sup>.

8.  $FEV_1/FVC$  is a measure of obstruction and decreased values are a feature of asthma. We  
9. observed increases in FVC and  $FEV_1$  in association with rapid early weight gain but a lower  
10.  $FEV_1/FVC$  ratio, which would be consistent with greater influence of early rapid weight gain  
11. on lung volume than airway growth. Because weight gain in infancy is proportionally greater  
12. than in subsequent years, effects of rapid weight gain on an imbalance between  $FEV_1$  and  
13. FVC might be the most influenced during this specific period. The ratio of  $FEF_{25-75}/FVC$  has  
14. also been suggested as a measure of dysanapsis in which airways are small in relation to total  
15. lung capacity<sup>42</sup>, so our finding of rapid weight gain associations with lower  $FEF_{25-75}/FVC$  would  
16. be consistent with this explanation. Another possible explanation for effects of rapid weight  
17. gain on lung function is through influence of adipose tissue on the developing immune  
18. system through secretion of immunologically active factors, including adipokines and che-  
19. mokines<sup>43</sup>. In mice, leptin has been shown to enhance airway responsiveness, suggesting an  
20. immunomodulatory role<sup>44</sup> and the effect has also been reported in humans, although results  
21. are inconsistent<sup>45-47</sup>. We observed no evidence that body mass index modified associations of  
22. childhood growth with asthma and bronchial responsiveness or reversibility, nor on the as-  
23. sociation of childhood height growth with lung function. Finally, a common unknown factor  
24. that increases weight gain and is also responsible for a higher risk of respiratory morbidity,  
25. such as shared genetic risk, might be involved<sup>48</sup>.

26.

### 27. **Strengths and limitations**

28.

29. This study was embedded in a population-based prospective cohort study with a large  
30. number of subjects being studied from pregnancy onwards with detailed and prospectively  
31. acquired information about growth and respiratory morbidity. Modelled growth trajectories  
32. for this population enabled us to take account of different timings and numbers of measure-  
33. ments between children and to assess the associations of growth across childhood with our  
34. outcomes of interest. Lung function measurements were made using the same methods at  
35. two time points, and methacholine challenge or bronchodilator reversibility were used to  
36. evaluate bronchial responsiveness, giving objective respiratory outcomes. We adjusted for a  
37. large number of confounders. A limitation of this study is the loss of follow-up. Incomplete  
38. data in the ALSPAC cohort is associated with social deprivation<sup>49</sup>. Also, we were unable to  
39. take fetal growth into account. Growth in childhood might be the result of various fetal

1. growth patterns which could underlie associations of growth in childhood with asthma and
2. lung function. However, previous studies showed inconsistent effects of fetal growth with
3. respiratory outcomes<sup>8,10</sup>.
- 4.
5. **In conclusion**, our results suggest that rapid weight growth during early and mid-childhood
6. is associated with current asthma in mid-childhood and adolescence, rapid weight growth
7. during early childhood is associated with increased bronchial responsiveness and revers-
8. ibility, and rapid weight growth through almost whole childhood is associated with higher
9. lung volumes but a lower FEV<sub>1</sub>/FVC ratio in adolescence. Rapid length growth was associated
10. with lower overall lung volume. Further studies are needed to replicate these findings and
11. to explore underlying mechanisms of the effect of growth in specific periods on respiratory
12. health, and to explore differential lung growth.
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# Supplements

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## TEXT E1

### Growth trajectories

Length and height data for the children were available from several sources. Birth length (crown–heel) was measured by trained research staff who visited newborns soon after birth (median 1 day, range 1–14 days), using a Harpenden neonatometer (Holtain Ltd, Crosswell, Crymych, UK). From birth to 5 years, measurements were available from health visitor records, which form part of standard childcare in the UK, for the majority of the cohort. Up to four measurements were taken on average at 2, 10, 21 and 48 months of age, which have been demonstrated previously to have good accuracy. For a random 10% of the cohort, direct measurements from a series of research clinics, held between the ages of 4 months and 5 years were also available. At these clinics, crown–heel length for children aged 4–25 months was measured using a Harpenden neonatometer (Holtain Ltd), and from 25 months onwards standing height was measured using a Leicester height measure (Seca, Hamburg, Germany). Weight was measured using a Seca scale. From age 7 years upwards, all children were invited to annual clinics, at which standing height was measured (without shoes) to the last complete millimetre using the Harpenden stadiometer (Holtain Ltd) and the Tanita Body Fat Analyses (Model TBF 305) was used for weight measurement. Across all ages, parent-reported child heights and weights are also available from questionnaires. These measurements were comparable with routinely collected child health record height and weight data with no systematic bias. Therefore, all above described height and weight measurements were used to generate growth trajectories for height, and weight from children with at least two observed measurements.

We used fractional polynomials to find the best-fitting average trajectory and used this to derive approximate knot points for a linear spline model. A separate model was created for females and males. We simplified the models with the aims of having the same knot points in females and males for both weight and height, and having knot points at round ages in months. Model fit using these models, was not appreciably lower than in the optimal model. The defined knot points are 3 months, 1 year, 3 years, and 7 years thereby creating the following growth rate trajectories: 0–3 months, 3 months–1 year, 1–3 years, 3–7 years, and 7–10 years. Growth rates are presented as a change in SD, which was calculated from adding the individual-level residuals to the mean growth rates for each period.

**Table E2.4.1.** Growth (height and weight) with lung function measures

	Mean difference (95% Confidence Interval)			
	Height growth		Weight growth	
	8 years of age	15 years of age	8 years of age	15 years of age
	<b>FVC</b>			
<b>Birth</b>	-0.002 (-0.039, 0.035)	-0.142 (-0.187, -0.096)	0.081 (0.040, 0.122)	0.058 (0.008, 0.108)
<b>0-3 months</b>	-0.013 (-0.042, 0.016)	-0.049 (-0.084, -0.014)	0.061 (0.034, 0.088)	0.052 (0.019, 0.085)
<b>3-12 months</b>	-0.004 (-0.044, 0.037)	-0.052 (-0.101, -0.004)	0.065 (0.035, 0.095)	0.058 (0.022, 0.095)
<b>1-3 years</b>	-0.006 (-0.038, 0.026)	-0.047 (-0.086, -0.009)	0.085 (0.054, 0.116)	0.073 (0.035, 0.110)
<b>3-7 years</b>	-0.009 (-0.055, 0.037)	0.001 (-0.054, 0.056)	0.114 (0.080, 0.147)	0.124 (0.083, 0.165)
<b>7-10 years</b>		-0.031 (-0.105, 0.044)		0.102 (0.047, 0.158)
	<b>FEV<sub>1</sub></b>			
<b>Birth</b>	0.006 (-0.032, 0.043)	-0.124 (-0.171, -0.078)	0.082 (0.041, 0.124)	0.026 (-0.025, 0.077)
<b>0-3 months</b>	-0.011 (-0.04, 0.018)	-0.055 (-0.091, -0.019)	-0.014 (-0.041, 0.013)	0.039 (0.005, 0.073)
<b>3-12 months</b>	0.016 (-0.025, 0.056)	-0.044 (-0.093, 0.006)	0.034 (0.004, 0.064)	0.057 (0.019, 0.094)
<b>1-3 years</b>	-0.008 (-0.040, 0.024)	-0.05 (-0.090, -0.011)	0.092 (0.06, 0.123)	0.062 (0.024, 0.101)
<b>3-7 years</b>	-0.04 (-0.086, 0.007)	0.006 (-0.050, 0.063)	0.063 (0.029, 0.096)	0.112 (0.070, 0.154)
<b>7-10 years</b>		-0.015 (-0.092, 0.062)		0.060 (0.002, 0.118)
	<b>FEF<sub>25-75</sub></b>			
<b>Birth</b>	-0.003 (-0.041, 0.034)	-0.029 (-0.073, 0.015)	-0.014 (-0.056, 0.027)	-0.072 (-0.121, -0.022)
<b>0-3 months</b>	-0.006 (-0.034, 0.023)	-0.023 (-0.057, 0.011)	-0.114 (-0.142, -0.087)	-0.048 (-0.081, -0.015)
<b>3-12 months</b>	0.014 (-0.027, 0.054)	-0.021 (-0.068, 0.027)	-0.052 (-0.082, -0.021)	-0.031 (-0.067, 0.005)
<b>1-3 years</b>	-0.005 (-0.037, 0.027)	-0.022 (-0.060, 0.015)	0.008 (-0.023, 0.040)	-0.007 (-0.045, 0.031)
<b>3-7 years</b>	-0.055 (-0.101, -0.009)	0.016 (-0.038, 0.07)	-0.088 (-0.122, -0.054)	0.004 (-0.039, 0.047)
<b>7-10 years</b>		0.026 (-0.047, 0.099)		-0.058 (-0.114, -0.002)
	<b>FEV<sub>1</sub>/FVC</b>			
<b>Birth</b>	0.015 (-0.027, 0.057)	0.051 (0.000328, 0.101)	0.001 (-0.040, 0.042)	-0.077 (-0.122, -0.031)
<b>0-3 months</b>	0.007 (-0.029, 0.044)	-0.032 (-0.074, 0.011)	-0.129 (-0.155, -0.102)	-0.041 (-0.071, -0.010)
<b>3-12 months</b>	0.037 (-0.008, 0.082)	0.027 (-0.025, 0.080)	-0.058 (-0.088, -0.028)	-0.021 (-0.054, 0.013)
<b>1-3 years</b>	0.059 (0.008, 0.109)	-0.026 (-0.086, 0.034)	0.018 (-0.014, 0.049)	-0.002 (-0.037, 0.033)
<b>3-7 years</b>	0.035 (-0.087, 0.157)	0.046 (-0.099, 0.191)	-0.069 (-0.103, -0.035)	0.002 (-0.038, 0.041)
<b>7-10 years</b>		0.009 (-0.075, 0.093)		-0.067 (-0.119, -0.016)
	<b>FEF<sub>25-75</sub>/FVC</b>			
<b>Birth</b>	-0.002 (-0.043, 0.04)	0.063 (0.016, 0.109)	0.048 (0.007, 0.088)	-0.042 (-0.09, 0.007)
<b>0-3 months</b>	0.021 (-0.015, 0.057)	-0.031 (-0.07, 0.008)	-0.11 (-0.137, -0.083)	-0.002 (-0.035, 0.03)
<b>3-12 months</b>	0.026 (-0.019, 0.070)	0.024 (-0.025, 0.072)	-0.024 (-0.054, 0.005)	0.025 (-0.01, 0.061)
<b>1-3 years</b>	0.048 (-0.002, 0.098)	-0.020 (-0.075, 0.035)	0.062 (0.031, 0.094)	0.038 (0.002, 0.075)
<b>3-7 years</b>	-0.026 (-0.147, 0.095)	0.064 (-0.07, 0.198)	-0.014 (-0.047, 0.02)	0.069 (0.029, 0.109)
<b>7-10 years</b>		0.04 (-0.038, 0.118)		0.003 (-0.052, 0.058)

Values are differences in z-score lung function (95% Confidence Intervals). Z scores were calculated for sex, age and height at time of measurement. Models are adjusted for maternal age, educational level, body mass index, parity, smoking during pregnancy, anxiety, history of asthma, and children's sex, gestational age, and breastfeeding duration. Models of weight were additionally adjusted for preceding height and weight growth trajectories and models of height were additional adjusted for preceding height growth trajectories and birth weight. Models for lung function at 15 years of age were additionally adjusted for lung function measures at the age of 8 years.



**Table E2.4.2.** Weight growth trajectories and lung function in strata of current body mass index

		8 years of age								
		FVC (z-score)	P-value	P interaction	FEV1 (z-score)	P-value	P interaction	FEF25-75 (z-score)	P-value	P Interaction
<b>Normal weight at 8 years</b>										
Birth weight (SD)		0.072 (0.020, 0.124)	0.006	0.85	0.062 (0.010, 0.115)	0.02	0.77	-0.033 (-0.086, 0.019)	0.21	0.99
0-3 months (SD/month)		0.056 (0.021, 0.091)	0.001	0.13	-0.007 (-0.041, 0.028)	0.71	0.03	-0.103 (-0.137, -0.068)	<0.001	0.25
3-12 months (SD/month)		0.062 (0.023, 0.101)	0.002	0.42	0.048 (0.008, 0.087)	0.02	0.02	-0.024 (-0.063, 0.015)	0.23	0.01
1-3 years (SD/month)		0.086 (0.043, 0.128)	<0.001	0.38	0.089 (0.046, 0.132)	<0.001	0.52	0.007 (-0.036, 0.050)	0.76	0.49
3-7 years (SD/month)		0.293 (0.217, 0.368)	<0.001	<0.001	0.266 (0.190, 0.343)	<0.001	<0.001	-0.084 (-0.161, -0.006)	0.03	0.01
7-10 years (SD/month)										
<b>Overweight at 8 years</b>										
Birth weight (SD)		0.087 (0.014, 0.160)	0.02	0.85	0.101 (0.027, 0.175)	0.01	0.77	0.024 (-0.052, 0.100)	0.53	0.99
0-3 months (SD/month)		0.035 (-0.014, 0.083)	0.16	0.13	-0.025 (-0.074, 0.025)	0.33	0.03	-0.086 (-0.137, -0.035)	0.001	0.25
3-12 months (SD/month)		0.039 (-0.013, 0.092)	0.14	0.42	-0.002 (-0.055, 0.051)	0.95	0.02	-0.080 (-0.134, -0.026)	0.004	0.01
1-3 years (SD/month)		0.061 (0.008, 0.114)	0.03	0.38	0.096 (0.043, 0.150)	0.001	0.52	0.051 (-0.004, 0.107)	0.07	0.49
3-7 years (SD/month)		0.049 (-0.009, 0.106)	0.10	<0.001	-0.010 (-0.069, 0.049)	0.733	<0.001	-0.091 (-0.151, -0.030)	0.003	0.01
7-10 years (SD/month)										

**Table E2.4.2.** Weight growth trajectories and lung function in strata of current body mass index (continued)

	15 years of age								
	FVC (z-score)	P-value	P interaction	FEV1 (z-score)	P-value	P interaction	FEF25-75 (z-score)	P-value	P interaction
<b>Normal weight at 15 years</b>									
Birth weight (SD)	0.076 (0.021, 0.131)	0.007	0.09	0.043 (-0.015, 0.101)	0.15	0.22	-0.080 (-0.185, 0.026)	0.14	0.64
0-3 months (SD/month)	0.022 (-0.015, 0.059)	0.25	0.06	0.017 (-0.022, 0.056)	0.39	0.11	-0.019 (-0.056, 0.019)	0.32	0.08
3-12 months (SD/month)	0.037 (-0.005, 0.078)	0.08	0.82	0.033 (-0.010, 0.077)	0.13	0.85	0.016 (-0.025, 0.057)	0.45	0.46
1-3 years (SD/month)	0.055 (0.011, 0.099)	0.01	0.01	0.046 (0.000, 0.093)	0.05	0.22	0.035 (-0.009, 0.079)	0.12	0.17
3-7 years (SD/month)	0.192 (0.131, 0.252)	<0.001	<0.001	0.218 (0.154, 0.281)	<0.001	<0.001	0.178 (0.117, 0.238)	<0.001	<0.001
7-10 years (SD/month)	0.110 (0.041, 0.180)	0.002	<0.001	0.072 (-0.002, 0.145)	0.06	<0.001	-0.008 (-0.078, 0.061)	0.81	<0.001
<b>Overweight at 15 years</b>									
Birth weight (SD)	-0.036 (-0.146, 0.074)	0.52	0.09	-0.052 (-0.161, 0.057)	0.35	0.22	-0.032 (-0.087, 0.023)	0.26	0.64
0-3 months (SD/month)	0.127 (0.055, 0.200)	0.001	0.06	0.098 (0.025, 0.170)	0.008	0.11	0.050 (-0.021, 0.121)	0.17	0.08
3-12 months (SD/month)	0.055 (-0.023, 0.134)	0.17	0.82	0.079 (0.001, 0.156)	0.048	0.85	0.036 (-0.040, 0.112)	0.35	0.46
1-3 years (SD/month)	0.047 (-0.029, 0.124)	0.23	0.01	0.062 (-0.014, 0.138)	0.11	0.22	0.034 (-0.041, 0.108)	0.37	0.17
3-7 years (SD/month)	-0.057 (-0.137, 0.022)	0.16	<0.001	-0.041 (-0.120, 0.038)	0.31	<0.001	-0.051 (-0.128, 0.026)	0.19	<0.001
7-10 years (SD/month)	0.036 (-0.070, 0.143)	0.51	<0.001	0.040 (-0.064, 0.145)	0.45	<0.001	0.043 (-0.060, 0.146)	0.41	<0.001

Values are differences in z-score lung function (95% Confidence Intervals). Z-scores were calculated for sex, age and height at time of measurement. Models are adjusted for maternal age, educational level, body mass index, parity, smoking during pregnancy, anxiety, history of asthma, and children's sex, gestational age, and breastfeeding duration. Models of weight were additionally adjusted for preceding height and weight growth trajectories and models of height were additionally adjusted for preceding height growth trajectories and birth weight. Models for lung function at 15 years of age were additionally adjusted for lung function measures at the age of 8 years.

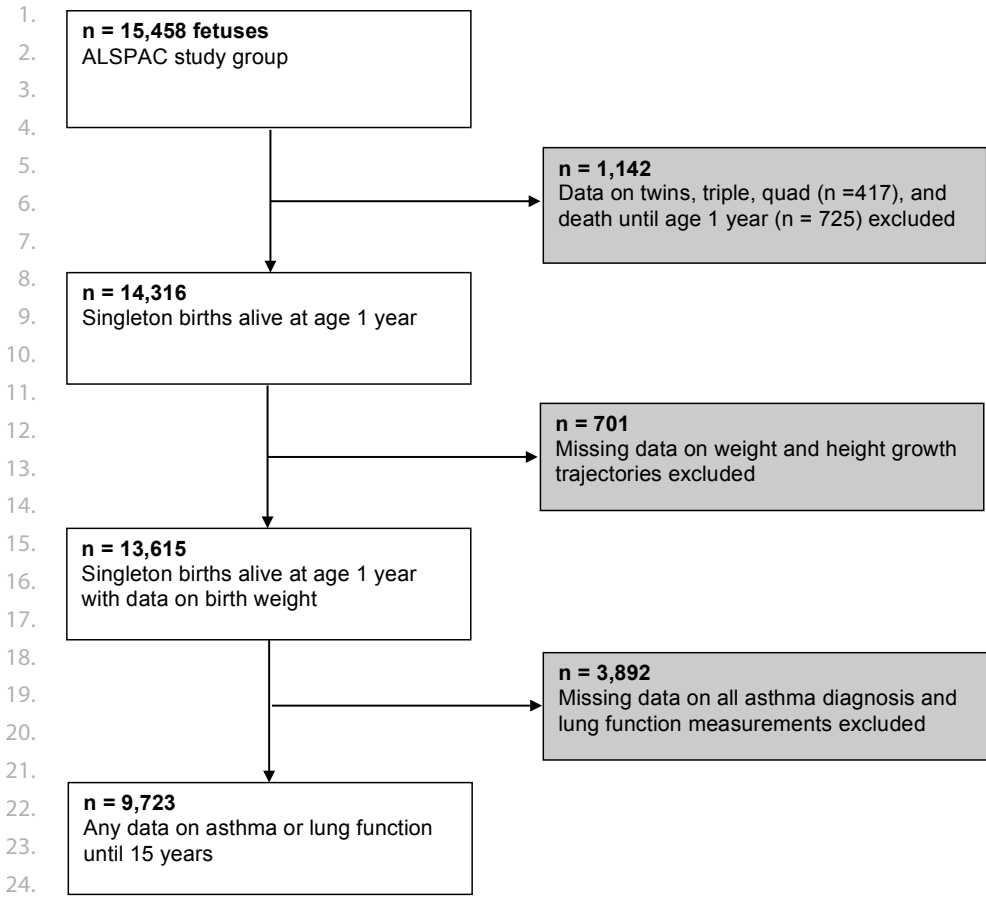


Figure E2.4.1. Flowchart



# Chapter 3

Fetal exposures and childhood asthma





# 3.1

## Parental psychological distress during pregnancy and wheezing in preschool children

Mònica Guxens, MD, MPH, PhD<sup>1,2,3,4</sup>, Agnes MM Sonnenschein-van der Voort, MSc<sup>1,5,6</sup>, Henning Tiemeier MD, PhD<sup>6,7</sup>, Albert Hofman, MD, PhD<sup>6</sup>, Jordi Sunyer, MD, PhD<sup>2,3,8</sup>, Johan C de Jongste, MD, PhD<sup>5</sup>, Vincent WV Jaddoe, MD, PhD<sup>1,6,9</sup>, Liesbeth Duijts, MD, PhD<sup>5,6,10</sup>

<sup>1</sup>The Generation R Study Group, Erasmus Medical Center, Rotterdam, The Netherlands; <sup>2</sup>Center for Research in Environmental Epidemiology (CREAL), Barcelona, Spain; <sup>3</sup>Hospital del Mar Research Institute (IMIM), Barcelona, Spain; <sup>4</sup>CIBER Epidemiologia y Salud Pública (CIBERESP), Barcelona, Spain; <sup>5</sup>Department of Pediatrics, Division of Respiratory Medicine, Erasmus Medical Center- Sophia Children's Hospital, Rotterdam, The Netherlands; <sup>6</sup>Department of Epidemiology, Erasmus Medical Center, Rotterdam, The Netherlands; <sup>7</sup>Department of Child & Adolescent Psychiatry, Erasmus Medical Center, Sophia Children's Hospital, Rotterdam, The Netherlands; <sup>8</sup>Pompeu Fabra University, Barcelona, Spain; <sup>9</sup>Department of Pediatrics, Erasmus Medical Center-Sophia Children's Hospital, Rotterdam, The Netherlands; <sup>10</sup>Department of Pediatrics, Division of Neonatology, Erasmus Medical Center- Sophia Children's Hospital, Rotterdam, The Netherlands.

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1. **ABSTRACT**

2.

3. **Background** Maternal psychological distress during pregnancy might affect fetal lung devel-  
4. opment, and subsequently predispose children to childhood asthma.

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6. **Objective** To assess the associations of maternal psychological distress during pregnancy  
7. with early childhood wheezing.

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9. **Methods** Population-based prospective cohort study among 4,848 children. We assessed  
10. maternal and paternal psychological distress at 2<sup>nd</sup> trimester of gestation and 3 years after  
11. delivery, and maternal psychological distress at 2 and 6 months after delivery by the Brief  
12. Symptom Inventory questionnaire. Wheezing of the children was annually examined by  
13. questionnaires from 1 to 4 years. Physician-diagnosed ever asthma was reported at 6 years.

14.

15. **Results** Mothers with psychological distress during pregnancy had increased odds of wheez-  
16. ing in their children from 1 to 4 years of life (OR, 1.60; 95% CI, 1.32 to 1.93 for overall distress,  
17. OR, 1.46; 95% CI, 1.20 to 1.77 for depression, and OR, 1.39; 95% CI, 1.15 to 1.67 for anxiety).  
18. We observed similar positive associations with number of wheezing episodes, wheezing  
19. patterns, and physician-diagnosed asthma at 6 years. Paternal distress during pregnancy  
20. and maternal and paternal distress after delivery did not affect these results and were not  
21. associated with childhood wheezing.

22.

23. **Conclusion** Maternal psychological distress during pregnancy is associated with increased  
24. odds of wheezing of their child during the first 6 years of life, independent of paternal  
25. psychological distress during pregnancy and maternal and paternal psychological distress  
26. after delivery. These results suggest a possible intrauterine programming effect of maternal  
27. psychological distress leading to respiratory morbidity.

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## 1. INTRODUCTION

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3. Abnormal fetal lung- and immune development in response to adverse intra-uterine expo-  
4. sures may increase the risk of asthma and atopic disorders in childhood and adulthood<sup>1,2</sup>.  
5. Maternal psychological distress during pregnancy is one of these exposures that may  
6. specifically lead to developmental adaptations of the hypothalamic-pituitary-adrenal axis,  
7. the autonomic nervous system, the lung structure and function, and immune responses in  
8. the offspring<sup>3-8</sup>. However, any association between maternal psychological distress during  
9. pregnancy and childhood wheezing might also be explained by other mechanisms such  
10. as social, behavioural, or environmental factors. From both an etiological and a prevention  
11. perspective, it is important to explore the role of intrauterine mechanisms in this association.  
12. We used the information of paternal psychological distress during pregnancy to address  
13. confounding as described previously<sup>9-11</sup>. Stronger effect estimates for the association of  
14. maternal than for paternal psychological distress during pregnancy with childhood wheez-  
15. ing would indicate intrauterine mechanisms. Similar associations of maternal and paternal  
16. psychological distress during pregnancy with childhood wheezing would indicate that these  
17. associations are not driven by direct intrauterine mechanism but by residual confounding of  
18. unmeasured social, behavioural, or environmental factors within the families.

19. The aim of the present study was to assess the associations of maternal psychological  
20. distress during pregnancy with childhood wheezing in the first 6 years of life and to assess  
21. whether this association is independent of paternal psychological distress during pregnancy  
22. and maternal and paternal psychological distress after delivery.

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## 25. METHODS

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### 27. Study design and population

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29. This study was embedded in the Generation R Study, a population-based cohort study from  
30. fetal life onwards in Rotterdam<sup>12</sup>. All children were born between April 2002 and January  
31. 2006. Assessments in pregnant women consisted of physical examination, fetal ultrasound,  
32. biological samples, and questionnaires. In total, 8,880 mothers were enrolled during  
33. pregnancy (Figure E3.1.1). For this study 7,490 mothers were eligible after excluding twin  
34. pregnancies, miscarriages, and mothers that lived outside the study area. Among them, 666  
35. were excluded because of loss to follow-up or no consent for the postnatal phase of the  
36. study. In 2,095 children, no information on maternal psychological distress or on childhood  
37. wheezing was available. Finally, 4,848 (64.7%) children were included in this study. The study  
38. was approved by the Medical Ethics Committee of the Erasmus Medical Centre, Rotterdam.  
39. Written informed consent was obtained from all women.

## 1. Maternal and paternal psychological distress

2.  
3. Information on maternal and paternal psychological distress was obtained by postal questionnaires at 20 weeks of gestation and at 3 years after delivery using the Brief Symptom Inventory<sup>13</sup>. Information on maternal psychological distress was also obtained at 2 and 6 months after delivery using the same questionnaire because of the critical period for maternal distress symptoms during the first 6 months after delivery<sup>14</sup>. Mother and father each answered their own questionnaires. The Brief Symptom Inventory is a validated self-report questionnaire with 53 items. These items define a broad spectrum of psychological symptoms in the preceding 7 days. A global index and 2 symptom scales (depression and anxiety) were defined<sup>13</sup>. At 6 months and 3 years after delivery, only depression and anxiety scales were measured. The global index is a measure of current level or depth of the symptoms, and denotes overall psychological distress. Each item was rated on five-point uni-dimensional scales ranging from '0' (not at all) to '4' (extremely). Total scores for each scale were calculated by summing the items scores and dividing by the number of endorsed items. Higher scores represented an increased occurrence of overall distress, depression, or anxiety symptoms. Based on the Dutch cut-offs<sup>15</sup>, mothers were categorized as being sensitive for clinically significant psychological distress (yes/no) when having a score above 0.71 on overall distress scale, above 0.80 on the depression scale, and above 0.71 on the anxiety scale. Fathers were categorized as being sensitive for clinically significant psychological distress (yes/no) when having a score above 0.66 on the overall distress scale, above 0.71 on the depression scale, and above 0.65 on the anxiety scale<sup>15</sup>. In the current study, internal consistencies (Cronbach's alpha) for the different scales of the mother and the father ranged from 0.67 to 0.99. Spearman's correlations between maternal and paternal distress scales during pregnancy and at 3 years ranged from 0.10 to 0.27, between pre- and postnatal maternal distress scales ranged from 0.22 to 0.58, and between pre- and postnatal paternal distress scales ranged from 0.14 to 0.35.

27. We defined patterns of maternal depression and anxiety after delivery as follows: 1) never depression or anxiety: no symptoms at any age after delivery; 2) transient depression or anxiety: symptoms at 2 or 6 months but not at 3 years after delivery; 3) late onset depression or anxiety: symptoms at 3 years after delivery but not at 2 or 6 months after delivery; 4) persistent depression or anxiety: symptoms at both 2 or 6 months and at 3 years after delivery.

32.

## 33. Childhood wheezing

34.

35. Information on wheezing in the past year was obtained by questionnaires, adapted from the International Study on Asthma and Allergy in Childhood (ISAAC)<sup>16</sup> at the ages of 1, 2, 3 and 4 years. Mothers answered 85.2%, 84.5%, 94.1%, and 88.3% of the questionnaires at the ages of 1, 2, 3, and 4 years respectively. Response rates for these questionnaires were 71% to 76%<sup>17</sup>. We defined wheezing patterns categories based on Martinez et al<sup>18</sup> and adapted

1. to preschool age<sup>19-20</sup>: 1) no wheezing: no recorded wheezing at any age; 2) early wheezing:
2. at least one wheezing symptom during the first 3 years of life but no wheezing at 4 years of
3. age; 3) late wheezing: no wheezing episodes during the first 3 years of age but wheezing at
4. 4 years of age; 4) preschool persistent wheezing: at least one wheezing episode in the first 3
5. years of life and wheezing at 4 years of age. Physician-diagnosed ever asthma was obtained
6. by questionnaire at the age of 6 years with a response rate for this questionnaire of 68%.

7.

## 8. **Covariates**

9.

10. Information on maternal and paternal age, smoking during pregnancy, educational level, eth-
11. nicity, history of asthma and atopy, pet keeping, and maternal parity was obtained through
12. self-administered questionnaire at enrolment<sup>11,21</sup>. Maternal and paternal weight and height
13. were measured during the first visit to the research centre. Body mass index was calculated
14. (kg/m<sup>2</sup>). Gestational age, sex, and birth weight of the children were obtained from midwife
15. and hospital registries at birth. Preterm birth was defined as <37 weeks of gestational age.
16. Postal questionnaires at the ages of 6 and 12 months, and 2 years provided information
17. about breastfeeding, day care attendance, and childhood second hand smoke at home<sup>21</sup>.
18. Information on physician-attended eczema and physician-diagnosed lower respiratory tract
19. infections was obtained by questionnaires at the ages of 1, 2, 3, and 4 years.

20.

## 21. **Statistical analysis**

22.

23. Among subjects with available data on maternal psychological distress during pregnancy
24. and childhood wheezing (n=4,848), we performed multiple imputation of missing values us-
25. ing chained equations where 25 completed datasets were generated and analyzed using the
26. standard combination rules for multiple imputation<sup>22-23</sup>. Distributions in imputed datasets were
27. similar to those observed (Tables E3.1.1 and E3.1.2 in the Supplemental data).

28. First, generalized estimating equations were performed in order to examine the associations
29. of maternal psychological distress during pregnancy (dichotomized based on the clinical cut-
30. offs and continuous) with the longitudinal odds of wheezing (no/yes) from the age of 1 to 4
31. years. These models took into account the correlations between repeated measurements of
32. wheezing within the same subject. For optimal generalized estimating equation modelling,
33. we selected the exchangeable correlation matrix based on the Quasilikelihood under the In-
34. dependence model Criterion (QIC) and degrees of freedom<sup>24</sup>. Models were adjusted for several
35. potential confounder variables, selected a priori on the basis of previous studies<sup>1-3, 17, 21, 25</sup>. We
36. additionally adjusted the models for maternal psychological distress 2 months, 6 months, and
37. 3 years after delivery, and for paternal psychological distress during pregnancy and 3 years
38. after delivery by adding them one by one to the models separately. We additionally adjusted
39. the models for the patterns of maternal depression and anxiety after delivery. We used similar

1. models to assess the associations of paternal psychological distress during pregnancy with  
 2. childhood wheezing adjusting for maternal psychological distress during pregnancy.  
 3. Second, we used generalized estimating equations models to examine the association of  
 4. maternal and paternal psychological distress during pregnancy with the longitudinal odds of  
 5. number of wheezing episodes. We performed polytomous logistic regression to explore the  
 6. association of maternal and paternal psychological distress during pregnancy with preschool  
 7. wheezing patterns. We used logistic regression to examine the association of maternal and pa-  
 8. ternal psychological distress during pregnancy with physician-diagnosed ever asthma at 6 years.  
 9. Goodness of fit of the logistic and polytomous logistic regression models ( $R^2$ ) was estimated.  
 10. Finally, we tested the interaction between maternal psychological distress during preg-  
 11. nancy and maternal history of asthma or atopy, as a proxy for atopy susceptibility in children,  
 12. as well as the interaction between maternal psychological distress during pregnancy and  
 13. maternal smoking during pregnancy, on childhood wheezing. Moreover, we performed  
 14. a sensitivity analysis focused on the associations of maternal and paternal psychological  
 15. distress during pregnancy with childhood wheezing, where we only included those subjects  
 16. with complete data of maternal and paternal psychological distress during pregnancy and  
 17. at 3 years after delivery and wheezing at 1, 2, 3, and 4 years ( $n=2,098$ ). Maternal, paternal,  
 18. and child characteristics of this subsample were compared to the original population for  
 19. analysis ( $n=4,848$ ). Statistical tests of hypotheses were two-tailed with significance level set  
 20. at  $p<0.05$ . Statistical analyses were conducted using STATA 11.0 (Stata Corporation, College  
 21. Station, Texas).

22.  
 23.  
 24.  
 25.

26. **Table 3.1.1.** Maternal and paternal characteristics of the study population ( $n = 4,848$ )

	Distribution (%)	
	Mother	Father
29. Age at enrolment (years)*		
30. <20	1.9	0.6
31. 20-24.9	11.1	4.9
32. 25-29.9	25.4	18.5
33. 30-34.9	44.3	41.2
34. $\geq 35$	17.4	34.8
35. Body mass index at enrolment ( $\text{kg}/\text{m}^2$ )†		
36. Underweight (<20)	9.1	4.0
37. Normal weight (20-24.9)	56.0	47.4
38. Overweight (25-29.9)	24.5	41.2
39. Obese ( $\geq 30$ )	10.4	7.4

**Table 3.1.1.** Maternal and paternal characteristics of the study population (n = 4,848) (continued)

	Distribution (%)	
	Mother	Father
Smoking during pregnancy (yes vs. no)*	13.7	42.1
Educational level*		
Primary education	6.6	5.8
Secondary education	40.2	37.7
Higher education	53.2	56.5
Ethnicity (non-European vs. European)*	31.5	31.4
Parity (multiparous vs. nulliparous)*	40.6	—
History of asthma and atopy (yes vs. no)*	35.0	29.2
Pet keeping during pregnancy (yes vs. no)*	32.6	—
Overall psychological distress during pregnancy (yes vs. no)‡	8.1	2.6
Depression during pregnancy (yes vs. no)‡	8.0	2.9
Anxiety during pregnancy (yes vs. no)‡	9.3	6.4
Overall psychological distress at 2 months after delivery (yes vs. no)‡	7.1	—
Depression symptoms at 2 months after delivery (yes vs. no)‡	7.3	—
Anxiety symptoms at 2 months after delivery (yes vs. no)‡	7.4	—
Depression symptoms at 6 months after delivery (yes vs. no)‡	7.6	—
Anxiety symptoms at 6 months after delivery (yes vs. no)‡	9.0	—
Depression symptoms at 3 years after delivery (yes vs. no)‡	4.2	3.2
Anxiety symptoms at 3 years after delivery (yes vs. no)‡	4.3	3.8
Patterns of depression symptoms after delivery§		
Never depression symptoms	87.1	—
Transient depression symptoms	9.5	—
Late onset depression symptoms	1.8	—
Persistent depression symptoms	1.6	—
Patterns of anxiety symptoms after delivery§		
Never anxiety symptoms	86.2	—
Transient anxiety symptoms	10.2	—
Late onset anxiety symptoms	1.9	—
Persistent anxiety symptoms	1.7	—

\* Information obtained through self-administered questionnaire at enrolment

† Maternal weight and height was measured during the first visit to the research centre and body mass index was calculated

‡ Information obtained by postal questionnaires using the Brief Symptom Inventory; mother and father each answered their own questionnaires

§ Patterns of depression and anxiety symptoms after delivery defined, separately, according to the history of maternal depression/anxiety symptoms at 2 and 6 months and at 3 years after delivery: 1) never depression/anxiety symptoms: mothers without depression/anxiety at any age after delivery; 2) transient depression/anxiety symptoms: mothers with depression/anxiety symptoms at 2 or 6 months but not at 3 years after delivery; 3) late onset depression/anxiety symptoms at 3 years: mothers with depression/anxiety symptoms at 3 years after delivery but not at 2 or 6 months after delivery; 4) persistent depression/anxiety symptoms: mothers with depression/anxiety symptoms at 2 or 6 months and at 3 years after delivery.

**Table 3.1.2.** Child characteristics of the study population (n = 4,848)

	Distribution (%)
1. Sex (female vs. male)*	50.9
2. Preterm birth (<37 vs. ≥37 weeks)*	4.1
3. Birth weight (grams)*	
4. <2500	3.9
5. 2500-3499	47.6
6. 3500-4499	46.1
7. ≥4500	2.5
8. Breastfeeding (yes vs. no)†	92.0
9. Day care attendance (yes vs. no)†	59.2
10. Second hand smoke at home (yes vs. no)†	17.4
11. Physician-attended eczema from 1 to 4 years (ever vs. never)‡	27.8
12. Physician-diagnosed lower respiratory tract infections from 1 to 4 years (ever vs. never)‡	20.4
13. Wheezing‡	
14. 1 <sup>st</sup> year	
15. No episodes	70.9
16. 1-3 episodes	22.8
17. ≥4 episodes	6.3
18. 2 <sup>nd</sup> year	
19. No episodes	80.5
20. 1-3 episodes	16.3
21. ≥4 episodes	3.2
22. 3 <sup>rd</sup> year	
23. No episodes	87.4
24. 1-3 episodes	10.3
25. ≥4 episodes	2.3
26. 4 <sup>th</sup> year	
27. No episodes	87.4
28. 1-3 episodes	10.3
29. ≥4 episodes	2.3
30. Wheezing patterns§	
31. Never wheezing	53.7
32. Early wheezing	33.0
33. Late wheezing	2.6
34. Persistent wheezing	10.7
35. Physician-diagnosed ever asthma at 6 years	6.0

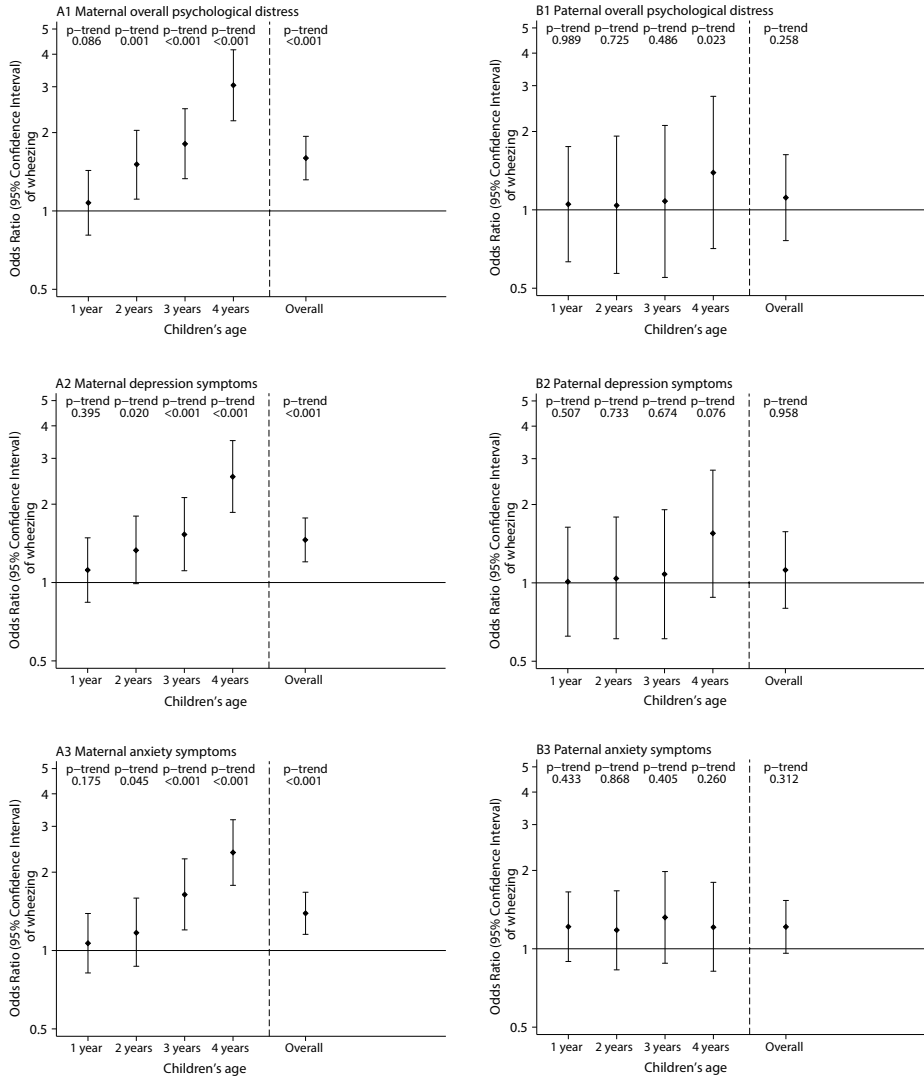
\* Information obtained from midwife and hospital registries at birth

† Information obtained by postal questionnaires at the ages of 6 and 12 months, and 2 years

‡ Information obtained by postal questionnaires at the ages of 1, 2, 3, and 4 years

§ Wheezing patterns categories based on Martinez et al.<sup>15</sup> and adapted to preschool age<sup>16-17</sup> according to the history of wheezing from the age of 1 to 4 years: 1) no wheezing: no recorded wheezing at any age; 2) early wheezing: at least one wheezing symptom during the first 3 years of life but no wheezing at 4 years of age; 3) late wheezing: no wheezing episodes during the first 3 years of age but wheezing at 4 years of age; 4) preschool persistent wheezing: at least one wheezing episode in the first 3 years of life and wheezing at 4 years of age

|| Information obtained by postal questionnaire at the age of 6 years



**Figure 3.1.1.** Associations of maternal (A) and paternal (B) psychological distress during pregnancy with wheezing from 1 to 4 years. Odd ratio (95% Confidence Interval) from generalized estimating equation models represents the odds of wheezing for the children of mothers or fathers with psychological distress (no, yes). P-trend represents the linear trend per unit increase on the psychological distress scales. Models were adjusted for maternal age, body mass index, smoking during pregnancy, educational level, ethnicity, and parity, parental history of asthma or atopy, pet keeping, and children's sex, preterm birth, birth weight, breastfeeding, day care attendance, second hand smoke at home, eczema and lower respiratory tract infections.

\*Paternal models were additionally adjusted for maternal psychological distress during pregnancy.

## 1. RESULTS

2.

3. Children included in the present analysis were more frequently from parents with a higher  
4. educational level, and their mothers and fathers showed less psychological distress during  
5. pregnancy (Table E3.1.3 in the Supplemental data) compared with those lost to follow-up. No  
6. differences on maternal and paternal history of asthma and atopy were found.

7. Of the study participants, 7.8% mothers had overall psychological distress during preg-  
8. nancy (Table 3.1.1). Wheezing prevalence of the children were 29.1%, 19.5 %, 12.4%, and  
9. 12.6% at 1, 2, 3 and 4 years, respectively (Table 3.1.2). Concerning preschool wheezing pat-  
10. terns, 53.7% of children were classified as never wheezing, 33.0% as early wheezing, 2.6% as  
11. late wheezing, and 10.7% as persistent wheezing. Prevalence of physician-diagnosed ever  
12. asthma at 6 years was 6.0%.

13.

14. As compared to mothers without psychological distress during pregnancy, mothers with  
15. overall distress, depression, or anxiety during pregnancy had increased odds of wheezing in  
16. their children overall from 1 to 4 years of life (Odds Ratio (OR), 1.60; 95% Confidence Interval  
17. (CI), 1.32 to 1.93 for overall distress, OR, 1.46; 95% CI, 1.20 to 1.77 for depression, and OR,  
18. 1.39; 95% CI, 1.15 to 1.67 for anxiety) based on generalized estimating equations models  
19. (Figure 3.1.1). Paternal overall distress, depression, and anxiety during pregnancy were not  
20. associated with increased odds of wheezing yearly from 1 to 4 years of life based on gener-  
21. alized estimating equations models (Figure 3.1.1). We did not observe major differences in  
22. the size of the effect estimates between the unadjusted and adjusted models (Figure E3.1.2  
23. in the data supplement). Additional adjustment of maternal psychological distress during  
24. pregnancy in generalized estimating equations models for maternal psychological distress  
25. at 2 months, 6 months, and 3 years after delivery, for the patterns of maternal psychological  
26. distress after delivery, and for paternal psychological distress during pregnancy and at 3  
27. years after delivery one by one separately did not materially affect the results (Tables E3.1.4  
28. and E3.1.5 in the Supplemental data). None of the paternal psychological distress variables  
29. after delivery was associated with childhood wheezing (all P values >0.05).

30. As compared to children from mothers without psychological distress during pregnancy,  
31. children of mothers with overall distress had higher odds of having 1 to 3 wheezing episodes  
32. (OR, 1.56; 95% CI, 1.27 to 1.90) and 4 or more wheezing episodes (OR, 1.71; 95% CI, 1.20  
33. to 2.43) from 1 to 4 years of life based on generalized estimating equations models (Table  
34. 3.1.3). Table 3.1.4 shows that children of mothers with overall distress during pregnancy had  
35. 1.20 (95% CI, 0.86 to 1.67) times more odds of having early wheezing, 2.46 (95% CI, 1.28 to  
36. 4.70) times more odds of late wheezing, and 2.73 (95% CI, 1.90 to 3.94) times more odds  
37. of persistent wheezing, compared to children from mothers without psychological distress  
38. during pregnancy based on polytomous logistic regression models. Similar results were  
39. observed for depression and anxiety (Table 3.1.3 and 3.1.4). Maternal overall psychological



**Table 3.1.3.** Associations of maternal and paternal psychological distress during pregnancy with number of wheezing episodes from 1 to 4 years

	Number of wheezing episodes			
	1-3 episodes per year		≥4 episodes per year	
	OR	(95% CI)	OR	(95% CI)
<b>Maternal psychological distress</b>				
<b>Overall psychological distress</b>				
No	Reference		Reference	
Yes	1.40	(1.15, 1.71)	1.58	(1.14, 2.20)
Per 1 unit increase	1.41	(1.20, 1.66)	1.51	(1.16, 1.95)
p-value trend	<0.001		0.002	
<b>Depression symptoms</b>				
No	Reference		Reference	
Yes	1.28	(1.05, 1.55)	1.54	(1.11, 2.13)
Per 1 unit increase	1.20	(1.06, 1.36)	1.27	(1.04, 1.55)
p-value trend	0.004		0.018	
<b>Anxiety symptoms</b>				
No	Reference		Reference	
Yes	1.26	(1.05, 1.50)	1.37	(1.00, 1.88)
Per 1 unit increase	1.23	(1.09, 1.40)	1.30	(1.06, 1.60)
p-value trend	0.001		0.012	
<b>Paternal psychological distress*</b>				
<b>Overall psychological distress</b>				
No	Reference		Reference	
Yes	1.15	(0.79, 1.68)	0.87	(0.41, 1.84)
Per 1 unit increase	1.18	(0.86, 1.63)	1.27	(0.72, 2.23)
p-value trend	0.304		0.412	
<b>Depression symptoms</b>				
No	Reference		Reference	
Yes	1.11	(0.79, 1.56)	1.18	(0.62, 2.24)
Per 1 unit increase	1.01	(0.80, 1.27)	1.06	(0.70, 1.61)
p-value trend	0.957		0.766	
<b>Anxiety symptoms</b>				
No	Reference		Reference	
Yes	1.18	(0.93, 1.49)	1.41	(0.91, 2.16)
Per 1 unit increase	1.09	(0.88, 1.34)	1.22	(0.84, 1.78)
p-value trend	0.426		0.291	

CI, Confidence interval; OR, Odds ratio

Odds ratio (95% Confidence Interval) from generalized estimating equation models represents the odds of wheezing episodes for the children of mothers or fathers with psychological distress during pregnancy. Maternal and paternal psychological distress were treated as dichotomized based on the clinical cut-offs (no, yes) and as continuous (per 1 unit increase). P-trend represents the linear trend per unit increase on the psychological distress scales. Models were adjusted for maternal age, body mass index, smoking during pregnancy, educational level, ethnicity, and parity, parental history of asthma or atopy, pet keeping, and children's sex, preterm birth, birth weight, breastfeeding, day care attendance, second hand smoke at home, eczema and lower respiratory tract infections.

\* Models additionally adjusted for psychological distress during pregnancy.

**Table 3.1.4.** Associations of maternal and paternal psychological distress during pregnancy with wheezing patterns from 1 to 4 years

	Early wheezing	Late wheezing	Persistent wheezing
	OR (95% CI)	OR (95% CI)	OR (95% CI)
<b>Maternal psychological distress</b>			
<b>Overall psychological distress</b>			
No	Reference	Reference	Reference
Yes	1.23 (0.89, 1.69)	1.94 (1.04, 3.60)	2.15 (1.47, 3.13)
Per 1 unit increase on the scale	1.51 (1.16, 1.97)	2.14 (1.29, 3.54)	2.18 (1.60, 2.98)
p-value trend	0.002	0.003	<0.001
<b>Depression symptoms</b>			
No	Reference	Reference	Reference
Yes	1.31 (0.97, 1.76)	2.04 (1.14, 3.64)	1.84 (1.24, 2.72)
Per 1 unit increase on the scale	1.28 (1.05, 1.57)	1.69 (1.18, 2.43)	1.51 (1.18, 1.93)
p-value trend	0.015	0.004	0.001
<b>Anxiety symptoms</b>			
No	Reference	Reference	Reference
Yes	1.17 (0.88, 1.55)	1.81 (1.05, 3.12)	1.72 (1.22, 2.43)
Per 1 unit increase on the scale	1.27 (1.04, 1.56)	1.71 (1.18, 2.49)	1.66 (1.31, 2.10)
p-value trend	0.022	0.005	<0.001
<b>Paternal psychological distress*</b>			
<b>Overall psychological distress</b>			
No	Reference	Reference	Reference
Yes	1.29 (0.74, 2.29)	2.12 (0.79, 5.665)	1.12 (0.46, 2.70)
Per 1 unit increase on the scale	1.06 (0.68, 1.66)	1.92 (0.83, 4.48)	1.33 (0.72, 2.48)
p-value trend	0.789	0.128	0.359
<b>Depression symptoms</b>			
No	Reference	Reference	Reference
Yes	0.99 (0.59, 1.67)	1.72 (0.67, 4.42)	1.23 (0.60, 2.52)
Per 1 unit increase on the scale	0.86 (0.61, 1.22)	1.44 (0.81, 2.59)	1.10 (0.68, 1.78)
p-value trend	0.402	0.215	0.706
<b>Anxiety symptoms</b>			
No	Reference	Reference	Reference
Yes	1.24 (0.89, 1.71)	1.29 (0.58, 2.85)	1.24 (0.74, 2.09)
Per 1 unit increase on the scale	1.12 (0.84, 1.55)	1.31 (0.66, 2.63)	1.13 (0.73, 1.76)
p-value trend	0.438	0.437	0.585

CI, Confidence interval; OR, Odds ratio

Odds ratio (95% Confidence Interval) from polytomous logistic regression models. Maternal and paternal psychological distress were treated as dichotomized based on the clinical cut-offs (no, yes) and as continuous (per 1 unit increase). P-trend represents the linear trend per unit increase on the psychological distress scales. Models were adjusted for maternal age, body mass index, smoking during pregnancy, educational level, ethnicity, and parity, parental history of asthma or atopy, pet keeping, and children's sex, preterm birth, birth weight, breastfeeding, day care attendance, second hand smoke at home, eczema and lower respiratory tract infections.

Goodness of fit ( $R^2$ ) was 0.10 for all models.

\* Models additionally adjusted for psychological distress during pregnancy.

**Table 3.1.5.** Associations of maternal and paternal psychological distress during pregnancy with physician-diagnosed ever asthma at 6 years

	Physician-diagnosed ever asthma	
	OR	(95% CI)
<b>Maternal psychological distress</b>		
<b>Overall psychological distress</b>		
No	Reference	
Yes	1.45	(0.91, 2.31)
Per 1 unit increase	1.27	(0.88, 1.84)
p-value trend	0.201	
<b>Depression symptoms</b>		
No	Reference	
Yes	1.33	(0.82, 2.16)
Per 1 unit increase	1.17	(0.88, 1.57)
p-value trend	0.276	
<b>Anxiety symptoms</b>		
No	Reference	
Yes	1.19	(0.76, 1.86)
Per 1 unit increase	1.15	(0.86, 1.55)
p-value trend	0.344	
<b>Paternal psychological distress*</b>		
<b>Overall psychological distress</b>		
No	Reference	
Yes	0.72	(0.22, 2.36)
Per 1 unit increase	1.08	(0.51, 2.28)
p-value trend	0.837	
<b>Depression symptoms</b>		
No	Reference	
Yes	1.06	(0.41, 2.72)
Per 1 unit increase	1.01	(0.53, 1.90)
p-value trend	0.982	
<b>Anxiety symptoms</b>		
No	Reference	
Yes	0.95	(0.53, 1.68)
Per 1 unit increase	0.88	(0.49, 1.56)
p-value trend	0.651	

CI, Confidence interval; OR, Odds ratio

Odds ratio (95% Confidence Interval) from logistic regression models represents the odds of physician-diagnosed asthma for the children of mothers or fathers with psychological distress during pregnancy. Maternal and paternal psychological distress were treated as dichotomized based on the clinical cut-offs (no, yes) and as continuous (per 1 unit increase). P-trend represents the linear trend per unit increase on the psychological distress scales. Models were adjusted for maternal age, body mass index, smoking during pregnancy, educational level, ethnicity, and parity, parental history of asthma or atopy, pet keeping, and children's sex, preterm birth, birth weight, breastfeeding, day care attendance, second hand smoke at home, eczema and lower respiratory tract infections.

Goodness of fit ( $R^2$ ) was 0.15 for all models.

\* Models additionally adjusted for maternal psychological distress during pregnancy.

1. distress during pregnancy was borderline associated with physician-diagnosed ever asthma  
2. at 6 years (Table 3.1.5) based on logistic regression models. We did not observe associations  
3. between paternal psychological distress pregnancy and childhood wheezing episodes and  
4. patterns or physician-diagnosed ever asthma (Tables 3.1.3, 3.1.4 and 3.1.5).

5. Associations of maternal psychological distress during pregnancy with wheezing from 1 to  
6. 4 years in generalized estimating equations models were similar among children of mothers  
7. with a history of asthma and atopy compared to those of mothers without, as well as among  
8. children of smokers and non-smokers mothers (P values for interaction >0.05). As compared  
9. to children in our original population for analysis, children included in the complete case  
10. analysis were more often from parents with a higher educational level, who tended to smoke  
11. less frequently, were born more frequently in The Netherlands, had a lower body mass index,  
12. reported more frequently a history of asthma and atopy, and reported less psychological  
13. distress during pregnancy (Table E3.1.6). Results from the complete case analysis (Figure  
14. E3.1.3, Table E3.1.7-E3.1.8) showed effect estimates mostly in the same direction than the  
15. previous analysis but the effect sizes differed and the associations were less often statistically  
16. significant.

17.

18.

## 19. **DISCUSSION**

20.

21. Our results suggest that children exposed to maternal psychological distress during preg-  
22. nancy have increased odds of childhood wheezing until the age of 6 years. The strength of  
23. the associations after adjusting for paternal psychological distress during pregnancy and  
24. maternal and paternal psychological distress after delivery, the lack of association of paternal  
25. psychological distress during pregnancy and maternal and paternal psychological distress  
26. after delivery with childhood wheezing, and the robustness of the results after adjusting for  
27. a large set of potential confounding variables support an intrauterine programming effect  
28. of maternal psychological distress during pregnancy on fetal lung development and subse-  
29. quent respiratory morbidity.

30. The strengths of our study were its population-based prospective design, large sample size,  
31. assessment of maternal and paternal exposures with the same instrument at the same time  
32. point, assessment of maternal and paternal exposures after delivery, and repeated measures  
33. of wheezing. In addition, we adjusted for many socioeconomic and lifestyle variables known  
34. to affect maternal psychological distress and childhood wheezing. However, residual con-  
35. founding cannot be completely ruled out. Therefore, we used paternal psychological distress  
36. during pregnancy as an indirect control for unmeasured variables and shared family factors.

37. The present study has some limitations. Information on wheezing was mainly based on  
38. maternal-reported questions<sup>26</sup>. Objective tests for assessing asthma are difficult to perform in  
39. young children, and have limited applicability. In preschool children a diagnosis of asthma is

1. based on symptoms<sup>27</sup>. Maternal psychological distress could have influenced the recognition  
2. and reporting of symptoms of their child. Information about maternal psychological distress  
3. at the same time as childhood wheezing questionnaires would be of interest and could have  
4. reduced potential information bias. Information about maternal psychological distress was  
5. available from repeated measurements during the preschool period. Additional adjustment  
6. for postnatal maternal psychological distress did not materially change the effect estimates of  
7. maternal psychological distress during pregnancy with childhood wheezing. Wheezing dur-  
8. ing preschool ages may be partly caused by viral infections and this phenotype is mostly not  
9. persistent and related to asthma at later ages<sup>28</sup>. This is in line with our observations of stron-  
10. ger effects for wheezing at 4 years than at 1 year, and for late-onset compared to early onset  
11. wheezing, and of a consistency of the association with physician-diagnosed ever asthma at  
12. 6 years. Also, adjustment for lower respiratory tract infections did not change the effect esti-  
13. mates. Follow up studies at older ages with more detailed assessments of asthma and atopy  
14. phenotypes are needed. Maternal psychological distress was measured at one time-point  
15. during pregnancy. We do not know whether maternal distress varied in intensity or persistent  
16. throughout pregnancy. Cookson et al. showed a similar effect estimate sizes between anxiety  
17. measured at week 18 and at week 32 of pregnancy<sup>3</sup>. Observational measurements of parental  
18. psychological distress were not feasible in this large birth cohort and we relied on self-reports.  
19. Nevertheless, all scales showed an acceptable internal validity; the Brief Symptom Inventory  
20. was validated in the Netherlands, and Dutch clinical cut-offs were available<sup>12-13</sup>. Finally, not  
21. all mothers and children recruited were included in this analysis and loss to follow-up was  
22. related to lower socioeconomic position. This may have affected our findings, although the  
23. inclusion in the analysis of a large set of variables related to participation may have reduced  
24. the likelihood that non-response biased the results. We observed differences between the  
25. effect estimates of our original population of analysis and the complete case analysis. These  
26. differences may be due to both a reduction of the sample size and a selected subsample  
27. which seemed biased and not representative. For that reason, we consider results based on  
28. the multiple imputation dataset more valid<sup>22</sup>.

29. Only few previous studies have assessed the relation between maternal psychological dis-  
30. tress during pregnancy and childhood wheezing<sup>3-6</sup>. Cookson et al. found a positive associa-  
31. tion of maternal anxiety symptoms during pregnancy with subsequent childhood physician's  
32. diagnosis asthma at the age of 7.5 years in 5,810 children<sup>3</sup>. Similar as in our study, they did  
33. not observe an association of paternal anxiety symptoms with childhood asthma. Moreover,  
34. when maternal anxiety symptoms both during pregnancy and after delivery were taken  
35. into account, only symptoms during pregnancy were associated with childhood asthma.  
36. Additionally to their study, we showed that maternal psychological distress affects asthma  
37. symptoms already from a young age onwards, and, due to our longitudinal design with  
38. repeatedly measured outcomes, we observed that these adverse effects became stronger  
39. with increasing age. Also, we were able to adjust for more potential confounders such as

1. maternal pre-pregnancy body mass index, paternal smoking, or pet keeping at home, and  
2. to examine important possible modifying effects of genetic susceptibility and second hand  
3. smoke exposure. In another population-based study of 653 mother-child pairs, while both  
4. pre- and postnatal maternal stress were independently associated with increased recurrent  
5. wheezing during the first 2 years of life, children born to mothers experiencing higher stress  
6. in both periods were particularly at risk<sup>6</sup>. These effects remained when adjusting for several  
7. confounders and pathways variables. These findings are not in accordance with our results  
8. where prenatal maternal psychological distress seemed to have a greater impact than post-  
9. natal maternal psychological distress. A smaller sample sized study based on 279 children  
10. observed that maternal demoralization during pregnancy predicted overall, transient, and  
11. persistent wheezing in the first 5 years of life<sup>5</sup>. In this study, no information on paternal  
12. demoralization during pregnancy was available, and models were not adjusted for mater-  
13. nal demoralization after delivery. Since maternal demoralization was a stable trait in their  
14. cohort, the authors could not separate pregnancy and early postnatal effects. A previous  
15. case-control study including 247 subjects did not observe a significant relationship between  
16. maternal depression and anxiety during pregnancy and infant's wheezing<sup>4</sup>. The main limita-  
17. tion was that mothers were asked retrospectively whether depression or anxiety constituted  
18. a problem during pregnancy. Other previous studies explored the associations of maternal  
19. stress, depression, anxiety, or cortisol levels during pregnancy with general childhood respi-  
20. ratory diseases and observed an association of higher maternal stress at pregnancy with an  
21. increased risk of childhood respiratory illnesses<sup>29-30</sup>.

22. The mechanisms underlying the associations of prenatal psychological distress exposure  
23. with childhood wheezing are still unclear. A possible programming effect by maternal stress  
24. during pregnancy is pointed out by studies reporting that adult mammals prenatally exposed  
25. to psychological distress have an altered hypothalamic-pituitary-adrenal axis after birth and  
26. may be predisposed to airway inflammation and hyperresponsiveness<sup>31-32</sup>. Stress-induced  
27. alterations in maternal cortisol may influence fetal immunomodulation and Th2 lymphocyte  
28. predominance through direct influence on cytokine production<sup>33</sup>. Stress was also associated  
29. with increased proportions and altered function of natural killer lymphocytes<sup>34</sup>. Recently, it  
30. was shown in humans that maternal stress during pregnancy was associated with altered  
31. innate and adaptive immune responses in cord blood in infants at high risk of atopic dis-  
32. eases<sup>35</sup>. Furthermore, the stress hormone adrenaline stimulates B2-adrenoreceptors that are  
33. expressed throughout the body<sup>36-38</sup>. Effects on the adrenergic receptors of the lungs may  
34. predispose for later respiratory problems<sup>36-37</sup>. Next to programming effects, a hypothesized  
35. mechanism was the intermediate role of fetal growth. Maternal psychological distress during  
36. pregnancy may impair fetal growth<sup>39</sup>, and low birth weight children with smaller lungs and  
37. airways seem to have a higher risk of wheezing<sup>25,40</sup>. However, in our study, results remained  
38. after adjusting for birth weight and gestational age at birth. The programming effect of  
39. maternal psychological distress may also operate through epigenetic programming<sup>7</sup>. Differ-

1. ential methylation patterns in the glucocorticoid receptor related to postnatal maternal care  
2. was showed recently in a rodent model and cultured cell lines<sup>42-43</sup>. In humans, methylation of  
3. the glucocorticoid receptor was sensitive to maternal mood in the perinatal period and the  
4. infant's hypothalamic-pituitary-adrenal axis stress reactivity<sup>43</sup>. Further studies are needed to  
5. identify the underlying mechanisms.

6. In conclusion, our results suggest intrauterine effects of maternal psychological distress  
7. during pregnancy on the presence of wheezing at early ages. Further studies are needed to  
8. explore underlying biological mechanisms and the long term consequences.

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# Supplements

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**Table E3.1.1.** Details of the imputation modelling

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**Software used and key setting:** STATA 12.0 software (Stata Corporation, College Station, Texas) – Ice command (with 10 cycles)

**Number of imputed datasets created:** 25

**Variables included in the imputation procedure:**

*Variables used in the main analyses (outcome, exposure, and potential confounders)*

Child wheezing symptoms at 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> year of life, child wheezing symptoms at 6 months, 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> year of life, physician-diagnosed ever asthma at 6 years, maternal and paternal overall psychological distress during pregnancy, maternal and paternal depression symptoms during pregnancy, maternal and paternal anxiety symptoms during pregnancy, maternal overall psychological distress at 2 months after delivery, maternal depression symptoms at 2 months after delivery, maternal anxiety symptoms at 2 months after delivery, maternal depression symptoms at 6 months after delivery, maternal anxiety symptoms at 6 months after delivery, maternal depression symptoms at 3 years after delivery, maternal anxiety symptoms at 3 years after delivery, paternal depression symptoms at 3 years after delivery, paternal anxiety symptoms at 3 years after delivery, maternal age, maternal and paternal educational level, maternal body mass index at enrolment, parity, maternal and paternal smoking during pregnancy, family history of asthma or atopy, pet keeping during pregnancy, child sex, child ethnicity, child low birth weight, child preterm birth, child breastfeeding, child day care attendance, and child second hand smoke at home.

*Variables only used for the imputation models*

Child shortness of breath symptoms at 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> year of life, child cough at night at 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> year of life, child phlegm at 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> year of life, child bronchiolitis at 6 months, 1<sup>st</sup>, and 2<sup>nd</sup> year of life, child pertussis at 6 months, 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> year of life, child bronchitis at 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> year of life, child pneumonia at 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> year of life, maternal and paternal ethnicity, maternal alcohol use during pregnancy, paternal body mass index, paternal age, maternal gestational diabetes, maternal hypertension, marital status, main caregiver of the child, family stress during pregnancy reported by the mother and the father, maternal and paternal somatisation symptoms during pregnancy, maternal and paternal obsession-compulsion symptoms during pregnancy, maternal and paternal interpersonal sensitivity symptoms during pregnancy, maternal and paternal hostility symptoms during pregnancy, maternal and paternal phobic anxiety symptoms during pregnancy, maternal and paternal paranoid ideation symptoms during pregnancy, maternal and paternal psychoticism symptoms during pregnancy, maternal somatisation symptoms at 2 months after delivery, maternal obsession-compulsion symptoms at 2 months after delivery, maternal interpersonal sensitivity symptoms at 2 and 6 months after delivery, maternal hostility symptoms at 2 and 6 months after delivery, maternal phobic anxiety symptoms at 2 months after delivery, maternal paranoid ideation symptoms at 2 months after delivery, maternal psychoticism symptoms at 2 months after delivery, maternal and paternal interpersonal sensitivity symptoms at 3 years after delivery, maternal and paternal hostility symptoms at 3 years after delivery,

**Treatment of binary/categorical variables:** logistic and multinomial models

**Statistical interactions included in imputation models:** none

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**Table E3.1.2.** Distribution of study variables in the imputed and the observed datasets

	% data missing	Imputed dataset*	Observed dataset*
<b>Maternal characteristics</b>			
1. Age at enrolment (years)†	0.0	—	—
2. Pre-pregnancy body mass index (kg/m <sup>2</sup> )‡	0.6		
3.     Underweight		9.2	9.2
4.     Normal weight		55.9	55.9
5.     Overweight		24.5	24.5
6.     Obese		10.4	10.4
7. Smoking during pregnancy (yes vs. no)†	10.2	13.6	13.6
8. Education level†	2.8		
9.     Primary education		6.5	6.3
10.     Secondary education		40.4	39.9
11.     Higher education		53.1	53.8
12. Ethnicity (Non-European vs. European)	1.4	28.4	28.1
13. Parity (multiparous vs. nulliparous)†	0.3	40.4	40.4
14. History of asthma and atopy (yes vs. no)†	20.6	37.1	35.0
15. Pets keeping during pregnancy (yes vs. no)†	13.9	34.3	32.9
16. Overall psychological distress during pregnancy§	0.1	0.26 (0.00)	0.26 (0.01)
17. Depression symptoms during pregnancy§	0.2	0.20 (0.01)	0.20 (0.01)
18. Anxiety symptoms during pregnancy§	0.2	0.26 (0.01)	0.26 (0.01)
19. Overall psychological distress at 2 months after delivery§	21.2	0.24 (0.00)	0.23 (0.01)
20. Depression symptoms at 2 months after delivery§	21.4	0.21 (0.01)	0.20 (0.01)
21. Anxiety symptoms at 2 months after delivery§	21.2	0.24 (0.01)	0.22 (0.01)
22. Depression symptoms at 6 months after delivery§	30.7	0.23 (0.01)	0.22 (0.01)
23. Anxiety symptoms at 6 months after delivery§	30.7	0.27 (0.01)	0.26 (0.01)
24. Depression symptoms at 3 years after delivery§	22.6	0.14 (0.00)	0.13 (0.01)
25. Anxiety symptoms at 3 years after delivery§	22.6	0.18 (0.00)	0.17 (0.01)
<b>Paternal characteristics</b>			
26. Smoking during pregnancy (yes vs. no)†	9.5	41.9	41.8
27. Education level†	24.1		
28.     Primary education		6.9	5.7
29.     Secondary education		40.0	37.5
30.     Higher education		53.1	56.8
31. History of asthma and atopy (yes vs. no)†	33.0	32.1	29.4
32. Overall psychological distress during pregnancy§	26.5	0.14 (0.00)	0.13 (0.01)
33. Depression symptoms during pregnancy§	26.6	0.09 (0.00)	0.09 (0.01)
34. Anxiety symptoms during pregnancy§	26.5	0.17 (0.00)	0.16 (0.01)
35. Depression symptoms at 3 years after delivery§	35.4	0.11 (0.00)	0.10 (0.01)
36. Anxiety symptoms at 3 years after delivery§	35.3	0.17 (0.00)	0.16 (0.01)

**Table E3.1.2.** Distribution of study variables in the imputed and the observed datasets (continued)

	% data missing	Imputed dataset*	Observed dataset*
<b>Maternal characteristics</b>			
<b>Child characteristics</b>			
Sex (female vs. male)§	0.0	—	—
Preterm (<37 vs. ≥37 weeks)§	0.0	—	—
Birth weight (grams)§	0.0	—	—
Breastfeeding (yes vs. no)¶	3.1	92.0	92.1
Day care attendance (yes vs. no)¶	21.6	58.1	59.2
Postnatal smoking exposure (yes vs. no)¶	13.9	18.6	17.4
Physician-attended eczema from 1 to 4 years (ever vs. never)**	3.3	35.0	27.8
Physician-diagnosed lower respiratory tract infections from 1 to 4 years (ever vs. never)**	3.8	26.9	20.4
<b>Wheezing**</b>			
1 <sup>st</sup> year	13.2		
None episode		71.0	70.9
1-3 episodes		22.6	22.8
≥4 episodes		6.4	6.3
2 <sup>nd</sup> year	14.6		
None episode		80.3	80.5
1-3 episodes		16.4	16.3
≥4 episodes		3.3	3.2
3 <sup>rd</sup> year	20.7		
None episode		87.1	87.6
1-3 episodes		10.4	10.1
≥4 episodes		2.5	2.3
4 <sup>th</sup> year	20.6		
None episode		86.8	87.4
1-3 episodes		10.7	10.3
≥4 episodes		2.5	2.3
Wheezing patterns††	33.2		
Never wheezing		56.7	53.7
Early wheezing		30.1	33.0
Late wheezing		3.1	2.6
Persistent wheezing		10.1	10.7
Physician-diagnosed asthma during first 6 years (yes vs. no)‡‡	31.1	6.5	6.0

\* Values are percentages for categorical variables and mean (standard error) for continuous variables

† Information obtained through self-administered questionnaire at enrolment

‡ Maternal weight and height was measured during the first visit to the research centre and body mass index was calculated

§ Information obtained by postal questionnaires using the Brief Symptom Inventory; mother and father each answered the questionnaires

|| Information obtained from midwife and hospital registries at birth

¶ Information obtained by postal questionnaires at the ages of 6 and 12 months, and 2 years

\*\* Information obtained by postal questionnaires at the ages of 1, 2, 3, and 4 years

†† Wheezing patterns categories based on Martinez et al and adapted to preschool age according to the history of wheezing from the age of 1 to 4 years: 1) no wheezing: no recorded wheezing at any age; 2) early wheezing: at least one wheezing symptom during the first 3 years of life but no wheezing at 4 years of age; 3) late wheezing: no wheezing episodes during the first 3 years of age but wheezing at 4 years of age; 4) preschool persistent wheezing: at least one wheezing episode in the first 3 years of life and wheezing at 4 years of age

1. **Table E3.1.3.** Comparison of the maternal, paternal, and child characteristics between those included and those not included in the study  
 2. among the 6,824 eligible subjects\*

3.		Included (N=4,848)	Not included (N=2,642)	P-value Differences
4.	<b>Maternal characteristics</b>			
5.	Age at enrolment (years)†	30.8 (4.7)	28.5 (5.7)	<0.001
6.	Pre-pregnancy body mass index (kg/m <sup>2</sup> )‡			<0.001
7.	Underweight	9.1	9.4	
8.	Normal weight	56.0	47.0	
9.	Overweight	24.5	27.6	
10.	Obese	10.4	16.0	
11.	Smoking during pregnancy (yes vs. no)†	13.7	20.4	<0.001
12.	Education level†			<0.001
13.	Primary education	6.6	20.6	
14.	Secondary education	40.2	51.2	
15.	Higher education	53.2	28.1	
16.	Ethnicity (Non-European vs. European)†	31.5	61.8	<0.001
17.	Parity (multiparous vs. nulliparous)†	40.6	53.4	<0.001
18.	History of asthma and atopy (yes vs. no)†	35.0	33.2	0.236
19.	Pets keeping during pregnancy (yes vs. no)†	32.6	25.2	<0.001
20.	Overall psychological distress during pregnancy§	0.26 (0.34)	0.46 (0.50)	<0.001
21.	Depression symptoms during pregnancy§	0.20 (0.44)	0.44 (0.70)	<0.001
22.	Anxiety symptoms during pregnancy§	0.26 (0.43)	0.45 (0.56)	<0.001
23.	<b>Paternal characteristics</b>			
24.	Smoking during pregnancy (yes vs. no)	42.1	47.4	<0.001
25.	Education level			<0.001
26.	Primary education	5.8	11.8	
27.	Secondary education	37.7	44.4	
28.	Higher education	56.5	43.8	
29.	History of asthma and atopy (yes vs. no)	29.2	29.4	0.935
30.	Overall psychological distress during pregnancy§	0.13 (0.21)	0.18 (0.29)	<0.001
31.	Depression symptoms during pregnancy§	0.09 (0.27)	0.13 (0.22)	0.001
32.	Anxiety symptoms during pregnancy§	0.16 (0.28)	0.20 (0.37)	0.003
33.	<b>Child characteristics</b>			
34.	Sex (female vs. male)ll	50.9	46.9	<0.001
35.	Preterm (<37 vs. ≥37 weeks)ll	4.2	5.9	0.002
36.	Birth weight (grams)ll	3458 (545)	3363 (556)	<0.001

37. \*V alues are percentages for categorical variables and mean (standard deviation) for continuous variables

38. † Information obtained through self-administered questionnaire at enrolment

39. ‡ Maternal weight and height was measured during the first visit to the research centre and body mass index was calculated

§ Information obtained by postal questionnaires using the Brief Symptom Inventory; mother and father each answered the questionnaires

ll Information obtained from midwife and hospital registries at birth

**Table E3. 1.4.** Associations of maternal psychological distress during pregnancy with overall wheezing from 1 to 4 years adjusted for maternal psychological distress at 2 months, 6 months, and 3 years after delivery

	Model 1* + Maternal psychological distress after delivery									
	Model 1*		At 2 months		At 6 months		At 3 years		Pattern†	
	OR	(95% CI)	OR	(95% CI)	OR	(95% CI)	OR	(95% CI)	OR	(95% CI)
<b>Overall psychological distress‡</b>										
No	Reference		Reference		Reference		Reference		Reference	
Yes	1.44	(1.20, 1.74)	1.40	(1.15, 1.71)	—	—	—	—	—	—
Per 1 unit increase on the scale	1.46	(1.25, 1.70)	1.44	(1.36, 1.14)	—	—	—	—	—	—
p-value trend	<0.001		0.001		—	—	—	—	—	—
<b>Depression symptoms</b>										
No	Reference		Reference		Reference		Reference		Reference	
Yes	1.34	(1.11, 1.62)	1.31	(1.08, 1.60)	1.25	(1.02, 1.53)	1.29	(1.06, 1.57)	1.23	(1.01, 1.51)
Per 1 unit increase on the scale	1.23	(1.09, 1.38)	1.21	(1.06, 1.38)	1.17	(1.02, 1.34)	1.18	(1.03, 1.36)	1.16	(1.01, 1.33)
p-value trend	0.001		0.006		0.002		0.016		0.033	
<b>Anxiety symptoms</b>										
No	Reference		Reference		Reference		Reference		Reference	
Yes	1.27	(1.07, 1.52)	1.24	(1.03, 1.49)	1.20	(1.00, 1.45)	1.24	(1.03, 1.49)	1.18	(0.97, 1.42)
Per 1 unit increase on the scale	1.26	(1.12, 1.42)	1.19	(1.04, 1.37)	1.21	(1.05, 1.40)	1.21	(1.06, 1.38)	1.21	(1.05, 1.39)
p-value trend	<0.001		0.014		0.009		0.006		0.009	

CI, Confidence interval; OR, Odds ratio

Odds ratio (95% Confidence Interval) from generalized estimating equation models represents the odds of wheezing episodes for the children of mothers with psychological distress during pregnancy. Maternal psychological distress was treated as dichotomized based on the clinical cut-offs (no, yes) and as continuous (per 1 unit increase). P-trend represents the linear trend per unit increase on the psychological distress scales.

\* Adjusted for maternal age, body mass index, smoking during pregnancy, educational level, ethnicity, and parity, parental history of asthma or atopy, pet keeping, and children's sex, preterm birth, birth weight, breastfeeding, day care attendance, second hand smoke at home, eczema, and lower respiratory tract infections.

† Patterns of maternal psychological distress after delivery (never distress, only postpartum distress, only distress at 3 years, and persistent distress)

‡ Not available at 6 months and 3 years after delivery

**Table E3.1.5.** Associations of maternal psychological distress during pregnancy with overall wheezing from 1 to 4 years adjusted for paternal psychological distress during pregnancy and at 3 years after delivery

	Model 1*		Model 1* + Paternal psychological distress	
	During pregnancy†		At 3 years after delivery†	
	OR (95% CI)	OR (95% CI)	OR (95% CI)	OR (95% CI)
<b>Overall psychological distress‡</b>				
No	Reference	Reference	—	—
Yes	1.44 (1.20, 1.74)	1.44 (1.19, 1.74)	—	—
Per 1 unit increase on the scale	1.46 (1.25, 1.70)	1.44 (1.23, 1.68)	—	—
p-value trend	<0.001	<0.001	—	—
<b>Depression symptoms</b>				
No	Reference	Reference	Reference	Reference
Yes	1.34 (1.11, 1.62)	1.33 (1.10, 1.62)	1.35 (1.12, 1.63)	1.35 (1.12, 1.63)
Per 1 unit increase on the scale	1.23 (1.09, 1.38)	1.23 (1.08, 1.39)	1.22 (1.08, 1.38)	1.22 (1.08, 1.38)
p-value trend	0.001	0.002	0.001	0.001
<b>Anxiety symptoms</b>				
No	Reference	Reference	Reference	Reference
Yes	1.27 (1.07, 1.52)	1.26 (1.06, 1.50)	1.27 (1.06, 1.52)	1.27 (1.06, 1.52)
Per 1 unit increase on the scale	1.26 (1.12, 1.42)	1.25 (1.10, 1.41)	1.24 (1.10, 1.41)	1.24 (1.10, 1.41)
p-value trend	<0.001	<0.001	0.001	0.001

CI, Confidence interval; OR, Odds ratio

Odds ratio (95% Confidence Interval) from generalized estimating equation models represents the odds of wheezing episodes for the children of mothers psychological distress during pregnancy. Maternal psychological distress was treated as dichotomized based on the clinical cut-off (no, yes) and as continuous (per 1 unit increase). P-trend represents the linear trend per unit increase on the psychological distress scales.

\* Adjusted for maternal age, body mass index, smoking during pregnancy, educational level, ethnicity, and parity, parental history of asthma or atopy, pet keeping, and children's sex, preterm birth, birth weight, breastfeeding, day care attendance, second hand smoke at home, eczema, and lower respiratory tract infections.

† Models additionally adjusted by maternal psychological distress during pregnancy

‡ Not available at 3 years after delivery



**Table E3.1.6.** Comparison of the maternal, paternal, and child characteristics between those included in the complete-case analysis and those not included among the 4,848 subjects\*

	Included (N=2,098)	Not included (N=2,750)	P-value Differences
<b>Maternal characteristics</b>			
Age at enrolment (years)†	31.7 (4.0)	30.1 (5.1)	<0.001
Pre-pregnancy body mass index (kg/m <sup>2</sup> )‡			<0.001
Underweight	9.0	9.2	
Normal weight	60.4	52.7	
Overweight	22.7	25.9	
Obese	7.9	12.2	
Smoking during pregnancy (yes vs. no)†	9.5	16.9	<0.001
Education level†			<0.001
Primary education	1.8	10.4	
Secondary education	30.6	47.8	
Higher education	67.6	41.8	
Ethnicity (Non-European vs. European)†	16.1	43.7	<0.001
Parity (multiparous vs. nulliparous)†	35.6	44.4	<0.001
History of asthma and atopy (yes vs. no)†	36.8	33.5	0.034
Pets keeping during pregnancy (yes vs. no)†	35.5	30.3	<0.001
Overall psychological distress during pregnancy§	0.19 (0.24)	0.31 (0.40)	<0.001
Depression symptoms during pregnancy§	0.12 (0.29)	0.26 (0.51)	<0.001
Anxiety symptoms during pregnancy§	0.19 (0.32)	0.31 (0.50)	<0.001
<b>Paternal characteristics</b>			
Smoking during pregnancy (yes vs. no)	36.4	46.6	<0.001
Education level			<0.001
Primary education	3.3	9.2	
Secondary education	33.5	43.2	
Higher education	63.2	47.6	
History of asthma and atopy (yes vs. no)	29.3	29.1	0.896
Overall psychological distress during pregnancy§	0.12 (0.17)	0.16 (0.25)	<0.001
Depression symptoms during pregnancy§	0.09 (0.22)	0.12 (0.33)	<0.001
Anxiety symptoms during pregnancy§	0.15 (0.26)	0.18 (0.31)	0.017
<b>Child characteristics</b>			
Sex (female vs. male)ll	50.1	51.5	0.352
Preterm (<37 vs. ≥37 weeks)ll	3.4	4.7	0.029
Birth weight (grams)ll	3519 (526)	3412 (555)	<0.001

\* Values are percentages for categorical variables and mean (standard deviation) for continuous variables

† Information obtained through self-administered questionnaire at enrolment

‡ Maternal weight and height was measured during the first visit to the research centre and body mass index was calculated

§ Information obtained by postal questionnaires using the Brief Symptom Inventory; mother and father each answered the questionnaires

ll Information obtained from midwife and hospital registries at birth

**Table E3.1.7.** Complete-case analysis: associations of maternal psychological distress during pregnancy with overall wheezing from 1 to 4 years adjusted for maternal psychological distress at 3 years after delivery

	Model 1		Model 1 + Maternal psychological distress at 3 years	
	OR	(95% CI)	OR	(95% CI)
<b>Overall psychological distress*</b>				
No	Reference		—	—
Yes	1.23	(0.76, 1.98)	—	—
Per 1 unit increase on the scale	1.77	(1.20, 2.63)	—	—
p-value trend		0.004		—
<b>Depression symptoms</b>				
No	Reference		Reference	
Yes	1.44	(0.91, 2.29)	1.38	(0.86, 2.21)
Per 1 unit increase on the scale	1.43	(1.05, 1.95)	1.36	(0.98, 1.88)
p-value trend		0.023		0.064
<b>Anxiety symptoms</b>				
No	Reference		Reference	
Yes	1.10	(0.72, 1.68)	1.03	(0.66, 1.60)
Per 1 unit increase on the scale	1.31	(0.98, 1.74)	1.26	(0.93, 1.71)
p-value trend		0.066		0.143

19. CI, Confidence interval; OR, Odds ratio

20. Odds ratio (95% Confidence Interval) from generalized estimating equation models represents the odds of wheezing episodes for the children of mothers with psychological distress during pregnancy. Maternal psychological distress was treated as dichotomized based on the clinical cut-offs (no, yes) and as continuous (per 1 unit increase). P-trend represents the linear trend per unit increase on the psychological distress scales.

22. Models were adjusted for maternal age, body mass index, smoking during pregnancy, educational level, ethnicity, and parity, parental history of asthma or atopy, pet keeping, and children's sex, preterm birth, birth weight, breastfeeding, day care attendance, second hand smoke at home, eczema and lower respiratory tract infections.

24. \* Not available at 3 years after delivery

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**Table E3.1.8.** Complete-case analysis: associations of maternal psychological distress during pregnancy with overall wheezing from 1 to 4 years adjusted for paternal psychological distress during pregnancy and at 3 years after delivery

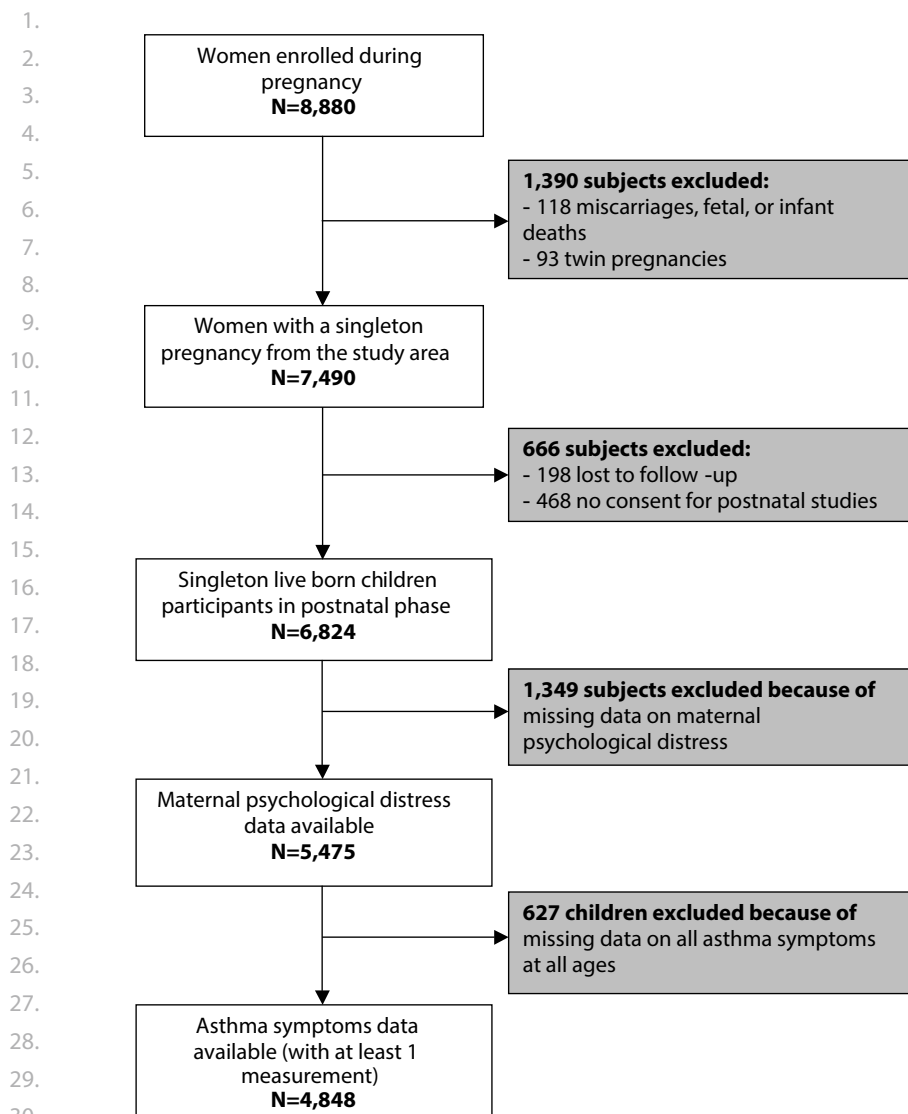
	Model 1		Model 1 + Paternal psychological distress			
			During pregnancy		At 3 years after delivery	
	OR	(95% CI)	OR	(95% CI)	OR	(95% CI)
<b>Overall psychological distress*</b>						
No	Reference		Reference		— —	
Yes	1.23	(0.76, 1.98)	1.26	(0.78, 2.04)	— —	
Per 1 unit increase on the scale	1.77	(1.20, 2.63)	1.79	(1.20, 2.67)	— —	
p-value trend	0.004		0.004		—	
<b>Depression symptoms</b>						
No	Reference		Reference		Reference	
Yes	1.44	(0.91, 2.29)	1.50	(0.95, 2.39)	1.51	(0.95, 2.40)
Per 1 unit increase on the scale	1.43	(1.05, 1.95)	1.46	(1.07, 2.00)	1.47	(1.08, 2.00)
p-value trend	0.023		0.018		0.015	
<b>Anxiety symptoms</b>						
No	Reference		Reference		Reference	
Yes	1.10	(0.72, 1.68)	1.10	(0.72, 1.69)	1.10	(0.72, 1.68)
Per 1 unit increase on the scale	1.31	(0.98, 1.74)	1.31	(0.98, 1.75)	1.31	(0.98, 1.74)
p-value trend	0.066		0.065		0.069	

CI, Confidence interval; OR, Odds ratio

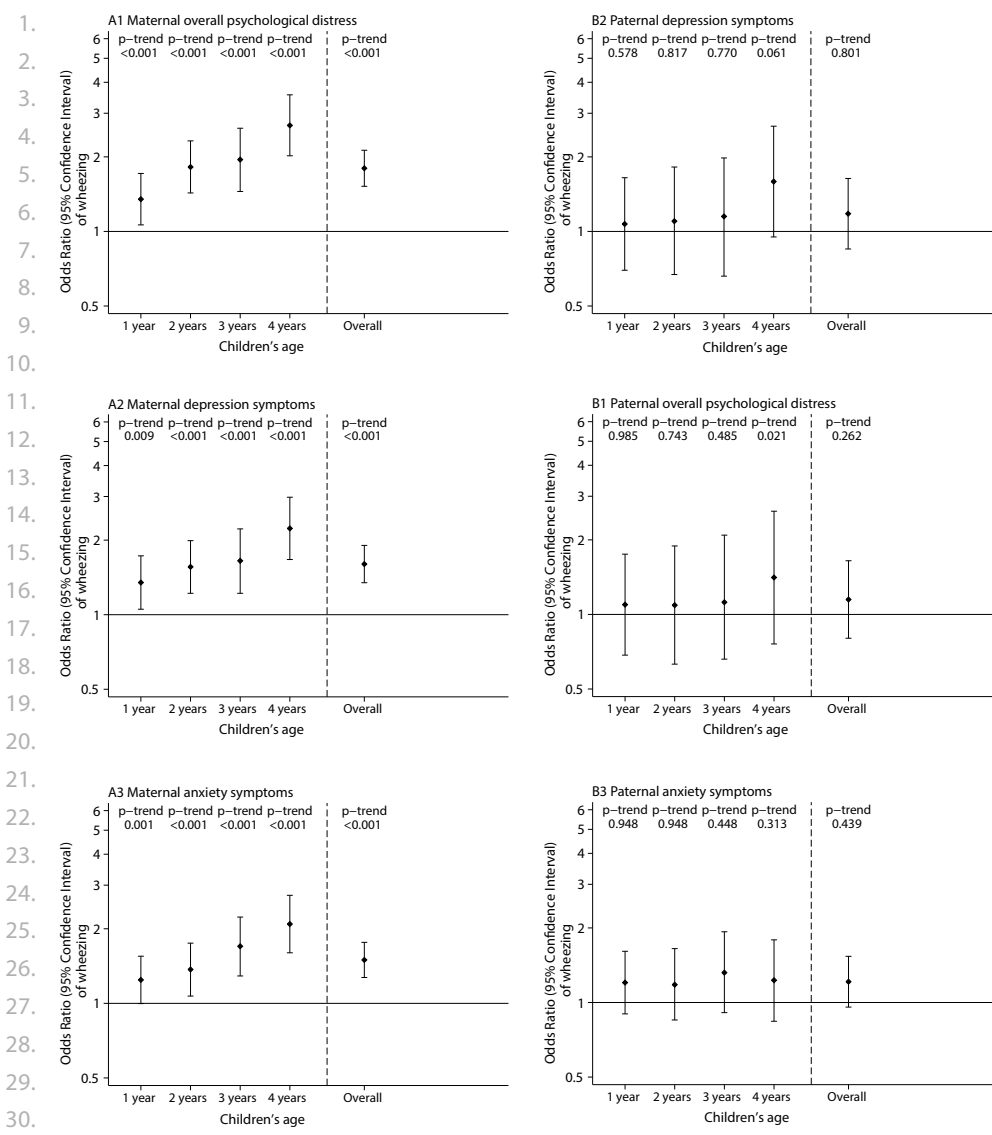
Odds ratio (95% Confidence Interval) from generalized estimating equation models represents the odds of wheezing episodes for the children of mothers with psychological distress during pregnancy. Maternal psychological distress was treated as dichotomized based on the clinical cut-offs (no, yes) and as continuous (per 1 unit increase). P-trend represents the linear trend per unit increase on the psychological distress scales.

Models were adjusted for maternal age, body mass index, smoking during pregnancy, educational level, ethnicity, and parity, parental history of asthma or atopy, pet keeping, and children's sex, preterm birth, birth weight, breastfeeding, day care attendance, second hand smoke at home, eczema and lower respiratory tract infections.

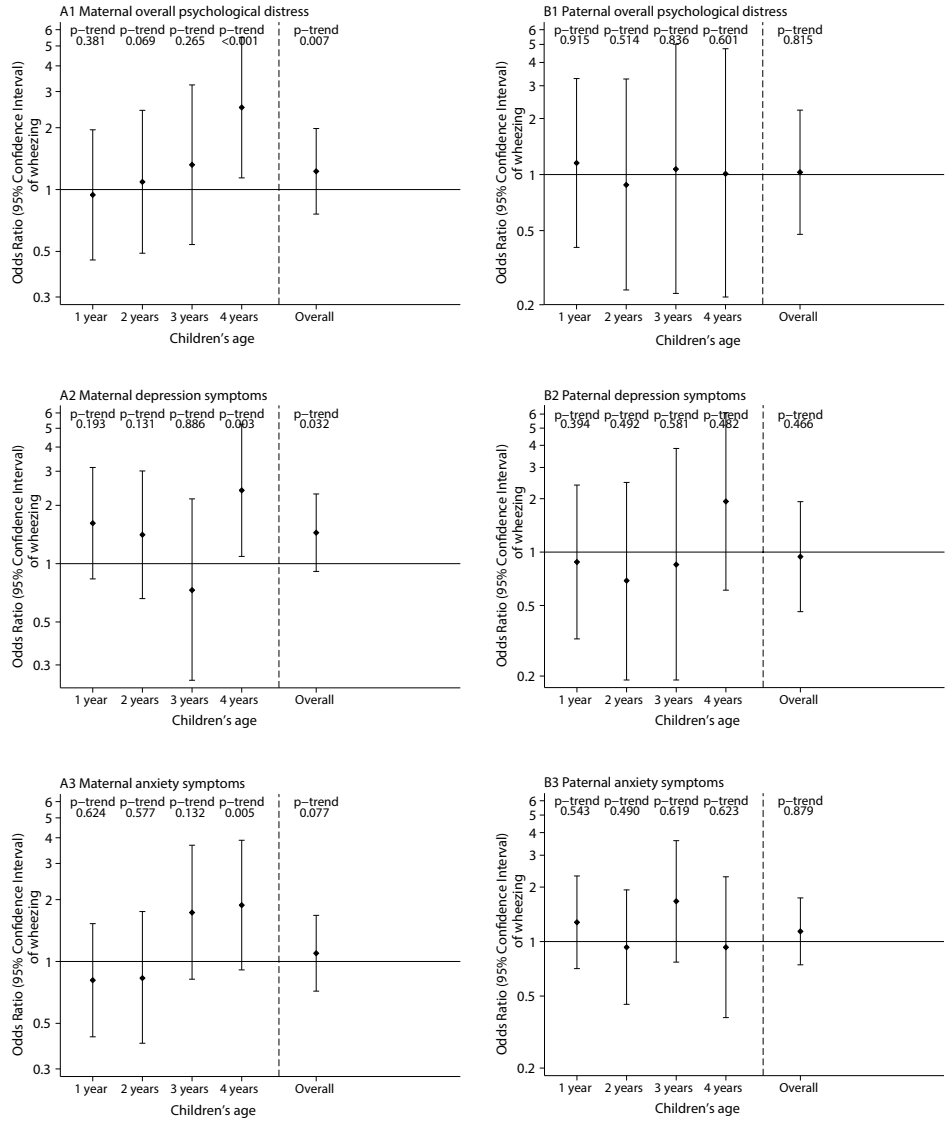
\* Not available at 3 years after delivery



31. **Figure E3.1.1.** Flowchart of participants in the study  
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**Figure E3.1.2.** Unadjusted associations of maternal (A) and paternal (B) psychological distress during pregnancy with wheezing from 1 to 4 years  
 Odds ratio (95% Confidence Interval) from generalized estimating equation models represents the odds of wheezing for the children of mothers or fathers with psychological distress during pregnancy (no, yes). P-trend represents the linear trend per unit increase on the psychological distress scales. \*Paternal models were additionally adjusted by maternal psychological distress during pregnancy.



**Figure E3.1.3.** Complete-case analysis: adjusted associations of maternal (A) and paternal (B) psychological distress during pregnancy with wheezing from 1 to 4 years

Odds ratio (95% Confidence Interval) from generalized estimating equation models represents the odds of wheezing for the children of mothers or fathers with psychological distress during pregnancy (no, yes). P-trend represents the linear trend per unit increase on the psychological distress scales. Models were adjusted for maternal age, body mass index, smoking during pregnancy, educational level, ethnicity, and parity, parental history of asthma or atopy, pet keeping, and children's sex, preterm birth, birth weight, breastfeeding, day care attendance, second hand smoke at home, eczema and lower respiratory tract infections. \*Paternal models were additionally adjusted by maternal psychological distress during pregnancy

# 3.2

## Maternal pre-pregnancy obesity, gestational weight gain and wheezing in preschool children

Elisabeth T.M. Leermakers, MSc<sup>1,2,3,4</sup>, Agnes M.M. Sonnenschein-van der Voort, MSc<sup>1,2,5</sup>, Romy Gaillard, MSc<sup>1,2,4</sup>, Albert Hofman, MD PhD<sup>2</sup>, Johan C. de Jongste, MD PhD<sup>4</sup>, Vincent W.V. Jaddoe, MD PhD<sup>1,2,4</sup>, Liesbeth Duijts, MD PhD<sup>1,2,5,6</sup>

<sup>1</sup>The Generation R Study Group, <sup>2</sup>Department of Epidemiology, <sup>3</sup>ErasmusAGE, Department of Epidemiology, <sup>4</sup>Department of Paediatrics, <sup>5</sup>Department of Paediatrics, Division of Respiratory Medicine, <sup>6</sup>Department of Paediatrics, Division of Neonatology; Erasmus Medical Center, Rotterdam, the Netherlands.

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1. **ABSTRACT**

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3. **Aim** We studied the associations of maternal pre-pregnancy body mass index and gestational  
4. weight gain with risks of preschool wheezing in offspring and explored the role of growth,  
5. infectious and atopic mechanisms.

6.

7. **Methods** This sub-study of 4,656 children was embedded in a population-based birth cohort.  
8. Information about maternal pre-pregnancy weight, gestational weight gain and wheezing at  
9. the ages 1 to 4 was obtained by physical measurements or questionnaires.

10.

11. **Results** Among mothers with a history of asthma or atopy, maternal pre-pregnancy obesity  
12. was associated with an overall increased risk of preschool wheezing (OR 1.47 (1.12, 1.95)).  
13. Also, each SD increase of gestational weight gain was associated with an increased overall  
14. risk of preschool wheezing (OR 1.09 (1.04, 1.14)), independent of pre-pregnancy body mass  
15. index and not different between mothers with and without a history of asthma or atopy.  
16. Child's growth, respiratory tract infections or eczema did not alter the results.

17.

18. **Conclusion** Mothers with pre-pregnancy obesity and a history of asthma or atopy, and  
19. higher gestational weight gain showed higher risks of wheezing in their offspring. These  
20. associations could not be explained by growth, infectious or atopic mechanisms. Further  
21. research is needed to identify underlying mechanisms and long term consequences.

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## 1. INTRODUCTION

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3. Maternal pre-pregnancy obesity is suggested to be associated with childhood asthma symp-  
4. toms<sup>1-6</sup>. These studies mostly focused at maternal weight before or in early pregnancy and have  
5. inconsistent results regarding the role of maternal history of asthma or atopy. Mechanisms  
6. underlying the association between maternal pre-pregnancy obesity and childhood asthma  
7. symptoms are not known, but might include child's growth, and infectious and atopic mecha-  
8. nisms. Maternal pre-pregnancy obesity seems associated with a difference in birth weight and  
9. gestational age at time of delivery<sup>7,8</sup> and might adversely affect pulmonary development of  
10. the fetus, leading to relatively smaller airways, impaired lung function, and asthma symptoms  
11. in childhood<sup>9-11</sup>. Childhood growth might modify the association of pre-pregnancy obesity  
12. with preschool wheezing<sup>10,12</sup>. Another mechanism might be that proinflammatory cytokines  
13. levels are increased in obese mothers, which might affect the development of the fetal immune  
14. system and the risk of infectious and atopic diseases postnatally<sup>13-16</sup>.

15. To date, the effect of gestational weight gain, which tends to be inversely associated with pre-  
16. pregnancy maternal body mass index, on the development of asthma symptoms has not been  
17. extensively studied. Gestational weight gain may modify the association of maternal body mass  
18. index with wheezing, but could also be a risk in its own right. We hypothesize that maternal pre-  
19. pregnancy weight and gestational weight gain independently lead to increased risks of childhood  
20. wheezing. Studies focused on the associations of maternal pre-pregnancy obesity and gestational  
21. weight gain with childhood asthma symptoms including potential underlying mechanisms are  
22. important to identify specific adverse fetal exposures in critical periods in which airways and lungs  
23. develop. Therefore, we examined in a population-based prospective cohort study among 4,656  
24. children, the associations of maternal pre-pregnancy body mass index and gestational weight  
25. gain with the risk of asthma symptoms including wheezing in preschool children. Secondly, we ex-  
26. plored if any association could be explained by child's growth, infectious and atopic mechanisms  
27. and if these associations were modified by family history of asthma or atopy.

28.

29.

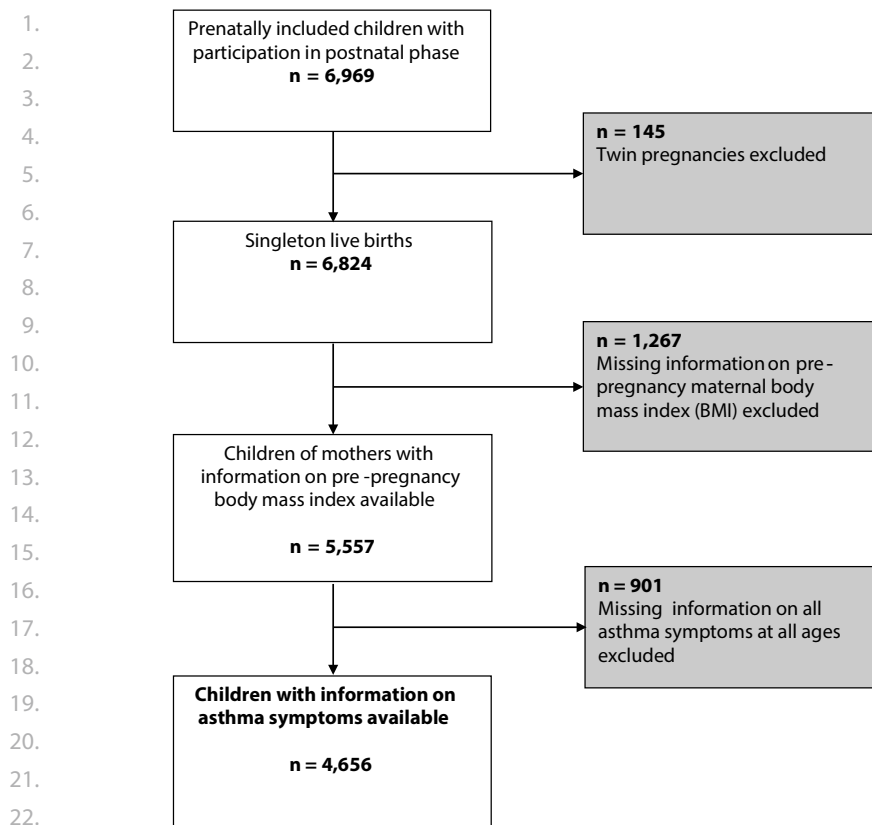
## 30. METHODS

31.

### 32. Design

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34. This study was embedded in the Generation R Study, a population-based prospective cohort  
35. study from early fetal life onwards in Rotterdam, the Netherlands<sup>17</sup>. The study has been ap-  
36. proved by the Medical Ethical Committee of the Erasmus Medical Centre in Rotterdam. Written  
37. informed consent was obtained from all participants. A total of 4,656 mothers and their children  
38. were included for the current analyses (Figure 3.2.1). The analyses with gestational weight gain  
39. as exposure were conducted in a slightly smaller sample of 4,535 mothers and children.



23. **Figure 3.2.1.** Flowchart of participants included for analysis

### 25. Maternal anthropometrics, obesity and weight gain during pregnancy

27. Maternal anthropometrics (height and weight) were measured in first, second and third  
 28. trimester of pregnancy at one of the research centres. Pre-pregnancy body mass index (kg/  
 29. m<sup>2</sup>) was calculated using pre-pregnancy weight as recorded by the mother and height (cm)  
 30. measured at enrolment and was categorised into four categories (underweight (<20 kg/m<sup>2</sup>);  
 31. normal weight (20–24.9 kg/m<sup>2</sup>); overweight (25–29.9 kg/m<sup>2</sup>); obese (≥30 kg/m<sup>2</sup>). As enrolment  
 32. in our study was in pregnancy, we were not able to measure maternal weight before preg-  
 33. nancy. Therefore, we obtained information about maternal weight just before pregnancy by  
 34. the first questionnaire. In our population for analysis, 52% of all women were enrolled before a  
 35. gestational age of 14 weeks. Correlation of pre-pregnancy weight obtained by questionnaire,  
 36. and weight measured in first trimester of pregnancy was very good (Pearson correlation 0.95  
 37. ( $p < 0.001$ )). The agreement between pre-pregnancy body mass index categories and body mass  
 38. index categories at intake was good (Cohen's kappa 0.62 ( $p < 0.001$ )) and the Bland-Altman plot  
 39. (Supplemental Figure E3.2.1) showed no evidence of systematic bias.

1. We defined gestational weight gain as the difference between weight before pregnancy  
2. and weight in third trimester (measured without heavy clothing at a median of 30.2 weeks  
3. of gestational age (95% range 28.5 – 32.8)). This information was available for 4,535 mothers.  
4. Standard deviation scores for gestational weight gain were created and used in the model as  
5. a continuous variable<sup>8, 18</sup>.

6.

### 7. **Respiratory symptoms**

8.

9. Information on wheezing (no, yes) was obtained by questionnaires, adapted from the Inter-  
10. national Study on Asthma and Allergy in Childhood (ISAAC)<sup>19</sup> at the ages of 1, 2, 3 and 4 years.  
11. Response rates for these questionnaires were 71%, 76%, 72% and 73%, respectively<sup>20</sup>.

12.

### 13. **Covariates**

14.

15. Information on maternal age, parity, ethnicity, socio-economical status, history of asthma  
16. or atopy and pet keeping was obtained by questionnaires completed by the mother during  
17. pregnancy. We used parity as a proxy for siblings, the correlation between those variables  
18. was good (Cohen's Kappa = 0.87,  $P < 0.001$ ). Maternal ethnicity was based on country of birth  
19. of her and her parents. Socio-economical status was assessed using the highest maternal  
20. educational level. Information on maternal psychological distress was obtained by postal  
21. questionnaires at 20 weeks of gestation using the Brief Symptom Inventory<sup>21</sup>. Data on ac-  
22. tive maternal smoking was collected by postal questionnaires sent in first, second and third  
23. trimester of pregnancy and combined into smoking (no, yes). Postal questionnaires at the  
24. ages of 6 and 12 months provided information about breastfeeding and daycare attendance,  
25. and at the ages of 1 to 4 years about lower respiratory tract infections (pertussis, bronchitis,  
26. bronchiolitis or pneumonia) and doctor attended eczema<sup>20, 22</sup>. Weight and gestational age at  
27. birth and sex of the children were obtained from midwife and hospital registries. The pres-  
28. ence of gestational diabetes and hypertensive disorders was retrieved from birth records  
29. after delivery. Height and weight at the ages 1 to 4 years were measured at the child health  
30. care center between 10 to 13, 23 to 29, 35 to 44 and 44 to 56 months of age, respectively<sup>22</sup>.

31.

### 32. **Statistical analysis**

33.

34. We analysed the associations of maternal pre-pregnancy body mass index and gestational  
35. weight gain with wheezing at the ages of 1 to 4 years using generalized estimating equa-  
36. tion models (GEEs). With GEE analyses, repeatedly measured symptoms over time can be  
37. analysed, taking into account that these repeated measurements within the same subject  
38. are correlated. To prevent bias associated with missing data, missing values of the covariates  
39. and the outcome were multiple imputed based on the correlation of the missing variables

1. with other characteristics. Ten imputed data sets were created and analysed separately after  
2. which results were combined. All models were first performed unadjusted and subsequently  
3. adjusted for potential confounders. Selection of confounders was based on previous studies,  
4. if the effect estimates changed 5% or more or if they were strongly related with the outcomes  
5. of interest. To assess whether the associations of maternal pre-pregnancy body mass index  
6. and weight gain during pregnancy with wheezing could be explained by growth, infectious,  
7. or atopic mechanisms, we additionally adjusted the analyses for child's growth, including  
8. height and weight, lower respiratory tract infections and eczema at the corresponding ages.  
9. Furthermore, we stratified the analysis for maternal history of asthma or atopy, to explore  
10. differences in associations of pre-pregnancy body mass index and gestational weight gain  
11. with wheezing between children with and without a predisposition for asthma. The statisti-  
12. cal analyses were performed using the Statistical Package of Social Sciences version 17.0 for  
13. Windows (SPSS Inc., Chicago, IL, USA) and SAS 9.2 (SAS institute, Cary, NC, USA).

14.

15.

## 16. **RESULTS**

17.

### 18. **Subject characteristics**

19.

20. Of the mothers, 58.8% (n=2,740) had a normal pre-pregnancy body mass index, 15.6%  
21. (n=727) was underweight, 18.1% (n=844) was overweight and 7.4% (n=345) was obese (Table  
22. 3.2.1). Mean gestational weight gain was 10.4 kg (SD 4.7). Children were born after median  
23. pregnancy duration of 40.1 weeks (95% range 36.0 – 42.3) with a mean birth weight of 3457  
24. grams (SD 546). Wheezing prevalences declined from 29.3% in the first year to 13.7% in the  
25. fourth year (Table 3.2.2). We observed that per category increase of pre-pregnancy body  
26. mass index, mean gestational weight gain was lower (mean gestational weight gain 10.9 kg  
27. and 7.5 kg for underweight and obese women, respectively) (Supplementary Table E3.2.1).

28.

### 29. **Pre-pregnancy body mass index and wheezing**

30.

31. We observed an association of maternal pre-pregnancy body mass index with the risks of  
32. preschool wheezing at the age of 4 years ( $P$ -trend <0.01). Other significant associations of  
33. maternal pre-pregnancy body mass index or categories with wheezing at other ages were  
34. not observed (Figure 3.2.2). Additional stratified analysis on maternal history of asthma or  
35. atopy showed that pre-pregnancy obesity was only associated with overall risks of preschool  
36. wheezing among mothers with a history of asthma or atopy (OR 1.47 (1.12, 1.95)). The strati-  
37. fied analysis also showed that among mothers with a history of asthma or atopy, pre-preg-  
38. nancy underweight tended to be associated with increased risk of preschool wheezing (OR  
39. 1.17 (0.97, 1.42) (Table 3.2.3). Per year analysis showed that the associations with preschool

**Table 3.2.1.** Characteristics of children and their mothers (n = 4,656)

	Original Data	Data after multiple imputation
<b>Maternal characteristics</b>		
Age (years)	30.8 (4.8)	30.8 (4.8)
Gestational age at enrolment (weeks) <sup>1</sup>	13.8 (10.1- 27.2)	13.8 (10.1 – 27.2)
Parity		
Nullipara	58.5 (2,721)	58.5 (2,722)
Multipara	41.5 (1,933)	41.5 (1,934)
Missing	0.0 (2)	-
Ethnicity (%)		
European	67.1 (3,105)	67.0 (3,119)
Non-European	32.9 (1,519)	33.0 (1,537)
Missing	0.7 (32)	-
Education (%)		
Primary or secondary	47.3 (2,161)	47.9 (2,228)
Higher	52.7 (2,409)	52.1 (2,428)
Missing	1.8 (86)	-
Stress during pregnancy (global severity index) <sup>1</sup>	0.13 (0.00 - 1.25)	0.16 (0.00 – 1.17)
Smoking during pregnancy (%)		
No	86.4 (3,824)	86.3 (4,019)
Yes	13.6 (603)	13.7 (637)
Missing	4.9 (229)	-
History of asthma or atopy (%)		
No	61.7 (2,567)	63.0 (2,935)
Yes	38.3 (1,593)	37.0 (1,721)
Missing	10.7 (496)	-
Pet keeping (%)		
No	66.5 (2,884)	66.6 (3,101)
Yes	33.5 (1,456)	33.4 (1,555)
Missing	6.8 (316)	-
Gestational hypertensive disorders		
No	94.0 (4,271)	93.9 (4,370)
Yes	6.0 (271)	6.1 (286)
Missing	2.4 (114)	-
Diabetes gravidarum		
No	99.3 (4,500)	99.0 (4611)
Yes	0.7 (33)	0.9 (45)
Missing	2.6 (123)	-
Pre-pregnancy body mass index (kg/m <sup>2</sup> )	23.4 (4.1)	23.4 (4.1)

**Table 3.2.1.** Characteristics of children and their mothers (n = 4,656) (continued)

	Original Data	Data after multiple imputation
Pre-pregnancy body mass index (%)		
Underweight (<20 kg/m <sup>2</sup> )	15.6 (727)	15.6 (727)
Normal weight (20-24.9kg/m <sup>2</sup> )	58.8 (2,740)	58.8 (2,740)
Overweight (25-29.9kg/m <sup>2</sup> )	18.1 (844)	18.1 (844)
Obese (≥30 kg/m <sup>2</sup> )	7.4 (345)	7.4 (345)
Gestational weight gain (kg)	10.4 (4.7)	10.4 (4.7)
<b>Children's characteristics</b>		
Female sex (%)	50.0 (2,326)	50.0 (2,326)
Gestational age at birth (weeks) <sup>1</sup>	40.1 (36.0 – 42.3)	40.1 (36.0 – 42.3)
Birth weight (grams)	3457 (546)	3457 (546)
Breastfeeding (%)		
No	7.5 (339)	7.6 (352)
Yes	92.5 (4,152)	92.4 (4,304)
Missing	3.5 (165)	-
Day care attendance 1 <sup>st</sup> year (%)		
No	44.4 (1,693)	47.1 (2,192)
Yes	55.6 (2,120)	52.9 (2,464)
Missing	18.1 (843)	-

Values are means (SD), valid percentages (absolute numbers) or <sup>1</sup>medians (95% range).

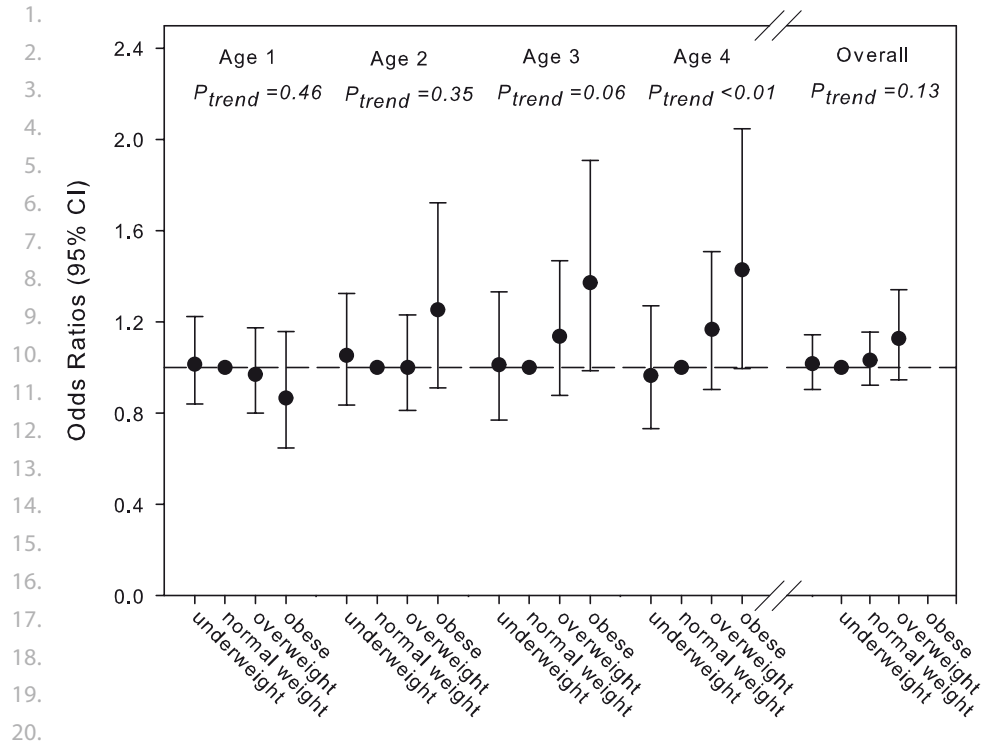
Missing information for stress during pregnancy was 14%.

**Table 3.2.2.** Prevalences of age-dependent child characteristics (n = 4,656)

	Age 1 year	Age 2 years	Age 3 years	Age 4 years
Wheezing (%)				
Yes	29.3 (1,366)	20.6 (946)	13.8 (643)	13.7 (636)
No	70.7 (3,290)	81.4 (3,710)	86.2 (4,013)	86.3 (4,020)
Eczema (%)				
Yes	21.8 (1,015)	14.5 (673)	9.6 (449)	8.4 (392)
No	78.2 (3,641)	85.5 (3,983)	90.4 (4,207)	91.6 (4,264)
LRTI <sup>2</sup> (%)				
Yes	18.7 (870)	6.9 (321)	9.3 (435)	6.8 (318)
No	81.3 (3,786)	93.1 (4,335)	90.7 (4,221)	93.2 (4,338)
Height (cm) <sup>1</sup>				
Mean (SD)	74.4 (2.7)	88.3 (3.4)	97.4 (3.8)	103.3 (4.1)
Weight (kg) <sup>1</sup>				
Mean (SD)	9.67 (1.07)	12.95 (1.50)	15.28 (1.85)	17.00 (2.16)

Values are percentages (absolute numbers) or <sup>1</sup>means (SD) based on imputed data.

<sup>2</sup>Lower respiratory tract infections (LRTI).



**Figure 3.2.2.** Associations of pre-pregnancy body mass index with risks of wheezing (n = 4,656). Values are odds ratios (with 95% Confidence Interval) and reflect the associations of different pre-pregnancy body mass index groups with risks of wheezing, compared to the normal pre-pregnancy body mass index weight group, 20-24.9 kg/m<sup>2</sup>, using generalized estimating equation models. Tests for trend were based on generalized estimating equation models with pre-pregnancy body mass index (SDS) as a continuous variable. Models were adjusted for maternal age, parity, ethnicity, education level, distress during pregnancy, smoking during pregnancy, pet keeping, gestational hypertensive disorders, diabetes gravidarum, gestational age at enrolment, gestational age at measurement, gestational weight gain, and child's sex, gestational age at birth, birth weight, breastfeeding and daycare attendance.

wheezing in obese mothers were seen from the age of 2 years onwards (Supplementary Table E3.2.2). No association was observed among children from mothers without a history of asthma or atopy. The size of the effect estimates did not change after adjustment for child height and weight, lower respiratory infections or eczema.

**Gestational weight gain**

Weight gain during pregnancy was associated with an slightly increased risk of wheezing at the age of 1 year (OR 1.13 (1.05, 1.21), per SD increase of gestational weight gain), and an overall increased risk from 1 to 4 years (overall OR 1.09 (1.04, 1.14)), per SD increase of weight gain (Table 3.2.4). These effects were independent of pre-pregnancy body mass index. After stratification for pre-pregnancy body mass index, we observed that the effect of gestational weight gain on overall risks of wheez-

**Table 3.2.3.** Pre-pregnancy body mass index and wheezing, stratified for maternal history of asthma or atopy (n = 4,656)

	Overall OR (95% confidence interval)			
	Model 1	Model 2	Model 3	Model 4
	(model 1 + growth <sup>2</sup> )	(model 1 + growth <sup>2</sup> )	(model 1 + LRTI <sup>3</sup> )	(model 1 + eczema)
No maternal history of asthma or atopy				
Underweight n=453	0.93 (0.79, 1.12)	0.93 (0.79, 1.09)	0.89 (0.76, 1.05)	0.93 (0.79, 1.09)
Normal weight n=1744	Reference	Reference	Reference	Reference
Overweight n=521	1.10 (0.95, 1.27)	1.09 (0.95, 1.26)	1.08 (0.93, 1.27)	1.11 (0.96, 1.28)
Obese n=217	0.94 (0.74, 1.19)	0.93 (0.73, 1.19)	0.89 (0.69, 1.15)	0.93 (0.74, 1.19)
Pre-pregnancy body mass index <sup>1</sup>	1.02 (0.96, 1.08)	1.02 (0.95, 1.08)	1.02 (0.95, 1.09)	1.02 (0.96, 1.08)
p for trend <sup>1</sup>	p=0.56	p=0.61	p=0.61	p=0.57
Maternal history of asthma or atopy				
Underweight n=275	1.17 (0.97, 1.42)	1.19 (0.98, 1.43)	1.23 (1.01, 1.50)*	1.17 (0.97, 1.42)
Normal weight n=996	Reference	Reference	Reference	Reference
Overweight n=323	0.97 (0.81, 1.16)	0.95 (0.80, 1.14)	1.00 (0.83, 1.21)	0.97 (0.81, 1.16)
Obese n=128	1.47 (1.12, 1.95)**	1.41 (1.06, 1.87)*	1.42 (1.04, 1.93)*	1.47 (1.11, 1.95)**
Pre-pregnancy body mass index <sup>1</sup>	1.07 (1.00, 1.15)	1.06 (0.98, 1.13)	1.06 (0.99, 1.15)	1.07 (1.00, 1.15)
p for trend <sup>1</sup>	p=0.05	p=0.14	p=0.10	p=0.05

Values are odds ratios (with 95% Confidence interval) and reflect the associations of different pre-pregnancy body mass index groups with wheezing of the children, compared to the normal pre-pregnancy body mass index group, 20-24.9 kg/m<sup>2</sup>, using generalized estimating equation models. <sup>1</sup>Tests for trend were based on generalized estimating equation models with pre-pregnancy body mass index (SDS) as a continuous variable and reflect the association with wheezing per SD increase of pre-pregnancy body mass index. <sup>2</sup>Growth defined as child's height and weight at the ages of 1 to 4 years. <sup>3</sup>Lower respiratory tract infections (LRTI). Models were adjusted for maternal age, parity, ethnicity, education level, distress during pregnancy, smoking during pregnancy, pet keeping, gestational hypertensive disorders, diabetes gravidarum, gestational age at enrolment, gestational age at measurement, gestational weight gain, and child's sex, gestational age at birth, birth weight, breastfeeding and daycare attendance. \*P-value <0.05; \*\*P-value <0.01. Overall P<sub>trend,maternal</sub> pre-pregnancy body mass index\*maternal history of asthma or atopy: 0.15 (for underweight p=0.08, for overweight p=0.42, for obesity p<0.01).



**Table 3.2.4.** Associations of gestational weight gain and risks of wheezing, in the total population and per maternal pre-pregnancy body mass index category (n = 4,535)

	Odds ratios (95% Confidence Interval) of wheezing per SD change in gestational weight gain				
	Age 1 year	Age 2 years	Age 3 years	Age 4 years	Overall
Total group n= 4,535	1.13 (1.05, 1.21)*** p<0.001	1.07 (0.99, 1.17) p=0.10	1.05 (0.94, 1.18) p=0.37	1.06 (0.97, 1.16) p=0.21	1.09 (1.04, 1.14)*** p<0.001
<b>Pre-pregnancy body mass index</b>					
Underweight n= 709	1.11 (0.89, 1.39) p=0.37	1.02 (0.77, 1.36) p=0.88	1.03 (0.76, 1.41) p=0.83	0.99 (0.72, 1.36) p=0.96	1.06 (0.90, 1.23) p=0.49
Normal weight n= 2,672	1.07 (0.97, 1.19) p=0.19	1.07 (0.95, 1.21) p=0.27	1.06 (0.91, 1.25) p=0.44	1.13 (0.98, 1.31) p=0.09	1.08 (1.01, 1.15)* p=0.02
Overweight n= 823	1.26 (1.08, 1.47)** p<0.01	1.14 (0.95, 1.37) p=0.15	1.11 (0.89, 1.39) p=0.35	1.11 (0.89, 1.38) p=0.34	1.18 (1.06, 1.31)** p<0.01
Obese n= 331	1.08 (0.89, 1.32) p=0.41	1.04 (0.85, 1.27) p=0.73	1.01 (0.79, 1.29) p=0.93	0.93 (0.71, 1.20) p=0.56	1.03 (0.90, 1.17) p=0.69

Values are odds ratios (with 95% Confidence Interval) and were based on generalized estimating equation models with gestational weight gain (SDS) as a continuous variable and reflect the association with wheezing per SDS increase of gestational weight gain.

Models were adjusted for maternal age, parity, ethnicity, education level, distress during pregnancy, history of asthma or atopy, smoking during pregnancy, pet keeping, gestational hypertensive disorders, diabetes gravidarum, gestational age at enrolment, gestational age at measurement, and child's sex, gestational age at birth, birth weight, breastfeeding and daycare attendance. Analysis in the total group were adjusted for maternal pre-pregnancy body mass index. \*P-value <0.05; \*\*P-value <0.01, \*\*\*P-value <0.001. Overall  $P_{\text{interaction}}$  pre-pregnancy body mass index\*gestational weight gain: 0.64.

ing was the strongest among pre-pregnant normal weight and overweight women (OR 1.08 (1.01, 1.15) and OR 1.18 (1.06, 1.31), respectively, per SD increase of weight gain). Stratification for maternal history of asthma or atopy showed that the effect estimates for the association between gestational weight gain and preschool wheezing were similar among children from mothers with and without a history of asthma or atopy. Also, the test for interaction between gestational weight gain and maternal history of asthma or atopy was non-significant (p=0.29). (Table 3.2.5). Additional adjustment for infant height and weight, lower respiratory tract infections and eczema at the corresponding ages did not alter our results (Table 3.2.5 and Supplementary Table E3.2.3).

**Table 3.2.5.** Associations of gestational weight gain and risks of wheezing, stratified for maternal history of asthma or atopy (n = 4,535)

	Overall OR (95% Confidence Interval)			
	Model 1	Model 2 (model 1+ growth <sup>1</sup> )	Model 3 (model 1 + LRTI <sup>2</sup> )	Model 4 (model 1 + eczema)
<b>No maternal history of asthma or atopy (n = 2,864)</b>				
Weight gain (SDS)	1.10 (1.04, 1.16)**	1.10 (1.04, 1.16)**	1.09 (1.03, 1.16)**	1.10 (1.03, 1.16)**
	p<0.01	p<0.01	p<0.01	p<0.01
<b>Maternal history of asthma or atopy (n = 1,671)</b>				
Weight gain (SDS)	1.09 (1.01, 1.17)*	1.08 (1.01, 1.16)*	1.07 (1.00, 1.16)	1.09 (1.01, 1.17)*
	p=0.02	p=0.03	p=0.06	p=0.02

Values are odds ratios (with 95% Confidence Interval) and were based on generalized estimating equation models with gestational weight gain (SDS) as a continuous variable and reflect the association with wheezing per SDS increase of gestational weight gain.

<sup>1</sup> Growth defined as child's height and weight at the age at the ages of 1 to 4 years.

<sup>2</sup> Lower respiratory tract infections (LRTI).

Models were adjusted for maternal age, parity, ethnicity, education level, distress during pregnancy, smoking during pregnancy, parity, pet keeping, gestational hypertensive disorders, diabetes gravidarum, gestational age at enrolment, pre-pregnancy body mass index, and child's sex, gestational age at birth, birth weight, breastfeeding and daycare attendance. \*P-value <0.05; \*\*P-value <0.01

Overall  $P_{\text{Interaction}}$  (gestational weight gain\*maternal history of asthma or atopy) = 0.29

## DISCUSSION

Our results showed that maternal pre-pregnancy obesity was associated with an increased risk of wheezing in the child, mainly if mothers had a history of asthma or atopy. Gestational weight gain was associated with increased risks of preschool wheezing, independent of pre-pregnancy body mass index. This association was strongest for wheezing at age 1 and was not different between mothers with and without a history of asthma or atopy. The effect of maternal pre-pregnancy body mass index and gestational weight gain on preschool wheezing could not be explained by child's growth, infectious or atopic mechanisms.

Our study confirms previous studies reporting positive associations of maternal pre-pregnancy obesity with preschool wheezing in age groups varying from the neonatal period until adolescence<sup>1-6</sup>. A study of 33,192 children in Norway reported an association between maternal body mass index and wheezing in children up to 18 months<sup>5</sup>. This association was also present in two US studies, where higher maternal body mass index was associated with higher risks of recurrent wheezing and asthma diagnosis at age 3 years<sup>2,6</sup>. In the Netherlands, in a study of 3,963 children, maternal body mass index was associated with risk of asthma at age 8, only in children predisposed to asthma<sup>1</sup>. Large studies in North Europe showed that maternal weight also increased risks of asthma diagnosis among adolescents<sup>4</sup>, but only among those without a parental history of asthma<sup>3</sup>.

1. Previous studies that assessed the effect of maternal pre-pregnancy weight on childhood  
2. asthma did not take maternal gestational weight gain into account, except for one recent  
3. published study<sup>23</sup>. This study showed that both increased pre-pregnancy maternal weight  
4. and gestational weight gain, when mutually adjusted, were independently associated with  
5. offspring wheeze and asthma at 7 years. Our results are consistent with the findings of this  
6. study. Pre-pregnancy body mass index and gestational weight gain are both associated with  
7. an increased risk of gestational hypertensive disorders<sup>24</sup>. These pregnancy complications  
8. may explain the associations of pre-pregnancy body mass index and gestational weight gain  
9. with childhood wheezing. However, adding these variables to the models did not materially  
10. change the effect estimates, suggesting that they do not explain the observed associations.

11. We hypothesized that the associations of pre-pregnancy body mass index and gestational  
12. weight gain could be explained by child's growth, infectious and atopic mechanisms. Previous  
13. studies were not always able to adjust for child's own weight in the analysis<sup>2, 4, 5</sup>. In the studies  
14. that did adjust for weight of the child, the effect estimates of the associations of maternal body  
15. mass index with asthma symptoms were only slightly attenuated, and remained significant<sup>1, 3, 6</sup>.  
16. Part of the association of maternal weight before and during pregnancy with childhood asthma  
17. might, however, still be explained by increased levels of adiposity related inflammatory factors  
18. or total body fat. Although body mass index is thought to be a valid proxy for fat mass in chil-  
19. dren<sup>25</sup>, adjusting for height and weight of young children might not be sufficient and further  
20. research should focus on more direct measurements of body composition.

21. Childhood wheezing is a complex phenotype which might partly be caused by both infec-  
22. tious<sup>27</sup> and atopic mechanisms. Neither infectious diseases nor eczema explained the associa-  
23. tions of pre-pregnancy body mass index and gestational weight gain with preschool wheezing.  
24. Familial predisposition did slightly modify the effects. It has been speculated that maternal  
25. overweight increases the risk for child's non-atopic asthma only<sup>27</sup>. In contrast, some studies  
26. suggested that the effect of maternal obesity on childhood asthma symptoms was highest in  
27. children with a predisposition of asthma<sup>1</sup>, but results seem inconsistent<sup>3</sup>. The role of infectious,  
28. atopic and familial predisposition remains inconclusive and need to be studied further in detail.

29. We showed that maternal history of atopy or asthma significantly modified the association  
30. between maternal pre-pregnancy body mass index and preschool wheezing but not between  
31. gestational weight gain and wheezing. Higher gestational weight gain was most strongly associ-  
32. ated with preschool wheezing at age 1. The effects from pre-pregnancy body mass index on child-  
33. hood wheezing were only seen from the age of 2 years onwards, with a non-significant tendency  
34. towards an opposite effect for wheezing at age 1. Also, interaction between pre-pregnancy body  
35. mass index and gestational weight gain was not significant ( $P=0.64$ ). These findings suggest that  
36. these associations of maternal pre-pregnancy body mass index and gestational weight gain on  
37. childhood wheezing operate through different underlying mechanisms.

38. A potential underlying mechanism could be the role of leptin, a hormone produced by adi-  
39. pocytes and by the placenta. Higher body mass index has been associated with higher leptin

1. levels in pregnant women<sup>13</sup>. Leptin receptors are present in the fetal lung and may contribute  
2. to lung development in utero<sup>28</sup>. Also, leptin stimulates the production of proinflammatory  
3. cytokines, which might affect the development of the fetal immune system<sup>13</sup>. Further studies  
4. focused on the role of leptin in the associations of maternal pre-pregnancy body mass index  
5. and gestational weight gain with preschool wheezing are needed.

6. Some methodological strengths and limitations need to be considered. This study was  
7. embedded in a population-based prospective cohort study with a large number of subjects  
8. being studied from early fetal life onwards with detailed prospectively and repeatedly  
9. measured information on maternal weight and wheezing, and a large number of potential  
10. confounders and mediating factors available. The response rate at baseline for participation  
11. in the Generation R Study cohort was 61%. This non-response would lead to biased effect es-  
12. timates if the associations differed between those included and not included in the analyses.  
13. However, this seems unlikely because biased estimates in large cohort studies mainly arise  
14. from loss to follow up rather than from non-response at baseline<sup>29</sup>. Furthermore, we imputed  
15. missing data to prevent possible selection bias due to loss to follow up, which minimized  
16. biased effect estimates due to selective response on measurements. Information on maternal  
17. pre-pregnancy weight was self-reported. Self-reported weight tends to be underestimated.  
18. However, in our study self-reported pre-pregnancy weight was strongly correlated with  
19. weight measured at enrolment ( $r=0.95$ ). Although systematic misclassification could not be  
20. fully excluded, we do not expect that this explains the findings in our study. Furthermore,  
21. we also observed associations of maternal pre-pregnancy body mass index with childhood  
22. wheezing when body mass index was used as a continuous variable.

23. Wheezing prevalences were based on maternal reports using ISAAC questionnaires, which  
24. method is widely accepted in epidemiological studies and reliably reflects the incidence of  
25. wheezing in young children<sup>30</sup>. It should be considered that maternal awareness and interpreta-  
26. tion could lead to misclassification of the outcome if normal weight mothers reported differ-  
27. ently than overweight or obese mothers. Although we adjusted for several potential confound-  
28. ers, residual confounding due to unmeasured or insufficiently measured socio-demographic  
29. and lifestyle related determinants might still be an issue, as in any observational study.

30. Our findings suggest that children from mothers with prepregnancy obesity and a history of  
31. asthma or atopy, and children from mothers with a higher gestational weight gain had higher  
32. risks of preschool wheezing. This association could not be explained by child's growth, infec-  
33. tious or atopic mechanisms. Given the high prevalence and considerable impact of child-  
34. hood asthma on morbidity and health care costs, a causal pathway between maternal weight  
35. and preschool wheezing would be of great importance for public health. Therefore, further  
36. research is needed to identify the underlying mechanisms and long term consequences.  
37. Also, new preventive strategies for prepregnant obese women should be developed aim-  
38. ing at reducing various adverse health outcomes in their children, including the burden of  
39. obstructive lung disease.

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# Supplements

**Table E3.2.1.** Mean gestational weight gain per pre-pregnancy body mass category (n = 4,656)<sup>1</sup>

	Pre-pregnancy body mass index category			
	Underweight n=709	Normal weight n=2,767	Overweight n=819	Obese n=331
<b>Gestational weight gain (kg)</b>	10.9 (3.8)	10.9 (4.3)	9.7 (5.2)	7.5 (6.9)

<sup>1</sup> Values are means (SD). Missing values for weight gain were for underweight n=18, for normal weight n=68, for overweight n=21 and for obese n=14.

**Table E3.2.2.** Associations of maternal pre-pregnancy body mass index and wheezing in the first 4 years of life (n = 4,656)

n=4,656	Overall odds ratios (95% Confidence Interval) of wheezing age 1 to 4 years			
	Model 1	Model 2 (Model 1 + growth)	Model 3 (Model 1 + LRTI)	Model 4 (Model 1 + eczema)
<b>Age 1 year</b>				
<b>No maternal history of asthma or atopy</b>				
Underweight	0.89 (0.68, 1.16)	0.89 (0.68, 1.16)	0.85 (0.63, 1.13)	0.89 (0.68, 1.16)
Normal weight	Reference	Reference	Reference	Reference
Overweight	0.99 (0.78, 1.26)	0.99 (0.78, 1.26)	0.96 (0.73, 1.25)	1.00 (0.78, 1.27)
Obese	0.74 (0.49, 1.12)	0.74 (0.49, 1.12)	0.68 (0.43, 1.06)	0.73 (0.48, 1.11)
Pre-pregnancy body mass index <sup>1</sup>	0.96 (0.87, 1.06)	0.96 (0.87, 1.06)	0.96 (0.85, 1.07)	0.96 (0.87, 1.06)
p for trend <sup>1</sup>	p=0.42	p=0.42	p=0.43	p=0.40
<b>Maternal history of asthma or atopy</b>				
Underweight	1.25 (0.92, 1.69)	1.25 (0.92, 1.70)	1.37 (0.98, 1.89)	1.25 (0.92, 1.69)
Normal weight	Reference	Reference	Reference	Reference
Overweight	0.97 (0.71, 1.32)	0.96 (0.70, 1.31)	1.05 (0.74, 1.50)	0.96 (0.70, 1.32)
Obese	1.10 (0.71, 1.70)	1.07 (0.69, 1.66)	1.07 (0.65, 1.76)	1.09 (0.70, 1.70)
Pre-pregnancy body mass index <sup>1</sup>	0.97 (1.00, 1.11)	0.99 (0.89, 1.11)	0.96 (0.85, 1.07)	1.00 (0.89, 1.11)
p for trend <sup>1</sup>	p=0.97	p=0.88	p=0.43	p=0.75
<b>Age 2 years</b>				
<b>No maternal history of asthma or atopy</b>				
Underweight	1.00 (0.74, 1.35)	1.00 (0.75, 1.35)	0.98 (0.72, 1.33)	1.01 (0.75, 1.36)
Normal weight	Reference	Reference	Reference	Reference
Overweight	1.10 (0.83, 1.45)	1.10 (0.83, 1.45)	1.07 (0.80, 1.42)	1.11 (0.85, 1.47)
Obese	1.05 (0.70, 1.59)	1.05 (0.70, 1.58)	0.95 (0.62, 1.45)	1.05 (0.70, 1.59)
Pre-pregnancy body mass index <sup>1</sup>	1.01 (0.91, 1.13)	1.01 (0.91, 1.13)	0.99 (0.88, 1.11)	1.01 (0.91, 1.13)
p for trend <sup>1</sup>	p=0.81	p=0.83	p=0.87	p=0.80
<b>Maternal history of asthma or atopy</b>				
Underweight	1.14 (0.80, 1.63)	1.25 (0.92, 1.70)	1.21 (0.84, 1.74)	1.14 (0.80, 1.64)
Normal weight	Reference	Reference	Reference	Reference
Overweight	0.89 (0.64, 1.25)	0.88 (0.63, 1.23)	0.88 (0.62, 1.25)	0.89 (0.64, 1.25)
Obese	1.60 (0.95, 2.71)	1.54 (0.91, 2.63)	1.46 (0.82, 2.59)	1.60 (0.94, 2.71)
Pre-pregnancy body mass index <sup>1</sup>	1.09 (0.96, 1.23)	1.07 (0.94, 1.22)	0.99 (0.88, 1.11)	1.09 (0.96, 1.23)
p for trend <sup>1</sup>	p=0.20	p=0.28	p=0.87	p=0.20

**Table E3.2.2.** Associations of maternal pre-pregnancy body mass index and wheezing in the first 4 years of life (n = 4,656) (continued)

		Overall odds ratios (95% Confidence Interval) of wheezing age 1 to 4 years			
n=4,656		Model 1	Model 2 (Model 1 + growth)	Model 3 (Model 1 + LRTI)	Model 4 (Model 1 + eczema)
<b>Age 3 years</b>					
<b>No maternal history of asthma or atopy</b>					
Underweight		0.88 (0.60, 1.30)	0.89 (0.60, 1.30)	0.83 (0.56, 1.22)	0.88 (0.60, 1.29)
Normal weight		<i>Reference</i>	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>
Overweight		1.16 (0.82, 1.63)	1.16 (0.82, 1.62)	1.18 (0.83, 1.68)	1.16 (0.83, 1.63)
Obese		1.01 (0.61, 1.67)	1.00 (0.61, 1.65)	1.03 (0.60, 1.77)	1.00 (0.60, 1.66)
Pre-pregnancy body mass index <sup>1</sup>		1.06 (0.93, 1.21)	1.06 (0.93, 1.21)	1.09 (0.95, 1.25)	1.06 (0.93, 1.21)
p for trend <sup>1</sup>		p=0.37	p=0.38	p=0.23	p=0.38
<b>Maternal history of asthma or atopy</b>					
Underweight		1.22 (0.83, 1.77)	1.24 (0.84, 1.81)	1.24 (0.83, 1.87)	1.22 (0.83, 1.78)
Normal weight		<i>Reference</i>	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>
Overweight		1.13 (0.78, 1.62)	1.11 (0.77, 1.60)	1.18 (0.79, 1.75)	1.13 (0.79, 1.63)
Obese		2.00 (1.25, 3.20)**	1.91 (1.20, 3.05)**	1.92 (1.14, 3.21)*	2.01 (1.26, 3.21)**
Pre-pregnancy body mass index <sup>1</sup>		1.13 (0.99, 1.29)	1.11 (0.98, 1.34)	1.11 (0.96, 1.29)	1.13 (0.99, 1.29)
p for trend <sup>1</sup>		p=0.08	p=0.09	p=0.14	p=0.08
<b>Age 4 years</b>					
<b>No maternal history of asthma or atopy</b>					
Underweight		0.93 (0.63, 1.38)	0.94 (0.63, 1.38)	0.92 (0.61, 1.39)	0.94 (0.64, 1.39)
Normal weight		<i>Reference</i>	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>
Overweight		1.35 (0.97, 1.88)	1.35 (0.96, 1.88)	1.32 (0.94, 1.85)	1.35 (0.97, 1.89)
Obese		1.32 (0.81, 2.14)	1.35 (0.96, 1.88)	1.25 (0.75, 2.07)	1.32 (0.82, 2.15)
Pre-pregnancy body mass index <sup>1</sup>		1.14 (1.01, 1.30)*	1.14 (1.01, 1.30)*	1.14 (0.99, 1.27)	1.14 (1.01, 1.30)*
p for trend <sup>1</sup>		p=0.04	p=0.04	p=0.06	p=0.04
<b>Maternal history of asthma or atopy</b>					
Underweight		1.02 (0.68, 1.55)	1.05 (0.69, 1.81)	1.02 (0.66, 1.57)	1.03 (0.68, 1.55)
Normal weight		<i>Reference</i>	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>
Overweight		0.95 (0.62, 1.45)	0.93 (0.61, 1.41)	0.94 (0.61, 1.47)	1.03 (0.68, 1.55)
Obese		1.62 (0.95, 2.74)	1.50 (0.87, 2.59)	1.53 (0.88, 2.66)	1.62 (0.95, 2.75)
Pre-pregnancy body mass index <sup>1</sup>		1.18 (1.01, 1.36)*	1.14 (0.98, 1.34)*	1.16 (1.00, 1.35)*	1.18 (1.01, 1.37)*
p for trend <sup>1</sup>		p=0.03	p=0.08	p=0.05	p=0.03

Values are odds ratios (with 95% Confidence Interval) and reflect the associations of different pre-pregnancy body mass index groups with wheezing of the children between the age 1 and 4 years, compared to the normal pre-pregnancy body mass index weight group, 20-24.9 kg/m<sup>2</sup>, using generalized estimating equation models.

<sup>1</sup>Tests for trend were based on generalized estimating equation models with pre-pregnancy body mass index (SDS) as a continuous variable and reflect the association with wheezing per SD increase of pre-pregnancy body mass index.

Model 1 was adjusted for maternal age, parity, ethnicity, education level, distress during pregnancy, smoking during pregnancy, pet keeping, gestational hypertensive disorders, diabetes gravidarum, gestational age at enrolment, gestational age at measurement, gestational weight gain, and child's sex, gestational age at birth, birth weight, breastfeeding and daycare attendance. Model 2, 3 and 4 were additionally adjusted for length and weight, lower respiratory tract infections and eczema at the corresponding ages, respectively.

\*P-value <0.05; \*\*P-value <0.01



**Table E3.2.3.** Associations of gestational weight gain and wheezing in the first 4 years of life (n = 4,535)

		Overall odds ratios (95% Confidence Interval) of wheezing age 1 to 4 years			
n=4,054	Model 1	Model 2 (Model 1 + growth)	Model 3 (Model 1 + LRTI's)	Model 4 (Model 1 + eczema)	
<b>Age 1 year</b>					
<b>No maternal history of asthma or atopy</b>					
Weight gain (SDS)	1.14 (1.04, 1.25)**	1.14 (1.04, 1.25)**	1.13 (1.02, 1.25)**	1.14 (1.04, 1.25)*	
	p<0.01	p<0.01	p=0.02	p<0.01	
<b>Maternal history of asthma or atopy</b>					
Weight gain (SDS)	1.12 (1.00, 1.25)	1.12 (1.00, 1.25)	1.10 (0.97, 1.25)	1.12 (1.00, 1.25)	
	p=0.05	p=0.06	p=0.15	p=0.05	
<b>Age 2 years</b>					
<b>No maternal history of asthma or atopy</b>					
Weight gain (SDS)	1.05 (0.95, 1.17)	1.05 (0.95, 1.17)	1.08 (0.97, 1.20)	1.05 (0.95, 1.16)	
	p=0.32	p=0.31	p=0.16	p=0.33	
<b>Maternal history of asthma or atopy</b>					
Weight gain (SDS)	1.11 (0.97, 1.27)	1.11 (0.97, 1.27)	1.10 (0.95, 1.27)	1.11 (0.97, 1.27)	
	p=0.13	p=0.14	p=0.19	p=0.13	
<b>Age 3 years</b>					
<b>No maternal history of asthma or atopy</b>					
Weight gain (SDS)	1.05 (0.91, 1.20)	1.05 (0.92, 1.20)	1.04 (0.90, 1.20)	1.05 (0.91, 1.20)	
	p=0.49	p=0.49	p=0.57	p=0.50	
<b>Maternal history of asthma or atopy</b>					
Weight gain (SDS)	1.07 (0.91, 1.25)	1.06 (0.90, 1.25)	1.06 (0.91, 1.24)	1.07 (0.91, 1.25)	
	p=0.43	p=0.49	p=0.44	p=0.44	
<b>Age 4 years</b>					
<b>No maternal history of asthma or atopy</b>					
Weight gain (SDS)	1.11 (0.98, 1.26)	1.11 (0.98, 1.26)	1.10 (0.97, 1.25)	1.11 (0.98, 1.26)	
	p=0.09	p=0.10	p=0.15	p=0.09	
<b>Maternal history of asthma or atopy</b>					
Weight gain (SDS)	0.99 (0.85, 1.14)	0.98 (0.84, 1.13)	1.00 (0.86, 1.17)	0.99 (0.85, 1.14)	
	p=0.85	p=0.75	p=1.00	p=0.86	

Values are odds ratios (with 95% Confidence Interval) and were based on generalized estimating equation models with gestational weight gain (SDS) as a continuous variable and reflect the association with wheezing per SDS increase of gestational weight gain. Models were adjusted for maternal age, parity, ethnicity, education level, distress during pregnancy, smoking during pregnancy, pet keeping, gestational hypertensive disorders, diabetes gravidarum, gestational age at enrolment, gestational age at measurement, gestational weight gain, and child's sex, gestational age at birth, birth weight, breastfeeding and daycare attendance. \*P-value <0.05; \*\*P-value <0.01.

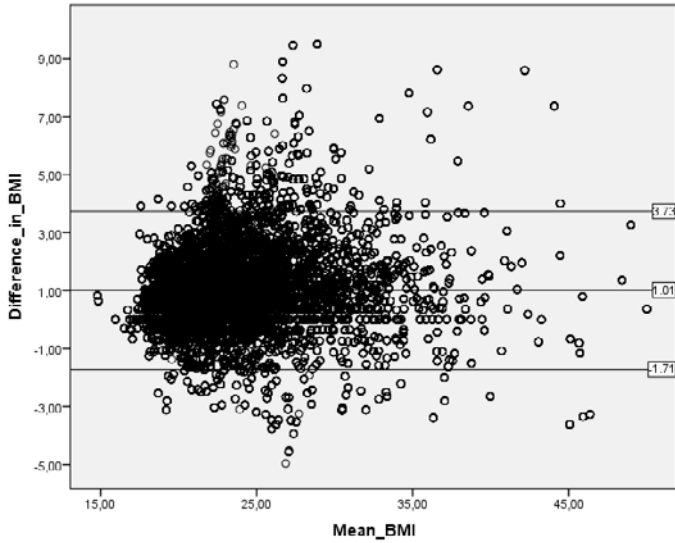


Figure E3.2.1. Bland-Altman plot (n = 4,656).

# 3.3

## Influence of maternal and cord blood C-reactive protein on childhood respiratory symptoms and eczema

Agnes M.M. Sonnenschein-van der Voort, MSc<sup>1,2,3</sup>, Vincent W.V. Jaddoe, MD, PhD<sup>1,3,4</sup>, Henriëtte A. Moll, MD, PhD<sup>4</sup>, Albert Hofman, MD, PhD<sup>3</sup>, Ralf J.P. van der Valk, MSc<sup>1,2,3</sup>, Johan C. de Jongste, MD, PhD<sup>2</sup>, Liesbeth Duijts, MD, PhD<sup>2,3,5</sup>

<sup>1</sup>The Generation R Study Group, <sup>2</sup>Department of Pediatrics, Division of Respiratory Medicine, <sup>3</sup>Department of Epidemiology, <sup>4</sup>Department of Pediatrics, <sup>5</sup>Department of Pediatrics, Division of Neonatology, Erasmus Medical Center, Rotterdam, The Netherlands

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1. **ABSTRACT**

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3. **Background** Inflammatory processes during pregnancy might affect fetal lung development  
4. and immune responses. We examined the associations of maternal and cord blood C-reactive  
5. protein levels with respiratory symptoms, and eczema in preschool children.

6.

7. **Methods** This study was embedded in a population-based prospective cohort study of  
8. 4,984 children. Generalized Estimating Equations were used to assess the effect of C-reactive  
9. protein levels on respiratory symptoms or eczema. C-reactive protein levels were measured  
10. during early pregnancy and at birth. Wheezing, lower respiratory tract infections, and eczema  
11. until the age of 4 years were annually obtained by questionnaires.

12.

13. **Results** Maternal C-reactive protein was not associated with the risks of wheezing and lower  
14. respiratory tract infections. Compared to children with maternal C-reactive protein in the  
15. lowest quarter, children in the highest quarter had increased risks of eczema OR 1.20 (1.03,  
16. 1.40). Compared to children with cord blood C-reactive protein lower than 0.20 mg/l, those  
17. with levels higher than 0.20 mg/l had increased risks of wheezing, OR 1.21 (1.07, 1.36), and  
18. lower respiratory tract infections, OR 1.21 (1.05, 1.39), but not of eczema.

19.

20. **Conclusions** Our results suggest that elevated maternal C-reactive protein in pregnancy is  
21. associated with a higher risk of eczema, and C-reactive protein in cord blood with a higher  
22. risk of wheezing and lower respiratory tract infections in the first 4 years.

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## 1. INTRODUCTION

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3. C-reactive protein is an acute phase protein that increases in response to infectious and  
4. non-infectious stimuli, and is generally used as a marker for systemic inflammation<sup>1</sup>. Previous  
5. studies have shown that elevated C-reactive protein levels are associated with a reduced lung  
6. function, COPD, and asthma in adults<sup>2-4</sup> and children<sup>5</sup>. Elevated maternal C-reactive protein  
7. levels during pregnancy lead to fetal growth restriction<sup>6</sup>, and are associated with endothe-  
8. lial dysfunction, vascular dysfunction and suboptimal placental development<sup>7-9</sup>. Recently, a  
9. prospective cohort study among 504 mothers and children showed that maternal C-reactive  
10. protein levels in pregnancy are associated with increased risks of wheezing and lower respi-  
11. ratory tract infections in the offspring until the age of 14 months<sup>10</sup>. These findings suggest  
12. that inflammatory processes in the mother during pregnancy lead to fetal developmental  
13. adaptations and a greater susceptibility of impaired respiratory health in childhood. Elevated  
14. levels of maternal C-reactive protein probably have an indirect effect on the developing fetus  
15. because the protein does not pass the placenta<sup>11</sup>. The underlying pathways might include fe-  
16. tal growth restriction and smaller lungs and airways<sup>12-14</sup>, a pro-inflammatory fetal or newborn  
17. status leading to cytokine dysregulation, or other adaptations of the infant's immune system  
18. subsequently influencing the development of asthma<sup>15</sup>. Cord blood C-reactive protein levels  
19. do reflect fetal levels and can have both direct effects, such as a T<sub>H</sub>2 skewed immune system,  
20. and indirect effects, as described for maternal C-reactive protein, on the fetus. Therefore, the  
21. timing of elevated C-reactive protein levels may have different effects on respiratory health  
22. of the child. Thus far, the roles of maternal and cord blood C-reactive protein levels in the  
23. development of childhood asthma remain unclear.

24. Therefore, we examined in a population-based prospective cohort study, among 4,984  
25. children followed up from early fetal life, the associations between maternal and cord blood  
26. C-reactive protein levels with wheezing, lower respiratory tract infections, and eczema in the  
27. first four years of life.

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## 30. METHODS

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### 32. Design and setting

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34. This study was embedded in the Generation R Study, a population-based prospective cohort  
35. study of pregnant women and their children from fetal life onwards in Rotterdam, The Neth-  
36. erlands<sup>16</sup>. The study protocol was approved by the Medical Ethical Committee of the Erasmus  
37. Medical Centre, Rotterdam. Written informed consent was obtained from all participants.

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### 1. **C-reactive protein levels**

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3. Maternal venous blood samples were collected in early pregnancy (median gestational age  
4. 13.1, 95% range 9.5 to 17.5 weeks) and fetal umbilical cord blood samples were collected  
5. by midwives and obstetricians immediately after delivery. High-sensitivity C-reactive protein  
6. levels were analyzed using an immunoturbidimetric assay on the Architect System<sup>9</sup>.

7.

### 8. **Respiratory symptoms and eczema**

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10. Information on wheezing (no; yes) and physician-diagnosed lower respiratory tract infec-  
11. tions (no; yes) was obtained by questionnaires at the ages of 1, 2, 3 and 4 years. Wheezing  
12. questions were adapted from the International Study on Asthma and Allergy in Childhood  
13. (ISAAC)<sup>17</sup>. We defined preschool age wheezing patterns as no wheezing, early wheezing, late  
14. wheezing or persistent wheezing (supporting information). Physician-diagnosed eczema  
15. was annually assessed from 1 to 4 years (no, yes). Response rates for the questionnaires were  
16. 71%, 76%, 72%, 73%, respectively<sup>18</sup>.

17.

### 18. **Statistical Analysis**

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20. The associations of maternal and cord blood C-reactive protein levels with repeatedly mea-  
21. sured wheezing, lower respiratory tract infections, and eczema at the ages of 1, 2, 3 and 4  
22. years were analyzed using generalized estimating equations (GEEs) adjusted for potential  
23. confounders (supporting information). With GEE analyses, repeatedly measured wheezing  
24. over time can be analyzed, taking into account that these repeated measurements within the  
25. same subject are correlated. We used an unstructured correlation matrix, allowing a distinct  
26. correlation between every pair of measurements of a subject. We used the lowest quarter of  
27. maternal C-reactive protein as the reference group. Maternal body mass index, gestational  
28. hypertensive problems, smoking during pregnancy, birth weight, gestational age at birth,  
29. and cord blood C-reactive protein levels were also added as interactions (product terms) in  
30. the GEE models to explore potential effect modification on the associations of maternal C-  
31. reactive protein with respiratory symptoms and eczema. Birth weight and gestational age at  
32. birth were added as interactions to explore potential effect modification on the associations  
33. of cord blood C-reactive protein levels with respiratory symptoms and eczema. Missing data  
34. in the covariates and outcomes were imputed with multiple imputations<sup>19</sup>. Imputations were  
35. based on all determinants, covariates and outcomes in the model plus paternal age, educa-  
36. tional level and history of asthma or atopy and other childhood asthma symptoms including  
37. shortness of breath, dry cough at night and persistent phlegm<sup>20</sup>. No major change in effect  
38. estimates was observed when we used non-imputed data. All measures of association are  
39. presented as odds ratios (OR) with their 95% Confidence Intervals (CI). For data preparation

1. the Statistical Package of Social Sciences version 20.0 for Windows (SPSS Inc., Chicago, IL, US)
2. was used and statistical analyses were performed using SAS 9.2 (SAS institute, Cary, NC, USA).
3. (An extensive description of the methods is given in the supporting information, Text E3.3.1).

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## 6. **RESULTS**

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8. Of the singleton live births (n=7,696), data on both maternal and cord blood C-reactive pro-
9. tein levels were not available for n=1,678 subjects (Supporting information, Figure E3.3.1).
10. Subjects without information on any outcome were excluded (n=1,034), giving the following
11. three study populations per outcome: wheezing (n=4,949), lower respiratory tract infections
12. (n=4,880), and eczema (n=4,806) out of the final population of n=4,984 subjects with data on
13. at least one C-reactive protein level and one outcome. As compared to mothers with informa-
14. tion on C-reactive protein levels, those with missing data more often had a higher body mass
15. index, were lower educated, more frequently multiparous, and less often had gestational
16. hypertensive problems. Compared to children with information on cord blood C-reactive
17. protein levels, those with missing data more often were from mothers with gestational
18. hypertensive problems, had a lower birth weight and gestational age, and attended daycare
19. more often (supporting information, Table E3.3.1, E3.3.2).

20. The total precision (inter-assay variation) for hs-CRP was 0.9% at 12.9mg/L and 1.3% at  
21. 39.9 mg/L. The limit of quantification is the analyte concentration at which the coefficient  
22. of variation was 20%, the lowest level of detection was 0.20 mg/L<sup>6</sup>. We categorized maternal  
23. C-reactive protein levels into quartiles (<2.29 mg/L; 2.30-4.29 mg/L; 4.30-7.69 mg/L; >7.70  
24. mg/L). Maternal C-reactive protein levels were under the detection limit (0.15% (n=6)) were  
25. included in the lowest quarter of the distribution. Cord blood C-reactive protein levels were  
26. dichotomized (<0.20 mg/L; ≥0.20 mg/L) due to small variation of the C-reactive protein level  
27. values (range: <0.20-43.10). The prevalence of wheezing declined from the age of 1 to 4 years  
28. (age 1: 29.8%, age 4: 14.0%). Similarly, the prevalence of lower respiratory tract infections  
29. (age 1: 15.8%, age 4: 6.2%) and eczema (age 1: 23.0%, age 4: 8.5%) declined.

30. Maternal and child characteristics are presented in Table 3.3.1.

31.

32. Maternal C-reactive protein levels were not consistently associated with wheezing, lower  
33. respiratory tract infections and eczema in the child at the ages of 1, 2, 3 and 4 years sepa-  
34. rately nor longitudinally (Figure 3.3.1). As compared to children from mothers with C-reactive  
35. protein levels in the lowest quarter, children from mothers in the highest quarter had an  
36. increased risk of eczema OR 1.20 (1.03, 1.40) until the age of 4 years. The overall test for trend  
37. was not significant. No effect modification was observed for maternal C-reactive protein  
38. levels with maternal body mass index, gestational hypertensive complications, gestational  
39. age at birth, birth weight, and cord blood C-reactive protein levels (p-values for interaction

**Table 3.3.1.** Maternal and child baseline characteristics

		<b>n=4,984</b>	
		<b>Observed</b>	<b>After Multiple Imputations</b>
<b>Maternal characteristics</b>			
4.	Age (years)	30.7 (4.8)	30.7 (4.8)
5.	Body mass index (kg/m <sup>2</sup> )		
6.	<20	9.5 (472)	9.5 (473)
7.	20-25.0	56.1 (2,782)	56.1 (2,797)
8.	25-30.0	24.0 (1,190)	24.1 (1,201)
9.	≥30	10.3 (513)	10.3 (513)
10.	<i>Missing</i>	0.5 (27)	-
11.	Education (%)		
12.	Primary, or secondary	52.4 (2,513)	48.4 (2,411)
13.	Higher	47.6 (2,279)	51.6 (2,573)
14.	<i>Missing</i>	3.9 (192)	-
15.	History of asthma or atopy (%)		
16.	No	61.8 (2,554)	63.2 (3,141)
17.	Yes	38.2 (1,582)	36.8 (1,843)
18.	<i>Missing</i>	17.0 (848)	-
19.	Smoking during pregnancy (%)		
20.	No	86.2 (3,806)	85.9 (4,283)
21.	Yes	13.8 (609)	14.1 (701)
22.	<i>Missing</i>	11.4 (569)	-
23.	Parity (%)		
24.	0	58.1 (2,880)	58.0 (2,892)
25.	≥1	41.9 (2,081)	42.0 (2,092)
26.	<i>Missing</i>	0.5 (23)	-
26.	Gestational hypertensive problems (%)		
27.	No	94.2 (4,638)	93.8 (4,675)
28.	Yes	5.8 (286)	6.2 (309)
29.	<i>Missing</i>	1.2 (60)	-
30.	Maternal C-reactive protein levels (mg/l)*	4.2 (0.6 – 24.9)	4.2 (0.6 – 24.9)
31.	Gestational age at blood sampling (weeks)	13.1 (9.5, 17.5)	13.1 (9.5, 17.5)
32.	<b>Child characteristics</b>		
33.	Female sex, no (%)	50.0 (2,491)	50.1 (2,491)
34.	Gestational age at birth (weeks)	40.1 (36.1 - 42.3)	40.1 (36.1 - 42.3)
35.	Birth weight (grams)	3,459 (544)	3,460 (544)
36.	Ethnicity (%)		
37.	European	70.2 (3,422)	69.6 (3,471)
38.	Non-European	29.8 (1,450)	30.4 (1,513)
39.	<i>Missing</i>	2.2 (112)	-



**Table 3.3.1.** Maternal and child baseline characteristics (continued)

		n=4,984	
		Observed	After Multiple Imputations
4.	Breastfeeding (%)		
5.	No	7.7 (372)	7.8 (390)
6.	Yes	92.3 (4,431)	92.2 (4,594)
7.	Missing	3.6 (181)	-
8.	Day care attendance 1 <sup>st</sup> year (%)		
9.	No	41.7 (1,581)	44.7 (2,228)
10.	Yes	58.3 (2,210)	55.3 (2,756)
11.	Missing	23.9 (1,193)	-
12.	Pet keeping (%)		
13.	No	66.0 (2,863)	66.4 (3,311)
14.	Yes	34.0 (1,474)	33.6 (1,673)
15.	Missing	13.0 (647)	-
16.	Cord blood C-reactive protein levels (mg/l)*		
17.	< 0.20	78.4 (2,671)	78.4 (2,671)
18.	≥ 0.20	21.6 (738)	21.6 (738)
19.	Missing	31.6 (1,575)	31.6 (1,575)

Values are means (SD), medians (95% range) or percentages (absolute numbers).

Missing percentages are given for the total population of analysis n=4,984. Other percentages are valid percentages. \*Maternal and cord blood C-reactive protein levels were not imputed (mg/l), Maternal C-reactive protein levels were missing for 17.1%.

>0.05). We observed effect modification of C-reactive protein levels by maternal smoking on eczema (p for interaction <0.05), but not on respiratory symptoms. Stratified analyses for maternal atopy, as a proxy for atopic susceptibility of the children, showed that the effect estimates for wheezing and lower respiratory tract infections were higher, but still not significant in the group of atopic mothers (Supporting information, Table E3.3.3). With eczema as the outcome, no differences were observed between mothers with and without atopy. P-values for interaction of CRP with maternal atopy were 0.35 for the outcome wheezing, 0.57 for lower respiratory tract infections, and 0.78 for eczema. We observed no association of maternal C-reactive protein levels with preschool wheezing patterns (Supporting information, Table E3.3.4).

Cord blood C-reactive protein levels were not consistently associated with wheezing, lower respiratory tract infections and eczema at the ages of 1, 2, 3 and 4 years (Figure 3.3.2). Longitudinal analyses showed that as compared to children with cord blood C-reactive protein levels lower than 0.20 mg/L, those with higher C-reactive protein levels had increased risks of wheezing OR 1.21 (1.07, 1.36), of lower respiratory tract infections OR 1.21 (1.05, 1.39), but not of eczema in the first 4 years of life. No effect modification was observed for cord

1. blood C-reactive protein levels with birth weight. We observed a significant modifying ef-  
2. fect of C-reactive protein levels with gestational age at birth (p-value for interaction <0.01).  
3. In stratified analyses on gestational age, we observed that preterm born children with  
4. increased C-reactive protein levels had higher overall effect estimates for wheezing, OR 4.58  
5. (2.03, 10.31) vs. 1.16 (1.03, 1.31), compared to term born children with increased C-reactive  
6. protein levels (Table 3.3.2). These higher effect estimates were also observed in each year  
7. separately (not shown). The interaction terms for lower respiratory tract infections and ec-  
8. zema with gestational age were not significant (Table 3.3.2). After stratification for maternal  
9. atopic status, we observed that children with non-atopic mothers had higher overall effect  
10. estimates for wheezing (OR 1.28 (1.11, 1.48) vs. 1.07 (0.87, 1.33)), lower respiratory tract infec-  
11. tions (OR 1.26 (1.05, 1.51) vs. 1.08 (0.83, 1.39)), and eczema (OR 1.13 (0.92, 1.37) vs. 0.83 (0.64,  
12. 1.07)), as compared to children from atopic mothers (p for interaction all >0.05) (Supporting  
13. information, Table E3.3.5). An increased cord blood C-reactive protein was associated with  
14. in increased risk of an early wheezing pattern (OR 1.25 (1.02, 1.53)) (Supporting information,  
15. Table E3.3.6). After additional adjustment for lower respiratory tract infections the estimates  
16. for the association of cord blood C-reactive protein levels with wheezing attenuated into a  
17. non-significant effect (not shown).

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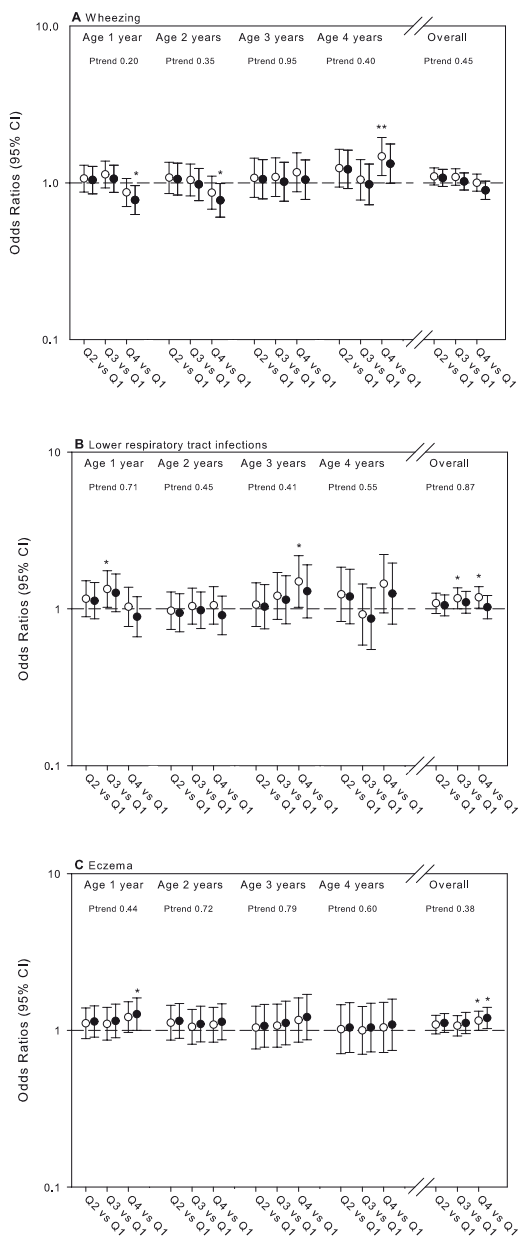
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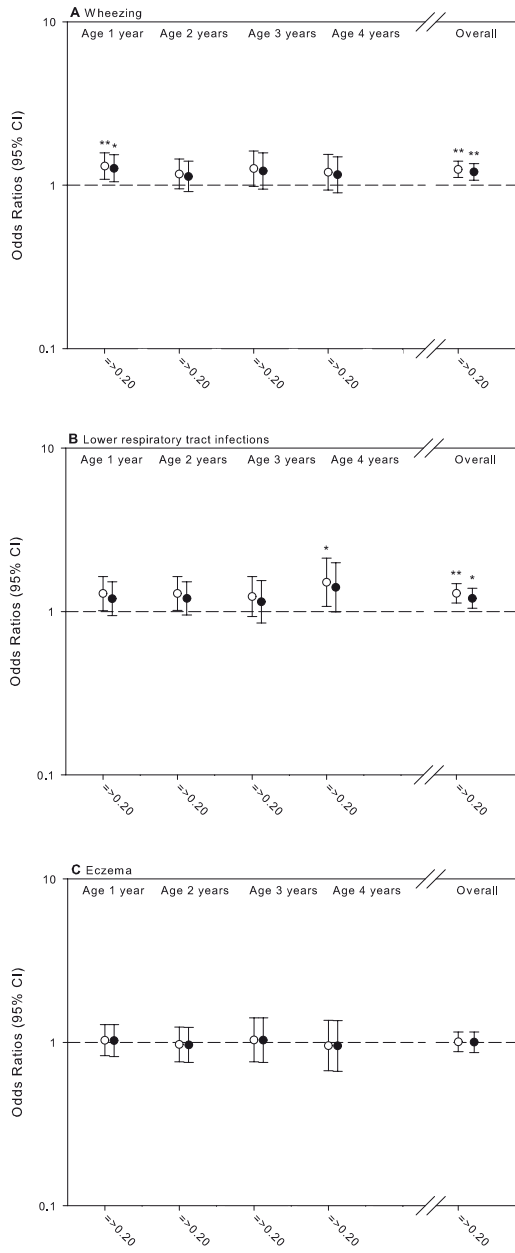
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**Figure 3.3.1.** Maternal C-reactive protein levels and risks of wheezing, lower respiratory tract infections and eczema until the age of 4 years. Values are Odds Ratios (95% Confidence Interval) and reflect the risks of (A) wheezing, (B) lower respiratory tract infections, or (C) eczema of children in a specific quarter group compared to the lowest quarter (Q1). \*P < 0.05 using generalized estimating equation models. White bullets represent crude odds ratios, black bullets represent adjusted odds ratios in which models were adjusted for maternal age, body mass index, education, history of asthma or atopy, smoking habits, parity, gestational hypertensive problems, and pregnancy duration at blood sampling, and children's sex, gestational age, birth weight, ethnicity, breastfeeding status, daycare attendance and pet keeping.



**Figure 3.3.2.** Cord blood C-reactive protein levels and risks of wheezing, lower respiratory tract infections and eczema until the age of 4 years. Values are Odds Ratios (95% Confidence Interval) and reflect the risks of (A) wheezing, (B) lower respiratory tract infections, or (C) eczema. \*P < 0.05 and \*\*p < 0.01 using generalized equating estimates models. White bullets represent the crude odds ratios, black bullets represent adjusted odds ratios in which models were adjusted for maternal age, body mass index, education, history of asthma or atopy, smoking habits, gestational hypertensive problems, parity, children's sex, gestational age, birth weight, ethnicity, breastfeeding status, daycare attendance and pet keeping.

**Table 3.3.2.** Cord blood C-reactive protein levels (mg/l) and wheezing until the age of 4 years stratified for preterm birth

	Odds Ratios (95% Confidence Intervals) of overall		
	Wheezing	LRTI	Eczema
<b>Cord blood CRP</b>			
<b>&lt;37 weeks</b>			
< 0.20	Reference	Reference	Reference
n=73			
≥ 0.20-43.10	4.58 (2.03, 10.31)**	2.94 (1.04, 8.30)*	0.48 (0.19, 1.24) <sup>a</sup>
n=20			
<b>≥37 weeks</b>			
< 0.20	Reference	Reference	Reference
n=2,598			
≥ 0.20-43.10	1.16 (1.03, 1.31)*	1.16 (1.01, 1.34)*	1.01 (0.88, 1.16) <sup>a</sup>
n=718			

\*P < 0.05 using generalized equating estimates models. Models were adjusted for maternal age, body mass index, education, history of asthma or atopy, smoking habits, gestational hypertensive problems, parity, children's sex, birth weight, ethnicity, breastfeeding status, daycare attendance and pet keeping. P-value for interaction CRP \* gestational age at birth with: wheezing < 0.01, lower respiratory tract infections = 0.31, eczema = 0.06. <sup>a</sup> Not adjusted for breastfeeding due to lack of power.

## DISCUSSION

Our results suggest that elevated maternal C-reactive protein levels in early pregnancy are associated with a lower risk of wheezing in the first two years and an overall higher risk of eczema, whereas cord blood C-reactive protein levels are associated with a higher overall risk of wheezing and lower respiratory tract infections.

A previous study suggested that children have a threefold increased risk of recurrent wheezing and a more than twofold increased risk of recurrent lower respiratory tract infections at the age of 14 months among children in the highest tertile compared to the lowest tertile of maternal C-reactive protein levels during pregnancy<sup>10</sup>. We observed a lower risk of wheezing in the first year for the highest maternal C-reactive protein levels group and no association of maternal C-reactive protein levels with lower respiratory tract infections. The C-reactive protein levels between the studies were measured during similar weeks of pregnancy and the 25%-75% ranges were comparable (2.0-7.0 mg/L vs. 2.3-7.7 mg/L for Morales et al. and our study, respectively). Differences in the observed effects are unlikely to be the result of different laboratory methods (regular C-reactive protein levels vs. high sensitivity C-reactive protein levels) with different detection limits (2.0 mg/L vs. 0.2 mg/L, respectively) because both the lowest tertile and quartile reference group that were used included corresponding low C-reactive protein levels. A more likely explanation is that we assessed our outcomes annually and in a larger number of subjects, and were able to assess the influence of many potential effect modifiers. Pregnancy can be seen as an inflammatory

1. stressor and elevated C-reactive protein levels with values of >10 mg/l are within the normal  
2. range for pregnant women throughout gestation<sup>21</sup>. The highest quarter might have included  
3. mothers with an acute systemic inflammation and might have affected the strength of the  
4. associations. However, a sensitivity analysis excluding mothers with C-reactive protein levels  
5. >100 mg/L showed similar effect estimates. As we performed multiple tests, we cannot  
6. exclude that some results might be a chance finding. However, because of the correlation in  
7. outcomes we did not apply adjustment for multiple testing.

8. The mechanisms explaining the relation between maternal C-reactive protein levels and  
9. a reduced risk of wheezing in the first year, and an increased risk of eczema until the age  
10. of 4 years are not clear. The different direction of effect estimates between maternal and  
11. cord blood C-reactive protein levels may suggest that the timing of increased C-reactive  
12. protein levels is critical for the association with lung and airway development. Early adverse  
13. exposures might trigger developmental adaptations in the child, as suggested by the devel-  
14. opmental origins hypothesis. This could lead to an adapted risk of respiratory symptoms and  
15. eczema in early childhood. C-reactive protein cannot pass the placenta, thus the suggested  
16. association of maternal C-reactive protein levels and wheezing and eczema is not likely to  
17. be direct or causal. C-reactive protein is produced in the liver under IL-6 stimulation, and IL-6  
18. may change the T<sub>H</sub>1/T<sub>H</sub>2 cell balance by inhibiting T<sub>H</sub>1 differentiation as well as promotion of  
19. T<sub>H</sub>2 differentiation<sup>22</sup>. A late exposure will not result in preventive adaptations, but we suggest  
20. that exposure to infections in late pregnancy makes the child more responsive to infections.  
21. The observed association between cord blood C-reactive protein and an early preschool  
22. wheezing pattern (supporting information) support the observed associations between cord  
23. blood C-reactive protein and wheezing and lower respiratory tract infections. Thus, increased  
24. cord blood C-reactive protein levels increase the risk of infections in the first four years of  
25. life. Also, after additional adjustment for lower respiratory tract infections the estimates at-  
26. tenuated into a non-significant effect. This suggests that the association between cord blood  
27. C-reactive protein and wheezing is, at least partly, explained by infectious mechanisms.

28. Elevated C-reactive protein levels are suggested to be partially driven by an increased body  
29. mass index<sup>23</sup>. Also, they are suggested to be associated with preeclampsia, subsequently  
30. leading to increased risk of wheezing via an impaired placental functioning and its adverse  
31. effect on lung development<sup>13, 24, 25</sup>. However, in our study we did not observe these modifying  
32. effects.

33. An elevated C-reactive protein level in cord blood might be the result of placental problems  
34. like inflammatory lesions<sup>26</sup>, a pro-inflammatory fetal or newborn status leading to cytokine  
35. dysregulation, or other adaptations of the infant's immune system subsequently influencing  
36. the development of infections and asthma<sup>15</sup>. We observed a modifying effect of gestational  
37. age at birth. The effect of elevated C-reactive protein levels on wheezing and lower respiratory  
38. tract infections were stronger in preterm than in term born children. This might be explained  
39. by a combined effect of an immature lung development, an immature immune system and

1. thereby an increased susceptibility to infections, and the effect of C-reactive protein and
2. other cytokines as IL-6 which changed the immune system towards being more vulnerable<sup>22</sup>.

3.

#### 4. **Strengths and limitations**

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6. This study was embedded in a population-based prospective cohort study with a large number of subjects being studied from early life onwards with detailed prospectively measured information about C-reactive protein levels, a large number of confounders and data on wheezing, physician-diagnosed lower respiratory tract infections, and eczema. In our population for analysis 17.1% did not have data on maternal C-reactive protein levels and 31.6% of the subjects did not have data on cord-blood C-reactive protein levels. This non-response would lead to biased effect estimates if the associations of maternal and cord blood C-reactive protein levels with respiratory symptoms or eczema would be different between those included and not included in the analyses. Based on those included and not include in the analyses, we speculate that our observed effect estimates would be underestimated if those not included would have had higher cord blood C-reactive protein levels and would have reported respiratory symptoms more often. Results also would be underestimated if those subjects not included would have lower maternal C-reactive protein levels and would have reported less eczema. A limitation of our study is that we were not able to assess inflammation throughout pregnancy. C-reactive protein has a short half-life and we only measured C-reactive protein levels once during first trimester of pregnancy (median gestational age 13.1, 95% range 9.5 to 17.5 weeks). However, previous studies observed that C-reactive protein levels in early pregnancy correlated with those later in pregnancy<sup>21, 27</sup>, and with pregnancy outcomes as gestational hypertensive complications, preterm birth, and birth weight<sup>6, 9, 12</sup>. A small part of the cord blood C-reactive protein levels (+/- 20% of 0.20 mg/L) could have been in the measurement error range, which could have either over- or underestimated our results. The main outcomes were self-reported. This is a widely accepted method in epidemiological studies and reliably reflects the incidence of respiratory symptoms and eczema in young children<sup>17, 28</sup>. In preschool children, a diagnosis of asthma is often difficult, and based on symptoms. Objective tests, including lung function or bronchial hyperresponsiveness, are difficult to perform in young children or are not informative, and not recommended by current guidelines.

33.

34. In conclusion, our results suggest that elevated maternal C-reactive protein levels are associated with a higher risk of eczema while elevated cord blood C-reactive protein levels are associated with an increased risk of wheezing and respiratory tract infections in the first 4 years. These effects suggest different underlying pathways leading to different adaptive mechanisms and susceptibility of respiratory diseases and eczema. Cord blood C-reactive protein levels can have both a direct and indirect effect on the fetus. Therefore the timing of

1. elevated C-reactive protein levels may have different effects on respiratory health of the child.
2. Further studies are needed to explore the specific underlying mechanisms and the effect of
3. maternal and cord blood C-reactive levels on various phenotypes of respiratory diseases and
4. eczema in later life.
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# Supplements

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## TEXT E3.3.1.

### Design and setting

This study was embedded in the Generation R Study, a population-based prospective cohort study of pregnant women and their children from fetal life onwards in Rotterdam, The Netherlands, and has previously been described in detail<sup>1</sup>. The study protocol was approved by the Medical Ethical Committee of the Erasmus Medical Centre, Rotterdam. Written informed consent was obtained from all participants.

### C-reactive protein levels

Maternal venous blood samples were collected in early pregnancy (median gestational age 13.1, 95% range 9.5 to 17.5 weeks) and fetal umbilical cord blood samples were collected by midwives and obstetricians immediately after delivery. High-sensitivity C-reactive protein levels were analyzed using an immunoturbidimetric assay on the Architect System (Abbot Diagnostics B.V., Hoofddorp, The Netherlands) as described previously in detail<sup>2</sup>. The lowest level of detection was 0.20 mg/L<sup>3</sup>.

### Respiratory symptoms and eczema

Information on wheezing and physician-diagnosed lower respiratory tract infections was obtained by questionnaires at the ages of 1, 2, 3 and 4 years. Wheezing questions were adapted from the International Study on Asthma and Allergy in Childhood (ISAAC)<sup>4</sup>. We defined preschool age wheezing patterns as: 1) no wheezing: no recorded wheezing at any age; 2) early wheezing: at least one wheezing symptom during the first 3 years of life but no wheezing at 4 years of age; 3) late wheezing: no wheezing episodes during the first 3 years of age but wheezing at 4 years of age; 4) preschool persistent wheezing: at least one wheezing episode in the first 3 years of life and wheezing at 4 years of age, based on Martinez et al<sup>5</sup>. Physician-diagnosed lower respiratory tract infections were reported as pertussis, bronchitis, bronchiolitis, or pneumonia. Physician-diagnosed eczema was annually assessed from 1 to 4 years (no, yes). Response rates for the questionnaires were 71%, 76%, 72%, 73%, respectively<sup>6</sup>.

## 1. **Covariates**

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3. Information on maternal history of asthma or atopy, socio-economic status, parity, children's  
4. ethnicity and pet keeping was obtained by questionnaires, completed by the mother at en-  
5. rolment. Maternal history of asthma was defined as having a history of asthma, and maternal  
6. atopy was defined as having a history of hay fever or eczema or being allergic to house dust  
7. mite. Maternal body mass index was measured as height and weight at enrolment in the  
8. study. Information on active maternal smoking was obtained by postal questionnaires sent in  
9. first, second and third trimester of pregnancy and combined into smoking (no, yes)<sup>1,7</sup>. Infor-  
10. mation on gestational hypertensive complications (gestational hypertension, preeclampsia,  
11. eclampsia, and HELLP-syndrome (Hemolysis Elevated Liver enzymes and Low Platelets)),  
12. birth weight, gestational age and sex of the children was obtained from midwife and hospital  
13. registries at birth. Postal questionnaires at the ages of 6 and 12 months provided information  
14. about breastfeeding and of 12 months of daycare attendance<sup>1,6</sup>.

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## 16. **Statistical Analysis**

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18. The associations of maternal and cord blood C-reactive protein levels with repeatedly  
19. measured wheezing, lower respiratory tract infections, and eczema at the ages of 1, 2, 3 and  
20. 4 years were analyzed using generalized estimating equations (GEEs). With GEE analyses,  
21. repeatedly measured wheezing over time can be analyzed, taking into account that these  
22. repeated measurements within the same subject are correlated. We used the lowest quartile  
23. of maternal C-reactive protein as the reference group. All models were adjusted for potential  
24. confounders which were included in the model based on literature or a change in effect  
25. estimate of >10%. We tested the interaction of C-reactive protein levels with maternal body  
26. mass index, gestational hypertensive problems, atopic status, smoking during pregnancy,  
27. birth weight, gestational age at birth and cord blood C-reactive protein levels (product  
28. terms) in the GEE models to explore potential effect modification on the associations with  
29. respiratory symptoms and eczema. Maternal atopic status, birth weight and gestational age  
30. at birth were added as product terms with cord blood C-reactive protein levels to explore  
31. potential effect modification on the associations with respiratory symptoms and eczema.  
32. The percentages of missing values were lower than 10%, except for maternal history of  
33. asthma or atopy (17.0%), smoking during pregnancy (11.4%), attending day care (23.9%)  
34. and pet keeping (13.0%). Missing data in the covariates and outcomes were imputed with  
35. multiple imputations<sup>8</sup>. Twenty-five new datasets were created by imputation based on all  
36. determinants, covariates and outcomes in the model plus paternal age, educational level and  
37. history of asthma or atopy and other asthma symptoms including shortness of breath, dry  
38. cough at night and persistent phlegm<sup>9</sup>. Information on paternal characteristics and the other  
39. asthma symptoms were available from the same questionnaires as maternal characteristics

1. and wheezing, respectively, were obtained. All datasets were analyzed separately after which  
2. results were combined. No major change in effect estimates was observed when we used  
3. non-imputed data. All measures of association are presented as odds ratios (OR) with their  
4. 95% Confidence Intervals (CI). For data preparation the Statistical Package of Social Sciences  
5. version 20.0 for Windows (SPSS Inc., Chicago, IL, US) was used and statistical analyses were  
6. performed using SAS 9.2 (SAS institute, Cary, NC, USA).

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**Table E3.3.1.** Differences in characteristics of mothers and their children between groups with or without information on maternal C-reactive protein (n=4,984)

	Maternal C-reactive protein available n= 4,133	Maternal C-reactive protein not available n=851	P-value for difference
<b>Maternal characteristics</b>			
Age (years)	30.7 (4.6)	30.7 (5.4)	n.s.
Body mass index (kg/m <sup>2</sup> )			
<20	10.0 (415)	6.8 (58)	<0.01
20-25.0	57.8 (2,387)	48.2 (410)	
25-30.0	22.7 (939)	30.8 (262)	
≥30	9.5 (392)	14.2 (121)	
Education (%)			
Primary, or secondary	47.1 (1,948)	54.4 (463)	<0.01
Higher	52.9 (2,185)	45.6 (388)	
History of asthma or atopy (%)			
No	62.6 (2,588)	65.0 (553)	n.s.
Yes	37.4 (1,545)	35.0 (298)	
Smoking during pregnancy (%)			
No	86.0 (3,553)	85.9 (731)	n.s.
Yes	14.0 (580)	14.1 (120)	
Parity (%)			
0	59.5 (2,461)	50.6 (431)	<0.01
≥1	40.5 (1,672)	49.4 (420)	
Gestational hypertensive problems (%)			
No	93.3 (3,855)	96.2 (819)	<0.01
Yes	6.7 (278)	3.8 (32)	
<b>Child characteristics</b>			
Female sex, no (%)	50.0 (2,065)	50.1 (426)	n.s.
Gestational age at birth (weeks)	40.3 (37.1, 42.1)	40.0 (37.3, 42.0)	<0.01
Birth weight (grams)	3,458 (549)	3,466 (518)	n.s.
Ethnicity (%)			
European	71.2 (2,944)	61.9 (527)	<0.01
Non-European	28.8 (1,189)	38.1 (324)	
Breastfeeding (%)			
No	7.8 (321)	8.1 (69)	n.s.
Yes	92.2 (3,812)	91.9 (782)	
Day care attendance 1 <sup>st</sup> year (%)			
No	43.4 (1,795)	50.9 (433)	<0.01
Yes	56.6 (2,338)	49.1 (418)	

**Table E3.3.1.** Differences in characteristics of mothers and their children between groups with or without information on maternal C-reactive protein (n=4,984) (continued)

	<b>Maternal C-reactive protein available n= 4,133</b>	<b>Maternal C-reactive protein not available n=851</b>	<b>P-value for difference</b>
Pet keeping (%)			
No	65.6 (2,712)	70.4 (599)	<0.01
Yes	34.4 (1,421)	29.6 (252)	
Cord blood C-reactive protein levels (mg/l)			
< 0.20	49.0 (2,027)	75.7 (644)	<0.05
≥ 0.20	12.8 (531)	24.3 (207)	
missing	38.2 (1,575)	-	
Ever wheezing (%)			
No	54.7 (2,260)	54.8 (466)	n.s.
Yes	45.3 (1,873)	45.2 (385)	
Ever lower respiratory tract infections (%)			
No	67.7 (2,799)	60.6 (516)	<0.01
Yes	32.3 (1,334)	39.4 (335)	
Ever eczema (%)			
No	62.8 (2,597)	65.5 (557)	n.s.
Yes	37.2 (1,536)	34.5 (294)	

P for difference was calculated using chi-square tests for categorical variables, student's t-test for continues variables and Mann-Whitney for continues not normal distributed variables.



**Table E3.3.2.** Differences in characteristics of mothers and their children between groups with or without information on cord blood C-reactive protein (n=4,984)

	<b>Cord blood C-reactive protein available n=3,409</b>	<b>Cord blood C-reactive protein not available n=1,575</b>	<b>P-value for difference</b>
<b>Maternal characteristics</b>			
Age (years)	30.6 (4.8)	30.8 (4.7)	n.s.
Body mass index (kg/m <sup>2</sup> )			
<20	9.2 (313)	10.2 (160)	n.s.
20-25.0	56.3 (1,919)	55.7 (878)	
25-30.0	24.4 (833)	23.4 (368)	
≥30	10.1 (344)	10.7 (169)	
Education (%)			
Primary, or secondary	48.1 (1,640)	49.0 (771)	n.s.
Higher	51.9 (1,769)	51.0 (804)	
History of asthma or atopy (%)			
No	63.6 (2,167)	61.9 (975)	n.s.
Yes	36.4 (1,242)	38.1 (600)	
Smoking during pregnancy (%)			
No	85.7 (2,920)	86.6 (1,364)	n.s.
Yes	14.3 (489)	13.4 (211)	
Parity (%)			
0	57.6 (1,963)	59.0 (929)	n.s.
≥1	42.4 (1,446)	41.0 (646)	
Gestational hypertensive problems (%)			
No	95.2 (3,244)	90.9 (1,431)	<0.01
Yes	4.8 (165)	9.1 (144)	
Maternal C-reactive protein levels (mg/l)*	4.2 (0.6 - 25.8)	4.1 (0.6 - 23.0)	n.s.
<b>Child characteristics</b>			
Female sex, no (%)	49.4 (1,684)	51.2 (807)	n.s.
Gestational age at birth (weeks)	40.3 (37.4, 42.1)	40.1 (36.1, 42.1)	<0.01
Birth weight (grams)	3,494 (502)	3,386 (618)	<0.01
Ethnicity (%)			
European	70.0 (2,386)	68.9 (1,085)	n.s.
Non-European	30.0 (1,023)	31.1 (490)	
Breastfeeding (%)			
No	7.9 (270)	7.6 (120)	n.s.
Yes	92.1 (3,139)	92.4 (1,455)	
Day care attendance 1 <sup>st</sup> year (%)			
No	45.8 (1,560)	42.5 (669)	<0.05
Yes	54.2 (1,849)	57.5 (906)	

**Table E3.3.2.** Differences in characteristics of mothers and their children between groups with or without information on cord blood C-reactive protein (n=4,984) (continued)

	<b>Cord blood C-reactive protein available n=3,409</b>	<b>Cord blood C-reactive protein not available n=1,575</b>	<b>P-value for difference</b>
Pet keeping (%)			
No	67.0 (2,284)	65.2 (1,027)	n.s.
Yes	33.0 (1,125)	34.8 (548)	
Ever wheezing (%)			
No	55.6 (1,897)	52.6 (829)	n.s.
Yes	44.4 (1,512)	47.4 (746)	
Ever lower respiratory tract infections (%)			
No	64.7 (2,205)	70.5 (1,110)	<0.01
Yes	35.3 (1,204)	29.5 (465)	
Ever eczema (%)			
No	64.0 (2,181)	61.7 (972)	n.s.
Yes	36.0 (1,228)	38.3 (603)	

P for difference was calculated using chi-square tests for categorical variables, student's t-test for continuous variables and Mann-Whitney for continuous not normal distributed variables.

**Table E3.3.3.** Maternal C-reactive protein levels (mg/l) and wheezing of their children until the age of 4 years stratified for maternal atopy

	Age 1 year	Age 2 years	Age 3 years	Age 4 years	Overall
<b>Odds Ratios (95% Confidence Intervals) of wheezing</b>					
<b>No maternal atopy</b>					
≤0.20-2.29 n=661	Reference	Reference	Reference	Reference	Reference
2.30-4.29 n=673	0.92 (0.71, 1.19)	1.01 (0.75, 1.36)	0.93 (0.64, 1.37)	1.17 (0.82, 1.66)	0.98 (0.84, 1.16)
4.30-7.69 n=661	0.87 (0.68, 1.11)	0.88 (0.65, 1.21)	0.96 (0.65, 1.41)	1.01 (0.70, 1.46)	0.91 (0.77, 1.07)
7.70-343.0 n=673	0.67 (0.51, 0.88)**	0.70 (0.51, 0.98)*	1.05 (0.72, 1.52)	1.23 (0.85, 1.79)	0.81 (0.68, 0.97)*
p for trend	0.25	0.20	0.88	0.75	0.36
<b>Maternal atopy</b>					
≤0.20-2.29 n=359	Reference	Reference	Reference	Reference	Reference
2.30-4.29 n=394	1.30 (0.93, 1.81)	1.14 (0.79, 1.66)	1.26 (0.80, 2.01)	1.33 (0.84, 2.09)	1.26 (1.03, 1.53)*
4.30-7.69 n=346	1.49 (1.06, 2.09)*	1.13 (0.77, 1.67)	1.10 (0.68, 1.76)	0.90 (0.53, 1.50)	1.23 (0.99, 1.52)
7.70-343.0 n=366	0.97 (0.67, 1.40)	0.88 (0.59, 1.31)	1.02 (0.61, 1.70)	1.46 (0.90, 2.37)	1.04 (0.83, 1.31)
p for trend	0.44	0.77	0.88	0.40	0.98
<b>Odds Ratios (95% Confidence Intervals) of lower respiratory tract infections</b>					
<b>No maternal atopy</b>					
≤0.20-2.29 n=661	Reference	Reference	Reference	Reference	Reference
2.30-4.29 n=673	1.09 (0.78, 1.53)	0.90 (0.63, 1.27)	1.15 (0.74, 1.78)	1.15 (0.67, 1.97)	1.05 (0.87, 1.27)
4.30-7.69 n=661	1.00 (0.71, 1.42)	0.93 (0.65, 1.34)	1.16 (0.72, 1.85)	0.83 (0.48, 1.46)	1.00 (0.81, 1.22)
7.70-343.0 n=673	0.88 (0.60, 1.28)	0.93 (0.64, 1.34)	1.28 (0.78, 2.12)	1.17 (0.66, 2.06)	1.01 (0.82, 1.26)
p for trend	0.80	0.54	0.60	0.57	0.66
<b>Maternal atopy</b>					
≤0.20-2.29 n=359	Reference	Reference	Reference	Reference	Reference
2.30-4.29 n=394	1.23 (0.77, 1.95)	1.06 (0.66, 1.69)	0.88 (0.51, 1.53)	1.32 (0.67, 2.63)	1.10 (0.83, 1.45)
4.30-7.69 n=346	1.86 (1.21, 2.88)**	1.07 (0.68, 1.69)	1.13 (0.66, 1.94)	0.92 (0.39, 2.15)	1.32 (1.00, 1.73)
7.70-343.0 n=366	0.88 (0.54, 1.44)	0.85 (0.52, 1.40)	1.29 (0.74, 2.26)	1.37 (0.64, 2.92)	1.02 (0.74, 1.39)
p for trend	0.22	0.51	0.55	0.90	0.53

**Table E3.3.3.** Maternal C-reactive protein levels (mg/l) and wheezing of their children until the age of 4 years stratified for maternal atopy (continued)

	Age 1 year	Age 2 years	Age 3 years	Age 4 years	Overall
Odds Ratios (95% Confidence Intervals) of eczema					
<b>No maternal atopy</b>					
≤0.20-2.29 n=661	Reference	Reference	Reference	Reference	Reference
2.30-4.29 n=673	1.21 (0.89, 1.66)	0.95 (0.68, 1.33)	1.11 (0.74, 1.67)	1.00 (0.64, 1.56)	1.10 (0.91, 1.33)
4.30-7.69 n=661	1.16 (0.83, 1.63)	0.95 (0.67, 1.36)	1.04 (0.68, 1.59)	0.87 (0.55, 1.38)	1.04 (0.84, 1.29)
7.70-343.0 n=673	1.37 (1.01, 1.88)	1.09 (0.77, 1.53)	1.25 (0.80, 1.95)	0.95 (0.59, 1.53)	1.21 (0.99, 1.49)
p for trend	0.34	1.00	0.60	0.93	0.27
<b>Maternal atopy</b>					
≤0.20-2.29 n=359	Reference	Reference	Reference	Reference	Reference
2.30-4.29 n=394	1.02 (0.71, 1.46)	1.51 (0.98, 2.33)	0.99 (0.59, 1.65)	1.10 (0.61, 2.00)	1.13 (0.90, 1.42)
4.30-7.69 n=346	1.12 (0.77, 1.62)	1.36 (0.87, 2.13)	1.21 (0.74, 1.98)	1.34 (0.76, 2.36)	1.22 (0.96, 1.56)
7.70-343.0 n=366	1.09 (0.74, 1.60)	1.19 (0.76, 1.88)	1.13 (0.69, 1.87)	1.30 (0.71, 2.36)	1.16 (0.90, 1.50)
p for trend	0.94	0.46	0.75	0.56	0.86

Values are Odds Ratios (95% Confidence Interval) and reflect the risks of wheezing, lower respiratory tract infections, or eczema of children in a specific quarter group compared to the lowest quarter. \*P < 0.05 \*\* P>0.01, using generalized estimating equation models. Models were adjusted for maternal age, body mass index, education, history of asthma, smoking habits, parity, gestational hypertensive problems, and pregnancy duration at blood sampling, and children's sex, gestational age, birth weight, ethnicity, breastfeeding status, daycare attendance and pet keeping. For P for trend we included maternal C-reactive protein levels as a continuous variable in the model. P-value for interaction CRP \* maternal atopy with: wheezing = 0.35, lower respiratory tract infections = 0.57, and eczema = 0.78.

**Table E3.3.4.** Maternal C-reactive protein levels and pre-school wheezing phenotypes

	Never	Early	Late	Persistent
<b>C-reactive protein</b>				
≤0.20-2.29 n=1,020	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>	<i>Reference</i>
2.30-4.29 n=1,031	<i>Reference</i>	1.14 (0.91, 1.43)	1.05 (0.57, 1.92)	1.26 (0.90, 1.76)
4.30-7.69 n=1,007	<i>Reference</i>	1.14 (0.92, 1.41)	1.06 (0.59, 1.89)	0.90 (0.63, 1.29)
7.70-343.0 n=1,003	<i>Reference</i>	0.87 (0.68, 1.11)	0.97 (0.52, 1.83)	1.06 (0.74, 1.50)
p for trend	<i>Reference</i>	0.52	0.64	0.92

Values are Odds Ratios (95% Confidence Interval) and reflect the risks of wheezing, lower respiratory tract infections, or eczema of children in a specific quartile group compared to the lowest quartile. \*P < 0.05 \*\*P>0.01, using generalized estimating equation models. Models were adjusted for maternal age, body mass index, education, history of asthma or atopy, smoking habits, parity, gestational hypertension, children's sex, gestational age, birth weight, ethnicity, breastfeeding status, daycare attendance and pet keeping. For P for trend we included maternal C-reactive protein levels as a continuous variable in the model.

**Table E3.3.5.** Cord blood C-reactive protein levels (mg/l) and wheezing, lower respiratory tract infections, and eczema until the age of 4 years stratified for maternal atopy

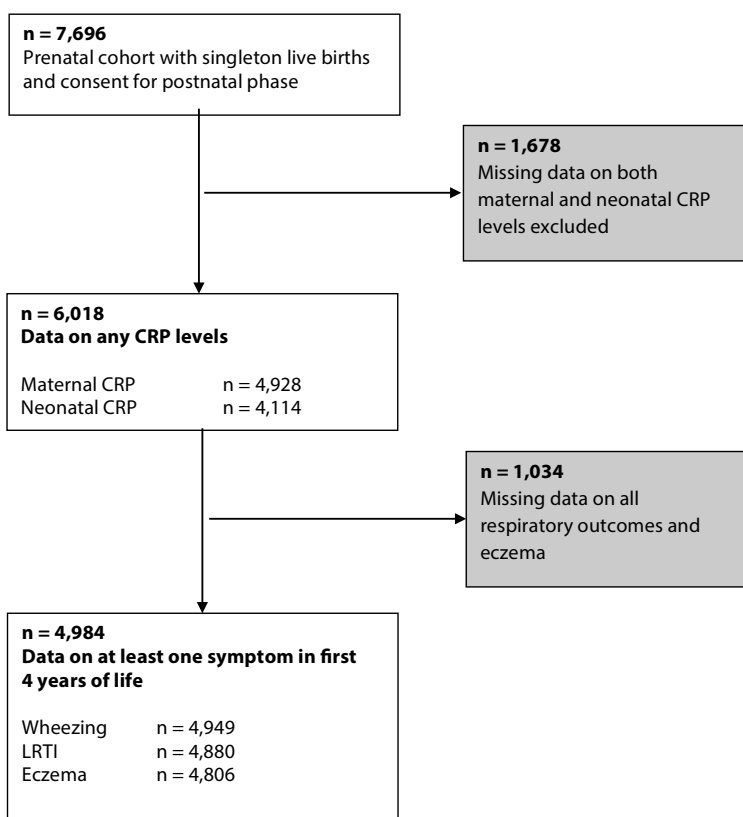
	Age 1 year	Age 2 years	Age 3 years	Age 4 years	Overall
<b>Odds Ratios (95% Confidence Intervals) of wheezing</b>					
<b>No maternal atopy</b>					
< 0.20 n=1,720	Reference	Reference	Reference	Reference	Reference
≥ 0.20-43.10 n=505	1.31 (1.02, 1.67)*	1.27 (0.98, 1.64)	1.29 (0.93, 1.78)	1.21 (0.89, 1.64)	1.28 (1.11, 1.48)**
<b>Maternal atopy</b>					
< 0.20 n=951	Reference	Reference	Reference	Reference	Reference
≥ 0.20-43.10 n=233	1.20 (0.85, 1.69)	0.91 (0.61, 1.34)	1.12 (0.72, 1.72)	1.06 (0.69, 1.65)	1.07 (0.87, 1.33)
<b>Odds Ratios (95% Confidence Intervals) of lower respiratory tract infections</b>					
<b>No maternal atopy</b>					
< 0.20 n=1,720	Reference	Reference	Reference	Reference	Reference
≥ 0.20-43.10 n=505	1.24 (0.91, 1.69)	1.28 (0.95, 1.71)	1.20 (0.82, 1.77)	1.53 (0.97, 2.41)	1.26 (1.05, 1.51)*
<b>Maternal atopy</b>					
< 0.20 n=951	Reference	Reference	Reference	Reference	Reference
≥ 0.20-43.10 n=233	1.10 (0.73, 1.68)	1.03 (0.67, 1.58)	1.04 (0.62, 1.74)	1.22 (0.68, 2.18)	1.08 (0.83, 1.39)
<b>Odds Ratios (95% Confidence Intervals) of eczema</b>					
<b>No maternal atopy</b>					
< 0.20 n=1,720	Reference	Reference	Reference	Reference	Reference
≥ 0.20-43.10 n=505	1.10 (0.82, 1.48)	1.12 (0.82, 1.53)	1.17 (0.79, 1.73)	1.16 (0.74, 1.83)	1.13 (0.92, 1.37)
<b>Maternal atopy</b>					
< 0.20 n=951	Reference	Reference	Reference	Reference	Reference
≥ 0.20-43.10 n=233	0.93 (0.63, 1.35)	0.72 (0.45, 1.17)	0.85 (0.49, 1.49)	0.69 (0.38, 1.25)	0.83 (0.64, 1.07)

\*P < 0.05 and \*\*p < 0.01 using generalized equating estimates models. Models were adjusted for maternal age, body mass index, education, history of asthma, smoking habits, gestational hypertensive problems, parity, children's sex, gestational age, birth weight, ethnicity, breastfeeding status, daycare attendance and pet keeping. P-value for interaction CRP \* maternal atopy with: wheezing = 0.36, lower respiratory tract infections = 0.49, eczema = 0.12.

**Table E3.3.6.** Cord blood C-reactive protein with pre-school wheezing patterns

	Never	Early	Late	Persistent
<b>C-reactive protein</b>				
< 0.20 n=2,671	Reference	Reference	Reference	Reference
≥ 0.20-43.10 n=738	Reference	1.25 (1.02, 1.53)*	1.02 (0.61, 1.71)	1.21 (0.89, 1.63)

\*P < 0.05 and \*\*p < 0.01 using generalized equating estimates models. Models were adjusted for maternal age, body mass index, education, history of asthma, smoking habits, pregnancy induced hypertension, parity, children's sex, gestational age, birth weight, ethnicity, breastfeeding status, daycare attendance and pet keeping.



**Figure E3.3.1.** Flowchart





# Chapter 4

Infant exposures and  
childhood asthma





# 4.1

## Duration and exclusiveness of breastfeeding and childhood asthma symptoms

Agnes M.M. Sonnenschein-van der Voort, MSc<sup>1,2,3</sup>, Vincent W.V. Jaddoe, MD, PhD<sup>1,3,4</sup>, Ralf J.P. van der Valk, MSc<sup>1,2,3</sup>, Sten P. Willemsen, MSc<sup>5</sup>, Albert Hofman, MD, PhD<sup>3</sup>, Henriëtte A. Moll, MD, PhD<sup>4</sup>, Johan C. de Jongste, MD, PhD<sup>2</sup>, Liesbeth Duijts, MD, PhD<sup>1,2,3</sup>

<sup>1</sup>The Generation R Study Group, <sup>2</sup>Department of Pediatrics, Division of Respiratory Medicine, <sup>3</sup>Department of Epidemiology, <sup>4</sup>Department of Pediatrics, <sup>5</sup>Department of Biostatistics; Erasmus Medical Center, Rotterdam, The Netherlands

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1. **ABSTRACT**

2.

3. **Objectives** To examine the associations of breastfeeding duration and exclusiveness with  
4. the risks of asthma-related symptoms in preschool children, and to explore whether these  
5. associations are explained by atopic or infectious mechanisms.

6.

7. **Design** This study was embedded in a population-based prospective cohort study among  
8. 5,368 children. Information on breastfeeding duration, exclusiveness and asthma-related  
9. symptoms, including wheezing, shortness of breath, dry cough and persistent phlegm, was  
10. obtained by questionnaires.

11.

12. **Results** Compared to children who were breastfed for 6 months, those who were never  
13. breastfed had overall increased risks of wheezing, shortness of breath, dry cough and per-  
14. sistent phlegm during the first four years (Odds ratios 1.44 (95% Confidence Interval: 1.24,  
15. 1.66), 1.26 (1.07, 1.48), 1.25 (1.08, 1.44) and 1.57 (1.29, 1.91), respectively). Similar associations  
16. were observed for exclusive breastfeeding. The strongest associations per symptom per year  
17. were observed for wheezing at 1 and 2 years. Additionally adjusted analyses showed that the  
18. associations of breastfeeding with asthma-related symptoms were not explained by eczema  
19. but partly by lower respiratory tract infections.

20.

21. **Conclusions** Shorter duration and non-exclusivity of breastfeeding were associated with in-  
22. creased risks of asthma-related symptoms in preschool children. These associations seemed  
23. at least partly explained by infectious but not by atopic mechanisms.

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## 1. INTRODUCTION

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3. Asthma-related symptoms are common in early childhood and are a leading cause of mor-  
4. bidity<sup>1</sup>. Known risk factors in early life for asthma-related symptoms include birth weight,  
5. gestational age, parental socio-economic status, ethnicity, presence of siblings, day care  
6. attendance, family history of asthma or atopy and parental smoking<sup>2</sup>. A substantial body  
7. of evidence suggests that breastfeeding is also associated with a reduced risk of childhood  
8. asthma and asthma-related symptoms<sup>3-14</sup>. Some studies reported stronger protective effects  
9. of breastfeeding on asthma in children with a positive family history of asthma or allergy<sup>8,15,16</sup>  
10. whereas others did not<sup>6,11,12</sup>. Studies that focused on asthma later in life showed inconsistent  
11. results<sup>5,7,8,10,11</sup>. Breastfeeding might affect the risk of childhood asthma because of a medi-  
12. ating effect of atopy, infections or both. Underlying mechanisms might include IgA, cytokines,  
13. especially TGF-beta1, and long-chain fatty acids in breast milk that stimulate the infant's  
14. immune system<sup>17</sup>. Also, glycans help the innate immune system to inhibit pathogen binding  
15. to the host cell target ligand<sup>18</sup>, and changes in the delicate balance between pro- and anti-  
16. inflammatory compounds<sup>19</sup>. Various methodological issues might have influenced results  
17. from previous studies. These include recall bias of feeding habits in retrospective studies,  
18. differences in information about duration and exclusiveness of breastfeeding, and adjust-  
19. ment for confounders<sup>2,5-7,11,14</sup>.

20. Therefore, we examined in a population-based prospective cohort study the associations  
21. of the duration and exclusiveness of breastfeeding with the risks of asthma-related symp-  
22. toms during the first 4 years and examined whether any association is explained by atopic or  
23. infectious mechanisms.

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## 26. METHODS

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### 28. Design and cohort

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30. This study was embedded in the Generation R Study, a population-based prospective  
31. cohort study of pregnant women and their children from fetal life onwards in Rotterdam,  
32. The Netherlands, and has previously been described in detail<sup>20</sup>. The study protocol was ap-  
33. proved by the Medical Ethical Committee of the Erasmus Medical Centre, Rotterdam. Written  
34. informed consent was obtained from all participants. In total 7,295 children and their parents  
35. participated in the postnatal phase of the study. From those children, twins (n = 179) and  
36. second or third children of the same mother in the study (n = 539) were excluded from the  
37. present analyses to prevent bias due to correlation (Figure E4.1.1). Of the remaining children,  
38. breastfeeding and asthma-related symptom data were available of 5,368 children.

39.

1. **Breastfeeding duration and exclusiveness**

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3. Information about breastfeeding initiation and continuation was obtained by postal ques-  
4. tionnaires at the ages of 2, 6 and 12 months after birth. The duration of breastfeeding was  
5. assessed by asking whether they ever breastfed their child (no, yes) and at what age (weeks)  
6. they quitted breastfeeding. Subsequently, breastfeeding duration was categorized into  
7. four groups: never; younger than 3 months; 3 to 6 months and 6 months or older. Exclusive  
8. breastfeeding was defined using information on the introduction of other milk or solids. The  
9. information about exclusiveness of breastfeeding was combined and categorized into the  
10. following three breastfeeding categories: never; non-exclusive breastfeeding until 4 months  
11. and exclusive breastfeeding until 4 months.

12.

13. **Asthma-related symptoms**

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15. Information on asthma-related symptoms was obtained by questionnaires at the ages of 1, 2, 3  
16. and 4 years. Questions about asthma-related symptoms were adapted from the International  
17. Study on Asthma and Allergy in Childhood (ISAAC)<sup>21</sup>. Response rates for these questionnaires  
18. were 71%, 76%, 72%, 73%, respectively. Information about asthma-related symptoms in the  
19. past year included wheezing (never, 1-3 times, >4 times), shortness of breath (never, 1-3  
20. times, >4 times), dry cough at night (no, yes), and mucus congestion (no, yes). Parents also  
21. reported information about doctor-attended eczema and lower respiratory tract infections  
22. (pertussis, bronchitis, bronchiolitis, or pneumonia) in the past year which information was  
23. used as markers of atopy and infection, respectively.

24.

25. **Covariates**

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27. Information on parental history of asthma or atopy, socio-economical status, ethnicity, par-  
28. ity and pet keeping were obtained by questionnaire, completed by mother at enrollment.  
29. Information about active maternal smoking was obtained by postal questionnaires sent in  
30. first, second and third trimester of pregnancy and combined into smoking (no, yes)<sup>20</sup>. Socio-  
31. economical status was assessed using the highest educational level achieved by the parents.  
32. Maternal ethnicity was based on country of birth of her and her parents<sup>20</sup>. We used parity as  
33. a proxy for siblings, the correlation between those variables was good ( $\kappa = 0.894$ ). Birth  
34. weight, gestational age and sex of the children were obtained from midwife and hospital  
35. registries at birth. Home sent questionnaires at the ages of 6 and 12 months provided infor-  
36. mation about daycare attendance.

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## 1. Data analysis

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3. *Longitudinal analyses.* We used generalized estimating equations (GEEs) to examine the  
4. longitudinal effects of duration and exclusiveness of breastfeeding with each asthma-related  
5. symptom (no, yes) from the age of 1 to 4 years. With GEE analyses, repeatedly measured  
6. asthma-related symptoms over time can be analyzed, taking into account that these repeated  
7. measurements within the same subject are correlated. Also, breastfeeding and age might be  
8. correlated and therefore breastfeeding was used in the model as a time-dependent variable.

9. Covariates were not repeatedly measured over time and were introduced in the models as  
10. time-independent. *Additional confounder analyses.* To assess whether the associations of

11. breastfeeding with asthma-related symptoms could be explained by atopic or infectious  
12. mechanisms, we additionally adjusted the analyses for doctor-attended eczema and lower  
13. respiratory tract infections measured at the corresponding ages. *Effect modification analyses.*

14. To assess the potential modifying effect of parental history of asthma or atopy we added pa-  
15. rental history of asthma or atopy (no, yes) as an interaction term with exclusive breastfeeding  
16. in the GEE models with wheezing as the outcome (wheezing = exclusivity of breastfeeding +  
17. parental history of asthma or atopy + exclusivity of breastfeeding\*parental history of asthma

18. or atopy + other confounders). Thereafter, we stratified our GEE models for breastfeeding  
19. exclusivity by parental history of asthma or atopy. *Survival analysis.* We performed a discrete  
20. survival analysis to calculate time to first asthma-related symptom according to breastfeed-

21. ing duration and exclusiveness. For these analyses, the 4 different asthma-related symptoms  
22. were combined into one categorical variable asthma-related symptom (no, yes). *Dose - re-*  
23. *sponse analysis.* The associations of breastfeeding duration and exclusivity with frequencies  
24. of asthma-related symptoms at the ages of 1, 2, 3 and 4 years were analyzed using multiple  
25. logistic regression analysis.

26. Missing data in the covariates were imputed using the multiple imputation procedure,  
27. which is used to select possible values for a missing response. Five imputed data sets were  
28. created and analyzed together. All models were adjusted for potential confounders including  
29. parental age, education, ethnicity, smoking habits, maternal parity, children's sex, gestational  
30. age, birth weight, parental history of asthma or atopy, daycare attendance and pet keeping.

31. Test for trends were performed by including the breastfeeding categories as continuous  
32. variables in the regression models. All measures of association are presented with their 95%  
33. Confidence Intervals (CI). The statistical analyses were performed using the Statistical Pack-  
34. age of Social Sciences version 17.0 for Windows (SPSS Inc., Chicago, IL, USA) and SAS 9.2 (SAS  
35. institute, Cary, NC, USA).

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## 1. RESULTS

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3. Of the total group of 5,368 children 92.3% had ever been breastfed. Of those, information  
4. about duration and exclusiveness of breastfeeding was available for 79.7% (n = 4,280) and  
5. 81.1% (n = 4,353) children, respectively. The median duration of breastfeeding was 3.5 months  
6. (95% range 0.5 - 12.0 months) and 21.3% was breastfed exclusively until the age of 4 months.  
7. Table 4.1.1 shows the parental and child characteristics according to breastfeeding duration.

8. Wheezing was the most frequently reported asthma-related symptom during the first year  
9. (Table 4.1.2). Child and parental characteristics differed between those with and without  
10. available data on asthma-related symptoms except for gender and ever eczema (Table  
11. E4.1.1). The effect sizes of unadjusted and non-imputed analyses (Tables E4.1.2 and E4.1.3) of  
12. the associations of duration and exclusiveness of breastfeeding with asthma-related symp-  
13. toms did not materially change after adjustment for confounders or performing multiple  
14. imputations of the confounders.

15.

### 16. Duration of breastfeeding

17.

18. Based on the GEE models, those who were never breastfed had overall increased risks of  
19. wheezing, shortness of breath, dry cough and persistent phlegm (Odds ratios 1.44 (95% Con-  
20. fidence Interval: 1.24, 1.66), 1.26 (1.07, 1.48), 1.25 (1.08, 1.44) and 1.57 (1.29, 1.91), respectively)  
21. during the first four years, compared to children who were breastfed for more than 6 months  
22. (Figures 4.1.1a-1d). Analyses focused on these symptoms per year, showed that children who  
23. had been breastfed for shorter periods, had increased risks of wheezing at 1, 2 and 3 years  
24. (p-values for trend <0.05) (Figure 4.1.1a). A non-significant trend in the same direction was  
25. observed at the age of 4 years. Prolonged breastfeeding was associated with a lower risk of  
26. shortness of breath at 1 year (OR 1.38 (1.05, 1.80)) (Figure 4.1.1b) and non-significant trends  
27. were observed for the older ages. Breastfeeding duration was also associated with the risk  
28. of dry cough at 3 years, but not at other ages (Figure 4.1.1c), and with the risk of persistent  
29. phlegm at 1, 3 and 4 years (Figure 4.1.1d). Effect estimates for each specific exposure and the  
30. asthma-related symptoms (dose-response) are given in the supplement (Table E4.1.4). Based  
31. on the discrete survival analysis, those who were never breastfed, breastfed for 0-3 or 3-6  
32. months tended to have asthma-related symptoms earlier in life compared to those who were  
33. breastfed for more than 6 months (Hazard Ratios (HRs) 1.13 (0.97, 1.32), 1.06 (0.96, 1.17) and  
34. 1.03 (0.92, 1.15), respectively) (Figure 4.1.2).

35.

### 36. Exclusiveness of breastfeeding

37.

38. Those who were non-exclusively breastfed for 4 months, had increased risks of wheezing,  
39. shortness of breath, dry cough and persistent phlegm during the first 4 years (ORs 1.21



1. (1.09, 1.34), 1.14 (1.02, 1.28), 1.20 (1.10, 1.31) and 1.21 (1.04, 1.42), respectively), compared  
 2. to children who were exclusively breastfed for 4 months (Figure 4.1.3). Analyses focused on  
 3. each year separately, showed that compared to children who had been exclusively breastfed  
 4. for 4 months, those who had been non-exclusively breastfed for 4 months had an increased  
 5. risk of wheezing at 1,2 and 3 years ( $p$ -values for trend  $<0.05$ ). Non-significant results were  
 6. observed at 4 years. We observed similar but less consistent tendencies for dry cough (Figure  
 7. 4.1.3c), but not for shortness of breath and persistent phlegm (Figure 4.1.3b, d). Based on the  
 8. discrete survival analysis, those who were never or not exclusively breastfed for 4 months had  
 9. asthma-related symptoms earlier in life compared to those who were exclusively breastfed  
 10. (HRs 1.23 (1.05, 1.44), 1.14 (1.03, 1.26), respectively) (Figure 4.1.4).

11.  
12.  
13. **Table 4.1.1.** Characteristics of children and their parents according to breastfeeding duration

		Children (n=4,280)				
		Never n=416	0-3 months n=1,580	3-6 months n=923	$\geq 6$ months n=1,361	
<b>Maternal characteristics</b>						
18.	Age (years)	30.7 (4.7)	30.1 (5.0)	31.5 (4.4)	31.8 (4.5)	$p < 0.001$
19.	Education (%)					
20.	Primary, or secondary	64.0 (266)	55.7 (879)	34.9 (322)	32.6 (443)	$p < 0.001$
21.	Higher	28.9 (120)	39.7 (627)	61.2 (565)	63.6 (866)	
22.	Missing	7.2 (30)	4.7 (74)	3.9 (36)	3.8 (52)	
23.	Ethnicity (%)					
24.	European	76.9 (320)	62.8 (993)	70.3 (649)	69.8 (950)	$p < 0.001$
25.	Non - European	17.5 (73)	34.1 (539)	27.5 (254)	27.7 (377)	
26.	Missing	5.5 (23)	3.0 (48)	2.2 (20)	2.5 (34)	
27.	Parity (%)					
28.	0	52.6 (219)	66.5 (1,051)	66.3 (612)	57.5 (783)	$p < 0.001$
29.	$\geq 1$	45.0 (187)	32.1 (507)	32.1 (296)	39.8 (542)	
30.	Missing	2.4 (10)	1.4 (22)	1.6 (15)	22.6 (36)	
31.	Smoking during pregnancy (%)					
32.	No	57.9 (241)	69.2 (1,092)	76.5 (706)	78.2 (1,064)	$p < 0.001$
33.	Yes	21.4 (89)	15.9 (251)	8.1 (75)	6.1 (83)	
34.	Missing	20.7 (86)	15.0 (237)	15.4 (142)	15.7 (214)	
<b>Paternal characteristics</b>						
35.	Age (years)	33.3 (5.5)	32.7 (5.7)	34.0 (5.2)	34.4 (5.3)	$p < 0.001$
36.	Education (%)					
37.	Primary, or secondary	42.8 (178)	36.9 (584)	26.6 (245)	22.9 (312)	$p < 0.001$
38.	Higher	23.1 (96)	30.5 (483)	48.1 (444)	45.8 (623)	
39.	Missing	34.1 (142)	32.5 (513)	25.4 (234)	31.3 (426)	

**Table 4.1.1.** Characteristics of children and their parents according to breastfeeding duration (continued)

		Children (n=4,280)				
		Never n=416	0-3 months n=1,580	3-6 months n=923	≥6 months n=1,361	
5.	Ethnicity (%)					
6.	European	70.4 (293)	58.4 (923)	67.8 (626)	64.5 (878)	p<0.001
7.	Non - European	19.5 (81)	32.5 (514)	25.7 (237)	27.5 (374)	
8.	Missing	10.1 (42)	9.1 (143)	6.5 (60)	8.0 (109)	
9.	Smoking (%)					
10.	No	39.7 (165)	46.1 (729)	50.9 (470)	53.9 (733)	p<0.001
11.	Yes	40.6 (169)	39.2 (620)	35.1 (324)	30.5 (415)	
12.	Missing	19.7 (82)	14.6 (231)	14.0 (129)	15.7 (213)	
<b>Child characteristics</b>						
13.	Male sex, no (%)	51.2 (213)	50.8 (802)	51.1 (472)	48.5 (660)	p=0.518
14.	Gestational age at birth (%)					
15.	< 37 weeks	3.4 (14)	5.3 (84)	4.6 (42)	2.9 (39)	p=0.008
16.	≥ 37 weeks	96.6 (402)	94.7 (1,496)	95.4 (881)	97.1 (1,322)	
17.	Birth weight (grams)	3,415 (584)	3,398 (556)	3,438 (552)	3,505 (517)	p<0.001
18.	Parental history of asthma or atopy (%)					
19.	No	47.8 (199)	48.9 (773)	50.6 (467)	45.1 (614)	p=0.054
20.	Yes	46.2 (192)	46.5 (734)	45.6 (421)	50.6 (688)	
21.	Missing	6.0 (25)	4.6 (73)	3.8 (35)	4.3 (59)	
22.	Day care attendance 1 <sup>st</sup> year (%)					
23.	No	47.6 (198)	41.5 (655)	37.5 (346)	47.5 (647)	p<0.001
24.	Yes	34.4 (143)	44.2 (699)	54.8 (506)	51.2 (697)	
25.	Missing	18.0 (75)	14.3 (226)	7.7 (71)	1.2 (17)	
26.	Pet keeping (%)					
27.	No	42.8 (178)	53.9 (851)	57.0 (526)	57.0 (776)	p<0.001
28.	Yes	37.0 (154)	30.4 (481)	28.1 (259)	26.6 (362)	
29.	Missing	20.2 (84)	15.7 (248)	15.0 (138)	16.4 (223)	
30.	Ever eczema (%)					
31.	No	74.3 (309)	74.0 (1,169)	73.5 (678)	76.0 (1,034)	p=0.517
32.	Yes	23.8 (99)	24.9 (394)	26.0 (240)	23.4 (318)	
33.	Missing	1.9 (8)	1.1 (17)	0.5 (5)	0.7 (9)	
34.	Ever lower respiratory tract infections (%)					
35.	No	71.4 (297)	74.2 (1,173)	75.3 (695)	79.1 (1,077)	p=0.003
36.	Yes	28.6 (119)	25.4 (402)	24.6 (227)	20.9 (284)	
36.	Missing	0.0 (0)	0.3 (5)	0.1 (1)	0.0 (0)	

Values are shown in % (absolute numbers). Differences between breastfeeding groups were evaluated using chi-squared tests for categorical values and one-way anova for continues variables (only p-values between the never and > 6 months breastfed groups are given).

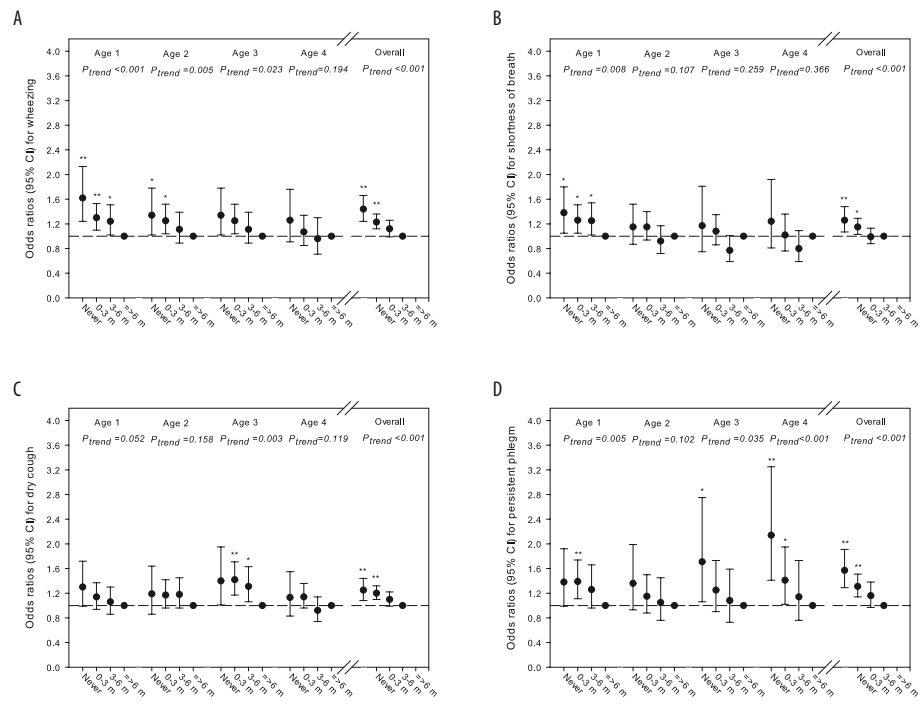
**Table 4.1.2.** Frequencies of asthma-related symptoms

	Age 1 year	Age 2 years	Age 3 years	Age 4 years
	<i>n</i> =4,787	<i>n</i> =4,644	<i>n</i> =4,301	<i>n</i> =4,297
<b>Wheezing</b>	<i>n</i> =4,493	<i>n</i> =4,551	<i>n</i> =4,228	<i>n</i> =4,219
No	71.3 (3,202)	79.9 (3,638)	87.3 (3,691)	87.1 (3,675)
Yes	28.7 (1,291)	20.1 (913)	12.7 (537)	12.9 (544)
1 to 3 times per year	22.1 (992)	16.6 (756)	10.2 (432)	10.6 (449)
≥ 4 times per year	6.7 (299)	3.4 (157)	2.5 (105)	2.3 (95)
<b>Shortness of breath</b>	<i>n</i> =4,498	<i>n</i> =4,570	<i>n</i> =4,236	<i>n</i> =4,239
No	77.7 (3,495)	82.1 (3,750)	88.2 (3,738)	89.3 (3,787)
Yes	22.3 (1,003)	17.9 (820)	11.8 (498)	10.7 (452)
1 to 3 times per year	17.4 (781)	14.0 (642)	9.3 (396)	8.2 (346)
≥ 4 times per year	4.9 (222)	3.9 (178)	2.4 (102)	2.5 (106)
<b>Dry cough</b>	<i>n</i> =4,446	<i>n</i> =4,579	<i>n</i> =4,191	<i>n</i> =4,231
No	77.7 (3,453)	76.1 (3,484)	76.4 (3,200)	73.2 (3,099)
Yes	22.3 (993)	23.9 (1,095)	23.6 (991)	26.8 (1,132)
<b>Persistent phlegm</b>	<i>n</i> =4,437	<i>n</i> =4,541	<i>n</i> =4,267	<i>n</i> =4,267
No	86.9 (3,854)	90.2 (4,098)	93.4 (3,986)	92.8 (3,959)
Yes	13.1 (583)	9.8 (443)	6.6 (281)	7.2 (308)

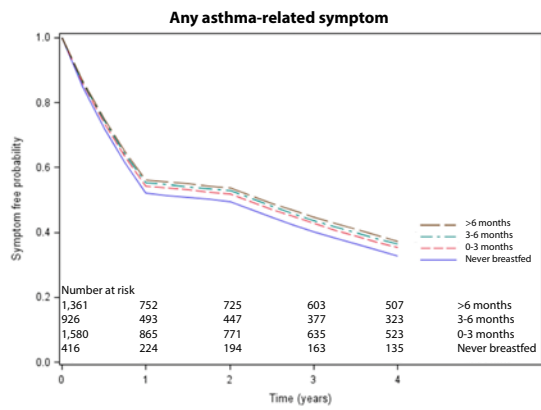
Values are shown in % (absolute numbers).

## Atopy and infections

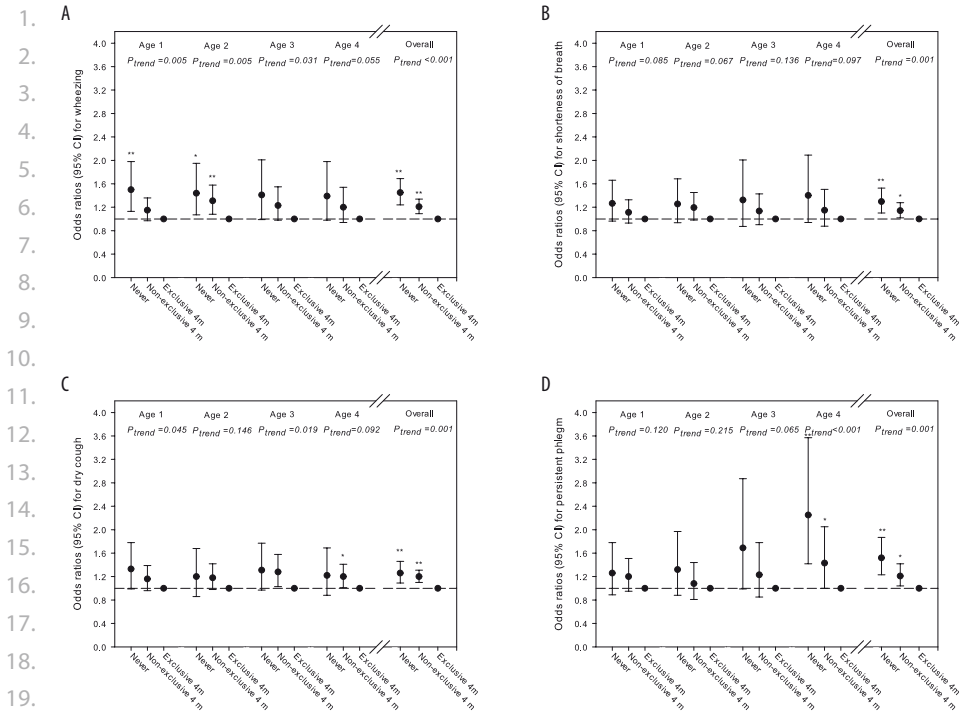
Adjustment for eczema did not materially change the effect estimates of the association between breastfeeding exclusiveness with asthma-related symptoms in the first four years of life, whereas the estimates decreased when lower respiratory tract infections were added as a confounder (Figure 4.1.5, Table E4.1.6). *Effect modification analyses.* Differences in the overall risk of wheezing were observed for non-exclusive breastfed children with and without a parental history of asthma or atopy (OR 1.27 (1.11, 1.45) and 1.14 (0.96, 1.35), respectively, Figure E4.1.2, Table E4.1.7). However, no effect modification by parental history of asthma or atopy was observed for the associations of exclusiveness of breastfeeding with wheezing (p-values interaction term >0.05 in the GEE model).



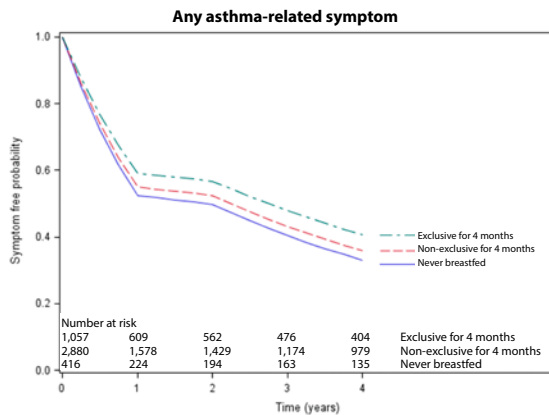
**Figure 4.1.1.** Associations of breastfeeding duration with asthma-related symptoms until the age of 4 years. Values are odds ratios (95% Confidence Interval) from longitudinal generalized estimating equation models. ORs given for the overall effect and (allowing for a time trend) for each year of age separately. Children who were breastfed for > 6 months were used as reference category. \*P < 0.05 and \*\*p < 0.01. Models are adjusted for parental age, education, ethnicity, smoking habits, maternal parity, children's sex, gestational age, birth weight, parental history of asthma or atopy, daycare attendance and pet keeping



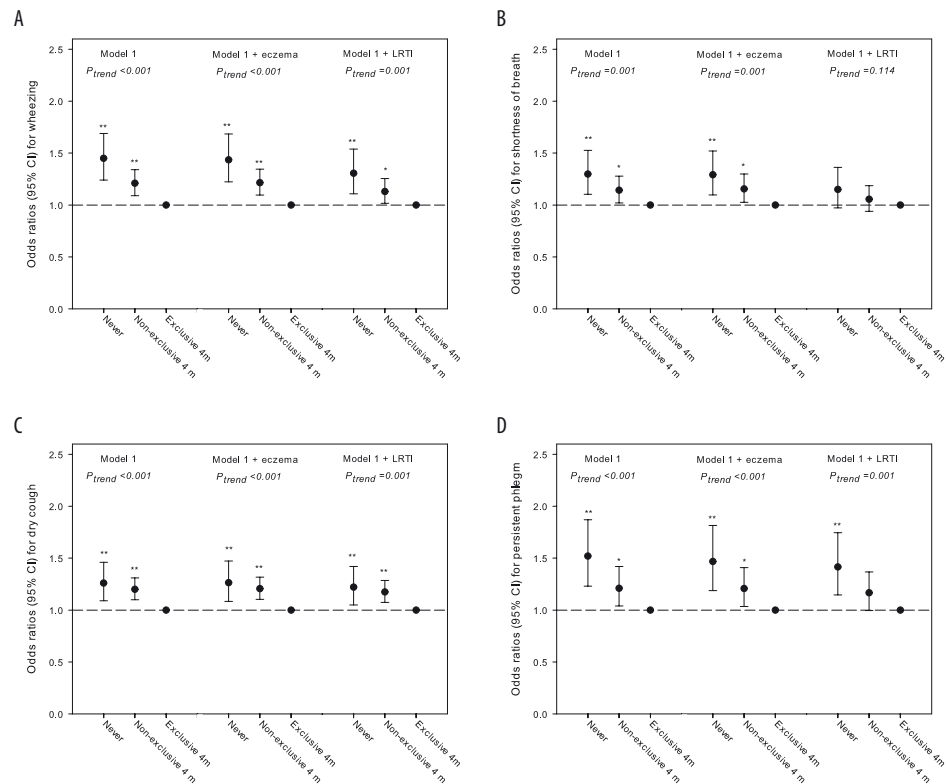
**Figure 4.1.2.** Time to any first asthma-related symptom (discrete survival curves) according to duration of breastfeeding. Models are adjusted for parental age, education, ethnicity, smoking habits, maternal parity, children's sex, gestational age, birth weight, parental history of asthma or atopy, daycare attendance and pet keeping by taking the mean of the values.



**Figure 4.1.3.** Associations of exclusive breastfeeding with asthma-related symptoms until the age of 4 years. Values are odds ratios (95% Confidence Interval) from longitudinal generalized estimating equation models. ORs given for the overall effect and (allowing for a time trend) for each year of age separately. Children who were exclusively breastfed for 4 months were used as reference category. \*P < 0.05 and \*\*p < 0.01. Models are adjusted for parental age, education, ethnicity, smoking habits, maternal parity, children's sex, gestational age, birth weight, parental history of asthma or atopy, daycare attendance and pet keeping.



**Figure 4.1.4.** Time to any first asthma-related symptom (discrete survival curves) according to exclusivity of breastfeeding. Models are adjusted by parental age, education, ethnicity, smoking habits, maternal parity, children's sex, gestational age, birth weight, parental history of asthma or atopy, daycare attendance and pet keeping by taking the mean of the values.



**Figure 4.1.5.** Atopic and infectious effects on the associations of breastfeeding exclusivity with overall estimates of asthma-related symptoms. Values are odds ratios with 95% Confidence Intervals from longitudinal generalized estimating equation models. Children who were exclusively breastfed for 4 months were used as reference category.

\* $P < 0.05$  and \*\* $p < 0.01$ . Model 1 is adjusted for parental age, education, ethnicity, smoking habits, maternal parity, children's sex, gestational age, birth weight, parental history of asthma or atopy, daycare attendance and pet keeping. This model was additionally adjusted for eczema and lower respiratory tract infections (LRTI) which were both not imputed.

## DISCUSSION

Shorter duration and non-exclusivity of breastfeeding were associated with increased risks of asthma-related symptoms in preschool children. The strongest effect estimates were observed for wheezing during the first 2 years.

Previous studies reported consistent results on the associations between duration and exclusive breastfeeding and the risk of asthma in childhood. These suggested an up to 2.22-fold increased risk of recurrent wheezing or asthma at the ages of 2 to 6 years among children who were not breastfed or not exclusively breastfed until the age of 4 months<sup>3-11, 13</sup>. Our effect estimates are in line with these studies and additionally we observed a dose-response relation between breastfeeding and the number of wheezing episodes. We observed similar

1. results for shortness of breath, dry cough at night and persistent phlegm. Also, we found that
2. the first reported asthma-related symptom occurred earlier in life if children were shorter
3. or non-exclusively breastfed. We found evidence for a protective effect of breastfeeding
4. on wheezing until the age of 2 years, but not thereafter. In these first years, wheezing is
5. predominantly associated with respiratory tract infections<sup>22</sup>. Indeed, we observed that the
6. protective effect of breastfeeding on asthma-related symptoms decreased after adjusting for
7. lower respiratory tract infections at the corresponding ages.
8. The gut microflora is suggested to be different between breastfed and formula fed infants.
9. Compared to breastfed infants, those who receive formula feeding have a more complex
10. microflora with more facultative anaerobes, bacteroides and clostridia at higher levels and
11. frequencies<sup>23</sup>. We speculate that this might decrease with increasing exclusivity of breast-
12. feeding, leading to lower infection risk and less wheezing by influencing the development of
13. the immune system<sup>22</sup>. Due to this putative effect on the development of the immune system,
14. infections and asthma-related symptoms might occur less frequent even years after stopping
15. breastfeeding. This is in line with the previously reported inconsistent results for the associa-
16. tion of breastfeeding with the risk of asthma after the preschool age, as in that period the gut
17. microflora has stabilized, respiratory tract infections are less frequent and atopic mechanisms
18. are more relevant. Also, our results regarding the non-significant associations with asthma-
19. related symptoms at older ages are in line with a previously published randomized clustered
20. trial<sup>24</sup>.
21. Previous studies reported inconclusive or inversed associations of breastfeeding with
22. eczema or atopy. Also, breastfeeding is suggested to have a potential adverse long-term
23. effect on asthma which demonstrates the difficulties of giving breast-feeding advice for
24. atopy-prevention<sup>2, 5, 8</sup>. We did not observe a change in effect estimates for asthma-related
25. symptoms after adjusting for eczema, but only found significant effects of non-exclusive
26. breastfeeding in children with a parental history of asthma or atopy, suggesting a larger
27. effect of breastfeeding in this group. However, the interaction term was not significant,
28. may be due to the lack of large statistical power. Our results suggest that the associations
29. of breastfeeding exclusiveness with asthma-related symptoms are at least partly modified
30. by parental asthma or atopy. Previously, Wright et al. also observed different relationships
31. between breastfeeding and asthma with the presence or absence of maternal asthma and
32. atopy<sup>8</sup>. Breastfed children of asthmatic mothers had an increased risk of asthma from 6 years
33. onwards, compared to breastfed children of non-asthmatic mothers. However, other studies
34. did not report effect modification of a parental history of asthma or atopy on the association
35. of breastfeeding with wheezing<sup>5, 11-12</sup>.
36. This study was embedded in a population-based prospective design with a large number
37. of subjects being studied from early life onwards, and information about a large number
38. of potential confounders was prospectively collected. We adjusted for a large number of
39. confounders and the results did not differ between non-imputed and imputed analysis.

1. However, we cannot exclude that other possible (residual) confounders or effect modifiers or  
2. the influence of genetic variances might have been present.

3. Non-response would lead to biased effect estimates if the associations of breastfeeding  
4. duration and exclusivity with asthma-related symptoms would be different between those  
5. included and not included in the analyses. However, this seems unlikely because biased  
6. estimates mainly arose from loss to follow-up rather than from non-response at baseline<sup>25</sup>.

7. Among infants without data on asthma-related symptoms, the frequencies of breastfeed-  
8. ing were lower than among infants with information on symptoms. This might have led to  
9. some loss of power and underestimation of the observed protective effects of breastfeeding  
10. in our cohort.

11. The main outcomes in our study were self-reported asthma-related symptoms. This  
12. method is widely accepted in epidemiological studies and reliably reflect the incidence of  
13. asthma-related symptoms in young children<sup>26</sup>. In preschool children a diagnosis of asthma  
14. is based on symptoms<sup>27</sup>. Objective tests, including lung function or bronchial hyperrespon-  
15. siveness, are difficult to perform in young children or not informative. The most consistent  
16. protective effects of breastfeeding over time were observed for wheezing. For the other  
17. asthma-related symptoms, more varying and inconsistent patterns from birth to the age of  
18. 4 years were found. This might be due to lower prevalences of these symptoms and the pos-  
19. sibility that these are related to infections rather than wheeze, representing other diseases  
20. more accurate, such as respiratory tract infections. Reversed causality might be present if  
21. the duration and exclusiveness of breastfeeding would have been influenced by early mani-  
22. festation of asthma-related symptoms and could have lead to underestimation of the effect  
23. estimates<sup>5, 7, 8, 15, 28</sup>. In our cohort, we assessed only one asthma-related symptom, wheezing  
24. (no, yes), before the age of 2 months (n = 4,130). Of children who wheezed in their first year  
25. (n = 1,291), 18.8% had had a wheezing episode already in the first 2 months. The frequen-  
26. cies of the duration and exclusiveness of breastfeeding were similar in those who had and  
27. had not had a first wheezing episode at the age of 2 months (duration of breastfeeding >6  
28. months 25.1% vs. 26.5%, exclusive breastfeeding 19.8% vs. 18.2%). Furthermore, when we  
29. additionally adjusted our presented analyses for wheezing before the age of 2 months, the  
30. effect estimates did not materially change. Therefore, it is unlikely that reversed causation  
31. was present in our cohort.

32. In conclusion, our results suggest that a short duration of breastfeeding and non-exclusivity  
33. are associated with increased risks of the asthma-related symptoms during the first 4 years  
34. of life, with the strongest effect estimates during the first two years. These associations seem  
35. to be partly explained by lower respiratory tract infections but not by atopic mechanisms.  
36. Further studies are needed to explore the underlying mechanisms and the protective effect  
37. of breastfeeding on the various types of asthma in later life.

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# Supplements

**Table E.4.1.1.** Characteristics of children and their parents according to availability of data on asthma-related symptoms

		Singleton live births (n=6,577)		
		Asthma-related symptoms data available (n=5,665)	No asthma-related symptoms data available (n=912)	
<b>Maternal characteristics</b>				
9.	Age (years)	30.8 (4.9)	27.5 (5.6)	p<0.001
10.	Education (%)			
	Primary, or secondary	45.5 (2,576)	60 (547)	p<0.001
11.	Higher	48.0 (2,714)	12.4 (113)	
12.	Missing	6.6 (375)	27.6 (252)	
13.	Ethnicity (%)			
14.	European	63.8 (3,612)	20.4 (186)	p<0.001
15.	Non - European	31.5 (1,783)	54.4 (496)	
16.	Missing	4.8 (270)	25.2 (230)	
17.	Parity (%)			
	0	59.8 (3,388)	47.8 (436)	p<0.001
18.	≥1	36.9 (2,092)	48.1 (439)	
19.	Missing	3.3 (185)	4.1 (37)	
20.	Smoking during pregnancy (%)			
	No	69.2 (3,921)	53.5 (488)	p<0.001
21.	Yes	11.2 (634)	17.3 (158)	
22.	Missing	19.6 (1,110)	29.2 (266)	
23.	<b>Paternal characteristics</b>			
24.	Age (years)	33.5 (5.7)	30.7 (7.1)	p<0.001
25.	Education (%)			
26.	Primary, or secondary	29.0 (1,644)	21.5 (196)	p<0.001
27.	Higher	35.7 (2,021)	9.8 (90)	
28.	Missing	35.3 (2,000)	68.6 (626)	
29.	Ethnicity (%)			
	European	59.0 (3,342)	16.9 (154)	p<0.001
30.	Non - European	29.3 (1,661)	46.7 (426)	
31.	Missing	11.7 (662)	36.4 (332)	
32.	Smoking (%)			
	No	46.2 (2,617)	32.1 (293)	p<0.001
33.	Yes	34.6 (1,960)	38.2 (348)	
34.	Missing	19.2 (1,088)	29.7 (2,714)	
35.	<b>Child characteristics</b>			
36.	Male sex, no (%)	50.5 (2,858)	51.9 (473)	p=0.417
37.	Gestational age at birth (%)			
	<37 weeks	5.1 (291)	6.6 (60)	p=0.035
38.	≥37 weeks	94.4 (5,346)	92.3 (842)	
39.				

**Table E.4.1.1.** Characteristics of children and their parents according to availability of data on asthma-related symptoms (continued)

		Singleton live births (n=6,577)		
		Asthma-related symptoms data available (n=5,665)	No asthma-related symptoms data available (n=912)	
5.	Missing	0.5 (28)	1.1 (10)	
6.	Birth weight (grams)	3,435 (556)	3,319 (525)	p<0.001
7.	Parental history of asthma or atopy (%)			
8.	No	47.8 (2,706)	44.1 (402)	p<0.001
9.	Yes	45.8 (2,597)	31.7 (289)	
10.	Missing	6.4 (362)	24.2 (221)	
11.	Day care attendance 1 <sup>st</sup> year (%)			
12.	No	39.2 (2,218)	1.5 (14)	p=0.027
13.	Yes	42.0 (2,380)	0.5 (5)	
14.	Missing	18.8 (1,067)	97.9 (893)	
15.	Pet keeping (%)			
16.	No	53.3 (3,018)	53.7 (490)	p<0.001
17.	Yes	26.8 (1,519)	16.7 (152)	
18.	Missing	19.9 (1,128)	29.6 (270)	
19.	Ever eczema (%)			
20.	No	75.0 (4,247)	2.1 (19)	p=0.492
21.	Yes	23.6 (1,339)	0.9 (8)	
22.	Missing	1.4 (79)	97.0 (885)	
23.	Ever lower respiratory tract infections (%)			
24.	No	76.6 (4,339)	19.5 (178)	p<0.001
25.	Yes	22.8 (1,291)	2.0 (18)	
26.	Missing	0.6 (35)	78.5 (716)	
27.	Breastfeeding ever (%)			
28.	No	7.3 (416)	8.3 (76)	p=0.018
29.	Yes	87.4 (4,952)	72.8 (664)	
30.	Missing	5.2 (297)	18.9 (172)	
31.	Duration of breastfeeding (%)			
32.	Never	12.6 (713)	27.2 (248)	
33.	0-3 months	27.9 (1,580)	21.1 (192)	p<0.001
34.	3-6 months	16.3 (923)	5.8 (53)	p<0.001
35.	>6 months	24.0 (1,361)	0.4 (4)	p<0.001
36.	Missing	19.2 (1,088)	45.5 (415)	
37.	Exclusive breastfeeding (%)			
38.	Never	7.3 (416)	8.3 (76)	
39.	Non-exclusive 4 months	50.8 (2,880)	32.8 (299)	p<0.001
40.	Exclusive 4 months	18.7 (1,057)	3.7 (34)	p<0.001
41.	Missing	23.2 (1,312)	55.2 (503)	

Values are shown in % (absolute numbers). Differences in parental and child characteristics were evaluated using Student's t- test for continuous variables and chi-squared tests for categorical variables.

Table E4.1.2. Crude associations of breastfeeding duration and exclusivity with asthma-related symptoms until the age of 4 years

	Odds ratio of wheezing (95% Confidence Interval)											
	Age 1 year			Age 2 years			Age 3 years			Age 4 years		
	Ever	1-3 times per year	≥ 4 times per year	Ever	1-3 times per year	≥ 4 times per year	Ever	1-3 times per year	≥ 4 times per year	Ever	1-3 times per year	≥ 4 times per year
<b>Duration breastfeeding</b> <b>n=4,280</b>	n=1,124	n=858	n=266	n=740	n=605	n=135	n=422	n=334	n=88	n=425	n=345	n=80
Never (n=416)	1.66** (1.29, 2.14)	1.53** (1.15, 2.03)	2.12** (1.39, 3.26)	1.47* (1.10, 1.97)	1.47* (1.07, 2.02)	1.48 (0.80, 2.72)	1.25 (0.87, 1.79)	1.19 (0.79, 1.78)	1.51 (0.72, 3.20)	1.39 (0.98, 1.98)	1.34 (0.90, 2.00)	1.58 (0.79, 3.13)
0-3 months (n=1,580)	1.33** (1.12, 1.57)	1.29** (1.07, 1.56)	1.44* (1.06, 1.97)	1.37** (1.13, 1.67)	1.40** (1.13, 1.73)	1.27 (0.83, 1.94)	1.22 (0.95, 1.56)	1.15 (0.87, 1.51)	1.54 (0.91, 2.59)	1.10 (0.86, 1.41)	1.17 (0.89, 1.54)	0.85 (0.50, 1.45)
3-6 months (n=923)	1.23* (1.01, 1.49)	1.23 (0.99, 1.51)	1.23 (0.85, 1.76)	1.19 (0.95, 1.50)	1.20 (0.94, 1.54)	1.15 (0.71, 1.88)	0.99 (0.74, 1.33)	0.98 (0.71, 1.34)	1.07 (0.57, 2.01)	0.95 (0.71, 1.27)	1.03 (0.75, 1.41)	0.67 (0.34, 1.29)
> 6 months (n=1,361)	Reference	Reference	Reference	Reference	Reference	Reference	Reference	Reference	Reference	Reference	Reference	Reference
<b>P for trend</b>	p<0.001	p=0.001	p=0.001	p=0.001	p=0.001	p=0.158	p=0.076	p=0.237	p=0.089	p=0.091	p=0.104	p=0.548
<b>Exclusive breastfeeding</b> <b>n=4,353</b>	n=1,124	n=857	n=267	n=762	n=627	n=135	n=432	n=340	n=92	n=444	n=365	n=79
Never (n=416)	1.68** (1.29, 2.19)	1.51** (1.12, 2.02)	2.38** (1.51, 3.77)	1.58** (1.17, 2.15)	1.52* (1.09, 2.12)	1.93 (1.00, 3.73)	1.32 (0.91, 1.93)	1.24 (0.82, 1.89)	1.71 (0.78, 3.78)	1.57* (1.08, 2.27)	1.42 (0.94, 2.14)	2.33* (1.09, 4.99)
Non-exclusive until 4 months (n=2,880)	1.29** (1.09, 1.53)	1.23* (1.02, 1.47)	1.55** (1.12, 2.16)	1.43** (1.17, 1.74)	1.39** (1.12, 1.72)	1.67* (1.06, 2.62)	1.22 (0.96, 1.55)	1.14 (0.87, 1.49)	1.60 (0.93, 2.75)	1.28* (1.00, 1.63)	1.27 (0.98, 1.65)	1.33 (0.75, 2.34)
Exclusive until 4 months (n=1,057)	Reference	Reference	Reference	Reference	Reference	Reference	Reference	Reference	Reference	Reference	Reference	Reference
<b>P for trend</b>	p<0.001	p=0.004	p<0.001	p<0.001	p=0.002	p=0.021	p=0.084	p=0.250	p=0.100	p=0.010	p=0.050	p=0.043

Table E4.1.2. Crude associations of breastfeeding duration and exclusivity with asthma-related symptoms until the age of 4 years (continued)

	Odds ratio of shortness of breath (95% Confidence Interval)											
	Age 1 year			Age 2 years			Age 3 years			Age 4 years		
	Ever	1-3 times per year	≥ 4 times per year	Ever	1-3 times per year	≥ 4 times per year	Ever	1-3 times per year	≥ 4 times per year	Ever	1-3 times per year	≥ 4 times per year
<b>Duration breastfeeding n=4,280</b>	n=876	n=679	n=197	n=681	n=524	n=157	n=397	n=311	n=86	n=368	n=286	n=82
Never (n=416)	1.46** (1.10, 1.90) n=90	1.21 (0.89, 1.66) n=62	2.50** (1.54, 4.06) n=28	1.21 (0.90, 1.64) n=70	1.01 (0.71, 1.44) n=46	1.92* (1.15, 3.20) n=24	1.14 (0.80, 1.64) n=45	1.06 (0.71, 1.58) n=34	1.51 (0.74, 3.10) n=11	1.38 (0.96, 1.99) n=46	1.22 (0.80, 1.86) n=32	1.96* (1.01, 3.79) n=14
0-3 months (n=1,580)	1.25* (1.04, 1.50) n=320	1.15 (0.94, 1.40) n=241	1.72** (1.19, 2.48) n=79	1.16 (0.95, 1.42) n=262	1.15 (0.92, 1.43) n=203	1.21 (0.82, 1.80) n=59	0.96 (0.75, 1.23) n=140	0.88 (0.67, 1.16) n=105	1.30 (0.78, 2.17) n=35	1.00 (0.77, 1.29) n=133	0.96 (0.72, 1.29) n=101	1.12 (0.67, 1.88) n=32
3-6 months (n=923)	1.23 (1.00, 1.52) n=199	1.18 (0.94, 1.49) n=157	1.45 (0.94, 2.21) n=42	0.91 (0.72, 1.15) n=136	0.92 (0.71, 1.20) n=108	0.87 (0.54, 1.40) n=28	0.73* (0.54, 0.99) n=71	0.72 (0.52, 1.00) n=57	0.78 (0.41, 1.51) n=14	0.73 (0.53, 1.00) n=63	0.80 (0.57, 1.13) n=54	0.49 (0.23, 1.04) n=9
> 6 months (n=1,361)	Reference n=267	Reference n=219	Reference n=48	Reference n=213	Reference n=167	Reference n=46	Reference n=141	Reference n=115	Reference n=26	Reference n=126	Reference n=99	Reference n=27
<i>P for trend</i>	<i>p</i> =0.003	<i>p</i> =0.141	<i>p</i> <0.001	<i>p</i> =0.064	<i>p</i> =0.337	<i>p</i> =0.021	<i>p</i> =0.631	<i>p</i> =0.844	<i>p</i> =0.142	<i>p</i> =0.188	<i>p</i> =0.573	<i>p</i> =0.067
<b>Exclusive breastfeeding n=4,353</b>	n=866	n=674	n=192	n=692	n=540	n=152	n=401	n=311	n=90	n=378	n=289	n=89
Never (n=416)	1.40* (1.05, 1.86) n=90	1.11 (0.80, 1.53) n=62	3.35** (1.93, 5.81) n=28	1.35 (0.98, 1.84) n=70	1.07 (0.75, 1.54) n=46	2.65** (1.50, 4.67) n=24	1.30 (0.89, 1.89) n=45	1.18 (0.77, 1.80) n=34	1.88 (0.87, 4.07) n=11	1.65* (1.13, 2.42) n=46	1.52 (0.97, 2.37) n=32	2.06* (1.03, 4.10) n=14
Non-exclusive until 4 months (n=2,880)	1.15 (0.96, 1.38) n=576	1.01 (0.83, 1.23) n=438	2.13** (1.39, 3.26) n=138	1.22 (1.00, 1.49) n=467	1.16 (0.93, 1.44) n=366	1.51 (0.98, 2.33) n=101	1.04 (0.82, 1.33) n=255	0.95 (0.73, 1.24) n=193	1.51 (0.88, 2.59) n=62	1.18 (0.91, 1.53) n=246	1.22 (0.91, 1.63) n=192	1.06 (0.64, 1.77) n=54
Until 4 months (n=1,057)	Reference n=200	Reference n=174	Reference n=26	Reference n=155	Reference n=128	Reference n=27	Reference n=101	Reference n=84	Reference n=17	Reference n=86	Reference n=65	Reference n=21
<i>P for trend</i>	<i>p</i> =0.020	<i>p</i> =0.632	<i>p</i> <0.001	<i>p</i> =0.029	<i>p</i> =0.368	<i>p</i> =0.001	<i>p</i> =0.254	<i>p</i> =0.691	<i>p</i> =0.078	<i>p</i> =0.016	<i>p</i> =0.058	<i>p</i> =0.097

Table E4.1.2. Crude associations of breastfeeding duration and exclusivity with asthma-related symptoms until the age of 4 years (continued)

Odds ratio of dry cough (95% Confidence Interval)			
	Age 1 year	Age 2 years	Age 3 years
	Ever	Ever	Ever
<b>Duration breastfeeding n=4,280</b>	n=846	n=891	n=806
Never (n=416)	1.22 (0.92, 1.62)	1.14 (0.86, 1.52)	1.32 (0.98, 1.76)
0-3 months (n=1,580)	1.14 (0.95, 1.37)	1.23 (1.03, 1.48)*	1.52 (1.25, 1.85)**
3-6 months (n=923)	1.07 (0.86, 1.32)	1.23 (1.00, 1.51)	1.38 (1.11, 1.72)**
> 6 months (n=1,361)	Reference	Reference	Reference
<i>P</i> for trend	<i>p</i> =0.091	<i>p</i> =0.073	<i>p</i> <0.001
<b>Exclusive breastfeeding n=4,353</b>	n=842	n=910	n=846
Never (n=416)	1.31 (0.97, 1.75)	1.13 (0.85, 1.52)	1.20 (0.89, 1.62)
Non-exclusive until 4 months (n=2,880)	1.21 (1.01, 1.46)*	1.20 (1.01, 1.44)*	1.33 (1.10, 1.60)**
Exclusive until 4 months (n=1,057)	Reference	Reference	Reference
<i>P</i> for trend	<i>p</i> =0.030	<i>p</i> =0.135	<i>p</i> =0.032

Table E4.1.2. Crude associations of breastfeeding duration and exclusivity with asthma-related symptoms until the age of 4 years (continued)

	Odds ratio of persistent phlegm (95% Confidence Interval)			
	Age 1 year	Age 2 years	Age 3 years	Age 4 years
	Ever	Ever	Ever	Ever
<b>Duration breastfeeding</b> <b>n=4,280</b>				
Never (n=416)	n=507 1.42 (1.00, 2.01)	n=357 1.44 (0.97, 2.12)	n=213 1.83 (1.14, 2.94)*	n=237 2.54 (1.63, 3.94)**
0-3 months (n=1,580)	n=49 1.58 (1.26, 1.99)**	n=39 1.40 (1.07, 1.82)*	n=28 1.56 (1.10, 2.20)*	n=36 1.83 (1.31, 2.57)**
3-6 months (n=923)	n=213 1.19 (0.91, 1.56)	n=147 1.01 (0.73, 1.39)	n=88 1.09 (0.72, 1.66)	n=103 1.19 (0.79, 1.79)
> 6 months (n=1,361)	n=104 Reference n=141	n=70 Reference n=101	n=41 Reference n=56	n=43 Reference n=55
<i>P</i> for trend	<i>p</i> <0.001	<i>p</i> =0.006	<i>p</i> =0.002	<i>p</i> <0.001
<b>Exclusive breastfeeding</b> <b>n=4,353</b>				
Never (n=416)	n=509 1.45 (1.01, 2.09)*	n=365 1.52 (1.01, 2.28)*	n=229 1.97 (1.20, 3.24)**	n=251 2.88 (1.80, 4.62)**
Non-exclusive until 4 months (n=2,880)	n=49 1.44 (1.14, 1.83)**	n=39 1.32 (1.01, 1.72)*	n=28 1.59 (1.12, 2.26)**	n=36 1.89 (1.33, 2.70)**
Exclusive until 4 months (n=1,057)	n=359 Reference n=101	n=250 Reference n=76	n=159 Reference n=42	n=176 Reference n=39
<i>P</i> for trend	<i>p</i> =0.006	<i>p</i> =0.022	<i>p</i> =0.003	<i>p</i> <0.001

Values are odds ratios with 95% Confidence Intervals from multiple logistic regression models.

\**p* < 0.05 and \*\**p* < 0.01.



**Table E4.1.3.** Non-imputed adjusted associations of breastfeeding duration and exclusivity with asthma-related symptoms until the age of 4 years

	Odds ratio of wheezing (95% Confidence Interval)											
	Age 1 year		Age 2 years		Age 3 years		Age 4 years					
	Ever	1-3 times per year	≥ 4 times per year	Ever	1-3 times per year	≥ 4 times per year	Ever	1-3 times per year	≥ 4 times per year	Ever		
<b>Duration breastfeeding n=4,280</b>												
Never (n=416)	n=1,124 1.55** (1.18, 2.03) n=123	n=858 1.46* (1.08, 1.98) n=88	n=266 1.78* (1.12, 3.84) n=35	n=740 1.42* (1.04, 1.95) n=79	n=605 1.41 (1.00, 1.98) n=64	n=135 1.47 (0.75, 2.89) n=15	n=422 1.17 (0.79, 1.73) n=45	n=334 1.16 (0.75, 1.77) n=35	n=88 1.14 (0.47, 2.77) n=10	n=425 1.54* (1.05, 2.24) n=49	n=345 1.50 (0.99, 2.28) n=37	n=80 1.44 (0.68, 3.06) n=12
0-3 months (n=1,580)	n=417 1.30** (1.08, 1.56) n=417	n=316 1.29* (1.06, 1.57) n=316	n=101 1.34 (0.96, 1.88) n=101	n=291 1.32* (1.07, 1.64) n=291	n=240 1.34* (1.06, 1.69) n=240	n=51 1.26 (0.79, 2.01) n=51	n=160 1.10 (0.84, 1.44) n=160	n=123 1.03 (0.77, 1.39) n=123	n=37 1.41 (0.79, 2.51) n=37	n=156 0.97 (0.74, 1.28) n=156	n=130 1.06 (0.79, 1.43) n=130	n=26 0.62 (0.34, 1.14) n=26
3-6 months (n=923)	n=247 1.18 (0.97, 1.45) n=247	n=192 1.20 (0.96, 1.50) n=192	n=55 1.13 (0.77, 1.65) n=55	n=164 1.20 (0.95, 1.52) n=164	n=134 1.22 (0.94, 1.58) n=134	n=30 1.11 (0.66, 1.88) n=30	n=86 0.98 (0.73, 1.33) n=86	n=69 0.97 (0.69, 1.35) n=69	n=17 1.03 (0.52, 2.01) n=17	n=86 0.94 (0.70, 1.27) n=86	n=73 1.01 (0.72, 1.40) n=73	n=13 0.68 (0.35, 1.34) n=13
> 6 months (n=1,361)	Reference n=337	Reference n=262	Reference n=75	Reference n=206	Reference n=167	Reference n=39	Reference n=131	Reference n=107	Reference n=24	Reference n=134	Reference n=105	Reference n=29
<i>P for trend</i>	<i>p</i> <0.001	<i>p</i> =0.003	<i>p</i> =0.011	<i>p</i> =0.005	<i>p</i> =0.009	<i>p</i> =0.199	<i>p</i> =0.351	<i>p</i> =0.560	<i>p</i> =0.350	<i>p</i> =0.190	<i>p</i> =0.160	<i>p</i> =0.826
<b>Exclusive breastfeeding n=4,353</b>												
Never (n=416)	n=1,124 1.56** (1.18, 2.06) n=123	n=857 1.43* (1.05, 1.95) n=88	n=267 2.00** (1.22, 3.30) n=35	n=762 1.55** (1.12, 2.14) n=79	n=627 1.47* (1.04, 2.09) n=64	n=135 1.99 (0.97, 4.08) n=15	n=432 1.25 (0.84, 1.88) n=45	n=340 1.23 (0.79, 1.91) n=35	n=92 1.29 (0.51, 3.26) n=10	n=444 1.75** (1.18, 2.58) n=49	n=365 1.59* (1.03, 2.44) n=37	n=79 2.22 (0.97, 5.09) n=12
Non-exclusive until 4 months (n=2,880)	n=757 1.27** (1.06, 1.51) n=757	n=574 1.22* (1.01, 1.48) n=574	n=183 1.48* (1.05, 2.09) n=183	n=529 1.42** (1.15, 1.75) n=529	n=433 1.37** (1.09, 1.71) n=433	n=96 1.73* (1.06, 2.82) n=96	n=288 1.16 (0.90, 1.51) n=288	n=223 1.08 (0.81, 1.43) n=223	n=65 1.58 (0.88, 2.83) n=65	n=298 0.92 (0.92, 1.54) n=298	n=247 1.17 (0.89, 1.55) n=247	n=51 1.20 (0.64, 2.22) n=51
Exclusive until 4 months (n=1,057)	Reference n=244	Reference n=195	Reference n=49	Reference n=154	Reference n=130	Reference n=24	Reference n=99	Reference n=82	Reference n=17	Reference n=97	Reference n=81	Reference n=16
<i>P for trend</i>	<i>p</i> =0.001	<i>p</i> =0.012	<i>p</i> =0.004	<i>p</i> =0.001	<i>p</i> =0.007	<i>p</i> =0.025	<i>p</i> =0.203	<i>p</i> =0.372	<i>p</i> =0.305	<i>p</i> =0.009	<i>p</i> =0.046	<i>p</i> =0.093

Table E4.1.3. Non-imputed adjusted associations of breastfeeding duration and exclusivity with asthma-related symptoms until the age of 4 years (continued)

	Odds ratio of shortness of breath (95% Confidence Interval)											
	Age 1 year			Age 2 years			Age 3 years			Age 4 years		
	Ever	1-3 times per year	≥ 4 times per year	Ever	1-3 times per year	≥ 4 times per year	Ever	1-3 times per year	≥ 4 times per year	Ever	1-3 times per year	≥ 4 times per year
<b>Duration breastfeeding n=4,280</b>												
Never (n=416)	n=876 1.27 (0.94, 1.71) n=90	n=679 1.07 (0.76, 1.51) n=62	n=197 2.11** (1.23, 3.60) n=28	n=681 1.17 (0.85, 1.62) n=70	n=524 1.00 (0.69, 1.46) n=46	n=157 1.73 (0.98, 3.07) n=24	n=397 1.09 (0.74, 1.61) n=45	n=311 1.01 (0.65, 1.55) n=34	n=86 1.38 (0.61, 3.12) n=11	n=368 1.43 (0.97, 2.10) n=46	n=286 1.25 (0.81, 1.95) n=32	n=82 2.01 (0.99, 4.09) n=14
0-3 months (n=1,580)	n=320 1.31** (1.07, 1.59) n=320	n=241 1.21 (0.98, 1.51) n=241	n=79 1.73** (1.16, 2.59) n=79	n=262 1.20 (0.97, 1.49) n=262	n=203 1.18 (0.93, 1.50) n=203	n=59 1.29 (0.84, 1.99) n=59	n=140 0.89 (0.68, 1.17) n=140	n=105 0.82 (0.61, 1.11) n=105	n=35 1.21 (0.67, 2.17) n=35	n=133 0.94 (0.71, 1.24) n=133	n=101 0.91 (0.66, 1.24) n=101	n=32 1.03 (0.58, 1.83) n=32
3-6 months (n=923)	n=199 1.23 (0.98, 1.53) n=199	n=157 1.19 (0.94, 1.51) n=157	n=42 1.36 (0.86, 2.14) n=42	n=136 0.90 (0.70, 1.16) n=136	n=108 0.90 (0.68, 1.18) n=108	n=28 0.91 (0.55, 1.52) n=28	n=71 0.73 (0.53, 1.00) n=71	n=57 0.69* (0.49, 0.98) n=57	n=14 0.89 (0.45, 1.77) n=14	n=63 0.67* (0.48, 0.4) n=63	n=54 0.71 (0.49, 1.02) n=54	n=9 0.52 (0.24, 1.13) n=9
> 6 months (n=1,361)	Reference n=267	Reference n=219	Reference n=48	Reference n=213	Reference n=167	Reference n=46	Reference n=141	Reference n=115	Reference n=26	Reference n=126	Reference n=99	Reference n=27
<i>P for trend</i>	<i>p</i> =0.013	<i>p</i> =0.199	<i>p</i> =0.001	<i>p</i> =0.076	<i>p</i> =0.314	<i>p</i> =0.044	<i>p</i> =0.963	<i>p</i> =0.554	<i>p</i> =0.343	<i>p</i> =0.246	<i>p</i> =0.651	<i>p</i> =0.113
<b>Exclusive breastfeeding n=4,353</b>												
Never (n=416)	n=866 1.26 (0.92, 1.72) n=90	n=674 1.01 (0.71, 1.43) n=62	n=192 2.97** (1.62, 5.43) n=28	n=692 1.33 (0.95, 1.87) n=70	n=540 1.10 (0.75, 1.61) n=46	n=152 2.34** (1.26, 4.35) n=24	n=401 1.26 (0.84, 1.88) n=45	n=311 1.15 (0.74, 1.79) n=34	n=90 1.70 (0.71, 4.05) n=11	n=378 1.80** (1.20, 2.70) n=46	n=289 1.67* (1.04, 2.66) n=32	n=89 2.12* (1.01, 4.45) n=14
Non-exclusive until 4 months (n=2,880)	n=576 1.24* (1.02, 1.50) n=576	n=438 1.08 (0.88, 1.33) n=438	n=138 2.31** (1.46, 3.66) n=138	n=467 1.27* (1.03, 1.58) n=467	n=366 1.22 (0.97, 1.53) n=366	n=101 1.58 (0.99, 2.51) n=101	n=255 1.02 (0.79, 1.32) n=255	n=193 0.92 (0.69, 1.22) n=193	n=62 1.60 (0.88, 2.92) n=62	n=246 1.20 (0.91, 1.58) n=246	n=192 1.26 (0.92, 1.72) n=192	n=54 1.05 (0.60, 1.83) n=54
Exclusive until 4 months (n=1,057)	Reference n=200	Reference n=174	Reference n=26	Reference n=155	Reference n=128	Reference n=27	Reference n=101	Reference n=84	Reference n=17	Reference n=86	Reference n=65	Reference n=21
<i>P for trend</i>	<i>p</i> =0.047	<i>p</i> =0.707	<i>p</i> <0.001	<i>p</i> =0.030	<i>p</i> =0.279	<i>p</i> =0.006	<i>p</i> =0.376	<i>p</i> =0.849	<i>p</i> =0.146	<i>p</i> =0.008	<i>p</i> =0.031	<i>p</i> =0.103

**Table E4.1.3.** Non-imputed adjusted associations of breastfeeding duration and exclusivity with asthma-related symptoms until the age of 4 years (continued)

	Odds ratio of dry cough (95% Confidence Interval)			
	Age 1 year	Age 2 years	Age 3 years	Age 4 years
	Ever n=846	Ever n=891	Ever n=806	Ever n=909
<b>Duration breastfeeding n=4,280</b>				
Never (n=416)	1.28 (0.95, 1.72) n=82	1.21 (0.90, 1.63) n=82	1.33 (0.96, 1.82) n=79	1.13 (0.84, 1.53) n=85
0-3 months (n=1,580)	1.17 (0.96, 1.43) n=307	1.19 (0.97, 1.45) n=337	1.42 (1.15, 1.76)** n=318	1.14 (0.93, 1.38) n=350
3-6 months (n=923)	1.16 (0.93, 1.44) n=183	1.26 (1.02, 1.56)* n=210	1.43 (1.14, 1.80)** n=189	0.93 (0.75, 1.16) n=178
> 6 months (n=1,361)	Reference n=274	Reference n=262	Reference n=220	Reference n=296
<i>P for trend</i>	<i>p</i> =0.060	<i>p</i> =0.105	<i>p</i> =0.005	<i>p</i> =0.159
<b>Exclusive breastfeeding n=4,353</b>				
Never (n=416)	1.34 (0.98, 1.84) n=82	1.18 (0.87, 1.60) n=82	1.20 (0.87, 1.64) n=79	1.22 (0.90, 1.65) n=85
Non-exclusive until 4 months (n=2,880)	1.27 (1.04, 1.54)* n=570	1.17 (0.97, 1.41) n=619	1.27 (1.05, 1.54)* n=578	1.18 (0.98, 1.42) n=629
Exclusive until 4 months (n=1,057)	Reference n=190	Reference n=209	Reference n=189	Reference n=223
<i>P for trend</i>	<i>p</i> =0.018	<i>p</i> =0.135	<i>p</i> =0.065	<i>p</i> =0.091

**Table E4.1.3.** Non-imputed adjusted associations of breastfeeding duration and exclusivity with asthma-related symptoms until the age of 4 years (continued)

	Odds ratio of persistent phlegm (95% Confidence Interval)			
	Age 1 year	Age 2 years	Age 3 years	Age 4 years
	Ever	Ever	Ever	Ever
<b>Duration breastfeeding n=4,280</b>				
Never (n=416)	n=507	n=357	n=213	n=237
	1.61 (1.10, 2.35)*	1.36 (0.89, 2.06)	1.38 (0.82, 2.33)	2.78 (1.75, 4.43)**
0-3 months (n=1,580)	n=49	n=39	n=28	n=36
	1.58 (1.23, 2.03)**	1.16 (0.86, 1.55)	1.17 (0.80, 1.71)	1.55 (1.07, 2.26)*
3-6 months (n=923)	n=213	n=147	n=88	n=103
	1.29 (0.96, 1.72)	1.04 (0.74, 1.45)	0.96 (0.61, 1.49)	1.20 (0.78, 1.85)
> 6 months (n=1,361)	n=104	n=70	n=41	n=43
	Reference	Reference	Reference	Reference
<i>P for trend</i>	n=141	n=101	n=56	n=55
	<i>p</i> <0.001	<i>p</i> =0.140	<i>p</i> =0.185	<i>p</i> <0.001
<b>Exclusive breastfeeding n=4,353</b>				
Never (n=416)	n=509	n=365	n=229	n=251
	1.49 (1.01, 2.21)*	1.36 (0.88, 2.10)	1.56 (0.90, 2.70)	3.01 (1.83, 4.95)**
Non-exclusive until 4 months (n=2,880)	n=49	n=39	n=28	n=36
	1.35 (1.05, 1.74)*	1.14 (0.86, 1.52)	1.34 (0.92, 1.96)	1.68 (1.15, 2.46)**
	n=359	n=250	n=159	n=176
Exclusive until 4 months (n=1,057)	n=101	n=76	n=42	n=39
	Reference	Reference	Reference	Reference
<i>P for trend</i>	<i>p</i> =0.016	<i>p</i> =0.162	<i>p</i> =0.081	<i>p</i> <0.001

Values are odds ratios with 95% Confidence Intervals from multiple logistic regression models.

\**p* < 0.05 and \*\**p* < 0.01. Models are adjusted for parental age, education, ethnicity, smoking habits, maternal parity, children's sex, gestational age, birth weight, parental history of asthma or atopy, daycare attendance and pet keeping missing values were treated as a separate category.

1. 2. 3. 4. 5. 6. 7. 8. 9. 10. 11. 12. 13. 14. 15. 16. 17. 18. 19. 20. 21. 22. 23. 24. 25. 26. 27. 28. 29. 30. 31. 32. 33. 34. 35. 36. 37. 38. 39.

| Odds ratio of wheezing (95% Confidence Interval) |                                 |                                |                                |                                      |                                |                               |                               |                               |                              |                               |                               |                              |
|--|---------------------------------|--------------------------------|--------------------------------|--------------------------------------|--------------------------------|-------------------------------|-------------------------------|-------------------------------|------------------------------|-------------------------------|-------------------------------|------------------------------|
|  | Age 1 year                      |                                |                                | Age 2 years                          |                                |                               | Age 3 years                   |                               |                              | Age 4 years                   |                               |                              |
|  | Ever                            | 1-3 times per year             | ≥ 4 times per year             | Ever                                 | 1-3 times per year             | ≥ 4 times per year            | Ever                          | 1-3 times per year            | ≥ 4 times per year           | Ever                          | 1-3 times per year            | ≥ 4 times per year           |
| <b>Duration breastfeeding n=4,280</b>            | n=1,124                         | n=858                          | n=266                          | n=740                                | n=605                          | n=135                         | n=422                         | n=334                         | n=88                         | n=425                         | n=345                         | n=80                         |
| Never (n=416)                                    | 1.68**<br>(1.28, 2.19)<br>n=123 | 1.59**<br>(1.18, 2.13)<br>n=88 | 1.93**<br>(1.23, 3.03)<br>n=35 | 1.37*<br>(1.01, 1.85)<br>n=79        | 1.36<br>(0.97, 1.89)<br>n=64   | 1.45<br>(0.77, 2.73)<br>n=15  | 1.12<br>(0.76, 1.62)<br>n=45  | 1.05<br>(0.69, 1.59)<br>n=35  | 1.39<br>(0.64, 3.03)<br>n=10 | 1.28<br>(0.88, 1.84)<br>n=49  | 1.25<br>(0.82, 1.88)<br>n=37  | 1.32<br>(0.64, 2.71)<br>n=12 |
| 0-3 months (n=1,580)                             | 1.28**<br>(1.07, 1.53)<br>n=417 | 1.27*<br>(1.04, 1.54)<br>n=316 | 1.38<br>(0.99, 1.91)<br>n=101  | 1.28*<br>(1.04, 1.58)<br>n=291<br>30 | 1.30*<br>(1.04, 1.62)<br>n=240 | 1.23<br>(0.79, 1.91)<br>n=51  | 1.12<br>(0.86, 1.45)<br>n=160 | 1.04<br>(0.78, 1.38)<br>n=123 | 1.51<br>(0.87, 2.58)<br>n=37 | 1.02<br>(0.78, 1.31)<br>n=156 | 1.10<br>(0.82, 1.46)<br>n=130 | 0.75<br>(0.43, 1.31)<br>n=26 |
| 3-6 months (n=923)                               | 1.19<br>(0.97, 1.44)<br>n=247   | 1.20<br>(0.96, 1.49)<br>n=192  | 1.15<br>(0.80, 1.67)<br>n=55   | 1.17<br>(0.93, 1.47)<br>n=164        | 1.17<br>(0.91, 1.50)<br>n=134  | 1.15<br>(0.70, 1.88)<br>n=30  | 1.00<br>(0.75, 1.33)<br>n=86  | 0.98<br>(0.71, 1.34)<br>n=69  | 1.10<br>(0.58, 2.06)<br>n=17 | 0.98<br>(0.73, 1.31)<br>n=86  | 1.07<br>(0.78, 1.47)<br>n=73  | 0.66<br>(0.34, 1.29)<br>n=13 |
| > 6 months (n=1,361)                             | Reference<br>n=337              | Reference<br>n=262             | Reference<br>n=75              | Reference<br>n=206                   | Reference<br>n=167             | Reference<br>n=39             | Reference<br>n=131            | Reference<br>n=107            | Reference<br>n=24            | Reference<br>n=134            | Reference<br>n=105            | Reference<br>n=29            |
| <i>P for trend</i>                               | <i>p</i> <0.001                 | <i>p</i> =0.001                | <i>p</i> =0.004                | <i>p</i> =0.010                      | <i>p</i> =0.016                | <i>p</i> =0.222               | <i>p</i> =0.371               | <i>p</i> =0.758               | <i>p</i> =0.159              | <i>p</i> =0.376               | <i>p</i> =0.321               | <i>p</i> =0.978              |
| <b>Exclusive breastfeeding n=4,353</b>           | n=1,124                         | n=857                          | n=267                          | n=762                                | n=627                          | n=135                         | n=432                         | n=340                         | n=92                         | n=444                         | n=365                         | n=79                         |
| Never (n=416)                                    | 1.68**<br>(1.27, 2.22)<br>n=123 | 1.54**<br>(1.13, 2.10)<br>n=88 | 2.22**<br>(1.36, 3.60)<br>n=35 | 1.43*<br>(1.04, 1.95)<br>n=79        | 1.35<br>(0.96, 1.90)<br>n=64   | 1.91<br>(0.96, 3.79)<br>n=15  | 1.18<br>(0.79, 1.74)<br>n=45  | 1.10<br>(0.71, 1.70)<br>n=35  | 1.53<br>(0.67, 3.49)<br>n=10 | 1.40<br>(0.95, 2.05)<br>n=49  | 1.27<br>(0.83, 1.95)<br>n=37  | 1.96<br>(0.88, 4.33)<br>n=12 |
| Non-exclusive until 4 months (n=2,880)           | 1.22*<br>(1.02, 1.45)<br>n=757  | 1.17<br>(0.96, 1.41)<br>n=574  | 1.50*<br>(1.07, 2.10)<br>n=183 | 1.32**<br>(1.08, 1.62)<br>n=529      | 1.27*<br>(1.02, 1.58)<br>n=433 | 1.61*<br>(1.01, 2.56)<br>n=96 | 1.12<br>(0.87, 1.44)<br>n=288 | 1.04<br>(0.79, 1.36)<br>n=223 | 1.55<br>(0.89, 2.70)<br>n=65 | 1.18<br>(0.92, 1.52)<br>n=298 | 1.17<br>(0.89, 1.54)<br>n=247 | 1.21<br>(0.67, 2.16)<br>n=51 |
| Exclusive until 4 months (n=1,057)               | Reference<br>n=244              | Reference<br>n=195             | Reference<br>n=49              | Reference<br>n=154                   | Reference<br>n=130             | Reference<br>n=24             | Reference<br>n=99             | Reference<br>n=82             | Reference<br>n=17            | Reference<br>n=97             | Reference<br>n=81             | Reference<br>n=16            |
| <i>P for trend</i>                               | <i>p</i> <0.001                 | <i>p</i> =0.008                | <i>p</i> =0.001                | <i>p</i> =0.007                      | <i>p</i> =0.033                | <i>p</i> =0.033               | <i>p</i> =0.334               | <i>p</i> =0.667               | <i>p</i> =0.186              | <i>p</i> =0.075               | <i>p</i> =0.201               | <i>p</i> =0.127              |

Table E4.1.4. Imputed and adjusted associations of breastfeeding duration and exclusivity with frequencies of wheezing and shortness of breath until the age of 4 years (continued)

|  | Odds ratio of shortness of breath (95% Confidence Interval) |                               |                                 |                               |                               |                                |                               |                               |                              |                               |                               |                              |
|--|---|-------------------------------|---------------------------------|-------------------------------|-------------------------------|--------------------------------|-------------------------------|-------------------------------|------------------------------|-------------------------------|-------------------------------|------------------------------|
|  | Age 1 year  |                               | Age 2 years                     |                               | Age 3 years                   |                                | Age 4 years                   |                               |                              |                               |                               |                              |
|  | Ever  | 1-3 times per year            | ≥ 4 times per year              | Ever                          | 1-3 times per year            | ≥ 4 times per year             | Ever                          | 1-3 times per year            | ≥ 4 times per year           | ≥ 4 times per year            |                               |                              |
| <b>Duration breastfeeding</b><br><b>n=4,280</b>  | n=876   | n=679                         | n=197                           | n=681                         | n=524                         | n=157                          | n=397                         | n=311                         | n=86                         | n=286                         | n=82                          |                              |
| Never (n=416)                                    | 1.44*<br>(1.08, 1.92)<br>n=90                               | 1.27<br>(0.92, 1.76)<br>n=62  | 2.11**<br>(1.26, 3.50)<br>n=28  | 1.13<br>(0.82, 1.54)<br>n=70  | 0.97<br>(0.67, 1.40)<br>n=46  | 1.69<br>(0.99, 2.87)<br>n=24   | 1.00<br>(0.69, 1.45)<br>n=45  | 0.95<br>(0.62, 1.43)<br>n=34  | 1.26<br>(0.59, 2.66)<br>n=11 | 1.15<br>(0.78, 1.68)<br>n=46  | 1.07<br>(0.69, 1.65)<br>n=32  | 1.44<br>(0.72, 2.90)<br>n=14 |
| 0-3 months (n=1,580)                             | 1.31**<br>(1.08, 1.58)<br>n=320                             | 1.23<br>(1.00, 1.52)<br>n=241 | 1.67*<br>(1.13, 2.45)<br>n=79   | 1.15<br>(0.93, 1.41)<br>n=262 | 1.16<br>(0.92, 1.47)<br>n=203 | 1.10<br>(0.73, 1.66)<br>n=59   | 0.90<br>(0.70, 1.17)<br>n=140 | 0.84<br>(0.63, 1.12)<br>n=105 | 1.20<br>(0.70, 2.05)<br>n=35 | 0.90<br>(0.69, 1.18)<br>n=133 | 0.90<br>(0.66, 1.21)<br>n=101 | 0.93<br>(0.54, 1.59)<br>n=32 |
| 3-6 months (n=923)                               | 1.23<br>(0.99, 1.52)<br>n=199                               | 1.19<br>(0.94, 1.50)<br>n=157 | 1.37<br>(0.89, 2.11)<br>n=42    | 0.89<br>(0.70, 1.12)<br>n=136 | 0.90<br>(0.69, 1.18)<br>n=108 | 0.84<br>(0.52, 1.36)<br>n=28   | 0.75<br>(0.55, 1.01)<br>n=71  | 0.73<br>(0.52, 1.02)<br>n=57  | 0.83<br>(0.43, 1.61)<br>n=14 | 0.72*<br>(0.52, 0.99)<br>n=63 | 0.79<br>(0.56, 1.12)<br>n=54  | 0.49<br>(0.23, 1.05)<br>n=9  |
| > 6 months (n=1,361)                             | Reference<br>n=267  | Reference<br>n=219            | Reference<br>n=48               | Reference<br>n=213            | Reference<br>n=167            | Reference<br>n=46              | Reference<br>n=141            | Reference<br>n=115            | Reference<br>n=26            | Reference<br>n=126            | Reference<br>n=99             | Reference<br>n=27            |
| <i>P</i> for trend                               | <i>p</i> =0.002   | <i>p</i> =0.045               | <i>p</i> =0.001                 | <i>p</i> =0.169               | <i>p</i> =0.415               | <i>p</i> =0.104                | <i>p</i> =0.772               | <i>p</i> =0.452               | <i>p</i> =0.387              | <i>p</i> =0.837               | <i>p</i> =0.905               | <i>p</i> =0.428              |
| <b>Exclusive breastfeeding</b><br><b>n=4,353</b> | n=866   | n=674                         | n=192                           | n=692                         | n=540                         | n=152                          | n=401                         | n=311                         | n=90                         | n=289                         | n=89                          |                              |
| Never (n=416)                                    | 1.38*<br>(1.02, 1.86)<br>n=90                               | 1.15<br>(0.82, 1.61)<br>n=62  | 2.82**<br>(1.58, 5.00)<br>n=28  | 1.23<br>(0.89, 1.71)<br>n=70  | 1.00<br>(0.69, 1.46)<br>n=46  | 2.31**<br>(1.28, 4.17)<br>n=24 | 1.14<br>(0.77, 1.69)<br>n=45  | 1.07<br>(0.69, 1.66)<br>n=34  | 1.52<br>(0.68, 3.38)<br>n=11 | 1.38<br>(0.93, 2.06)<br>n=46  | 1.34<br>(0.84, 2.12)<br>n=32  | 1.51<br>(0.73, 3.12)<br>n=14 |
| Non-exclusive until 4 months (n=2,880)           | 1.18<br>(0.98, 1.43)<br>n=576                               | 1.05<br>(0.86, 1.28)<br>n=438 | 2.14**<br>(1.38, 3.31)<br>n=138 | 1.19<br>(0.97, 1.46)<br>n=467 | 1.14<br>(0.91, 1.43)<br>n=366 | 1.42<br>(0.91, 2.22)<br>n=101  | 1.02<br>(0.79, 1.31)<br>n=255 | 0.93<br>(0.71, 1.23)<br>n=193 | 1.46<br>(0.83, 2.54)<br>n=62 | 1.11<br>(0.85, 1.44)<br>n=246 | 1.17<br>(0.87, 1.58)<br>n=192 | 0.93<br>(0.55, 1.57)<br>n=54 |
| Exclusive until 4 months (n=1,057)               | Reference<br>n=200  | Reference<br>n=174            | Reference<br>n=26               | Reference<br>n=155            | Reference<br>n=128            | Reference<br>n=27              | Reference<br>n=101            | Reference<br>n=84             | Reference<br>n=17            | Reference<br>n=86             | Reference<br>n=65             | Reference<br>n=21            |
| <i>P</i> for trend                               | <i>p</i> =0.023   | <i>p</i> =0.426               | <i>p</i> <0.001                 | <i>p</i> =0.112               | <i>p</i> =0.600               | <i>p</i> =0.007                | <i>p</i> =0.579               | <i>p</i> =0.975               | <i>p</i> =0.223              | <i>p</i> =0.135               | <i>p</i> =0.182               | <i>p</i> =0.406              |

Table E4.1.4. Imputed and adjusted associations of breastfeeding duration and exclusivity with frequencies of wheezing and shortness of breath until the age of 4 years (continued)

|  | Odds ratio of dry cough (95% Confidence Interval) |                    |                     |                   |
|--|---|--------------------|---------------------|-------------------|
|  | Age 1 year  | Age 2 years        | Age 3 years         | Age 4 years       |
| <b>Duration</b>                        | <b>Ever</b>                                       | <b>Ever</b>        | <b>Ever</b>         | <b>Ever</b>       |
| <b>Breastfeeding</b>                   | n=846   | n=891              | n=806               | n=909             |
| <b>n=4,280</b>                         |   |                    |                     |                   |
| Never (n=416)                          | 1.41 (1.05, 1.90)*                                | 1.27 (0.95, 1.70)  | 1.35 (1.00, 1.82)   | 1.06 (0.79, 1.42) |
|  | n=82  | n=82               | n=79                | n=85              |
| 0-3 months (n=1,580)                   | 1.16 (0.95, 1.41)                                 | 1.23 (1.01, 1.48)* | 1.52 (1.24, 1.85)** | 1.13 (0.93, 1.36) |
|  | n=307   | n=337              | n=318               | n=350             |
| 3-6 months (n=923)                     | 1.00 (0.81, 1.24)                                 | 1.20 (0.97, 1.47)  | 1.39 (1.11, 1.74)** | 0.90 (0.72, 1.11) |
|  | n=183   | n=210              | n=189               | n=178             |
| > 6 months (n=1,361)                   | Reference   | Reference          | Reference           | Reference         |
|  | n=274   | n=262              | n=220               | n=296             |
| <i>P for trend</i>                     | <i>p=0.020</i>                                    | <i>p=0.033</i>     | <i>p=0.001</i>      | <i>p=0.249</i>    |
| <b>Exclusive breastfeeding</b>         | n=842   | n=910              | n=846               | n=937             |
| <b>n=4,353</b>                         |   |                    |                     |                   |
| Never (n=416)                          | 1.52 (1.11, 2.07)**                               | 1.24 (0.92, 1.68)  | 1.18 (0.86, 1.60)   | 1.14 (0.84, 1.54) |
|  | n=82  | n=82               | n=79                | n=85              |
| Non-exclusive until 4 months (n=2,880) | 1.22 (1.01, 1.47)*                                | 1.19 (0.99, 1.43)  | 1.30 (1.07, 1.57)** | 1.17 (0.97, 1.40) |
|  | n=570   | n=619              | n=578               | n=629             |
| Exclusive until 4 months (n=1,057)     | Reference   | Reference          | Reference           | Reference         |
|  | n=190   | n=209              | n=189               | n=223             |
| <i>P for trend</i>                     | <i>p=0.005</i>                                    | <i>p=0.070</i>     | <i>p=0.061</i>      | <i>p=0.174</i>    |

Table E4.1.4. Imputed and adjusted associations of breastfeeding duration and exclusivity with frequencies of wheezing and shortness of breath until the age of 4 years (continued)

|  | Odds ratio of persistent phlegm (95% Confidence Interval) |                                    |                                     |                                      |
|--|---|------------------------------------|-------------------------------------|--------------------------------------|
|  | Age 1 year  | Age 2 years                        | Age 3 years                         | Age 4 years                          |
|  | Ever  | Ever                               | Ever                                | Ever                                 |
| <b>Duration breastfeeding n=4,280</b>  |   |                                    |                                     |                                      |
| Never (n=416)                          | n=507<br>1.43 (0.99, 2.05)<br>n=49                        | n=357<br>1.33 (0.88, 1.99)<br>n=39 | n=213<br>1.83 (1.11, 3.01)*<br>n=28 | n=237<br>2.52 (1.58, 4.01)**<br>n=36 |
| 0-3 months (n=1,580)                   | n=213<br>1.43 (1.12, 1.81)**                              | n=147<br>1.17 (0.89, 1.55)         | n=88<br>1.30 (0.90, 1.86)           | n=103<br>1.56 (1.09, 2.21)*          |
| 3-6 months (n=923)                     | n=104<br>1.19 (0.90, 1.57)                                | n=70<br>1.01 (0.73, 1.40)          | n=41<br>1.14 (0.75, 1.74)           | n=43<br>1.23 (0.81, 1.87)            |
| > 6 months (n=1,361)                   | Reference<br>n=141  | Reference<br>n=101                 | Reference<br>n=56                   | Reference<br>n=55                    |
| <i>P for trend</i>                     | <i>p</i> =0.003   | <i>p</i> =0.120                    | <i>p</i> =0.024                     | <i>p</i> <0.001                      |
| <b>Exclusive breastfeeding n=4,353</b> |   |                                    |                                     |                                      |
| Never (n=416)                          | n=509<br>1.36 (0.93, 1.98)<br>n=49                        | n=365<br>1.30 (0.85, 1.98)<br>n=39 | n=229<br>1.79 (1.06, 3.02)*<br>n=28 | n=251<br>2.61 (1.59, 4.26)**<br>n=36 |
| Non-exclusive until 4 months (n=2,880) | n=359<br>1.23 (0.96, 1.56)                                | n=250<br>1.10 (0.83, 1.45)         | n=159<br>1.25 (0.87, 1.79)          | n=176<br>1.60 (1.11, 2.29)*          |
| Exclusive until 4 months (n=1,057)     | Reference<br>n=101  | Reference<br>n=76                  | Reference<br>n=42                   | Reference<br>n=39                    |
| <i>P for trend</i>                     | <i>p</i> =0.067   | <i>p</i> =0.246                    | <i>p</i> =0.035                     | <i>p</i> <0.001                      |

Values are odds ratios with 95% Confidence Intervals from multiple logistic regression models.

\**P* < 0.05 and \*\**p* < 0.01. Models are adjusted for parental age, education, ethnicity, smoking habits, maternal parity, child's sex, gestational age, birth weight, parental history of asthma or atopy, daycare attendance and pet keeping.



**Table E4. 1.5.** Associations of breastfeeding duration and exclusivity with asthma-related symptoms until the age of 4 years by GEE models

|  | Odds ratio of wheezing (95% Confidence Interval) |                     |                   |                   |                     |
|--|--|---------------------|-------------------|-------------------|---------------------|
|  | Age 1 year                                       | Age 2 years         | Age 3 years       | Age 4 years       | Overall             |
| <b>Duration breastfeeding</b>          |  |                     |                   |                   |                     |
| <b>n=4,280</b>                         |  |                     |                   |                   |                     |
| Never (n=416)                          | 1.62 (1.24, 2.13)**                              | 1.34 (1.02, 1.78)*  | 1.34 (0.93, 1.73) | 1.26 (0.91, 1.76) | 1.44 (1.24, 1.66)** |
| 0-3 months (n=1,580)                   | 1.30 (1.10, 1.53)**                              | 1.25 (1.04, 1.52)*  | 1.25 (0.99, 1.58) | 1.07 (0.85, 1.34) | 1.23 (1.12, 1.36)** |
| 3-6 months (n=923)                     | 1.24 (1.02, 1.51)*                               | 1.11 (0.89, 1.39)   | 1.01 (0.76, 1.34) | 0.96 (0.71, 1.30) | 1.12 (0.99, 1.26)   |
| > 6 months (n=1,361)                   | Reference  | Reference           | Reference         | Reference         | Reference           |
| <i>P for trend</i>                     | <i>p</i> <0.001                                  | <i>p</i> =0.005     | <i>p</i> =0.023   | <i>p</i> =0.149   | <i>p</i> <0.001     |
| <b>Exclusive breastfeeding</b>         |  |                     |                   |                   |                     |
| <b>n=4,353</b>                         |  |                     |                   |                   |                     |
| Never (n=416)                          | 1.50 (1.13, 1.98)**                              | 1.44 (1.07, 1.95)*  | 1.41 (0.99, 2.01) | 1.39 (0.98, 1.98) | 1.45 (1.24, 1.69)** |
| Non-exclusive until 4 months (n=2,880) | 1.15 (0.97, 1.36)                                | 1.31 (1.08, 1.58)** | 1.23 (0.98, 1.55) | 1.20 (0.94, 1.54) | 1.21 (1.09, 1.34)** |
| Exclusive until 4 months (n=1,057)     | Reference  | Reference           | Reference         | Reference         | Reference           |
| <i>P for trend</i>                     | <i>p</i> =0.005                                  | <i>p</i> =0.005     | <i>p</i> =0.031   | <i>p</i> =0.055   | <i>p</i> <0.001     |

**Table E4.1.5.** Associations of breastfeeding duration and exclusivity with asthma-related symptoms until the age of 4 years by GEE models (continued)

|  | Age 1 year         | Age 2 years       | Age 3 years       | Age 4 years       | Overall             |
|--|--------------------|-------------------|-------------------|-------------------|---------------------|
| <b>Odds ratio of shortness of breath (95% Confidence Interval)</b> |                    |                   |                   |                   |                     |
| <b>Duration breastfeeding</b>                                      |                    |                   |                   |                   |                     |
| <b>n=4,280</b>   |                    |                   |                   |                   |                     |
| Never<br>(n=416)   | 1.38 (1.05, 1.80)* | 1.15 (0.87, 1.52) | 1.17 (0.75, 1.81) | 1.24 (0.81, 1.92) | 1.26 (1.07, 1.48)** |
| 0-3 months<br>(n=1,580)  | 1.26 (1.05, 1.51)* | 1.15 (0.94, 1.40) | 1.08 (0.86, 1.35) | 1.02 (0.76, 1.36) | 1.15 (1.03, 1.29)*  |
| 3-6 months<br>(n=923)  | 1.25 (1.02, 1.54)* | 0.92 (0.72, 1.17) | 0.77 (0.59, 1.01) | 0.80 (0.59, 1.09) | 0.99 (0.88, 1.13)   |
| > 6 months<br>(n=1,361)  | Reference          | Reference         | Reference         | Reference         | Reference           |
| <i>P for trend</i>   | <i>p</i> =0.008    | <i>p</i> =0.107   | <i>p</i> =0.259   | <i>p</i> =0.366   | <i>p</i> =0.001     |
| <b>Exclusive breastfeeding</b>                                     |                    |                   |                   |                   |                     |
| <b>n=4,353</b>   |                    |                   |                   |                   |                     |
| Never<br>(n=416)   | 1.26 (0.96, 1.66)  | 1.26 (0.94, 1.68) | 1.32 (0.87, 2.01) | 1.40 (0.94, 2.09) | 1.30 (1.10, 1.53)** |
| Non-exclusive until 4 months<br>(n=2,880)                          | 1.11 (0.93, 1.33)  | 1.19 (0.98, 1.45) | 1.14 (0.90, 1.43) | 1.15 (0.88, 1.50) | 1.14 (1.02, 1.28)*  |
| Exclusive until 4 months<br>(n=1,057)                              | Reference          | Reference         | Reference         | Reference         | Reference           |
| <i>P for trend</i>   | <i>p</i> =0.085    | <i>p</i> =0.067   | <i>p</i> =0.136   | <i>p</i> =0.097   | <i>p</i> =0.001     |

**Table EA.1.5.** Associations of breastfeeding duration and exclusivity with asthma-related symptoms until the age of 4 years by GEE models (continued)

|  | Odds ratio of dry cough (95% Confidence Interval) |                   |                     |                    |                     |
|--|---|-------------------|---------------------|--------------------|---------------------|
|  | Age 1 year  | Age 2 years       | Age 3 years         | Age 4 years        | Overall             |
| <b>Duration breastfeeding</b><br><b>n=4,280</b>  |   |                   |                     |                    |                     |
| Never<br>(n=416)                                 | 1.30 (0.99, 1.72)                                 | 1.19 (0.86, 1.64) | 1.40 (1.01, 1.95)*  | 1.13 (0.83, 1.55)  | 1.25 (1.08, 1.44)** |
| 0-3 months<br>(n=1,580)                          | 1.14 (0.94, 1.37)                                 | 1.17 (0.96, 1.42) | 1.42 (1.17, 1.71)** | 1.14 (0.96, 1.36)  | 1.20 (1.10, 1.32)** |
| 3-6 months<br>(n=923)                            | 1.06 (0.86, 1.30)                                 | 1.18 (0.96, 1.45) | 1.31 (1.06, 1.63)*  | 0.92 (0.74, 1.14)  | 1.10 (0.99, 1.22)   |
| > 6 months<br>(n=1,361)                          | Reference   | Reference         | Reference           | Reference          | Reference           |
| <i>P</i> for trend                               | <i>p</i> =0.052                                   | <i>p</i> =0.158   | <i>p</i> =0.003     | <i>p</i> =0.119    | <i>p</i> <0.001     |
| <b>Exclusive breastfeeding</b><br><b>n=4,353</b> |   |                   |                     |                    |                     |
| Never<br>(n=416)                                 | 1.33 (0.99, 1.78)                                 | 1.20 (0.86, 1.68) | 1.31 (0.97, 1.77)   | 1.22 (0.88, 1.69)  | 1.26 (1.09, 1.46)** |
| Non-exclusive until 4 months<br>(n=2,880)        | 1.16 (0.96, 1.39)                                 | 1.18 (0.98, 1.42) | 1.28 (1.03, 1.58)*  | 1.20 (1.01, 1.41)* | 1.20 (1.10, 1.31)** |
| Exclusive until 4 months<br>(n=1,057)            | Reference   | Reference         | Reference           | Reference          | Reference           |
| <i>P</i> for trend                               | <i>p</i> =0.045                                   | <i>p</i> =0.146   | <i>p</i> =0.019     | <i>p</i> =0.092    | <i>p</i> <0.001     |

**Table E4.1.5.** Associations of breastfeeding duration and exclusivity with asthma-related symptoms until the age of 4 years by GEE models (continued)

|   | Age 1 year          | Age 2 years       | Age 3 years        | Age 4 years         | Overall             |
|---|---------------------|-------------------|--------------------|---------------------|---------------------|
| <b>Odds ratio persistent phlegm (95% Confidence Interval)</b> |                     |                   |                    |                     |                     |
| <b>Duration breastfeeding</b>                                 |                     |                   |                    |                     |                     |
| <b>n=4,280</b>  |                     |                   |                    |                     |                     |
| Never (n=416)   | 1.38 (0.99, 1.92)   | 1.36 (0.93, 1.99) | 1.71 (1.06, 2.75)* | 2.14 (1.41, 3.25)** | 1.57 (1.29, 1.91)** |
| 0-3 months (n=1,580)  | 1.39 (1.11, 1.74)** | 1.15 (0.88, 1.50) | 1.25 (0.90, 1.73)  | 1.41 (1.02, 1.95)*  | 1.31, 1.14, 1.51)** |
| 3-6 months (n=923)  | 1.26 (0.96, 1.66)   | 1.05 (0.76, 1.45) | 1.08 (0.73, 1.59)  | 1.14 (0.76, 1.73)   | 1.16 (0.97, 1.38)   |
| >6 months (n=1,361)   | Reference           | Reference         | Reference          | Reference           | Reference           |
| <i>P for trend</i>  | <i>p</i> =0.005     | <i>p</i> =0.102   | <i>p</i> =0.035    | <i>p</i> <0.001     | <i>p</i> <0.001     |
| <b>Exclusive breastfeeding</b>                                |                     |                   |                    |                     |                     |
| <b>n=4,353</b>  |                     |                   |                    |                     |                     |
| Never (n=416)   | 1.26 (0.89, 1.78)   | 1.32 (0.88, 1.97) | 1.69 (0.99, 2.87)  | 2.25 (1.42, 3.57)   | 1.52 (1.23, 1.87)** |
| Non-exclusive until 4 months (n=2,880)                        | 1.20 (0.95, 1.51)   | 1.08 (0.81, 1.44) | 1.23 (0.85, 1.78)  | 1.43 (1.00, 2.05)   | 1.21 (1.04, 1.42)*  |
| Exclusive until 4 months (n=1,057)                            | Reference           | Reference         | Reference          | Reference           | Reference           |
| <i>P for trend</i>  | <i>p</i> =0.120     | <i>p</i> =0.214   | <i>p</i> =0.065    | <i>p</i> =0.001     | <i>p</i> <0.001     |

Values are odds ratios with 95% Confidence Intervals from generalized estimating equation models.

\**P* < 0.05 and \*\**p* < 0.01. Models are adjusted for parental age, education, ethnicity, smoking habits, maternal parity, children's sex, gestational age, birth weight, parental history of asthma or atopy, daycare attendance and pet keeping.

**Table E4.1.6.** Atopic and infectious effects on the associations of breastfeeding exclusivity with overall estimates of asthma-related symptoms

|                                  |                                 | Overall Odds Ratios<br>(95% Confidence Interval) |                        |                        |                        |
|----------------------------------|---------------------------------|--|------------------------|------------------------|------------------------|
|                                  |                                 | Wheezing   | Shortness<br>of breath | Dry cough              | Persistent<br>phlegm   |
| <b>Exclusive breastfeeding</b>   |                                 |  |                        |                        |                        |
| Model 1                          | Never                           | 1.45**<br>(1.24, 2.69)                           | 1.30**<br>(1.10, 1.53) | 1.26**<br>(1.09, 1.46) | 1.52**<br>(1.23, 1.87) |
|                                  | Non-exclusive<br>until 4 months | 1.21**<br>(1.09, 1.34)                           | 1.14*<br>(1.02, 1.28)  | 1.20**<br>(1.10, 1.31) | 1.21*<br>(1.04, 1.42)  |
|                                  | Exclusive<br>until 4 months     | Reference  | Reference              | Reference              | Reference              |
|                                  | <i>P for trend</i>              | <i>p</i> <0.001                                  | <i>p</i> =0.001        | <i>p</i> <0.001        | <i>p</i> <0.001        |
| Model 1<br>+ adjusted for eczema | Never                           | 1.44**<br>(1.22, 1.68)                           | 1.29**<br>(1.10, 1.52) | 1.26**<br>(1.08, 1.47) | 1.47**<br>(1.19, 1.81) |
|                                  | Non-exclusive<br>until 4 months | 1.21**<br>(1.10, 1.35)                           | 1.15*<br>(1.03, 1.30)  | 1.21**<br>(1.10, 1.32) | 1.21*<br>(1.03, 1.41)  |
|                                  | Exclusive<br>until 4 months     | Reference  | Reference              | Reference              | Reference              |
|                                  | <i>P for trend</i>              | <i>p</i> <0.001                                  | <i>p</i> =0.001        | <i>p</i> <0.001        | <i>p</i> <0.001        |
| Model 1<br>+ adjusted for LRTI   | Never                           | 1.31**<br>(1.11, 1.54)                           | 1.15<br>(0.97, 1.36)   | 1.22*<br>(1.05, 1.42)  | 1.42**<br>(1.15, 1.75) |
|                                  | Non-exclusive<br>until 4 months | 1.13*<br>(1.02, 1.25)                            | 1.06<br>(0.94, 1.19)   | 1.17**<br>(1.07, 1.28) | 1.17<br>(1.00, 1.37)   |
|                                  | Exclusive<br>until 4 months     | Reference  | Reference              | Reference              | Reference              |
|                                  | <i>P for trend</i>              | <i>p</i> =0.001                                  | <i>p</i> =0.114        | <i>p</i> =0.001        | <i>p</i> =0.002        |

Values are odds ratios with 95% Confidence Intervals from longitudinal generalized estimating equation models. Children who were exclusively breastfed for 4 months were used as reference category.

\**P* < 0.05 and \*\**p* < 0.01. Model 1 is adjusted for parental age, education, ethnicity, smoking habits, maternal parity, children's sex, gestational age, birth weight, parental history of asthma or atopy, daycare attendance and pet keeping. This model is additionally adjusted for eczema and lower respiratory tract infections (LRTI) which were both not imputed.

**Table E4.1.7** Stratified analysis for parental history of asthma or atopy for the association between breastfeeding exclusivity and wheezing

|   |                                | Odds Ratios (95% Confidence Interval) for wheezing |                     |                   |                   |                     |
|---|--------------------------------|--|---------------------|-------------------|-------------------|---------------------|
|   |                                | Age 1 year   | Age 2 years         | Age 3 years       | Age 4 years       | Overall             |
|   | <b>Exclusive breastfeeding</b> |  |                     |                   |                   |                     |
| <b>No parental history of asthma or atopy</b> | Never                          | 1.16 (0.79, 1.72)                                  | 1.15 (0.72, 1.86)   | 1.37 (0.78, 2.40) | 1.18 (0.65, 2.13) | 1.19 (0.93, 1.52)   |
|   | Non-exclusive until 4 months   | 1.04 (0.82, 1.31)                                  | 1.28 (0.93, 1.75)   | 1.25 (0.87, 1.79) | 1.15 (0.80, 1.66) | 1.14 (0.96, 1.35)   |
|   | Exclusive until 4 months       | <i>Reference</i>                                   | <i>Reference</i>    | <i>Reference</i>  | <i>Reference</i>  | <i>Reference</i>    |
| <b>Parental history of asthma or atopy</b>    | Never                          | 1.78 (1.22, 2.87)**                                | 1.74 (1.17, 2.59)** | 1.44 (0.92, 2.25) | 1.59 (0.95, 2.66) | 1.72 (1.34, 2.21)** |
|   | Non-exclusive until 4 months   | 1.26 (1.00, 1.58)                                  | 1.34 (1.04, 1.73)*  | 1.22 (0.90, 1.65) | 1.23 (0.88, 1.72) | 1.27 (1.11, 1.45)** |
|   | Exclusive until 4 months       | <i>Reference</i>                                   | <i>Reference</i>    | <i>Reference</i>  | <i>Reference</i>  | <i>Reference</i>    |

\*P < 0.05 and \*\*p < 0.01. From generalized estimating equation models for parental age, education, ethnicity, smoking habits, maternal parity, children's sex, gestational age, birth weight, daycare attendance and pet keeping.

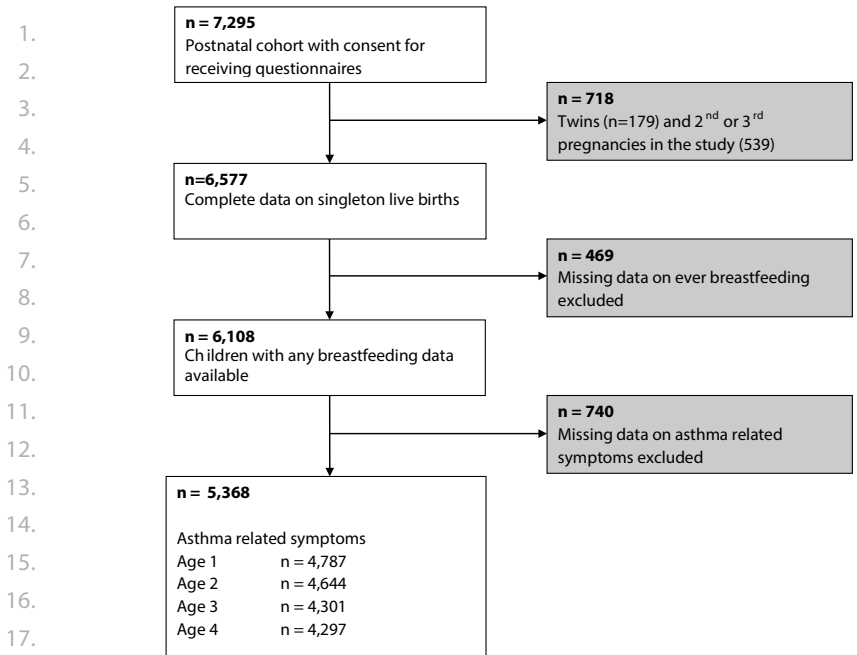


Figure E4.1.1. Flowchart of participants in study.

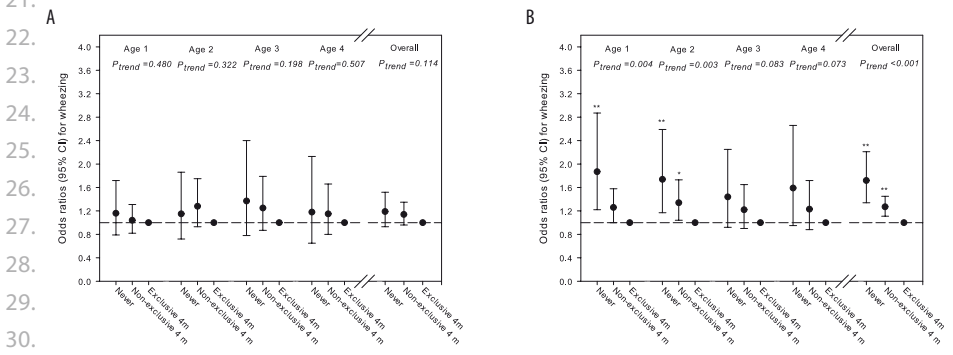


Figure E4.1.2. Association between breastfeeding exclusivity stratified for parental history of asthma or atopy.

A: no parental history of asthma or atopy; B: parental history of asthma or atopy.

Values are odds ratios with 95% Confidence Intervals from generalized estimating equation models. Reference group are children who were exclusively breastfed.

\*P < 0.05 and \*\*p < 0.01. Models are adjusted for parental age, education, ethnicity, smoking habits, maternal parity, children's sex, gestational age, birth weight, daycare attendance and pet keeping.





# 4.2

## Air pollution, fetal and infant tobacco smoke exposure, and wheezing in preschool children

Agnes M.M. Sonnenschein-van der Voort, MSc<sup>1,2,3</sup>, Yvonne de Kluizenaar, MSc<sup>4</sup>, Vincent W.V. Jaddoe, MD, PhD<sup>1,3,5</sup>, Carmelo Gabriele MD, PhD<sup>1,2,6</sup>, Hein Raat, MD, PhD<sup>7</sup>, Henriëtte A. Moll, MD, PhD<sup>5</sup>, Albert Hofman, MD, PhD<sup>3</sup>, Frank H. Pierik, PhD<sup>4</sup>, Henk M.E. Miedema, PhD<sup>4</sup>, Johan C. de Jongste, MD, PhD<sup>2</sup>, Liesbeth Duijts, MD, PhD<sup>2,3,8</sup>

<sup>1</sup>The Generation R Study Group, Erasmus Medical Center, Rotterdam, The Netherlands; <sup>2</sup>Department of Pediatrics, Division of Respiratory Medicine, Erasmus Medical Center, Rotterdam, The Netherlands; <sup>3</sup>Department of Epidemiology, Erasmus Medical Center, Rotterdam, The Netherlands; <sup>4</sup>Department of Urban Environment and Safety, Netherlands Organization for Applied Scientific Research (TNO), Delft, The Netherlands; <sup>5</sup>Department of Pediatrics, Erasmus Medical Center, Rotterdam, The Netherlands; <sup>6</sup>Department of Pediatric Pulmonology and Allergology, Wilhelmina Children's Hospital, Utrecht, The Netherlands; <sup>7</sup>Department of Public Health, Erasmus Medical Center, Rotterdam, The Netherlands; <sup>8</sup>Department of Pediatrics, Division of Neonatology, Erasmus Medical Center, Rotterdam, The Netherlands.

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1. **ABSTRACT**

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3. **Background** Air pollution is associated with asthma exacerbations. We examined the as-  
4. sociations of exposure to ambient particulate matter (PM<sub>10</sub>) and nitrogen dioxide (NO<sub>2</sub>) with  
5. the risk of wheezing in preschool children, and assessed whether these associations were  
6. modified by tobacco smoke exposure.

7.

8. **Methods** This study was embedded in the Generation R Study, a population-based prospec-  
9. tive cohort study among 4,634 children. PM<sub>10</sub> and NO<sub>2</sub> levels were estimated for the home  
10. addresses using dispersion modeling. Annual parental reports of wheezing until the age of 3  
11. years and fetal and infant tobacco smoke exposure was obtained by questionnaires.

12.

13. **Results** Average annual PM<sub>10</sub> or NO<sub>2</sub> exposure levels per year were not associated with  
14. wheezing in the same year. Longitudinal analyses revealed non-significant tendencies to-  
15. wards positive associations of PM<sub>10</sub> or NO<sub>2</sub> exposure levels with wheezing during the first 3  
16. years of life (overall odds ratios (95% Confidence Interval): 1.21 (0.79, 1.87) and 1.06 (0.92,  
17. 1.22)) per 10 µg/m<sup>3</sup> increase PM<sub>10</sub> and NO<sub>2</sub>, respectively). Stratified analyses showed that the  
18. associations were stronger and only significant among children who were exposed to both  
19. fetal and infant tobacco smoke (overall odds ratios 4.54 (1.17, 17.65) and 1.85 (1.15, 2.96)) per  
20. 10 µg/m<sup>3</sup> increase PM<sub>10</sub> and NO<sub>2</sub>, respectively (p-value for interactions <0.05).

21.

22. **Conclusions** Our results suggest that long term exposure to traffic-related air pollutants is  
23. associated with increased risks of wheezing in children exposed to tobacco smoke in fetal life  
24. and infancy. Smoke exposure in early life might lead to increased vulnerability of the lungs  
25. to air pollution.

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## 1. BACKGROUND

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3. Higher exposure levels to air pollutants have been associated with increased risks of asthma  
4. exacerbations in adults and children aged older than 5 years<sup>1-5</sup>. The influence of air pollution  
5. on asthma and wheezing in younger children is less clear<sup>6-9</sup>. The effects of air pollutants on  
6. airway symptoms may differ between children and adults. Children older than 6 months of  
7. age may breathe more through the mouth than adults, and benefit less from the filtering,  
8. humidifying and temperature raising effect of the nose and might therefore inhale higher air  
9. pollutants levels<sup>10</sup>. Also, children spend more time outdoors than adults, and have a larger  
10. ratio of lung surface area to body weight<sup>7, 10, 11</sup>, leading to a potential stronger effect of air  
11. pollution on airway symptoms, including wheezing<sup>12</sup>. A limited number of prospective birth  
12. cohort studies suggested associations of exposure to traffic-related air pollution, including  
13. particulate matter (PM<sub>10</sub>) and nitrogen dioxide (NO<sub>2</sub>), and the risk of wheezing and asthma  
14. in children up to the age of 8 years<sup>8, 9, 13, 14</sup>. Thus far, results seem inconsistent<sup>6</sup>. This might be  
15. due to differences in study design, exposure and outcome assessment or confounding due  
16. to socio-demographic variables or a family history of asthma. Like some other environmental  
17. exposures, fetal and infant tobacco smoke exposure negatively influence the risk of asthma  
18. symptoms in early childhood, and might increase the susceptibility for the adverse effects of  
19. air pollution<sup>15</sup>. Therefore the associations between air pollution and asthma symptoms may  
20. be modified by tobacco smoke exposure<sup>3</sup>.

21. We examined the associations of exposure to traffic-related air pollutants PM<sub>10</sub> and NO<sub>2</sub>,  
22. during different exposure windows, with the risk of wheezing in preschool children in a  
23. prospective birth cohort study among 4,634 children living in the city of Rotterdam, The  
24. Netherlands. In addition, we assessed whether fetal or infant tobacco smoke exposure modi-  
25. fied these associations.

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## 28. METHODS

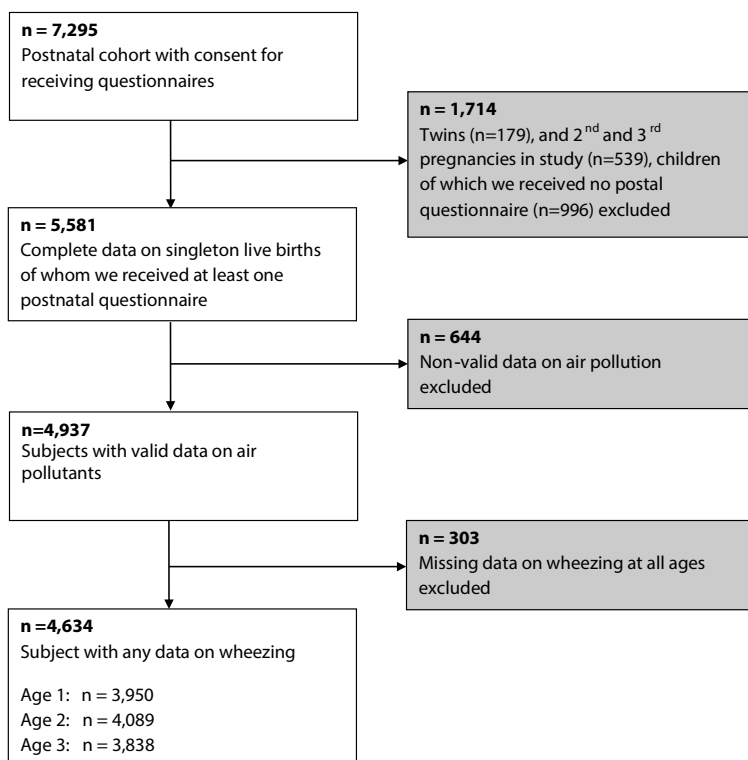
29.

### 30. Design and setting

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32. This study was embedded in the Generation R Study, a prospective cohort study from  
33. early fetal life to young adulthood in Rotterdam in the Netherlands<sup>16</sup>. The study protocol  
34. was approved by the Medical Ethical Committee of the Erasmus Medical Center, Rotterdam.  
35. Written informed consent was obtained from all participants. In total 7,295 children born  
36. between 2002 and 2006 and their parents participated in the postnatal phase of the study.  
37. Of all eligible children in the study area, 61% participated in the present study. We excluded  
38. twins (n=179), 2<sup>nd</sup> and 3<sup>rd</sup> pregnancies in the study (n=539) and children of whom we did not  
39. receive any questionnaire (n=996). Of the remaining children (n=5,581) valid air pollution

1. data were available for 4,937 children (Figure 4.2.1). Air pollution exposure could not be as-  
 2. sessed for 644 children, due to incomplete address history, moving outside the study area  
 3. or invalid measurements. We excluded children without any information about wheezing  
 4. (n=303 subjects). The final study population for analysis consisted of 4,634 children.



27. **Figure 4.2.1.** Flow chart of participants in study

### 30. **Traffic-related air pollution exposure**

32. Individual child exposures levels to particulate matter ( $PM_{10}$ ) and nitrogen dioxide ( $NO_2$ ) were  
 33. assessed at the home address, using a combination of continuous monitoring and dispersion  
 34. modeling, taking into account both the spatial and temporal variation in air pollution. The ex-  
 35. posure assessment has been described in detail previously<sup>17</sup>. Briefly, annual average concen-  
 36. trations of  $PM_{10}$  and  $NO_2$  for the years 2002-2008 were assessed for all addresses in the study  
 37. area. This was done using the 3 Dutch national standard methods for air quality modeling,  
 38. designated to calculate the contribution of different air pollution sources<sup>18</sup>. Subsequently,  
 39. hourly concentrations of  $PM_{10}$  and  $NO_2$  were derived, using air pollution measurements from

1. 3 continuous monitoring stations (hourly calibration), taking into account wind conditions  
2. and fixed temporal patterns in source contributions. Based on participants' home addresses,  
3. we derived individual exposure estimates for different periods during the first 3 years of life,  
4. including average exposure to air pollutants annually and overall. Average exposures were  
5. calculated for periods with <20% of the concentrations missing. For the other periods, air  
6. pollution exposures were set to missing. The performance of this model has been evaluated  
7. by two studies in the same study area which show a good agreement between predicted  
8. annual average PM<sub>10</sub> and NO<sub>2</sub> concentrations, and concentrations measured at monitoring  
9. stations<sup>19,20</sup>.

10.

### 11. **Respiratory symptoms**

12.

13. Information on wheezing ("Has your child had problems with a wheezing chest during the  
14. last year?" no; yes) was obtained by questionnaires at the ages of 1, 2 and 3 years. Questions  
15. were adapted from the International Study on Asthma and Allergy in Childhood (ISAAC)<sup>21</sup>.  
16. Response rates for these questionnaires were 71%, 76% and 72%, respectively<sup>22</sup>.

17.

### 18. **Covariates**

19.

20. Information on maternal educational level, parity, smoking habits, smoking habits of the  
21. partner, history of asthma or atopy, children's ethnicity and pet keeping were obtained by  
22. a questionnaire at enrolment. We used parity as a proxy for siblings (correlation: kappa =  
23. 0.894). Fetal smoke exposure was defined using data of maternal smoking habits during  
24. first, second and third trimester of pregnancy collected by questionnaires. We categorised  
25. groups as those children who were never exposed to tobacco smoke or in first trimester only  
26. (no fetal smoke exposure) and those who were continuously exposed to tobacco smoke in  
27. trimesters thereafter (fetal smoke exposure)<sup>15</sup>. Infant smoke exposure was defined as expo-  
28. sure to household tobacco smoke by anyone at the age of 2 years of the child (no; yes, data  
29. collected by questionnaires). Sex, gestational age at birth and birth weight of the children  
30. were obtained from midwife and hospital registries at birth. Postal questionnaires sent at the  
31. ages of 6 and 12 months provided information about breastfeeding. A questionnaire sent at  
32. the age of 12 months provided information on daycare attendance. Questionnaires filled in  
33. by the parents at the ages of 1, 2 and 3 years provided information about doctor attended  
34. lower respiratory tract infections (Has your child had pertussis, bronchitis, bronchiolitis or  
35. pneumonia in the past year for which a doctor or hospital was attended? no; yes)<sup>16,22</sup>.

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## 1. Statistical analysis

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3. We used multiple logistic regression models to analyze the associations of exposure to air  
4. pollution in the previous year with the risks of wheezing at the ages of 1, 2 and 3 years.  
5. With Generalized Estimating Equation (GEE) analyses, we were able to take the correlation  
6. between repeated measurements in the same subject into account, and to calculate the  
7. overall effect (average air pollution levels in the first 3 years of life with wheezing at age 1 to  
8. 3 years combined). We used a compound symmetry correlation matrix in these models. All  
9. models were adjusted for potential confounders including maternal age, education, parity,  
10. smoking habits during pregnancy, smoking habits of the partner, history of asthma or atopy,  
11. and children's sex, gestational age at birth, birth weight, ethnicity, breastfeeding status,  
12. daycare attendance, pet keeping and lower respiratory tract infections. Average exposures  
13. to PM<sub>10</sub> and NO<sub>2</sub>, annually and overall, were analyzed as continuous variables and as quartiles  
14. (lowest quartile as the reference group). Tests for trend were performed by including aver-  
15. age air pollutant concentration levels as continuous variables into the fully adjusted logistic  
16. regression model and we calculated the risk per 10 µg/m<sup>3</sup> increase. Next, we stratified our  
17. models for tobacco smoke exposure to assess whether any observed association of air pollu-  
18. tion with childhood wheezing was modified by environmental tobacco smoke exposure. For  
19. this analysis we also tested the interaction between air pollution and environmental tobacco  
20. smoke exposure. The tobacco smoke variables were combined into a new variable with 4  
21. early smoke exposure categories: never; only fetal; only infant; and fetal and infant, using  
22. the variables about maternal smoking habits during pregnancy (fetal smoke exposure) and  
23. exposure to household tobacco smoke at the age of 2 years (infant smoke exposure). We  
24. performed multiple imputations to handle missing values of the covariates and outcomes by  
25. generating 25 independent datasets<sup>23</sup>. We imputed both covariates and outcomes, as miss-  
26. ing values may introduce bias in GEE models<sup>24</sup>. Imputations were based on the relationships  
27. between all covariates and outcomes included in this study plus paternal age, educational  
28. level, history of asthma or atopy and information about shortness of breath in the past year  
29. of the children at the age of 1, 2 and 3 years. All datasets were analysed separately after  
30. which results were combined. No differences in results were observed between analyses with  
31. imputed missing data or complete cases only. We only present results based on imputed  
32. datasets. All measures of association are presented with their 95% Confidence Intervals (CI).  
33. Statistical analyses were performed using the Statistical Package of Social Sciences version  
34. 17.0 for Windows (SPSS Inc., Chicago, IL, USA) and SAS 9.2 (SAS institute, Cary, NC, USA).

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## 1. RESULTS

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### 3. Subject characteristics

4.

5. Children were born at a median gestational age of 39.9 (2.5-97.5% range: 37.0-42.1) weeks  
 6. with a mean birth weight of 3,439 (SD 556) grams (Table 4.2.1). Of all children who were  
 7. exposed to tobacco smoke during fetal life, 59.3% was exposed to household tobacco smoke  
 8. in infancy, whereas of all children who were not exposed to tobacco smoke during fetal life,  
 9. 12.2% was exposed to household tobacco smoke in infancy. (Table E4.2.1 in the data supple-  
 10. ment). The wheezing prevalence declined with increasing age. Mean annual PM<sub>10</sub> levels were  
 11. 28.9, 28.3 and 27.9 µg/m<sup>3</sup> and mean annual NO<sub>2</sub> levels were 38.7, 37.5 and 36.2 µg/m<sup>3</sup> at the  
 12. ages of 1, 2 and 3 years, respectively (Table E4.2.2 in the data supplement).

13.

### 14. Air pollution and risk of wheezing

15.

16. We observed no associations of average PM<sub>10</sub> and NO<sub>2</sub> concentrations during the previous  
 17. year with the risks of wheezing at the ages of 1, 2 or 3 years separately or in the overall  
 18. longitudinal model (Table 4.2.2). Additional analyses showed that children exposed to the  
 19. highest 25% PM<sub>10</sub> and NO<sub>2</sub> levels did not have an increased risk of wheezing in the first 3 years  
 20. compared to those exposed to the lowest 25% air pollutants levels (results not shown). At  
 21. the age of 1 year only, information about the average exposure to air pollutants and wheez-  
 22. ing during the last month was available. As compared to the average per year exposure we  
 23. observed a larger variation in exposure levels of air pollutants measured in the previous  
 24. month at the age 1 year (Table E4.2.2). Furthermore, exposure to increased levels of PM<sub>10</sub>  
 25. during the previous month tended to be associated with an elevated risk of wheezing but  
 26. the effect estimate did not reach statistical significance (OR 1.25 (0.98, 1.58) per 10 µg/m<sup>3</sup>).  
 27. Increased levels of NO<sub>2</sub> during the previous month were associated with wheezing (OR 1.32  
 28. (1.11, 1.55) per 10 µg/m<sup>3</sup>) (Table 4.2.3). We observed no time-dependent effect of air pollut-  
 29. ants on wheezing in the first 3 years (p-values for interaction time\*air pollutant: >0.05). We  
 30. explored the confounding and modifying effect of lower respiratory tract infections and did  
 31. not observe changes in our effect estimates after adjusting the analyses for lower respiratory  
 32. tract infections. Also, the interaction between air pollution and lower respiratory tract infec-  
 33. tions was not significant, and we observed no associations between air pollutants and lower  
 34. respiratory tract infections (data not shown).

35.

### 36. Air pollution, tobacco smoke exposure and risk of wheezing

37.

38. We found no associations of air pollutants levels with the annual risks of wheezing stratified  
 39. for fetal and infant smoke exposure (Table E4.2.3). Stratified longitudinal analyses showed

**Table 4.2.1.** Maternal and child characteristics

|  |   | n=4,634          |                            |
|--|---|------------------|----------------------------|
|  |   | Observed         | After multiple imputations |
| <b>Maternal characteristics</b>        |   |                  |                            |
| 1.                                     | Age (years)*                                  | 31.1 (4.9)       | 31.1 (4.9)                 |
| 2.                                     | Highest completed education (%)               |                  |                            |
| 3.                                     | Non-completed, primary or secondary           | 47.1 (2,050)     | 48.2 (2,234)               |
| 4.                                     | Higher  | 52.9 (2,299)     | 51.8 (2,400)               |
| 5.                                     | Missing                                       | 6.2 (285)        | -                          |
| 6.                                     | Parity (%)                                    |                  |                            |
| 7.                                     | Nulliparity                                   | 61.6 (2,762)     | 61.4 (2,844)               |
| 8.                                     | Multiparity                                   | 38.4 (1,722)     | 38.6 (1,790)               |
| 9.                                     | Missing                                       | 3.2 (150)        | -                          |
| 10.                                    | History of asthma or atopy (%)                |                  |                            |
| 11.                                    | No  | 61.9 (2,369)     | 59.0 (3,734)               |
| 12.                                    | Yes   | 38.1 (1,460)     | 41.0 (1,900)               |
| 13.                                    | Missing                                       | 17.4 (805)       | -                          |
| <b>Fetal and Child characteristics</b> |   |                  |                            |
| 14.                                    | Male sex (%)                                  | 49.9 (2,313)     | 49.9 (2,313)               |
| 15.                                    | Gestational age at birth (weeks) <sup>§</sup> | 39.9 (37.0-42.1) | 39.9 (37.0-42.1)           |
| 16.                                    | Birth weight (grams)*                         | 3,439 (556)      | 3,439 (556)                |
| 17.                                    | Ethnicity (%)                                 |                  |                            |
| 18.                                    | European                                      | 70.4 (3,144)     | 69.9 (3,240)               |
| 19.                                    | Non-European                                  | 29.6 (1,320)     | 30.1 (1,394)               |
| 20.                                    | Missing                                       | 3.7 (170)        | -                          |
| 21.                                    | Breastfed (%)                                 |                  |                            |
| 22.                                    | No  | 7.7 (339)        | 8.0 (371)                  |
| 23.                                    | Yes   | 92.3 (4,089)     | 92.0 (4,263)               |
| 24.                                    | Missing                                       | 4.4 (206)        | -                          |
| 25.                                    | Day care attendance (%)                       |                  |                            |
| 26.                                    | No  | 48.0 (1,894)     | 50.0 (2,316)               |
| 27.                                    | Yes   | 52.0 (2,050)     | 50.0 (2,318)               |
| 28.                                    | Missing                                       | 14.9 (690)       | -                          |
| 29.                                    | Pet keeping (%)                               |                  |                            |
| 30.                                    | No  | 65.5 (2,399)     | 64.6 (2,993)               |
| 31.                                    | Yes   | 34.5 (1,263)     | 35.4 (1,641)               |
| 32.                                    | Missing                                       | 21.0 (972)       | -                          |
| 33.                                    | Lower respiratory tract infections 1 year     |                  |                            |
| 34.                                    | No  | 86.4 (3,165)     | 85.4 (3,957)               |
| 35.                                    | Yes   | 13.6 (498)       | 14.6 (677)                 |
| 36.                                    | Missing                                       | 21.0 (971)       | -                          |



**Table 4.2.1.** Maternal and child characteristics (continued)

|     |  | <b>n=4,634</b>  |                                   |
|-----|--|-----------------|-----------------------------------|
|     |  | <b>Observed</b> | <b>After multiple imputations</b> |
| 1.  |  |                 |                                   |
| 2.  |  |                 |                                   |
| 3.  |  |                 |                                   |
| 4.  | Lower respiratory tract infections 2 years |                 |                                   |
| 5.  | No   | 87.9 (3,494)    | 87.4 (4,052)                      |
| 6.  | Yes  | 12.1 (484)      | 12.6 (582)                        |
| 7.  | Missing                                    | 14.2 (659)      | -                                 |
| 8.  | Lower respiratory tract infections 3 years |                 |                                   |
| 9.  | No   | 93.3 (3,453)    | 92.7 (4,294)                      |
| 10. | Yes  | 6.7 (247)       | 7.3 (340)                         |
| 11. | Missing                                    | 20.2 (934)      | -                                 |
| 12. | Smoking of father (%)                      |                 |                                   |
| 13. | No   | 57.4 (2,153)    | 57.4 (2,658)                      |
| 14. | Yes  | 42.6 (1,599)    | 42.6 (1,976)                      |
| 15. | Missing                                    | 19.0 (882)      | -                                 |
| 16. | Fetal smoke exposure (%)                   |                 |                                   |
| 17. | No   | 86.9 (3,246)    | 86.4 (4,003)                      |
| 18. | Yes  | 13.1 (489)      | 13.6 (631)                        |
| 19. | Missing                                    | 19.4 (899)      | -                                 |
| 20. | Infant smoke exposure (%)                  |                 |                                   |
| 21. | No   | 82.3 (3,391)    | 81.4 (3,770)                      |
| 22. | Yes  | 17.7 (728)      | 18.6 (864)                        |
| 23. | Missing                                    | 11.1 (515)      | -                                 |
| 24. | Wheezing age 1 year (%)                    |                 |                                   |
| 25. | No   | 74.0 (2,922)    | 74.1 (3,433)                      |
| 26. | Yes  | 26.0 (1,028)    | 25.9 (1,201)                      |
| 27. | Missing                                    | 14.8 (684)      | -                                 |
| 28. | Wheezing age 2 years (%)                   |                 |                                   |
| 29. | No   | 82.1 (3,358)    | 82.6 (3,827)                      |
| 30. | Yes  | 17.9 (731)      | 17.4 (807)                        |
| 31. | Missing                                    | 11.8 (545)      | -                                 |
| 32. | Wheezing age 3 years (%)                   |                 |                                   |
| 33. | No   | 89.0 (3,417)    | 89.4 (4,143)                      |
| 34. | Yes  | 11.0 (421)      | 10.6 (491)                        |
| 35. | Missing                                    | 17.2 (796)      | -                                 |

34. Values are percentages (absolute values), means (SD)\* or medians (5-95<sup>th</sup> percentile)<sup>§</sup>.

35. Missing percentages are given for the total population of analysis n=4634. Other percentages are valid percentages.

**Table 4.2.2.** Exposure to air pollutants (previous year, overall) and risks of wheezing

|                        | Odds ratio of wheezing (95% Confidence Interval) |                   |                   |                   |
|------------------------|--|-------------------|-------------------|-------------------|
|                        | Age 1 year                                       | Age 2 years       | Age 3 years       | Overall           |
| <b>PM<sub>10</sub></b> |  |                   |                   |                   |
| Crude                  | 1.07 (0.77, 1.50)                                | 1.54 (0.90, 2.61) | 1.00 (0.51, 1.95) | 1.28 (0.85, 1.91) |
| Adjusted               | 1.21 (0.84, 1.74)                                | 1.49 (0.83, 2.66) | 0.90 (0.43, 1.91) | 1.28 (0.83, 1.98) |
| <b>NO<sub>2</sub></b>  |  |                   |                   |                   |
| Crude                  | 1.01 (0.85, 1.20)                                | 1.04 (0.85, 1.27) | 1.03 (0.79, 1.33) | 1.05 (0.92, 1.19) |
| Adjusted               | 1.07 (0.89, 1.29)                                | 1.04 (0.83, 1.29) | 0.97 (0.72, 1.30) | 1.07 (0.93, 1.23) |

Values are odds ratios (95% Confidence Interval) from logistic regression models representing the risks of wheezing per 10 µg/m<sup>3</sup> increase in PM<sub>10</sub> or NO<sub>2</sub>. The overall effect is from generalized estimating equation models, based on average air pollution levels from birth until the age of 3 years with wheezing at the ages of 1, 2 and 3 years combined.

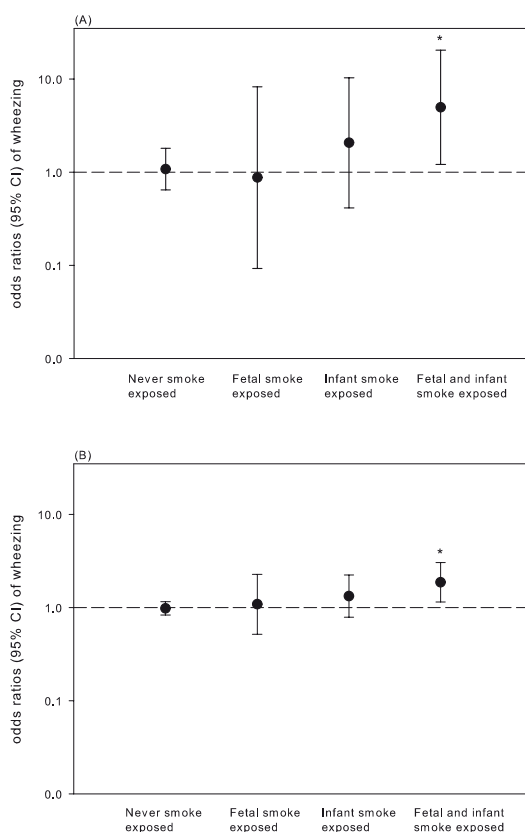
Models are adjusted for maternal age, education, parity, smoking, smoking of the partner, history of asthma or atopy and children's sex, gestational age, birth weight, ethnicity, breastfeeding, daycare attendance, pet keeping and lower respiratory tract infections at the corresponding ages.

**Table 4.2.3.** Exposure to air pollutants in the previous month and wheezing in the same month

|            | Odds ratio of wheezing in previous month age 1 year<br>(95% Confidence Interval) |                              |
|------------|--|------------------------------|
|            | PM <sub>10</sub>   | NO <sub>2</sub>              |
|            | n=373  | n=373                        |
| Quartile 1 | Reference<br>n=83  | Reference<br>n=72            |
| Quartile 2 | 1.24 (0.90, 1.71)<br>n=97  | 1.28 (0.91, 1.79)<br>n=87    |
| Quartile 3 | 1.08 (0.77, 1.49)<br>n=82  | 1.54 (1.11, 2.13)*<br>n=103  |
| Quartile 4 | 1.38 (1.01, 1.88)*<br>n=111  | 1.62 (1.17, 2.24)**<br>n=111 |
| Trend      | 1.25 (0.98, 1.58)<br>p=0.07  | 1.32 (1.11, 1.55)<br>p<0.01  |

Values are odds ratios (95% Confidence Interval) for wheezing from logistic regression models. \*P < 0.05 and \*\*p < 0.01. Models are adjusted for maternal age, education, parity, smoking, smoking of the partner, history of asthma or atopy and children's sex, gestational age, birth weight, ethnicity, breastfeeding, daycare attendance, pet keeping and lower respiratory tract infections at age 1 year. Trend represents the risk of wheezing per 10 µg/m<sup>3</sup> increase in PM<sub>10</sub> or NO<sub>2</sub>.

that the associations of average PM<sub>10</sub> and NO<sub>2</sub> exposure levels with the overall longitudinal risks of wheezing during the first 3 years of life were stronger and significant among children who were exposed to tobacco smoke both during fetal and infant life (overall odds ratios 4.54 (1.17, 17.65) and 1.85 (1.15, 2.96) per 10 µg/m<sup>3</sup> increase in PM<sub>10</sub> and NO<sub>2</sub>, respectively) (Figure 4.2.2). We did not observe associations of traffic-related air pollutants with wheezing among children who were exposed to smoke during fetal life only or during infancy only. However, we observed elevated odds ratios for infant smoke exposure, but these effect estimates were not significant. We additionally assessed whether tobacco smoke exposure modified the as-



**Figure 4.2.2.** Exposure to air pollutants PM<sub>10</sub> (A), NO<sub>2</sub> (B), tobacco smoke and wheezing.

Values are overall odds ratios (95% Confidence Interval) from generalized estimating equation models based on average air pollution levels from birth until the age of 3 years with wheezing at the ages of 1, 2 and 3 years combined, representing the risks of wheezing per 10 $\mu$ g/m<sup>3</sup> increase in PM<sub>10</sub> or NO<sub>2</sub>, stratified for tobacco smoke exposure. Models are adjusted for maternal age, education, parity, history of atopy or asthma and children's ethnicity, sex, gestational age, birth weight, breastfeeding, daycare attendance, pet keeping and lower respiratory tract infections at 1, 2 and 3 years of age. P-values for interaction: tobacco smoke exposure \* average level PM<sub>10</sub>, p-value <0.05; tobacco smoke exposure \* average level NO<sub>2</sub>, p-value <0.01.

sociation of air pollution with risks of wheezing by using interaction terms. These interaction terms were statistically significant for the associations of air pollutants with longitudinally measured wheezing (P-values for interaction: PM<sub>10</sub>\*smoking: p-value <0.05; NO<sub>2</sub>\*smoking: p-value <0.01). However, per year analyses showed that the association of air pollutants with wheezing was modified by tobacco smoke exposure only at the age of 3 years (P-values for interaction per year: PM<sub>10</sub>\*smoking: p-value = 0.35 (age 1), p-value = 0.20 (age 2), and p-value <0.05 (age 3). P-values for interaction NO<sub>2</sub>\*smoking are: p-value = 0.23 (age 1), p-value = 0.14 (age 2), and p-value <0.05 (age 3)).

## 1. DISCUSSION

2.

3. Our study suggests that long term exposure to higher levels of traffic-related air pollutants  
4.  $PM_{10}$  and  $NO_2$  are associated with increased risks of wheezing in the first 3 years of life among  
5. children who are exposed to tobacco smoke during fetal and infant life. We did not observe  
6. associations of traffic-related air pollutants with wheezing among children who were not  
7. exposed to tobacco smoke.

8. Previous studies reported inconsistent findings for the associations of traffic-related air pol-  
9. lution with asthma symptoms and doctor diagnosed asthma<sup>6,7</sup>. Associations of  $NO_2$  and  $PM_{2.5}$   
10. with overall wheezing until the age of 8 years were observed in another study in the Nether-  
11. lands<sup>14</sup>. A Swedish cohort study observed associations of air pollution in the first year of life  
12. with persistent wheezing until 4 years of age<sup>25</sup>. A study in Germany observed no associations  
13. of long term exposure to  $PM_{2.5}$  or  $NO_2$  with the risks of parental reports of asthma symptoms,  
14. but observed an association of  $PM_{2.5}$  exposure levels with doctor diagnosed asthma at the  
15. age of 6 years<sup>26</sup>. Finally, a large Canadian study reported inconsistent results for the associa-  
16. tions of air pollutant levels with the risk of asthma until the age of 4 years, depending on  
17. the exposure assessment. The authors reported no association of traffic-related air pollution  
18. based on land use regression modeling with the risks of asthma, but reported associations of  
19. distance to industrial point sources with an increased risk of asthma<sup>27</sup>. Differences between  
20. our study and previous published studies include our detailed method to assess air pollution  
21. exposure levels in a large city, the availability of many potential confounders and the interac-  
22. tion with smoke exposure. Also, earlier studies did not use individual exposure levels<sup>27</sup>, took  
23. only the birth addresses into account or were not able to adjust for home movement<sup>9, 14, 25</sup>.  
24. Children in our study were exposed to a smaller range of  $NO_2$  exposure (range 28.8-56.1  $\mu g/$   
25.  $m^3$ ) as compared with another Dutch study ( $NO_2$  range 12.6-58.4  $\mu g/m^3$ ) which might have  
26. led to smaller effect estimates<sup>14</sup>. By using long term exposure averages, the potential short  
27. term high risk exposure levels may be missed. At the age of 1 year only, we obtained informa-  
28. tion about wheezing in the last month and the average exposure to air pollutants during  
29. that month. Increased levels of air pollutants exposure during the previous 1 month were  
30. associated with increased risks of wheezing. We were not able to assess this short time interval  
31. at older ages.

32. We observed an interaction between air pollution and tobacco smoke exposure for the  
33. association with longitudinally measured wheezing. However, in our per year analyses we ob-  
34. served that this interaction was only significant at the age of 3 years. This might be explained  
35. by the idea that from the age of 3 years onwards wheezing represents another phenotype  
36. than earlier wheezing in which other factors such as atopic susceptibility in the origins of  
37. wheezing become more important. Also, infant smoke exposure was assessed after respira-  
38. tory outcomes at age 1 year. This might be a reason for observing no significant interaction  
39. between exposure to air pollutants, tobacco smoke and wheezing before the age of 3 years.

1. Our results suggest that tobacco smoke exposure increases the vulnerability of the lungs  
2. to air pollutants. The interaction between particulate matter and tobacco smoke exposure  
3. was previously explored by Rabinovitch et al<sup>3</sup>. They observed that environmental tobacco  
4. smoke exposure modifies the acute effects of low-level ambient PM<sub>2.5</sub> exposure on childhood  
5. asthma. Albuterol usage and leukotriene E<sub>4</sub> were only related to PM<sub>2.5</sub> concentrations on days  
6. when urine cotinine levels were low, which suggest that only when children were not or to a  
7. small amount exposed of tobacco smoke, exposure to air pollution was positively associated  
8. with asthma. Their results were in the opposite direction as compared to our results. This  
9. difference might be explained by differences in study design and methods. We assessed re-  
10. ported tobacco smoke exposure both in fetal and infant life, wheezing at younger ages, and  
11. long term exposure to tobacco smoke and air pollution. Rabinovitch et al assessed biological  
12. markers of smoke exposure in childhood, used albuterol usage as a proxy for asthma, at an  
13. older age, and assessed the short term effects of air pollutants. Previous studies suggested  
14. that both short term and long term exposure to air pollutants are important for the develop-  
15. ment of asthma exacerbations or respiratory symptoms<sup>25, 28-34</sup>. Our results suggest that short  
16. term exposure to air pollutants might be important for developing respiratory symptoms,  
17. whereas long term exposure to air pollutants might be important in the presence of tobacco  
18. smoke exposure. However our results should be considered as hypothesis generating. More  
19. studies are needed to explore the combined effects of air pollution and tobacco smoke expo-  
20. sure on the development of respiratory symptoms. Previously, we have reported that children  
21. from mothers who smoked continuously during pregnancy and during the first years after  
22. pregnancy had increased risks of wheezing in the first years of life<sup>15</sup>. Fetal smoke exposure  
23. has been suggested to have a different underlying mechanism in the pathway to wheezing  
24. than infant smoke exposure. Fetal smoke exposure may lead to impaired lung development  
25. and immunological changes while for infant smoke exposure it includes bronchial hyper-  
26. reactivity, immunological changes, and direct toxic and irritant effects (35-37). Increased  
27. vulnerability of the airways and lungs to air pollutants might be caused by both fetal and  
28. infant smoke exposure via their pathophysiological mechanisms. Among children with infant  
29. smoke exposure, we observed a non-significant elevated odds ratio for the associations of air  
30. pollution with wheezing. This tendency was not observed in children with only fetal smoke  
31. exposure. This might be due to the direct toxic effects of both infant smoke exposure and  
32. exposure to air pollutants, which are absent in fetal smoke exposure only<sup>38</sup>. The mechanisms  
33. underlying the association of air pollution exposure with wheezing or asthma might also  
34. include the induction of airway inflammation and oxidative stress, modification of enzyme  
35. functions, disruption of immune responses and increased reactivity to allergens<sup>26, 38-40</sup>. Also,  
36. respiratory infectious diseases might play a role. However, we did not observe a confound-  
37. ing or modifying effect of respiratory tract infections or associations between air pollutants  
38. and respiratory tract infections. Therefore, the associations of air pollution with wheezing in  
39.

1. our study are probably not explained by infectious mechanisms. Further studies exploring  
2. potential underlying causal mechanisms are needed.

3. This study was embedded in a population-based prospective design with a large number  
4. of subjects being studied from early life onwards with detailed and frequently prospectively  
5. measured information about air pollution levels at the corresponding home-addresses. We  
6. adjusted for a large number of confounders and the results did not differ between non-  
7. imputed and imputed analysis. Non-response at enrolment and lost to follow-up would  
8. lead to biased effect estimates if the associations of air pollutants with wheezing would be  
9. different between those included and not included in the analyses. Selection bias due to  
10. non-participation at enrolment in the prenatal phase might have occurred because our study  
11. population tends to have a selection towards more affluent and healthy mothers<sup>16</sup> who might  
12. have reported less wheezing symptoms and tobacco smoke exposure in their children and  
13. have been exposed to lower air pollutant levels<sup>41</sup>. If so, our observed effect estimates would  
14. be underestimated. Mothers and children lost to follow-up during the postnatal phase were  
15. lower educated (67% vs. 47%) and smoked more frequently during pregnancy (21% vs. 13%).  
16. If children who were lost to follow up would have had more wheezing episodes, this could  
17. have led to an underestimation of the observed effect of air pollution and tobacco smoke  
18. exposure on wheezing as well. One of the limitations of our study is that we might reflect  
19. a selection towards a more healthy population, as the prevalence of preterm birth is lower  
20. than average in The Netherlands, 4.7% versus 7.7%. A homogeneous population would not  
21. affect the observed association of air pollution with wheezing among children exposed and  
22. not exposed to tobacco smoke. However such a population might affect the generalizability.  
23. The observed effects might be different in a population with more preterm born children.  
24. Also, preterm birth could modify the effect between air pollution and wheezing, because  
25. airways and lungs of preterm born children might be less developed and therefore might  
26. be even more vulnerable to air pollution. Previous studies were limited in their ability to  
27. consider the intraurban gradients and temporal variations in air pollutants. However, some  
28. had obtained more subject-specific exposure levels<sup>6,7</sup>. A strength of our study is that we were  
29. able to consider detailed spatial and temporal contrasts in exposure, in which we were able  
30. to take home movements into account. In the first 3 years of life 39.9% of the children moved  
31. at least once. Still there might be misclassification of air pollution assessment. We only cal-  
32. culated exposure levels at home addresses and not at the day care centers or other places  
33. where the child may spend days and nights. We assumed that most of the time children until  
34. the age of 3 years are near or at their home addresses. Furthermore, other types of indoor  
35. or commuting exposure were not taken into account. If any, we expect that this misclas-  
36. sification is non-differential and may have led to an underestimation of the associations<sup>42</sup>. We  
37. had no information on smaller particle sizes than 10  $\mu\text{m}$ . Smaller particles sizes such as  $\text{PM}_{2.5}$   
38. might more adversely affect respiratory morbidity than  $\text{PM}_{10}$  due to deeper peripheral lung  
39. deposition. However, previous studies which measured both  $\text{PM}_{10}$  and  $\text{PM}_{2.5}$  observed strong

1. correlations between exposure to  $PM_{10}$  and  $PM_{2.5}$  and similar effect sizes of these exposures  
2. on childhood asthma or wheezing<sup>32,43</sup>. Although assessing smoking habits by questionnaires  
3. is valid in epidemiological studies, misclassification may occur due to underreporting<sup>44</sup>. How-  
4. ever, the use of biomarkers of tobacco smoke exposure in urine, saliva or blood, or nicotine  
5. in indoor air seems not superior to self-report<sup>44-47</sup>. First trimester adverse exposures might be  
6. important for fetal lung development<sup>48</sup>. Using data from the same study population, we have  
7. previously shown that children do not have an increased risk of preschool wheezing when  
8. mothers quit smoking as soon as they knew they were pregnant<sup>15</sup>. Based on results of our  
9. previous study, we categorized no fetal smoke exposure as children who were never exposed  
10. to tobacco smoke or were exposed to tobacco smoke until first trimester of pregnancy only<sup>15</sup>.  
11. We performed a sensitivity analysis without including fetal smoke exposure during first  
12. trimester only, and observed that the effect sizes did not materially change. Still, it might be  
13. that our categorization led to some misclassification, with an underestimation of the effect  
14. estimates when first trimester only smoking would have comparable effects as continued  
15. smoking during pregnancy. The main outcome in our study was self-reported wheezing. This  
16. method is widely accepted in epidemiological studies and reliably reflects the prevalence  
17. of wheezing in young children<sup>49</sup>. In preschool children a diagnosis of asthma is based on  
18. symptoms<sup>50</sup>, and objective tests, including lung function or bronchial responsiveness, are  
19. difficult to perform in young children and have a very limited if any diagnostic value. Follow  
20. up studies at older ages will include more detailed asthma and atopy measurements.

21.  
22.

## 23. CONCLUSIONS

24.

25. In conclusion, our results suggest that higher long term exposure levels to traffic-related air  
26. pollution lead to higher risks of wheezing in preschool children who were exposed to fetal  
27. and infant tobacco smoke. Further studies are needed to explore underlying mechanisms of  
28. exposure to air pollutants with and without interaction with tobacco smoke exposure and  
29. various types of wheezing and asthma in later life.

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# Supplements

**Table E4.2.1.** Cross table of fetal smoke exposure with infant smoke exposure

|                                    | No infant smoke exposure (%) | Infant smoke exposure (%) | Total       |
|------------------------------------|------------------------------|---------------------------|-------------|
| <b>No fetal smoke exposure (%)</b> | 3,513 (87.8)                 | 490 (12.2)                | 4,003 (100) |
| <b>Fetal smoke exposure (%)</b>    | 257 (40.7)                   | 374 (59.3)                | 631 (100)   |
| <b>Total</b>                       | 3,770                        | 864                       | 4,634       |

Values are numbers (percentages)

**Table E4.2.2.** Levels of air pollutant

|   | Overall         | Previous month |              | Previous year |              |
|---|-----------------|----------------|--------------|---------------|--------------|
|   | Age 1 - 3 years | Age 1 year     | Age 1 year   | Age 2 years   | Age 3 years  |
| <b>PM<sub>10</sub> (µg/m<sup>3</sup>)</b> | n=3,295         | n=3,898        | n=3,963      | n=3,771       | n=3,166      |
| Mean (SD)                                 | 28.36 (1.29)    | 28.29 (4.61)   | 28.86 (2.11) | 28.27 (1.57)  | 27.92 (1.67) |
| Min                                       | 25.84           | 20.04          | 24.47        | 24.19         | 23.96        |
| 25%                                       | 27.49           | 24.77          | 27.49        | 27.29         | 26.73        |
| 50%                                       | 28.18           | 27.51          | 28.60        | 28.25         | 27.91        |
| 75%                                       | 28.89           | 31.59          | 29.78        | 29.13         | 28.91        |
| Max                                       | 36.01           | 44.28          | 39.81        | 35.82         | 35.76        |
| <b>NO<sub>2</sub> (µg/m<sup>3</sup>)</b>  | n=3,295         | n=3,897        | n=3,963      | n=3,772       | n=3,166      |
| Mean (SD)                                 | 37.39 (4.01)    | 38.14 (6.81)   | 38.66 (4.20) | 37.46 (4.17)  | 36.22 (4.28) |
| Min                                       | 28.81           | 18.20          | 29.66        | 27.10         | 27.02        |
| 25%                                       | 34.61           | 33.73          | 35.72        | 34.54         | 33.35        |
| 50%                                       | 37.10           | 39.07          | 38.34        | 37.33         | 35.69        |
| 75%                                       | 39.32           | 42.95          | 40.68        | 39.49         | 38.58        |
| Max                                       | 56.05           | 58.27          | 59.60        | 55.87         | 55.68        |

**Table E4.2.3.** Exposure to air pollutants in previous year, tobacco smoke and wheezing

|             | Odds ratio of wheezing (95% CI) |                      |                       |                       |                       |                      |                      |                      |                      |                      |
|-------------|---------------------------------|----------------------|-----------------------|-----------------------|-----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
|             | PM <sub>10</sub>                |                      |                       |                       |                       | NO <sub>2</sub>      |                      |                      |                      |                      |
|             | Total                           | Never                | Fetal                 | Infant                | Fetal- and infant     | Total                | Never                | Fetal                | Infant               | Fetal- and infant    |
| Age 1 year  | 1.21<br>(0.84, 1.74)            | 1.09<br>(0.71, 1.68) | 1.38<br>(0.24, 7.97)  | 2.22<br>(0.65, 7.59)  | 1.96<br>(0.50, 7.64)  | 1.07<br>(0.89, 1.29) | 1.00<br>(0.81, 1.24) | 1.35<br>(0.53, 3.45) | 1.32<br>(0.67, 2.60) | 1.49<br>(0.75, 2.97) |
| Age 2 years | 1.49<br>(0.83, 2.66)            | 1.29<br>(0.65, 2.54) | 0.57<br>(0.04, 9.39)  | 3.98<br>(0.54, 29.59) | 4.40<br>(0.56, 34.40) | 1.04<br>(0.83, 1.29) | 0.97<br>(0.75, 1.26) | 0.73<br>(0.25, 2.13) | 1.32<br>(0.60, 2.88) | 1.76<br>(0.84, 3.71) |
| Age 3 years | 0.90<br>(0.43, 1.91)            | 0.59<br>(0.24, 1.43) | 0.39<br>(0.01, 19.83) | 4.07<br>(0.27, 60.76) | 3.80<br>(0.36, 40.54) | 0.97<br>(0.72, 1.30) | 0.86<br>(0.60, 1.21) | 0.40<br>(0.07, 2.20) | 0.88<br>(0.30, 2.60) | 2.34<br>(0.96, 5.67) |

Values are odds ratios (95% Confidence Interval) for wheezing at the ages of 1, 2 and 3 years per 10 µg/m<sup>3</sup> increase of PM<sub>10</sub> or NO<sub>2</sub> in the total group and stratified for fetal and infant tobacco smoke exposure. \*p < 0.05. Models are adjusted for maternal age, education, parity, history of asthma or atopy and children's sex, gestational age, birth weight, ethnicity, breastfeeding, daycare attendance, pet keeping and lower respiratory tract infections at the corresponding ages. Total analyses were additionally adjusted for maternal smoking and smoking of the partner. P-values for interaction PM<sub>10</sub>: \* smoking: p-value = 0.35 (age 1), p-value = 0.20 (age 2), and p-value < 0.05 (age 3). P-values for interaction NO<sub>2</sub>: \* smoking: p-value = 0.23 (age 1), p-value = 0.14 (age 2), and p-value < 0.05 (age 3).

# Chapter 5

## General discussion





# 5.1

## General discussion







## 1. INTRODUCTION

2.

3. Low birth weight has been associated with a wide range of adult diseases<sup>1-4</sup>. These obser-  
4. vations have resulted in the developmental origins of health and disease hypothesis<sup>1</sup>. This  
5. hypothesis proposes that organ systems may develop in different ways, depending on the  
6. environment it is exposed to. Adverse exposures may result in specific adaptations, which im-  
7. prove survival and development on short term, but eventually might lead to health problems  
8. in later life<sup>1-5</sup>. Low birth weight has been associated with subsequent respiratory morbidity,  
9. including asthma and chronic obstructive pulmonary disease (COPD)<sup>1, 3, 6-9</sup>. Since low birth  
10. weight is the result of various adverse fetal exposures and growth patterns, and the starting  
11. point of infant growth, it is not per se a causal factor for respiratory morbidity in later life<sup>10-13</sup>.

12. The aim of this thesis was to identify specific fetal and infant growth patterns, their specific  
13. exposures and their interactions leading to asthma symptoms or diagnosis in childhood. The  
14. main results, merits and shortcomings of these studies have been discussed in the previous  
15. chapters. This chapter provides a more general discussion of the main findings of the studies  
16. in this thesis, considers general methodological issues, and gives suggestions for further  
17. research.

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## 20. MAIN FINDINGS

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### 22. Early growth and childhood asthma

23.

24. Low birth weight and preterm birth are associated with increased risks of asthma symptoms.  
25. Not much is known about specific fetal and infant growth patterns versus the risk for devel-  
26. opment of asthma in childhood.

27. First, we performed an individual participant data meta-analysis for 147,252 children of 31  
28. birth-cohort studies to determine the associations of birth and infant growth characteristics  
29. with the risks of preschool wheezing and school-age asthma. Results from this large-scale  
30. meta-analysis of individual participant data suggested that younger gestational age at birth  
31. and higher infant weight gain were associated with a 3.27-fold and 4.47-fold increased risk  
32. of preschool wheezing and school-age asthma, respectively (Table 5.1.1). The associations of  
33. low birth weight with childhood asthma outcomes were largely explained by gestational age  
34. at birth. The highest risk for childhood asthma outcomes was observed among children born  
35. before a gestational age of 32 weeks followed with a high infant weight gain.

36. Second, we examined the associations of fetal and infant growth patterns with the risks of  
37. asthma symptoms in the first 4 years of life. We demonstrated in a Dutch population-based  
38. cohort study among 5,125 children that neither fetal restricted nor accelerated weight and  
39. length growth, defined as a negative or positive change of more than 0.67 standard deviation

1. **Table 5.1.1.** Overview of results of studies presented in this thesis on early growth and childhood lung function and disease

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|     | Lung function      |   |            | Symptoms and disease |                      |          |        |     |
|-----|--------------------|---|------------|----------------------|----------------------|----------|--------|-----|
|     | Rint               | Bronchial responsiveness or reversibility | Spirometry |                      |                      | Wheezing | Asthma |     |
|     |                    |   | FVC        | FEV <sub>1</sub>     | FEF <sub>25-75</sub> |          |        |     |
| 5.  | Preterm birth      | =   | n.s.       | n.s.                 | n.s.                 | n.s.     | ↑      | ↑   |
| 6.  | Low birth weight   | =   | n.s.       | n.s.                 | n.s.                 | n.s.     | ↑      | ↑   |
| 7.  | Gestational age    | =   | n.s.       | n.s.                 | n.s.                 | n.s.     | ↓      | ↓   |
| 8.  | Birth weight       | ↓   | =          | ↑                    | ↑                    | =        | ↓/=    | ↓/= |
| 9.  | Birth Length       | ↓   | =          | ↓                    | ↓                    | =        | =      | =   |
| 10. | Fetal length gain  | ↓   | n.s.       | n.s.                 | n.s.                 | n.s.     | =      | =   |
| 11. | Fetal weight gain  | ↓   | n.s.       | n.s.                 | n.s.                 | n.s.     | =      | =   |
| 12. | Infant weight gain | =   | ↑          | ↑                    | ↑                    | ↓        | ↑      | ↑/= |
| 13. | Infant length gain | =   | =          | =                    | =                    | =        | =      | =   |

14. Lung function was measured at 6 (Rint), 8 (bronchial responsiveness, spirometry) or 15 years (bronchial reversibility, spirometry), and lung disease until 4 years (wheezing) and from 6 to 18 (asthma) years. Arrows represent directions of associations. Upper going arrows represent a positive association, lower going arrows represent a negative association. The equal sign represents that there is no association observed. n.s. means not studied.

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18. score, respectively, were associated with the risks of asthma symptoms until the age of 4

19. years (Table 5.1.1). However, we did observe associations of infant growth acceleration from

20. birth until 3 months with an up to 1.44-fold increased risk of asthma symptoms. These as-

21. sociations seemed to be independent of fetal growth patterns. The association between a

22. low birth weight and asthma symptoms was explained by gestational age at birth.

23. Third, in the same Dutch population-based cohort study we examined the associations of

24. birth characteristics, and fetal and infant growth with airway resistance, physician-diagnosed

25. asthma, and wheezing among 6,259 children aged 6 years. Our results showed that a lower

26. gestational age adjusted birth weight was associated with an increased airway resistance

27. in childhood (Table 5.1.1). Preterm birth was associated with a 1.95-fold increased risk of

28. wheezing and a 2.14-fold risk of physician-diagnosed asthma but not with airway resistance.

29. School-age children with an increased airway resistance had a lower fetal length and weight

30. growth and lower infant length growth. Children with persistent wheezing and physician-

31. diagnosed asthma had increased airway resistance. The pathways from preterm birth to

32. asthma outcomes may include other mechanisms than differences in airway resistance.

33. Fourth, we assessed the effects of growth after birth on lung function and asthma diagnosis

34. in adolescence in a population-based cohort among 9,723 children in the United Kingdom.

35. We demonstrated that a more rapid weight gain, adjusted for length gain, during different

36. periods of childhood was positively associated with asthma, bronchial responsiveness or

37. reversibility and FVC and FEV<sub>1</sub>, but negatively with FEF<sub>25-75</sub> and FEV<sub>1</sub>/FVC and FEF<sub>25-75</sub>/FVC ra-

38. tios (Table 5.1.1). In conclusion, more rapid weight gain in early childhood is associated with

39. increased risk of asthma, bronchial responsiveness or reversibility and measures of airway

1. obstruction in late childhood and adolescence. Increased height gain in mid childhood was  
2. associated with a decreased risk of asthma only.  
3. Potential underlying pathways for the associations of preterm birth, and fetal and child-  
4. hood growth with asthma related symptoms might include a disrupted fetal and infant lung  
5. growth and development, a distortion of the T-helper type 1 ( $T_H1$ )/ $T_H2$  balance, both due to  
6. adverse exposures or epigenetic mechanisms<sup>14-18</sup>, or differences in adipose tissue, leading to  
7. increased leptin levels which stimulates the production of proinflammatory cytokines and  
8. a chronic systemic inflammation status, or indirectly through mechanical effects on lung  
9. function<sup>19-22</sup>.

10. In summary, the results of the studies on early growth and childhood asthma suggest that,  
11. at birth, younger gestational age is an important risk factor for the development of asthma  
12. symptoms. Fetal growth seems to have an influence on lung structure growth, whereas infant  
13. growth seems to influence the development of asthma symptoms. The mechanisms underly-  
14. ing these associations need to be explored in detail in future studies.

15.

## 16. **Fetal exposures and childhood asthma**

17.

18. Abnormal fetal lung- and immune development in response to adverse intra-uterine expo-  
19. sures may increase the risk of asthma and atopic disorders in childhood and adulthood. We  
20. have studied three growth, immunomodulatory, and inflammatory related environmental  
21. exposures in fetal life.

22. First, maternal psychological distress during pregnancy may lead to an increased risk of  
23. childhood asthma via developmental adaptations of the hypothalamic-pituitary-adrenal axis,  
24. the autonomic nervous system, lung structure and function, and immune responses in the  
25. offspring. In a Dutch population-based prospective cohort study among 4,848 mothers and  
26. children, we observed that maternal psychological distress during pregnancy was associated  
27. with a 1.6-fold increased risk of wheezing in preschool children (Table 5.1.2). This association  
28. was independent of paternal psychological distress or maternal postnatal psychological dis-  
29. tress, and many other confounders such as smoking during pregnancy, maternal educational  
30. level, and ethnicity. Furthermore, the results remained after adjusting for birth weight and  
31. gestational age at birth. These results suggest a possible intrauterine programming effect  
32. such as immunomodulation or epigenetics of maternal psychological distress on respiratory  
33. morbidity.

34. Second, overweight and obesity are associated with a continuous low-grade inflammatory  
35. status, which might influence growth and immune development of the fetus and subsequent  
36. increased risk of respiratory morbidity. Maternal pre-pregnancy obesity is suggested to be  
37. associated with childhood asthma symptoms<sup>23-26</sup>. The possible intermediating role of gesta-  
38. tional weight gain is not clear. Among mothers with a history of asthma or atopy, maternal  
39. pre-pregnancy obesity was associated with a 1.47-fold overall increased risk of preschool

**Table 5.1.2.** Overview of results of studies presented in this thesis on fetal exposures and pre-school asthma symptoms

|   | Asthma symptom |        |
|---|----------------|--------|
|   | Wheezing       | Eczema |
| Maternal psychological distress                       | ↑              | n.s.   |
| Maternal pre-pregnancy obesity                        | ↑/=            | n.s.   |
| Maternal gestational weight gain                      | ↑              | n.s.   |
| Maternal C-reactive protein 1 <sup>st</sup> trimester | ↓/=            | ↑      |
| Fetal C-reactive protein in cord blood at birth       | ↑              | =      |

Preschool asthma symptoms were annually obtained until the age of 4 years. Arrows represent directions of associations. Upper going arrows represent a positive association, lower going arrows represent a negative association. The equal sign represents that there is no association observed. n.s. means not studied.

wheezing. We observed that gestational weight gain was associated with a 1.09-fold increased risk of wheezing of the child (Table 5.1.2). This was studied among 4,656 mothers and their children. The effect of maternal pre-pregnancy body mass index and gestational weight gain on preschool wheezing could not be explained by child's growth, infectious or atopic mechanisms. Similar as for the associations of infant growth patterns and asthma symptoms, a potential underlying mechanism could be the role of pro-inflammatory leptin<sup>27</sup>.

Third, C-reactive protein and its role on childhood respiratory symptoms was examined among 4,984 mothers and their children. C-reactive protein is associated with an increased inflammatory status and therefore suggested to be associated with the development of the immune system of the child and subsequent increased risk of respiratory diseases. The results of this study showed that elevated maternal C-reactive protein levels in early pregnancy were associated with a 0.77-fold lower risk of wheezing in the first two years and an overall 1.20-fold higher risk of eczema (Table 5.1.2). Cord blood C-reactive protein levels were associated with a higher overall risk of wheezing and lower respiratory tract infections. C-reactive protein is produced in the liver under IL-6 stimulation, which may change the T<sub>H</sub>1/T<sub>H</sub>2 cell balance leading to respiratory morbidity<sup>28</sup>.

The results of the associations of maternal psychological distress, obesity and gestational weight gain, and C-reactive protein with childhood asthma symptoms suggest that fetal environmental exposures influence the risk of developing childhood asthma in which immunomodulatory and inflammatory factors seem to play an important role.

### Infant exposures and childhood asthma

Breastfeeding and air pollution are two major exposures in early childhood that are suggested to affect childhood asthma.

A substantial body of evidence suggests that breastfeeding is associated with a reduced risk of childhood asthma and asthma symptoms<sup>29,30</sup> but the effect of duration and exclusiveness of breastfeeding is less clear. We observed that no breastfeeding compared to prolonged

**Table 5.1.3.** Overview of results of studies presented in this thesis on infant exposures and pre-school asthma symptoms

|  | Asthma symptom |        |
|--|----------------|--------|
|  | Wheezing       | Eczema |
| Breastfeeding duration                     | ↓              | n.s.   |
| Breastfeeding exclusiveness                | ↓              | n.s.   |
| Exposure to air pollutant PM <sub>10</sub> | =              | n.s.   |
| Exposure to air pollutant NO <sub>2</sub>  | =              | n.s.   |

Preschool asthma symptoms were annually obtained until the age of 4 years. Arrows represent directions of associations. Upper going arrows represent a positive association, lower going arrows represent a negative association. The equal sign represents that there is no association observed. n.s. means not studied.

and exclusive breastfeeding was associated with an up to 1.44-fold increased risk of asthma symptoms in preschool children (Table 5.1.3). These associations seemed at least partly explained by infectious but not by atopic mechanisms. The protective effect of breastfeeding on the various types of asthma and lung function in later life needs to be examined in the future.

Higher exposure levels to air pollutants have been associated with increased risks of asthma exacerbations in adults and children<sup>31-33</sup>. The influence of air pollution and its interaction with tobacco smoke exposure on wheezing in early childhood is less clear<sup>34-36</sup>. No associations between long term exposure to air pollutants and wheezing were observed (Table 5.1.3). The exposure to higher air pollutant levels in addition to fetal and infant tobacco smoke exposure was associated with an up to 4.54-fold increased risks of wheezing. The pathway may include more vulnerable lung tissue in children exposed to tobacco smoke, thru which air pollutants can irritate the lungs.

The results of infant exposures with childhood asthma symptoms suggest that breastfeeding duration and exclusiveness or exposure to air pollution affects the development of asthma symptoms, potentially as a result of infectious mechanisms or irritative agents such as tobacco smoke ingredients. However, long term effects of these infant exposures on asthma or lung function at older ages need to be further elucidated.

## METHODOLOGICAL CONSIDERATIONS

Most of the studies presented in this thesis were based in the Generation R study, a prospective population-based cohort study with a follow up from fetal life onwards in Rotterdam, The Netherlands<sup>37</sup>. A meta-analysis was performed using individual data from 31 birth cohort studies in Europe. One study was performed with data of older children, and had been based in the Avon Longitudinal Study of Parents And Children (also known as children of the 90's), which is a population-based prospective cohort study with follow up from birth onwards in Bristol, United Kingdom<sup>38</sup>. Specific methodological considerations of the presented studies

1. have been discussed in the separate chapters of this thesis. In the following paragraphs, some  
2. general methodological issues regarding the internal validity of epidemiological studies are  
3. discussed including selection bias, information bias, and confounding. Briefly, the external  
4. validity will be discussed.

5.

#### 6. **Selection bias**

7.

8. If the association between the determinant and the outcome of interest is different between  
9. subjects who participate and those who do not participate in the study, but were eligible,  
10. selection bias may occur<sup>39</sup>. In the Generation R cohort it is estimated that 61% (n = 9,778)  
11. of all eligible pregnant mothers participated in the study. This non-response at baseline is  
12. not likely to be random. Participants more often had a higher socio-economic status and  
13. were from a Dutch ethnicity more often, compared to non-participants<sup>40</sup>. This might have  
14. resulted in biased effects. However, this seems less likely because it is suggested that biased  
15. estimates in cohort studies mainly arise from loss to follow-up rather than from non-response  
16. at baseline<sup>41</sup>. Selective loss to follow-up may result in selection bias when the association  
17. between the determinant and the outcome of interest is different between those who con-  
18. tinued participation in the study and those who are lost to follow-up. Of all children included  
19. in the Generation R study, 85.2% (n = 8,305) participated in the follow up studies at the age  
20. of 6 years and 69.6% (n = 6,899) had information on any respiratory outcome at the age of 6  
21. years. Overall, mothers and children lost to follow-up more often had a lower socio-economic  
22. status and unhealthy life style habits. This selection might have biased our effect estimates,  
23. but this bias is difficult to quantify.

24. For the study performed in the ALSPAC cohort, all pregnant women residents in the old  
25. administrative county of Avon were eligible to participate if their estimated delivery date fell  
26. between 1 April 1991 and 31 December 1992. Any resulting child from these pregnancies  
27. was considered eligible. From these eligible pregnancies, 71.8% (n = 14,541) participated in  
28. the ALSPAC cohort. A comparison study suggested that children participating in the ALSPAC  
29. cohorts were more likely to be white and of higher socio-economical status. Those lost to fol-  
30. low up were more likely man and from deprived background<sup>42</sup>. Similarly as for the Generation  
31. R Study, this selection might have biased the observed effect estimates, but quantification of  
32. this bias is difficult.

33.

#### 34. **Information bias**

35.

36. A systematic error in a study can arise when the information about the participants of the  
37. study is incorrect (misclassified) and this error is called information bias<sup>39</sup>. Misclassification  
38. of the exposure can be differential (non-random), if the misclassification is different for those  
39. with and without the outcome of interest, or non-differential (random), if it is unrelated to

1. the occurrence or the presence of the outcome of the study. Similarly, misclassification of  
2. the outcome can be differential or non-differential. Differential misclassification may lead  
3. to biased effect estimates, either over- or underestimated. Non-differential misclassification  
4. usually leads to an underestimation or a dilution of the effect estimates.

5. Exposure data used in our studies including maternal pre-pregnancy weight and gesta-  
6. tional weight gain, childhood weight and height, C-reactive protein levels, and air pollution  
7. levels, were collected longitudinally and before assessment of the outcome. Both the data  
8. collectors and the parents were unaware of the research questions under study, which makes  
9. differential misclassification of the exposure less likely. However, fetal growth and gestational  
10. age at birth were based on crown rump length of the fetus in early pregnancy. The use of last  
11. menstrual period has several limitations, such as the large number of mothers who do not  
12. know the exact date of their last menstrual period or have irregular menstrual cycles. Em-  
13. bryos and fetuses have virtually identical growth velocities during early gestation. Although,  
14. differences in size might be observed between fetuses<sup>43</sup>, hence using crown rump length  
15. is reducing the variation in early growth to zero. Therefore, we cannot exclude that there  
16. may be a random measurement error in the estimation of pregnancy duration. We suggest  
17. that this error is non-differential and therefore might have lead to an underestimation of  
18. the effect estimates<sup>44, 45</sup>. Also, mothers with psychological distress might have been more  
19. aware or anxious of their child's health and might therefore have reported more often asthma  
20. symptoms. This could have resulted in an overestimation of the effect estimates. Finally,  
21. breastfeeding habits might be influenced by a family history of asthma or atopy because  
22. affected parents might have been aware of a possible association between breastfeeding  
23. and childhood asthma or atopy. Therefore, mothers with a positive family history of asthma  
24. or atopy more often breastfed their child for more than 6 months, and these mothers might  
25. have been more aware of asthma symptoms and subsequently more reported such symp-  
26. toms. This might have resulted in an overestimation of the observed effects, or, if children had  
27. less symptoms, an underestimation of the observed effect. Lifestyle factors such as tobacco  
28. smoking and low socio-economical status, are known to be underreported. This might have  
29. led to an underestimation of the effect estimates because the difference in the risk of the  
30. outcome between those who for example smoke and those who do not smoke becomes  
31. smaller due to underreporting.

32.

### 33. **Confounding**

34.

35. A confounder is an extraneous variable that is associated with both the determinant and  
36. the outcome of interest and is not an intermediate step in the causal pathway between the  
37. exposure and outcome<sup>39</sup>. Our studies are adjusted for many potential confounders. However,  
38. we cannot exclude that the effect estimates might be biased due to residual confounders  
39. such as atopic status of the child, and intermediates such as body mass index in later life.

1. Unfortunately, we were not able to take these confounders into account because they were
2. not yet measured in our study, or not known at the time of analyses and writing.

3.

#### 4. **External validity**

5.

6. External validity is the extent to which results of a study can be applied to other populations.

7. The Generation R study is based on the general population in Rotterdam, the Netherlands.

8. The largest ethnic groups are the Dutch, Surinamese, Turkish and Moroccan groups. Both

9. household income and highest followed educational level in mothers and fathers in the

10. study cohort suggest a selection towards a higher socioeconomic status than in the whole

11. study area<sup>46</sup>. This pattern is similar in our follow-up assessments until the age of 6 years and

12. in other large scale cohort studies<sup>47</sup>. Specifically, the population that was under study for

13. the projects presented in this thesis, seemed a reasonable representative subgroup of the

14. general population, with rather good representation of different ethnic backgrounds, educa-

15. tional levels and socioeconomic status. Although, there is a selection towards a more western

16. background and a higher educated population. The results of this thesis could therefore

17. presumably be applied to a western mixed ethnicity population.

18. The meta-analysis was based on individual participant data of 31 cohort studies from coun-

19. tries throughout Europe. However, countries from the Eastern part of Europe did participate

20. but in quantity were relatively underrepresented. Still, we assume that the overall population

21. of analysis was a good representation of the average European population and we suggest

22. that these results can be applied to all general populations in Europe.

23. For the study embedded in the ALSPAC study it was previously shown that the study

24. represents the whole of Britain in terms of ethnicity, socioeconomic status and income<sup>42</sup>.

25. Therefore, the results may be applied to other general Western European populations.

26.

27.

#### 28. **CAUSALITY**

29.

30. In our observational studies we were unable to assess causal effects of exposures, but as-

31. sociations only. However, taking the Hill's criteria for causation of our population-based

32. prospective studies into account, we observed strong effect estimates (ORs up to 2 for the

33. main results), consistency with previous studies, adjusted for a large number of confounders,

34. temporality between exposures and outcomes, dose response effects, and plausible under-

35. lying mechanisms and coherency from animal studies. The experimental and analogous

36. criteria could not be fulfilled. Additionally, in twin-studies an inverse association between

37. birth weight or body mass index and childhood asthma has been observed, which suggest

38. an association independent of genetic or environmental factors<sup>48-50</sup>. Another approach to

39. explore causality is a Mendelian randomization approach. The Mendelian randomization, the



1. random assortment of genes from parents to offspring that occurs during gamete formation  
2. and conception, provides an opportunity for assessing the causal nature of environmental  
3. exposures<sup>51</sup>. A recent study that applied such an approach suggested a causal association  
4. between body mass index and asthma in mid childhood<sup>52</sup>. Specifically, the authors observed  
5. that both fat and lean mass were associated with increased risks of childhood asthma<sup>52</sup>. This  
6. would imply that at least a part of childhood asthma is the result of obesity in childhood,  
7. which is consistent with the observed associations of rapid infant weight gain, which often  
8. precedes overweight or obesity, and childhood asthma in this thesis.

9. Depending on the exposure under study, our observational studies provide moderate to  
10. good evidence for causal relationships of fetal and infant growth patterns and exposures  
11. with childhood asthma symptoms based on the Bradford Hill criteria and previous twin and  
12. Mendelian randomisation studies.

13.

14.

## 15. **CLINICAL IMPLICATIONS AND DIRECTIONS FOR FUTURE RESEARCH**

16.

17. Previously, several prediction models<sup>53, 54</sup>, of which one recently has been validated in the  
18. Generation R Study<sup>55</sup>, have identified risk scores that predict the probability of having asthma  
19. at school age among preschool children with suggestive symptoms. In future prediction  
20. studies, it should be assessed whether the risk factors observed in this thesis are of additional  
21. value in the prediction models. Thereafter, the newly obtained prediction models should be  
22. tested in specific clinical settings such as (pediatric) hospitals, general practitioner practices  
23. and child health centers. Randomized controlled trials to assess the effect of prevention strat-  
24. egies for the risk factors studied in this thesis are difficult to perform. For example, breast-  
25. feeding habits cannot be randomized due to ethical limitations. Alternatively, a design to  
26. assess the preventive effects of reducing adverse risk factors or stimulating beneficial factors  
27. might be an intervention trial in which one arm receives an intervention, such as promotion  
28. of breastfeeding or counseling for quitting smoking, and the other arm usual care<sup>56, 57</sup>. This  
29. design might also be applicable to other risk factors for asthma development examined in  
30. this thesis.

31. The potential risk factors observed in this thesis might have clinical implications. Many  
32. children experience respiratory morbidity during early childhood but only 30% continue to  
33. develop asthma in childhood<sup>58</sup>. If a young child has one or more risk factors that are known to  
34. be strongly associated with persistent wheezing, physician diagnosed asthma, or restricted  
35. lung function in later life, clinicians would have a better target for secondary prevention  
36. strategies and treatment. Also, clinicians could be more restrictive in treatment for those who  
37. probably have transient respiratory morbidity.

38. The largest part of this thesis was focussed on children of a pre-school age. Because  
39. asthma is difficult to diagnose in young children and non-invasive objective tests are not

1. available, the first aspect of future studies will be to study the associations of early growth  
2. and fetal and infant environmental exposures with asthma diagnosis, atopic status and lung  
3. function measurements in school-age children, adolescence, and up to adulthood. Secondly,  
4. asthma is a heterogeneous disease with several identified phenotypes<sup>59</sup>. These phenotypes  
5. are suggested to have different specific underlying mechanisms and prognosis and therefore  
6. it would be a valuable addition to this thesis and other previously published work to disen-  
7. tangle specific risk factors and their association with various phenotypes. Third, recent stud-  
8. ies in small and selected populations have demonstrated that adverse fetal exposures such as  
9. maternal smoking, suboptimal diet and folic acid supplements lead to persistent epigenetic  
10. modifications<sup>14, 60-62</sup>. Epigenetic modifications, such as DNA methylation in promoter regions  
11. of specific genes, may affect expression of specific genes altering lung development and the  
12. susceptibility for development of lung disease. Therefore, the epigenetic origins of childhood  
13. asthma should be explored<sup>1, 3, 6-9</sup>. Last, the complex microbial and immunological interactions  
14. that possibly influence the development of childhood asthma need to be examined<sup>63</sup>.

15.

16.

## 17. **CONCLUSION**

18.

19. Asthma symptoms are common in childhood and are responsible for a large proportion of  
20. the morbidity in childhood. We identified fetal and infant growth patterns and environmental  
21. exposures that influence the risk of childhood asthma. More research is needed to evaluate  
22. the associations of the identified risk factors on asthma in later life, and the possible epigen-  
23. etic mechanisms. Ultimately, by identification of early life exposures related to the develop-  
24. ment of asthma throughout childhood, we hope to develop preventive strategies focused on  
25. pregnant women and young children to improve respiratory health during childhood.

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# Chapter 6







# 6.1

## Summary





1. In this thesis we examined the fetal and infant origins of childhood asthma. Early growth  
2. and adverse environmental exposures lead to an adapted respiratory and immunological  
3. development, which subsequently increase the risk of asthma and asthma symptoms. From  
4. both an etiological and a prevention perspective, it is important to identify specific fetal and  
5. infant exposures that lead to childhood asthma in later life. The studies presented in this  
6. thesis were specifically focused on the identification of early critical periods.

7.

8. **Chapter 1** is a general introduction and provides the hypothesis on which this thesis was  
9. based. It also provides the aims of the performed studies and describes the outline of the  
10. thesis.

11.

12. **Chapter 2** describes the associations of fetal and infant growth with the development of asth-  
13. ma outcomes in childhood. In **Chapter 2.1** we observed that younger gestational age at birth  
14. and higher infant weight gain were associated with increased risks of childhood asthma. The  
15. association of lower birth weight with childhood asthma was largely explained by gestational  
16. age at birth. From **Chapter 2.2** we concluded that weight gain acceleration in early infancy  
17. was associated with increased risks of asthma symptoms in preschool children, independent of  
18. fetal growth. Therefore, early infancy might be a critical period for the development of asthma.

19. **Chapter 2.3** shows that airway resistance in school-age children is influenced by fetal growth  
20. restriction, but not by preterm birth, and is associated with asthma outcomes. The pathways  
21. from preterm birth to asthma outcomes may include other mechanisms than differences  
22. in airway resistance. In **Chapter 2.4** we observed that rapid weight gain in early childhood  
23. is associated with bronchial responsiveness, and a decreased lung function in adolescence.  
24. Furthermore, rapid height gain seems to be associated with smaller lungs.

25. In conclusion, early growth, and especially weight gain, seems an important factor in the  
26. development of childhood asthma.

27.

28. **Chapter 3** describes the associations of fetal exposures with the development of childhood  
29. asthma. In **Chapter 3.1** we observed that maternal psychological distress during pregnancy  
30. is associated with increased odds of wheezing of their child during the first 6 years of life,  
31. independent of paternal psychological distress during pregnancy and maternal and paternal  
32. psychological distress after delivery. **Chapter 3.2** shows that mothers with pre-pregnancy  
33. obesity and a history of asthma or atopy, and higher gestational weight gain showed higher  
34. risks of wheezing in their offspring. These associations could not be explained by growth,  
35. infectious or atopic mechanisms. **Chapter 3.3** suggest that elevated maternal C-reactive  
36. protein in pregnancy is associated with a higher risk of eczema, and C-reactive protein in cord  
37. blood with a higher risk of wheezing and lower respiratory tract infections in the first 4 years.

38. In conclusion, immunomodulatory and inflammatory related environmental exposures in  
39. fetal life are associated with the development of childhood asthma.

1. **Chapter 4** describes the associations of infant exposures with the development of childhood
2. asthma. **Chapter 4.1** suggest that shorter duration and non-exclusivity of breastfeeding
3. were associated with increased risks of asthma-related symptoms in preschool children.
4. These associations seemed at least partly explained by infectious but not by atopic mecha-
5. nisms. In **Chapter 4.2** we suggest that long term exposure to traffic-related air pollutants is
6. associated with increased risks of wheezing in children exposed to tobacco smoke in fetal life
7. and infancy. Smoke exposure in early life might lead to increased vulnerability of the lungs
8. to air pollution.
9. In conclusion, breastfeeding and air pollution, two major exposures in early childhood, are
10. suggested to affect the risks of childhood asthma.
- 11.
12. Finally, in **Chapter 5** we discuss the results of the studies in this thesis in a general discus-
13. sion and place our findings in a broader perspective. Furthermore, methodological issues
14. of the studies, causality of the observed associations and directions for future research are
15. described.
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# 6.2

## Samenvatting





1. In dit proefschrift hebben we onderzocht welke foetale en vroeg postnatale factoren geassocieerd zijn met de ontwikkeling van astma op de kinderleeftijd. Vroege groei en nadelige omgevingsfactoren kunnen leiden tot een aangepaste ontwikkeling van de longen en luchtwegen, welke vervolgens het risico op astma en astma symptomen kunnen vergroten. Vanuit zowel een etiologisch als een preventief perspectief is het belangrijk om specifieke foetale en vroeg postnatale omgevingsfactoren die kunnen leiden tot astma te identificeren. De studies in dit proefschrift richten zich in het bijzonder op de identificatie van belangrijke periodes voor het ontstaan van astma.

9.

10. **Hoofdstuk 1** is een algemene introductie en beschrijft de hypothese waarop dit proefschrift is gebaseerd. Ook worden de doelen van de uitgevoerde studies en de verdere opzet van het proefschrift beschreven.

13.

14. **Hoofdstuk 2** beschrijft de associatie van foetale en vroeg postnatale groei met de ontwikkeling van astma op de kinderleeftijd. In **Hoofdstuk 2.1** laten we zien dat een kortere zwangerschapsduur en een grotere gewichtstoename in de vroeg postnatale periode geassocieerd is met een verhoogd risico op het ontstaan van astma klachten. De associatie van een laag geboortegewicht met astma wordt voornamelijk verklaard door een kortere zwangerschapsduur. Uit **Hoofdstuk 2.2** kunnen we concluderen dat een grotere gewichtstoename in de vroeg postnatale periode geassocieerd is met meer astma klachten op de kleuterleeftijd. Deze associatie is onafhankelijk van de foetale groei. Daarom lijkt de vroege postnatale fase een belangrijke periode voor het ontstaan van astma. **Hoofdstuk 2.3** laat zien dat luchtwegweerstand in schoolgaande kinderen beïnvloed wordt door foetale groei restrictie, maar niet door vroeggeboorte, en geassocieerd is met astma uitkomsten. De relatie tussen vroeggeboorte en astma uitkomsten wordt mogelijk verklaard door andere mechanismen dan luchtwegweerstand. In **Hoofdstuk 2.4** laat zien dat een grotere gewichtstoename in de vroege postnatale periode geassocieerd is met toegenomen bronchiale hyperreactiviteit en verminderde longfunctie in jongvolwassenen. Ook laten we zien dat snelle lengtegroei geassocieerd is met kleinere longen.

30. Uit de studies van hoofdstuk 2 concluderen we dat vroege groei, en met name snelle gewichtstoename in de vroege postnatale periode, een belangrijke factor is in de ontwikkeling van astma op de kinderleeftijd.

33.

34. **Hoofdstuk 3** beschrijft de associatie tussen blootstelling aan omgevingsfactoren in de foetale periode en de ontwikkeling van astma op de kinderleeftijd. **Hoofdstuk 3.1** beschrijft dat maternale psychologische stress gedurende de zwangerschap geassocieerd is met een verhoogd risico op wheezing van het kind tijdens de eerste zes levensjaren. Dit is onafhankelijk van paternale psychologische stress gedurende de zwangerschap en maternale en paternale psychologische stress na de geboorte van het kind. **Hoofdstuk 3.2** laat zien dat moeders

1. die obees zijn voor de zwangerschap en ook atopie of astma hebben, en dat moeders met
2. een verhoogde toename van gewicht tijdens de zwangerschap, geassocieerd zijn met een
3. verhoogd risico op wheezing van hun kind. Deze associaties kunnen niet worden verklaard
4. door groei, infectieuze of atopische mechanismen. **Hoofdstuk 3.3** toont dat een verhoogd
5. maternaal C-reactief proteïne in de zwangerschap geassocieerd is met een verhoogd risico
6. op eczeem bij het kind. Ook toont dit hoofdstuk aan dat een verhoogd C-reactief proteïne in
7. navelstrengbloed geassocieerd is met een hoger risico op het ontstaan van wheezing en lage
8. luchtweg infecties in de eerste vier levensjaren.
9.     Uit de studies van hoofdstuk 3 concluderen we dat immunomodulatoire en inflammatoire
10. gerelateerde blootstellingen in het foetale leven zijn geassocieerd met het risico op het ont-
11. staan van astma op de kinderleeftijd.
- 12.
13. **Hoofdstuk 4** beschrijft de associatie tussen blootstelling aan omgevingsfactoren in de
14. vroeg postnatale periode en het ontstaan van astma op de kinderleeftijd. **Hoofdstuk 4.1**
15. suggereert dat een kortere duur en het niet exclusief geven van borstvoeding geassocieerd
16. is met een verhoogd risico op het ontstaan van astma klachten bij jonge kinderen. Deze
17. associatie kan gedeeltelijk verklaard worden door infectieuze mechanismen, maar niet door
18. atopische mechanismen. In **Hoofdstuk 4.2** laten we zien dat een langdurige blootstelling
19. aan luchtvervuiling geassocieerd is met een verhoogd risico op wheezing in kinderen die ook
20. blootgesteld zijn aan foetale en vroeg postnatale tabaksrook. De blootstelling aan tabaksrook
21. zou kunnen leiden tot een verhoogde kwetsbaarheid van de longen voor luchtvervuiling.
22.     Uit de studies van hoofdstuk 4 concluderen we dat borstvoeding en luchtvervuiling, twee
23. belangrijke vroeg postnatale blootstellingen, zijn geassocieerd met het risico op het ontstaan
24. van astma op de kinderleeftijd.
- 25.
26. Ten slotte, in **Hoofdstuk 5**, bediscussiëren we de resultaten uit de studies in dit proefschrift
27. in een algemene discussie en plaatsen we onze bevindingen in een breder perspectief. Ook
28. beschrijven we de methodologische beperkingen van deze studies, de causaliteit van de
29. geobserveerde associaties, en geven we suggesties voor toekomstig onderzoek.
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# Chapter 7





# 7.1

## Publication list





1. 1. **Sonnenschein-van der Voort AM**, Jaddoe VW, Moll HA, Hofman A, van der Valk RJ, de Jongste JC, Duijts L. Influence of maternal and cord blood C-reactive protein on childhood respiratory symptoms and eczema. *Pediatr Allergy Immunol*. 2013;24(5):469-75. Epub 2013/06/19 DOI 10.1111/pai.12094
2. 2. **Sonnenschein-van der Voort AM**, Duijts L. Breastfeeding is protective against early childhood asthma. *Evid Based Med*. 2013;18(4):156-7. Epub 2012/11/06 DOI 10.1136/eb-2012-100910
3. 3. **Sonnenschein-van der Voort AM**, Jaddoe VW, van der Valk RJ, Willemsen SP, Hofman A, Moll HA, de Jongste JC, Duijts L. Duration and exclusiveness of breastfeeding and childhood asthma-related symptoms. *Eur Respir J*. 2012;39(1):81-9. Epub 2011/07/23 DOI 10.1183/09031936.00178110
4. 4. **Sonnenschein-van der Voort AM**, Jaddoe VW, Raat H, Moll HA, Hofman A, de Jongste JC, Duijts L. Fetal and infant growth and asthma symptoms in preschool children: the Generation R Study. *Am J Respir Crit Care Med*. 2012;185(7):731-7. Epub 2012/01/24 DOI 10.1164/rccm.201107-1266OC
5. 5. **Sonnenschein-van der Voort AM**, de Kluizenaar Y, Jaddoe VW, Gabriele C, Raat H, Moll HA, Hofman A, Pierik FH, Miedema HM, de Jongste JC, Duijts L. Air pollution, fetal and infant tobacco smoke exposure, and wheezing in preschool children: a population-based prospective birth cohort. *Environ health*. 2012;11:91. Epub 2012/12/13 DOI 10.1186/1476-069X-11-91
6. 6. Guxens M, **Sonnenschein-van der Voort AM**, Tiemeier H, Hofman A, Sunyer J, de Jongste JC, Jaddoe VW, Duijts L. Parental psychological distress during pregnancy and wheezing in preschool children: The Generation R Study. *J Allergy Clin Immunol*. 2013. Epub 2013/06/20 DOI 10.1016/j.jaci.2013.04.044
7. 7. Leermakers ET, **Sonnenschein-van der Voort AM**, Heppe DH, de Jongste JC, Moll HA, Franco OH, Hofman A, Jaddoe VW, Duijts L. Maternal fish consumption during pregnancy and risks of wheezing and eczema in childhood: the Generation R Study. *Eur J Clin Nutr*. 2013;67(4):353-9. Epub 2013/02/28 DOI 10.1038/ejcn.2013.36
8. 8. Leermakers ET, **Sonnenschein-van der Voort AM**, Gaillard R, Hofman A, de Jongste JC, Jaddoe VW, Duijts L. Maternal weight, gestational weight gain and preschool wheezing. The Generation R Study. *Eur Respir J*. 2013;42(5):1234-43. Epub 2013/03/09. DOI 10.1183/09031936.00148212

1. 9. Hafkamp-de Groen E, **Sonnenschein-van der Voort AM**, Mackenbach JP, Duijts L, Jaddoe
2. VW, Moll HA, Hofman A, de Jongste JC, Raat H. Socioeconomic and sociodemographic
3. factors associated with asthma related outcomes in early childhood: The Generation R
4. Study. *Plos One* 2013;8(11). DOI: 10.1371/journal.pone.0078266.
- 5.
6. 10. van der Valk RJ, Kiefte-de Jong JC, **Sonnenschein-van der Voort AM**, Duijts L, Hafkamp-
7. de Groen E, Moll HA, Tiemeier H, Steegers EA, Hofman A, Jaddoe VW, de Jongste JC. Neo-
8. natal folate, homocysteine, vitamin B12 levels and methylenetetrahydrofolate reductase
9. variants in childhood asthma and eczema. *Allergy*. 2013;68(6):788-95. Epub 2013/05/23
10. DOI 10.1111/all.12146
- 11.
12. 11. **Sonnenschein-van der Voort AM**, Gaillard R, de Jongste JC, Hofman A, Jaddoe VW,
13. Duijts L. Fetal and infant growth patterns, airway resistance and school-age asthma. The
14. Generation R Study. Submitted
- 15.
16. 12. **Sonnenschein-van der Voort AM**, Arends LR, de Jongste JC, Annesi-Maesano I, Arshad
17. SH, Barros H, Basterrechea M, Bisgaard H, Chatzi L, Corpeleijn E, Correia S, Craig LC, De-
18. vereux G, Dogaru C, Dostal M, Duchon K, Eggesbø M, van der Ent CK, Fantini MP, Forastiere
19. F, Frey U, Gehring U, Gori D, van der Gugten AC, Hanke W, Henderson AJ, Heude B, Iñiguez
20. C, Inskip H, Keil T, Kelleher CC, Kogevinas M, Kreiner-Møller E, Kuehni CE, Küpers LK, Lancz
21. K, Larsen PS, Lau S, Ludvigsson J, Mommers M, Nybo Andersen AM, Palkovicova L, Pike
22. KC, Pizzi C, Polanska K, Porta D, Richiardi L, Roberts G, Schmidt A, Sram RJ, Sunyer J, Thijs
23. C, Torrent M, Viljoen K, Wijga AH, Vrijheid M, Jaddoe VVW, Duijts L, Preterm birth, early
24. growth and the risk of childhood asthma: A meta-analysis of 147,000 children. Submitted
- 25.
26. 13. **Sonnenschein-van der Voort AM**, Howe LD, Granell R, Duijts L, Sterne J.A.C, Tilling K,
27. Henderson A.J. Influence of childhood growth on asthma and lung function in adoles-
28. cence. Submitted
- 29.
30. 14. Zugna D, Galassi C, Maesano IA, Baiz N, Barros H, Basterrechea M, Correia S, Duijts L, Esplu-
31. gues A, Fantini MP, Forastiere F, Gascon M, Gori D, Inskip H, Larsen PS, Mommers M, Nybo
32. Andersen AM, Penders J, Petersen MS, Pike K, Porta D, **Sonnenschein-van der Voort AM**,
33. Steuerwald U, Sunyer J, Torrent M, Vrijheid M, Richiardi L, Rusconi F. Maternal complica-
34. tions in pregnancy and infant wheezing: a study in fourteen birth cohorts. Submitted
- 35.
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# 7.2

## About the author







1. Agnes Maria Mariamna Sonnenschein-van der Voort was born on the 2<sup>nd</sup> of March 1985 in  
2. Amsterdam, The Netherlands. In 2003 she completed secondary school at the Eddy Hillesum  
3. Lyceum in Deventer. In the same year she started studying Earth Sciences at Utrecht Uni-  
4. versity. After finishing her Bachelor's degree in 2006, she got admitted to study Medicine at  
5. the Erasmus Medical Center, Rotterdam. In 2008 she started the master Clinical Research at  
6. the Netherlands Institute for Health Sciences on top of the regular medical curriculum. As a  
7. part of the Master of Science programme she attended a summer programme at the Johns  
8. Hopkins Bloomberg School of Public Health, at the Johns Hopkins University in Baltimore,  
9. United States of America. She obtained a "doctoral" degree in medicine in 2010 and in 2011  
10. she obtained her Master of Science in Clinical Research degree after which she could extend  
11. her research project into the current PhD traject on fetal and infant origins of childhood  
12. asthma at the Generation R Study, at the departments of Paediatrics (promotor: Prof J.C. de  
13. Jongste, co-promotor: Dr L. Duijts), and Epidemiology (promotor: Prof V.W.V Jaddoe). During  
14. her PhD traject she spent 6 months at the Avon Longitudinal Study of Parents and Children  
15. (ALSPAC) and worked on the association of early growth with asthma in adolescence under  
16. supervision of Prof AJ. Henderson, Prof J.A.C. Sterne and Prof K. Tilling. At this moment she is  
17. doing her clinical rotations and hopes to graduate as a medical doctor in 2015. Agnes lives in  
18. The Hague, together with her husband Anne.

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# 7.3

## PhD Portfolio





1. **Summary of PhD training and teaching**

2. Name PhD student: Agnes Sonnenschein-van der Voort  
 3. Erasmus MC Department: Paediatrics, Respiratory Medicine; Epidemiology  
 4. Research School: Nihes  
 5. PhD period: 01 June 2011 – 31 March 2013  
 6. Promotors: prof. dr. J.C. de Jongste, prof. dr. V.W.V. Jaddoe  
 7. Co-promotor: dr. L. Duijts  
 8.  
 9.

10. **1. PhD training**

|  | Year      | Workload (ECTS) |
|--|-----------|-----------------|
| <b>GENERAL COURSES</b>   |           |                 |
| <b>Specific courses</b>  |           |                 |
| - Master of Science in Clinical Research at the Netherlands Institute of Health Sciences, NIHES, Rotterdam   | 2008-2011 |                 |
| Including a Summer Programme at Johns Hopkins Bloomberg School of Public Health, at the Johns Hopkins University in Baltimore, United States of America  |           |                 |
| <b>Seminars and workshops</b>  |           |                 |
| - Dag voor de jonge onderzoekers, NVK, Veldhoven   | 2011      | 0.5             |
| - Young investigators day, NRS, Amsterdam  | 2011      | 0.5             |
| - Networking workshop, VENA  | 2012      | 0.2             |
| - Generation R Research meetings   | 2011-2012 | 1.0             |
| - Seminars at the department of Epidemiology, Erasmus MC   | 2011-2012 | 1.0             |
| - Seminars at the School of Social and Community Medicine, University of Bristol, United Kingdom   | 2012-2013 | 1.0             |
| <b>PRESENTATIONS</b>   |           |                 |
| <b>Invited speaker</b>   |           |                 |
| - VLOV symposium (Vlaamse Organisatie van Vroedvrouwen). Waregem, Belgium. <i>Duration and exclusiveness of breastfeeding and childhood asthma.</i>  | 2011      | 1.0             |
| <b>Other</b>   |           |                 |
| - Research meeting children's respiratory medicine, department of paediatrics, division of Respiratory Medicine, Erasmus MC-Sophia. <i>Duration and exclusiveness of breastfeeding and childhood asthma.</i> | 2011      | 1.0             |
| - Paediatrics Research meeting – Erasmus MC-Sophia. <i>Fetal and infant growth and asthma symptoms in preschool children.</i>  | 2011      | 1.0             |
| - Generation R Research meeting. <i>Fetal flow, placental function, growth and asthma symptoms in preschool children.</i>  | 2012      | 1.0             |

|     |   |  |           |     |
|-----|---|--|-----------|-----|
| 1.  | - | Research meeting children's respiratory medicine, department of paediatrics, division of Respiratory Medicine, Erasmus MC-Sophia. <i>Fetal flow, placental function, growth and asthma symptoms in preschool children.</i> | 2012      | 1.0 |
| 2.  |   |  |           |     |
| 3.  | - | Research meeting children's respiratory medicine, department of paediatrics, division of Respiratory Medicine, Erasmus MC-Sophia. <i>Early growth and childhood asthma: a meta-analysis on 147,000 children.</i>           | 2012      | 1.0 |
| 4.  |   |  |           |     |
| 5.  |   |  |           |     |
| 6.  | - | Sophia Onderzoekersdag, Erasmus MC - Sophia. <i>Vroege groei en astma op de kindertijd.</i>  | 2013      | 1.4 |
| 7.  |   | <b>Presentations on international conferences</b>  |           |     |
| 8.  | - | 5 <sup>th</sup> Conference of Epidemiological Longitudinal Studies in Europe, Paphos, Cyprus (oral presentation). <i>Duration and exclusiveness of breastfeeding and childhood asthma.</i>                                 | 2010      | 1.4 |
| 9.  | - | 21 <sup>st</sup> European Respiratory Society, Amsterdam, the Netherlands (poster discussion). <i>Fetal and infant growth and asthma symptoms in preschool children.</i>   | 2011      | 1.4 |
| 10. |   |  |           |     |
| 11. | - | American Thorax Society conference, San Francisco, USA (poster presentation). <i>Maternal distress and asthma symptoms in preschool children.</i>  | 2012      | 0.7 |
| 12. |   |  |           |     |
| 13. | - | American Thorax Society conference, San Francisco, USA (poster presentation). <i>Air pollution, tobacco smoke exposure and wheezing in preschool children.</i>   | 2012      | 0.7 |
| 14. | - | DOHAD satellite meeting, Rotterdam, the Netherlands (oral presentation). <i>Early growth and childhood asthma: a meta-analysis on 147,000 children.</i>  | 2012      | 1.4 |
| 15. |   |  |           |     |
| 16. | - | 23 <sup>rd</sup> European Respiratory Society, Barcelona, the Netherlands (poster discussion). <i>Growth in childhood with lung function in adolescence.</i>   | 2013      | 0.7 |
| 17. |   |  |           |     |
| 18. | - | 23 <sup>rd</sup> European Respiratory Society, Barcelona, the Netherlands (oral presentation). <i>Early growth and childhood asthma: a meta-analysis on 147,000 children.</i>  | 2013      | 1.4 |
| 19. |   |  |           |     |
| 20. |   | <b>OTHER</b>   |           |     |
| 21. |   | <b>Scholarships, grants and prizes</b>   |           |     |
| 22. | - | European Respiratory Society (ERS) short term research and training fellowship (nr. STRTF 93-2012), € 7700.  | 2012      |     |
| 23. | - | Koninklijke Nederlandse Academie voor de Wetenschap (KNAW) Ter Meulen Fonds research fellowship (nr. TMF2012/228), € 9150.   | 2012      |     |
| 24. |   |  |           |     |
| 25. | - | Pfizer Nutrition Young Investigator Award, Developmental Origins of Health And Disease (DOHAD) satellite meeting, Rotterdam, the Netherlands, 2012, € 500.   | 2012      |     |
| 26. |   |  |           |     |
| 27. | - | ERS Grant for best abstract in Paediatric Respiratory Epidemiology, Barcelona, European Respiratory Society (ERS) – Paediatric Assembly, 2013, € 1000.   | 2013      |     |
| 28. | - | Vereniging Trustfonds Erasmus Universiteit Rotterdam, several travel grants including: Johns Hopkins Summer Programme, CELSE 2010, ATS 2012, and ERS 2013.   | 2010-2013 |     |
| 29. |   |  |           |     |
| 30. |   |  |           |     |
| 31. |   |  |           |     |
| 32. |   |  |           |     |
| 33. |   |  |           |     |
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| 37. |   |  |           |     |
| 38. |   |  |           |     |
| 39. |   |  |           |     |

1. **2. Teaching**

|     |  | Year      | Workload<br>(ECTS) |
|-----|--|-----------|--------------------|
| 2.  |  |           |                    |
| 3.  |  |           |                    |
| 4.  |  |           |                    |
| 5.  | <b>SUPERVISING PRACTICALS</b>  |           |                    |
| 6.  | - NIHES ESP01: Principles of Research and Medicine and Epidemiology.   | 2011      | 1                  |
| 7.  | <b>SUPERVISING MASTER'S THESES</b>   |           |                    |
| 8.  | <b>Epidemiology</b>  |           |                    |
| 9.  | - Elisabeth T.M. Leermakers, <i>Maternal pre-pregnancy obesity, gestational weight gain and wheezing in children. The Generation R Study.</i>  | 2011      | 1.5                |
| 10. |  |           |                    |
| 11. | <b>Medicine</b>  |           |                    |
| 12. | - Varsha P.S. Doelam. <i>Fetal exposure to Maternal and paternal Smoking and respiratory morbidity at the age of 6 years. The Generation R Study.</i>  | 2012      | 1.5                |
| 13. |  |           |                    |
| 14. | - Anouk E. Muntz. <i>Duration and exclusivity of breastfeeding with respiratory morbidity at the age of 6 years. The Generation R Study.</i>   | 2013      | 1.5                |
| 15. |  |           |                    |
| 16. | <b>Supervising Bachelor's thesis</b>   |           |                    |
| 17. | <b>Medicine</b>  |           |                    |
| 18. | - Nathalie S. Bale, <i>Maternal C-reactive protein levels and wheezing in preschool children. The Generation R Study</i>   | 2011      | 1.0                |
| 19. |  |           |                    |
| 20. | <b>Other</b>   |           |                    |
| 21. | - Reviewed articles for <i>Allergy, Asthma &amp; Clinical Immunology; Expert Review of Respiratory Medicine; International Journal of Hygiene and Environmental Health; Journal of Evaluation and Program Planning, Paediatrics and International Child Health</i> | 2012-2013 | 2.0                |
| 22. |  |           |                    |
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# 7.4

## Dankwoord





## 1. DANKWOORD

2.

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4. een leerzame reis waarin er hard gewerkt is, maar er ook veel plezier is gemaakt. Zonder de  
5. steun van velen had dit promotietraject niet zo voorspoedig kunnen verlopen. Graag maak ik  
6. daarom gebruik van deze gelegenheid om jullie allemaal te bedanken.

7.

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11. de gelegenheid die u mij heeft gegeven om mijn masteronderzoek uit te breiden naar een  
12. volwaardig proefschrift. U wist altijd kritisch te kijken naar mijn werk en regelmatig kreeg  
13. ik het terug met geweldige ideeën, en niet alleen wetenschappelijk, maar u wist ook altijd  
14. het juiste woord te vinden zodat het hele manuscript ineens veel duidelijker werd. U zei ook  
15. eerlijk wat u ergens van vond, zowel over verbeterpunten als over behaalde successen. Beste  
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19. het logisch dat jij onderdeel werd van mijn powerteam. Bedankt voor alles wat je me geleerd  
20. hebt, en dat is niet weinig! Nu ben je officieel hoogleraar en ben ik trots dat ik je eerste  
21. promovenda mag zijn.

22.

23. Evenzo belangrijk in het team was mijn co-promotor: Dr. L Duijts. Beste Liesbeth, tijdens onze  
24. eerste kennismaking zat jij in Bristol en ik in Rotterdam. Overleggen deden we via Skype en  
25. e-mail en niet een keer per week, maar zo nodig meerdere keren per dag. Toen hadden we  
26. nog geen idee dat het niet veel later omgekeerd zou zijn: ik in Bristol en jij in Rotterdam. Je  
27. was een hele fijne begeleider: gedurende menig deadline hebben we nachtelijk mailcontact  
28. gehad, tot in de kleine uurtjes bleef ook jij scherp totdat we tevreden waren en we vlak voor  
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31. wat extra tijd inplanden voor een bezoekje aan Doppio mét muffins. Gelukkig lopen er nog  
32. wat projectjes dus voorlopig kunnen we vrolijk verder samen.

33.

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35. taak van secretaris op u wilde nemen. Uw presentaties zorgden de afgelopen jaren altijd voor  
36. een nieuwe dosis inspiratie voor dit proefschrift. Beste Prof.dr. Smit, de afgelopen jaren heb  
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9. en jij verpleegkunde. We hadden destijds niet kunnen voorspellen dat we in 2013 samen naar
10. het congres van de ERS zouden gaan. Bedankt voor onze jaren trouwe vriendschap van lange
11. avonden op Triton en in het Neutje, via springend in de regenplas in Londen: stupid cows!,
12. tot llaààrge bullets in Barcelona, en niet te vergeten onze spontane relax avondjes. Romy, mijn
13. onderzoeksmaatje van het eerste uur. In ons masterjaar bij Generation R zaten we samen aan
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27. ful with our ELF-project.
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36. beschikbaar, wanneer gaan we skypen, wanneer is ons weekend?
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13. Mijn boek is klaar, iedereen nogmaals bedankt.
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15. Nu is het tijd voor een feestje!
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