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Ultrasound-guided fine needle aspiration cytology as an addendum to sentinel lymph node biopsy can perfect the staging strategy in melanoma patients



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KEYWORDS

Melanoma Sentinel node Ultrasound Fine needle aspiration cytology Survival Prognosis **Abstract** *Background:* Ultrasound guided fine needle aspiration cytology (US-guided FNAC) can identify microscopic involvement of lymph nodes as in breast cancer and avoid surgical sentinel node (SN). Its utility in melanoma patients is controversial and subject of this study.

Methods: Between 2001 and 2010 over 1000 stage I/II consecutive melanoma patients prospectively underwent US-FNAC prior to SN biopsy. All patients underwent lymphoscintigraphy prior to US-FNAC. The Berlin US morphology criteria: Peripheral perfusion (PP), loss of central echoes (LCE) and balloon shaped (BS) were registered. FNAC was performed in case of presence of any of these factors. SN tumour burden was measured according to the Rotterdam criteria. All patients underwent SN or lymph node dissection (LND) in case of positive FNAC. *Findings:* Mean/median Breslow thickness was 2.58/1.57 mm. Mean/median follow-up was 56/53 months (1–132). SN positivity rate was 21%. US-FNAC Sensitivity was 71% (US only) and 51% (US-FNAC). Sensitivity of US-FNAC was highest for T4 (76%) and ulcerated melanomas (63%). PP, LCE and BS had sensitivity of 69%, 24% and 24% respectively. Sensitivity of

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US-FNAC increased with increasing SN tumour burden. PP was an early sign of metastasis (58% in <0.1 mm metastases). Threshold size of a metastasis for FNAC was 0.3 mm. Five-year survival correlated to US-FNAC status (95% in negative and 59% in positive).

Interpretation: Ultrasound guided FNAC (US-FNAC) according to the Berlin morphology criteria could correctly identify at least half of all tumour positive sentinel nodes, prior to the surgical SN procedure. Peripheral perfusion is an early sign of metastasis, which is very sensitive, but with lower positive predictive value (PPV). It is responsible for the sensitivity of the procedure. Balloon shape is a sign of advanced metastases, with lower sensitivity, but high PPV. US-FNAC sensitivity correlated with increasing T-stage, ulceration of the primary and increasing SN tumour burden. US-FNAC status accurately predicts survival.

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1. Introduction

Over recent decades the incidence of malignant melanoma has been rising throughout Europe, the United States of America (USA) and Australia [1–4], but mortality has remained virtually unchanged [4].

The most important prognostic factor of early stage melanomas (stage I/II) is lymph nodal status. Therefore, adequate staging of these patients is very important to be able to determine their prognosis [5,6]. Worldwide, the sentinel node (SN) procedure has been accepted during the past two decades as the most accurate staging procedure [7]. Sentinel node biopsy (SNB) is a surgical procedure with a complication rate between 5% and 10% [8,9]. In the absence of proof that SNB is associated with a survival benefit alternative methods to identify positive SNs are being considered. The diagnostic algorithm of other cancers, such as thyroid and breast cancer, already includes pre-operative ultrasound (US) and fine needle aspiration cytology (FNAC) and it is conceivable to apply this to melanomas.

Previously, we have demonstrated that ultrasound can identify the same node, which is later excised and considered the sentinel node with an accuracy of 79% [10]. Another study by our group has demonstrated that US-FNAC could identify up to 65% of all SN involvement preoperatively [11]. Finally, we have described specific US patterns, which were used to achieve such a high sensitivity, the so called Berlin morphology criteria, which include Peripheral perfusion (PP) as an early sign of involvement followed by loss of central echo (LCE) and balloon shape (BS) as signs of advanced involvement [12].

The number of evaluated patients and their mean and median follow-up have increased significantly. The aim of the current study was to evaluate our increased experience with this modern approach comprising the highest amount of US-FNAC of SNs and to test its application as a completion to SNB. This is especially en vogue with the background of the just now published results of the MSLT1 trial [13], which is literally a confirmation of the results in 2006 and the importance of

the non-sentinel node status, which can be assessed by performing completion lymph node dissection (CLND) after a positive sentinel lymph node biopsy (SLNB) [14]; or even – as an alternative-directly after a positive FNAC of the sentinel node.

2. Patient and methods

2.1. Patients

Our prospectively collected database includes all patients presenting with a histopathologically proven primary malignant melanoma (at least 1.00 mm Breslow thickness, or if less, at least Clark IV/V, ulcerated and/or regressed) and who were planned for a sentinel node procedure at the Department of Dermatology, Charité, University Medicine Berlin, Germany. The institutional ethics review board (ERB) approved the study and informed consent was obtained from all patients enrolled. Recruitment for this study started in 2001, the database now includes over 1500 patients. For the current analyses, we have censored inclusion after the first 1000 consecutive patients with sufficient follow-up (July 2001-November 2010). This cohort includes the first 400 patients, which have been examined exclusively by one ultrasonographist (CV) and whose results have been previously published elsewhere [11,12].

2.2. Methods

All patients were scheduled for a SN procedure in either 1 or 2 day protocol. Patients first underwent a lymphoscintigraphy, which assists the ultrasonographist to better focus their examination. In the timeslot between lymphoscintigraphy and surgery, patients were examined by ultrasound (US) in B-mode and Power Doppler. US is aimed at clearly depicting the location of the suspected SN and at clearly stating whether it seemed to be involved or not. If US depicted a suspicious or malignant SN, FNAC was performed (3–4 repeat FNACs were performed within one procedure) for verification of the lesion. If a clearly malignant

ultrasound pattern could not be verified by FNAC, patients proceeded to undergo a SN. In the early phase of the study, all patients with positive FNAC proceeded to undergo a SN nonetheless (n = 47). During the course of the study, a change in hospital policy allowed the surgeon to proceed to an immediate CLND after a positive FNAC. The decision to change a planned SN to a CLND was always based on a positive cytology. US patterns are collected into the prospective database prior to gathering of the cytology and histopathology results. If the US does not show any suspicious nodes or if cytology is negative, the patients proceed to undergo the scheduled SN as described before [11,12]. Microanatomic location of metastases is evaluated according to the criteria by Dewar et al. [15]. SN tumour burden is assessed according to the Rotterdam criteria for SN tumour burden [16,17]. Final histology of the SN or LND was considered as the golden standard.

2.3. Ultrasound technique and image analysis

All US examinations were performed using the highend device MyLab 70 (ESAOTE, Genova, Italy) equipped with three transducers (1–18 MHz) (B-mode, 30 pictures per second, colour Doppler, Power Mode). The lymph node was measured, the pattern was described and it was classified as benign [b], suspicious [s] or malignant [m] by an expert ultrasonographist (C.V.). During the course of the study, two additional and less extensively trained ultrasonographists were integrated into the team. An ultrasound was considered suspicious, when Peripheral perfusion (PP) was present or if the central echo was wandering towards the rim [12]. US was considered malignant if there was a total loss of central echoes (LCE) or if the lymph node was enlarged and balloon shaped (BS) [12]. If none of these morphological criteria were present, the lymph node was considered benign [12]. Details of the ultrasound morphology criteria have been described [12].

Of the 1000 patients, patients' images have been saved as jpg.data and were analysed by two experts using a computerised workstation (ESAOTE, Genova, Italy). Nodal size was calculated as described previously [12].

2.4. Fine needle aspiration in detail

FNAC was performed with a hand-held Binder-valve as described in detail elsewhere [18]. The fine needle for superficial lymph nodes has a diameter of approximately 0.4 mm (26G), especially for small targets. A smear is considered technically efficient, if it contained approximately 100 cells.

Cytologic results were reported to the surgeons and it was left to their discretion how to proceed with surgery,

either SN or LND. If the US did not show any suspicious nodes or if the cytology was negative, the patients proceeded to undergo the scheduled SN.

2.5. Histopathological evaluation of excised SN

In brief, lymph nodes were fixed for 24 h in buffered formalin. After fixation they were cut in half through the hilum and its longest dimension and embedded in paraffin. In rare cases, exceptionally large nodes were sectioned parallel to the first cut in order to fit in the blocks. Five serial step sections of 4 μ m each were cut from each face of the lymph node, and staining with H&E, S100 and HMB-45 was performed. Microanatomic location of the metastases and SN tumour burden were assessed according to the Dewar and Rotterdam criteria, respectively [15,16].

2.6. Statistics

To assess the diagnostic value of individual and combinations of US patterns for involved sentinel nodes, sensitivity and specificity of those patterns as well as positive or negative predictive values were calculated. Associations were tested with Pearson's chi square test. Disease-free survival (DFS) and Melanoma specific survival (MSS) were calculated from SN date until death or censored at the date of last known follow-up, if no events had taken place. Univariate analyses of survival were performed using the Kaplan-Meier method and the log-rank test. Multivariate analyses to determine the prognostic value of covariates regarding survival were performed using the Cox's proportional hazard model. Statistical analyses were all performed with Stata[®], version 11.0 (Stata Corporation, College Station, Texas, USA). P values of less than 0.05 were considered as significant.

3. Results

Baseline characteristics of all 1000 patients are described in Table 1. Mean and median age was 59 and 62 years, respectively (range 15–94 years). Mean and median Breslow thickness was 2.58/1.57 mm (0.2–44 mm). Ulceration was present in 24% of primary melanomas. In total 208 patients (21%) had positive lymph node disease on histology, either after positive SN (n=165, 17% of total, 79% of all lymph node diseases) or directly proceeded to LND (after positive US-FNAC) in 43 cases (4% of total, 21% of all lymph node diseases). The chance for lymph nodal involvement increased with increasing T-stage; 5% (15/288) for T1, 12% (37/308) for T2, 32% (73/231) for T3 and 48% (83/173) for T4, respectively (P < 0.001).

Mean and median follow-up of the total patient population was 56 and 53 months, respectively (range

Table 1
Baseline patient, tumour and follow-up characteristics of all 1000 patients.

Characteristic	N (%)	Characteristic	N (%)	
Gender		Sentinel nodes (SNs)s removed		
Male	567 (57%)	Mean/median (range)	1.72/1 (1-13)	
Female	433 (43%)	SN result		
Histological subtype		Negative	792	
			79%	
Superficial soreading melanoma	595 (60%)	Positive	208	
(SSM)			21%	
Nodular melanoma (NM)	242 (24%)	Direct LND (after pos FNAC)	43 (4%) 43/208 (21%)	
Lentigo maligna melanoma (LMM)	37 (4%)	SN tumour burden according to Rotterdam criteria		
Acrolentigous melanoma (ALM)	44 (4%)	≤ 0.1 mm	30 (#%)	
			30/208 (14%)	
Unknown	82 (8%)	0.1–1.0 mm	62 (6%)	
			62/208 (30%)	
Breslow thickness		> 1.0 mm	62 (6%)	
			62/208 (30%)	
Mean/median (range)	2.58/1.57 mm (0.2–44 mm)	LND/unknown	54 (5%)	
, (2)	,		54/208 (26%)	
T1 ($\leq 1.00 \text{ mm}$)	288 (29%)	Peripheral perfusion		
T2 (1.01–2.00 mm)	308 (31%)	Absent	663 (66%)	
T3 (2.01–4.00 mm)	231 (23%)	Present	273 (27%)	
T4 (>4.00 mm)	173 (17%)	Unknown	64 (7%)	
Ulceration		Loss of central echo (LCE)		
Absent	758 (76%)	Central echo present (normal)	791 (79%)	
Present	242 (24%)	Wandering to rim	97 (10%)	
Regression		Lost	66 (6%)	
Absent	633 (63%)	Unknown	46 (5%)	
Present	300 (30%)	Balloon shape (BS)		
Unknown	67 (7%)	Absent	881 (88%)	
Clark level		Present	53 (5%)	
II	32 (3%)	Unknown	66 (7%)	
III	341 (34%)	Ultrasound guided fine needle aspiration cytology (US-FNAC) resu		
IV	554 (56%)	Not performed & negative/negative	892/342 89%/34%	
V	54 (6%)	Positive	98 (10%) 98/342 (26%)	
Unknown	13 (1%)		70/372 (20/0)	

1-132 months). There were 198 patients (20%) with recurrences and 81 melanoma related deaths (8%) during this follow-up.

The sensitivity of US alone was 71%. Sensitivity of US-FNAC (positive FNAC and/or clearly malignant US) and different subgroups are described in Table 2. The general combination of US-FNAC yielded a sensitivity of 51%, with a specificity of 99%, a PPV of 99% and a negative predictive value (NPV) of 89% (P < 0.001). This means that 72% of lesions correctly identified by ultrasound, could be confirmed by a positive FNAC and 28% could not.

Sensitivity of US-FNAC was highest for T4 tumours (76%) (P < 0.001), ulcerated (63%) (P < 0.001) and Peripheral perfusion (69%) (P < 0.001). PPV was highest for balloon shaped (92%) when compared to PP (51%) or LCE (73%) (P < 0.001). There was one patient with a false positive FNAC, based on cytology that

eventually turned out to correspond to a negative SN. However, this patient returned with a lymph node relapse 1 year later.

FNAC was performed in 342/1000 patients (34%). This was in 172/416 (41.3%) of extremity (arm or leg) melanomas, 139/471 (29.5%) of trunk melanomas and 31/113 (27.4%) of head & neck melanomas, respectively. In 98/342 patients the FNAC was positive (26%). Thus, 1:4 patients, who had a suspicious or malignant US, had a positive FNAC. Ten patients (n = 10) with clearly malignant US morphology did not undergo FNAC, because there was no time between US and the SN procedure. Together we consider 108 patients (98 FNAC positive and 10 US clearly malignant) as US-FNAC positive.

Another sixteen (n = 16) patients with a merely suspicious ultrasound, did not undergo a FNAC due to the same above mentioned reason (total 3%). These cases were treated as FNAC negative. The majority of

Table 2
Sensitivity, specificity, positive predictive values and negative predictive values for ultrasound guided fine needle aspiration cytology (US-FNAC) per T-stage, ulceration, separate morphology criterion and US-FNAC combination.

	Sensitivity	Specificity	PPV	NPV
T-stage				
T1	40%	100%	100%	97%
T2	24%	99%	90%	91%
T3	40%	100%	100%	78%
T4	76%	100%	100%	82%
Ulceration				
Absent	43%	99%	98%	90%
Present	63%	100%	100%	83%
Ultrasound morphology criter	ia			
Peripheral perfusion (PP)	69%	82%	51%	91%
Echo wandering to rim	23%	91%	47%	86%
Loss of central echo (LCE)	24%	91%	73%	86%
LCE & wandering to rim	46%	91%	58%	86%
Balloon shape (BS)	24%	99%	92%	83%
US-FNAC total	51%	99%	99%	89%

Table 3 Ultrasound guided fine needle aspiration cytology (US-FNAC) per sentinel node (SN) tumour burden.

	SN neg	≤0.1 mm	0.1–1.0 mm	>1.0 mm	LND/ unknown
US-FNAC	99%	83%	76%	39%	9%
neg US-FNAC pos	1%	17%	24%	61%	91%

patients (63%), those with a benign ultrasound, did not need to undergo a FNAC in this study (Fig. 1S).

Of the 658 patients, who had a benign US or did not have time to undergo a FNAC, 64 patients (10%) had a positive SN (False Negatives), whereas in the group of patients with a suspicious or malignant US result and negative FNAC, the SN was positive in 56/253 cases (22%). Thus the chance for a positive SN in the group of patients without FNAC or negative FNAC results was twice as high in case of a suspicious or malignant US compared to a benign US result (chance twice as high for False Negative).

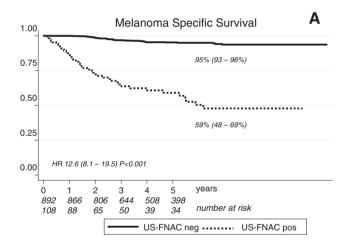
The correct detection by US-FNAC increased with increasing SN tumour burden. 17% of patients with SN tumour burden <0.1 mm were detected by US-FNAC compared to 24% in lesion of 0.1–1.0 mm and 61% of lesions >1.0 mm. Detection was 91% in patients who proceeded directly to LND or had unknown SN tumour burden (Table 3). The detection limit (smallest diameter of SN metastasis) for a positive FNAC was 0.3 mm.

5-Year Kaplan-Meier estimated melanoma specific survival (MSS) was 95% for US-FNAC negative patients, compared to 59% for US-FNAC positive

patients, respectively (P < 0.001) (Fig. 1A). The corresponding Kaplan–Meier estimated 5-year disease-free survival (DFS) rates were 84% for US-FNAC negative patients versus 33% for US-FNAC positive patients (P < 0.001) (Fig. 1B).

The 5-year Kaplan–Meier estimated MSS with negative Berlin morphology criteria (no malignant or suspicious ultrasound findings) was 96% versus 89% for Peripheral perfusion (PP) only or central echo wandering to the rim (P < 0.001) (Fig. 2A). Balloon shape (BS) or complete loss of central echo (LCE) yielded a 59% 5-year Kaplan–Meier estimated MSS (P < 0.001) (Fig. 2A). Corresponding Kaplan–Meier estimated 5-year DFS was 85% for negative patients versus 74% for PP or central echo wandering to the rim (P < 0.001) and 36% for BS and/or LCE (P < 0.001) (Fig. 2B).

5-Year Kaplan–Meier estimated MSS per SN tumour burden was 96% for SN negative patients versus 100% for patients with metastases <0.1 mm in maximum diameter according to the Rotterdam criteria (n.s.) (Fig. 3A). Patients with metastases 0.1-1.0 mm had a 73% (P < 0.001) 5-year Kaplan–Meier estimated MSS,



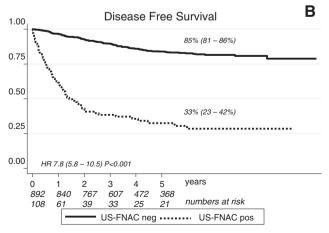


Fig. 1. Melanoma specific survival (A) and disease-free survival (B) according to ultrasound guided fine needle aspiration cytology (US-FNAC) status.

patients with lesions >1.0 mm had a 68% (P<0.001) 5-year MSS and patients with a LND or unknown SN tumour burden had a 5-year MSS of 57% (P<0.001) (Fig. 3A). Corresponding Kaplan–Meier estimated 5-year DFS rates were 87% for SN negative patients compared to 83% for patients with <0.1 mm lesions (P=0.45) versus 49% in patients with lesions 0.1–1.0 mm (P<0.001) versus 37% for patients with lesions >1.0 mm (P<0.001) versus 33% for LND or unknown SN tumour burden patients (P<0.001) (Fig. 3B).

4. Discussion

Diagnosis of lymph node metastases at the time of SNB has several potential benefits, including more accurate prognostic information, enhanced nodal control and disease-free survival, and improvement in overall survival. However, there is uniformity in agreement that SNB yields the first two benefits, but improvement in overall survival has never been conclusively demonstrated [19]. This present study showed that ultrasound

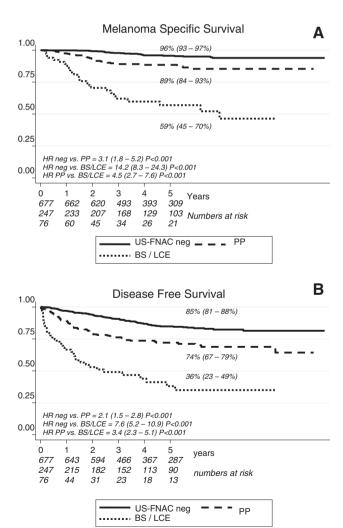


Fig. 2. Melanoma specific survival (A) and disease-free survival (B) according to Berlin ultrasound morphology criteria.

alone is able to identify up to 71% of SN metastases preoperatively. FNAC confirmation leads to a correct detection rate of 51% of involved sentinel nodes, prior to their surgical removal [11,12]. Sensitivity is higher for subgroups of patients with a priori risk factors for nodal involvement, such as high Breslow thickness (T4) or ulceration of the primary, with sensitivity of 76% and 61%, respectively. Likewise, the volume of SN tumour burden also affected the sensitivity of US-FNAC, with sensitivity of 17% in \leq 0.1 mm metastases versus 61% in metastases >1.0 mm in maximum diameter.

Peripheral perfusion (PP) had a high sensitivity (69%) and was potentially capable of detecting a high proportion of metastases, including small and early metastases, but had a low PPV (51%) and relatively low specificity (81%).

Balloon shape (BS) and loss of central echo (LCE) had a low sensitivity (25%), but high PPV (92%). This means that it detects only relatively few metastases

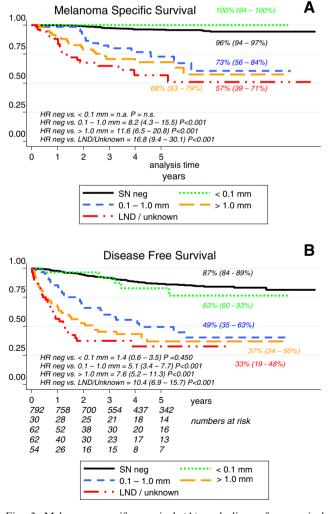


Fig. 3. Melanoma specific survival (A) and disease-free survival (B) according to sentinel node (SN) tumour burden according to the Rotterdam criteria.

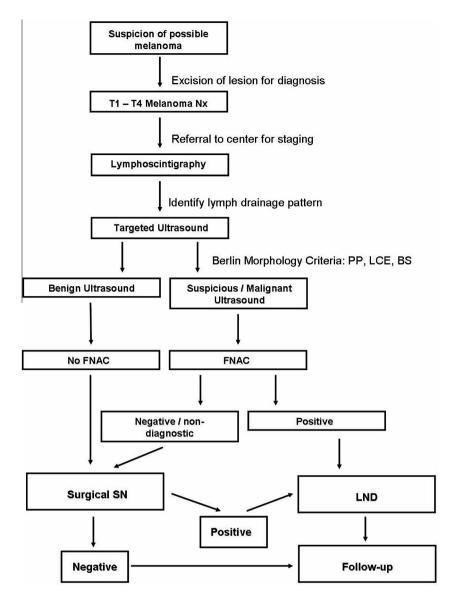


Fig. 4. Diagnostic (staging) algorithm.

(because most of the SN node metastases are small in size and do not present with these late patterns), but if these criteria are seen, the chance that the lesion is malignant is very high, and consequently, these criteria correlate to larger (late) metastases.

Sensitivity is lower than our previously reported experience of the first 400 patients, which had demonstrated 65% sensitivity [11,12]. The sensitivity declined for the general combination of US-FNAC, but also for all subgroups of morphological criteria. This can be explained by the fact the US-FNAC is operator dependent and that all 400 procedures were performed by the senior ultrasonographist (CV), whereas the next 600 were performed by two further examiners. The results vary and the learning curves differ (results not shown).

Nevertheless, a 51% sensitivity can potentially spare 10% of all melanoma patients undergoing a SN procedure this unnecessary procedure and obvously can spare half of positive SN patients this unnecessary procedure.

The SN procedure is a minimally invasive staging procedure, which does not have the potential high rates of major and/or chronic complications as a CLND, but it is a surgical procedure with potential morbidity nonetheless in contrast to FNAC, which is almost never associated with any morbidity. Wound infections, seromas, bleeding and even in incidental case chronic lymph oedema have been described [7,20–22]. Also the additional anaesthesia and costs could potentially be spared. Our group previously calculated that serial US-FNAC could potentially save up to 55% of the costs compared to surgical SN staging in these patients [23].

When compared to the literature, the sensitivity in our hands (51%) is significantly higher than previously reported rates, which differ from 4% to 39% [24–29]. In these relatively small series, Starritt et al. achieved a sensitivity of 21% (7/33), van Rijk et al. achieved 34% (12/37) and Rossi et al. achieved a rate of 39% (12/31). A recent small study by Hinz et al. demonstrated

that high-resolution ultrasound (HRUS) yielded a sensitivity of 12% (2/17) [28]. Chai et al. demonstrated a sensitivity of 34%, but only 10 patients (3.4%) could undergo US-FNAC prior to the surgical SN in their study [29]. The preliminary results of one larger series, pre-SN US-FNAC in the MSLT-2 study, reported sensitivity of merely 4.2% (8/193) [24].

Possible causes for these differences can be sought in the threshold for performing of a FNAC, which might be much higher in these studies. If we had performed a FNAC only in those cases with either BS or LCE reflecting the later and late signs, the sensitivity would have been as low as 24%, which is exactly comparable to these other series.

Regarding survival, a study by Pilko et al. showed lower SN tumour burden and improved survival for US negative patients compared to US positive patients, even in the absence of a positive FNAC [30]. This is in line with our observation that US negative (no suspicious or malignant morphology criteria) patients have the best prognosis compared to PP only with a slightly decreased survival and a low survival rate for BS and/ or LCE (Fig. 2A/B) having in mind that sensitivity of US was 71% in the present study.

Looking to other types of cancer, ultrasound guided-FNAC staging is commonly applied as routine staging in breast and thyroid cancers [31–33]. In comparison, a meta-analysis by Alvarez et al. described ultrasound sensitivity for non-palpable lymph node metastases in breast cancer ranging from 48.8% to 87.1% with specificity ranging from 55.6% to 97.3%. When the morphology of a lymph node was used, the sensitivity ranged from 26.4% to 75.9% with a specificity of 88.4% to 98.1% [34,35]. These rates are considered sufficient to apply the modality to the pre-operative work-up for breast cancer patients.

There is an ongoing discussion, whether patients with a minimal tumour load in the SN do really benefit from subsequent CLND. To date several studies like MSLT-2 and the EORTC 1208 (Minitub) are being performed to investigate whether CLND can be spared in these patients [36,37].

We envision that ultrasound guided FNAC can play a role in the future diagnostic algorithm of primary stage I/II melanoma patients (Fig. 4). As an addendum to the SLNB, US-guided FNAC is a pre-screening to enable a one-time setting, so that US-guided FNAC positive patients directly undergo completion lymph node dissection (CLND). Thus it can give early staging information of non-SLN involvement. Since Ultrasound or Ultrasound-guided FNAC negative patients will anyway undergo SLNB, no patient will be overlooked. Moreover, SLNB is an operation with concomitant morbidity and a false negative rate between 9% and 21% [38].

To validate our results in a multicenter, multi-observer fashion, we have undertaken our first EORTC Melanoma

Group sentinel node ultrasound course in 2012 to educate others in Berlin Morphology criteria.

Conflict of interest statement

None declared.

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