Assessing ecological sensitivities of marine assets to oil spill by means of expert knowledge

Janet M Carey[1], Sabine Knapp*[2], Paul Irving*[3],

Econometric Institute Report 2014-13

Date: 29th July 2014

Abstract

Existing methodologies to assess risk due to vessel traffic often do not account for damages to marine assets in case of oil or chemical spills from ships. While some socio-economic damages can be quantified in monetary terms, expert knowledge is often the only way to assess potential damages to the marine ecology. The use of expert knowledge introduces a source of uncertainty. We propose a method which minimizes recognized flaws in subjective assessments by eliciting sensitivity ratings from multiple assessors and recognizing their differences of opinion as a source of uncertainty. We also explore various scoring options to reflect overall expert opinions. We develop and apply the methodology to the Victorian coastline in Australia and believe that improved assessment can assist policy makers of any maritime nation to make better informed decisions.

Keywords: expert knowledge, environmental sensitivities, oil pollution, uncertainty, Kendall's coefficient of concordance

[1] University of Melbourne, School of Botany, VIC 3010, email: janetmc@unimelb.edu.au
[2] Econometric Institute, Erasmus University Rotterdam, PO Box 1738, 3000 DR, Rotterdam, NL, email: knapp@ese.eur.nl;
[3] Australian Maritime Safety Authority, 82 Northbourne Avenue, Braddon, ACT 2612, email: paul.irving@amsa.gov.au
*) Disclaimer: The views expressed in this article represent those of the authors and do not necessarily represent those of the Australian Maritime Safety Authority (AMSA).

1. Introduction

Most maritime administrations or regulatory bodies at national or international level face challenges to assess and estimate potential harm due to ship activities such as oil spills because of the complexity in accounting for all parameters that can influence risk. Total risk exposure for a coastal or port state can best be divided into various risk layers such as ship specific risk, traffic densities and location specific physical criteria such as wind, waves and currents or other geographical features (Knapp, 2013). In theory, each maritime administration has several risk control options (RCO) at its disposal such as for instance vessel traffic services, pilotage, under keel clearance, emergency response activities to mention a few. These RCO's are employed to mitigate risk proactively. It is important to consider that there are various endpoints for risk exposure such as the expected number of incidents given a spatial region or potential damages; the latter are more difficult to quantify and rely mostly on the elicitation of expert knowledge. In this article, we are interested in quantifying parameters associated with consequences such as ecological and socio-economic sensitivities by recognizing their underlying values.

Oil spill risk assessments for coastal waters typically include consideration of shoreline types (e.g. exposed rocky shores, sheltered muddy embayments) following a categorisation developed by NOAA (Petersen *et al*. 2002) from the Vulnerability Index of Gundlach & Hayes (1978). The NOAA ESI provides a ranking of the sensitivity of shoreline types based on physical characteristics of the location, persistence of oil and ease of clean-up. This shoreline-based approach is now widely accepted (IPIECA/IMO/OGP 2012) although it has been suggested that shoreline ranking should not form the sole basis for an environmental sensitivity assessment (AMSA 2013a,b). NOAA-style ESI maps also indicate the locations of biological and human-use resources (Petersen *et al*. 2002), and other assessments considering fate of oil on different types of shoreline typically also take into account other aspects of environmental sensitivity such as species life cycles or migration routes (e.g. DNV 2011; COWI 2012).

Ecological understanding of shorelines and shallow water environments is often general in nature, and in contrast to social or economic resources, natural assets of purely ecological value are frequently not quantified (Poore 1995, Ponder et al., 2002, Carey et al. 2007, IMO,

2013). This means that if the ecological value of such assets is to be considered when assessing the possible consequences of an oil spill, it must either be limited to formally recognised assets such as listed species or protected areas, or be assessed subjectively.

Basing assessments on subjective judgement, even on that of relevant experts, brings its own difficulties. Subjective judgement is known to be affected by the personal experience and beliefs of individual assessors (Pidgeon *et al*. 1992), by cultural differences in the perception of risk (Rohrmann 1994), and by cognitive biases such as framing effects (Kahneman & Tversky 1984), judgement bias (Fischhoff *et al*. 1977) and anchoring (the tendency to be influenced by initial estimates; Tversky & Kahneman 1974). Subjective judgement is a recognised form of epistemic uncertainty (Regan *et al*. 2002), that is, uncertainty that "stems from a lack of data, understanding and knowledge about the world" (Hayes 2011).

It is essential that risk assessments incorporate uncertainty to minimise the chance of unwelcomed 'surprises' in the future. Strategies for deriving the greatest benefit from a subjective assessment include: 1) involving a group of assessors rather than relying on a single individual (SA/SNZ 2004), 2) allowing assessors the option of assigning a band of sensitivity ratings (i.e. an interval) rather than being constrained to a single rating (Hayes 2011), 3) recognising any differences of opinion among assessors and incorporating such differences in the overall assessment and 4) recording the discussions of differences of opinion to ensure clarity and transparency in the assessment process, and to inform any management actions based on the assessment.

This article present an approach based on a pilot study performed in cooperation with the Australian Maritime Safety Authority (AMSA) that attempts to address the issue of ecological value while applying recognized methods of dealing with the subjectivity of expert judgment. We concentrated on assessing ecological (i.e. habitats and species) sensitivities and the aggregation of sensitivity ratings. Our assessment process recognized that ecological importance may encompass assets other than those formally listed, and that ecological 'value' should be taken into account even if it cannot be defined in purely monetary terms. Accordingly, we used the National Oceanic and Atmospheric Administration (NOAA) shoreline classification (Petersen *et al*. 2002) and a similar classification of marine biota as surrogates for the recognized impacts of oil spills on habitats and biota, in conjunction with a

subjective measure of ecological value. We feel that the developed methodology can enhances risk assessment methodologies at the local and international level such as the Formal Safety Assessment (FSA) Methodology developed by the International Maritime Organization (IMO) where ecological damages are currently not considered. The latest update to the methodology accounts for oil spill clean-up costs (IMO, 2013) based on historical data but not for ecological values.

2. Material and methods

Our case study is based on a pilot study performed in cooperation with the Australian Maritime Safety Authority (AMSA) and was an update of an oil spill risk assessment conducted in Victoria, Australia for the Victorian Department of Environment and Primary Industries (DEPI) by Navigatus Consulting Ltd. The previous assessment (Navigatus, 2011) considered sensitivities for five resource categories (habitats, species, cultural, economic and social) across 66 coastal cells each of 20 km shoreline length given in Appendix A. Our study re-evaluated ecological sensitivities only, encompassing the two Navigatus categories of habitats and threatened/iconic species. Our assessment differs from that of Navigatus by allowing local experts in marine ecology to directly assess sensitivity and by explicitly incorporating uncertainty in our sensitivity ratings.

The results are based on two workshops held in June and July 2013 where over 30 marine scientists, agency staff and others with ecological expertise and/or practical experience in Victorian shallow coastal environments (e.g. established eco-tourism operators) were invited to participate. A total of 14 experts attended one or both workshops. To inform discussion during the workshops, various GIS-based resources were compiled and made available on the days, including the Victorian coastal habitat layers of the Oil Spill Response Atlas.

2.1. Bio-physical attributes and ecological values
Due to time constraints, it was not possible to formally rate every shoreline type or species within each of the 66 cells along the coastline. The assessment process followed various stages such as the identification of criteria to assess sensitivity, the identification of key shoreline attributes and biota followed by the rating process. A single coastal cell could be rated for more than one bio-physical attribute (e.g. exposed rock platform and exposed sandy

beach) when deemed appropriate by the experts. For the purposes of assigning ratings, ecological sensitivity was broken down into two components: bio-physical attributes and ecological value.

Bio-physical attributes: This component broadly followed the shoreline types of the ESI (NOAA 2010), but types were limited to those present on the Victorian coastline (Table 1). The shoreline types provided a useful starting point because the rank order of sensitivity reflects much of the existing knowledge about the behaviour of oil and its fate and effects in coastal habitats. Shoreline type was in effect, used as a surrogate for the recognised impacts of oil spills on habitats. However, during the first workshop it became evident that some provision should be made in the ranking process for cases where an important biological attribute could not be readily aligned with an ESI shoreline type (e.g. migrating cetaceans). Accordingly, an alternative to specify a habitat in biological terms (e.g. kelp beds, seagrass beds) or to focus on a specific biotic group (e.g. shorebirds and seabirds) was provided for the second workshop.

Table 1: Qualitative categories of shoreline type or biotic category and ecological value

Physical characteristics	Biological characteristics	Ecological Value
Mangrove/Salt Marsh	Mammals	Very high
Sheltered flats	Shore/Seabirds	High
Sheltered rocky		Moderate
Exposed tidal flats*		Low
Gravel/riprap	Macroalgae/Seagrasses	Very low
Mixed beach		
Coarse beach	Invertebrates/Fish	
Fin/medium beach		
Exposed platforms*	Plankton	
Exposed cliffs*		

* i.e. exposed to wave action

To avoid 'double-dipping' in cases where an ESI shoreline type perfectly matched a biologically-defined habitat type (e.g. salt marsh, mangrove), it was required that either the biological or the physical scale used. The two are thus alternative scales. They are also independent of one another; i.e. a common rank score does not imply a necessary association between the physical and biotic elements, simply that they occupy similar ranks within their own scales.

Ecological value: We strongly believe that basing ecological value solely on species or habitats that have been formally recognised under government legislation or international agreements is an over-simplification, and that provision should be made for more complex or subtle ways in which biota might be valuable to the health or viability of an ecosystem (e.g. keystone species, larval supply, species aggregations). For this reason, ecological value was included as a component of ecological sensitivity that could be assigned a rating in its own right and thus has a direct influence on the final sensitivity rating, rather being relegated to simple listing and a mark on a map. Ecological value was rated on a qualitative five-point scale of ecological value (Very Low, Low, Moderate, High, Very High) (Table 1).

Because the concept of ecological value might be interpreted in various ways, it was conceivable that assessors might apply different criteria when assessing ecological importance or value and thus introduce linguistic uncertainty into the process (Regan *et al.* 2002). To minimise any differences of opinion based solely on differing understanding of what constituted ecological value, we asked participants to first consider criteria which might be applied when assessing value. The following lists were generated for the highest and lowest categories without reference to any existing checklists or reports:

- criteria for **Very High** ecological value: rarity/uniqueness*, nursery area*, species aggregations*, protected area* (e.g. MPA, Ramsar) or species* (e.g. *EPBC Act 1999*, *FFG Act 1988*), high primary productivity*, high biodiversity*, shoreline protection
- criteria for **Very Low** ecological value: highly modified or degraded system (e.g. ports, some metropolitan reefs), high redundancy, resistant to oil

It was notable that those criteria marked with an asterisk correspond to IUCN (International Union for Conservation of Nature) criteria for Ecologically or Biologically Significant Areas (Ardron *et al.* 2009), confirming that our group of expert assessors had an *a priori* understanding of factors generally associated with ecological importance or value. Participants were not constrained to choose a single rating for ecological value, but were free to instead nominate upper and lower bounds (e.g. low to moderate) if they wished to convey a level of uncertainty in their ratings.

2.2. Rating and scoring options

All ratings were recorded in a spreadsheet in which qualitative ecological value ratings were converted to numeric scores for the purpose of combining with shoreline type or biotic category. Either the biological or the physical alternative was set to a default value of 1 to avoid 'double-dipping'. Such conversion to ratio scales also serves as a form of quality control for consistency in rank ordering of the qualitative scales (Hayes 2011). Ecological sensitivity (ES) was then calculated as the product of shoreline type or biotic category, and ecological value scores (EV), using interval arithmetic (Young 1931, Moore *et al.* 2009, Hayes 2011) to propagate any uncertainties:

$$[ES_{lower}, ES_{upper}] = (Shoreline\ or\ Biota)\ x\ [EV_{lower}, EV_{upper}]$$

Sensitivity scores were then converted back to categorical ratings for display with overall ratings generated by combining all ratings. Where more than one shoreline type or biotic category was assessed within a coastal cell, overall ratings were further combined to produce a single rating for each coastal cell. Intervals were used to propagate any uncertainties within the individual ratings in envelope fashion (Hayes 2011) as the lowest of all Lower bounds and the highest of all Upper bounds.

The conversion of qualitative ratings to numeric scores and back again provided an opportunity to explore different scoring options provided in Appendix B for both bio-physical attributes and ecological value. It should be noted that the scores have no absolute meaning, but are simply a tool for adjusting the relationships of the different categories and their products in much the same way as is routinely done with likelihood and consequence scores in conventional risk assessments (SA/SNZ, 2004).

Following the workshops, three variations on the initial scoring scheme were presented to find out which variation best matched their expectations for given combinations of shoreline type or biotic category combined with ecological value. Matrix B attempted a balanced approach in terms of the numbers of VL/L and H/VH cells. Matrix C aimed to avoid undue alarms and was thus 'low-end heavy', while Matrix D placed more slightly emphasis on ecological value than on the impacts of oils on different habitats and species. The experts were consulted in terms of their preference for the scoring options. Four experts expressed a preference for Matrix D with its emphasis on ecological value. Reasons cited include that matrix

representing a more precautionary approach than the other alternatives. Larger numbers of high value attributes were seen as appropriate for the Victorian coast which was noted as being in generally good or excellent condition, especially when compared to highly modified marine environments found in other parts of the world. In contrast, one expert preferred the low-end heavy Matrix C because it best reflected his views at the extreme ends of the value scale. He also noted that distinctions were harder to make in the middle of the scale. In a practical sense, Matrix C also had the advantage of not creating a situation where limited resources might be spread very thinly over more Very High sensitivity cells that might be the case using another matrix.

It was notable that matrix preferences corresponded to the affiliations of the responding experts. Those preferring the emphasis on ecological value (Matrix D) were all engaged in protected area management, while the remaining expert who opted for the low-end heavy matrix (Matrix C) was responsible for oil spill response coordination.

3. Results and Discussion

3.1. General summary and uncertainties

Of the 66 coastal cells along the Victorian coastline (Appendix A), more than one habitat or species group was assessed in 14 cases leading to a total of 85 cells/habitats/biota assessments. Results clearly showed the effect of allowing multiple experts to make their own assessment of ecological importance (Table 3).

Table 3: Summary of rating of ecological value by individual assessors

	Upper Bound (worst case)					
	Very	Low	Moderate	High	Very	Total No.
Count of Assessments	0	25	72	119	135	351
Percentage	0%	7%	21%	34%	38%	
	No. of rating categories spanned					
	1	2	3	4	5	Total No.
Count of Assessments	180	165	5	1	0	351
Percentage	51%	47%	1%	<1%	0%	

As such, our experts made 351 assessments of individual cells and the selected habitats or biota within each. In 49% of cases the experts took the opportunity to register their own

uncertainty by nominating a band of categories (e.g. Very Low to Moderate) rather than a single category. When the ratings of individual assessors were combined in a manner that propagated those uncertainties, 74% of coastal cells received overall ratings which spanned more than one category (Table 4). The uncertainty represented at the coastal cell level reflects both that of the individual assessor and any differences of opinion between assessors.

Table 4: Summary of ecological sensitivity at level of coastal cell

	Upper Bound (worst case)					
	Very	Low	Moderate	High	Very	Total No.
Count of Coastal Cells	3	14	20	8	21	66
Percentage	5%	21%	30%	12%	32%	
	No. of rating categories spanned					
	1	2	3	4	5	Total No.
Count of Coastal Cells	17	32	10	6	1	66
Percentage	26%	48%	15%	9%	2%	

Of the 66 coastal cells, in only 10 cases (15%) was there complete agreement both within and between assessors over ecological value, with no uncertainty about the specified ratings. In other words, individual assessors each nominated a single rating without the need for different upper and lower bounds, and all assessors were agreed on that single rating. In a further 15 cases (18%), individual assessors each gave upper and lower bounds, and all assessors applied the same bounds.

We tested for agreement among assessors in the rank order of their ratings by applying Kendall's coefficient of concordance (Kendall & Babington Smith 1939; Legendre 2005; IMO 2013) to the scores corresponding to the ecological value ratings (Table 5). Because the test does not allow for missing data, it was not possible to apply the test to the full data set. Therefore, two smaller subsets of data were generated by discarding some assessors and/or cells/habitats/biota.

Because of the natural ordering inherent in upper and lower bounds, the two types of bound were examined separately to avoid any artificial inflating of the level of agreement. Notwithstanding the low level of *complete* agreement noted above, there were significant levels of agreement in the *rank order* of ratings applied to the cells/habitats/species groups by our experts.

Table 5: Tests of concordance among assessors in the rank order of ecological sensitivity ratings.

Subset of data	Kendall's W	χ^2	df	probability
7 assessors x 12 cells/habitats/biota – Upper bounds	0.862	66.336	11	P < 0.001
7 assessors x 12 cells/habitats/biota – Lower bounds	0.857	65.955	11	P < 0.001
3 assessors x 66 cells/habitats/biota – Upper bounds	0.963	187.847	65	P < 0.001
3 assessors x 66 cells/habitats/biota – Lower bounds	0.971	189.246	65	P < 0.001

3.2. Visualization of results and comparisons

The results can be visualized in GIS format and Figures 1 to 4 provide maps of the Victorian coastline with the results based on the different scoring options which were explored and provided in Appendix B. The maps provide an indication of the level of uncertainty in the assessment for each cell. 1' indicates no uncertainty in the rating (i.e. upper and lower bounds spanned only a single rating), while '5' indicates a maximum difference between upper and lower bounds (i.e. bounds span 5 ratings). The chosen color of each cell represents the worst case scenario – that is the colour of the upper bound.

Differences between the scoring options are relatively few, with only 4 cells showing different upper bounds (Cells 1, 2, 23 and 38; see Appendix A for key to coastal cells). Not surprisingly, the low-end heavy option (Figure 3) produced over 20 Low or Very Low ratings compared to only 6 or 7 such ratings from the other two alternatives. However, ratings of High or Very High from the low-end heavy option matched the other options far more closely, with only 2 cells showing different upper bounds across the three options (Cells 23 and 25, both in Port Phillip Bay). The similarity among options with respect to the higher ratings is noteworthy in the light of one expert's concern about the allocation of resources over potentially larger numbers of cells of high concern.

Next, we compare our more refined results with the original outcome of the Navigatus project mentioned earlier where experts were not elicited and were habitats and species were treated as separate resources. We combined the two into one category and we did in a consistent manner by taking the higher of the two ratings for each cell. The outcome is presented in Figure 5 and compared against our results.
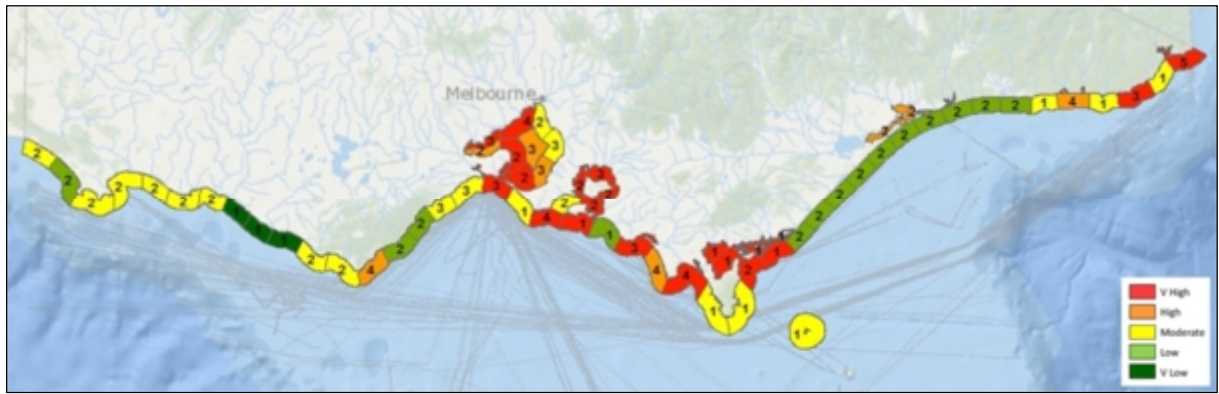
Fig 1: Ecological sensitivity ratings using the initial scoring scale (Matrix A)
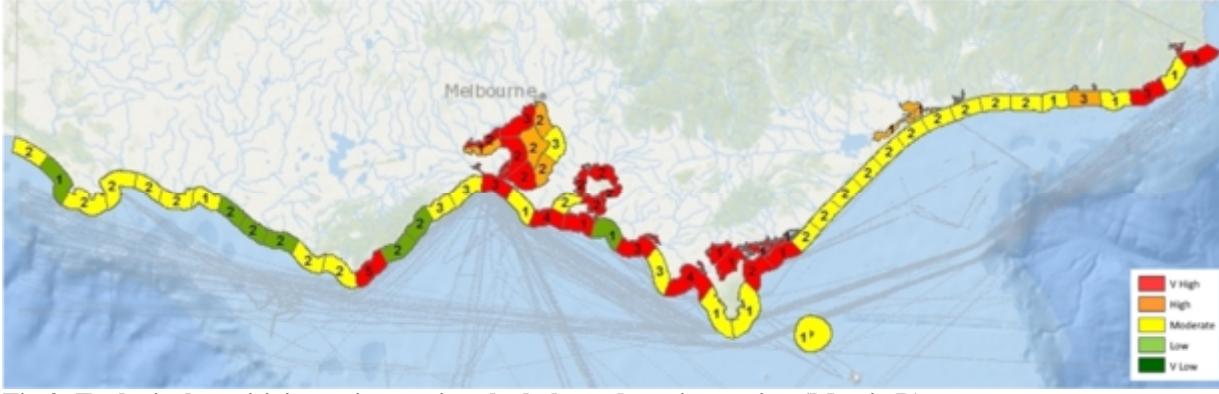

Fig 2: Ecological sensitivity ratings, using the balanced scoring option (Matrix B)
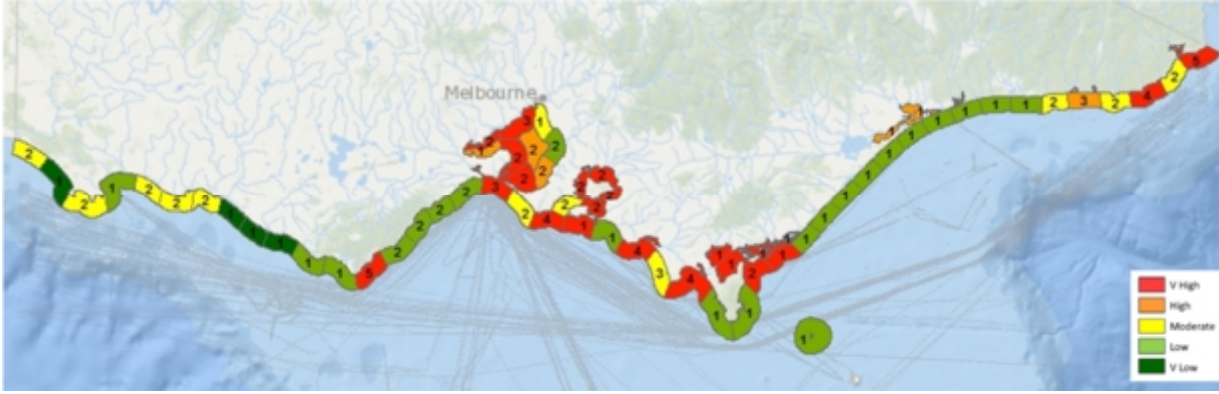

Fig 3: Ecological sensitivity ratings, using the low-end heavy option (Matrix C).
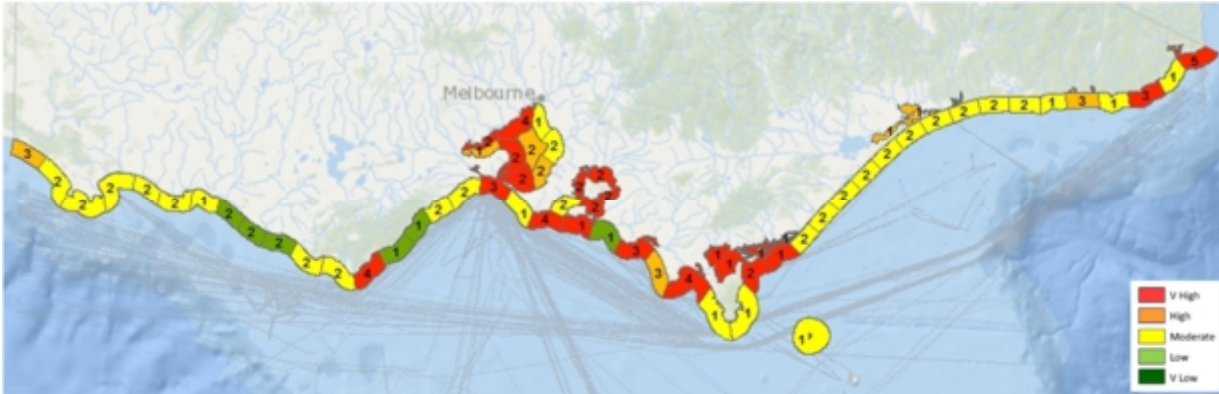

Fig 4: Ecological sensitivity ratings, using the value emphasis option (Matrix D)
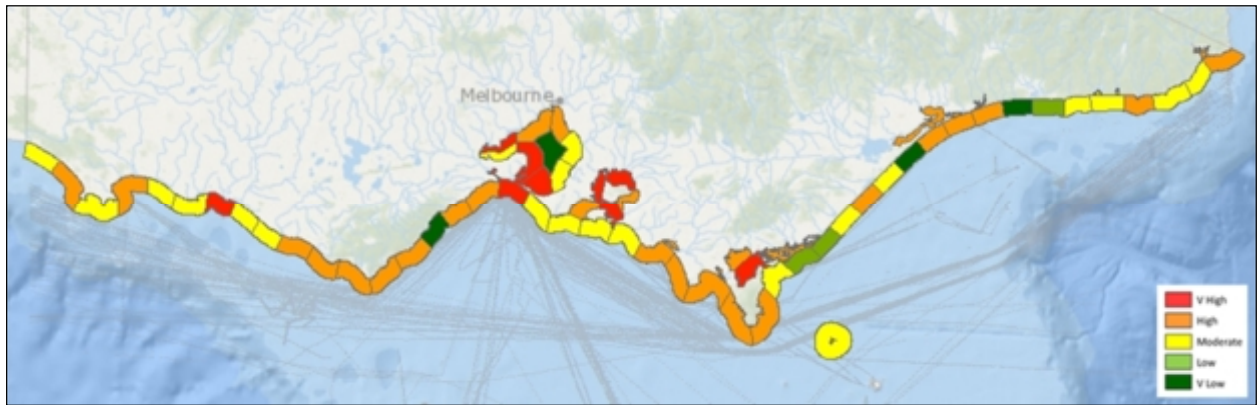
Fig. 5. Combined sensitivity ratings for the two Navigatus resource categories of habitats and species (based on data from Navigatus 2011).

Visual comparison with our results shows our bounded approach produced generally lower sensitivity ratings along the outer parts of the coast and high ratings in much of the central area including the bays and inlets. The presence of the Merri Marine Sanctuary rates highly under the Navigatus process, and the cell was similarly scored for ecological value in the present study. However, the exposed rocky platforms of the sanctuary are by their nature less sensitive to oil spills than some other habitats (e.g. sheltered tidal flats) and this factor combined with the high ecological value to produce an upper ecological sensitivity of only Moderate for the present study. A similar logic explains the abundance of Very High upper bounds in Victorian bays and inlets from the present assessment. Not only are the habitats sheltered and thus somewhat sensitive to any oil spill that may occur, their ecological sensitivity is boosted by the high ecological value placed on them because, for example, seagrasses are ecosystem engineers which stabilize the environment and increase productivity.

The greater frequency of Very High ratings is examined in a different form in Table 6. While overall there were more than twice as many Very High ratings in the present study than in the Navigatus project, it can be seen that in less than one third of cases (6 out of 21) was the Very High rating unequivocal (i.e. with lower and upper bounds identical). For the remainder, it was acknowledged that although a Very High rating was possible, some lower rating was also possible. The flow-on effects to overall environmental sensitivity including the other layers originally evaluated in the Navigatus project (economic, cultural, social) besides the ecological layer is also reflected in Table 6 which also qualifies those ratings by indicating greater uncertainty (i.e. lower bounds were all one or more levels lower than the matching upper bounds).

Table 6: Frequency of sensitivity ratings for coastal cells from the present study compared to Navigatus

Sensitivity Rating		Ecological			Overall (i.e. Environmental)		
		Current		Navigatus	Current		Navigatus
Upper	Interval	Freq.	Sum of Freqs.	Freq.	Freq.	Sum of Freqs.	Freq.
Very High	VH - VH	6	21	9	0	23	11
	H - VH	6			3		
	M - VH	5			14		
	L - VH	3			5		
	VL - VH	1			1		
High	H - H	0	8	29	0	16	34
	M - H	3			4		
	L - H	2			6		
	VL - H	3			6		
Moderate	M - M	7	20	21	5	27	21
	L - M	10			5		
	VL - M	3			17		
Low	L - L	4	14	3	0	0	0
	VL - L	10			0		
Very Low	VL - VL	3	3	4	0	0	0

Because our workshop spread over two days, with little overlap in assessors from one to the other, we re-assessed a small number of cells to roughly gauge what differences might arise in such situations. We chose two contrasting cells and found that while there were some differences between assessors, the overall ratings and scores were identical with two chosen cells (Discovery Bay and Port Phillip Bay). While the very small sample size does not permit a rigorous comparison of scores and ratings, it is evident that a change of personnel does not necessarily produce outcomes more divergent than would otherwise be the case.

The assessment benefitted from the interaction among experts during the course of the workshops, as individuals shared knowledge which then stimulated discussion or informed the assessments of others in the room. This information not only provides a useful resource for future updates of the assessment, it also provides transparency by making the reasoning behind the subjective judgments of the assessors available to interested parties.

3.4. Recommendations and future additions

The results of this pilot workshop confirm that expert assessors are unlikely to be in complete agreement over the subjective rating of ecological importance in coastal waters. Three key strategies to address the uncertainty inherent in subjective risk assessment are as follows: 1) engage with multiple experts to minimize the effects of individual cognitive biases, 2) employ

methods such as interval analysis to explicitly incorporate uncertainty into the assessment, rather than simply ignoring it and 3) allow for revision of ratings following discussion to resolve any language-based misunderstandings that may have artificially inflated uncertainty.

The incorporation of uncertainty should always be a priority where data is sparse and the assessment must rely on subjective judgment in order to proceed, regardless of the category of resources under consideration (i.e. social or economic as well as ecological). Options for representing the uncertainty associated with an ecological sensitivity rating to better visual effect in a GIS layer could be explored. Ideally, within any given rating category, the preferred option would make ratings with lower uncertainty more conspicuous on a map than those with higher uncertainty. In the future, the GIS layers could also be combined with other relevant information about the cell so that oil response services can have easy access to plan for emergencies if they arrive.

Depending on the length of coastline to be considered and the amount of background information available, it seems that two days is a more realistic timeframe for a workshop of this nature. For any future workshops, particularly if they occupy two days, attendance might be improved by offering some incentive for experts to forego their usual activities in order to participate. Additional time could also be utilized to alleviate any effects of 'group-think' (Janis 1982) by permitting a Delphi-style approach (Schmidt 1997) to the workshop where an initial rating of cells is carried out privately before the group discussion and possible individual revision of ratings. Finally, further development of criteria by which ecological value might be assessed is desirable to provide further guidance to experts when making their assessments.

4. Conclusions

The results of this pilot workshop confirm what is known from previous studies: that uncertainty pervades subjective risk assessments. In spite of this, many risk assessments, including oil spill sensitivity assessments, fail to consider uncertainty. When quantitative data are lacking and the only option is subjective judgement, there are relatively simple ways to incorporate uncertainty and thus produce a more 'honest' outcome. These include using multiple assessors and simple mathematical tools like interval analysis. Applying such

methods, we identified many instances of differences of opinion between assessors and also uncertainty within the assessments of individual assessors. Nonetheless, there was still a high level of agreement overall among our expert assessors, with their differences 'averaging out'.

Our approach produced generally lower ecological sensitivity ratings along the outer parts of the coast and higher ratings in much of central Victoria than the habitats and species component of the Navigatus project. This appeared to be a result of our ecological value ratings having a modifying effect on the relatively straightforward habitats and species sensitivities that formed one part of our own assessment and were the basis of the Navigatus project. Flow-on effects overall environmental sensitivity were also evident with more Very High ratings and fewer High ratings in our bounded approach than in the comparable Navigatus version. There was at least some uncertainty associated with all such ratings.

Alternative scoring options produced different sensitivity maps. While there were substantial differences at the lower end of the ecological sensitivity scale, ratings at the upper end of the scale were remarkably consistent across the three alternatives.

The developed methodology can enhances risk assessment methodologies at the local and international level such as the Formal Safety Assessment (FSA) Methodology developed by the International Maritime Organization (IMO) where ecological damages are currently not considered.
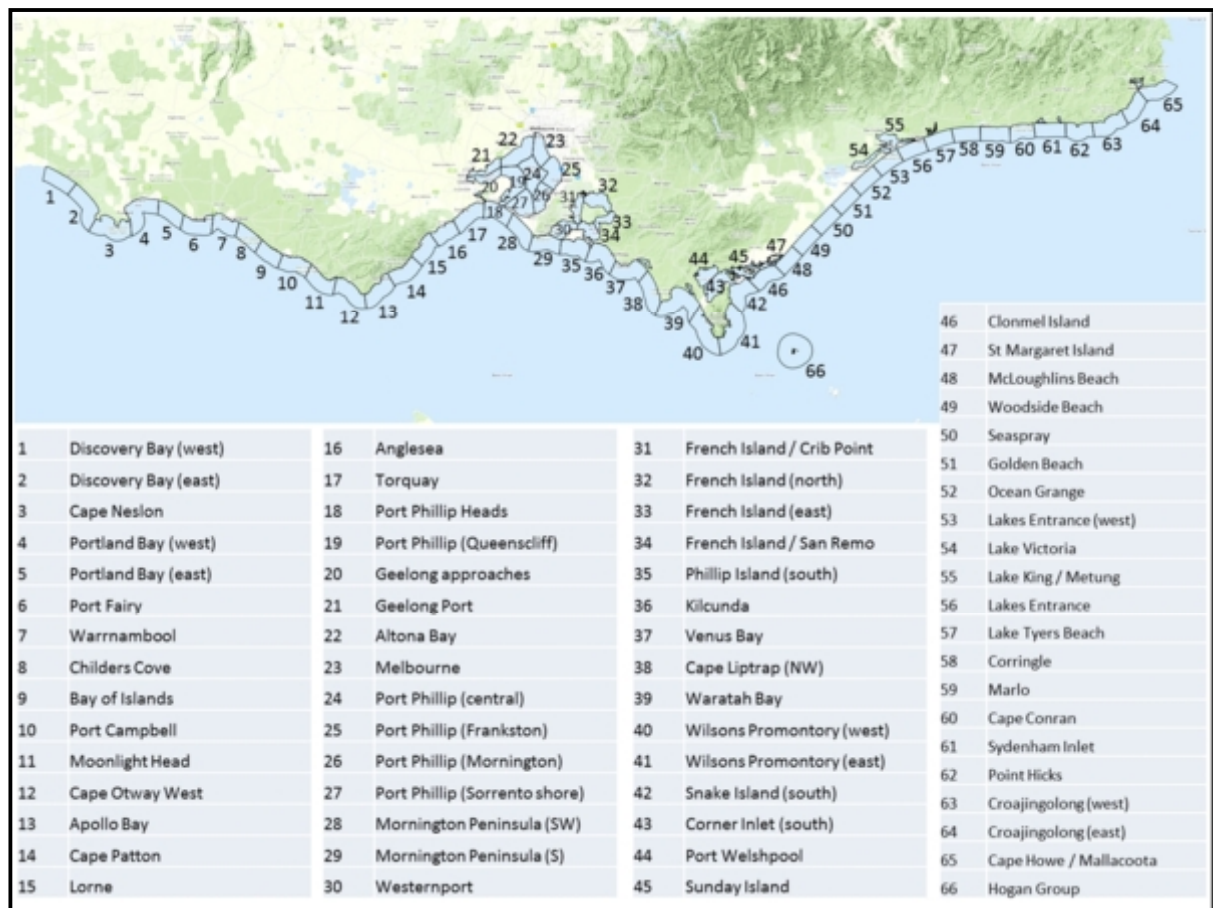
References

AMSA (2013a) *National Plan to Combat the Pollution of the Sea by Oil and Other Noxious and Hazardous Substances, Interim Technical Guideline for the Preparation of Marine Pollution Contingency Plans for Marine and Coastal Facilities*. Australian Maritime Safety Authority, Braddon, ACT.

AMSA. (2013b) *Environment and Scientific Coordinators Toolbox: Environmental Sensitivity Indices*. Australian Maritime Safety Authority, Braddon, ACT. <https://www.amsa.gov.au/Marine_Environment_Protection/National_plan/Environment_and_Scientific_Coordinators_Toolbox/Environmental_Sensitivity_Indices.asp> Accessed 20 May 2013.

Ardron, J., Dunn, D., Corrigan, C., Gjerde, K., Halpin, P., Rice, J., Vanden Berghe, E. & Vierros, M. (2009) *Defining ecologically or biologically significant areas in the open oceans and deep seas: Analysis, tools, resources and illustrations*. A background document for the CBD expert workshop on scientific and technical guidance on the use of biogeographic classification systems and identification of marine areas beyond national jurisdiction in need of protection, Ottawa, Canada, 29 September – 2 October 2009. <http://cmsdata.iucn.org/downloads/gobi_report_2009.pdf> Accessed 15 July 2013.

Carey J.M., Beilin, R., Boxshall, A., Burgman, M.A. & Flander, L. (2007), Risk-based approaches to deal with uncertainty in a data-poor system: stakeholder involvement in hazard identification for Marine National Parks and Marine Sanctuaries in Victoria, Australia, *Risk Analysis*, 27: 271-281

COWI. (2012) *Project on sub-regional risk of spill of oil and hazardous substances in the Baltic Sea (BRISK), Environmental vulnerability*. COWI A/S, Kongens Lyngby, Denmark. <http://www.brisk.helcom.fi/publications/en_GB/publications/> Accessed 11 February 2013.

DNV. (2011). *Assessment of the Risk of Pollution from Marine Oil Spills in Australian Ports and Waters. Appendix II – Environmental Sensitivity Indicators*. Report No. PP002916, Rev 5 by Det Norske Veritas for the Australian Maritime Safety Authority. Det Norske Veritas Ltd, London. <http://www.amsa.gov.au/forms-and-publications/environment/publications/Other-Reports/documents/DNVApp2.pdf> Accessed 17 June 2013.

Fischhoff, B., Slovic, P. & Lichtenstein, S. (1977) Knowing with certainty: the appropriateness of extreme confidence. *Journal of Experimental Psychology: Human Perception and Performance.* 3: 552-564.

Gundlach, E.R. & Hayes, M. (1978) Classification of coastal environments in terms of potential vulnerability to oil spill damage. *Marine Technology Society Journal*. 12(4): 18-27.

Hayes, K.R. (2011) *Uncertainty and uncertainty analysis methods*. ACERA Report Number: EP102467. Australian Centre of Excellence for Risk Analysis, Melbourne. http://www.acera.unimelb.edu.au/materials/core.html Accessed 21 August 2013.

IMO. (2013) *Revised Guidelines for Formal Safety Assessment (FS) for the use in the IMO Rule Making Process*. MSC-MEPC.2/Circ.12, 8th July 2013. International Maritime Organization, London. http://docs.imo.org/Category.aspx?cid=536 Accessed 8 December 2013.

IPIECA/IMO/OGP. (2012) *Sensitivity mapping for oil spill response*. OGP Report Number 477. International Association of Oil & Gas Producers, London, International Maritime Organization, London, and International Association of Oil & Gas Producers, London. <http://www.ogp.org.uk/pubs/477.pdf > Accessed 29 August 2013.

Janis, I. (1982). *Groupthink: Psychological studies of policy decisions and fiascos*. Houghton Mifflin, Boston.

Kahneman, D. & Tversky, A. (1984) Choices, values, and frames. *American Psychologist.* 39: 341-350.

Kendall, M.G. & Babington Smith, B. (1939) The problem of m rankings. *Annals of Mathematical Statistics*. 10: 275-287.

Knapp S (2013), An integrated integrated risk estimation methodology: Ship specific incident type risk, Econometric Institute Report 2013-11, http://repub.eur.nl/res/pub/39596/

Legendre, P. (2005) Species associations: the Kendall Coefficient of Concordance revisited. *Journal of Agricultural, Biological, and Environmental Statistics*. 10: 226-245.

Moore, R.E., Kearfott, R.B. & Cloud, M J. (2009). *Introduction to Interval Analysis*. Society for Industrial and Applied Mathematics, Philadelphia.

Navigatus. (2011) *Victorian Marine Pollution Risk Assessment 2011*. Report by Navigatus Consulting Ltd for the Victorian Department of Transport, DOT No. 293814, July 2011.

NOAA. (2010) *Characteristic Coastal Habitats: Choosing Spill Response Alternatives*. National Oceanic and Atmospheric Administration, National Ocean Service, Office of Response and Restoration, Hazardous Materials Response Division. National Oceanic and Atmospheric Administration, National Ocean Service, Office of Response and Restoration, Emergency Response Division. <http://response.restoration.noaa.gov/sites/default/files/Characteristic_Coastal_Habitats.pdf> Accessed 12 December 2013.

Petersen, J., Michel, J., Zengel, S., White, M., Lord, C. & Park, C. (2002) *Environmental Sensitivity Index Guidelines. Version 3.0.* NOAA Technical Memorandum NOS OR & R 11. Office of Response and Restoration, National Oceanic and Atmospheric Administration, Seattle, p. 192. Washington, USA. http://response.restoration.noaa.gov/sites/default/files/ESI_Guidelines.pdf Accessed 28 August 2013.

Pidgeon, N., Hood, C., Jones, D., Turner, B. & Gibson, R. (1992) Risk perception. In: *Risk: Analysis, Perception and Management*. Report of a Royal Society Study Group. The Royal Society, London, UK. Ch. 5.

Poore G. C. B. (1995). Biogeography and diversity of Australia's marine biota. In L. P. Zann & P. Kailola (Eds.), *State of the Marine Environment Report for Australi*a (pp. 75–84). Great Barrier Reef Marine Park Authority, Townsville, Australia.

Ponder, W., Hutchings, P., & Chapman, R. (2002). Overview of the Conservation of Australian Marine Invertebrates. A Report for Environment Australia. Australian Museum, Sydney, Australia. <http://www.amonline.net.au/invertebrates/marine overview/index.html> Accessed 7 November 2005.

Regan, H.M., Colyvan, M. & Burgman, M.A. (2002) A taxonomy and treatment of uncertainty for ecology and conservation biology. *Ecological Applications*. 12: 618–628.

Rohrmann, B. (1994) Risk perception of different societal groups: Australian findings and cross-national comparisons. *Australian Journal of Psychology*. 46: 150-163.

SA/SNZ (2004) *Risk Management* (AS/NZS 4360: 2004), Standards Australia International, Sydney.

R.C. Schmidt, R.C. (1997) Managing Delphi surveys using nonparametric statistical techniques. *Decision Sciences*. 28: 763-774.

Tversky, A. & Kahneman, D. (1974) Judgement under uncertainty: heuristics and biases. *Science.* 185: 1124-1131.

Young, R.C. (1931) The algebra of many-valued quantities. *Mathematische Annalen*. 104: 260-290.

Appendix A: Key to Coastal Cells

Source: Navigatus (2011)

1	Discovery Bay (west)	16	Anglesea	31	French Island / Crib Point
2	Discovery Bay (east)	17	Torquay	32	French Island (north)
3	Cape Neslon	18	Port Phillip Heads	33	French Island (east)
4	Portland Bay (west)	19	Port Phillip (Queenscliff)	34	French Island / San Remo
5	Portland Bay (east)	20	Geelong approaches	35	Phillip Island (south)
6	Port Fairy	21	Geelong Port	36	Kilcunda
7	Warrnambool	22	Altona Bay	37	Venus Bay
8	Childers Cove	23	Melbourne	38	Cape Liptrap (NW)
9	Bay of Islands	24	Port Phillip (central)	39	Waratah Bay
10	Port Campbell	25	Port Phillip (Frankston)	40	Wilsons Promontory (west)
11	Moonlight Head	26	Port Phillip (Mornington)	41	Wilsons Promontory (east)
12	Cape Otway West	27	Port Phillip (Sorrento shore)	42	Snake Island (south)
13	Apollo Bay	28	Mornington Peninsula (SW)	43	Corner Inlet (south)
14	Cape Patton	29	Mornington Peninsula (S)	44	Port Welshpool
15	Lorne	30	Westernport	45	Sunday Island

46	Clonmel Island
47	St Margaret Island
48	McLoughlins Beach
49	Woodside Beach
50	Seaspray
51	Golden Beach
52	Ocean Grange
53	Lakes Entrance (west)
54	Lake Victoria
55	Lake King / Metung
56	Lakes Entrance
57	Lake Tyers Beach
58	Corringle
59	Marlo
60	Cape Conran
61	Sydenham Inlet
62	Point Hicks
63	Croajingolong (west)
64	Croajingolong (east)
65	Cape Howe / Mallacoota
66	Hogan Group

Appendix B: Summary of scoring options explored

Physical Characteristics	OR	Biological Characteristics	Matrix A -Initial scores Ecological Value					Matrix B - Balanced Ecological Value				
			Very Low	Low	Moderate	High	Very High	Very Low	Low	Moderate	High	Very High
Mangrove/SaltMarsh		Mammals	VL	L	M	H	VH	M	M	H	H	VH
Sheltered flats		Shore/Seabirds	VL	L	M	H	VH	M	M	H	H	VH
Sheltered rocky			VL	L	M	H	VH	L	M	H	H	VH
Exposed tidal flat *			VL	L	M	H	VH	L	M	M	H	VH
Gravel/Riprap		Macroalgae/Seagrasses	VL	L	M	H	H	L	M	M	H	H
Mixed beach			VL	L	M	M	H	L	L	M	H	H
Coarse beach		Invertebrates/Fish	VL	L	L	M	H	L	L	M	M	H
Fine/Med beach			VL	VL	L	M	H	VL	L	M	M	M
Exposed platforms *		Plankton	VL	VL	L	M	M	VL	L	L	M	M
Exposed cliffs *			VL	VL	VL	L	M	VL	VL	L	L	M

* exposed to wave action

Physical Characteristics	OR	Biological Characteristics	Matrix C - low-end heavy Ecological Value					Matrix D - value emphasis Ecological Value				
			Very Low	Low	Moderate	High	Very High	Very Low	Low	Moderate	High	Very High
Mangrove/SaltMarsh		Mammals	L	M	H	H	VH	L	M	H	H	VH
Sheltered flats		Shore/Seabirds	L	M	H	H	VH	L	M	H	H	VH
Sheltered rocky			L	M	M	H	H	L	M	M	H	VH
Exposed tidal flat *			L	L	M	H	H	L	M	M	H	VH
Gravel/Riprap		Macroalgae/Seagrasses	L	L	M	M	H	L	M	M	H	VH
Mixed beach			VL	L	M	M	H	L	M	M	H	VH
Coarse beach		Invertebrates/Fish	VL	L	L	M	M	L	L	M	H	H
Fine/Med beach			VL	L	L	M	M	VL	L	M	M	H
Exposed platforms *		Plankton	VL	VL	L	L	M	VL	L	L	M	M
Exposed cliffs *			VL	VL	VL	L	L	VL	VL	L	M	M