

**New approaches towards risk assessment,
diagnosis and prevention strategies of
colorectal anastomotic leakage**

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New Approaches Towards Risk Assessment, Diagnosis and Prevention Strategies of Colorectal Anastomotic Leakage

Nieuwe benaderingen van risico bepaling, diagnose en
preventie strategieën van colorectale naadlekkage

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Part 1

General Introduction

The most important and frustrating complication of colorectal surgery is colorectal anastomotic leakage (CAL). An anastomotic defect causes leakage of colonic content into the abdominal and/or pelvic space leading to peritonitis, abscess formation and sepsis that can be fatal. The incidence of CAL varies between 3 % and 19 %¹⁻⁴ and mortality rates due to CAL vary between 10 % and 20 %⁵⁻⁷. Moreover, CAL is a risk factor for local recurrence of colorectal cancer and is reported to reduce long-term cancer specific survival⁸.

The pathological processes leading up to the occurrence of this defect are poorly understood. Even when all patient-, disease- and operation related factors favor proper anastomotic healing, CAL still may occur.

Cause and risk factors

Tissue ischemia at the anastomotic site is considered to be one of the main causes of CAL⁹,¹⁰, as illustrated by several studies. CAL has been related to intraoperative hypotension in patients with elevated diastolic blood pressure undergoing complex surgery¹¹. By means of Laser Doppler Flowmetry anastomotic perfusion at the proximal loop of the anastomosis has been found to decrease after clamping of either the inferior mesenteric artery or the left colic artery¹². In addition, perioperative oxygen supplementation has been shown to reduce CAL rates¹³. However, contradicting these ideas and observations, electrically welded anastomoses appear to be healing as well. This technique consists of welding the two bowel endings together by means of bipolar welding tools, creating ischemia¹⁴. In addition, compression anastomosis techniques have been developed in which both bowel endings are trapped between two opposing rings¹⁵. These techniques create ischemia too, however, leakage rates are not higher than for sutured anastomoses¹⁶.

Technical failure is considered to be another cause. An anastomosis that is not closed airtight is prone to leak¹⁷. Therefore, Halsted's principles of gentle handling of tissues, scrupulous hemostasis, tension-free anastomosis and crush-free dissection aiming to minimize damage to tissue and optimize perfusion are still propagated¹⁸. Intraoperative air tightness testing is a commonly used technique to evaluate the anastomosis. When positive,

additional sutures can be placed or the anastomosis can be taken down allowing construction of a new anastomosis. When the anastomosis cannot be reached a deviating stoma can be constructed. Using this test routinely after colorectal surgery reduces CAL rates and it could therefore be considered a simple and reproducible method to intraoperatively predict the development of anastomotic leakage ^{17, 19}.

An anatomical factor might also play a role in the processes leading to CAL. The superior and inferior mesenteric arteries guarantee the blood supply to the colon. The marginal artery serves as a collateral artery connecting the two arterial systems. However, at the splenic flexure the marginal artery has been shown to be frequently insufficient ²⁰. This could lead to a compromised blood supply to the anastomosis after left hemicolectomy, sigmoid or rectal resection. Whether this insufficiency is clinically relevant has not yet been shown.

Adding to the poor understanding of CAL is the great variety of reported risk factors. Factors like diverticular disease ²¹, rectal resection ²², urgent colectomy ²³, smoking ²⁴, body mass index (BMI) ²⁵, sex ²⁶, use of steroids ²⁷, radio- and/or chemotherapy ²⁶, American Society of Anesthesiologists (ASA) score ¹, a history of cardiac and vascular disease ²⁸, operating time ²⁶ and use of non-steroidal anti-inflammatory drugs (NSAID) ²⁹ are reported to contribute to the development of CAL. Only for a few risk factors level 1 evidence exists. Meta-analyses show no superiority of the hand-sutured technique over the stapled technique ^{30, 31}. Several meta-analysis show no superiority of mechanical bowel preparation for colorectal surgery in terms of CAL. In contrast, the data seemed to favor patients that did not have bowel preparation ^{32, 33}. Meta-analysis concerning prophylactic drainage showed no benefit of drainage on CAL ³⁴. Randomized controlled trials on preoperative radiotherapy ³⁵ and performing an omentoplasty around the anastomosis ³⁶ showed that these factors had no impact on the incidence of CAL.

Considering the great number of reported risk factors and causes, CAL should be considered a multifactorial complication. Moreover, since for each of the aforementioned risk factors confirming as well as contradicting studies have been published it can be assumed not all

factors or combinations of factors are known. Therefore more research concerning risk factors for CAL is needed.

Diagnosis

To date, CAL is suspected when certain signs and symptoms are present and confirmation occurs by imaging and/or reoperation^{37, 38}. Signs of peritonitis at clinical examination and fecal discharge from a drain or wound are specific signs of CAL. However, more common clinical signs like fever, prolonged ileus, increased amounts of drain fluid, renal failure, leucocytosis, cardiac and respiratory symptoms are not very specific³⁹⁻⁴². Therefore, confirmation of CAL by means of imaging studies like proctoscopy, CT-scan and/or (water-soluble) contrast enema is needed and is performed six days to two weeks after the operation^{2, 39} at which point the patient is generally very ill. Since delay in diagnosis of CAL increases mortality, earlier diagnosis should be strived for⁴³. Routine imaging studies might decrease the interval between operation and diagnosis of CAL but are not preferred because of radiation exposure, costs, patient's discomfort and false positives due to subclinical CAL^{43, 44}. In addition, the reported sensitivity of CT-scan in the early postoperative period varies from 15 % to 52 %⁴⁵⁻⁴⁷ and the false negative rates vary between 35 % and 53 %^{45, 47}. For a diagnostic test these rates are rather low.

Alternative imaging consists of contrast radiography. Reported sensitivity and specificity when performed in case of clinical suspicion were 68 % and 94 % respectively⁴⁵. When performed routinely around seven to eight days postoperatively, sensitivity and specificity vary between 20 % and 52 % and 85 % and 87 % respectively^{48, 49}. These rates are better than for CT-scan, however the interval between operation and imaging is quite long.

There is a need for additional diagnostic or screening tools to detect CAL in the early postoperative phase. A biomarker reflecting the intra-abdominal milieu surrounding the anastomosis might be an objective tool in addition to current methods, allowing diagnosis of CAL in the early postoperative phase. Such a biomarker might be found in the fluid retrieved from an intra-abdominal drain. Several reports on this matter show promising results, for

example higher levels of cytokines, matrix-metalloproteinase and LPS in patients with CAL⁵⁰⁻

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Treatment

Treatment options of symptomatic CAL contain antibiotic therapy, percutaneous drainage, local transanal drainage and reoperation⁶¹. The type of treatment depends on the severity of the clinical consequences of CAL. The "International Study Group of Rectal Cancer" has introduced a grading system of CAL³⁸. A subclinical leak detected by imaging studies is classified as grade A and requires no intervention. It might, however, result in delayed stoma closure. When the patient's clinical condition requires an active therapeutic intervention that can be managed without operative reintervention, leakage is classified as grade B. Therapeutic interventions include administration of antibiotics and/or radiologic placement of a pelvic drain or transanal lavage. In case of grade C leakage, the patients are ill and require operative management of CAL. This consists of construction of a diverting ileostomy or when already present, the anastomosis is taken down with creation of a descending colostomy (Hartmann's procedure).

An alternative treatment for presacral abscesses due to CAL consists of a combination of negative pressure therapy with minimally invasive, endoscopic closure of the defect⁶². Initially the abscess is drained by means of negative pressure therapy for approximately four days. Afterwards the defect is closed by suturing transanally and drains are left behind in the cavity. So far the technique has been shown to be feasible, however, whether it is better than the aforementioned techniques remains to be investigated.

Prevention

When a patient is not likely to develop CAL based upon lack of risk factors and a negative intraoperative air tightness test, bowel continuity can be restored without additional precautions. However, when a patient is more likely to develop CAL there are a few options. One option is to postpone the operation to allow improvement of the patients' risk factors.

Factors like BMI, use of steroids or NSAIDs can be influenced preoperatively in order to reduce risk of CAL. Another option is to construct a diverting stoma. This does not decrease CAL rates but it does reduce the number of patients with CAL requiring reoperation ⁵⁴. However, as a routine procedure in colorectal surgery it is not very well suited since it has a substantial impact on the quality of life and in up to 19 % of patients the stoma will never be closed ^{55,56}. Moreover, stoma closure is associated with high morbidity rates, around 17 % ⁵⁷. Small bowel obstruction and wound sepsis belong to the most common complications. Therefore, a diverting stoma should only be constructed in selected, high risk patients.

Next to these commonly used options there are several still experimental methods of which anastomotic sealants are an important one. Products like cyanoacrylate ⁵⁸, fibrin sealants ⁵⁹ and platelet rich plasma ⁶⁰ are a few examples of products that have been studied in animal experiments. For this research, mostly performed on rats, outcome measures like anastomotic bursting pressure (ABP), tensile strength (TS) and hydroxyproline concentration are used. ABP is the peak pressure at which the insufflated bowel segment containing the anastomosis bursts. The force needed to break an anastomosis when pulling both sides is TS. Hydroxyproline is a metabolite of collagen and considered representative for the amount of collagen at the anastomotic site. Several studies conclude that the tested product might improve anastomotic healing because a higher ABP, TS or hydroxyproline concentration were found. However, these endpoints are surrogate endpoints of anastomotic healing and provide us with information about how healing is influenced by the intervention. It provides no information on CAL. In addition, rats are quite resistant against infection, rendering it not the most suited animal for experiments concerning CAL.

Besides these diverting and experimental strategies a means for prevention of an actual anastomotic defect through which fecal content leaks into the abdominal/pelvic space, has not been reported to date.

Purpose and outline

The purpose of this thesis is to investigate several new approaches to research on “the” major complication of colorectal surgery, which might contribute to our knowledge and to reducing morbidity and mortality.

After the general introduction in part 1, part 2 contains different studies concerning risk assessment of CAL.

Chapter 1 describes a retrospective risk factor analysis of patients of a large academic hospital, analyzing risk factors traditionally reported in literature as well as a new factor, being the time of surgery.

In Chapter 2 the patient’s atherosclerotic load, visualized on CT-scans, is retrospectively studied as a new potential risk factor for CAL.

Chapter 3 contains one of few studies on prospectively collected data on the heavily debated role of long-term and perioperative use of corticosteroids as potential risk factors for CAL.

Chapter 4 contains a review on the colon’s vasculature. It deals with Riolan’s arch, supposedly being an anatomical entity, it’s synonyms and relevance. In addition, Griffith’s point is discussed as a potential anatomical factor increasing the risk of CAL.

In Chapter 5 anastomotic perfusion is prospectively compared between high tie versus low tie ligation of the inferior mesenteric artery in order to see whether a difference in blood flow occurs, potentially influencing the risk of CAL.

In Part 3 potential screening methods for CAL are described.

Chapter 6 contains a review of literature concerning screening techniques that have lead to the APPEAL-study (Analysis of Parameters Predictive for Evident Anastomotic Leakage). In Chapter 7 the development of a new real-time PCR for *E. coli* and *E. faecalis* on drain fluid is described.

In Chapter 8 the results of a prospective RT-PCR analysis, as part of the APPEAL-study, leading to the first objective screening test for CAL, are presented.

In Chapter 9 the analysis of acute phase proteins in drain fluid as potential biomarkers for CAL is described.

Part 4 deals with an experimental approach to CAL.

Chapter 10 describes a new animal experimental model with anastomotic leakage as outcome measure.

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Part 2

Risk assessment

Chapter 1

After-hours colorectal surgery: a risk factor for anastomotic leakage

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Abstract

Purpose

This study aims to increase knowledge of colorectal anastomotic leakage by performing an incidence study and risk factor analysis with new potential risk factors in a Dutch tertiary referral center.

Methods

All patients whom received a primary colorectal anastomosis between 1997 and 2007 were selected by means of operation codes. Patient records were studied for population description and risk factor analysis.

Results

In total 739 patients were included. Anastomotic leakage (AL) occurred in 64 (8.7 %) patients of whom nine (14.1 %) died. Median interval between operation and diagnosis was 8 days. The risk for AL was higher as the anastomoses were constructed more distally ($p=0.019$). Univariate analysis showed duration of surgery ($p=0.038$), BMI ($p=0.001$), time of surgery ($p=0.029$), prophylactic drainage ($p=0.006$) and time under anesthesia ($p=0.012$) to be associated to AL. Multivariate analysis showed BMI greater than 30 kg/m^2 ($p=0.006$, OR 2.6 CI 1.3–5.2) and “after hours” construction of an anastomosis ($p=0.030$, OR 2.2 CI 1.1–4.5) to be independent risk factors.

Conclusion

BMI greater than 30 kg/m^2 and “after hours” construction of an anastomosis were independent risk factors for colorectal anastomotic leakage.

Introduction

Anastomotic leakage (AL) is the major complication after colorectal surgery. It is poorly understood as illustrated by the vast body of confirming and contradicting publications on virtually every known potential risk factor. The great variation of reported incidences of AL, between 3 % and 19 %^{1,2}, illustrates this as well. The poor understanding of AL may be due to its multifactorial aspect. In addition, studies on potential risk factors are performed in different populations throughout the world and, therefore, show many different independent risk factors for anastomotic leakage, varying from diverticular disease³ and rectal resection⁴ to weight loss⁵, urgent operation⁶, smoking⁷ and BMI⁸. The factor “surgeon” is important as well since it is shown that leakage risk is lower when patients are operated upon by a high-volume surgeon⁹. The group of potential risk factors for AL that is studied in literature is generally quite similar and contains, in addition to the aforementioned factors, mechanical bowel preparation (MBP)¹⁰, prophylactic drainage (PD)¹¹, ASA-score⁴, prolonged operating time⁴, use of corticosteroids¹², anastomotic configuration¹³, hand-sutured vs. stapled anastomosis¹⁴, neoadjuvant radiotherapy¹⁵, laparoscopic vs. open surgery¹⁶, and gender⁸. Despite the vast body of evidence on these potential risk factors AL remains poorly understood. Therefore, risk factor analysis should not be limited to these factors. Since ischemia is considered to be one of the causes of AL, studying vascular disease, i.e., atherosclerosis, could be a new approach as suggested by Foster et al.¹⁷. Analysis of known risk factors for atherosclerosis like hypertension¹⁸, dyslipidemia¹⁹, smoking²⁰, diabetes mellitus²¹ could be an interesting addition to the group of potential risk factors.

More and more publications show the danger of after hours medical activity. Gray et al. showed after-hours surgery to be related to higher complications rate²². Fechner et al. showed that night time kidney transplantation enhances the risk for complications and graft failure²³. In addition, several studies have shown that proficiency and situational awareness of physicians is less at night²⁴⁻²⁷. On the matter of colorectal surgery, the time of day at which the patient is operated has never been related to AL.

In short, risk factors for AL vary between different populations and, since it is a multifactorial problem, there are probably several unknown risk factors. This means that more studies on this matter, from different hospitals throughout the world should be performed, published and compared in order to improve understanding of this complication.

This study aims to describe the population of patients with primary colorectal anastomosis treated in a Dutch tertiary referral center and to determine the incidence and risk factors of AL in this population.

Materials and methods

All patients who have undergone surgery on the colon or rectum in the period 1997–2007 were selected in the electronic archive by means of operation code of the relevant operations. In this population, patients whom received a primary anastomosis, involving colon and or rectum, were selected. The electronic- and paper patient records were used to score patient-, surgery-, and disease-related factors for risk factor analysis and description of the patient population. The patient-related factors analyzed in this study were body mass index (BMI), age, gender, diabetes mellitus (DM), smoking, cardiac- and pulmonary comorbidity, history of vascular disease, ASA-score, use of steroids, statins, and anti-hypertensive medication (Table 1).

The surgery-related factors analyzed in this study were prophylactic drainage (PD), time of surgery, type of operation, anastomotic configuration, blood transfusion, surgeon vs. assistant, mechanical bowel preparation (MBP), laparoscopic vs. open operation, duration of surgery, stapled vs. hand-sutured anastomosis, urgent vs. elective operation (Tables 1 and 2). Operations were considered urgent when it was indicated in anesthesiologist's records and the patient's file. Examples of included surgical emergencies were mechanical obstruction due to colorectal cancer and perforated diverticulitis.

The disease-related factors analyzed in this study were the type of neoadjuvant therapy, radio- or chemotherapy or a combination, surgical indication (Table 1). In addition, several outcome parameters like wound infection, pneumonia, urinary tract infection, abdominal

wound dehiscence, hospital stay and stay on the intensive care unit were analyzed as well.

The primary outcome measure was clinically manifest anastomotic leakage, confirmed by imaging or relaparotomy. In case of imaging, leakage was considered to be present when free air or contrast was visible around the anastomosis. In case of relaparotomy, leakage was considered to be present when a dehiscent anastomosis was visualized. Results are summarized as means and standard deviations (SD) or medians and ranges for the continuous variables, the categorical variables are summarized in frequencies. Median and mean values were compared between groups with and without AL by means of the Mann–Whitney test or Chi-square test in univariate analyses. Multivariate analysis was performed with factors that were significant in univariate analysis and consisted of multiple logistic regression with backwards elimination. In the final model, multiple logistic regression with backwards elimination with all factors, significant or nonsignificant in univariate analysis, was performed. Operation duration was transformed logarithmically in this analysis to reduce the influence of outlying observations. A p-value of 0.05 (two-sided) was considered the limit of significance. Analyses were performed with “SPSS 15.0” statistical software.

Results

Patient population

A total of 739 patients received a primary colorectal anastomosis over the selected period. This number included 90 ileocaecal resections, 27 transverse colon resections, 250 right hemicolectomies, 30 subtotal colectomies, 64 left hemicolectomies, 155 sigmoid resections, 18 rectosigmoid resections and 96 low anterior resections after the total mesenterial excision principle (TME). Nine patients underwent non-traditional resections, consisting of eight anastomosis without resection when intraoperatively, the tumor appeared to have progressed too far and one resection of transverse and descending colon. These nine patients were excluded for further risk factor analysis. A total of 92 (13 %) operations were performed laparoscopically, of which 38 (41 %) were converted. The population is described in Table 1.

| Population description and univariate analysis ^f | | | | | | |
|---|--------------|--|---------------|--|----------------|---------|
| Factor | | Anastomotic leakage | | No anastomotic leakage | | p-value |
| BMI (kg/m ²) ^a | <25 | 33 (9 %) | | 343 (91 %) | | 0.001 |
| | 25-30 | 13 (6 %) | | 217 (94 %) | | |
| | >30 | 15 (20 %) | | 62 (81 %) | | |
| Age (years) ^b | | 61 (22 – 84) | | 60 (18 – 99) | | 0.953 |
| Gender (M/F) | | 32(9 %) / 32 (9 %) | | 346 (91 %) / 329 (91 %) | | 0.951 |
| Smoker ^c | | Yes: 16 (9 %) | No: 44 (8 %) | Yes: 163 (91 %) | No: 485 (92 %) | 0.918 |
| Use of steroids ^c | | Yes: 13 (12 %) | No: 51 (8 %) | Yes: 96 (88 %) | No: 569 (92 %) | 0.277 |
| Use of Anti-hypertensive medication ^c | | Yes: 7 (5 %) | No: 55 (9 %) | Yes: 137 (95 %) | No: 532 (91 %) | 0.116 |
| Use of statines ^c | | Yes: 6 (9 %) | No: 56 (8 %) | Yes: 63 (91 %) | No: 608 (92 %) | 1.000 |
| ASA-score ^c | | I: 13 (20 %) II: 27 (42 %) III: 20 (31 %) IV: 1 (2 %) V: 0 (0 %) | | I: 162 (24 %) II: 303 (45 %) III: 125 (19 %) IV: 20 (3 %) V: 1 (0,1 %) | | 0.203 |
| History of vascular disease ^c | | Yes: 11 (9 %) | No: 51 (8 %) | Yes: 106 (91 %) | No: 564 (92 %) | 0.831 |
| Cardiac comorbidity ^c | | Yes: 13 (9 %) | No: 49 (8 %) | Yes: 134 (91 %) | No: 539 (92 %) | 0.974 |
| Pulmonary comorbidity ^c | | Yes: 8 (9 %) | No: 49 (8 %) | Yes: 85 (91 %) | No: 563 (92 %) | 1.000 |
| Diabetes Mellitus ^c | | Yes: 6 (9 %) | No: 57 (9 %) | Yes: 60 (91 %) | No: 606 (91 %) | 1.000 |
| Blood transfusion ^c | | Yes: 14 (13 %) | No: 48 (8 %) | Yes: 91 (87 %) | No: 550 (92 %) | 0.114 |
| Mechanical Bowel Preparation ^d | | Yes: 37 (8 %) | No: 16 (10 %) | Yes: 436 (92 %) | No: 142 (90 %) | 0.460 |
| Neoadjuvant radiotherapy | | Yes: 1 (8 %) | No: 63 (9 %) | Yes: 11 (92 %) | No: 664 (91 %) | 1.000 |
| Anastomotic configuration ^c | | E-E 7 (12 %) E-S 2 (2 %) S-E 21 (11 %) S-S 33 (9 %) | | E-E 52 (88 %) E-S 90 (98 %) S-E 170 (89 %) S-S 345 (91 %) | | 0.075 |
| Surgical indication | | Cancer: 37 (9 %) IBD: 6 (5 %) Diverticular disease: 3 (5 %) Other: 18 (12 %) | | Cancer: 374 (91 %) IBD: 109 (95 %) Diverticular disease: 53 (95 %) Other: 139 (89 %) | | 0.249 |
| Approach | | Laparotomy: 59 (9 %) Laparoscopy: 5 (5 %) | | Laparotomy: 588 (91 %) Laparoscopy: 87 (95 %) | | 0.328 |
| Surgeon vs. Assistant | | Surgeon 13 (10 %) Assistant 51 (8 %) | | Surgeon 114 (90 %) Assistant 561 (92 %) | | 0.603 |
| Stapled vs. handsutured ^c | | Stapled 5 (8 %) Sutured 57 (9 %) | | Stapled 57 (92 %) Sutured 617 (91 %) | | 1.000 |
| Urgent vs. Elective | | Urgent: 19 (12 %) Elective: 45 (8 %) | | Urgent: 137 (88 %) Elective: 538 (92 %) | | 0.110 |
| Prophylactic drainage | Yes | 21 (15 %) | | 121 (85 %) | | 0.006 |
| | No | 43 (7 %) | | 554 (93 %) | | |
| Time of Surgery ^e | During hours | 49 (8 %) | | 584 (92 %) | | 0.029 |
| | After hours | 15 (14 %) | | 91 (86 %) | | |
| Duration of operation (minutes) ^b | | 163 (70 – 365) | | 144 (49 – 497) | | 0.038 |
| Time under anesthesia (minutes) ^b | | 226 (110 – 426) | | 195 (75 – 630) | | 0.012 |

Table 1. Description of operated population and univariate analysis

BMI = Body Mass Index (kg/m²), SD = Standard Deviation, ASA = American Society of Anesthesiologists

^a BMI missing 47 (6,4 %)

^b Expressed in median and range

^c Data do not add up to 739 due to occasional missing of data

^d MBP unknown in 108 (14,5 %) patients

^e During hours is defined as the hours in which a new elective procedure was allowed to start (i.e. between 7.45 h and 15.30 h). After hours is defined as the period in which patients were operated upon by the operating team that is on call (i.e. between 15.30 h and 7.45 h).

^f The group with nine non-traditional resections (“other” in table 2) was excluded for risk factor analysis

Overall, the mean duration of anesthesia was 217 min (SD=81 min) and the mean duration of operation was 162 min (SD=72 min). A total of 633 (86 %) patients were operated upon during-hours, which was defined as the hours in which a new elective procedure was allowed to start (i.e., between 7.45 h and 15.30 h). This means that generally, the elective program ended around 17.30 h–18.00 h. A total of 106 patients (14 %) were operated upon after-hours, which was defined as the period in which patients were operated upon by the operating team that is on call (i.e., between 15.30 h and 7.45 h). A total of 156 (21 %)

patients were operated upon in an emergency setting, 583 (79 %) patients were operated upon in elective setting. The median hospital stay after the operation was 13 days (range 1–180 days) and the median stay on ICU was 0 days (range 0–72 days). The overall in hospital mortality rate was 4.7 %.

Incidence of anastomotic leakage

Anastomotic leakage was diagnosed in 64 (8.7 %) patients, of which nine patients (14 %) died due to consequences of anastomotic leakage (AL). As depicted in Table 2, the highest incidence of AL occurred after resection of the rectosigmoid (17 %), followed by resection of the transverse colon (15 %) and low anterior resection (13 %). The median interval between operation and diagnosis of anastomotic leakage was 8 days (range 2–61 days).

| Procedure | Performed operations | | Total |
|--------------------------------|------------------------|---------------------|-------|
| | No anastomotic leakage | Anastomotic leakage | |
| (Neo)-ileocecal resection | 86 (96 %) | 4 (4 %) | 90 |
| Resection transverse colon | 23 (85 %) | 4 (15 %) | 27 |
| (Extended) Hemicolectomy right | 235 (94 %) | 15 (6 %) | 250 |
| Subtotal colectomy | 26 (87 %) | 4 (13 %) | 30 |
| Hemicolectomy left | 56 (88 %) | 8 (12 %) | 64 |
| Resection sigmoid | 142 (92 %) | 13 (8 %) | 155 |
| Rectosigmoid resection | 15 (83 %) | 3 (17 %) | 18 |
| LAR/TME | 83 (87 %) | 13 (13 %) | 96 |
| Other | 9 (100 %) | 0 (0 %) | 9 |
| Total | 675 (91,3 %) | 64 (8,7 %) | 739 |

Table 2. The incidence of anastomotic leakage stratified for the performed operations. “Other” contained eight anastomosis without resection when intraoperatively the tumor appeared to have progressed to far and one resection of transverse and descending colon. Analysis of the anastomotic leakage rate per operation showed a significant trend ($p=0,019$), regarding the expected increase in leakage rate going from top to bottom in the table (the group “other” was not included in this calculation).

Risk factor analysis

Analysis of the anastomotic leakage rate per operation showed a significant trend, indicating that higher leakage rates occurred as the anastomosis was constructed further downstream ($p=0.019$, Table 2). Other potential risk factors for anastomotic leakage that reached significance in the univariate analysis were BMI ($p=0.001$), PD ($p=0.006$), time of surgery ($p=0.029$), duration of operation and anesthesia ($p=0.038$, $p=0.012$, Table 1). Multivariate analysis showed body mass index (BMI) and time of surgery, classified in “during-” and “after

hours”, to be independent risk factors (Table 3). Prophylactic drainage was significantly associated with anastomotic leakage as well (Table 3). Adjusted for these factors, no relation was found between leakage rate and the level of anastomosis.

| Multivariate analysis | | | | |
|--------------------------|---------------|-----|------------|---------|
| Factor | | OR | 95 % CI | p-value |
| BMI (kg/m ²) | < 25 | 1 | - | - |
| | 25 – 30 | 0.6 | 0.3 – 1.14 | 0.115 |
| | > 30 | 2.6 | 1.3 – 5.2 | 0.006 |
| Time of surgery | During hours* | 1 | - | - |
| | After hours | 2.2 | 1.1 – 4.5 | 0.030 |
| Prophylactic drainage | No | 1 | - | - |
| | Yes | 2.8 | 1.5 – 5.1 | 0.001 |

Table 3. Significant results of multivariate analysis.

The group “other” was excluded for the risk factor analysis, therefore the total number of patients included is 730.

*During hours is defined as the hours in which a new elective procedure was allowed to start (i.e. between 7.45 h and 15.30 h). After hours is defined as the period in which patients were operated upon by the operating team that is on call (i.e. between 15.30 h and 7.45 h).

OR= Odds Ratio, CI = Confidence Interval. Reference categories are indicated by OR = 1.

Patient outcome

Mortality rate amongst the patients with anastomotic leakage (14.1 %) was significantly higher than amongst the patients without AL (3.9 %, $p=0.001$). The incidence of urinary tract infections, pneumonia, ileus, and wound infections did not differ significantly. Abdominal wound dehiscence (AWD), however, occurred more often in the group of patients with AL (8 % vs. 5 %, $p=0.046$). The mean hospital stay after operation and the stay at the ICU of patients with AL were 47 days and 7 days, respectively, whereas patients without AL stayed in the hospital 14 days and 1 day at the ICU, respectively ($p=0.000$).

Discussion

Leakage of a colorectal anastomosis still is a vast problem despite decades of research on this matter. This study was performed in order to improve understanding of this complication and to study new potential risk factors.

Incidence of anastomotic leakage

In this study, an overall incidence of 8.7 % was found over a period of 10 years. In literature

leakage rates between 3 % and 6 % are reported for colonic anastomosis^{28, 29}, while leakage rates between 16 % and 19 % for low colorectal anastomosis are reported^{2, 30}. Therefore this finding can be considered “average” considering anastomoses in the colon as well as in the rectum were included. The trend that was found in our study, i.e., higher leakage rates with lower anastomosis, is well-known to³¹. The leakage rate after resection of the transverse colon (four of 27) is remarkably high, which is not confirmed in literature⁶. Possibly the involvement of the watershed area at the splenic flexure and Griffiths’ critical point (insufficient marginal artery at splenic flexure) contribute to this high leakage rate³². However, since this group is relatively small and the 95 % confidence intervals for the leakage rate is wide (4–34 %), this finding should be considered only as an indication for further research.

Risk factor analysis

The multivariate risk factor analysis showed BMI, time of operation and prophylactic drainage to be major factors, independently associated with anastomotic leakage. A BMI higher than 30, which is considered obese according to the Centers of Disease Control and Prevention (CDC)³³, increased the risk for developing leakage almost three-fold. As to the explanation of this correlation, it remains unclear whether obesity indicates a defect of tissue structure and healing, whether the increased intraabdominal pressure plays a role or whether construction of an anastomosis simply is technically more demanding because of thick mesenteries and epiploic appendices. A possible solution might be to lower the threshold for construction of a diverting stoma in this population³¹. However, since construction of a stoma is difficult in patients with high BMI, sensibilization and a higher alert for anastomotic leakage in these patients on the ward is a more logical consequence of this finding.

Patients operated upon after-hours had more than a twofold increased risk of anastomotic leakage. This finding was independent of the urgency of the operation, which may be explained by the fact that urgent operations were also performed during-hours and elective

operations were performed after-hours (Table 4). The latter occurs regularly due to incoming trauma- or transplantation patients that require immediate operation.

| Time of surgery | Urgent vs. Elective | |
|-----------------|---------------------|------------|
| | Urgent | Elective |
| During hours | 64 (10 %) | 569 (90 %) |
| After hours | 92 (87 %) | 14 (13 %) |

Table 4. Distribution of urgent and elective operations

Possible explanations could be found in the fact that significantly more anastomoses were constructed by residents after-hours (95 %) than during-hours (81 %, $p < 0.001$) and that after-hours, obviously, significantly more urgent operations were performed (86 %) than during-hours (10 %, $p < 0.001$). However, these factors were no independent risk factors in multivariate analysis. Since many potential confounders have been accounted for and are not significant (Table 1), it is reasonable to assume a decreased technical performance of the operating team has contributed to a higher leakage rate at night. This assumption is supported by several studies that have shown medical errors to occur more often at night^{24, 34}. In addition, physicians appear to be less proficient at night, which leads to more errors at night than during daytime²⁵⁻²⁷.

Decreased non-technical skills of the operating team at night, like teamwork- and management skills and situational awareness, could have contributed to higher leakage rates as well. Situational awareness (SA), defined as the ability of the surgeon to observe, understand and predict events in the operating room (OR), appears to be closely related to technical error rates³⁵. In addition, the situational awareness, teamwork- and management skills of the anesthetists and nurses may have an important impact on the outcome of surgical patients as well²⁷. Based upon these results, it should be considered to construct a diverting stoma when after-hours construction of a colorectal anastomosis is required. Most studies addressing the problem of anastomotic leakage focus on the urgency of the operation. However, few studies report on the time of surgery in relationship to leakage rate. Higher leakage rates at night may be caused by a decreased technical and/or non-technical

performance of the entire operating team. Given the current focus of society on medical errors and its prevention, more research should be done on this aspect of colorectal surgery. Prophylactic drainage (PD) appeared to be associated with anastomotic leakage, suggesting that it is a risk factor. However, statistical analysis shows that significantly more drains are placed after LAR (54 % of all drains, $p=0.001$) and that the duration of surgery on average is 70 min longer in the group that received a drain (219 min) than in the group without (148 min, $p=0.001$). The latter is most likely caused by difficulty of operation and adverse events occurring during operation. This shows that the significant association between drainage and anastomotic leakage reflects the prophylactic drain-policy in our hospital. Additionally, since no significant differences in leakage rates between groups with and without drainage were reported in literature ¹¹, PD cannot be considered as a risk factor for anastomotic leakage.

Patient outcome

Mortality rate due to leakage was 14.1 %, which is comparable to mortality rates reported in literature ^{4, 29}. The aforementioned 33-day increase in mean hospital stay in case of anastomotic leakage was to be expected and is indicative for the enormous increase in costs that is accompanied by this complication. Concerning the postoperative complications, abdominal wound dehiscence (AWD) occurred significantly more often in patients with anastomotic leakage. In literature, several factors associated with abdominal wound dehiscence, being advanced age, obesity, diabetes mellitus, and steroid use ³⁶, are reported. In this population BMI ($p=0.06$), presence of diabetes mellitus ($p=0.99$) and use of steroids ($p=0.07$) were not associated with AWD. The average age of surgery in the group without AWD was 57 years and in the group with AWD 67 years ($p=0.010$). However, since age is not a factor associated with anastomotic leakage this finding cannot explain the correlation between AWD and leakage. Another possible explanation may be found in connective tissue disorders. To date, a substantial body of evidence exists correlating aortic aneurysms to incisional hernia, both caused by connective tissue disorders ³⁷. In addition, Stumpf et al. have shown colorectal anastomotic leakage is associated with disturbances of the

extracellular matrix ³⁸. These findings suggest that a patient population exists that has a higher risk for developing aortic aneurysms, hernia and anastomotic leakage. In addition, this concept may contribute to the previously mentioned misunderstanding and lack of consensus on the matter of anastomotic leakage.

Conclusion

Anastomotic leakage after colorectal surgery remains a major complication. In our hospital, overall leakage rate is 8.7 % and mortality rate is 14.1 % in patients with anastomotic leakage. BMI greater than 30 kg/m² and “after hours” construction of the anastomosis were independent risk factors for anastomotic leakage.

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Chapter 2

Calcium score: a new risk factor for colorectal anastomotic leakage

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Abstract

Background

Anastomotic leakage (AL) is the most feared complication of colorectal surgery. Atherosclerosis is suggested to have a detrimental effect on anastomotic healing. This study aimed to analyze the calcium score, a measure for atherosclerosis, as a risk factor for AL.

Study design

The calcium scores of colorectal patients operated on in 2 Dutch university medical centers were determined using a computed tomography scan and calcium scoring software. The aorta, common iliac arteries, internal and external iliac arteries were studied. Additionally, patient- and operation-related factors were scored.

Results

A total of 122 patients were included. In patients with AL calcium scores were significantly higher in the left common iliac artery (561.4 vs. 156.0, $P = .028$), right common iliac artery (542.0 vs. 144.4, $P = .041$), both common iliac arteries together (1,103.3 vs. 301.9, $P = .046$), and the left internal iliac artery (716.3 vs. 35.3, $P = .044$).

Conclusion

Patients with higher calcium scores in the iliacal arteries have an increased leakage risk.

Introduction

Anastomotic leakage (AL) is the most feared complication in colorectal surgery. Aiming to assess the risk for anastomotic leakage, many studies analyzing potential risk factors have been reported. Factors like diverticular disease ¹, rectal resection ², urgent operation ³, smoking ⁴, body mass index (BMI)⁵, sex ⁶, use of steroids ⁷ radio- and/or chemotherapy ⁶, American Society of Anesthesiologists (ASA) score ⁸, a history of cardiac and vascular disease ⁹ sutured or stapled anastomosis ¹⁰, prophylactic drainage ¹¹ and operating time ⁶ are considered risk factors. However, despite this knowledge, leakage rates still are high, varying between 2 % and 24 % ¹²⁻¹⁶ with high mortality and morbidity rates. This suggests that risk factors for AL remain to be discovered.

Ischemia is considered an etiologic factor for AL. At the anastomotic site, local vascular supply is disrupted because of vessel injury, thrombosis, and tissue compression by sutures or staplers or by mobilization of the intestinal limbs. Surgery activates a cascade of events, including platelet degranulation and the release of complement, kinins, and chemotactic factors, which lead to a migration of neutrophils, lymphocytes, macrophages, and fibroblasts into the anastomotic site. This state of higher metabolic activity and higher oxygen demand combined with a decreased vascular supply causes the anastomosis to be hypoxic compared with normal tissue ¹⁷. Atherosclerosis is a known cause of tissue ischemia ^{18,19} and is suggested to have a detrimental effect on anastomotic healing ²⁰. At present, it is possible to quantify the atherosclerotic calcifications on computed tomography (CT) images by means of calcium scoring software. It allows measurement of the calcium mass, calcium volume, number of calcifications, and the calcium score. The latter represents the total atherosclerotic load in the analyzed trajectory ^{21,22}. With this tool, the study of atherosclerosis as a risk factor for anastomotic leakage is possible. The aim of this study was to analyze the calcium score as a risk factor for anastomotic leakage. It is hypothesized that higher calcium scores are predictive for higher leakage rates.

Methods

All patients who received a primary colorectal anastomosis, irrespective of indication, during elective or emergency surgery at the Erasmus MC between 2002 and 2006 and at the UMCG between 2005 and 2007 were selected by operation code. Patients within this selection whom received a preoperative contrast-enhanced CT-scan with a slice thickness of 5 mm were included. The calcium scores were determined in the following segments of the aortoiliac trajectory: the aorta starting from the T12-L1 level, left and right common iliac arteries, left and right internal iliac arteries, and the left and right external iliac arteries. Scoring was performed with a lower threshold of 500 Hounsfield units using the following software: Siemens Calcium Score (Syngo CT 2006G-W, Siemens, Forchheim, Germany). Together with the calcium score, this software allows measurement of the calcium mass, the calcium volume, and the number of calcifications in a designated trajectory. To describe the studied population, after CT-scan analysis, patient- and operation-related factors were scored (i.e., age, use of antihypertensive drugs and statins, smoking, cardiac comorbidity, history of vascular disease, diabetes mellitus, sex, BMI, use of steroids, type of anastomosis, urgent vs. elective operation, type of operation, prophylactic drainage, ASA score, neoadjuvant therapy, approach, stapled vs. hand sutured, and time under anesthesia respectively). The primary outcome measure was clinically manifest anastomotic leakage, confirmed by imaging or relaparotomy. Whether leakage occurred or not was determined after CT-scan analysis and after the search for patient- and operation-related factors to prevent bias. The median and mean values were compared between groups with and without AL by means of the Mann-Whitney *U* test or chi-square test in univariate analysis. Multiple regression analysis was performed for the calcium score, calcium mass, and calcium volume separately, with factors that were significant in univariate analysis and consisted of multiple logistic regression with backwards elimination. In the final model, multiple logistic regression with backwards elimination of all factors, significant or nonsignificant in univariate analysis, was performed. Statistical analysis was performed with SPSS 12.0 (SPSS Inc, Chicago, IL).

Results

A total of 122 patients were included of whom 11 (9 %) developed anastomotic leakage. In both the group with and without leakage, 3 patients died (27 % vs. 2.7 % [$P = .004$]). None of the scored patient- and operation-related factors were significantly different in univariate analysis as depicted in [Table 1](#).

The number of calcified lesions in the right common iliac artery was significantly different between patients with and without leakage. Differences in calcium volume were significant for the total trajectory, the left common iliac artery, the right common iliac artery, both common iliac arteries together, and the left internal iliac artery. Differences in calcium mass were significant for the total trajectory, the aorta, the left common iliac artery, the right common iliac artery, both common iliac arteries together, and the left internal iliac artery. Differences in the calcium score were significant for the left common iliac artery, the right common iliac artery, both common iliac arteries together, and the left internal iliac artery. The results of the analyses are depicted in [Tables 2 to 5](#).

In some patients, the CT-scan started below the T12-L1 level or ended prematurely. Therefore, not all trajectories could be scored and the number of scored patients does not always add up to 122. Multiple regression analysis as described in the Methods section was performed for the calcium score, mass, and volume in the right and left common iliac arteries together. All 3 parameters were independent risk factors for anastomotic leakage ([Table 6](#)).

Comments

AL is the most feared complication of colorectal surgery for which the construction of a diverting stoma (DS) is the most common strategy. Some studies recommend routine construction¹⁴. However, because DS is associated with considerable morbidity, an impact on quality of life, and mortality after stoma closure²³⁻²⁷, a more selective approach is warranted. Knowledge of risk factors is required to perform an educated risk assessment preoperatively, allowing a tailor made decision whether or not to construct DS.

| Univariate analysis | | | | |
|--|--------------|--------------|--------------|---------|
| Factor | | AL | No AL | p-value |
| Hospital | EMC | 7 (9 %) | 66 (91 %) | 1.000 |
| | UMCG | 4 (8 %) | 45 (92 %) | |
| BMI (kg/m ²) [†] | | 24.6 ± 2.7 | 25.5 ± 4.2 | 0.454 |
| Gender (M/F) | Male | 5 (7 %) | 66 (93 %) | 0.563 |
| | Female | 6 (12 %) | 45 (88 %) | |
| Use of steroids [†] | Yes | 3 (27 %) | 8 (73 %) | 0.099 |
| | No | 8 (7 %) | 102 (93 %) | |
| ASA-score [†] | 1 | 5 (10 %) | 45 (90 %) | 0.492 |
| | 2 | 4 (8 %) | 45 (92 %) | |
| | 3 | 1 (6 %) | 16 (94 %) | |
| | 4 | 1 (33 %) | 2 (67 %) | |
| Neoadjuvant radiotherapy | Yes | 1 (5 %) | 19 (95 %) | 0.796 |
| | No | 10 (10 %) | 92 (90 %) | |
| Anastomotic configuration [†] | End to End | 3 (20 %) | 12 (80 %) | 0.334 |
| | End to Side | 0 (0 %) | 9 (100 %) | |
| | Side to End | 2 (6 %) | 31 (94 %) | |
| | Side to Side | 5 (10 %) | 46 (90 %) | |
| Type of operation [†] | Right sided | 3 (9 %) | 29 (91 %) | 0.974 |
| | Left sided | 5 (9 %) | 48 (91 %) | |
| | Rectum | 3 (8 %) | 34 (92 %) | |
| Approach | Laparotomy | 10 (10 %) | 95 (90 %) | 0.976 |
| | Laparoscopy | 1 (6 %) | 16 (94 %) | |
| Stapled vs. hand sutured | Stapled | 2 (4 %) | 46 (96 %) | 0.237 |
| | Sutured | 9 (12 %) | 65 (88 %) | |
| Urgent vs. Elective [†] | Urgent | 1 (6 %) | 15 (94 %) | 1.000 |
| | Elective | 9 (9 %) | 96 (91 %) | |
| Prophylactic Drainage | Yes | 3 (7 %) | 37 (93 %) | 0.943 |
| | No | 8 (10 %) | 74 (90 %) | |
| Time under anaesthesia (minutes) | | 257.7 ± 58.0 | 250.8 ± 95.7 | 0.526 |
| Age (years) | | 60.3 ± 12.4 | 59.9 ± 16.5 | 0.841 |
| Use of Anti-hypertensive medication [†] | Yes | 3 (11 %) | 25 (89 %) | 0.790 |
| | No | 6 (7 %) | 82 (93 %) | |
| Use of statins [†] | Yes | 2 (14 %) | 12 (86 %) | 0.659 |
| | No | 7 (7 %) | 95 (93 %) | |
| History of vascular disease [†] | Yes | 3 (14 %) | 19 (86 %) | 0.600 |
| | No | 7 (7 %) | 88 (93 %) | |
| Cardiac comorbidity [†] | Yes | 3 (12 %) | 21 (88 %) | 0.713 |
| | No | 7 (7 %) | 86 (93 %) | |
| Smoker [†] | Yes | 3 (14 %) | 18 (86 %) | 0.801 |
| | No | 7 (9 %) | 68 (91 %) | |
| Diabetes Mellitus [†] | Yes | 1 (10 %) | 9 (90 %) | 1.000 |
| | No | 9 (8 %) | 98 (92 %) | |

Table 1. Univariate analysis of patient- and operation-related factors.

Age, BMI and time under anaesthesia are expressed in means and standard deviation

[†] BMI = Body Mass Index (kg/m²)

[†] Data do not add up to 122 due to occasional missing of data.

[‡] Right sided includes ileocecal resection and right hemicolectomy

Left sided includes left hemicolectomy and sigmoid resection

Rectum includes Total Mesorectal Excision (TME) and rectosigmoid resection

| Trajectory | Number of lesions | | | | p-value |
|--|-------------------|-----|------|------|--------------|
| | AL | N | Mean | SD | |
| Total trajectory | Yes | 11 | 19,7 | 17,1 | 0.067 |
| | No | 105 | 9.2 | 12.7 | |
| Aorta | Yes | 11 | 10.4 | 10.0 | 0.076 |
| | No | 109 | 5.4 | 8.5 | |
| Left common iliac artery | Yes | 11 | 3.3 | 3.2 | 0.072 |
| | No | 108 | 1.7 | 3.0 | |
| Right common iliac artery | Yes | 11 | 4.7 | 4.5 | 0.036 |
| | No | 108 | 1.6 | 2.6 | |
| Left internal iliac artery | Yes | 11 | 1.5 | 1.6 | 0.060 |
| | No | 106 | 0.7 | 1.4 | |
| Left external iliac artery | Yes | 11 | 0.3 | 0.9 | 0.963 |
| | No | 106 | 0.1 | 0.5 | |
| Right internal iliac artery | Yes | 11 | 1.4 | 1.7 | 0.184 |
| | No | 107 | 0.6 | 1.3 | |
| Right external iliac artery | Yes | 11 | 1.3 | 3.1 | 0.222 |
| | No | 107 | 0.1 | 0.4 | |
| Left and right common iliac arteries | Yes | 11 | 8.0 | 7.6 | 0.076 |
| | No | 108 | 3.3 | 5.4 | |
| Left and right internal iliac arteries | Yes | 11 | 2.8 | 3.2 | 0.128 |
| | No | 106 | 1.3 | 2.5 | |

Table 2. Number of calcified lesions per trajectory.
AL = Anastomotic Leakage; N = the number of patients; Mean = mean number of lesions; SD = standard deviation.

| Trajectory | Calcium volume | | | | p-value |
|--|----------------|-----|--------|--------|--------------|
| | AL | N | Mean | SD | |
| Total trajectory | Yes | 11 | 1750.6 | 1902.8 | 0.043 |
| | No | 105 | 520.8 | 1098.6 | |
| Aorta | Yes | 11 | 1030.8 | 1351.3 | 0.057 |
| | No | 109 | 376.2 | 814.7 | |
| Left common iliac artery | Yes | 11 | 333.0 | 358.2 | 0.025 |
| | No | 108 | 82.2 | 169.6 | |
| Right common iliac artery | Yes | 11 | 290.4 | 287.2 | 0.040 |
| | No | 108 | 75.5 | 187.9 | |
| Left internal iliac artery | Yes | 11 | 443.4 | 1360.6 | 0.049 |
| | No | 106 | 16.1 | 44.5 | |
| Left external iliac artery | Yes | 11 | 0.6 | 2.1 | 0.985 |
| | No | 106 | 2.4 | 12.9 | |
| Right internal iliac artery | Yes | 11 | 35.0 | 56.5 | 0.280 |
| | No | 107 | 20.9 | 78.8 | |
| Right external iliac artery | Yes | 11 | 10.2 | 31.5 | 0.275 |
| | No | 107 | 4.8 | 24.1 | |
| Left and right common iliac arteries | Yes | 11 | 623.5 | 604.7 | 0.041 |
| | No | 108 | 157.7 | 335.2 | |
| Left and right internal iliac arteries | Yes | 11 | 478.4 | 1376.7 | 0.165 |
| | No | 106 | 36.9 | 116.2 | |

Table 3. Calcium volume per trajectory.
AL = Anastomotic Leakage; N = the number of patients; Mean = mean calcium volume; SD = standard deviation.

| Calcium mass | | | | | |
|--|-----|-----|--------|--------|--------------|
| Trajectory | AL | N | Mean | SD | p-value |
| Total trajectory | Yes | 10 | 1322.3 | 1241.4 | 0.014 |
| | No | 103 | 377.0 | 769.9 | |
| Aorta | Yes | 10 | 755.2 | 856.0 | 0.023 |
| | No | 107 | 261.7 | 540.1 | |
| Left common iliac artery | Yes | 10 | 267.8 | 255.1 | 0.010 |
| | No | 107 | 65.0 | 133.5 | |
| Right common iliac artery | Yes | 10 | 247.0 | 224.0 | 0.014 |
| | No | 107 | 57.8 | 139.8 | |
| Left internal iliac artery | Yes | 10 | 323.9 | 919.7 | 0.022 |
| | No | 105 | 13.7 | 34.8 | |
| Left external iliac artery | Yes | 10 | 0.9 | 2.8 | 0.946 |
| | No | 105 | 2.1 | 10.6 | |
| Right internal iliac artery | Yes | 10 | 33.4 | 48.2 | 0.155 |
| | No | 106 | 16.3 | 57.0 | |
| Right external iliac artery | Yes | 10 | 9.9 | 27.8 | 0.223 |
| | No | 106 | 3.5 | 16.9 | |
| Left and right common iliac arteries | Yes | 10 | 514.8 | 447.7 | 0.014 |
| | No | 107 | 122.9 | 257.2 | |
| Left and right internal iliac arteries | Yes | 10 | 357.3 | 937.1 | 0.083 |
| | No | 105 | 29.8 | 87.3 | |

Table 4. Calcium mass per trajectory.

AL = Anastomotic Leakage; N = the number of patients; Mean = mean calcium mass; SD = standard deviation.

| Calcium score | | | | | |
|--|-----|-----|--------|--------|--------------|
| Trajectory | AL | N | Mean | SD | p-value |
| Total trajectory | Yes | 11 | 2716.8 | 2904.5 | 0.052 |
| | No | 105 | 896.8 | 1798.1 | |
| Aorta | Yes | 11 | 1489.4 | 2054.2 | 0.122 |
| | No | 109 | 618.5 | 1248.4 | |
| Left common iliac artery | Yes | 11 | 561.4 | 571.4 | 0.028 |
| | No | 108 | 156.0 | 318.3 | |
| Right common iliac artery | Yes | 11 | 542.0 | 538.7 | 0.041 |
| | No | 107 | 144.4 | 337.2 | |
| Left internal iliac artery | Yes | 11 | 716.3 | 2116.1 | 0.044 |
| | No | 106 | 35.3 | 87.4 | |
| Left external iliac artery | Yes | 11 | 2.4 | 7.9 | 0.985 |
| | No | 106 | 5.4 | 25.8 | |
| Right internal iliac artery | Yes | 11 | 78.0 | 117.8 | 0.232 |
| | No | 107 | 39.7 | 132.0 | |
| Right external iliac artery | Yes | 11 | 26.9 | 79.0 | 0.267 |
| | No | 107 | 8.3 | 38.2 | |
| Left and right common iliac arteries | Yes | 11 | 1103.3 | 1056.6 | 0.046 |
| | No | 107 | 301.9 | 623.8 | |
| Left and right internal iliac arteries | Yes | 11 | 794.2 | 2162.0 | 0.144 |
| | No | 106 | 74.6 | 209.1 | |

Table 5. Calcium score per trajectory.

AL = Anastomotic Leakage; N = the number of patients; Mean = mean calcium score; SD = standard deviation.

| Multiple regression analyses | | | |
|--------------------------------------|-------|---------------|---------|
| Right and left common iliac arteries | OR | 95 % CI | p-value |
| Calcium score | 1.001 | 1.000 – 1.002 | 0.003 |
| Calcium mass | 1.003 | 1.001 – 1.004 | 0.001 |
| Calcium volume | 1.002 | 1.001 – 1.003 | 0.002 |

Table 6. Results of multivariate analysis performed for calcium score, -mass and volume in the right and left common iliac arteries together. Each parameter is an independent risk factor for AL. Odds ratio's (OR) show that an increase of one unit of calcium score, -mass or -volume leads to an increased risk for AL of 0.1 %· 0.3 % and 0.2 % respectively.

Atherosclerosis may have a detrimental effect on anastomotic healing because it can lead to ischemia of the intestinal limbs of anastomosis. Therefore, it can be a risk factor that was still unaddressed. In this study, quantification tools for atherosclerosis (i.e., calcium score, mass, and volume) were used to analyze the potential association between anastomotic leakage and atherosclerosis. By means of multivariate analysis, this study has shown that the mean calcium load, expressed as calcium score, mass, and volume, determined in the common iliac arteries is an independent risk factor for AL. Consequently, these tools can and should be used in the decision-making process of whether or not to construct an anastomosis and/or DS. Many risk factors for AL have been reported in the literature, and the majority has been analyzed in this study. No statistically significant differences were found between the groups with and without leakage. The 2 groups are similar except for the calcium score, calcium mass, and volume. This enforces our finding, however, it raises questions on whether the studied population represents the overall population. In addition, univariate analysis has shown the calcium score determined in only the left internal iliac artery to be significantly higher in patients with AL compared with patients without AL. However, this finding cannot be explained anatomically or physiologically. Therefore, multiple regression analysis was not performed for this segment. To address these questions and the small number of patients with AL, a prospective study with larger patient numbers should be performed.

The calcium (Agatson) score is the product of the area of calcification (mm^2) and its radiodensity (Hounsfield units). It is commonly used in studies on atherosclerosis with regard to the coronary arteries. However, controversy remains on whether the total atherosclerotic load is represented. Studies have been published showing the calcium score to represent the entire atherosclerotic load, meaning the noncalcified as well as the calcified plaques ²². However, a more recent publication suggests that this may not be true and that the calcium score is an underestimation of the real atherosclerotic load ²⁸. Whether or not the total atherosclerotic load is represented by the calcium score does not affect the results of this study addressing the association between atherosclerotic calcifications and AL. This

association indicates that macroangiopathy (i.e., calcifications within the aortoiliac trajectory), is a predictor for AL.

The software used in this study was designed to measure calcium volume and calcium mass parallel to the calcium score. For both parameters, a similar association with leakage was found as for the calcium score (Tables 3 and 4). In addition, differences in calcium mass were significant for the total trajectory and aorta as well. Differences in calcium volume were different for the total trajectory as well. Particularly the association between calcium mass and AL is an interesting finding in view of the interchangeability between different labels of CT-scanners. Because a constant mass of calcium should yield similar results in different CT-scanners, this parameter is best suited to detect differences between systems. The determination of calcium mass allows the comparison of calcium loads quantified with different systems. The results of this study indicate that the calcium mass does not necessarily have to be determined in the common iliac arteries, allowing certain flexibility in scanning protocols.

The number of calcifications does not appear to be a significant factor, the difference is only significant in the right common iliac artery. This is understandable considering a certain score, mass, or volume of calcium can contain multiple small lesions or few larger lesions. Multiple regression analysis was performed for the 2 common iliac arteries together because these were significant for the calcium score, mass, and volume. The odds ratios (Table 6) showed that an increase of 1 U of calcium score, mass, or volume led to an increased risk for AL of 0.1 %, 0.3 % and 0.2 %, respectively. The difference between patients with and without AL for the calcium score was 801.4, calcium mass 391.9, and calcium volume 465.8 (Tables 3–5). This means an 80 % (0.1×801.4) increased risk for AL based on the calcium score, 118 % (0.3×391.9) increased risk for AL based on calcium mass, and 93 % (0.2×465.8) increased risk for AL based on calcium volume.

The quantification of atherosclerotic calcifications as described in this study requires CT imaging of the abdomen. Because abdominal CT-scan still is the principle staging tool for

colorectal cancer, obtaining information on atherosclerotic calcifications does not require additional imaging^{29,30}.

However, for rectal cancer, according to the European guidelines, only an upper-abdominal CT-scan is indicated to search for liver metastasis³¹. This means that for quantification of atherosclerotic calcifications in the (common-) iliac arteries additional imaging is required. However, considering the relatively low cost and speed of CT-scan, this is acceptable.

Conclusions

This study has shown that atherosclerotic calcifications in the left and right common iliac arteries, expressed as calcium score, mass, and volume, are an independent risk factor for colorectal anastomotic leakage. An increase of 1 U of calcium score, mass, or volume leads to an increased risk for AL of 0.1 %, 0.3 % and 0.2 %, respectively. These parameters can be used in a preoperative risk assessment for anastomotic leakage, allowing tailor-made decisions on the construction of an anastomosis and/or a protective or definite stoma.

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Chapter 3

Long-term and Perioperative Corticosteroids in Anastomotic leakage: A Prospective Study of 259 Left-Sided Colorectal Anastomoses

The APPEAL-study: Analysis of Parameters Predictive for Evident Anastomotic Leakage.

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Objective: To determine the risk factors for symptomatic anastomotic leakage (AL) after colorectal resection.

Design: Review of records of patients who participated in the Analysis of Parameters Predictive for Evident Anastomotic Leakage study.

Setting: Eight health centers.

Patients: Two hundred fifty-nine patients who underwent left-sided colorectal anastomoses.

Intervention: Corticosteroids taken as long-term medication for underlying disease or perioperatively for the prevention of postoperative pulmonary complications.

Main Outcome Measures: Prospective evaluations for risk factors for symptomatic AL.

Results: In 23 % of patients, a defunctioning stoma was constructed. The incidence of AL was 7.3 %. The clinical course of patients with AL showed that in 21 % of leaks, the drain indicated leakage, in the remaining patients, computed tomography or laparotomy resulted equally often in the detection of AL. In 50 % of patients with AL, a Hartmann operation was needed. The incidence of AL was significantly higher in patients with pulmonary comorbidity (22.6 % leakage), patients taking corticosteroids as long-term medication (50 % leakage), and patients taking corticosteroids perioperatively (19 % leakage). Perioperative corticosteroids were prescribed in 8 % of patients for the prevention of postoperative pulmonary complications.

Conclusions: We found a significantly increased incidence of AL in patients treated with long-term corticosteroids and perioperative corticosteroids for pulmonary comorbidity. Therefore, we recommend that in this patient category, anastomoses should be protected by a diverting stoma or a Hartmann procedure should be considered to avoid AL.

Anastomotic Leakage (AL) following colorectal resection is a feared complication. The reported incidence of AL is estimated to be between 3 % and 19 %¹⁻⁵, and when it occurs, mortality is between 10 % and 20 %^{4,6-8}. There are several basic requirements concerning the construction of a colorectal anastomosis: blood flow should be adequate, the anastomosis should be free of tension, and the abdomen should be free of infectious disease and have minimal contamination. Nevertheless, the problem of AL has not diminished over the years⁹. Although accurate prediction of risk is difficult, certain factors are known to contribute to the risk for AL. There is an inverse relationship between the height of the anastomosis from the anal verge and the incidence of AL, with extraperitoneal anastomoses carrying the highest risk¹⁰⁻¹⁵. For these high-risk anastomoses, studies have demonstrated the important reduction in mortality and morbidity that can be achieved by the construction of a diverting stoma^{4,16,17}. Much research has been done to determine additional risk factors for AL. Reported risk factors include male sex^{12,13,16}, smoking¹⁸, radiotherapy^{1,12,19}, blood transfusion^{1,15,16,20}, obesity^{5,13} and atherosclerosis²¹. Although corticosteroids are known to impair wound healing, their influence on the healing of colorectal anastomoses is unclear and studies have reported conflicting results²²⁻²⁵. The aim of this prospective study was to determine risk factors for clinical AL in patients undergoing left sided colorectal anastomoses, together with a description of diagnostic and therapeutic approaches in patients having developed AL.

Methods

Patients included in this study all participated in the Analysis of Parameters Predictive for Evident Anastomotic Leakage study. In our prospective study, all patients undergoing left sided colorectal resection with construction of an anastomosis were given an intra-abdominal drain. During the first 5 postoperative days, drain fluid was collected for analysis in search of a predictive parameter for AL. Results of drain fluid analysis will be reported separately. Eight centers participated in this study. The study, registered in the Netherlands Trial Register (<http://www.trialregister.nl/trialreg/index.asp>, study No. NTR1258), was approved by the

medical ethical committees of the participating centers in accordance with the ethical standards of the Declaration of Helsinki of 1975, and all patients gave informed consent. Participating centers included patients consecutively treated between January 2007 and December 2009. Centers stopped including patients earlier if their target inclusion was fulfilled.

Inclusion criteria

Surgical procedures included left hemicolectomy, sigmoidectomy, high anterior resection or partial mesorectal excision, low anterior resection or total mesorectal excision, and subtotal colectomy with ileorectal anastomosis. Oncologic resections as well as resections for inflammatory disease were included. Emergency operations and colostomy reversals were excluded.

Surgical Procedure

The surgical procedure was left to the surgeon's discretion. All patients received preoperative antibiotic prophylaxis and an intra-abdominal drain, but guidelines concerning bowel reparation differed for each center. Patients were operated on by laparotomy or laparoscopy and the anastomosis was stapled or hand sewn, either end to end, end to side, side to end, or side to side. A diverting stoma was constructed according to the surgeon's preference.

Definitions

Symptomatic AL, the end point of our analysis, was defined as clinically apparent leakage (e.g.: gas, pus, or fecal discharge from the pelvic drain), apparent AL during reoperation, or extravasation of endoluminally administered water-soluble contrast on computed tomography or contrast enema. Radiologic examination was performed only when there was clinical suspicion of AL. All postoperative fistulas communicating with the surgical anastomosis were classified as leaks. Postoperative abscesses were classified as AL if there

was extravasation of enteric contrast on an imaging study, significant perianastomotic air, or communication with the anastomosis noted after radiologic drainage.

Asymptomatic AL was not considered since routine contrast enema was not performed postoperatively.

High anterior resection was defined as a colorectal resection with an intraperitoneal anastomosis.

Low anterior resection was defined as a colorectal anastomosis with an extraperitoneal anastomosis.

Data collection

Patients were followed up from their preoperative admission to the ward until the first postoperative follow-up at the outpatient clinic. Demographic data of the patients, operative details, postoperative events, and follow-up data were obtained through a standardized case record form and entered into a database. We used the *International Classification of Diseases, Tenth Revision* codes to define pulmonary and cardiac comorbidities. The diseases included in pulmonary comorbidity are chronic lower respiratory diseases (J40-J47), lung diseases due to external agents (J60-J70), other respiratory diseases principally affecting the interstitium (J80-J84), other diseases of pleura (J90-J94), and other diseases of the respiratory system (J95-J99). The diseases included in cardiac comorbidity are chronic rheumatic heart diseases (I05-I09), ischemic heart diseases (I20-I25), pulmonary heart disease and diseases of pulmonary circulation (I26-I28), and other forms of heart disease (I29-I52). Use of corticosteroids was subdivided in patients with long-term corticosteroid use for underlying pathology (herein called long-term corticosteroids) and patients having a corticosteroid scheme prescribed by the anesthesiologist or lung specialist for the reduction of postoperative pulmonary complications starting 5 days prior to the surgical intervention (herein called perioperative corticosteroids). In case of AL, the postoperative day of diagnosis was noted along with the clinical manifestation of AL, the diagnostic tool for leak

detection, and the treatment. Postoperative mortality included patients who died within 30 days after an operation either at the hospital or after discharge.

Statistical Analysis

Categorical data are presented as numbers with percentages, and numerical data are presented as medians with interquartile ranges. Univariate analysis of the differences between patients having a protective ileostomy or not was performed using χ^2 -test for categorical data and a Mann-Whitney *U* test for numerical data. Anastomotic leakage binary response (yes or no) was analyzed using a logistic regression model, reporting the odds ratios (ORs) together with 95 % confidence intervals (CIs). The univariate and multivariate analyses were conducted with the following covariates: age (categorized at median = 65 years), sex, body mass index (categorized at 30 kg/m²), American Society of Anesthesiologists classification (3 or 4 vs. 1 or 2), smoking (yes or no), cardiac comorbidity (yes or no), pulmonary comorbidity (yes or no), corticosteroids (long-term, perioperatively, or none), radiotherapy (yes or no), type of resection (low anterior resection, high anterior resection, sigmoidectomy, left hemicolectomy, or subtotal colectomy), height of anastomosis (>7 cm or <7 cm), anastomosis construction (stapled or hand sewn), configuration (end to end, end to side, side to end, or side to side), and protective ileostomy (yes or no). In the multivariate analysis, the initial model contained all the covariates with the grouped type of resection (low anterior resection vs. others). A backward elimination procedure was applied to remove nonsignificant covariates with $P \geq 0.1$.

Results

A total of 259 patients underwent colorectal resection with left-sided anastomosis. Nineteen patients (7.3 %) developed clinical AL. Mean (SD) age was 64.6 (0.75) years, 144 patients (56 %) were men and 115 (44 %) were women. Thirty-five patients (13.6 %) were treated for inflammatory diseases, 220 (84.9 %), for malignancy, and 4 (1.5 %), for ischemic colitis. Sixty patients (23 %) underwent preoperative radiotherapy, and 89 (34 %) had anastomosis

situated below the peritoneal reflection. In 60 patients (23 %) a defunctioning stoma was constructed.

Anastomotic Leakage

Nineteen patients (7.3 %) developed clinical AL. The median postoperative day of diagnosis of AL was 6 (range, 4-10 days). Mortality was significantly increased in patients with AL, compared with patients without AL (15.8 % vs. 2.5 %, $p=.02$). Four patients (21.1 %) had a diverting stoma at the time of diagnosis. In 3 patients AL manifested with a pelvic or intra-abdominal abscess, 7 had peritonitis and 9 had signs of sepsis. In 8 patients AL was diagnosed through computed tomography, in 7 patients diagnosis was made during laparotomy, and 4 patients had fecal discharge from the drain confirming the diagnosis. In 3 patients treatment consisted of antibiotics and 2 patients had drainage and irrigation of an abscess. The remaining patients were reoperated on: 4 patients had fecal diversion, 2 had reanastomosis, and the remaining 8 had the anastomosis converted into end colostomy. Patients with a diverting ileostomy did not have a clinically lighter presentation of AL or less invasive treatment of the AL.

Univariate and multivariate analysis

Table 1 shows the result of the univariate analysis for the risk for clinical AL. Sex, body mass index, American Society of Anesthesiologists classification, smoking, preoperative radiotherapy, height of the anastomosis, type of resection, and construction of a defunctioning stoma were not associated with an increased risk for AL. Two factors were significant in the univariate analysis: pulmonary comorbidity and use of corticosteroids. The incidence of AL was significantly higher in patients taking corticosteroids as long-term medication (50 % AL, $p=.002$) and those taking corticosteroids perioperatively (19 % AL, $p=.001$), compared with patients not taking corticosteroids (5.2 % AL). In patients known to have pulmonary comorbidity, the incidence of AL was 22.6 %; this was 5.3 % in patients without pulmonary comorbidity ($p=.002$). Multivariate analysis was performed, showing a

significant result for perioperative corticosteroids (OR 26.98, standard error 30.71, $p=.004$, 95 % CI 2.89-251.10) but a wide CI because of a relatively small quantity of cases, therefore, we decided to perform a multivariate analysis with long-term corticosteroids and perioperative steroids combined. From that final multivariate model, it can be concluded that taking corticosteroids increased the risk for AL by more than 7 times (OR 7.52, standard error 4.47, $p=.001$, 95 % CI 2.35-24.08).

Patients with low anastomosis had a nearly 3 times greater risk for AL, compared with patients with high anastomosis (OR 2.98, standard error 1.65, $p=.049$, 95 % CI 1.01-8.83). There was no difference in the risk for AL in patients taking corticosteroids between high and low anastomoses. The characteristics of patients with and without a defunctioning ileostomy are demonstrated in Table 2. Significantly more patients with preoperative radiotherapy and low anastomoses or low anterior resections had a diverting stoma. A diverting stoma was equally constructed in patients receiving corticosteroids or not receiving corticosteroids.

Comment

Risk assessment for AL is important because it could lead to better management of high-risk patients. For extraperitoneal anastomoses, which often involve extensive surgical resection and neoadjuvant radiotherapy, it is known that the risk for AL is increased but that the construction of a diverting stoma reduces morbidity and mortality in cases of AL.^{4,16,17} The benefit of a diverting stoma outweighs the morbidity it involves because the important complications of clinical AL can be avoided in high risk groups. However, risk assessment and knowledge of risk factors are essential in deciding on a diverting stoma. In this prospective study, the incidence of clinical AL was 7.3 % among 259 left-sided colorectal anastomoses, and the mortality rate in patients with AL was 15.8 %. These high incidences are in line with percentages of AL and associated mortality reported in literature^{1,4,5,12} and again emphasize the importance of this complication in colorectal surgery.

| Variable | No AL (n=240) | AL (n=19) | OR (95 % CI) | SE | p-value |
|-----------------------------|---------------|------------|---------------------|-------|---------|
| Age, years, mean (range) | 65 (56-73) | 68 (53-76) | 1.02 (0.40-2.60) | 0.48 | 0.963 |
| Gender | | | | | |
| Male | 134 (93.1 %) | 10 (6.9 %) | | | |
| Female | 106 (92.2 %) | 9 (7.8 %) | 1.14 (0.44-2.90) | 0.54 | 0.787 |
| BMI (kg/m ²) | 26.3 (0.29) | 25.6 (1.1) | 0.96 (0.85-1.06) | 0.06 | 0.50 |
| ASA | | | | | |
| 1 | 64 (97.0 %) | 2 (3.0 %) | | | |
| 2 | 119 (91.5 %) | 11 (8.5 %) | | | |
| 3 | 54 (90.0 %) | 6 (10.0 %) | | | |
| 4 | 2 (100 %) | - | | | |
| - 1 vs 2 | | | 2.96 (0.64-13.8) | 2.32 | 0.167 |
| - 1 vs 3 | | | 3.56 (0.69-18.3) | 2.98 | 0.130 |
| - 1 vs 4 | | | - | - | - |
| Smoking | | | | | |
| Yes | 53 (94.6 %) | 3 (5.4 %) | | | |
| No | 181 (92.3 %) | 15 (7.7 %) | 0.68 (0.19-2.45) | 0.44 | 0.558 |
| Cardiac comorb. | | | | | |
| Yes | 70 (92.1 %) | 6 (7.9 %) | | | |
| No | 168 (92.8 %) | 13 (7.2 %) | 1.09 (0.39-2.98) | 0.56 | 0.864 |
| Pulm. comorb. | | | | | |
| Yes | 24 (77.4 %) | 7 (22.6 %) | | | |
| No | 214 (94.7 %) | 12 (5.3 %) | 4.99 (1.80-13.85) | 2.59 | 0.002 |
| Steroids | | | | | |
| Yes - chronic | 3 (50.0 %) | 3 (50.0 %) | | | |
| Yes - peri-op | 17 (81.0 %) | 4 (19.0 %) | | | |
| No | 218 (94.8 %) | 12 (5.2 %) | | | |
| - Chronic Y vs N | | | 4.29 (1.25-14.76) | 2.70 | 0.021 |
| - Peri-op Y vs N | | | 18.25 (3.32-100.15) | 15.85 | 0.001 |
| Radiotherapy | | | | | |
| Yes | 55 (91.7 %) | 5 (8.3 %) | | | |
| No | 184 (92.9 %) | 14 (7.1 %) | 1.20 (0.41-3.48) | 0.65 | 0.736 |
| Type of resection | | | | | |
| LAR | 82 (92.1 %) | 7 (7.9 %) | | | |
| HAR | 57 (95.0 %) | 3 (5.0 %) | | | |
| Sigmoidectomy | 73 (90.1 %) | 8 (9.9 %) | | | |
| L hemicolectomy | 24 (96.0 %) | 1 (4.0 %) | | | |
| Subt colectomy | 4 (100 %) | - | | | |
| - HAR vs LAR | | | 0.61 (0.15-2.48) | 0.44 | 0.497 |
| - Sigm vs LAR | | | 1.28 (0.44-3.71) | 0.69 | 0.645 |
| - Left hemicolectomy vs LAR | | | 0.48 (0.06-4.16) | 0.53 | 0.512 |
| LAR | | | | | |
| - Subt col vs LAR | | | - | - | - |
| Height anast | | | | | |
| > 7cm | 158 (94.0 %) | 10 (6.0 %) | | | |
| < 7cm | 80 (89.9 %) | 9 (10.1 %) | 1.79 (0.69-4.58) | 0.86 | 0.225 |
| Stapled | 187 (93.0 %) | 14 (7.0 %) | | | |
| Handsewn | 51 (91.1 %) | 5 (8.9 %) | 0.78 (0.27-2.26) | 0.42 | 0.464 |
| Configuration | | | | | |
| ETE | 60 (87.0 %) | 9 (13.0 %) | | | |
| ETS | 17 (94.4 %) | 1 (5.6 %) | | | |
| STE | 128 (94.1 %) | 8 (5.9 %) | | | |
| STS | 26 (96.3 %) | 1 (3.7 %) | | | |
| - ETS vs ETE | | | 0.41 (0.05-3.42) | 0.44 | 0.407 |
| - STS vs ETE | | | 0.26 (0.03-2.20) | 0.28 | 0.219 |
| - STE vs ETE | | | 0.43 (0.16-1.16) | 0.22 | 0.095 |
| Prot. ileostomy | | | | | |
| Yes | 56 (93.3 %) | 4 (6.7 %) | | | |
| No | 180 (92.3 %) | 15 (7.7 %) | 0.86 (0.27-2.70) | 0.50 | 0.799 |

Table 1. Univariate analysis of risk factors for anastomotic leakage

Abbreviations: AL, anastomotic leakage; ASA, American Society of Anesthesiologists; ETE, end to end; ETS, end to side; HAR, high anterior resection; LAR, low anterior resection; OR, odds ratio, SE, standard error; STE, side to end; STS, side to side.

| Variable | Without ileostomy (n=195) | With ileostomy (n=60) | p-value |
|------------------------------|------------------------------|--------------------------|---------|
| Age, years, mean (range) | 65 (57-74) | 66 (53-72) | 0.45 |
| Gender | | | |
| Male | 104 (73.2 %) | 38 (26.8 %) | 0.17 |
| Female | 91 (80.5 %) | 22 (19.5 %) | |
| BMI (kg/m ²) | | | |
| < 30 | 154 (77.4 %) | 45 (22.6 %) | 0.17 |
| > 30 | 29 (67.4 %) | 14 (32.6 %) | |
| ASA | | | |
| 1 - 2 | 149 (76.8 %) | 45 (23.2 %) | 0.82 |
| 3 - 4 | 46 (75.4 %) | 15 (24.6 %) | |
| Smoking | | | |
| Yes | 40 (72.7 %) | 15 (27.3 %) | 0.52 |
| No | 150 (76.9 %) | 45 (23.1 %) | |
| Pulmonal comorbidity | | | |
| Yes | 26 (89.3 %) | 5 (16.1 %) | 0.30 |
| No | 169 (75.4 %) | 55 (24.6 %) | |
| Corticosteroids | | | |
| Yes - home medication | 6 (100 %) | 0 (-) | .12 |
| Yes - peri-operatively 5days | 19 (90.5 %) | 2 (9.5 %) | |
| No | 170 (74.6 %) | 58 (25.4 %) | |
| Radiotherapy | | | |
| Yes | 20 (33.9 %) | 39 (66.1 %) | < 0.01 |
| No | 175 (89.3 %) | 21 (10.7 %) | |
| Type of resection | | | |
| TME | 38 (43.7 %) | 49 (56.3 %) | < 0.01 |
| HAR | 51 (86.4 %) | 8 (13.6 %) | |
| Sigmoidectomy | 78 (96.3 %) | 3 (3.7 %) | |
| Left hemicolectomy | 25 (100 %) | - | |
| Subtotal colectomy | 4 (100 %) | - | |
| Height anastomosis | | | |
| > 7cm | 154 (92.8 %) | 12 (7.2 %) | < 0.01 |
| < 7cm | 40 (45.5 %) | 48 (54.5 %) | |

Table 2. Distribution of variables between patients with and without defunctioning ileostomy
Abbreviations: ASA, American Society of Anesthesiologists; HAR, high anterior resection; TME, total mesorectal excision.

The clinical course of patients with AL showed that most patients had signs of sepsis or peritonitis. In 21 % of leaks, the drain indicated leakage, in the remaining patients, computed tomography or laparotomy resulted equally often in the detection of AL. In 50 % of patients with AL, a Hartmann operation was needed. In 26 % of patients in this series, a diverting stoma was constructed, significantly more often in high-risk patients who underwent radiotherapy and had low anastomosis after a total mesorectal excision surgery. In univariate analysis, low anastomoses did not have an increased risk for AL, however, in the multivariate analysis, low anastomoses did increase the risk for AL ($p=.049$). Having a nonsignificant effect in a univariate analysis and a significant effect in a multivariate analysis is because of an interaction effect among factors. First, there is an interaction between the height of an anastomosis and a protective ileostomy, with 80 % of protective ileostomies in low anastomoses. Second, there is also an interaction with steroids; more than 90 % of patients with stoma did not take steroids. Therefore, when looking at the effect of the height of

anastomosis in patients not taking steroids in the multivariate analysis, the risk of leakage will be higher in low anastomoses. In patients taking corticosteroids (long-term use of corticosteroids or perioperative use of corticosteroids), the risk for AL was significantly increased. It is known that corticosteroids impair wound healing by decreasing activation and infiltration of inflammatory cells. These inflammatory cells, macrophages and polymorph leucocytes, are essential in the first phase of wound healing²⁶. Additionally, corticosteroids inhibit the expression of growth factors and matrix proteins such as collagen synthesis²⁶. Known complications of glucocorticoids include gastrointestinal bleeding, peptic ulcer perforation, and sigmoid diverticular perforation^{27,28}, as well as postoperative complications such as wound infection and wound dehiscence²⁹⁻³¹. Despite these effects on wound healing in general, conflicting results are found in literature concerning the effect of corticosteroids on the healing of colorectal anastomoses. Most experimental studies have shown less breaking strength and collagen concentrations in the anastomosis of animals treated with steroids³²⁻³⁷, although some have found equal healing^{38,39}. On the contrary, few clinical studies have found corticosteroids to be a risk factor for AL^{22,23,40,41}. Among these is 1 prospective study of patients with colorectal carcinoma; other studies are retrospective, only included patients with Crohn disease, or looked at postoperative complications in general and not specifically AL. However, most clinical studies have failed to demonstrate a significant relationship between corticosteroids and impaired wound healing after colorectal surgery^{14,24,25,42-47}. Unfortunately, most studies were retrospective, had broad inclusion criteria, and often only included patients with Crohn disease. In a substantial part of these studies, postoperative complications in general were taken as outcome measures and AL was not specifically addressed. To our knowledge, this is the first study to search for risk factors for AL that not only included long-term use of corticosteroids but also separately focused on corticosteroids prescribed perioperatively for the reduction of postoperative pulmonary complications. In a systematic review, Smetana et al.⁴⁸ showed that chronic obstructive pulmonary disease is an important independent risk factor for postoperative pulmonary complications, as are specific surgical interventions including abdominal surgery and operations lasting longer than 2.5

hours. Therefore, the Dutch guidelines for the prevention of postoperative pulmonary complications recommend perioperative corticosteroids for patients having newly diagnosed, unstable, or severe chronic obstructive pulmonary disease, as well as undergoing extensive surgical interventions ⁴⁹. In these patients, it is advised to start 5 days prior to surgery administration of prednisone, 30 mg daily, which can be ended or gradually reduced 2 to 3 days postoperatively. In our study, perioperative corticosteroids were prescribed to 8 % of patients, and to our knowledge, this is the first study to demonstrate a significant relationship between patients undergoing perioperative corticosteroid treatment and clinical AL. However, perioperative use of steroids is not considered a substantial risk factor for AL according to surgeons because these patients did not receive a diverting stoma more often than patients who did not take corticosteroids. In conclusion, our results show that the construction of a diverting stoma in patients with extraperitoneal anastomoses, who have often undergone preoperative radiotherapy and extensive total mesorectal excision surgery, is effective in reducing the risk for AL. However, in this prospective study, we did find a significantly increased incidence of AL in patients taking long-term corticosteroids and perioperative corticosteroids for pulmonary comorbidity. Therefore, we recommend that in this patient category, anastomoses should be protected by a diverting stoma or, in the case of chronic corticosteroids, a Hartmann procedure should also be considered to avoid the morbidity and strongly increased mortality associated with AL.

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Chapter 4

Riolan's arch: confusing, misnomer, and obsolete. A literature survey of the connection(s) between the superior and inferior mesenteric arteries

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Abstract

Background

There are 2 interpretations of Riolan's arch: (1) Riolan's arch is identical to a central part of the marginal artery (MA), connecting the superior (SMA) and the inferior mesenteric (IMA) arteries; and (2) Riolan's arch represents a rare artery, connecting the SMA and the IMA. The current review aims to emphasize the clinical importance of the colon's vasculature and to show the feasibility of abolishing the terms "Riolan's arch" and "meandering mesenteric artery".

Methods

A literature survey was performed.

Results

It appears that no distinct identity can be ascribed to Riolan's arch and that the "meandering mesenteric artery" represents an angiographically hypertrophied MA and/or the ascending branch of the left colic artery. However, a rare, centrally located, communicating artery has been described. Generally, the MA is sufficient for left colic circulation after ligation of the IMA, but at the splenic flexure, patency of the ascending branch of the left colic artery can be primordial.

Conclusion

As connections between the SMA and the IMA can be adequately described using structures mentioned in *Terminologica Anatomica*, the terms "Riolan's arch" and "meandering mesenteric artery" should be abolished.

Introduction

Jean Riolan the Younger (1580 –1657), a famous 17th century French anatomist, was a great admirer of the views of Galenus, which, at that time, had survived for 14 centuries. As a great dissector he greatly contributed to anatomical knowledge, as one could conclude from the eponyms carrying his name like Riolan's muscle, Riolan's bouquet, and Riolan's arch. The latter anatomical term has remained, despite the attempt of the Paris Anatomical Conference (1955) to refute all eponyms in anatomy, a well-known entity in radiology, aortic, and colon surgery ¹.

Interpretations and Synonyms of Riolan's Arch

In general, "Riolan's arch" refers to a connection between the superior (SMA) and inferior mesenteric (IMA) arterial systems. This connection is held responsible for collateral perfusion after, for example, ligation of the IMA during aortic and colon surgery and after atherosclerotic stenosis or occlusion of SMA or IMA ^{2,3}. However, there is no consensus on which anatomical structure is represented by this eponym ⁴. This is confirmed by the large number of synonyms that can be found in literature (Table 1).

Two different interpretations of Riolan's arch can be found in literature: (1) a synonym for the marginal artery (MA) of the colon, also known as the MA of Drummond, arcus paracolicus, or paracolic arcade; and (2) a rare distinct anatomical entity connecting the SMA with the IMA. Several authors do not mention Riolan's arch and consider the MA to be the crucial connection between the SMA and the IMA ⁵⁻¹⁵. Others do mention Riolan's arch but are merely considering it to represent the MA, with or without the ascending branch of the left colic artery (ALCA) (Fig. 1A) ^{2,16-27}. However, many authors consider Riolan's arch to be a distinct anatomical entity, additional to the MA and ALCA (Fig. 1B) ^{2,28-44}. This interpretation has been supported by the original radiological interpretation of the angiographic "meandering mesenteric artery," located between the middle colic artery (MCA) and the left colic artery (LCA), as a distinct anatomical entity ⁴³ (Fig. 2).

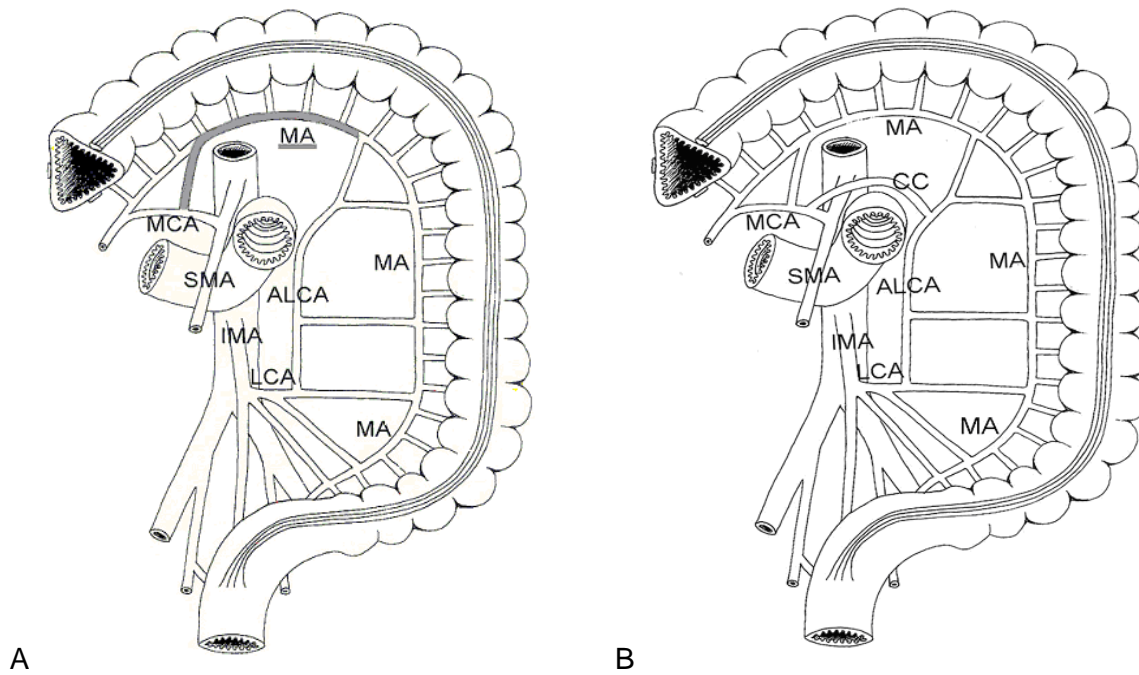


Fig. 1.
 (A) Schema of colic arterial circulation in which Riolan's arch is interpreted as the marginal artery of colon (of Drummond), marked arterial segment. ALCA = ascending branch of left colic artery; IMA = inferior mesenteric artery; LCA = left colic artery; MA = marginal artery; MCA = middle colic artery; SMA = superior mesenteric artery.
 (B) Schema of colic arterial circulation in which Riolan's arch is interpreted as a centrally communicating, additional collateral artery (CC).

| Synonyms of Riolan's arch |
|-------------------------------------|
| central anastomotic artery of colon |
| mesomesenteric artery |
| middle-left colic collateral |
| intermesenteric artery or arcade |
| meandering mesenteric artery |
| anastomosis (magna) of Riolan |
| meandering artery of Riolan |
| great colic artery of Riolan |
| arch of Treves |
| artery of Moskovitch |
| artery of Gonzalez |
| anastomosis maxima of Haller |
| arcus magnus mesentericus |

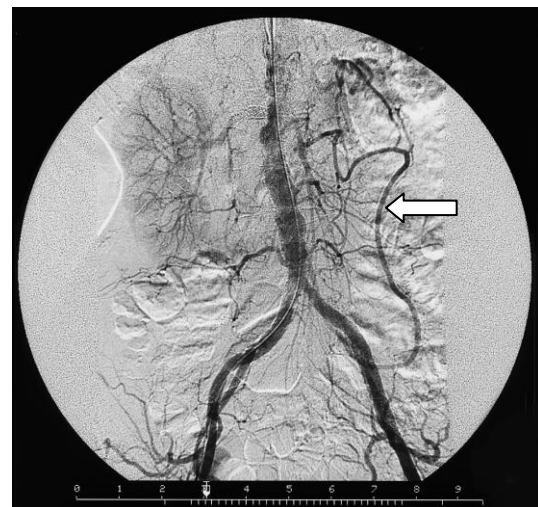


Table I. Synonyms of Riolan's arch. Data from Ernst³, Bertelli et al¹⁶, Moneta²⁷, Davis³³, Van Gulick and Schoots⁴⁵, and Lanz and Wachsmut⁴⁷.

Figure 2. The angiographic "Meandering Mesenteric Artery", indicated by the arrow, in case of occlusion of the superior mesenteric artery

To determine which anatomical structure Jean Riolan the Younger referred to by Riolan's arch, Riolan's texts were extensively explored by other groups, but no description of the arterial circulation of the colon was found^{16,28}. Additionally, the authors of the current review

were unable to find a description in Riolan's "Opera Anatomica." One chapter is devoted to the intestinal vascularization, only describing the celiac trunk (Fig. 3).

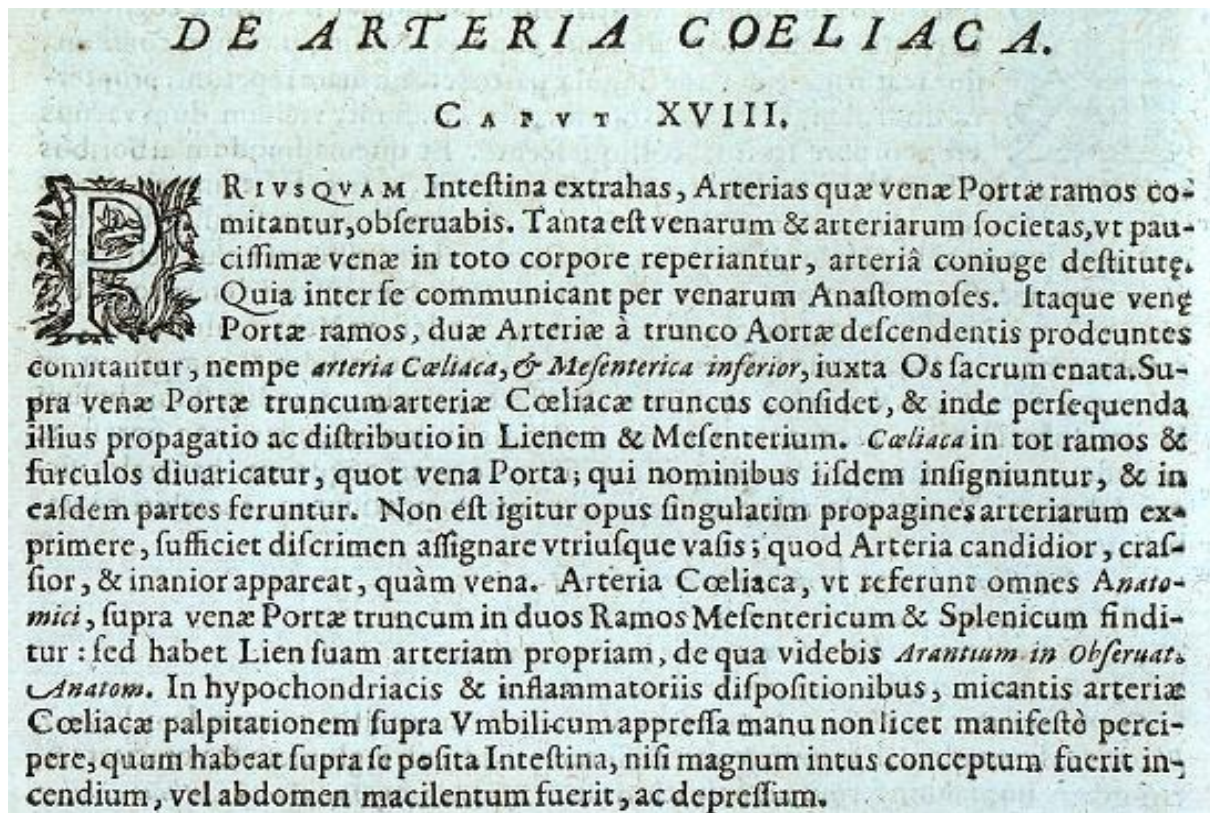


Figure 3. Chapter of Riolan's "Opera Anatomica", Leiden, 1650, p. 115, the only chapter about intestinal vasculature, with its translation, which follows (Courtesy of the library of the Leiden University Medical Centre):

On the Arteria Coeliaca. Chapter XVIII: Before taking out the intestines you may observe the arteries, which accompany the branches of the vena portae. There is such a close association between veins and arteries that within the whole body only very few veins may be found which lack an accompanying artery. Accordingly they communicate by means of venous anastomoses. [We call them arterio-venous anastomoses.] And so there are 2 arteries originating from the trunk of the aorta descendens, which accompany the branches of the portal vein; these are: the celiac artery and the inferior mesenteric artery, which issues forth near the os sacrum. The trunk of the celiac artery is situated above the main-stem of the portal vein and from there its further continuation and distribution directed towards the spleen and the mesenterium can be followed. The celiac artery splits up into just as many branches and bifurcations as the vena porta; they [ie, the arterial branches] are indicated by the same terms [the portal branches] and they lead into the same parts. Therefore it is not necessary to describe the offshoots of the arteries one by one; it serves the purpose to label both kinds of vessels with their characteristic features, [Which means] that an artery has a lighter colour, is thicker and seems less filled than a vein. According to all anatomists the celiac artery is just above the stem of the vena portae divided into 2 branches, ie, the ramus mesentericus and the ramus splenicus; but the spleen has also its own proper artery, about which you may consult the *Anatomical Observations of Arantius*. [Arantius was a contemporary fellow-anatomist.] In the management of abdominal inflammations it is not permitted to ascertain the palpitation of the pulsating celiac artery by applying pressure with the hand above the umbilicus. The reason is, that the [inflamed] intestines are situated above the artery. [Therefore the pressure of the hand could be very painful.] There is an exception to this rule if there is a great motivation to gain knowledge of the internal situation and also if the abdomen is emaciated and flattened.

Consequently, considering the fact that Riolan never specifically wrote about the colon's vasculature, it has to be assumed that the arch is named after him out of respect for this great anatomist^{16,45}, which was a common phenomenon at that time. Probably, Albrecht von Haller, one of Riolan's coworkers, was the first to refer to the collateral arterial anatomy of the colon and MA in 1743^{6,16,45,46}.

The aim of the current report is to review the literature related to the vasculature of the colon, to emphasize its clinical importance, and to show the feasibility of abolishing the terms “Riolan’s arch” and “meandering mesenteric artery.”

Anatomy of Connections Between the SMA and IMA

Many dissection, angiographic, and arterial cast and corrosion studies concerning colic arterial anatomy have been published. Unfortunately, no reports could be found in which angiographic and/or cast and corrosion studies were systematically correlated to dissection of the arterial system. Another misfortune is the vast variety of specimen numbers and methods, even in the same study, which makes interpretation of the results extremely difficult. Therefore, all principal arterial structures between the SMA and the IMA will be discussed in subsequent order.

Middle colic artery

The MCA, representing the terminal arm of the SMA system, is absent in 2 % to 22 % of patients^{6,16,28,29,46,47}, in which case the transverse colon will be supplied by the right colic artery (RCA) and a pronounced “marginal Riolan anastomosis”, according to Lanz and Wachsmuth⁴⁷. The latter term is defined as the part of the MA, between the left branch of the MCA and the ALCA⁴⁷. When the LCA is absent, the MCA can extend to the splenic flexure (7 %) ^{23,48}.

Marginal artery of colon (of Drummond)

Although angiographic evidence of a direct SMA–IMA connection is lacking, according to most authors, MA is always present, connecting the MCA with the LCA^{3,6,16,23,41,46,47,49,50}. The MA–ALCA connection (Cannon-Böhm point) at the transverse colon is a common phenomenon as well, representing the boundary between the 2 autonomic nerve supplies and corresponding to the embryonic primary colic flexure at the fusion level of the midgut and hindgut³⁸.

Ascending branch of left colic artery

ALCA, representing the left arm of SMA–IMA connection and constituting an arch from the distal transverse colon down to the sigmoid colon secondary to the MA, is reported to be present in 63 % to 100 % of patients^{6,29,46,50}. When present, it parallels the inferior mesenteric vein and extends up to the splenic flexure. When absent, the left branch of MCA is reported to extend to the splenic flexure^{23,48}. In a few cases (14 %), the medial branch of the ALCA's terminal bifurcation, localized at a few inches from the splenic flexure, is lacking, leaving the splenic flexure with a single arcade, constituted by the MA²⁹. When the medial branch of the ALCA's terminal bifurcation is connected to the MA at the transverse colon, the importance of the ALCA is related to the functional patency of the MA at the splenic flexure and/or the descending colon²⁰.

Marginal artery at splenic flexure

Although clinical involvement of the splenic flexure ('watershed area') in ischemic colitis is a familiar syndrome, its prevalence is unknown^{51,52}. Some authors consider the splenic flexure a predilection area for ischemic colitis, compared to the descending or sigmoid colon⁵³. However, Keighley et al. showed these segments to be equally involved⁵⁴. Inoue et al. related the localization of colon ischemia to differences in dominance of the SMA, IMA, or the internal iliac arteries (IIA)⁵⁵. It was found that splenic flexure ischemia after ligation (or atherosclerotic occlusion) of the IMA occurred more often in patients with an IIA dominant collateral colic circulation. From the perspective of the IIAs, the splenic flexure is the most remote colon segment, possibly explaining this finding. This logic was confirmed by the finding that in the majority of studied patients with a dominant SMA, ischemia of the rectosigmoid was more common. There are anatomical arguments for a clinical role of the MA at the splenic flexure. For example, Steward et al. reported 100 % MA patency at the splenic flexure by injecting contrast into the SMA⁴⁶. Binns et al. confirmed these findings in their cadaver angiography study⁵⁶. Contradicting these findings, Griffiths et al. reported a

frequent insufficiency of the MA at the level of the splenic flexure, currently referred to as Griffiths' critical point ⁶, which is confirmed by several other authors ^{7,29,57}.

An explanation for this contradiction could be the fact that Steward and Binns did not ligate the 2 branches of the ALCA's terminal bifurcation, leaving the possibility that filling of the IMA system by the ALCA and thus "bridging" of a possibly insufficient MA at the splenic flexure could have occurred.

Considering these results, it is recommended to respect both terminal branches of the ALCA while resecting the left colon and/or rectum. As such, the possibility of bridging the MA at the splenic flexure remains ^{6,8,58}. However, its clinical importance is unknown, since the prevalence of an insufficient MA at the splenic flexure is unknown.

Central communications between the SMA and IMA

Quénu et al. frequently observed tiny intermesenteric arteries at the level of the duodenojejunal flexure, running along the cranial part of the inferior mesenteric vein ¹⁷. However, in general, such "central" communications between the SMA and the IMA have been described only in low frequencies (0 % to 18 %) ^{6,16,23,28,29,42,46,59}. They can be compared with the artery of Bühler (ramus anastomoticus) between the celiac trunk and the SMA ^{29,30}. In addition to the MA and the ALCA, a central artery between the SMA and the IMA might serve as a third pathway of collateral arterial circulation of the colon ¹⁶. Such an artery is mentioned in *Terminologica Anatomica* as "arteria ascendens" ⁶⁰. Bertelli et al., denominating this communication by "intermesenteric trunk," discerned 3 different types, with a total incidence of 18 % ¹⁶: (1) the direct type (arteria ascendens), representing an extremely rare direct communication between the SMA and the IMA; (2) the indirect type, representing a connection between the MCA and the LCA (prevalence 9 %); and (3) a communication between 1 of the 2 mesenteric arteries and 1 of the main branches, usually the LCA (prevalence 9 %). Van Damme et al. observed a small central intermesenteric arcade, running at the level of the duodenojejunal angle, in 12 % of cadavers ²³. In their opinion, this shunt was unreliable in acute vascular occlusion. A middle (third) mesenteric artery with a

direct connection to the LCA, has been described in extremely rare instances⁶¹. To date, an additional, protective role of these rare central connections has never been demonstrated.

Other pathways between the SMA and IMA

Arterial connections between the retroperitoneal and intestinal vascularisation have been recognized for a long time²³. Pereira et al. have shown that several, although anatomically not defined, arterial channels must add to the collateral circulation of the left colon⁴. Michels et al. have described minute parieto-visceral connections at the level of the left renal capsula, which might hypertrophy in atherosclerotic occlusive disease^{26,29}.

Summary of Connection(s) Between the SMA and IMA

Considering the previously reviewed studies, it can be stated that, to date, there is no evidence for the presence of any regular arterial entity, other than the MCA, MA, and ALCA and their anastomosis at the distal transverse colon. This was already supported in Orr's classical *Operations* (1944) by the introduction of the concept of "Riolan's space", in which no vessels can be encountered⁶². Subsequently, the ALCA can be regarded as the only common secondary arch, bridging the MA at the splenic flexure^{6,20,26,29,41,46}. The importance of the ALCA is illustrated by the nomenclature of "arteria intermesenterica," as represented in Sobotta's *Atlas of Anatomy*¹³.

Clinical importance of connection(s) between the SMA and IMA

The clinical importance of a functional arterial communication between the SMA and the IMA has been recognized for many decades. However, the results from clinical, mostly intraoperative, experiments on collateral colic circulation are not unequivocal. As with the anatomical studies, methodological variety allows only general conclusions.

In chronic atherosclerotic occlusive disease, intestinal ischemia generally only becomes manifest if all 3 main axes (celiac trunk, SMA, and IMA) show occlusive signs on angiography³. However, complete absence of intestinal necrosis in case of occlusion of all 3

main axes also has been described ¹⁹. In atherosclerotic obstructive disease, for example, occlusion of the SMA or infrarenal aorta (Leriche's syndrome), the angiographic finding of a hypertrophic "meandering mesenteric artery" (Fig. 2), as introduced by Moskowitz et al. in 1964, is a well-known phenomenon ^{2,33,43,44}. The meandering mesenteric artery supposedly is a thick, tortuous, uniform vessel connecting the proximal segments of the MCA and LCA, representing a central anastomosis. It can be distinguished from a normal MA, while this vessel is not tortuous, runs along the descending colon and is rarely visualized on angiography ^{43,44}. Besides the aforementioned features, a precise anatomical definition of the meandering mesenteric artery cannot be found. Some authors consider Riolan's arch and the meandering mesenteric artery to represent the same structure ⁴⁴. Others consider the meandering mesenteric artery to represent the MA together with the ALCA, anastomosing at the level of the distal transverse colon ^{18,63,64}. Considering arterial perfusion of the greater part of the colic collateral vascular bed up to the descending colon, the SMA is more important than the IMA. Acute occlusion of the SMA will lead to intestinal necrosis, comprising the splenic flexure ^{55,65}. The IMA, however, can be ligated ("high-tie") in left colon and rectum resections without development of necrosis of the afferent colic loop ^{66,67}. In aortic surgery as well, it is an old adagium to ligate the IMA at its origin, respecting the LCA for collateral arterial circulation of the distal colon and rectum ⁶⁸. In the rare case of necrosis of the colon, it can be attributed to underdeveloped collaterals or intraoperative hypotension and possibly to absence of the MCA or occlusions of the IIA ^{4,67,69-72}. This is confirmed in a clinical study in which the IMA was clamped intraoperatively in patients undergoing colon surgery ⁷³. After an immediate MA-pressure drop, the authors observed collateral arteries taking over colic blood supply within 30 seconds and partial restoration of MA-pressure. The IIAs also play an important role in collateral rectosigmoidal arterial circulation, as ischemia of the colon and/or rectum is more frequent in case of occlusion of these vessels ^{4,74}. Pereira et al. intraoperatively clamped the IMA, MCA, and MA at the transverse colon, without a significant drop of stump pressure in non-atherosclerotic subjects with sigmoid carcinoma, underlining the dominance of the IIAs ⁴. It is suggested that the SMA- IMA collateral pathway

does not play an important role for the left colon and rectum in non-atherosclerotic subjects. In congruence with these findings, a significant persistent decreased perfusion, up to 50 %, of the afferent colic loop after rectosigmoid resection was found by laser Doppler flowmetry^{75,76}. In addition, Fasth et al. measured significant arterial pressure decreases in the MA at the left colon after clamping of the IMA, suggesting that postoperative systemic systolic pressures should be monitored in order to prevent anastomotic dehiscence⁷³. A “high-tie” strategy (ligation of the IMA stem) in oncological rectal surgery will not result in ischemia of the proximal loop, provided the sigmoid colon is at least partially resected in view of an incomplete MA at sigmoid level, and no signs of SMA stenosis are present^{58,73,77–81}. Hall et al. observed that oxygenation of the descending colon was maintained or even improved after IMA ligation in distal colorectal resections, provided the sigmoid was resected⁸⁰. Compared to the high-tie IMA ligation, Corder et al. did not observe any improvement of anastomotic leak rate after selective preservation of the ALCA in low anterior resection, questioning the importance of this vessel as a collateral and underlining the role of the MA⁷⁸. However, in both groups the terminal bifurcation of the ALCA at the splenic flexure was respected, so bridging, as mentioned before, could have occurred. Unfortunately, necrosis of the afferent limb after colon resection has never, with certainty, been ascribed to an insufficient MA at the level of the splenic flexure. This renders the clinical value of the anatomical and angiographic findings, concerning the MA at this location, as yet unproven. As for the functional role of the connection between the SMA and IMA systems, it can be stated that it is important with regard to viability of the afferent colic loop in oncological high-tie rectosigmoid resections. As a rule, the sigmoid colon should be at least partially resected. However, the individual capacity of the arterial collateral system of the left colon and rectum, being largely dependent on the anatomical and atherosclerotic status of the arteries involved, is unpredictable.

Until modalities for pre-, intra-, and post-operative monitoring of colic perfusion in colon and aortic surgery are validated, ischemia of the left colon in colic and aortic surgery will continue to occur.

Conclusion

In literature, including surgical textbooks and atlases, there is ongoing confusion about the identity of Riolan's arch. This can be explained by 3 main factors: (1) the absence of publications by Jean Riolan himself on colic collateral arterial circulation; (2) the large interindividual variety of colon arterial anatomy; and (3) the application of many different methods of anatomical investigations on colon anatomy with often conflicting results.

Authors who appoint high prevalences (>50 %) to Riolan's arch generally refer to the MA. Low prevalences (<20 %) are reported by authors who, in some instances at least, refer to the rarely occurring more central connections^{41,42}. From the present literature review it can be concluded that Riolan's arch is a misnomer. Because in all anatomical studies no other regular structures are reported than already mentioned in *Terminologica Anatomica*, a distinct identity cannot be ascribed to "Riolan's arch" [60]. Consequently, we propose to completely abolish the entity of Riolan's arch. In addition, the radiological denomination of "meandering mesenteric artery" also must be abandoned, as this term too does not reflect a distinct anatomical entity, but represents an angiographically hypertrophied MA and/or ALCA. Although clinically the additional role of the ALCA to the MA with regard to the viability of the left colon in aortic and colon surgery has never been established with certainty, the connection of the MA with the ALCA at the level of the left transverse colon might be of importance in chronic atherosclerotic obstructive disease of the SMA, the IMA, and/or celiac trunk. Therefore, a hypertrophic ALCA must not be ligated during colon surgery. In addition, considering that the MA might sometimes be incomplete at the level of the splenic flexure and/or descending colon, respecting the ALCA's terminal bifurcation, bridging the MA at the splenic flexure is primordial under those circumstances. In abdominal aortic surgery, after IMA ligation, collateral arterial flow with regard to the left colon and rectum is at least as dependent on patent IIAs as on the SMA arterial system. Mere consciousness in aortic and colon surgery of the importance of evaluating and respecting the MA, connecting the SMA and the IMA, and being bridged at the splenic flexure by the ALCA's terminal bifurcation, must replace ongoing confusion on Riolan's arch.

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Chapter 5

High tie versus low tie in rectal surgery: comparison of anastomotic perfusion

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Abstract

Purpose

Both “high tie” (HT) and “low tie” (LT) are well known strategies in rectal surgery. The aim of this study was to compare colonic perfusion after HT to colonic perfusion after LT.

Methods

Patients undergoing rectal resection for malignancy were included. Colonic perfusion was measured with laser Doppler flowmetry, immediately after laparotomy on the antimesenterial side of the colon segment that was to become the afferent loop (measurement A). This measurement was repeated after rectal resection (measurement B). The blood flow ratios (B/A) were compared between the HT group and the LT group.

Results

Blood flow was measured in 33 patients, 16 undergoing HT and 17 undergoing LT. Colonic blood flow slightly decreased in the HT group whereas the flow increased in the LT group. The blood flow ratio was significantly higher in the LT group (1.48 vs. 0.91, $p=0.04$), independent of the blood pressure.

Conclusion

This study shows the blood flow ratio to be higher in the LT group. This suggests that anastomoses may benefit from better perfusion when LT is performed.

Introduction

To date, 100 years after the introduction of the low tie and high tie techniques for colorectal surgery by Miles and Moynihan, respectively ^{1, 2}, the discussion on which is the best technique continues, as illustrated by two recently published reviews ^{3, 4}. Titu et al. have summarized literature comparing low tie and high tie techniques for curative colorectal surgery ³. They concluded that no undisputable evidence favoring one technique exists. Nevertheless, they propagate the high tie technique since it allows better lymph node retrieval and therefore a more accurate tumor staging. In another review comparing the low tie with the high tie technique, Lange et al. distinguished three aspects in the discussion: oncological, anatomical and technical ⁴. They concluded that for each aspect the evidence is insufficient to favor one technique. Nevertheless, they favor the low tie technique since it is less invasive, also with regard to colonic innervation and motility, and it would be beneficial for anastomotic perfusion compared to the high tie technique.

Adequate anastomotic perfusion is considered essential for anastomotic healing. Performing a high tie (HT) technique allows anastomotic perfusion only through the marginal artery, which may lead to a decrease in anastomotic perfusion ^{5, 6}. When a low tie (LT) technique is performed, anastomotic perfusion is allowed not only through the marginal artery, but through the left colic artery and its ascending branch as well. This anatomical reality suggests that anastomotic perfusion is higher after low tie, however, no evidence exists supporting this hypothesis. The aim of this study is to compare the high tie technique to the low tie technique with regard to anastomotic perfusion.

Patients and methods

Patients planned for elective rectal resection for malignancy in four participating hospitals, with nine participating surgeons, were eligible for this non-randomized, prospective study. The procedure was represented by a Total Mesorectal Excision with or without anastomosis. Blood flow was measured with the O2C system. The O2C system ("oxygen to see", Lea Medizin Technik, Giessen, Germany) is a laser Doppler flowmetry system that has often

been used to measure intestinal blood flow for research purposes ^{7,8}. Blood flow, expressed in arbitrary units, is determined by analyzing the Doppler frequency shifts in laser light (820 nm) reflected from moving red blood cells. The laser light is emitted into the tissue, and the backscattered light is detected with a flat probe with a measurement depth of 4–6 mm (Lea Medizin Technik, Giessen, Germany). The O2C measurement frequency is 30 Hz. Measurements were performed at two moments during the operation, being (a) right after median laparotomy and (b) just before construction of the anastomosis or colostomy, in case of abdominoperineal resection. The measurements were performed on the antimesenteric, serosal side of the colon segment that was to become, or was after resection (at moment b), the proximal loop. For all measurements, after placement of the flat probe, the flow measurement was allowed to stabilize until a constant flow was measured. Afterwards, the flow was recorded for 30 s, obtaining 15 values. The mean of these 15 measurements was used to calculate the blood flow ratio (BFR), B/A. During the measurements, the blood pressure was measured as well, and the mean arterial pressure (MAP) was calculated. The high tie technique was defined as ligation of the inferior mesenteric artery (IMA) at its origin. The low tie technique was defined as ligation of the superior rectal artery (SRA), just below the branching of the left colic artery (Fig. 1). The surgeon decided which technique was used.

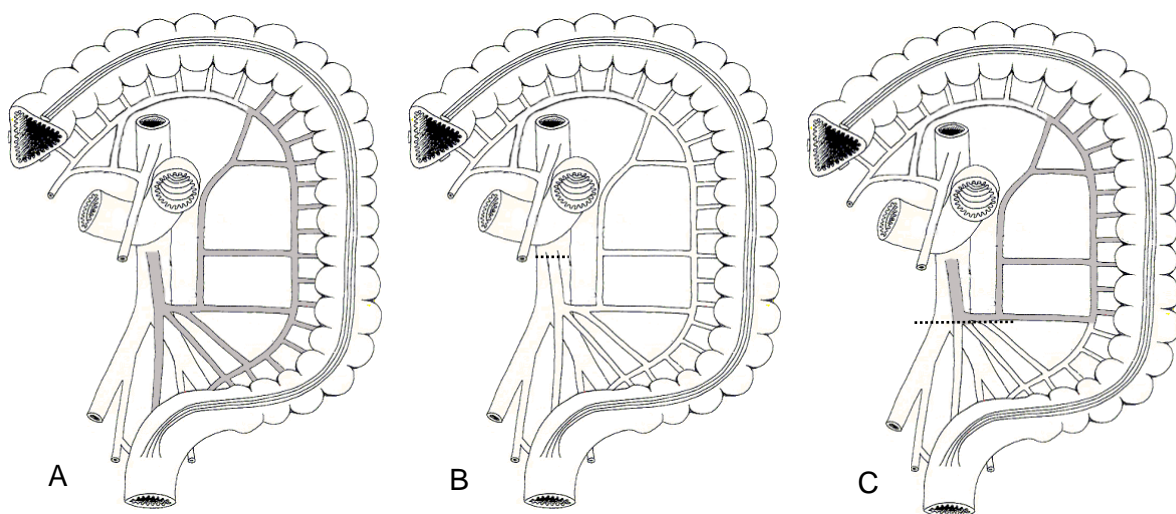


Figure 1. The vasculature of the colon.

A) Grey indicates the flow area of IMA, B) The dashed line indicates the level of ligation in HT, leaving no flow in the inferior mesenteric artery and its branches C) The dashed line indicates the level of ligation in LT, grey indicates the flow area of IMA after LT

The BFR distribution was normalized by a logarithmic transformation and compared between the HT and LT groups by means of an unpaired t test. MAP was compared between moments A and B with a paired sample t-test.

Statistical analysis was performed with SPSS 15.0.

Results

During 1 year, 33 patients were included in four different medical centers. A HT was performed in 16 patients (48 %) of whom 12 (75 %) received a primary anastomosis. A LT was performed in 17 patients (52 %) of whom also 12 (71 %) received a primary anastomosis. In all patients receiving a primary anastomosis, the splenic flexure was mobilized. Nine patients (75 %) in the high tie group and ten patients (83 %) in the low tie group received a defunctioning stoma. The mean number of lymph nodes harvested in the high tie group was 11 (range, 6–23), in the low tie group 12 (range, 6–33) ($p=0.35$). The mean number of positive lymph nodes harvested in the high tie group was 3 (1–5), in the low tie group 4 (1–9) ($p=0.32$). Two patients developed anastomotic leakage, one in the HT group and one in the LT group. In the HT group, significantly more patients received neoadjuvant radiotherapy.

No significant differences were found in the remaining baseline characteristics (Table 1).

The mean BFR was significantly higher in the LT group as depicted in Table 2, whereas the blood pressure during measurements was not significantly different as depicted in Table 3.

Discussion

To date, the discussion on the matter of high tie versus low tie continues. This study focuses on the colorectal vasculature and the flow change after HT or LT. Seike et al. found the colonic blood flow to vastly decrease after ligation of IMA or SRA ⁵, with the subsequent conclusion that this could be an unavoidable factor in the pathophysiology of colorectal anastomotic leakage. However, this study shows otherwise. After a HT procedure, only a

| Baseline characteristics | | High Tie | Low Tie | p-value |
|----------------------------|--|---------------|--------------|---------|
| Gender (M/F) | | 11/5 | 12/5 | 1,000 |
| Age | | 55 ± 17 | 61 ± 13 | 0,363 |
| BMI | | 25 ± 3 | 27 ± 7 | 0,473 |
| Operation | | | | |
| APR | | 4 | 5 | 1,000 |
| LAR | | 12 | 12 | |
| Neoadjuvant therapy | | | | |
| RT | | 14 | 8 | 0,024 |
| No RT | | 2 | 9 | |
| ASA-score | | | | |
| I | | 7 | 5 | 0,170 |
| II | | 8 | 6 | |
| III | | 1 | 6 | |
| Cardiovascular comorbidity | | 2 (13 %) | 4 (24 %) | 0,656 |
| Operating time (minutes) | | 160 (100–340) | 145 (45–225) | 0.450 |
| Tumour stage | | | | |
| 0 | | 1 | 0 | 0,250 |
| I | | 4 | 4 | |
| IIa | | 2 | 4 | |
| IIb | | 1 | 0 | |
| IIIa | | 2 | 3 | |
| IIIb | | 1 | 3 | |
| IIIc | | 0 | 1 | |
| IV | | 4 | 0 | |

Table 1. Patient characteristics of included patients.

M/F = Male / Female; BMI = Body Mass Index (kg/m²); APR = Abdomino-Perineal Resection; LAR = Low Anterior Resection; RT = Radiotherapy; ASA-score = American Society of Anaesthesiologists score

| Ratio | HT / LT | Mean Ratio | Std. Error Mean | p-value |
|-------|---------|------------|-----------------|---------|
| B/A | HT | 0,91 | 0,24 | 0,04 |
| | LT | 1,48 | 0,32 | |

Table 2. Comparison of blood flow ratios between the high tie (HT) and the low tie (LT) technique.

| Group | MAP A/B | Mean | Std. Error Mean | p-value |
|-------|---------|------|-----------------|---------|
| HT | A | 67,1 | 2,2 | 0,473 |
| | B | 64,2 | 3,2 | |
| LT | A | 69,8 | 4,4 | 0,075 |
| | B | 75,7 | 2,0 | |

Table 3. Mean Arterial Pressures (MAP) measured during the blood flow measurements at time points A and B, respectively.

slightly decreased blood flow was observed at the end of the operation (BFR 0, 91), whereas an increased blood flow was measured after LT (BFR 1, 48). The blood flow changes occurred independently from the systemic blood pressure (Table 3). These different findings may be explained by the time interval between arterial ligation and measurement. Seike et al. performed their measurements immediately after clamping of the artery. In this study the first measurement was performed immediately after laparotomy, and the second measurement, just before construction of the anastomosis or colostomy, i.e. at the end of the operation. Therefore, the interval between ligation and measurement is much longer in this study compared to the aforementioned study. This suggests that over time, a recruitment of colonic arteries occurs, allowing recovery of blood flow. In order to study whether these blood flow

changes are permanent or not, blood flow measurements in the postoperative period would be interesting. In addition, since anastomotic leakage is generally detected around the eighth postoperative day ⁹, it could provide important information on the pathophysiological processes concerning blood flow leading to AL. The BFR was significantly higher after LT which means LT allows better perfusion of the proximal anastomotic loop at the end of the operation. Most likely, this is due to the preservation of the left colic artery and its ascending branch. In addition to the marginal artery, these arteries allow a second pathway for blood supply and faster and/or a more extensive recruitment of colonic arteries. Therefore, since good perfusion is essential for proper anastomotic healing, LT would be the preferred technique for this aspect of the high tie–low tie comparison.

The average BFR after LT shows an increase in blood flow compared to the initial value at the end of the operation. This has been described before by Karlicek et al. ¹⁰ and could be due to reactive hyperaemia as a result of colon manipulation. However, it could also be due to a variety of ischaemia reperfusion injuries (IRI). These injuries have been well described in animal models in which an IRI leads to visible hyperaemia and decreased anastomotic strength ¹¹. This response is probably also present after HT, however, it is more outspoken after LT most likely due to preservation of the left colic artery. Whether these findings have an impact on the incidence of anastomotic leakage should be evaluated by analyzing the blood flow during the postoperative period or in a similar but larger study.

The O2C allows non-invasive measurement of blood flow, however, the measurements are sensitive to several variables. First, it depends on placement of the probe. The probe has to be placed on the exact same spot for perfect comparability. Since it is virtually impossible to mark a spot on the colon without influencing the local blood flow or without hindering the progress of the operation, placement of the probe will be slightly variable. Second, the measurements are sensitive to different pressures applied on the probe when holding it in the right position. Higher pressures are likely to lead to more compressed arteries and a lower blood flow. In order to limit the influence of these variables on the outcome, measurements were performed by the same surgeon, allowing reproducibility of the

measurement. In addition, the blood flow ratio was calculated for which the first measurement served as a control. The use of a ratio also allowed standardizing intrinsic, patient-related differences like microangiopathy due to atherosclerosis and diabetes mellitus. Table 1 shows baseline characteristics to be comparable between HT and LT except for radiotherapy. Significantly more people in the HT group received neoadjuvant radiotherapy. This is, however, unlikely to have an effect on the blood flow in the proximal anastomotic loop since this loop is located outside the radiation field. In addition, the high tie group contained a higher number of patients with metastasized disease (stage 4 present in 25 % in the HT group vs. 0 % in the low tie group). This difference most likely illustrates the participating surgeons having preferred to perform a high tie technique in patients with metastasized disease.

Conclusion

When comparing high tie ligation to low tie ligation, this study shows the perfusion of the proximal loop of the anastomosis to be better after low tie ligation. Considering neither of both techniques is favorable on the oncological or technical aspect, low tie ligation may be the technique of choice in patients undergoing rectum resection.

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Part 3

Early diagnostics

Chapter 6

Anastomotic leakage, the search for a reliable biomarker. A review of the literature

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Abstract

Background

Colorectal anastomotic leakage (AL) is a severe complication leading to severe infection, sepsis and sometimes death. At present the diagnosis is made clinically, usually at 6–8 days after surgery. An objective biomarker reflecting the intra-abdominal milieu surrounding the anastomosis would be a useful additional diagnostic tool to make the diagnosis of AL before its clinical presentation. This review aims to assess the current status of the search for such a biomarker in peritoneal fluid.

Method

A literature search was carried out, using MEDLINE, PubMed and the Cochrane library, for all publications concerning human peritoneal fluid in relation to postoperative complications in general, and, more specific, anastomotic leakage after colorectal surgery.

Results

Analysis of several immune parameters, tissue repair parameters, parameters for ischaemia and microbiological composition of peritoneal fluid show that these can be determined reliably in the fluid, albeit with a large variance. Furthermore the data show that changes in concentration of these parameters precede AL and other postoperative complications by several days.

Conclusion

The results of the review demonstrate that it is possible to distinguish between patients with and without AL by measuring biomarkers in fluid from the peritoneal drain. Prospective studies with larger numbers of patients should, however, be performed and additional biomarkers should be studied to explore the full diagnostic potential of this approach.

Introduction

Leakage of a colorectal anastomosis is, by definition, a complication in which non-sterile intestinal content leaks into the abdominal or pelvic cavity through a defect in the anastomosis. Peritonitis may develop and lead to sepsis, multiple-organ failure, and ultimately death. The reported incidence varies between 10 and 13 %¹⁻³, with a mortality rate that can be as high as 33 %⁴. Current diagnostic methods include observation of clinical signs and symptoms while confirmation is obtained by imaging. These methods have several disadvantages. Neither clinical signs and symptoms nor systemic analysis of parameters such as C-reactive protein (CRP) and leucocytosis are specific. These can mimic less severe, postoperative infections including urinary tract infections and wound infection. Diagnostic tests for these presumed infections may delay the actual diagnosis of anastomotic leakage. Furthermore, when anastomotic leakage has progressed to a state of clinical manifestation, the patient is already ill and treatment needs to be initiated.

Imaging modalities, more specifically abdominal CT-scans and/or contrast enemas, are normally used to confirm a clinical diagnosis of anastomotic leakage, meaning the patient is already ill⁵. When these are used routinely, subclinical AL may be observed, not requiring any intervention⁶. Clinically relevant AL is usually diagnosed at about 6–8 days after surgery^{1,7}. Some studies report an even longer interval, even up to 2 weeks⁸. Such long intervals are detrimental to the prognosis, increasing mortality rates⁹. An objective laboratory biomarker could help to decrease these intervals and make an early diagnosis.

The term biomarker is defined as an objectively measured characteristic, which is an indicator of a physiological or pathogenic process, or a pharmacological response to a therapeutic intervention¹⁰. A biomarker reflecting the intra-abdominal milieu surrounding the anastomosis could be a rapid and objective diagnostic tool in addition to current methods, allowing the diagnosis of AL before its clinical presentation. Such a biomarker could be found in the fluid retrieved from an intra-abdominal drain. It should, however, meet certain criteria, as defined in Table 1.

| Criteria for a biomarker of AL in drain fluid |
|--|
| <ul style="list-style-type: none"> • Significant change in concentration in case of AL • Stable in peritoneal environment and drain • No significant influence of primary disease • Maximum sensitivity and specificity • Easy, fast, cheap and real-time testing |

Table 1. Criteria for an objective biomarker for AL in drain fluid, composed by the authors

This fluid has to be retrieved by means of prophylactic drainage (PD), the aim of which is to prevent accumulation of blood in the pelvic or peritoneal cavity and to allow early detection of AL by faecal or purulent discharge from the drain. Despite the increasing use of ‘fast track’ surgery, PD is still often applied, mostly after low anterior resection as leakage rates are highest after this procedure ^{11,12}.

Simply assessing the aspect of drainage fluid is not useful since in case of fecal discharge, severe leakage has already occurred. Imaging is a more accurate means of detection. Many studies have attempted to analyze the drain fluid in a more objective and sophisticated manner. The aim of this literature survey is to create an overview of studies in which such an analysis was performed and to see if a feasible biomarker for AL exists.

Method

A full search was performed of MEDLINE, PubMed and the Cochrane library for all papers concerning analysis of human peritoneal fluids, in relation to postoperative complications in general and, more specifically, AL after colorectal surgery. The terms ‘drainage fluid’ and ‘AL’ were used for the search. For each relevant article, all articles of the first author and all the related articles were identified. Potential biomarkers were compared to the criteria for a biomarker for AL in peritoneal drainage fluid as defined in Table 1.

Results

The search yielded ten studies which investigated a biomarker for postoperative complications. Of these studies, five aimed to find a biomarker specific for AL. All were prospective observational studies. The potential biomarkers described were divided into four groups, being immune parameters, tissue-repair parameters, parameters for ischemia, and microbiological parameters.

Immune parameters

Cytokines such as interleukin (IL)-1, 6, 10 and tumor necrosis factor- α (TNF- α), are polypeptides that mediate systemic changes associated with surgical trauma and infection such as fever, neutrophilia and increased hepatic acute phase protein synthesis¹³. Their major sources and effects are summarized in Table 2.

| Cytokine | Major source | Major effects |
|------------------|---|---|
| Interleukin - 1 | Macrophages | Stimulation of T cells and antigen-presenting cells. B-cell growth and antibody production. Promotes hematopoiesis (blood cell formation). |
| Interleukin - 6 | Activated T cells, monocytes, endothelial cells | Stimulates B-cell differentiation, increases platelet production, stimulation of hepatocytes leading to increased production of CRP and fibrogen. |
| Interleukin - 10 | Activated T cells, B cells and monocytes | Inhibition of cytokine production by macrophages and inhibition of the accessory functions of macrophages during T cell activation |
| TNF - α | Activated macrophages | Stimulates fibroblast growth and is a key mediary in the local inflammatory immune response |

Table 2. Major source and effects of the reviewed cytokines (23-26)

During the first hours after abdominal surgery, levels of these cytokines are elevated in peritoneal fluid as part of the postoperative inflammatory response¹⁴. The systemic levels can be determined but compared with the peritoneal levels, they are secondary and are an inferior reflection of local events¹⁴. In the uncomplicated postoperative period, the cytokine levels in the peritoneal fluid will start to decrease within 24 h^{14,15}. When complications such as AL occur, however, the response is different. Herwig et al.¹⁶ found that a significant increase of IL-6 and TNF- α levels, as early as the first postoperative day, preceded clinical symptoms of peritonitis on account of leakage of a colorectal anastomosis by several days. Levels of IL-1 were significantly increased on the third postoperative day in patients who

developed AL. Bertram et al.¹⁷ found a sudden rise in TNF-a levels one day before the surgical diagnosis of AL. However it did not differ significantly from the control group. Levels of IL-6 were found to be the same in the two groups. These are the only two studies of AL after colorectal surgery, confirmed by imaging or laparotomy, as an outcome measure. In other studies, levels of peritoneal cytokines in relation to postoperative complications in general were analyzed. Van Bergen Henegouwen et al.¹⁸ determined the levels of IL-6, IL-10 and TNF-a in peritoneal fluid of patients undergoing pancreaticoduodenectomy and gastric bypass. These levels were compared between patients with and without postoperative complications, which were defined as 'any postoperative morbidity leading to medical or surgical intervention'. Decreasing cytokine levels were found in cases with an uncomplicated postoperative course. Additionally, they found a second rise of TNF-a in the peritoneal fluid to precede a postoperative complication by 1–3 days. Levels of IL-1 and 6 remained constant or decreased. Tsukada et al. found a significant correlation between IL-1b, IL-6, TNF-a and forms of surgical stress, such as longer operating time, more blood loss, and higher bacteria concentrations in drain fluid^{19,20}. These findings were confirmed by Baker et al.²¹ who found a correlation between IL-1b and IL-6 and postoperative complications. Unfortunately the studied populations were heterogeneous and postoperative complications were insufficiently defined.

The results of these studies suggested that monitoring of peritoneal cytokines could be predictive, or at least helpful in the early diagnosis of postoperative complications. However, with regard to the reported standard deviations, these studies included too few patients, and the definitions of postoperative complications were insufficient. Furthermore, patients underwent different surgical procedures in the same study. The results of these studies are therefore difficult to compare as it has been shown that the type of surgery influences the cytokine profile²².

Tissue repair parameters

Matrix metalloproteinases (MMP) are a group of zinc-dependent endopeptidases that regulate the integrity and composition of the extracellular matrix (ECM) in both physiological and pathological processes. These are secreted as inactive pro-enzymes and need to be proteolytically activated in order to function. In peritoneal fluid, both the levels of the active form as well as the levels of the active form combined with the inactive form (Total MMP, T-MMP) could be determined. Wound repair and tissue regeneration depended on the balance between proteolysis by MMPs and prevention by their respective inhibitors like tissue inhibitors of metalloproteinase (TIMPs) on the one hand and by protein synthesis on the other ²⁷. Major activators and their effects are given in Table 3.

| MMP | Enzyme | Activated by | Major effects |
|-----|---------------|---------------------------------|---|
| 1 | Collagenase-1 | Plasmin, kallikrein, MMP-3, -10 | Degradation of collagen, gelatin. Activator of MMP-2 |
| 2 | Gelatinase A | MMP-3, -7, -13 | Degradation of collagen, gelatin, elastin, fibronectin |
| 9 | Gelatinase B | MMP-2,-3,-13, Plasmin | Degradation of collagen, gelatin, elastin, fibronectin. Activator of MMP-9, -13 |
| 13 | Collagenase-3 | MMP-2, -3,-10, Plasmin | Degradation of collagen, gelatin. Activator of MMP-2, -9 |

Table 3. Activators and major effects of the reviewed MMP's (27)

Healing of colonic anastomoses in rats was enhanced by inhibiting MMP activity ²⁸. Compared with untreated controls, inhibition of MMP activity led to higher bursting pressures, a better preserved structural layer and an increased collagen network at the anastomotic site ^{28,29}. By analyzing the biopsies of macroscopically intact colon tissue, Stumpf et al. showed that patients with impaired anastomotic healing exhibited a significant higher expression of tissue MMP-1 and MMP-2 in the mucosal layers and of tissue MMP-2 and MMP-9 in the submucosal layers. In addition, the bowel wall of the leakage group contained a significantly lower collagen type I/III ratio compared to uncomplicated controls ³⁰. Type I and III collagen genes were normally overexpressed at the anastomotic site ³¹ and these collagen types were important targets of MMPs ²⁷. A correlation between intestinal tissue MMP-13 and AL was also found ³². To gain more knowledge of the role of MMPs in the phase after oncological

colorectal surgery, Baker et al.³³ determined daily profiles of MMPs and their inhibitors in peritoneal fluid. A positive correlation between peritoneal MMP-2, T-MMP-2, and T-MMP-9 and postoperative complications was observed. A negative correlation between TIMP-1 and -2 and postoperative complications was found. Unfortunately the studied population was heterogeneous and the types of postoperative complications were not specified in these publications. Although these studies suggested that determination of levels of MMPs in tissue or peritoneal fluid could serve as a biomarker for AL, the number of studies and numbers of patients used in these studies were small. In addition, the outcome measures used were not specific and did not permit definitive conclusions.

Parameters for ischaemia

Ischaemia of the intestine or, more locally, of the anastomosis is considered to be detrimental for healing and to increase the risk of AL^{34,35}. Direct measurement of local ischaemia was shown to be predictive for AL³⁶. However, postoperative in situ measurements are difficult and uncomfortable for the patient and therefore not ideal for routine surgical practice. When ischaemia occurs, the aerobic metabolism, present in healthy tissue, will convert to anaerobic metabolism. As a consequence, levels of lactate will increase and carbon dioxide will accumulate, lowering the pH. The decreased blood supply will lead to decreased levels of glucose. These processes will cause cell damage with breakdown of the membrane and release of the membrane's phospholipids. These, in turn, will split up in free fatty acids and glycerol. These changes in metabolism were confirmed by measuring glucose, lactate and glycerol in peritoneal fluid by means of microdialysis in animal studies, in which hypoxic hypoxia was induced by adjusting ventilation³⁷ and in which regional occlusive ischaemia of the jejunum was induced³⁸. Using microdialysis, peritoneal fluid could be evaluated indirectly by analyzing the dialysate. The dialysate will equilibrate with the peritoneal fluid surrounding the abdominal catheter, so that the levels of the dialysate will correspond to the levels of the local peritoneal fluid³⁹. Indirect determination using microdialysis was also studied in patients after colorectal surgery^{40,41}. In a pilot study

including eight patients undergoing right hemicolectomy, Jansson et al. found the previously described changes in metabolic parameters to occur several hours before clinical signs of AL appeared ⁴⁰. The same group defined normal values for microdialysis in patients undergoing different gastro-intestinal operations ⁴¹.

Microbiological parameters

During colorectal resection, spillage of intestinal content may occur, leading to contamination of the abdominal cavity by microorganisms. Normally, this will be removed by the immune system and the postoperative course will be uncomplicated. When AL occurs, however, bacteria enter the abdominal or pelvic cavity in the postoperative phase. Qualitative and quantitative assessment of intraperitoneal bacterial load might therefore be a diagnostic tool for AL in an early phase. In this context the diagnostic value of lipopolysaccharides (LPS) in peritoneal fluid was studied. LPS forms part of the outer wall of gram-negative bacteria that are abundantly present in the gut as commensal flora. The diagnostic value of peritoneal LPS for peritonitis was reported by Beger et al. ⁴² and Muhammed et al. ⁴³. With the aim of identifying a biomarker for AL, Junger et al. ⁴⁴ determined LPS levels in 22 patients having had a colorectal anastomosis. Three patients developed clinical signs of AL and they had significantly elevated LPS levels as early as day 3. The differences in LPS levels between the groups with and without anastomotic leakage were vast, however the standard deviation was great as well, rendering the number of patients too small.

Simmen et al. ⁴⁵ studied indirect alterations of drainage fluid as a marker of bacterial infection. Analysis of the pH, pCO₂ and pO₂ of the drainage fluid was shown to allow early detection of infectious complications after abdominal surgery as early as the fourth postoperative day. These infectious complications contained only few cases of AL. Besides the small numbers in this study, all the patients underwent emergency laparotomy for a variety of indications rendering this population as too heterogeneous to allow clear conclusions to be drawn.

Discussion

At present AL is diagnosed by means of clinical symptoms and imaging. According to the literature, the interval between surgery and diagnosis of AL varies between 6 days and 2 weeks^{7,8}. The longer the interval between onset and diagnosis, the higher the mortality will be⁹. Therefore AL should be diagnosed as early as possible to allow treatment to be instituted before the patient develops serious complications such as organ failure. This would decrease morbidity and mortality rates.

A reliable biomarker of AL would allow the diagnosis to be made earlier. Such a biomarker could be found in the surroundings of the anastomosis, i.e. drainage fluid. This should be present in the drainage fluid. Several studies measuring possible markers in drainage fluid have been reported with promising results. There are, however, several drawbacks. First, the position of the drain influences the composition of the drainage fluid³⁹, implying that the drain has to be located near the site of interest. Although the drain can be secured by attaching it to the skin, this will not guarantee its position at the anastomotic site. Second, even if the drain lies in the vicinity of the anastomosis, the surrounding peritoneal fluid can move with movements of the patient, like coughing. This could explain the variable results reported in the literature. Furthermore, this great variety renders the number of patients used in the reported studies, varying between 8 and 52^{21,40}, too small. Third, the large variance in the measurements is often combined with great heterogeneity of the study populations^{19,21,33}. For example, given the differences in anatomy and the complexity of the surgical procedure, it is not surprising that anterior resection and a right hemicolectomy show great differences. This is confirmed by Jansson et al.³⁹. Fourth, there is a lack of detailed description of the criteria for patient inclusion in all but two of the reviewed studies^{16,18}. The influence of selection bias is difficult to estimate. A fifth drawback that is encountered reviewing the literature concerning anastomotic leakage, is the vast variety in definitions of anastomotic leakage, as summarized by Bruce et al.⁴⁶. In the articles discussed in this review the definition of anastomotic leakage varies from the occurrence of several undefined clinical signs and the presence of a fecal fistula or fecal fluid in the drain⁴⁴ to undefined clinical signs

confirmed by imaging, microbiological investigation or diagnosis by laparotomy ¹⁶. Concerning the criteria set out in Table 1, it can be said that the concentration of several potential biomarkers appears to change significantly in patients with AL, as shown in Table 4.

| Potential biomarker | Significantly correlated to: | | |
|----------------------------|------------------------------|---------------|-------------------|
| | AL | Postop compl. | Possible ischemia |
| Immune-parameters | (16) | (18, 21) | |
| Tissue repair parameters | (30, 32) | (33) | |
| Parameters of ischemia | | | (40) |
| Microbiological parameters | (44) | (45) | |

Table 4. Representation of the studies relating a significant change of concentration of a potential biomarker (first column) to either AL, postoperative complications in general and visceral ischemia. Of the reviewed studies in only one no significant change was found(17).

The cytokines and MMPs are volatile, so that analysis of the peritoneal drainage fluid has to be immediate. In a routine ward this is very difficult to achieve. Moreover, the tests for the immune and tissue repair parameters are expensive in general, whereas analyses of the MMP-2 and -9 levels, pO₂, pCO₂ and pH may be more practical, because they are less costly ⁴⁵. The reviewed studies gave no data on sensitivity and specificity. To do so would be premature as no real cut-off levels of the studied biomarkers are defined. Furthermore, a larger number of patients are necessary and, in order to compare sensitivity and specificity between studies, a uniform definition of AL should be used.

Only a few studies correlate the potential biomarkers directly to AL. However, there are several studies finding a correlation with postoperative complications in general. Therefore these can be considered as potential biomarkers for AL as well (Table 4). At present, attempts to find a biomarker of AL in peritoneal fluid have focused on a narrow range of potential substances. Most studies have investigated immune- and tissue modulating factors, which are expensive to assay and have therefore limited the number of patients studied. For this reason other possible markers may be attractive. For example, C-reactive protein concentrations in drainage fluid should be studied to see whether it reflects inflammation faster than systemic measurement. Another possibility is to study the role of real-time PCR in detecting bacterial contamination of drainage fluid quantitatively. This technique has been reported to be fast and more sensitive than blood culture in detecting *E. coli* in the blood ⁴⁷.

The detection of enzymes secreted by the exocrine pancreas, such as amylase and pancreas elastase-1, might also allow early diagnosis of AL. These enzymes are secreted into the intestinal lumen and, in the case of colorectal AL, detection in drainage fluid may be diagnostic, similar to the dehiscence of a pancreaticojejunostomy.

The only practical way of obtaining peritoneal fluid for analysis is by means of drainage. There is, however, controversy over the clinical value of a drain after colorectal surgery. Several meta-analyses have not shown any benefit for PD on the incidence of AL ⁴⁸⁻⁵⁰. Adding to this controversy is the fact that with the current, primitive, diagnostic methods the drain is not a strong diagnostic tool. If, however, a biomarker can be found in peritoneal drainage fluid to diagnose AL before its clinical presentation, peritoneal drainage will become routine and no longer controversial. The reviewed studies indicate that analysis of drainage fluid has potential to detect AL in the early postoperative period.

Conclusion

Peritoneal fluid contains biomarkers indicating AL, potentially allowing early diagnosis. The analysis of peritoneal fluid might increase the understanding of anastomotic healing and the pathogenesis of AL. Analysis of the reviewed studies shows that it may be possible to distinguish between patients with and without AL by determining the presence and levels of potential biomarkers in drainage fluid. Studies with larger numbers of patients should be performed and additional biomarkers should be studied to explore the full diagnostic potential of this approach.

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Chapter 7

Detection of colon flora in peritoneal drain fluid after colorectal surgery: Can RT-PCR play a role in diagnosing anastomotic leakage?

The APPEAL-study: Analysis of Parameters Predictive for Evident Anastomotic Leakage.

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Abstract

A semi-quantitative Real-Time PCR strategy was developed to identify potential indicator organisms for anastomotic leakage in peritoneal drainage fluid, *Escherichia coli* and *Enterococcus faecalis*. The analytical performance of the amplification method was validated with 10 culture-positive and 7 culture-negative peritoneal drain fluid samples, obtained from 9 different patients with a colorectal anastomosis. Real-Time PCR results were fully concordant with the microbiological culture results. However, among the culture negative samples, four false-positive RT-PCR results were found. All false-positives originated from a single patient with a surgical site infection. This may indicate an elevated sensitivity of the RT-PCR method. The results showed that the semi-quantitative RT-PCR method has a clear potential to be useful as a powerful tool in early detection of anastomotic leakage.

Introduction

Anastomotic leakage is the most feared complication after colorectal surgery, with leakage rates varying between 2 and 24 %¹⁻⁴. Anastomotic leakage (AL) is defined as intestinal content leaking into the peritoneal cavity through an anastomotic defect. Consequently colonic bacteria such as *Escherichia coli* and *Enterococcus faecalis* spread throughout the peritoneal cavity possibly leading to peritonitis. This, in turn, may lead to sepsis and mortality. Current diagnostic methods for AL include observation of clinical parameters such as symptoms of AL, wound aspect, change in vital signs and imaging. However, observation of clinical parameters is not specific and can mimic less severe surgical site infections.

Imaging modalities, such as CT-scan or conventional X-ray, are not routinely performed but only used for confirmation. A high index of suspicion remains necessary. With these diagnostic methods the interval between operation and the diagnosis of AL varies between 8 and 13 days^{1,5,6}. By this time the patient will already be severely affected.

Prophylactic drainage is an accepted and widely used method to evacuate blood and wound fluid in the postoperative phase^{7,8}. However, regarding AL, controversy remains on whether it has a beneficial effect on leakage rates^{9,10}. In case of AL the bacterial load in the drainage fluid will increase over time. Detection of this trend could be diagnostic for anastomotic leakage and when detected in the early postoperative phase, morbidity and mortality rates may be reduced. To achieve this goal a fast and sensitive method like Real-Time polymerase chain reaction (RT-PCR) is required. Currently, no RT-PCR tests are available to detect colon flora in drainage fluid. Therefore we have proposed to develop a diagnostic test based on the detection of microorganisms indicative for AL in peritoneal drain fluid, using a quantitative Real-Time PCR approach. The indicative micro-organisms included *E. coli* and *E. faecalis*, which are both commonly present in the colon. The analytical performance of this strategy has been validated in a pilot study.

Materials and methods

Patient samples

Seventeen peritoneal drain fluid samples from a culture-positive (n=10) and a culture-negative pool (n=7) were obtained from nine patients enrolled in the pilot study preceding the APPEAL-study (Analysis of Predictive Parameters for Evident Anastomotic Leakage). This is a prospective observational multicenter study on the subject of potential biomarkers for AL in drainage fluid, registered in the Dutch Trial Register, study number NTR 1258 (<http://www.trialregister.nl/trialreg/admin/rctview.asp?TC=1258>).

These selected patients underwent colorectal surgery with construction of an anastomosis and they received a closed, passive drainage system. Preoperatively they received prophylactic antibiotics intravenously, cefazoline 2 g and metronidazol 1.5 g. Postoperatively, the drains' reservoir was emptied twice daily, at 22.00 h and 9.00 h for 5 days after the intervention. Only morning collections were drained in a sterile Falcon tube, immediately transported to the laboratory and used for analysis. Cultures were performed directly by inoculating drainage fluid with a 10 µl inoculation loop on two plates, one Columbia Bloodagar and one MaC Conkeyagar. Afterwards, drainage fluid was centrifuged for 10 min, 2800×g and 4 °C. Supernatant was separated from the cellpellet and frozen in -80 °C until analysis. Drain fluid samples were indicated with a letter A through E, each referring to the consecutive postoperative day (A for the collection on postoperative day one, etc.) (Table 2).

DNA isolation

Prior to DNA isolation, 500 µl of drain fluid was spiked with 15 µl Phocine Herpes Virus (PhHV, supplied by the Department of Virology, Erasmus MC Rotterdam, The Netherlands) as an internal control. Drain fluid samples used for generating the standard curve were not spiked with PhHV. Subsequently, each sample was centrifuged at room temperature for 5 min at 100×g. Supernatant was diluted 10 times in a total volume of 250 µl and centrifuged at room temperature for 5 min at 8000×g. The resulting pellet was resuspended in 180 µl buffer containing 20 mMTris, 2 mMEDTA, 1 % Tween 80 and lysozyme (50 mg/ml) and incubated

for 30 min at 37 °C on a shaking device at 600 rpm (Sanyo Orbital Shaker, München, Germany). DNA extraction was continued using a Macherey–Nagel NucleoSpin® Tissue Kit (Clontech Laboratories, Inc., Mountain View, CA, USA). First, 25 µl of protease was added to the sample, followed by incubation at 56 °C for 2 h at 700 rpm in a shaking device (Thermomixer Compact, Eppendorf, Hamburg, Germany). Protocol proceeded according to the manufacturer's instructions. Finally, template DNA was eluted in nuclease-free water in a total volume of 100 µl.

Semi-quantitative Real-Time PCR

All PCR reactions were performed in a total volume of 25 µl. The PCR-mix for detection of *E. coli* consisted of 12.5 µl 2× DyNAmo™ HS SYBR® Green mix (Finnzymes Oy, Espoo, Finland), 0.25 µl forward primer (50 pmol/µl), 0.25 µl reverse primer (50 pmol/µl), 0.25 µl 100 nM Fluorescein Calibration Dye (Bio-Rad, Hercules, CA, USA), 5 µl template DNA and 6.75 µl water. In order to detect *E. faecalis*, a PCR-mix containing 12.5 µl 2× DyNAmo™ HS SYBR® Green mix (Finnzymes Oy), 0.45 µl forward primer (50 pmol/µl), 0.15 µl reverse primer (50 pmol/µl), 0.25 µl 100 nM Fluorescein Calibration Dye (Bio-Rad), 5 µl template DNA and 6.65 µl water was used. Detection of the internal control PhHV was performed with a PCR-mix consisting of 12.5 µl 2× DyNAmo™ HS SYBR® Green mix (Finnzymes Oy), 0.2 µl forward primer (50 pmol/µl), 0.25 µl reverse primer (50 pmol/µl), 0.25 µl 100 nM Fluorescein Calibration Dye (Bio-Rad), 5 µl template DNA and 6.75 µl water. Following primers were used for Real-Time quantitative PCR: *E. coli* uidA gene forward primer 5'-GGC TTC TGT CAA CGC TGT TT-3', *E. coli* uidA gene reverse primer 5'-CCC ATG GAA GAG AAA TGG AA-3', *E. faecalis* 23S rRNA gene forward primer 5'-AGA AAT TCC AAA CGA ACT TG-3', *E. faecalis* 23S rRNA gene reverse primer 5'-CAG TGC TCT ACC TCC ATC ATT-3', PhHV forward primer 5'-GGG CGA ATC ACA GAT TGA ATC-3', PhHV reverse primer 5'-GCG GTT CCA AAC GTA CCA A-3'. The Bio-Rad IQ5 ICycler (Bio-Rad, Veenendaal, The Netherlands) was used as Real-Time PCR platform and the PCR conditions for *E. coli*, *E. faecalis* and PhHV were as follows: a single predenaturation step of

15 min at 95 °C followed by 40 cycles of 15s at 95 °C and 1 min at 59 °C. Finally, the sample temperature was gradually increased to 95 °C in order to generate dissociation curves. These curves were used to assess the specificity of the PCR product. The dissociation temperature was 76.0 °C for the E. coli-specific PCR product and 77.0 °C for the E. faecalis-specific product. The PCR efficiency was calculated using the slope of the standard curve (efficiency=10^{-1/slope-1}).

Standard curves

The semi-quantitative inoculum of indicator organisms potentially present in the peritoneal drain fluid at the time of anastomotic leakage, has been determined by using a reference dilution series of E. coli and E. faecalis inocula. Reference series were produced by spiking 500 µl of culture-negative drain fluid with a 10 log serial dilution (0, 10 through 10⁵CFU) of both E. coli and E. faecalis. A standard curve was generated by comparing the Real-Time PCR results (threshold cycle or Ct-value) to the inoculum sizes. The approximate inoculum size of the query patient sample was determined after interpolation of its Ct-value within the standard curve. Patient samples were analyzed in duplicate.

Results

Characteristics of the patients of which drain fluid was retrieved are depicted in [Table 1](#).

| Patient | Age | Gender | Operation | Indication | Anastomosis | AL | Remarks |
|---------|-----|--------|---------------------------|-------------------------------------|--------------|----|---|
| 1 | 29 | Female | Ileocecal resection | Crohn's disease | Side to side | No | |
| 2 | 48 | Female | Ileocecal resection | Crohn's disease | Side to side | No | |
| 4 | 76 | Male | Sigmoid resection | Adenocarcinoma | End to side | No | |
| 5 | 71 | Male | Ileocecal resection | Iatrogenic perforation by endoscopy | Side to side | No | Seropurulent peritonitis before operation |
| 8 | 83 | Female | Right hemicolectomy | Tubulovilleus adenoma | Side to side | No | |
| 9 | 66 | Male | Low anterior resection | Adenocarcinoma | End to side | No | |
| 10 | 71 | Female | Sigmoid resection | Adenocarcinoma | End to side | No | |
| 11 | 57 | Male | Left hemicolectomy | Diverticular disease | Side to side | No | Wound dehiscence with infection |
| 17 | 51 | Male | Total mesorectal excision | Adenocarcinoma | Side to end | No | |

Table 1. Patient characteristics of the studied population. All patients received antibiotic prophylaxis preoperatively consisting of cefazoline and metronidazol.

No patient suffered from clinical anastomotic leakage. The culture results and semi-quantitative RT-PCR data obtained from the drain fluid samples are summarized in [Table 2](#).

| Code | PO day | Culture | <i>E. coli</i> RT-PCR | | | <i>E. faecalis</i> RT-PCR | | | IC CT-value |
|------|--------|---|-----------------------|----------------------------------|--------|---------------------------|----------------------------------|--------|-------------|
| | | | Ct-value | copy number | T melt | Ct-value | copy number | T melt | |
| 1B | 2 | <i>Streptococcus oralis</i> , <i>Staphylococcus aureus</i> | 33.8 | 10 ⁴ | 76 | 36.4 | 10 | 77 | 33.5 |
| 1E | 5 | <i>Escherichia coli</i> | 37.5 | 10 ² | 76 | 35.8 | 10 | 77 | 33.6 |
| 2D | 4 | <i>Escherichia coli</i> | 30.5 | 10 ⁵ | 76 | 37.2 | - | 74 | 30.7 |
| 4A | 1 | <i>Staphylococcus</i> spp., <i>Bacteroides melaninogenicus</i> , non-identified anaerobic Grampos rod | 34.5 | - | 70-71 | 33.4 | 10 ² | 77 | 33.0 |
| 5A | 1 | <i>Escherichia coli</i> | 32.9 | 10 ⁴ | 76 | 32.0 | 10 ² -10 ³ | 77 | 32.4 |
| 5C | 3 | <i>Escherichia coli</i> | 32.3 | 10 ⁴ -10 ⁵ | 76 | 29.0 | 10 ³ -10 ⁴ | 77 | 31.2 |
| 8A | 1 | - | 34.2 | - | 70-71 | 33.4 | 10 ² | 77 | 34.6 |
| 9A | 1 | - | 36.4 | 10 ³ | 76 | N/A | - | - | 33.1 |
| 9B | 2 | - | N/A | N/A | - | 35.4 | 10 | 77 | 31.9 |
| 10A | 1 | Mixed skinflora | 38.1 | - | 70 | 34.6 | 10 ² | 77 | 33.9 |
| 10E | 5 | - | 34.1 | - | 72 | 35.7 | - | 72 | 33.1 |
| 11A | 1 | - | N/A | N/A | - | 32.4 | 10 ² -10 ³ | 77 | 31.0 |
| 11B | 2 | - | 37.5 | - | 78 | 33.9 | 10 ² | 77 | 31.1 |
| 11C | 3 | - | 38.3 | - | 80 | 31.4 | 10 ³ | 77 | 30.4 |
| 11D | 4 | <i>Staphylococcus</i> spp. | 37.1 | - | 70-80 | 33.1 | 10 ² | 77 | 30.9 |
| 11E | 5 | <i>E. faecalis</i> | 36.3 | - | 70-74 | 28.1 | 10 ⁴ | 77 | 31.1 |
| 17C | 3 | <i>Enterococcus faecalis</i> , <i>Escherichia coli</i> , <i>Candida albicans</i> | 33.2 | 10 ⁴ | 76 | 25.6 | 10 ⁵ | 77 | 32.2 |

Table 2. Comparative analysis of microbiological culture and Real-Time PCR results for the colon flora indicator organisms *E. coli*, *E. faecalis* and the internal control (IC). PO day, postoperative day and refers to the day of sampling; copy number, approximate number of bacteria after interpolation to the standard curve; T melt, melting temperature. Patient samples were analyzed in duplicate; indicated Ct-values, copy numbers and Tm-values are average data within 99.0% CI.

Results from both diagnostic techniques were fully concordant for patients 1, 2, 4, 5, 10 and 17. The first drain fluid sample after intervention obtained from patient 8 revealed *E. faecalis*-positive PCR and culture-negative result. A similar result was obtained from the first drain fluid sample from patient 9 of which *E. coli*-specific RT-PCR was positive, while no bacterial growth was observed in the microbiological culture. From patient 11 all consecutively sampled drain fluids were analyzed. *E. faecalis* could be cultured 5 days after surgical intervention. With semi-quantitative RT-PCR approximately 10²–10³ genome copies (Ct-value 32.4) could be detected in the first sample. The *E. faecalis* genome copy size remained

stable for 4 days (Ct-values of 33.9, 31.4 and 33.1 for samples B, C and D respectively) and increased to 10^4 genome copies on day 5 (Ct-value 28.1 for sample E). All *E. coli* PCR results were negative for patient 11. The PCR product melting or dissociation temperature confirmed the product-specificity, which was 76 °C (+/-0.1 °C) for *E. coli* and 77 °C (+/-0.1 °C) for *E. faecalis*. Efficiencies of both *E. coli*-specific and *E. faecalis*-specific RT-PCR protocol were 97 %, which can be considered as very good. The aspecific T_m-values could all be explained by primer–dimer formation.

Discussion

In case of AL, the leaking intraluminal contents of the colon will affect the intraabdominal environment first, implying quantitative and qualitative microbiological analysis on drainage fluid could allow early diagnosis of AL ¹¹. *E. coli* and *E. faecalis* are facultative aerobic colon bacteria, ubiquitously present in faecal samples. Animal experiments suggest *E. coli* in blood detected by PCR, to be a useful tool in the diagnosis of AL ¹². *E. coli* and *E. faecalis* can be detected in drainage fluid after colorectal surgery by means of culture ¹³. Therefore these bacteria are well suited to serve as indicator organisms for diagnosis of anastomotic leakage on peritoneal drainage fluid. In this article we have introduced a tool that allows semiquantitative, qualitative and fast detection of contamination of drainage fluid with these microorganisms. A Real-Time PCR protocol was used to semi-quantitatively detect and to identify species-specific target DNA in purified peritoneal drain fluid samples. The results obtained from the multiplex molecular-based detection of both *E. coli* and *E. faecalis* were concordant to the results obtained with conventional microbiological culture techniques that were considered to be the golden standard. This means that this technique allows reliable determination of contamination of peritoneal drain fluid with *E. coli* and *E. faecalis*. The RT-PCR showed several false positive results. *E. coli* was detected twice with RT-PCR, while it was not found in culture. *E. faecalis* was detected five times without culture confirmation. This discrepancy may be explained by the preoperative use of antibiotic prophylaxis, cefazoline and metronidazol. Due to the antibiotics the indicator organisms will not grow on

culture media, however, the bacterial DNA can still be detected with RT-PCR. Another explanation could be the occurrence of false negative cultures, either due to the small bacterial load or due to the period between leaving the host and culture. The latter cannot be shortened since a certain volume of drainage fluid is needed to perform cultures. Despite the aforementioned arguments, our results indicate superior sensitivity of RT-PCR in detection of contaminated drain fluid compared to conventional cultures. In addition, RT-PCR can be performed more or less independent of differences in drain fluid transport conditions such as temperature and time. Therefore it allows flexibility in logistical matters, which is essential in a clinical setting.

The semi-quantitative aspect of this RT-PCR is important in the detection of anastomotic leakage. Initial positive samples could be positive for *E. coli* and *E. faecalis* due to intraoperative spill ¹³, however, in case of anastomotic leakage, the bacterial load will increase over time. Daily cultures can be semi-quantitative as well, however, RT-PCR is superior in terms of speed. Besides the clinically evident anastomotic leakage, subclinical anastomotic leakage can occur as well. This means leakage of intestinal content occurs but the patient is not affected by it. This condition does not require treatment and is often not even detected. Both intraoperative spill and subclinical AL may be responsible for positive test results on drainage fluid in this study, while no patient developed clinically manifest AL. However, it is expected that clinically relevant AL can be differentiated from subclinical AL and spill by the bacterial load which is probably vastly lower in the latter two conditions.

Drain fluid retrieval is prone to sample contamination through the person retrieving the fluid, which can be detected by culture as depicted in [Table 2](#). However, *E. coli* and *E. faecalis* were selected as indicator bacteria since they are less likely to be brought into the drain fluid another way than through anastomotic leakage or intraoperative spill.

The specificity of species-specific DNA targets by Real-Time PCR amplification is guaranteed by the determination of the PCR product dissociation temperature, which is highly specific for each target DNA, with minor tolerance (± 0.1 °C). We noticed that optimal

results (highest sensitivity) could be retrieved by application of a short centrifugation step and subsequent DNA extraction from the supernatant of the drain fluid.

A RT-PCR test was developed that allows detection of *E. coli* and *E. faecalis* in peritoneal drain fluid equally sensitive as the golden standard, potentially more sensitive. This study does not allow to draw conclusions regarding the diagnostic potential of this test for AL since numbers are too small. In addition, none of the patients included in this study developed anastomotic leakage. However, this is currently being studied in the APPEAL-study.

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Chapter 8

RT-PCR of *Enterococcus faecalis* in drain fluid: the first screening test for symptomatic colorectal anastomotic leakage

The APPEAL-study: Analysis of Parameters Predictive for Evident Anastomotic Leakage.

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Abstract

Purpose

With current diagnostic methods, the majority of patients with symptomatic colorectal anastomotic leakage (CAL) is identified approximately 1 week after operation. The aim of this study is to determine whether real-time polymerase chain reaction (RT-PCR) for detection of *Escherichia coli* and *Enterococcus faecalis* on drain fluid can serve as a screening test for CAL in the early postoperative phase.

Methods

All patients included in this multicenter prospective observational study underwent left-sided colorectal resection for both malignant and benign diseases with construction of an anastomosis. In all patients, an intra-abdominal drain was placed during operation. During the first five postoperative days, drain fluid was processed for RT-PCR. The quantitative results of the RT-PCR on days 2 to 5 were compared to the results of day 1 in order to detect concentration changes.

Results

In total, 243 patients, with both benign and malignant diseases, were included of whom 19 (7.8 %) developed symptomatic CAL. An increase in *E. coli* concentration was found in significantly more patients with CAL on day 4 and 5 [$p = 0.0004$, diagnostic odds ratio (DOR) 7.9]. For *E. faecalis*, this result was found for days 2, 3, and 4 ($p < 0.003$) with highest DOR on day 3 (31.6). Sensitivity and negative predictive values were 92.9 and 98.7 %, respectively, virtually ruling out CAL in case of negative test results on the third postoperative day.

Conclusion

Quantitative PCR for *E. faecalis* performed on drain fluid may be an objective, affordable and fast screening tool for symptomatic colorectal anastomotic leakage.

Introduction

Despite the vast body of evidence concerning colorectal anastomotic leakage (CAL), it remains a poorly understood complication of colorectal surgery. The reported incidence of CAL is estimated between 2.4 and 19 %¹⁻³ and mortality rates due to sepsis and multiple organ failure are around 15 % in patients who develop CAL⁴. With current screening and diagnostic methods, the interval between construction of the colorectal anastomosis and diagnosis of leakage varies between 6 and 13 days⁵⁻⁷.

Several studies have suggested that delay of diagnosis of CAL is associated with higher mortality rates and that only early management improves clinical outcome⁸⁻¹⁰. Therefore, new screening methods allowing detection of CAL in the early postoperative phase are needed in addition to current methods.

Morbidity caused by CAL is due to the bacterial load leaking through an anastomotic defect. Gram-negative *Escherichia coli* and gram-positive *Enterococcus faecalis* belong to the most common species of the colon^{11, 12}. When present in wound fluid obtained from the anastomotic site, it means there is contamination from the bowel. Increased concentrations of these bacteria are most likely reflecting an anastomotic defect. Therefore, these bacteria might be suited to screen for CAL^{13, 14}.

The golden standard for detection of bacterial contamination is culture. However, bacteria present in drain fluid in a collection bag outside the patient may not always be viable, which could render false negative results. In addition, it takes about 48 h of incubation before bacteria can be identified¹⁴, which is an unacceptable delay. Real-time polymerase chain reaction (RT-PCR) is an alternative, molecular based technique that can be used to identify bacterial species. It is faster, more sensitive and less susceptible to contamination than culture and might therefore be a valuable screening tool for CAL. In addition, since virtually every clinical laboratory already has a RT-PCR machine, it is a cheap technique. The aim of this study is to study whether RT-PCR determination of *E. coli* and *E. faecalis* can serve as a screening test for CAL in the early postoperative phase after (left-sided) colorectal surgery.

Methods

Patients included in the APPEAL study received left-sided colorectal resection with construction of an anastomosis and were given an intra-abdominal drain. Seven medical centers in the Netherlands and Belgium participated in this study. The study, registered in the Dutch Trial Register (<http://www.trialregister.nl>, study number NTR 1258), was approved by the medical ethical committee of all the participating centers, in accordance with the ethical standards of the Helsinki Declaration of 1975 and all patients gave informed consent. Participating centers included patients consecutively between January 2007 and December 2009.

Inclusion and exclusion criteria

Subsequent patients undergoing left hemicolectomy, sigmoid resection, high anterior resection (HAR; with partial mesorectal excision (PME)), low anterior resection (LAR; with total mesorectal excision (TME)), and subtotal colectomy with ileorectal anastomosis were included. Oncologic resections as well as resections for inflammatory disease were included. Emergency operations were excluded due to the high probability of coexisting tissue damage and logistical difficulties. Reversals of colostomy were also excluded since the primary disease was already treated. Furthermore, patients under 18 years of age, patients who refused to participate, and patients who did not receive a drain were excluded.

Surgical procedure

The surgical procedure was left to the surgeon's discretion. All patients received preoperative antibiotic prophylaxis and an intra-abdominal drain. Guidelines concerning bowel preparation differed for each center and were respected. Patients were operated by laparotomy or laparoscopy, and the anastomosis was stapled or hand sutured. A diverting stoma was constructed according to the surgeon's preference. To obtain drain fluid, a drain was placed at the anastomotic site and was left in place during the first five postoperative days. The

drains were all passive and closed drainage systems. The exact type of drain used was left to the surgeon's discretion.

Drain fluid

Drain fluid reservoirs were emptied two times a day with 12 h intervals, respecting rules of sterility. The evening collection was disposed of. The morning collection was centrifuged for 10 min at 2,800×g and 4 °C. The supernatant was brought into different cryotubes that were frozen at -80 °C to allow RT-PCR analysis in batch. Real-time polymerase chain reaction RT-PCR analysis of the drain fluids was performed in batch for efficiency purposes. The applied technique was described earlier ¹³.

DNA isolation

After thawing, each sample was centrifuged at room temperature for 5 min at 100×g. Supernatant was diluted 10 times in a total volume of 250 µl and centrifuged at room temperature for 5 min at 8,000×g. The resulting pellet was resuspended in 180 µl buffer containing 20mMTris, 2mMEDTA, 1 %Tween 80, and lysozyme (50 mg/ml) and incubated for 30 min at 37 °C on a shaking device at 600 rpm (Sanyo Orbital Shaker, München, Germany). DNA extraction was performed using a Macherey–Nagel NucleoSpin® Tissue Kit (Clontech Laboratories, Inc., Mountain View, CA, USA). First, 25 µl of protease was added to the sample, followed by incubation at 56 °C for 2 h at 700 rpm in a shaking device (Thermomixer Compact, Eppendorf, Hamburg, Germany). Protocol proceeded according to the manufacturer's instructions. Finally, template DNA was eluted in nuclease-free water in a total volume of 100 µl.

Semi-quantitative real-time polymerase chain reaction

All PCR reactions were performed in a total volume of 25 µl. The PCR mix for detection of E. coli consisted of 12.5 µl 2× DyNAmo™ HS SYBR® Green mix (Finnzymes Oy, Espoo, Finland), 0.25 µl forward primer (50 pmol/µl), 0.25 µl reverse primer (50 pmol/µl), 0.25 µl 100

nM fluorescein calibration dye (Bio-Rad, Hercules, CA, USA), 5 µl template DNA, and 6.75 µl water. In order to detect *E. faecalis*, a PCR mix containing 12.5 µl 2× DyNAmo™ HS SYBR® Green mix (Finnzymes Oy), 0.45 µl forward primer (50 pmol/µl), 0.15 µl reverse primer (50 pmol/µl), 0.25 µl 100 nM fluorescein calibration dye (Bio-Rad), 5 µl template DNA, and 6.65 µl water was used. As an extraction process control (internal control), phocine herpes virus (PhHV) was performed with a PCR mix consisting of 12.5 µl 2× DyNAmo™ HS SYBR® Green mix (Finnzymes Oy), 0.2 µl forward primer (50 pmol/ µl), 0.25 µl reverse primer (50 pmol/µl), 0.25 µl 100 nM fluorescein calibration dye (Bio-Rad), 5 µl template DNA, and 6.75 µl water. The following primers were used for realtime quantitative PCR:

E. coli uidA gene forward primer 5'-GGC TTC TGT CAA CGC TGT TT-3', *E. coli* uidA gene reverse primer 5'-CCC ATG GAA GAG AAATGG AA-3', *E. faecalis* 23S rRNA gene forward primer 5'-AGA AAT TCC AAA CGA ACT TG-3', *E. faecalis* 23S rRNA gene reverse primer 5'-CAG TGC TCT ACC TCC ATC ATT-3', PhHV forward primer 5'-GGG CGA ATC ACA GAT TGA ATC-3', and PhHV reverse primer 5'-GCG GTT CCA AAC GTA CCA A-3'.

The Bio-Rad IQ5 ICycler (Bio-Rad, Veenendaal, The Netherlands) was used as real-time PCR platform, and the PCR conditions for *E. coli*, *E. faecalis*, and PhHV were as follows: a single predenaturation step of 15 min at 95 °C followed by 40 cycles of 15 s at 95 °C and 1 min at 59 °C. Finally, the sample temperature was gradually increased to 95 °C in order to generate dissociation curves. These curves were used to assess the specificity of the PCR product. The dissociation temperature was 76.0 °C for the *E. coli* –specific PCR product and 77.0 °C for the *E. faecalis*-specific product. The PCR efficiency was calculated using the slope of the standard curve (efficiency=10^{-1/slope-1}).

Standard curves

The semi-quantitative inoculum of indicator organisms potentially present in the peritoneal drain fluid at the time of anastomotic leakage has been determined by using a reference dilution series of *E. coli* and *E. faecalis* inocula. Reference series were produced by spiking 500 µl of culture-negative drain fluid with a 10-log serial dilution of both *E. coli* and *E.*

faecalis. A standard curve was generated by comparing the real-time PCR results (threshold cycle or Ct value) to the inoculum sizes. The approximate inoculum size of the query patient sample was determined after interpolation of its Ct value within the standard curve. Patient samples were analyzed in duplicate.

Definitions

The endpoint of the APPEAL study was symptomatic colorectal anastomotic leakage. This was defined as a clinically manifest insufficiency of the anastomosis leading to a clinical state requiring intervention, confirmed by radiological studies, reoperation or fecal discharge from the drain.

Radiologic confirmation of CAL was defined as extravasation of endoluminally administered water-soluble contrast and/or significant perianastomotic air on computed tomography or X-ray. Radiological studies were not routinely performed, only in case of clinical suspicion of CAL.

Interventions to treat CAL consisted of therapeutic drainage (prolonged stay of drain), use of therapeutic antibiotics, or a surgical intervention, i.e., construction of a diverting stoma, disconnection of the anastomosis and construction of a new anastomosis or a colostomy, or suturing of the leakage site.

All postoperative fistulas communicating with the surgical anastomosis were classified as a leak. Postoperative abscesses were classified as anastomotic leakage if there was extravasation of enteric contrast on radiological studies, if there was significant perianastomotic air or if communication with the anastomosis was noted after radiologic drainage.

The bacterial load of drain fluid was expected to rise in case of CAL, therefore, an increase detected by RT-PCR was scored positive and a decrease was scored negative.

Postoperative mortality was defined as patients that died within 30 days of operation in hospital and after discharge.

Data collection

Patients were followed from their preoperative admission on the ward until the first postoperative follow-up at the outpatient clinic. Demographic data of the patients, operative details, postoperative events and follow-up data were obtained through a standardized case record form and entered into a database. In case of CAL, the postoperative day of diagnosis was noted along with the manifestation of CAL, the diagnostic tool for detection of the leak, and the treatment.

Statistics

Categorical data are presented as numbers with percentages, numerical data are presented as means \pm standard deviation (normally distributed), or medians with interquartile ranges (not normally distributed). Univariate analysis was performed using a chi-square test or Fisher exact test in case of categorical data and a Mann–Whitney U test in case of numerical data.

As test performance indicators sensitivity, specificity, positive predictive value (PPV), negative predictive value (NPV), and diagnostic odds ratio (DOR) were calculated. Calculations were performed using SPSS 20 (SPSS Inc., Chicago, IL, USA).

Results

A total of 243 patients were included. The mean age was 64 ± 12 years, 135 patients (56 %) were male and 108 (44 %) were female. Thirty-three patients (14 %) were treated for inflammatory diseases, 206 patients (84 %) were treated for malignancy, and four patients (2 %) had ischemic colitis. Fifty-six patients (23 %) underwent preoperative radiotherapy, and in 59 patients (25 %), a defunctioning stoma was constructed. A total of 92 (38 %) patients underwent a laparoscopic procedure, and 151 patients (62 %) were operated through laparotomy. Nineteen patients (7.8 %) developed clinical CAL. In nine patients it became manifest as sepsis, in seven patients as peritonitis, two patients developed a presacral abscess, and one patient developed an intra-abdominal abscess. In eight patients, the

diagnosis was made by CT-scan, in seven patients by relaparotomy, and in four patients fecal discharge from the drain occurred. Median interval between operation and confirmation of CAL was 6 days (range 2–26 days). Two patients (0.8 %) developed an infection at the drain insertion site, both in the group without CAL. Average hospital stay of patients with CAL was significantly longer [28±22 days vs. 13± 13 days (p <0.0001)]. In the group of patients with CAL, three died (16 %), whereas six patients (3 %) died in the group without CAL (p = 0.002). Table 1 shows the baseline characteristics of the patients with and without CAL.

| Variable | No CAL (n=224) | CAL (n=19) | p-value |
|---------------------------|----------------|-------------|---------|
| Age | 64.3 ± 12.0 | 65.3 ± 13.9 | 0.765 |
| Gender | | | |
| Male | 125 (93 %) | 10 (7 %) | 0.789 |
| Female | 99 (92 %) | 9 (8 %) | |
| BMI (kg/m ²) | | | |
| < 25 | 80 (91 %) | 9 (9 %) | 0.155 |
| 25-30 | 109 (98 %) | 5 (2 %) | |
| > 30 | 35 (88 %) | 5 (12 %) | |
| ASA | | | |
| 1 - 2 | 173 (93 %) | 13 (7 %) | 0.532 |
| 3 - 4 | 50 (89 %) | 6 (11 %) | |
| Neoadjuvant radiotherapy | | | |
| Yes | 51 (91 %) | 5 (9 %) | 0.945 |
| No | 173 (93 %) | 14 (7 %) | |
| Type of resection | | | |
| TME / LAR | 76 (92 %) | 7 (8 %) | 0.727 |
| PME / HAR | 55 (95 %) | 3 (5 %) | |
| Left hemicolectomy | 21 (96 %) | 1 (4 %) | |
| Sigmoid resection | 68 (90 %) | 8 (10 %) | |
| Subtotal colectomy | 4 (100 %) | 0 (0 %) | |
| Height anastomosis | | | |
| > 7cm | 149 (94 %) | 10 (6 %) | 0.211 |
| < 7cm | 74 (89 %) | 9 (11 %) | |
| Construction anastomosis | | | |
| Stapled | 178 (93 %) | 14 (7 %) | 0.735 |
| Handsewn | 45 (90 %) | 5 (10 %) | |
| Configuration anastomosis | | | |
| End-to-End | 57 (86 %) | 9 (14 %) | 0.259 |
| End-to-Side | 16 (94 %) | 1 (6 %) | |
| Side-to-End | 122 (94 %) | 8 (6 %) | |
| Side-to-Side | 23 (96 %) | 1 (4 %) | |
| Protective ileostomy | | | |
| Yes | 55 (93 %) | 4 (7 %) | 0.933 |
| No | 167 (92 %) | 15 (8 %) | |

Table 1. Univariate analysis of baseline characteristics of APPEAL-population.

CAL Colorectal Anastomotic Leakage; BMI Body Mass Index; ASA-score American Society of Anesthesiologists score; TME Total Mesorectal Excision; PME Partial Mesorectal Excision; HAR High Anterior Resection; LAR Low Anterior Resection

Sixty patients received a Penrose drain, all the other patients received a silicone tube drain. Drainage systems were all passive and closed. The production of drain fluid was not constant over time or between patients and varied greatly between 0 and 1,500 ml per day per patient (Table 2).

| 24 hour production | CAL | N | Mean | SD | p-value |
|--------------------|-----|-----|-------|-------|---------|
| Day 1 | Yes | 13 | 121.0 | 155.2 | 0.084 |
| | No | 196 | 179.8 | 172.1 | |
| Day 2 | Yes | 14 | 79.3 | 85.0 | 0.551 |
| | No | 194 | 104.1 | 133.7 | |
| Day 3 | Yes | 11 | 94.9 | 110.5 | 0.769 |
| | No | 177 | 124.1 | 182.2 | |
| Day 4 | Yes | 11 | 129.7 | 159.3 | 0.737 |
| | No | 162 | 120.3 | 149.2 | |
| Day 5 | Yes | 10 | 91.1 | 76.7 | 0.875 |
| | No | 134 | 119.4 | 151.3 | |

Table 2. Amount of drain fluid produced in ml per 24 hours versus colorectal anastomotic leakage (CAL)

The difference in production between patients with and without CAL was not significant. The quantitative results are depicted in Table 3.

| RT-PCR | CAL | Day 1 | Day 2 | Day 3 | Day 4 | Day 5 |
|----------------------|-----|-------|-------|-------|--------|---------|
| E. coli (CFU/ml) | Yes | 55 | 30 | 55 | 100000 | 1000000 |
| | No | 0 | 0 | 0 | 0 | 0 |
| E. faecalis (CFU/ml) | Yes | 300 | 7500 | 75000 | 75000 | 100000 |
| | No | 1000 | 1000 | 1000 | 0 | 1000 |

Table 3. Results of the semi-quantitative real-time PCR. The values are presented in colony forming units per milliliter (CFU/ml).

An increase of E. coli or E. faecalis as detected by RT-PCR was scored positive, whereas no change or a decrease was scored negative. An increase in E. coli concentration was found in significantly more patients with CAL on days 4 and 5 ($p = 0.0004$, DOR 7.9). For E. faecalis, this result was found for days 2, 3, and 4 ($p < 0.003$) with highest DOR on day 3 (31.6). Sensitivity and negative predictive values were 92.9 and 98.7 %, respectively. The results including sensitivity, specificity, PPV, NPV, and DOR for E. coli and E. faecalis are depicted in tables 4 and 5, respectively.

| RT-PCR | Interval | Increase | CAL* | | p-value | Sens % 95 % CI | Spec % 95 % CI | PPV % 95 % CI | NPV % 95 % CI | DOR 95 % CI |
|---------|-----------|----------|------|----|---------|---------------------|---------------------|---------------------|---------------------|-------------------|
| | | | Yes | No | | | | | | |
| E. coli | Day 1 → 2 | Yes | 3 | 11 | 0.185 | - | - | - | - | - |
| | | No | 10 | 94 | | | | | | |
| | Day 1 → 3 | Yes | 6 | 23 | 0.102 | - | - | - | - | - |
| | | No | 9 | 91 | | | | | | |
| | Day 1 → 4 | Yes | 9 | 16 | 0.0004 | 69.2 38.9 – 89.6 | 83.5 74.3 – 89.9 | 36.0 18.7 – 57.3 | 95.2 87.7 – 98.5 | 7.9 2.44 – 5.6 |
| | | No | 4 | 81 | | | | | | |
| | Day 1 → 5 | Yes | 10 | 21 | 0.0004 | 66.7 41.7 – 84.8 | 79.8 71.1 – 86.4 | 32.3 17.3 – 51.5 | 94.3 86.6 – 97.9 | 7.9 2.4 – 25.6 |
| | | No | 5 | 83 | | | | | | |

Table 4. Quantitative increase of E. coli as determined by RT-PCR. Sensitivity, specificity, positive predictive value (PPV), negative predictive value (NPV) and diagnostic odds ratio (DOR) are shown. All values are accompanied by their 95 % confidence interval (CI).

* Numbers of PCRs is less than number of included patients due to the APPEAL-study's priority to biochemical analysis, lack of production, accidental drain removal by patient, early intervention for CAL

| RT-PCR | Interval | Increase | CAL* | | p - value | Sens % 95 % CI | Spec % 95 % CI | PPV % 95 % CI | NPV % 95 % CI | DOR 95 % CI |
|-------------|-----------|----------|------|----|-----------|---------------------|---------------------|---------------------|---------------------|---------------------|
| | | | Yes | No | | | | | | |
| E. faecalis | Day 1 → 2 | Yes | 10 | 26 | 0.001 | 71.4 45.4 – 88.3 | 75.9 67.1 – 83.0 | 27.8 14.8 – 45.4 | 95.3 87.9 – 98.5 | 7.9 2.3 – 27.3 |
| | | No | 4 | 82 | | | | | | |
| | Day 1 → 3 | Yes | 13 | 30 | 0.00001 | 92.9 68.5 – 98.7 | 70.9 61.5 – 78.8 | 30.2 17.7 – 46.3 | 98.7 92.7 – 99.8 | 31.6 4.0 – 252.7 |
| | | No | 1 | 73 | | | | | | |
| | Day 1 → 4 | Yes | 9 | 26 | 0.003 | 75.0 46.8 – 91.1 | 72.6 62.9 – 80.6 | 25.7 12.5 – 43.2 | 95.8 88.3 – 99.1 | 7.9 2.0 – 31.8 |
| | | No | 3 | 69 | | | | | | |
| | Day 1 → 5 | Yes | 7 | 38 | 0.388 | - | - | - | - | - |
| | | No | 7 | 65 | | | | | | |

Table 5. Quantitative increase of *E. faecalis* as determined by RT-PCR. Sensitivity, specificity, positive predictive value (PPV), negative predictive value (NPV) and diagnostic odds ratio (DOR) are shown. All values are accompanied by their 95 % confidence interval (CI).

* Numbers of PCRs is less than number of included patients due to the APPEAL-study's priority to biochemical analysis, lack of production, accidental drain removal by patient, early intervention for CAL

Discussion

Current screening methods for CAL consist of observation of clinical signs and symptoms and blood examination. These methods are not specific for CAL and may lead to various diagnostic procedures to exclude other less severe complications instead of ruling out CAL by means of highly specific imaging studies like CT-scan and/or water-soluble contrast radiography⁹. These additional diagnostics could lead to a delay in diagnosis of CAL¹⁰. Therefore, there is a need for a screening method that is objective and specific for CAL. This study shows that the number of patients with increased levels of *E. faecalis* between postoperative days 1 and 3 was significantly higher in case of CAL. This test has the highest DOR (31.6), reflecting the strong association between the test result and CAL. Considering high sensitivity (92.9 %) and NPV (98.7 %), a negative test result virtually rules out CAL at day 3 postoperatively. The false negative (1.3 %) rate is far lower than any other reported diagnostic test for CAL. However, since it does not equal zero, clinical observation remains important.

Specificity (70.9 %) and PPV (30.2 %) indicate a substantial number of false positive results. This is most likely due to subclinical anastomotic leakage, a long-known phenomenon with a reported incidence of 8 %^{15,16}. It could also be caused by intraoperative spill, however, the number of bacteria should have decreased at day 3. Regardless of the cause of the false positive results, positive test results should lead to additional imaging. Reported sensitivity

and specificity of contrast radiography when performed in case of clinical suspicion are 68 and 94 %, respectively ¹⁷. When performed routinely, reported sensitivity varies between 20 and 52 % and specificity is approximately 85 % ^{18, 19}. The reported sensitivity of CT-scan in the early postoperative period varies between 15 and 52 % ^{17, 20, 21}. The reported negative predictive value is 73 %, and the false negative rates vary between 35 and 53 % ^{17, 21}. Even though sensitivity of CT-scan is lower, it is preferable over contrast enema due to the additional information it provides.

As false positive RT-PCR results are most likely due to subclinical anastomotic leakage, CAL demonstrated on CT-scan might also remain subclinical and specific treatment may not be absolutely necessary. However, subclinical leakage is also associated with reduced quality of life and impaired bowel function ¹⁶, perhaps rendering treatment beneficial. The latter remains speculative and requires more research.

The number of PCRs performed, as depicted in the tables, does not add up to the number of included patients. This is due to insufficient production of drain fluid in most cases as illustrated by Table 2. The great variability is a drawback of this study that cannot easily be solved. A peritoneal lavage could be a solution; however, this may interfere with the quantitative PCR analysis. In addition, a few samples are missing due to accidental drain removal by patient, early intervention for CAL, and accidental loss of drain fluid either at the ward or at the processing laboratory.

Prophylactic drainage (PD), as performed on patients included in the APPEAL study, originally aimed to evacuate wound fluid and blood collections from the surgical site to prevent infectious complications and to detect AL by fecal or purulent discharge ^{22–24}. To date, the use of prophylactic drainage remains controversial. Several level 1 studies have shown that PD does not have a beneficial or a detrimental effect on the incidence of AL and on the morbidity afterwards ^{25–27}. A prospective study concerning pelvic anastomosis showed a higher leakage rate after routine irrigation–suction drainage in elective anterior resection ²⁸. A retrospective study showed drainage and the use of a defunctioning stoma to be beneficial in terms of reoperation rates as a result of anastomotic leakage after TME ²⁹. Despite this

controversy surrounding the necessity to drain, prophylactic drainage remains common practice in many hospitals, particularly after rectal surgery ³⁰. In addition, the outcome measures used in these studies consist of leakage rates, hospital stay, radiological anastomotic leakage, infectious complications, and patient comfort. In this study, we have focused on and demonstrated the diagnostic capacity of the drain. Therefore, considering the low complication rate of PD, it should be placed routinely during surgery to allow collection of drain fluid for the first three postoperative days. In addition, it does not interfere with ERAS protocols since the results are known at day 3 and the drain can be removed ³¹.

Screening is defined by the World Health Organization as the systemic application of a test in an asymptomatic population in order to identify abnormalities that suggest presence of disease and refer these patients promptly for diagnosis and treatment ³². The APPEAL study is the first study to define a promising screening tool for symptomatic CAL that is objective, fast, affordable and provides useful information concerning CAL as early as postoperative day 3.

Conclusion

RT-PCR for *E. faecalis* performed on drain fluid may be a useful screening tool for symptomatic colorectal anastomotic leakage in the early postoperative phase. Negative test results virtually rule out the presence of CAL. Positive results should lead to highly specific imaging studies for diagnosis of CAL.

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Chapter 9

Acute phase proteins in drain fluid: a new screening tool for colorectal anastomotic leakage?

APPEAL-study: Analysis of Parameters Predictive for Evident Anastomotic Leakage.

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Abstract

Background

We aim to determine if C-reactive protein (CRP), Lipopolysaccharide Binding Protein (LBP) and Procalcitonin (PCT) in drain fluid can serve as screening tools for CAL.

Methods

Patients included in this multicenter prospective observational study underwent left hemicolectomy, sigmoid resection, high anterior resection, low anterior resection or subtotal colectomy. During the first five postoperative days CRP, LBP and PCT were determined on drain fluid.

Results

In total 243 patients were included of whom 19 (8 %) developed CAL. CRP levels were higher in patients with leakage on day 3 and day 5, levels of LBP were higher on day two, three and four and PCT levels were higher on day 5. Multivariate analysis showed LBP to be significantly related to CAL. An increase from the average initial value at the first postoperative day with 1 standard deviation, increased the risk of leakage 1.6 times.

Conclusion

Increased concentrations of LBP in drain fluid might a screening tool for CAL

Introduction

Despite the vast body of evidence, colorectal anastomotic leakage (CAL) remains a poorly understood complication of colorectal surgery. The reported incidence of CAL is estimated between 2.4 % and 19 %¹⁻³ and mortality rates due to sepsis and multi organ failure are around 15 %⁴. With current screening and diagnostic methods the interval between construction of the colorectal anastomosis and diagnosis of leakage varies between 6 and 13 days⁵⁻⁷. Several studies have suggested that delay of diagnosis of CAL is associated with higher mortality rates and that only early management improves clinical outcome⁸⁻¹⁰. Therefore, new screening methods allowing detection of CAL in the early postoperative phase are needed. A biomarker reflecting the local inflammation, i.e. around the anastomosis, could be an objective screening tool for CAL in an early phase.

C - reactive protein (CRP) is an acute-phase protein displaying rapid and pronounced rise of its serum concentration in response to infection or inflammation. It is mainly produced by hepatocytes, however Kupffer cells, blood monocytes and alveolar macrophages have been shown to produce CRP as well¹¹.

Lipopolysaccharide Binding Protein (LBP) is a glycoprotein produced mainly in the liver, but also in skin, lung, intestine and at local sites of injury and infection. Particularly LBP produced at local sites of infection is considered to contribute to bacterial clearing¹².

Procalcitonin (PCT) is a potential marker of acute inflammation that is released from different parenchymal tissues and differentiated cell types throughout the body, mostly from the liver and adipose tissue, in response to increased interleukins levels in bacterial infections¹³⁻¹⁶.

The aim of this study is to determine if CRP, LBP and PCT in drain fluid can serve as non-invasive screening tools for CAL in the early postoperative phase after colorectal surgery.

Methods

Patients included in the "APPEAL" study received left-sided colorectal resection with construction of an anastomosis and were given an intra-abdominal drain. Seven medical centers in the Netherlands and Belgium participated in this study. The study, registered in the

Dutch Trial Register (<http://www.trialregister.nl>, study number NTR 1258), was approved by the medical ethical committee of all the participating centers, in accordance with the ethical standards of the Helsinki Declaration of 1975, and all patients gave informed consent. Participating centers included patients consecutively between January 2007 and December 2009.

Inclusion and exclusion criteria

Patients undergoing left hemicolectomy, sigmoid resection, high anterior resection (HAR; with partial mesorectal excision: PME), low anterior resection (LAR; with total mesorectal excision: TME), and subtotal colectomy with ileorectal anastomosis were included. Oncologic resections as well as resections for benign disease were included. Emergency operations were excluded due to the high probability of coexisting tissue damage and logistical difficulties. Reversals of colostomy were also excluded since the primary disease was already treated. Furthermore, patients under 18 years of age, patients who refused to participate and patients who did not receive a drain were excluded.

Surgical procedure

The surgical procedure was left to the surgeon's discretion. All patients received preoperative antibiotic prophylaxis and an intra-abdominal drain. Guidelines concerning bowel preparation in the participating centers were respected. Patients were operated by laparotomy or laparoscopy and the anastomosis was stapled or hand sutured. A diverting stoma was constructed according to the surgeon's preference. To obtain drain fluid, a drain was placed at the anastomotic site and was left in place during the first 5 postoperative days. The type of drain used was left to the surgeon's discretion.

Determination of CRP, LBP and PCT

Drain fluid reservoirs were emptied two times a day with 12 hour intervals, respecting rules of sterility. The evening collection was disposed of. The morning collection was centrifuged for

10 minutes at 2800xg and 4 °C. The supernatant was brought into different cryotubes that were frozen at -80°C to allow analysis in batch.

LBP was determined by a two-sited chemiluminescent immunometric enzyme assay (Immulite 1000, Siemens, Los Angeles, USA). For CRP determination the Tina-quant assay on the Hitachi 912 (Roche Diagnostics, Penzberg, Germany) was used. PCT was determined with an immunoluminometric assay (LUMI-Test PCT, BRAHMS Diagnostika, Berlin, Germany).

Definitions

The endpoint of the APPEAL-study was symptomatic colorectal anastomotic leakage. This was defined as a clinically manifest insufficiency of the anastomosis leading to a clinical state requiring intervention, confirmed by radiological studies, reoperation or faecal discharge from the drain.

Radiologic confirmation of CAL was defined as extravasation of endoluminally administrated water-soluble contrast and/or significant perianastomotic air on computed tomography or X-ray. Radiological studies were not routinely performed, only in case of clinical suspicion of CAL.

Interventions to treat CAL consisted of therapeutic drainage (prolonged stay of drain), use of therapeutic antibiotics or a surgical intervention, i.e. construction of a diverting stoma, disconnection of the anastomosis and construction of a new anastomosis or a colostomy.

All postoperative fistulas communicating with the surgical anastomosis were classified as a leak. Postoperative abscesses were classified as anastomotic leakage if there was extravasation of enteric contrast on radiological studies, if there was significant perianastomotic air, or if communication with the anastomosis was noted after radiologic drainage.

Postoperative mortality was defined as patients that died within 30 days of operation, in hospital and after discharge.

Data collection

Patients were followed from their pre-operative admission on the ward until the first postoperative follow-up at the outpatient clinic. Demographic data of the patients, operative details, postoperative events, and follow-up data were obtained through a standardized case record form and entered into a database. In case of CAL the postoperative day of diagnosis was noted along with the manifestation of CAL, the diagnostic tool for detection of the leak, and the treatment.

Statistics

Categorical data are presented as numbers with percentages, numerical data are presented as means \pm standard deviations (normally distributed), or medians with interquartile ranges (not normally distributed). Univariate analysis was performed using a chi-square test in case of categorical data and an unpaired t-test or one way ANOVA (normally distributed) and Mann-Whitney U test (not normally distributed) in case of numerical data. To determine whether the individual CRP, PCT, LBP fluctuations in time were related to the risk of CAL we fitted mixed effects model with random cubic splines for the normalized responses of CRP, LBP and PCT to account for the nonlinear character of the responses. Each model contained following fixed effects: age, ASA-classification, gender, approach (laparoscopic or open), use of corticosteroids, time effects of the order up to 3 or less (depending on the response) and the interaction terms between time effects and factors ASA-classification, gender, approach (laparoscopic or open), use of corticosteroids. Each model contained as random effects a random intercept and random effects of time.

From each univariate model random effects were estimated using empirical Bayes estimates and plugged into the logistic model for CAL and the survival model for time to AL. To correct for uncertainty of the plug-in covariates we sampled random effects from the fitted polynomial mixed regression models and fitted the logistic/survival models for each sample. As the final estimate we took an average of the obtained estimates. Final standard errors were calculated taking into account within and between samples variability.

Results

A total of 243 patients were included. The mean age was 64 ± 12 years, 135 patients (56 %) were male and 108 (44 %) were female. Thirty-three patients (14 %) were treated for inflammatory diseases, 206 patients (84 %) were treated for malignancy, and four patients (2 %) had ischemic colitis. Fifty-six patients (23 %) underwent pre-operative radiotherapy, and in 59 patients (25 %) a defunctioning stoma was constructed. A total of 92 (38 %) patients underwent a laparoscopic procedure and 151 patients (62 %) were operated through laparotomy.

Nineteen patients (8 %) developed clinical CAL. In 9 patients it became manifest as sepsis, in 7 patients as peritonitis, 2 patients developed a pre-sacral abscess and one patient developed an intra-abdominal abscess. In 8 patients the diagnosis was made by computed tomography, in 7 patients by relaparotomy and in 4 patients faecal discharge from the drain occurred. Median interval between operation and confirmation of CAL was 6 days (range 2 – 26 days). Two patients (0,8 %) developed an infection at the drain insertion site, both in the group without CAL. Average hospital stay of patients with CAL was significantly longer (28 ± 22 days vs. 13 ± 13 days ($p < 0,0001$)). In the group of patients with CAL three died (16 %), whereas 6 patients (3 %) died in the group without CAL ($p = 0.002$).

Table I shows the baseline characteristics of the patients with CAL compared to the patients without CAL. The incidence of pulmonary comorbidity and the number of patients using corticosteroids was significantly higher in the leakage group.

Drain fluid production was compared between the group with and without leakage. No significant difference between the two groups was found.

| Variable | | No CAL (n=224) | CAL (n=19) | p-value |
|---------------------------|-----------------------|----------------|------------|---------|
| Age (years) | | 64 ± 12 | 65 ± 13 | 0.765 |
| Gender | Male | 125 (93 %) | 10 (7 %) | 0.789 |
| | Female | 99 (92 %) | 9 (8 %) | |
| BMI (kg/m ²) | < 25 | 80 (91 %) | 9 (9 %) | 0.155 |
| | 25-30 | 109 (98 %) | 5 (2 %) | |
| | > 30 | 35 (88 %) | 5 (12 %) | |
| Smoking | Yes | 50 (94 %) | 3 (6 %) | 0.750 |
| | No | 168 (92 %) | 15 (8 %) | |
| Steroids | Yes (chronic) | 2 (40 %) | 3 (60 %) | 0.000 |
| | Yes (perioperatively) | 16 (80 %) | 4 (20 %) | |
| | No | 205 (94 %) | 12 (6 %) | |
| ASA-score * | 1 – 2 | 173 (93 %) | 13 (7 %) | 0.532 |
| | 3 – 4 | 50 (89 %) | 6 (11 %) | |
| Neoadjuvant radiotherapy | Yes | 51 (91 %) | 5 (9 %) | 0.945 |
| | No | 173 (93 %) | 14 (7 %) | |
| Type of resection # | TME / LAR | 76 (92 %) | 7 (8 %) | 0.727 |
| | PME / HAR | 55 (95 %) | 3 (5 %) | |
| | Left hemicolectomy | 21 (96 %) | 1 (4 %) | |
| | Sigmoid resection | 68 (90 %) | 8 (10 %) | |
| | Subtotal colectomy | 4 (100 %) | 0 (0 %) | |
| Height anastomosis | > 7cm | 149 (94 %) | 10 (6 %) | 0.211 |
| | < 7cm | 74 (89 %) | 9 (11 %) | |
| Construction anastomosis | Stapled | 178 (93 %) | 14 (7 %) | 0.735 |
| | Handsewn | 45 (90 %) | 5 (10 %) | |
| Configuration anastomosis | End-to-End | 57 (86 %) | 9 (14 %) | 0.259 |
| | End-to-Side | 16 (94 %) | 1 (6 %) | |
| | Side-to-End | 122 (94 %) | 8 (6 %) | |
| | Side-to-Side | 23 (96 %) | 1 (4 %) | |
| Protective ileostomy | Yes | 55 (93 %) | 4 (7 %) | 0.933 |
| | No | 167 (92 %) | 15 (8 %) | |

Table I. Univariate analysis of baseline characteristics of the total APPEAL-population: patients without colorectal anastomotic leakage (CAL) versus the patients with CAL.

* ASA: American Society of Anesthesiologists score

TME Total Mesorectal excision; LAR Low Anterior Resection; PME Partial Mesorectal Excision; HAR High Anterior Resection

Univariate analysis shows CRP levels are significantly higher in patients with leakage on day 3 and day 5 postoperatively (figure 1). Levels of LBP are significantly higher in patients with leakage on day two, three and four after the operation (figure 2).

The PCT concentration is significantly higher on day 5 in case of leakage (figure 3).

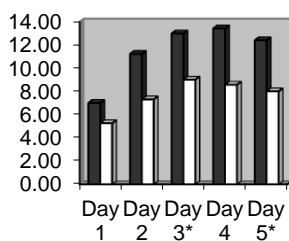


Figure 1. Differences in CRP concentrations (mg/l) between patients with and without AL.

* Postoperative day on which differences are significant (day 3 p=0.003; day 5 p=0.013)

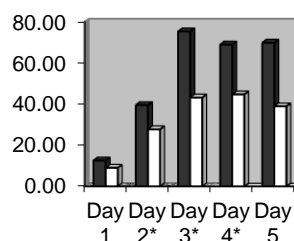


Figure 2. Differences in LBP concentrations (µg/ml) between patients with and without AL.

* Postoperative day on which differences are significant (day 2 p=0.040; day 3 p=0.039; day 4 p=0.003)

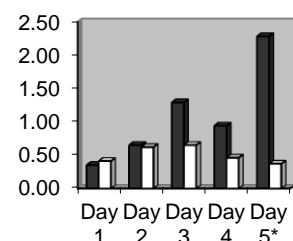


Figure 3. Differences in PCT concentrations (ng/ml) between patients with and without AL.

* Postoperative day on which differences are significant (day 5 p=0.013)

In the multivariate analysis for LBP we found a significant effect of the random intercept after correcting by sampling method (table II).

| Coefficient | log HR | Standard Error | p-value |
|--|--------|----------------|---------|
| Intercept | -2.63 | 0.47 | 1.8e-08 |
| b.s [, 3] | 0.30 | 0.19 | 0.12 |
| int.s LBP | 0.50 | 0.22 | 0.024 |
| Use of steroids at home (Yes vs. No) | 4.14 | 1.32 | 0.002 |
| Use of steroids intraoperatively (Yes vs.No) | 1.56 | 0.71 | 0.027 |
| Approach (Open vs. Laparoscopy) | -0.83 | 0.57 | 0.14 |

Table II. Final logistic regression model for CAL after correcting for standard error using sampling method. The intercept estimate reflects the reference value of the log hazard ratio (HR) for the average person in a population. B.s [,3] stands for b-spline basis function of order 3. Int.s LBP stands for random intercept for LBP.

This suggests that the higher the initial value of LBP the higher the risk of leakage. Both LBP and the random effects were normalized. Therefore, this means that an increase from the average initial value of LBP at the first postoperative day with 1 standard deviation, increases the risk of leakage $\exp(0.5) = 1.6$ times. In addition we found use of steroids to be independently associated with CAL as well. No significant results were obtained in the multivariate analysis of PCT and CRP.

Univariate analysis of the APP data on each postoperative day was also performed with outcome variables other than CAL. Comparison of means between patients undergoing laparoscopic versus open surgery resulted in significant higher concentration in the open surgery group of CRP on day 1 ($p=0.014$) and 2 ($p=0.018$) and of PCT on day 2 ($p=0.026$). No significantly different concentrations for LBP were found. Similar analysis comparing patients with a diverting stoma to patients without a stoma showed no significant results for neither of the three analyzed APPs ($p>0.120$). Indication for operation (malignancy, inflammatory, other) as outcome variable also did not reveal significant results ($p>0.087$).

Discussion

Acute Phase Proteins (APPs) are produced in the liver, extrahepatically and at the site of injury in case of LBP. Therefore levels at the local milieu could be a more specific indicator for local infection, like CAL.

CRP plays a role in recognizing pathogens and activating the complement system and phagocytic cells. Furthermore, it contributes to restoration of normal structure and function of injured tissues ¹⁷. CRP in plasma has been used for a long time to objectify disease activity in infective or non-infective inflammatory states ¹⁸. For CRP in drain fluid, univariate analysis shows a significant difference between patients with CAL and patients without CAL on days 3 and 5 postoperatively. Multivariate analysis renders no significant results. The differences between laparoscopic versus open surgery may be explained by the greater tissue damage resulting from open surgery, leading to a greater inflammatory reaction.

LBP enhances the inflammatory response to gram-negative bacteria by transferring LPS (LipoPolySaccharide) to a host membrane protein (m)CD14, causing the release of proinflammatory cytokines that recruit neutrophils as an early, innate immune response ¹⁹. High serum LBP levels have been shown to correlate to the onset of bacteremia and sepsis ²⁰. Since gram negative bacteria are abundantly present in the colon, significantly higher LBP concentrations in patients with CAL on day 2, 3 and 4 postoperatively could be expected. Moreover, multivariate analysis has shown that an increase of LBP levels in drain fluids is associated with a significantly higher risk for CAL.

In a non-septic condition, PCT is produced primarily in neuroendocrine C cells of the thyroid ¹⁵. However, bacterial infection has been shown to induce a release of PCT from different parenchymal tissues and differentiated cell types throughout the body ³⁷. The serum level of PCT has been suggested to be a sensitive indicator of ongoing abdominal sepsis and could help deciding whether to perform a relaparotomy ²¹. In drain fluid, a significant difference between patients with CAL and patients without CAL was found only on day 5 postoperatively. However, multivariate analysis renders no significant results. Levels of PCT were significantly higher in the open surgery group on day 2. Perhaps this could be due to a larger intraoperative spill in the open group. However, on day one the difference is not significant contradicting this hypothesis.

This is the first study concerning acute phase proteins in drain fluid and was conducted to study if APPs have diagnostic value when determined in the perianastomotic environment.

Several studies in search of a biomarker for CAL in drain fluid have been performed. The majority of studies concern cytokines like IL-1b and TNF- α ²². Unfortunately, no thresholds could be defined due to great variability in results rendering these parameters not yet suited for clinical use ²³. The same problem is encountered with LBP and, in analogy to cytokine data, consequently one can only conclude a correlation exists between LBP levels in drain fluid and CAL. Probably, combining several biomarkers more specifically diagnoses CAL and further studies should aim to find a biomarker profile diagnostic for CAL.

The number of samples used for each analysis does not add up to the number of included patients. This is due to variable and insufficient production of drain fluid in most cases. Peritoneal lavage could be a solution, however, this may interfere with the concentrations of the APPs. Few samples are missing due to accidental removal of the drain by the patient, early intervention for CAL and accidental loss of drain fluid either at the ward or at the processing laboratory.

Corticosteroids are known to impair wound healing however, their influence on the healing of colorectal anastomoses is unclear and studies have reported conflicting results ²⁴⁻²⁶. This study shows use of corticosteroids to be correlated to CAL. It is a confirmation of the results published by Sliker et al., who used the same database ²⁷.

To date, the use of prophylactic drainage remains controversial. Several level 1 studies have shown that PD does not have a beneficial or a detrimental effect on the incidence of AL and on the morbidity afterwards ²⁸⁻³⁰. A prospective study concerning pelvic anastomosis showed a higher leakage rate after routine irrigation-suction drainage in elective anterior resection ³¹. A retrospective study showed drainage and the use of a defunctioning stoma to be beneficial in terms of reoperation rates as a result of anastomotic leakage after TME ³². Despite this controversy surrounding the necessity to drain, prophylactic drainage remains common practice in many hospitals, particularly after rectal surgery ³³. In addition, the outcome measures used in these studies consist of leakage rates, hospital stay, radiological anastomotic leakage, infectious complications and patient comfort. In this study we have shown diagnostic potential of the drain. Therefore, considering the low complication rate of

PD, it should be placed routinely during surgery to allow collection of drain fluid for the first 3 postoperative days.

The statistical methods used in this article allow analysis of longitudinal data on an individual level, i.e. analysis of individual profiles of the acute phase proteins. We found that an increase of LBP levels with one standard deviation leads to a 1.6 times increased risk of CAL in the individual patient. This means LBP and CAL are related. However, since it is not possible to calculate a standard deviation on one measurement this finding by itself cannot be used in clinical practice. In addition, due to the vast variability of the obtained values between patients and between samples of the same patient it is not possible to define general cut-off values. A personalized, “tailor made” approach is mandatory. In analogy with cardiovascular research concerning risk scores (Framingham score), a more personalized approach can be achieved through the development of a prognostic model, determining whether repeated measurements of a specific biomarker, in this study LBP, can ultimately provide a better understanding of disease progression. The most common are dynamic predictions of survival probabilities using the recorded longitudinal information, namely landmarking³⁴ and joint modeling³⁵. Because the subject-specific longitudinal trajectories can be quite complex (e.g. nonlinear, plateaus) different features of these trajectories may be more predictive for the event of interest. In our study we do not encounter strictly joint-modeling setting, meaning that the recorded longitudinal information influences the survival submodel but not the other way around. Therefore using the two-stage model is sufficient. Based upon that model we can perform a dynamic prediction of the patient-specific hazard for a new patient by updating the patient-specific random effect related to LBP intercept as more longitudinal data for this patient are available. For this purpose we can apply the similar procedure as in the typical joint modeling situation by using Metropolis-Hastings sampling³⁶. To simplify matters, a statistical black box should be visualized that allows calculation of the chance of CAL after putting in a diagnostic factor like the concentration of LBP on postoperative day 1 and 3 for example. Other postoperative signs and symptoms like fever, serum CRP and white blood cell count could be factored in as well.

Conclusion

Increased concentrations of Lipopolysaccharide Binding Protein in drain fluid are significantly associated to a higher chance of CAL and could contribute in a future prognostic model for CAL.

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Part 4

Potential prevention strategies

Chapter 10

Colorectal Anastomotic Leakage: A New Experimental Model

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Background.

Anastomotic leakage is the major complication after colorectal surgery. To date, animal experiments concerning colorectal anastomosis focus on anastomotic healing instead of anastomotic leakage. This study aims to develop a new experimental model for colorectal anastomotic leakage.

Methods.

A control group, receiving an anastomosis with 12 interrupted sutures, was compared to a group receiving an anastomosis with 6 interrupted sutures. When the leakage rate was observed to be too low, the number of sutures was decreased stepwise, to 5 or less. Each group contained 9 "C57Bl6-mice". After 7 d the Anastomotic Bursting Pressure (ABP) was determined.

Results.

In the first experiment, one mouse (11.1 %) in the case group and none in the control group developed leakage. Average ABP was 152,2 mm Hg in the control group and 138,8 mm Hg in the case group ($p = 0,111$). In the second experiment, case group receiving an anastomosis with 5 sutures, 4 mice (44.4 %) in the case group developed leakage. This experiment was repeated twice resulting in leakage rates of 33.3 % and 44.4 %. The average overall ABP in the case group was 142,7 mmHg vs. 179,9 mmHg ($p = 0,022$) in the control group. The mice without leakage showed a stabilization of average weight loss around day 2 and 3 and a decrease afterwards. The mice with leakage showed a decrease only after day 5. The difference in wellness-scores between the groups with- and without leakage was 2 points, increasing during follow-up.

Conclusions.

The model of anastomotic leakage caused by creating an anastomosis with 5 interrupted sutures is feasible. Weight loss and wellness-scores are good predictors of leakage.

Introduction

Anastomotic leakage is the most important complication after colorectal surgery. The incidence varies between 2 % and 24 % ¹⁻⁴ and mortality rates can be as high as 33 % ⁵. Attempting to solve this problem, a great number of animal experimental studies have been performed. However, despite the great number of studies ⁶⁻¹⁰, knowledge of this complication is still limited. Contributing to these limitations may be the experimental rat model that has mostly been used for these experiments. This model consists of construction of an anastomosis after which the studied intervention is performed ¹¹⁻¹³. After approximately a week, anastomotic bursting pressures (ABP) and hydroxyproline concentrations are determined as primary outcome measures. Although these outcome measures provide useful information on the healing of the anastomosis, it does not provide information on anastomotic leakage. Leakage rates of these studies are reported but vary greatly between 0 % ¹⁴ and 40 % ¹⁵, occur after different interventions, and reproducibility has never been tested. This could explain why very few animal experimental results find their way into the clinical setting. An animal model for anastomotic leakage more interesting for the surgeon would be a model in which leakage has a similar cause, manifestation, and incidence as in the human situation. In the human situation, even though multiple factors contribute to the occurrence, technical insufficiency is considered to be one of the causes of anastomotic leakage ¹⁶⁻¹⁸. Van der Ham et al. have constructed technically insufficient anastomoses by using only 4 sutures ¹², however no clear leakage was observed. The authors suggested this to be caused by the consistency of feces. In addition, rats are known to be resistant to infection. Therefore, chances of causing anastomotic leakage by technical insufficiency, manifesting itself as fecal peritonitis or abscess formation, are most likely higher in animals less resistant to infection. This study aimed to evaluate the feasibility of an experimental model of anastomotic leakage caused by standardized technical failure in the mouse.

Methods

Animals

Since anastomotic leakage becomes manifest as either abscess formation or fecal peritonitis, the animal used for the model should be sensitive to infection. Therefore, these experiments were conducted with the C57Bl6-mice, since they are considered to be less resistant to infection than the rat. The weight of the mice varied between 23 and 33 g. Standard mouse chow (Tecnilab-BMI, Someren, The Netherlands) and water were supplied ad libitum.

Anesthesia and Operation

The procedure consisted of anesthetizing the mouse (nose mask, FiO₂ 60 %, isoflurane 2 %), shaving, and disinfecting the abdomen. Afterwards the abdomen was entered under aseptic conditions through a 3-cm midline incision. One centimeter aborally to the caecum, the mesentery was cleaved without damaging the vessels, after which the colon was transected. An end-to-end anastomosis was constructed with Dafilon 8-0 (B. Braun). The colon was repositioned and the abdominal wall was closed in two layers with Safil 5-0 (B. Braun). All intra-abdominal manipulations were done with microscope (Konan Camera K-880, Tokyo, Japan) to ensure optimal vision.

Study Design

Each experiment in this series contained a control group in which a sufficient anastomosis was constructed with 12 interrupted sutures, and an intervention group, in which a potentially insufficient anastomosis was constructed by means of too few sutures. In the first experiment, a potentially insufficient anastomosis was constructed with six sutures.

The number of sutures was to be decreased when the experiment was not successful, according to [Table 1](#). The experiment was considered to be a success when a leakage rate between 20 % and 50 % was achieved. Lower rates would not be cost-effective since too many animals would be needed for a difference to reach significance. Higher rates were

considered to be incomparable to the human situation. The successful experiment was repeated twice to test reproducibility, and when the results appeared to be reproducible, the experiments would be stopped in accordance with the committee on Animal Research.

After the operation, twice a day during 1 wk, the weight of the mice was noted, and the mice were observed. During observation, the mice were scored for parameters of wellness, as depicted in Table 2. This score consists of parameters selected from a previously published wellness score ¹⁹ in combination with parameters that were considered to represent illness of mice by the committee on Animal Research. Primary outcome measure was anastomotic leakage manifesting itself by either fecal peritonitis or abscess formation around the anastomosis. Secondary outcome measure was ABP, measured in vivo in the anesthetized mice. After one wk the experiment was ended. The mice were anesthetized and re-laparotomy was performed. The abdomen was checked for signs of fecal peritonitis or abscess formation. The anastomosis than was exposed and the ABP determined. When mice were considered to be too ill during the observation period, they were removed from the experiment and the aforementioned protocol was executed. These experiments were approved by the committee on Animal Research of the University Medical Center Rotterdam, Erasmus MC, Rotterdam, The Netherlands. Statistical analysis was performed with SPSS, version 11.0 (SPSS Inc., Chicago, IL).

| Experiment number | Number of sutures Intervention group | Number of sutures control group |
|-------------------|--------------------------------------|---------------------------------|
| 1 | 6 | 12 |
| 2 | 5 | 12 |
| 3 | 4 | 12 |

Table 1. Number of sutures in the different experimental groups.

| Parameters | Grading | Score |
|----------------------|--|-----------|
| Activity | Normal / Medium / Low | 2 / 1 / 0 |
| Fur | Smooth / Fluffy / Erect | 2 / 1 / 0 |
| Eyes | Clean & Open / Clean & Closed / Dirty & Closed | 2 / 1 / 0 |
| Able to stand strait | Yes / No | 1 / 0 |
| Posture | Normal / Modestly curled / Fully curled up | 2 / 1 / 0 |
| Position on feet | Normal / High | 1 / 0 |
| Solitary | Yes / No | 0 / 1 |
| Shivering | Yes / No | 0 / 1 |

Table 2. Scored parameters for wellness. The best grade was awarded the highest score. Minimum score was 0, maximum score was 12. In case of a score of 4 or lower the mouse was removed from the experiment.

Results

Experiment 1

Nine mice received an anastomosis with 12 sutures and nine with six sutures. No animal was removed from the experiment, however, during the sacrificing of the mice one (11.1 %) of the intervention group mice appeared to have an abscess on the anastomotic site. The average anastomotic bursting pressures (ABP), wellness scores per day, and the average weight loss per day are depicted in [Tables 3, 4](#), and [Fig. 1](#).

| Anastomotic Bursting Pressure compared between groups | | | |
|---|--------------|--------------|---------|
| Experiment | 6 | 12 | p-value |
| 1 | 138,8 ± 21,8 | 152,2 ± 22,5 | 0,111 |

Table 3. Mean ABPs compared between groups

| Score vs. AL | | | | | | | |
|--------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Score Day 1 | Score Day 2 | Score Day 3 | Score Day 4 | Score Day 5 | Score Day 6 | Score Day 7 |
| AL | 8 ± - | 7 ± - | 6 ± - | 6 ± - | 5 ± - | 7 ± - | 6 ± - |
| No AL | 10,5 ± 1,0 | 9,1 ± 2,4 | 9,2 ± 2,5 | 9,5 ± 2,0 | 9,4 ± 2,7 | 9,4 ± 2,3 | 9,6 ± 1,8 |

Table 4. Average wellness scores per day and standard deviation versus the presence of anastomotic leakage. No standard deviations could be calculated for the AL group since only one mouse developed AL.

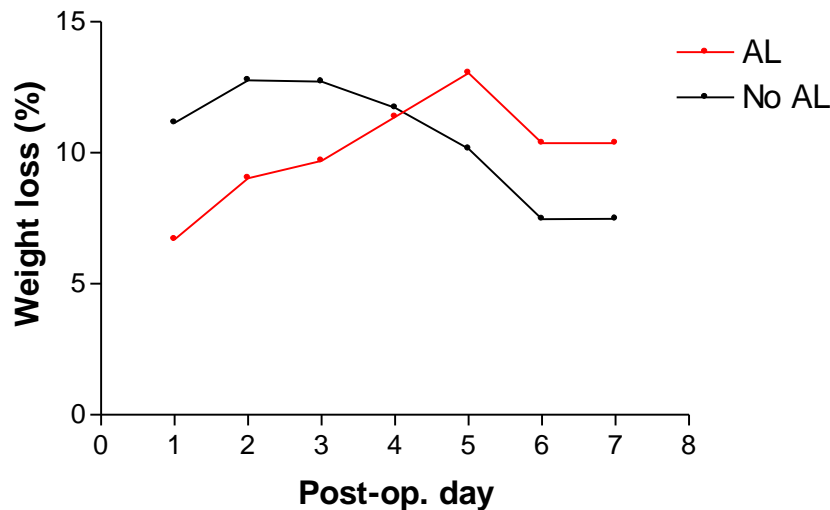


Figure 1. Visual representation of average weight loss per day. The group without leakage shows a stabilization of average weight loss around day 2 and 3 and a decrease afterwards. The group with leakage shows a decrease of average weight loss only after day 5

Experiment 2

Nine mice received an anastomosis with 12 sutures and nine with five sutures. None of the control mice developed anastomotic leakage, whereas 4 (44.4 %) mice of the intervention group developed anastomotic leakage. One mouse (wellness score 2) was removed from the experiment within 24 h after construction of the anastomosis, two mice (wellness score 2 and 1) within 36 h, and one (wellness score 1) within approximately 48 h. They all had developed fecal peritonitis because of anastomotic leakage. This experiment appeared to be successful and was therefore repeated (Experiment 2b). Again, nine mice received an anastomosis with 12 sutures and nine with five sutures. None of the control mice developed anastomotic leakage, whereas 3 (33.3 %) mice of the case group developed anastomotic leakage. One mouse (wellness score 1) was removed from the experiment within 48 h after construction of the anastomosis, one mouse (wellness score 4) on the fifth d postoperatively, and one appeared to have a large abscess at the anastomotic site at 7 d postoperatively, during sacrificing procedures. This experiment appeared to be successful as well and was therefore repeated (Experiment 2c). Again, nine mice received an anastomosis with 12 sutures and nine with five sutures. One of the control mice developed an abscess, which was observed during sacrificing procedures. Four (44.4 %) mice of the case group developed anastomotic leakage. One mouse (wellness score 3) was removed from the experiment within 24 h after

construction of the anastomosis, one mouse (wellness score 3) on the fifth d postoperatively, and two appeared to have large abscesses at the anastomotic site at 7 d postoperatively, during the sacrificing procedure. The average ABP, wellness scores per day, and the average weight loss per day for experiments 2a, 2b, and 2c are depicted in Tables 5, 6, and Figs. 2 and 3. The state of the anastomosis directly after construction, after 7 d with- and without abscess formation are shown in Figs. 4, 5, and 6. Since these experiments were successful, in concordance with the committee on Animal Research, no experiments with lower number of sutures were performed.

| Anastomotic Bursting Pressure compared between groups | | | |
|---|--------------|---------------|---------|
| Experiment | 5 | 12 | P-value |
| 2a | 134,3 ± 41,4 | 178,0 ± 29,8 | 0,039 |
| 2b | 131,8 ± 32,2 | 160,2 ± 35,9 | 0,201 |
| 2c | 124,1 ± 73,7 | 204,1 ± 147,5 | 0,613 |
| Total | 142,7 ± 33,4 | 179,9 ± 84,3 | 0,022 |

Table 5. Mean ABPs compared between groups. The ABPs of all mice that were removed from the experiment before day 7 were not used for calculation.

| Exp. | AL / No AL | N | Score vs. AL | | | | | | |
|-------|------------|----|--------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | | | Score Day 1 | Score Day 2 | Score Day 3 | Score Day 4 | Score Day 5 | Score Day 6 | Score Day 7 |
| 2a | AL | 4 | 1,5 ± 0,6 | 0,5 ± 1,0 | 0,0 ± 0,0 | 0,0 ± 0,0 | 0,0 ± 0,0 | 0,0 ± 0,0 | 0,0 ± 0,0 |
| | No AL | 14 | 10,9 ± 0,7 | 11,5 ± 0,7 | 11,6 ± 0,5 | 11,6 ± 1,1 | 11,6 ± 0,8 | 11,7 ± 0,8 | 11,9 ± 0,5 |
| 2b | AL | 3 | 9,0 ± 3,5 | 7,0 ± 5,2 | 3,7 ± 3,2 | 4,3 ± 4,5 | 2,0 ± 3,5 | 3,3 ± 5,8 | 3,3 ± 5,8 |
| | No AL | 15 | 10,7 ± 0,6 | 11,2 ± 1,4 | 10,9 ± 1,8 | 11,2 ± 1,4 | 11,5 ± 0,9 | 12,0 ± 0,0 | 11,9 ± 0,3 |
| 2c | AL | 5 | 8,4 ± 5,1 | 8,4 ± 5,4 | 7,6 ± 5,0 | 6,4 ± 5,4 | 6,2 ± 5,8 | 6,0 ± 6,0 | 6,2 ± 6,0 |
| | No AL | 13 | 10,6 ± 1,2 | 10,1 ± 2,4 | 10,0 ± 3,2 | 9,9 ± 3,2 | 10,4 ± 2,2 | 9,8 ± 3,7 | 9,8 ± 3,6 |
| Total | AL | 12 | 6,3 ± 4,9 | 5,4 ± 5,4 | 4,1 ± 4,8 | 3,8 ± 4,8 | 3,1 ± 4,8 | 3,3 ± 5,1 | 3,4 ± 5,2 |
| | No AL | 42 | 10,7 ± 0,8 | 11,0 ± 1,7 | 10,9 ± 2,1 | 10,9 ± 2,1 | 11,2 ± 1,5 | 11,2 ± 2,3 | 11,3 ± 2,2 |

Table 6. Average wellness scores per day and standard deviation versus the presence of anastomotic leakage.

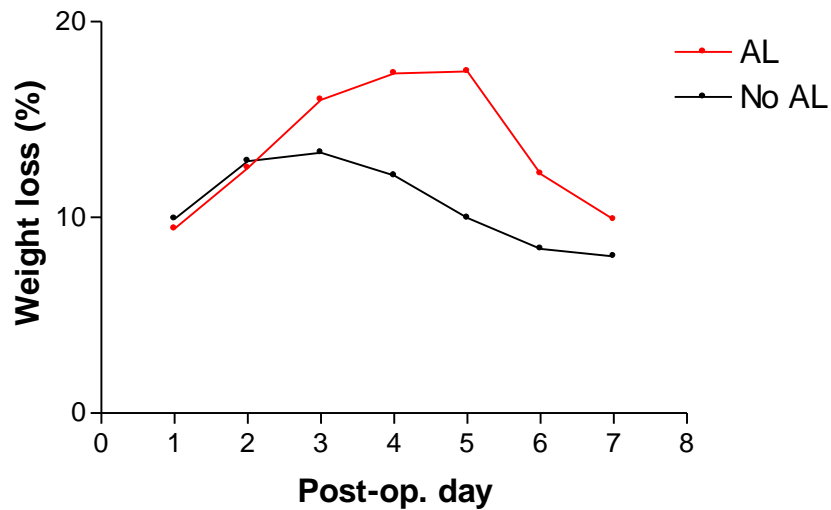


Figure 2. Visual representation of average weight loss per day. The group without leakage shows a stabilization of average weight loss around day 2 and 3 and a decrease afterwards. The group with leakage shows a decrease of average weight loss only after day 5

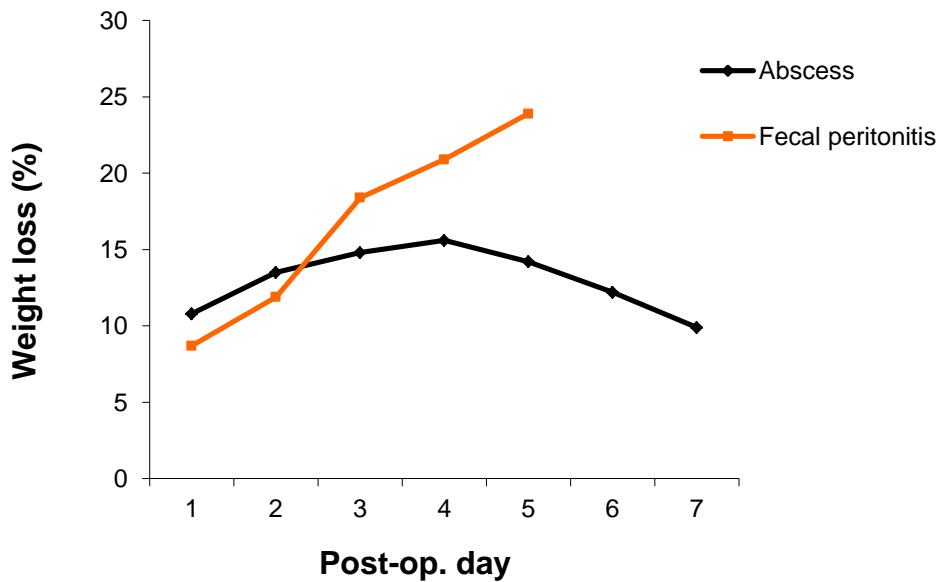


Figure 3. Visual representation of relative weight loss in mice with anastomotic leakage, comparing mice with fecal peritonitis to mice with abscess formation.



Figure 4. Anastomosis with 5 sutures directly after construction.

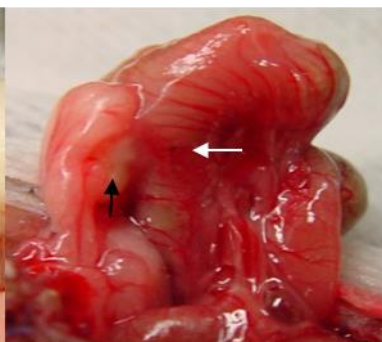


Figure 5. Anastomosis after 7 days with abscess formation. White arrow indicates a suture, black arrow indicates an abscess.

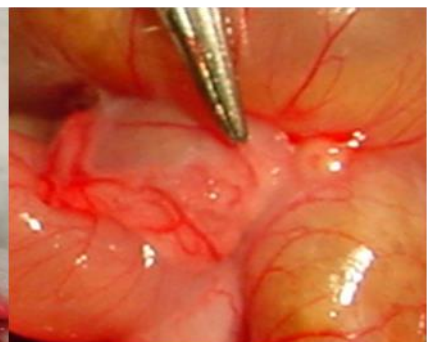


Figure 6. Anastomosis after 7 days. This figure shows the vast formation of adhesions.

Discussion

To date, no animal model has been described in which a spontaneously leaking anastomosis can be reproducibly constructed. Van der Ham et al.¹² tested a model of insufficient anastomotic suturing in rats. No fecal peritonitis or abscess formation occurred, which meant no anastomotic leakage occurred. The authors explained this to be caused by the high consistency of the feces. In addition, the rats' great resistance to infection could explain it as well. Considering these data and the fact that mice are more sensitive to infection than rats, a comparable model was tested on mice. These series of experiments have shown that anastomotic leakage can be induced by constructing an anastomosis with five sutures. The incidence varied between 33 % and 44 % in three similar experiments, which means leakage can be reproducibly induced in this model. The incidence is higher than in the human situation, however, since the majority of mice heal well, this model can be compared to the human situation.

The construction of the anastomosis in this model is insufficient. The colonic mucosa is everting (Fig. 4), which is an exaggeration of the technical failure that can occur in the human situation. Due to this exaggeration, the number of animals needed to reach significance remains limited. In addition, due to the same reason the model is very well suited to test potential protective barriers against anastomotic leakage such as tissue glues and meshes. In order to evaluate anastomotic healing in this model ABP, being the primary outcome measure in the rat model of anastomotic healing was determined as well. These experiments show that ABP is lower in case of a decreased number of sutures (Tables 3 and 5), which means the anastomosis is less strong in case of insufficient suturing. This effect is probably even stronger than represented in Tables 3 and 5 since the ABPs of the mice that were removed from the experiment before day 7 because of leakage were not included in this calculation. In addition, only 52 % of the anastomosis of the control group actually ruptured on the anastomosis, while this is 100 % and 79 % for the anastomosis constructed with six and five sutures respectively.

In order to compare the general state of the mice per day, a wellness score is needed. To our knowledge, only one, not very extensive, scoring method is published ¹⁹. In this study, the items mentioned in [Table 2](#) are the observed wellness features. [Tables 4 and 6](#) show that the wellness score of the mice developing anastomotic leakage will decrease rapidly after the operation and that the scores do not exceed 8, whereas the scores of the healthy mice vary around 10. In addition to the wellness scores, the weight was observed as well. [Figures 1 and 2](#) show the average weight loss for each postoperative day, comparing the group with leakage to the group without. In both experiments, the weight loss of the mice without leakage appeared to stabilize around postoperative day 2 and day 3 and to decrease afterwards, meaning a gain in weight. In the mice with leakage, stabilization of the weight loss occurred later, at postoperative day 5. Afterwards, the weight loss decreased, indicating that the mice with leakage gained weight. This was most likely due to the fact that all mice with fecal peritonitis were removed from the experiment, leaving only the mice with abscess formation ([Fig. 3](#)). The latter group showed a slight recovery.

Regarding the wellness score and weight loss, it can be stated that a wellness score lower than 8 and an increasing weight loss after postoperative day 2 are indicators for the presence of anastomotic leakage.

To our knowledge, only one other model of anastomotic leakage has been published. This model, described by Nordentoft et al. ²⁰ concerns a model in pigs in which an anastomosis is created around a tube. A leakage rate of 100 % was realized. It is an interesting model, however, there are several disadvantages. First, the cause of leakage in this model does not exist in the human situation. Second, since the anastomosis is intact and leakage occurs merely through the created defect, this model may be considered as a model for colon perforation rather than anastomotic leakage.

Third, since pigs are large and costly animals, this model is not practical.

Conclusion

The mouse model of anastomotic leakage caused by constructing an anastomosis with only five sutures induces a reproducible incidence of anastomotic leakage and is therefore a feasible model.

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Part 5

General discussion, summary and future perspectives

As has been mentioned several times throughout this manuscript colorectal anastomotic leakage (CAL) is the major complication of colorectal surgery. We found that CAL occurred in 8.7 % of the 739 patients operated in our hospital. Mortality rate amongst these patients was 14.1 %, significantly higher than in the group of patients that did not develop CAL. The mean hospital stay after operation and the stay at the ICU of patients with CAL were 47 days and 7 days, respectively, whereas patients without CAL stayed in the hospital 14 days and 1 day at the ICU, respectively ¹. These findings correspond to the reported figures in literature ²⁻⁵, as depicted in the introduction, indicating the problem of CAL is widespread. Considering that in the Netherlands, annually, approximately 10.000 people will undergo colorectal resection, it can be stated that CAL is a severe complication ⁶.

Preoperative phase

In the preoperative phase risk factors for CAL can be assessed. This means checking the presence of factors like diverticular disease, rectal resection, urgent operation, smoking, body mass index (BMI), gender, use of steroids, radio- and/or chemotherapy, American Society of Anesthesiologists (ASA) score, a history of cardiac and vascular disease, operating time and use of non-steroidal anti-inflammatory drugs (NSAID) as mentioned in the introduction. These factors are often studied in literature with as many confirming as contradicting results. Subsequently, assuming not all risk factors are known, several new potential risk factors are explored in this thesis. In our retrospective series (Chapter 1) we found obesity (BMI > 30 kg/m²) to be an independent risk factor for CAL, confirming earlier reports ⁷. This may be due to a defective tissue structure and healing, increased intra-abdominal pressure or the technically more demanding construction of an anastomosis because of thick mesenteries and epiploic appendices. A possible solution might be to construct a diverting stoma in this population. However, since construction of a stoma is difficult in patients with high BMI, awareness and standardized postoperative monitoring, shown to decrease delay until diagnosis of CAL ⁸, is a more logical consequence of this finding.

In addition, we found that patients operated upon after-hours had more than a twofold increased risk of anastomotic leakage, a finding that has not been reported before. Many potential confounders have been accounted for and are not significant in multivariate analysis. Therefore a possible explanation could be that a decreased technical performance of the operating team has contributed to a higher leakage rate after hours. Medical errors tend to occur more often at night^{9, 10} and physicians appear to be less proficient at night, which leads to more errors than during daytime¹¹⁻¹³. Decreased non-technical skills of the operating team at night, like teamwork- and management skills and situational awareness, could have contributed to higher leakage rates as well. Situational awareness (SA), defined as the ability of each team member to observe, understand and predict events in the operating room (OR), appears to be closely related to technical error rates¹⁴. The introduction of the surgical safety checklist has been shown to reduce morbidity and mortality after surgery and may contribute to the reduction of CAL rates, specifically in patients operated upon after hours¹⁵.

Healing of an anastomosis leads to a state of higher metabolic activity and higher oxygen demand, requiring an increased blood inflow¹⁶. Atherosclerosis is a known cause of tissue ischemia^{17, 18} and is suggested to have a detrimental effect on anastomotic healing¹⁹. However it has never been proven. To date, it is possible to determine the atherosclerotic load, represented by the calcium score, mass and volume, of a patient on CT-scan by means of special software (Chapter 2). It was found that atherosclerotic macroangiopathy, i.e. calcifications in the left and right common iliac arteries, is an independent risk factor for colorectal anastomotic leakage. More specifically, an increase of 1 U of calcium score, mass, or volume leads to an increased risk for AL of 0.1 %, 0.3 % and 0.2 %, respectively. Obviously, anastomotic blood supply does not come directly from the common iliac arteries. However, atherosclerosis is a systemic disease and the atherosclerotic load of the common iliac arteries may represent the atherosclerotic load of the colonic and rectal arteries and perhaps also of the colonic microvasculature. Since abdominal CT-scan is often performed for preoperative staging of colorectal tumors, this tool can easily be used for preoperative risk

assessment for CAL, allowing tailor made decisions on the construction of an anastomosis and/or a protective or definite stoma. The study presented in Chapter 2 shows the relation between atherosclerosis and CAL. It should be followed by a prospective study aiming to define cut off values in order to become a more concrete risk assessment tool for CAL. Another potential, albeit controversial, risk factor for CAL is use of corticosteroids. Corticosteroids are known to impair wound healing, however their influence on the healing of colorectal anastomosis is unclear²⁰⁻²³. This may be because most studies were retrospective, had broad inclusion criteria, and focused on patients with Crohn's disease. In addition, outcome measures often consisted of postoperative complications in general and CAL was not specifically addressed. Chapter 3 describes the first prospective study demonstrating a significant relationship between patients receiving perioperative corticosteroid treatment for the reduction of postoperative pulmonary complications and clinical AL. The data also show that these patients did not receive a diverting stoma more often than patients who did not take corticosteroids, concluding that, in practice, the risk of CAL due to perioperative use of steroids is not considered to outweigh the risk of morbidity due to a diverting stoma. Even though the group of patients using corticosteroids as a whole was small (27 patients, 11 %), a substantial proportion of patients with CAL took corticosteroids perioperatively (4 patients, 21 %). Only 17 patients (7 %) in the group without CAL used corticosteroids perioperatively. The difference is even more outspoken when the long term users are included (37 % vs. 8 %). Therefore, in this patient category a diverting stoma is recommended.

Intraoperative phase

Adequate blood supply is considered essential for proper anastomotic healing. Therefore, intraoperative identification of the colon's vasculature during operation is important. Riolan's arch, considered to be a connection between the superior (SMA) and inferior mesenteric (IMA) arteries, is often referred to during this process. However, as explained in Chapter 4, this eponym leads to confusion due to conflicting results of anatomical studies, the large

interindividual variety and due to lack of publications on this matter by Jean Riolan the Younger himself. Therefore, it is proposed to abandon this eponym and describe the left colon's vasculature in regular terms as mentioned in the Terminologia Anatomica, i.e. marginal artery (MA), left colic artery (LCA) and its ascending branch (ALCA)²⁴. Besides this potentially hazardous confusion, the vascular anatomy holds another risk factor. The MA might sometimes be incomplete at the level of the splenic flexure and/or descending colon, rendering perfusion of the proximal anastomotic loop insufficient. Therefore, respecting ALCA's terminal bifurcation, bridging the MA at the splenic flexure (Griffiths' critical point), is of primordial importance under those circumstances. Unfortunately, it is not possible to determine patency of MA at the splenic flexure intraoperatively. Therefore, respecting ALCA and its terminal bifurcation may be beneficial for anastomotic healing and CAL rates.

In colorectal surgery two predominant approaches to vascular ligation exist, as illustrated in Chapter 5. High tie (HT) ligation, i.e. at the origin of the IMA, allows anastomotic perfusion only through MA. After low tie (LT) ligation, i.e. after the origin of LCA, anastomotic perfusion is allowed not only through MA, but through LCA and ALCA as well. As previous studies have shown that after HT ligation anastomotic perfusion is decreased^{25, 26}, no evidence existed on whether LT is better in terms of perfusion. Chapter 5 describes a study comparing the two techniques by means of Laser Doppler Flowmetry (LDF). Anastomotic perfusion was found to decrease only slightly after HT ligation, whereas after LT perfusion had increased. These results differ from previous findings which may be explained by the longer time interval between arterial ligation and measurement in our study. During this interval recruitment of colonic arteries occurs, allowing recovery of blood flow, which is more outspoken after LT. The increased perfusion after LT as compared to the initial values might be due to reactive hyperemia following manipulation of the bowel²⁷. The results of this study favor LT in terms of anastomotic perfusion. In addition, knowing that intestinal blood flow recovers after ligation should lead to restraint and patience of the surgeon concerning resection of a bowel segment that does not seem to be well perfused.

Postoperative phase

With current screening and diagnostic methods the interval between construction of a colorectal anastomosis and diagnosis of leakage varies between 6 and 13 days²⁸⁻³⁰. Several studies have suggested that delay of diagnosis of CAL is associated with higher mortality rates and that only early management will improve clinical outcome^{8,31,32}. Therefore, new screening methods allowing detection of CAL in the early postoperative phase, i.e. before the patient manifestly becomes ill, are needed. As discussed in Chapter 6 several studies have been reported aiming to identify a reliable biomarker in drain fluid, originating from the perianastomotic environment. Concentrations of several cytokines have been shown to increase in case of CAL. In addition, studies on several parameters for tissue repair, ischemia and microbiology have shown that it is possible to distinguish between patients with and without CAL. Unfortunately, the number of patients in these studies is rather small and the variability in the obtained values large. Moreover, the main focus is on volatile, costly parameters like IL-1 (interleukin – 1), IL-6, IL-10, TNF- α , MMP-1, MMP-2 and MMP-9. However, for a biomarker to have a clinical role, it should be stable in drain fluid and relatively cheap to determine. In addition, it should not be affected by the primary disease and have optimal sensitivity and specificity.

We hypothesized that in case of CAL the bacterial load in the peri-anastomotic region and consequently in drain fluid will increase over time. Moreover, colonic flora is abundantly present in the colon, so probably easy to detect. In order to detect bacterial contamination fast, sensitive and relatively cheap a real-time PCR test was developed as described in Chapter 7. *E. coli* and *E. faecalis* were selected since these bacteria are abundantly present in fecal content and have been detected in drain fluid by culture before³³. In addition, they are facultatively aerobic and can therefore be cultured in the routine fashion. With cultures serving as the golden standard, RT-PCR results for both *E. coli* and *E. faecalis* were generally concordant to culture results, except for several false positive RT-PCRs. The majority of the false positive results occurred on samples taken on day 1 after the operation. At that moment prophylactic antibiotics may have killed colonic bacteria present in drain fluid,

explaining negative cultures. With RT-PCR DNA of bacteria is detected whether they are alive or not, rendering positive results.

After validation of these RT-PCR tests to cultures, the potential for screening for CAL was studied in the APPEAL-study as described in Chapter 8. Quantitative PCR for *E. faecalis* was found to have a high diagnostic odds ratio (DOR = 31.6), high sensitivity (92.9 %) and low false negative rates (1.3 %) and was therefore considered most suited to serve as screening test for CAL. The drawback of this test is the high rate of false positives, which could be due to the aforementioned, intrinsic aspect of PCR, i.e. detection of DNA. However, when the bacteria are not alive when they are detected, it is less likely that their detected concentrations increase. Consequently it is more likely that the false positives are due to subclinical colorectal anastomotic leakage, a long-known phenomenon with a reported incidence of 8 %^{34,35}. Even though it is considered to be asymptomatic it is associated with reduced quality of life and impaired bowel function³⁵. Therefore these patients could benefit from treatment after early detection by RT-PCR. The latter remains speculative and requires more research.

The high number of false positives demands additional highly specific testing by means of contrast radiography or CT-scan with rectal contrast testing before intervention. This may lead to overshooting, however, since PCR screening is a cheap technique, the benefits of early detection of CAL by means of PCR screening followed by contrast CT will surely outweigh the cost of a patient with CAL. Whether this screening tool leads to reduced morbidity and mortality remains to be studied.

In Chapter 9 acute phase proteins (APP) levels in drain fluid were evaluated as a possible screening tool for CAL. When determined in serum, CRP, PCT and LBP have been shown to be indicators for inflammatory processes, however, not specific. We hypothesized that these APPs determined in drain fluid would be indicators specifically for CAL. Only increased levels of LBP were found to be associated with a higher risk of CAL. When comparing the means, a clear difference in levels of CRP and PCT could be found between patients with and without CAL. However, the large standard deviation rendered the differences as not significant. The

variability may be due to varying amounts of drain fluid produced and limited freshness of samples, which are issues not easy to solve. However, a more personalized approach can be achieved through the development of a prognostic model, determining whether repeated measurements of a specific biomarker, in this study LBP, can ultimately provide a better understanding of disease progression. Development of this prognostic model with the factors to be included should be subject for future research.

Prophylactic drainage (PD), as performed on patients included in the APPEAL-study, aims to evacuate wound fluid and blood collections from the surgical site to prevent infectious complications and to detect AL by fecal or purulent discharge ³⁶⁻³⁸. To date, the use of prophylactic drainage remains controversial. Several level 1 studies have shown that PD does not have a beneficial or a detrimental effect on the incidence of CAL and on the morbidity afterwards ³⁹⁻⁴¹. A prospective study concerning pelvic anastomosis showed a higher leakage rate after routine irrigation-suction drainage in elective anterior resection ⁴². A retrospective study however showed PD and the use of a defunctioning stoma to be beneficial in terms of reoperation rates as a result of anastomotic leakage after TME ⁴³. Despite this controversy surrounding the necessity to drain, PD remains common practice, particularly after rectal surgery due to higher leakage rates ⁴⁴. In addition, the outcome measures used in these studies consist of leakage rates, hospital stay, radiological anastomotic leakage, infectious complications and patient comfort. In the APPEAL-study however, we focused on the diagnostic capacity of the drain. Since our results suggest drain fluid analysis has diagnostic value for CAL, the drain's diagnostic importance has increased tremendously. Therefore, considering the low complication rate of PD, it should be placed routinely during surgery with colorectal anastomosis to allow collection of drain fluid.

Potential Prevention Strategies

To date, no ways of preventing CAL exist. However, a vast body of evidence exists concerning the evaluation of potential prevention strategies in animal experimental setting. In the majority of studies potential sealants are studied, mostly in a rat model ^{45, 46}. This model

allows determination of anastomotic bursting pressures (ABP) and hydroxyproline concentrations as primary outcome measures. Although these outcome measures provide useful information on the healing of the anastomosis, it does not provide information on anastomotic leakage. Leakage rates of these studies are reported but vary greatly between 0 %⁴⁷ and 40 %⁴⁸, occur after different interventions, and reproducibility has never been tested. This could explain why very few animal experimental results find their way into the clinical setting. Therefore a mouse model of anastomotic leakage caused by constructing an insufficient anastomosis with only five sutures was developed. Anastomotic leakage can be induced with a reproducible incidence of approximately 40 % and is suited for testing of potential anastomotic sealants. The only drawback is the size of the mice, i.e. around 30 grams, which requires technical skill and a microscope. The model is demonstrated in a video available through following link: <http://www.youtube.com/watch?v=BOKTIungr0A>.

So far six sealants have been tested in this model (Evicel, Omnex, VascuSeal, PleuraSeal, BioGlue, Colle Chirurgicale Cardial)⁵⁶. Leakage rates were around 40 % - 50 % and were not significantly smaller in the group treated with a sealant. More standardized research in this area is unequivocal.

Future perspectives

When restoring continuity after colorectal surgery the most important question is whether to protect the anastomosis by constructing a deviating stoma or not. To do so routinely is not desirable due to the psychological impact on the patient and the morbidity that comes with closure⁴⁹⁻⁵¹. Consequently we have to be able to identify high risk patients so a diverting stoma can be selectively constructed. Therefore we need to know all risk factors for CAL. We have shown in this thesis that not all risk factors for CAL are known. The newly found risk factors “after hours surgery” and atherosclerosis should be confirmed in future studies, but also other potential risk factors like renal insufficiency and the amount of intraabdominal fat should be studied. The latter could be a better predictor for CAL than BMI and can be accurately determined on CT-scan^{52,53}. In addition, since CAL is a multifactorial problem,

future studies should focus on combinations of risk factors to see whether high risk patients can be identified better by means of combinations of risk factors instead of just an individual factor. Furthermore, operative technique and its impact on anastomotic blood perfusion and viability needs to be studied extensively. We have shown that anastomotic blood flow recovers better after low ligation of IMA, however our series was too small to allow analysis of the impact on CAL. Future studies on this matter should not only include more patients but should also aim to study anastomotic viability postoperatively. A wireless perianastomotic oxygen sensor would allow detection of ischemia and potentially CAL in real time and bedside. First studies towards the development of such a device have been performed^{54, 55}.

As mentioned in this thesis we have developed and validated a screenings method for CAL, being a RT-PCR for *E. faecalis*. This technique is objective, affordable and easy to implement in hospitals. Future studies should aim to confirm these data and to determine sensitivity and specificity of additional CT-scans and/or contrast enemas performed in case of positive testing. An additional challenge might be the identification of subclinical leaks since they are most likely responsible for the substantial number of false positives with RT-PCR screening. Since LBP is a mediator in the inflammatory response to viable gram-negative bacteria, it could be of value in determining which of the RT-PCR positive patients is in fact false positive.

Animal experimental research remains important in CAL research, particularly in the search for an anastomotic sealant and a means to improve anastomotic healing. The model described in this thesis is suited to study potential sealants. Several have been tested in this model already, however, none of them was shown to be a feasible sealant⁵⁶.

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Samenvatting

Naadlekkage (NL) van de anastomose is de belangrijkste complicatie na colorectale chirurgie. Zoals beschreven in hoofdstuk 1 treedt deze complicatie op in 8.7 % van de patienten die colorectale chirurgie ondergaan. De mortaliteit bedraagt 14 %, significant hoger dan de patienten die geen NL ontwikkelen. Daarnaast is de opnameduur langer: 47 in plaats van 14 dagen. Gezien jaarlijks in Nederland ongeveer 10000 mensen colorectale chirurgie ondergaan, moet NL dus als een belangrijk probleem beschouwd worden waarmee rekening gehouden moet worden in de preoperatieve, peroperatieve en postoperatieve fase.

Preoperatieve fase

In de preoperatieve fase kan het risico op NL ingeschat worden aan de hand van de aanwezigheid of afwezigheid van risicofactoren. Tot de potentiële risicofactoren behoren diverticulose/-itis, resectie van het rectum, urgente ingrepen, roken, body mass index (BMI), geslacht, gebruik van corticosteroiden, radio- en/of chemotherapie, American Society of Anesthesiologists (ASA) score, voorgeschiedenis van cardiale en/of vasculaire ziekte, verwachte operatieduur, gebruik van non-steroidal anti-inflammatoire drugs (NSAID). Naast studies die de risicofactoren bevestigen zijn er ook een groot aantal studies die juist het tegendeel aantonen. Om deze reden werden een aantal nieuwe potentiële risicofactoren bestudeerd in deze thesis.

In Hoofdstuk 1 wordt een retrospectieve studie beschreven van de patiënten die colorectale chirurgie in een groot academisch ziekenhuis (Erasmus MC, Rotterdam) ondergingen. Een BMI groter dan 30 kg/m² en een ingreep, verricht tijdens diensturen, bleken onafhankelijke risicofactoren te zijn voor NL.

Genezing van een anastomose gaat gepaard met een verhoogde metabole activiteit en verhoogde lokale zuurstofnood. Atherosclerose is een bekende risicofactor voor ischemie en in de literatuur is reeds gesuggereerd dat het ook een risicofactor voor NL kan zijn. In Hoofdstuk 2 wordt de mate van atherosclerose gekwantificeerd met een nieuwe techniek. Met behulp van de “Siemens Calcium Score” – software werd de mate van atherosclerose bepaald als calcium score, calcium volume en calcium massa. Het bleek dat

macroangiopathie, i.e. de calcium score, massa en volume in de beide aa. iliacaes communes een onafhankelijke risicofactor is voor NL.

Zoals reeds eerder vermeld wordt het gebruik van corticosteroiden ook beschreven als mogelijke risicofactor, maar de gepubliceerde resultaten zijn tegenstrijdig. Dit komt wellicht doordat meeste studies retrospectief waren met ruime inclusie criteria en voornamelijk gericht waren op patiënten met de ziekte van Crohn. Daarnaast werd als uitkomstmaat meestal algemene postoperatieve complicaties gebruikt en werd niet specifiek naar NL gekeken. In hoofdstuk 3 wordt de eerste prospectieve studie beschreven waaruit blijkt dat perioperatief gebruik van corticosteroiden gepaard gaat met een verhoogd risico op NL.

Peroperatieve fase

Een goede bloedvoorziening van de anastomose is essentieel voor een goede genezing. Daarom is het belangrijk tijdens de operatie de vaten van het colon te identificeren zodat bloedvoorziening van de anastomose zeker gespaard blijft. Hierbij wordt vaak de arcade van Riolan, een verbinding tussen de a. mesenterica superior (AMS) en a. mesenterica inferior (AMI), genoemd. In Hoofdstuk 4 wordt een literatuurstudie naar dit eponym beschreven. Het blijkt een verwarrende term door de tegenstrijdige resultaten van anastomische studies, de grote interindividuele variabiliteit en het gebrek aan publicaties hieromtrent door Jean Riolan zelf. Daarom wordt in dit hoofdstuk voorgesteld het eponym te verlaten en de vasculatuur van het linker colon te beschrijven alleen aan de hand van termen gebruikt in de *Terminologia Anatomica*, t.w. de a. marginalis (AM), a. colica sinistra (ACS) en zijn opstijgende tak. Meestal volgt de AM het colon, maar soms is de arterie incompleet ter hoogte van de flexura lienalis waardoor de bloedvoorziening van de proximale liss van de anastomose onvoldoende is. Daarom is het belangrijk de terminale bifurcatie van de opstijgende tak van de ACS te respecteren, zodat een mogelijks insufficiënte AM ter hoogte van de flexura lienalis (Griffiths' critical point) overbrugd wordt.

In de colorectale carcinoomchirurgie zijn er momenteel twee geaccepteerde benaderingen ten aanzien van het niveau van de vasculaire ligatuur. Bij een zogenaamde "High Tie" (HT)

wordt de AMI ter hoogte van de origo geligeerd waardoor de anastomose alleen door de AM wordt bevoeid. Bij een Low Tie (LT), waarbij de arterie wordt na aftakking van de ACS wordt geligeerd, wordt de anastomose bevoeid zowel door de AM als door de ACS en zijn opstijgende tak. Er zijn meerdere publicaties waaruit blijkt dat na HT de bloedvoorziening van de anastomose verminderd is, maar of dat ook geldt voor LT is onbekend.

In Hoofdstuk 5 wordt de studie beschreven waarbij de twee technieken met elkaar worden vergeleken, waaruit blijkt dat de bloedvoorziening van de anastomose na LT beter is dan na HT. De bloedvoorziening na LT blijkt zelfs beter dan voor LT, wat reactieve hyperaemie suggereert.

Postoperatieve fase

Laattijdige diagnose van NL gaat gepaard met hogere mortaliteit waardoor een vroegtijdige diagnose essentieel is. Tegenwoordig wordt voornamelijk nog gelet op de kliniek en het bloedonderzoek. Dit beleid is subjectief en aspecifiek. Om de diagnose vroegtijdig te stellen is er behoefte aan een objectieve screeningsmethode. Drainvocht, afkomstig uit een peroperatief geplaatste drain zou voor screening kunnen dienen. Hoofdstuk 6 beschrijft een literatuur studie naar alle reeds gepubliceerde potentieële biomarkers voor NL in drainvocht. Eiwitten zoals IL-1 (interleukin – 1), IL-6, IL-10, TNF- α , MMP-1, MMP-2 and MMP-9 worden in verhoogde concentratie gedetecteerd in geval van NL. Deze potentieële biomarkers zijn echter vluchtig, duur en de variabiliteit in de resultaten is groot. Daarom is er gezocht naar een sneller, sensitiever en goedkoper alternatief in de vorm van “Real-Time Polymerase Chain Reaction” (RT-PCR) screening. Er werd daarbij aangenomen dat in geval van NL het aantal bacteriën in het drainvocht toeneemt. In Hoofdstuk 7 wordt de ontwikkeling beschreven van de RT-PCR test voor de detectie van *E. coli* en *E. faecalis* alsook de validatie met behulp van kweken, i.e. de gouden standaard. Vervolgens is onderzocht of RT-PCR voor *E. coli* en/of *E. faecalis* kan dienen als screeningsmethode voor NL (Hoofdstuk 8). Hieruit blijkt RT-PCR voor *E. faecalis* het meest geschikt als screeningsmethode.

In Hoofdstuk 9 wordt de studie naar acute fase eiwitten als potentieële biomarker voor NL beschreven. De concentraties van C-reactief proteïne (CRP), Lipopolysacharide Bindend Proteïne (LBP) en Procalcitonine (PCT) werden in drainvocht bepaald en vergeleken tussen patienten met en zonder NL. Een toenemende concentratie LBP ging gepaard met een verhoogde kans op NL. De grote variabiliteit in de bepaalde concentraties liet geen eenvoudige bepaling van grenswaarden toe. Derhalve wordt voorgesteld een prognostisch model te ontwikkelen waar de factor LBP in betrokken moet worden.

Dierexperimentele studie

De beste behandeling voor NL is preventie. Veel dierexperimentele studies zijn reeds verricht waarin de preventieve capaciteit van verschillende “sealants” werd getest. Helaas worden de bevindingen niet naar de kliniek geëxtrapoleerd. Een van de oorzaken hiervoor is gelegen in het gebruikte model, dat een model is voor onderzoek van naadgenezing en niet van naadlekkage. Daarom werd een nieuw dierexperimenteel model ontwikkeld zoals beschreven in Hoofdstuk 10.

Publications

N. Komen, J.C. Slieker, P. Willemsen, G. Mannaerts, P. Pattyn, T. Karsten, H. de Wilt, E. van der Harst, Y.B. de Rijke, M. Murawska, J. Jeekel, J.F. Lange. *Acute phase proteins in drain fluid: a new screening tool for colorectal anastomotic leakage ? APPEAL-study: Analysis of Parameters Predictive for Evident Anastomotic Leakage*. Accepted for publication American Journal of Surgery 2014

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PhD Portfolio

| | |
|-----------------------|----------------------|
| Name PhD student | N.A.P. Komen |
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| PhD period | 2005 - 2013 |
| Promotor | Prof. dr. J.F. Lange |
| Copromotor | Prof. dr. J. Jeekel |

| <u>PhD training</u> | <u>Year</u> | <u>ECTS</u> |
|--|-------------|-------------|
| Good Clinical Practice | 2007 | 3 |
| Article 9, certificate required for animal experimental work | 2005 | 3 |
| National Conferences | | |
| Dutch Taskforce on Anastomotic Leakage (oral presentation) | 2006 | 1 |
| Nederlandse Vereniging voor Heelkunde (5 oral + 1 poster presentations) | 2007-2013 | 5 |
| SEOHS (poster presentation) | 2007 | 1 |
| International Conferences | | |
| Congress of ISDS (oral presentation) | 2006 | 1 |
| European Society for Surgical Research (4 oral presentations) | 2007-2008 | 4 |
| Belgian Surgical Week (4 oral presentations) | 2009-2010 | 4 |
| European Society for Coloproctology (oral presentation) | 2013 | 1 |
| Belgian Section of Abdominal Wall Surgery (oral presentation) | 2013 | 1 |
| <u>Teaching</u> | | |
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| Coordinator surgical teaching OR – nurses and anaesthesiology nurses | 2007-2008 | |
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Curriculum vitae

Nicolaas (Niels) Antonius Petrus Komen was born 19th of August of 1979 in Oisterwijk, the Netherlands. After graduation at the Jeroen Bosch College, 's-Hertogenbosch, he started his medical studies in 1997 at the University of Antwerp. In 2003 he did his clinical rotations that brought him for three months to Greece and two months to the Republic of South Africa. After graduating in 2004 he worked at the department of neurosurgery of the Erasmus MC, Rotterdam, for one year (Prof. C. Avezaat). In 2005 he started to work as a researcher for the REPAIR-group, lead by Prof. J.F. Lange and Prof. J. Jeekel, which resulted in this thesis. In 2008 he started his surgical training at the ZNA Middelheim Hospital Antwerp, Belgium (Dr. M. Vanderveken). After his third year of training at University Hospital of Antwerp, Belgium (Prof. dr. W. Vaneerdeweg) he continued his training at the Sint-Augustinus Hospital in Wilrijk, Belgium (Dr. M. Huyghe). In 2014 he will finish his surgical training at the University Hospital of Antwerp, Belgium (Prof. dr. G. Hubens). Niels is living in Antwerp with Marie and their daughter Nola.