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Prognostic Value of Pathological Characteristics of Colorectal Cancer

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The overall cure rate of colon cancer has not improved dramatically in the last decade, remaining at approximately 60% 5-year survival. The main reason for this lack of progress is that at the moment the primary tumour is resected, a significant proportion of the patients with seemingly localised disease already has (undetectable) micrometastases, mostly in the liver. The most important prognostic indicators have been extension of the tumour into the bowel wall and the presence of lymph node metastasis, as expressed in the Dukes classification. However, in the Dukes B and C categories, these parameters are poor predictors of final outcome. For improvement of the prognosis, in addition to earlier detection, more aggressive (adjuvant) treatment of high risk patients would be a rational strategy. This requires development of new therapeutic modalities, but also reliable stratification of patients according to high risk or low risk for recurrent disease. In recent years, many attempts have been made to improve the prediction of final outcome. Parameters studied include inflammatory response to the primary tumour, tumour cell growth fraction, tumour cell differentiation, genetic abnormalities and expression of genes involved in invasion and metastasis. Although some of these newer parameters have significant predictive value, in multivariant analyses, most appear to have limited independent value. Recent studies indicate that genetic abnormalities might be important new prognostic indicators. One of the most promising findings in this area is an allelic loss of chromosome 18q, which allows division of Dukes B patients into subgroups with low risk and high risk for recurrent disease.

Key words: colorectal cancer, pathology, prognosis, oncogenes, tumour suppressor genes, invasion, metastasis, proliferation, differentiation

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INTRODUCTION

IN THE clinical management of colorectal cancer, the pathologist plays a distinct role. During the diagnostic evaluation of a putative colorectal carcinoma patient, it is the pathologist who makes the final diagnosis, usually on an endoscopic biopsy specimen. This diagnosis will be confirmed through examination of the resection specimen. Of more importance than diagnostic confirmation is the determination of the extent of spread in the bowel wall and in regional lymph nodes. This information is indispensable for staging purposes. Staging is still mostly performed according to the Dukes classification, although the use of a TNM based staging system is becoming more popular. Tumour stage is the most important prognostic parameter. The predictive value of tumour stage, especially in the Dukes B and C categories (which correspond to stages II and III), is rather limited. Therefore, pathologists have explored a variety of possibilities to develop more detailed classification parameters, which would allow reliable prediction of tumour behaviour in the individual patient. Thus, in numerous studies, the prognostic significance of cancer cell differentiation, proliferative activity, expression of invasion and metastasis-related genes and genetic changes, including oncogene and tumour suppressor gene abnor-

malities, have been investigated. It is the purpose of this brief review to critically examine the significance of these new parameters for the clinical management of colorectal cancer.

CONVENTIONAL STAGING

It is generally recognised that tumour stage, as reflected for example in the Dukes classification, is one of the most powerful predictors of final outcome in colorectal cancer patients [1]. The overall 5-year survival rate of patients with colorectal cancer is approximately 60%. However, this differs greatly for the different stages (Table 1). For stage A (approximately 15% of patients) 5-year survival exceeds 90% and, conversely, for Dukes D (approximately 10% of patients) this figure is between 5 and 10%. For stage B, however, which constitutes approximately

Table 1. Dukes stage and survival

Stage	Proportion (%)	5-year survival (%)
A	15	>90
B	35	70
C	50	30
D	10	5-10

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35% of patients, 5-year survival is 70% and for Dukes C (about 50% of patients) this is approximately 30%. The lack of prognostic precision in stages B and C hampers stratification of patients into subgroups which might benefit from additional adjuvant therapy. Pathologists have responded to this problem by introducing new parameters which might have independent prognostic significance. More detailed histological evaluation of the pattern of invasion into the bowel wall or into veins has been advocated. Hase and associates [2] reported that irregular tumour cell budding at the invasive front of the tumour indicates more aggressive behaviour than a straight "pushing" tumour margin. Patients with a budding tumour margin showed 20% 5-year survival against 70% in patients without budding. Budding frequency rose with Dukes stage, but, when stratified for stage budding remained an independent prognostic variable. Yamazoe and associates [3] investigated the depth of venous invasion as a predictor for liver metastasis. This parameter appeared to predict the occurrence of liver metastasis with high probability, especially in combination with desmoplastic reaction, lymphocytic infiltration and depth of invasion. The extent of lymphocytic infiltration was also taken into account in the classification proposed by Shepherd and associates [4]. The latter classification has gained some popularity, but has not become generally accepted. An important limitation of classifications based upon detailed histopathological criteria is their lack of reproducibility. Parameters, such as depth of venous invasion, budding and even intensity of lymphocytic infiltrate are often difficult to quantitate and, therefore, rather subjectively scored.

An alternative approach towards more refined histopathological classification of tumour stage has been the detailed search for lymph node micrometastases by immunohistochemistry. Large clusters of cancer cells in a lymph node are easy to identify, but a few cancer cells in the marginal sinus might escape microscopical detection. Given the overall importance of lymph node involvement [5], a search for occult lymph node involvement might be a useful approach. Several studies have shown that, by using anti-cytokeratin antibodies, micrometastases can be detected in approximately 25% of cases that would otherwise have been classified as Dukes B [6, 7]. What this means in terms of prognosis is less clear. Jeffers and colleagues [6] found no correlation between immunohistochemically identifiable micrometastases and age, sex, tumour size, tumour site or tumour differentiation, and no prognostic value for this parameter. Greenson and associates [7] found higher survival in Dukes B patients without lymph node micrometastases, although the number of patients they studied was rather limited. This issue is, therefore, not yet resolved and deserves to be analysed further.

TUMOUR DIFFERENTIATION

By convention, in the histopathological evaluation of a colorectal carcinoma, the tumour is graded. In grading, cytonuclear features including nuclear pleomorphism, hyperchromasia and mitotic activity are taken into account. Furthermore, architectural features are assessed, which implies appraisal of the tendency of cancer cells to form differentiated structures, such as tubules, as well as cell polarity and mucin production. Conventional grading is performed in three categories: well, moderately well and poorly differentiated. Tumour grading has appeared to be somewhat subjective and not very reproducible. As a consequence, the prognostic relevance of tumour grade has tended to be rather limited [4]. Recent attempts to improve tumour grading have taken specific features of cancer cell

differentiation into account. To this end, histochemical staining of specific cell types has been the most frequently used approach. Mucin production (indicative of goblet cell differentiation) can be demonstrated through conventional mucin stains. Columnar cells display immunoreactivity for secretory component and brush border-associated proteins, such as villin or sucrase-isomaltase. Endocrine cells are chromogranin A immunoreactive. Predominantly, the results of mucin staining and of staining for columnar cell-associated antigens has not yielded important new possibilities, although combinations of these antigens have been shown to convey some prognostically relevant information [8]. An interesting finding has been the detection of a subgroup of tumours with endocrine cells, as reflected in immunoreactivity for chromogranin A [9]. It has been shown that tumours with endocrine cells behave more aggressively than those without this characteristic, especially in the Dukes C category [9]. It is presently unclear what causes this difference. It has been proposed that carcinomas with endocrine cells consist of relatively primitive pluripotent cells which might be more aggressive. Alternatively, neurohormonal peptide production in a carcinoma might provide a paracrine growth stimulus.

TUMOUR CELL PROLIFERATION

In most malignant neoplasms, a correlation appears to exist between proliferative activity in the tumour and patient survival. Proliferative activity can be histologically assessed by counting mitoses, but because the M-phase is only a very short window in the total cell cycle, mitotic counts appear to be somewhat inaccurate. More recent approaches encompass the determination of S-phase fraction by DNA-flow cytometry, metabolic labelling of DNA-synthesising cells using [³H]thymidine [10] or bromodeoxyuridine and immunohistochemical staining of cell cycle related gene expression. Popular cell cycle-related antigens are proliferative cell nuclear antigen (PCNA, a DNA polymerase related protein [11]) and the Ki67 antigen. The flow cytometric determination of S-phase fraction seems to be a relatively simple measure of proliferative activity. This technique, however, has two important limitations. Firstly, in carcinomas with multiple aneuploid stemlines in addition to diploid cells, it is not easy to determine the S-phase fraction on the basis of solid criteria. Secondly, most flow cytometric analyses are performed on material retrieved from paraffin sections [12]. The precision of DNA measurement is limited in this type of material, and hence reliable S-phase fractions cannot be determined. In spite of these limitations, correlations between S-phase fraction and tumour behaviour have been found. Schutte and colleagues [13] reported a worse outcome in Dukes B patients with an S-phase fraction exceeding 15%.

A much more popular approach towards proliferation analysis is immunohistochemical staining for PCNA or the Ki67 antigen. The latter is the favoured technique since, with the introduction of the MIB-1 antibody, this antigen can also be stained in paraffin sections (Figure 1). Mayer and associates [14] and Al-Shebener and associates [15] recently reported on the prognostic significance of PCNA expression. In both studies, a multivariate analysis was included. The data indicate that the percentage of PCNA positive cells is an independent predictor of tumour behaviour, the higher PCNA index indicating a shorter survival. Silvestrini and colleagues [10] showed, by [³H]thymidine labelling of metastatic colon cancer cells in the liver, that even when liver metastases are present cell proliferation may be a useful prognostic marker. Thus, proliferative activity as reflected in a PCNA index or (preferably) a Ki67 index (using the MIB-1

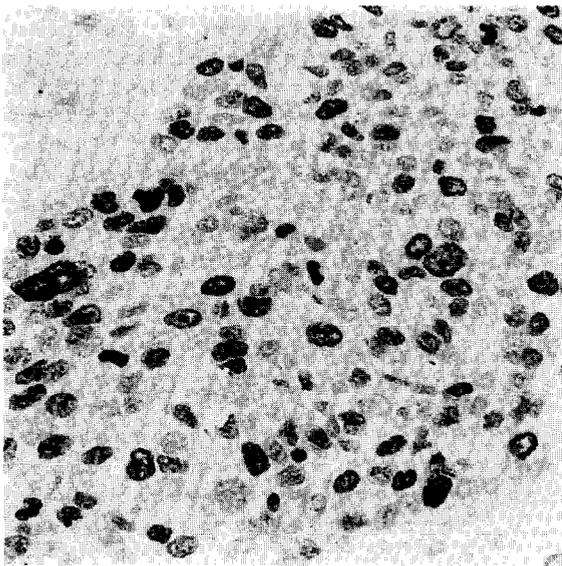


Figure 1. Immunohistochemical staining of the proliferation associated antigen Ki67 (using the MIB-1 antibody) in a poorly differentiated carcinoma of the colon. Most cancer cell nuclei are positive, indicative of a high growth fraction ($\times 400$).

antibody) might be used as an additional parameter for clinical decision-making.

TUMOUR INVASION AND METASTASES

For tumour subclassification, much attention has been paid to parameters which are related to invasive and metastatic behaviour. This has been done using histological parameters such as tumour "budding" [2], venous invasion [3] and lymph node micrometastasis [5, 6]. More recent possibilities include the detection of basement membrane deposits [16], the expression of proteases involved in tumour cell invasion [17, 18], the expression of metastasis-related genes such as the *nm23* gene [19], and the expression of cell adhesion molecules [20], including variant forms of CD44 [21].

Havenith and associates [16] studied the prognostic significance of basement membrane staining in colorectal cancer. These investigators noted that, in the tumour periphery, cancer cells did not have a basement membrane, but in the tumour centre a variable amount of this material was deposited. Increasing basement membrane deposition correlated with better prognosis. These authors postulated that the host response to the tumour is reflected in the extent of deposition of extracellular matrix.

The prognostic value of the expression of matrix proteases was recently studied by Mulcahy and colleagues [18] and by Ganesh and colleagues [17]. Mulcahy's group [18] stained colorectal cancer tissues for the urokinase type plasminogen activator (uPA). In Dukes B patients, high grade uPA staining indicated a high probability of the development of liver metastases. Ganesh and associates [17] measured levels of the different components of the plasminogen activator/plasmin system (including uPA, t(tissue type)PA, and the inhibitors PAI-1 and PAI-2) in normal and cancer tissue by immunoassay. These authors found the ratio of uPA in cancer tissue/tPA in normal mucosa and the PAI-2 level in cancer tissue to be independent prognostic variables. It seems, therefore, that determination of

matrix proteases might be useful in the development of new subclassifications of colorectal cancer with prognostic value.

In search of new markers for metastatic disease, the *nm23* gene has received wide attention (see MacDonald and associates, pp. 1096–1100). This gene was discovered through the generation of a monoclonal antibody which differentially labelled metastatic and non-metastatic melanoma cells. Recently, loss of the *nm23* gene has been reported to correlate with the occurrence of distant metastases in colorectal cancer [19]. For colorectal cancer, the *nm23* findings have been somewhat conflicting, however, and consequently this finding needs to be further confirmed.

Another area of promising development has been that of cell adhesion molecules. On the assumption that loss of intercellular adhesion would precede cancer cell invasion, the expression of the crucial epithelial cell adhesion molecule, E-cadherin, has been studied in colorectal cancer [20]. It has become clear that E-cadherin expression is highly correlated with tumour differentiation (Figure 2), and may not be an independent prognostic variable. Another limitation of E-cadherin as an invasion marker is that loss of expression of a few cells is much more difficult to detect (let alone to quantitate) than overexpression. This limitation is not shared by the CD44 family of adhesion molecules. CD44 is widely expressed on leucocytes and serves as a leucocyte adhesion molecule, with a regulating function in leucocyte traffic. Following cloning of the CD44 gene, it was discovered that this gene can be alternatively spliced, which results in a family of related proteins derived from a single gene. Subsequent studies have shown that the variant (alternatively spliced) forms of the CD44 molecule are expressed in specific patterns on normal and neoplastic epithelial cells. The v6 variant was shown to be expressed on a subgroup of colorectal carcinomas (Figure 3), and it was established that v6 expression is strongly correlated with tumour progression [21]. Although prospective testing of the clinical use of CD44 v6 staining is still not complete, it is expected that this might become an important new prognostic marker in colorectal cancer.

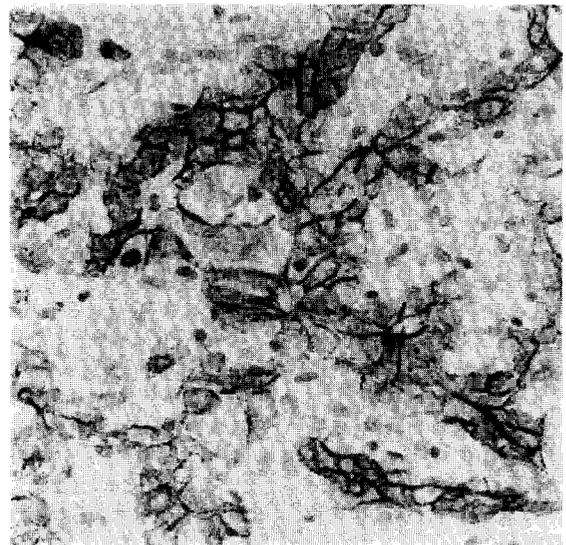


Figure 2. E-cadherin immunoreactivity in a moderately differentiated adenocarcinoma. Irregular cell strands show intense intercellular staining ($\times 400$).

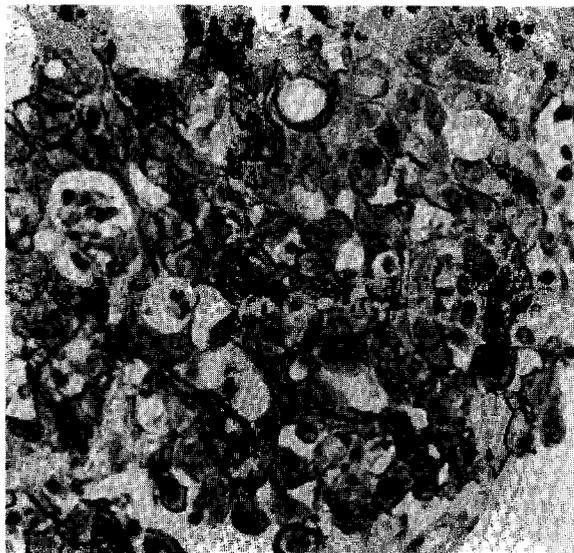


Figure 3. CD44 (v6) immunoreactivity in a moderately differentiated adenocarcinoma. Focally the tumour cells show intense intercellular staining ($\times 400$).

TUMOUR CELL GENETICS

No solid tumour has been studied as intensely as colorectal cancer for molecular genetic abnormalities. The pioneering work of Vogelstein and collaborators led to the definition of a series of molecular genetic abnormalities which together determine the neoplastic phenotype of a colorectal cancer cell [22]. Although well accepted in cancer cell biology, the clinical use of this molecular genetic model of colorectal carcinogenesis remains to be established.

Clinical testing of gross genetic abnormalities, as reflected in an abnormal DNA content of the cancer cells which can be determined by DNA flow cytometry, has been reported repeatedly [23, 24]. Ploidy analysis is relatively simple and fast, and could, therefore, be of practical use if it would provide a strong independent new predictor for prognosis. Most studies, to date, indicate that patients with aneuploid tumour cell populations, in general, have a shorter survival than patients with diploid tumours. Tumour ploidy, however, is significantly correlated with other prognostically relevant variables, including Dukes stage, tumour grade and proliferative activity, and, therefore, as an independent prognostic indicator, ploidy has appeared of limited value [23, 24].

Although technically more complex, detailed molecular genetic analysis of colorectal cancer in search of prognostically relevant parameters could potentially yield highly valuable information which could be used in clinical management. Recent developments in this methodology have allowed the use of even paraffin-embedded archival material for molecular genetic analysis [25]. The oncogenes and tumour suppressor genes of interest for the study of colorectal cancer can be directly inferred from Vogelstein's model of colorectal carcinogenesis [22]. *KI-RAS* oncogene mutations are assumed to function early in colorectal carcinogenesis. Consequently, *KI-RAS* mutations are found in a high percentage of colorectal cancers. It was even recently shown that in precancerous conditions as well as in inflammatory bowel disease without overt dysplasia *KI-RAS* mutations may occur [26]. In spite of their putative early role in colorectal carcinogenesis, *KI-RAS* mutations appeared to be

independent predictors of unfavourable outcome in several studies [27, 28].

Interesting observations on the pattern of mutations on codon 12 of the *KI-RAS* were recently reported by Moerkerk and associates [28]. These authors observed only G->A mutations in Dukes B, but predominantly G->C or G->T mutations in Dukes C tumours. This observation suggests that a Dukes C tumour is not merely a later stage of progression of a Dukes B tumour, but possibly a different tumour subgroup with a different pathway of molecular carcinogenesis. This possibility, however, needs to be confirmed.

Bell and associates [27] investigated the predictive value of codon-12 *KI-RAS* mutations for patient survival. This parameter appeared not to have any prognostic significance as an independent variable. When taken together with *TP53* overexpression, as detected by immunocytochemistry, *KI-RAS* mutations identified a subgroup of patients with shorter survival.

Abnormalities in the *TP53* gene have received ample attention in colorectal cancer research. In the Vogelstein model, *TP53* is postulated to function late in colorectal carcinogenesis. This is in agreement with the observation that with increasing dysplasia in adenomas the percentage of cases with *TP53* abnormalities rises. Of all colorectal carcinomas, approximately 70% show *TP53* abnormalities. In a PCR-based study, Hamelin and associates [29] convincingly demonstrated a strong correlation between the presence of *TP53* mutations and shorter survival. In multivariate analysis, this parameter appeared to be independent from other prognostic variables. Mutations of *TP53* can also be detected by immunocytochemistry because the mutated proteins appear to have a prolonged half-life, which leads to their accumulation in the nuclei of mutated cells (Figure 4). Yamaguchi and colleagues [30] studied the prognostic significance of *TP53* overexpression by immunocytochemistry. In primary tumours, *TP53* expression was not correlated with any other histological variable. However, patients with a *TP53* positive tumour showed a higher risk of developing liver metastases. Accordingly, in the Dukes C category, *TP53* overexpression appeared to be a highly significant predictor of prognosis in terms of the 5-year survival rate.

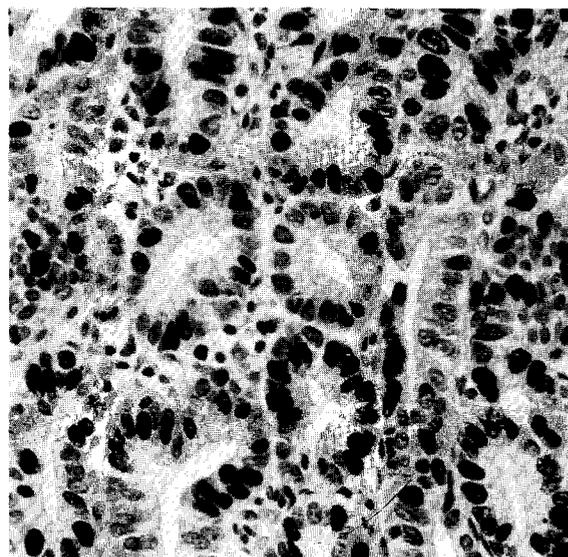


Figure 4. Immunostaining for p53 in a well differentiated carcinoma ($\times 400$).

In a recent study, Jen and colleagues [25] reported on the significance of allelic loss of chromosome 18q in colorectal cancer. These authors investigated 18q status using polymorphic microsatellite markers in a PCR-based assay on paraffin-embedded tissue. Chromosome 18q was chosen in view of the fact that it is frequently deleted in colon cancer (hence the name of the associated oncogene: deleted in colon cancer or *DCC*). Of all tumours, 67% showed 18q allelic loss. With increasing stage, the frequency of allelic loss also increased. Tumours with allelic loss were often (70%) located in the right side of the colon. When stratified for stage, 18q allelic loss was strongly correlated with survival in stage II (which corresponds with Dukes B) patients. In fact, stage II patients could be divided according to 18q allelic loss into a subgroup which behaved as stage I (no loss) and a subgroup which behaved as stage III (loss). In view of the high probability of the existence of correlations between the different prognostic parameters, a multiple regression analysis was performed. In all models, 18q allelic loss had a highly significant independent effect on prognosis.

CONCLUSION

In reviewing the available data concerning pathological characteristics of colorectal cancer (Table 2) it is clear that conventional anatomical (depth of invasion, lymph node involvement, liver metastases) and histological parameters (vascular and perineural invasion, lymphocyte infiltration and to a lesser extent tumour differentiation) continue to provide a solid basis for the purposes of prognosis. It is also clear, however, that improvement in the prognosis of colorectal carcinoma will have to come either from earlier detection and secondary prevention or from more effective therapy. For more effective therapy, adjuvant treatment modalities are available and more effective new modalities are being, or will be, developed. Reliable stratification of particularly Dukes B and C (or stages II and III) patients into subgroups with low and high risk of recurrent disease will be essential. This is where pathology will have its most significant impact on the clinical management of colorectal cancer. Prognostic factors, however, will only become clinically significant when they are used in clinical decision-making. The clinical use of prognostic factors should be assessed in

prospective studies. The most promising new prognostic factors have resulted from cancer cell genetics. With the advent of techniques to identify reliably, even in routinely processed tissue specimens, relevant molecular genetic characteristics of tumour cells, it may be expected that, in terms of predicting outcome in individual patients, enormous progress will be made in the coming years. As yet, the basis of tumour classification will remain classical histopathology, but molecular pathology will contribute scientifically exciting and clinically relevant new information.

Table 2. Pathological parameters with prognostic significance

Category	Parameter	Prognostic significance
Anatomical	Depth of invasion	Strong
	Lymph node metastasis	Strong
	Liver metastasis	Strong
	Differentiation	Weak
Proliferation	S-phase fraction	Weak
	Proliferation markers (PCNA, Ki67)	Weak
Invasion and metastasis	Basement membranes	Weak
	uPA/plasmin system	Weak
	nm23	Weak
	E-cadherin	Weak
	CD44	Strong
Molecular genetics	Ploidy	Weak
	KI-RAS	Weak
	TP53	Weak
	18q loss	Strong

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