

# Probiotics in *Clostridium difficile* infection: reviewing the need for a multistrain probiotic

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#### **Abstract**

In the past two years an enormous amount of molecular, genetic, metabolomic and mechanistic data on the host-bacterium interaction, a healthy gut microbiota and a possible role for probiotics in *Clostridium difficile* infection (CDI) has been accumulated. Also, new hypervirulent strains of *C. difficile* have emerged. Yet, clinical trials in CDI have been less promising than in antibiotic associated diarrhoea in general, with more meta-analysis than primary papers on CDI-clinical-trials. The fact that *C. difficile* is a spore former, producing at least three different toxins has not yet been incorporated in the rational design of probiotics for (recurrent) CDI. Here we postulate that the plethora of effects of *C. difficile* and the vast amount of data on the role of commensal gut residents and probiotics point towards a multistrain mixture of probiotics to reduce CDI, but also to limit (nosocomial) transmission and/or endogenous reinfection. On the basis of a retrospective chart review of a series of ten CDI patients where recurrence was expected, all patients on adjunctive probiotic therapy with multistrain cocktail (Ecologic®AAD/OMNiBiOTiC® 10) showed complete clinical resolution. This result, and recent success in faecal transplants in CDI treatment, are supportive for the rational design of multistrain probiotics for CDI.

Keywords: Clostridium difficile, colonisation of GI tract, colonisation resistance, diarrhoea, intestinal mucosa

#### 1. Introduction

Clostridium difficile infection (CDI) is the most significant bacterial cause of hospital acquired (nosocomial) diarrhoea in adults (Bauer and Van Dissel, 2009). The severity of CDI ranges from mild, usually self-limiting, diarrhoea to fulminant colitis, toxic megacolon and death. C difficile colonises and can be isolated from 0-5% of healthy adults (Hautmann et al., 2011; Hell et al., 2012; Moudgal and Sobel 2012; Parkes et al., 2009). However, this can increase to 39% in hospitalised patients (Hickson, 2011; McFarland, 2011) depending on treatment and local conditions, with individuals over the age of 65 being prime targets and age itself being a predisposing factor (referred to as 'inflammaging' and 'immunosenescence'; Islam et al., 2012).

C. difficile is a gram-positive, anaerobic, spore forming bacillus first described in 1935 after isolation from the stool of a healthy newborn (*Bacillus difficilis*; Hall and O'Toole, 1935). Normally, neonates develop a stable microbiota (even when colonised with C. difficile) without clinical problems, probably because they are short of (adequately expressed) toxin receptors in the still immature gut. Not until 1978 was C. difficile recognised as an opportunistic pathogen for its antibiotic-associated diarrhoea and pseudomembranous colitis. CDI has rapidly increased since the 1990s with alarming rise since 2000 (1999-2007: 25% incidence-increase per year in USA and 750% fatality rate increase in UK; Kelly, 2009) when the novel and fluoroquinolone resistant strain of PCR ribotype 027 spread and accounted for severe disease and over 40% of isolates (Islam et al.,

2012). Currently, CDI incidence rates are still high, but have dropped due to rapid diagnosis, improved infection control practice, root cause analysis, isolation of cases/patients, and restricted use of antibiotics (stewardship). Since the problem with nosocomial infections also lies with spore-contaminated facilities and asymptomatic carriers (high IgG anti-toxin titers; Kyne *et al.*, 2000), transmission reduction, in patients and health-care-workers alike, is an essential element in infection control.

# Clostridium difficile infection (mainly clinically manifested as diarrhoea)

#### General aspects

The normal microbiota inhibits (opportunistic) pathogen growth and toxin release. This function is reduced after gut-damage and demonstrably so in faecal samples of antibiotic-treated patients (Parkes et al., 2009). Causes for microbiota-damage are shown at level 2 in Figure 1. Risk factors for microbiota damage are for example age, proton pump inhibitors (PPI), tube feeding and parenteral nutrition (Hautmann et al., 2011). After initial disturbance of the resident microbiota other factors can exacerbate the condition by causing an unwanted bacterial overgrowth (Figure 1: 3) resulting in a mild (osmotic) diarrhoea that is usually self limiting (in over 75% of cases). When bacterial toxins are produced in sufficient amounts they will bind and structurally damage epithelial cells and/or the tight junctions, and the gut barrier is compromised (frequently also leading to bloody stools). This will then lead to an inflammatory cascade also involving the nervous system, which intensifies the diarrhoea. The reduction in fermentation also gives a reduction in the production of short chain fatty acids (SCFA) which normally provide energy and stimulation to colonocytes. Again, a large part of these violent episodes of diarrhoea is self limiting. When the immune system, diet and general condition of the patient are optimal, the resident microbiota will return and the cellular damage will be repaired by normal cellular regeneration (from crypt to apex of the villi 3-5 days). Prebiotic fibres like galacto- and fructo-oligosaccharides will accelerate restoration by preferentially creating a beneficial bifidogenic milieu whereas 'simple' sugars will enhance growth of opportunistic pathogens (Kelly, 2008). Similar beneficial effects as with prebiotics (specific vegetables in diet and/ or supplements like inulin) can be observed by oral intake of billons probiotic bacteria). Since the bacteria in the gut will always be counteracted by the (healthy) immune system (gut associated lymphoid tissue) only those bacteria that were considered 'self' in early life will persist in view of the gut immune tolerance they enjoy. Hence, the same profile fingerprint of bacteria will emerge after a dysbiosis episode. In any case, the balance is usually restored after several weeks. Since probiotics do not permanently colonise the gut

and are non-invasive, they will disappear (average half life 5-7 days) when no longer ingested (Mercenier *et al.*, 2000).

#### Clostridum difficile specific aspects

CDI has a number of specific properties making it the major nosocomial diarrhoea in adults. Firstly, CDI is particularly known for its specific risk factors age, use of antibiotics and hospitalisation (Hickson, 2011). When properly diagnosed, CDI is usually treated by withdrawal of the precipitating antibiotic, avoidance of anti-peristaltic agents and treatment with metronidazole or vancomycin for non-metronidazole-responders (Cohen et al., 2010) or severe cases. Still, up to a quarter of all patients will develop recurrent CDI (Hickson 2011), with those that experience such a relapse having a 50-60% risk of further recurrence (Bauer and Van Dissel, 2009). This is particularly so because of hypervirulent strains (like ribotypes 027, 078 and 106) with more severe disease, increased mortality, resistance to fluoroquinolones and higher relapse rates (Cartman et al., 2010). Additional mortality by these hypervirulent strains is calculated to be between 6-12% (Parkes et al., 2009). Although some of these recurrent cases are due to exogenous reinfection by ongoing exposure to spores in the environment, most exhibit the same bacterial strain of the first episode. Evidently, neither the first antibiotic nor vancomycin restored the gut microbiota, nor did they reduce the exposure to spores in the environment, the comorbidity or other CDI specific host risk factors (Bauer and van Dissel, 2009). Fidaxomicin, a recently available anti-CDI drug has a very specific mode of action against C. difficile and does, therefore, less harm to the gut microbiota than any other comparable anti-C. difficile antibiotics (Tschudin-Sutter et al., 2012). Fidaxomicin is also described as preserving the intestinal microbiome during and after treatment of CDI and reducing both toxin reexpressions and recurrence of CDI (Louie et al., 2012).

CDI starts with ingested (or resident) spores germinating in the colon and the bacteria establishing/maintaining themselves through specific adhesion (Islam et al., 2012). CDI is toxin mediated (Figure 1: 4). Two large (approx. 300 kDa) protein exotoxins, TcdA and TcdB, are produced, of which TcdB is clearly the main virulence factor as demonstrated by TcdA-veB+ve strains. The hypervirulent strain ribotype 027 produces an additional 'binary' toxin (CDT, an actin-specific ADP ribosyltransferase) whose role is not yet established, although it potentiates TcdA/B toxicity (Tschudin-Sutter et al., 2012). TcdA binds to the apical side of gut epithelial cells (to gp96, a C. difficile toxin A receptor) and causes cytoskeletal modification and tight junction disruption. This in turns facilitates binding of TcdB to the basal lamina leading to vascular permeability, release of neuropeptides (substance P, CGRP/calcitonin gene-related peptide and neurotensin), recruitment of white blood cells, pro-inflammatory cytokines (leukotrienes,

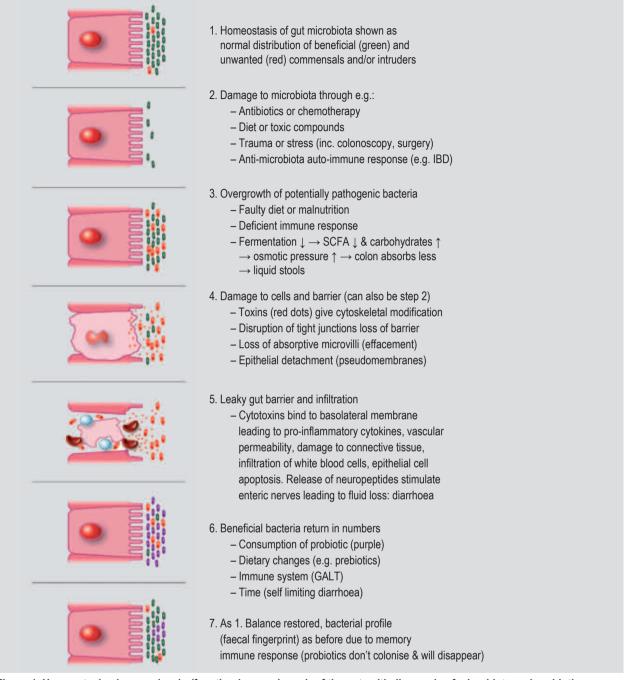


Figure 1. Homeostasis, damage, barrier/function-loss and repair of the gut epithelium: role of microbiota and probiotics. SCFA = short chain fatty acids; GALT = gut-associated lymphoid tissue.

PGE<sub>2</sub>, TNFα, IL-1, IL-6) epithelial cell apoptosis, pseudomembrane (PM-colitis) formation, connective tissue degradation, fluid exudation/secretion and subsequently diarrhoea and frequently also bloody stools. In short, *C difficile* is pathogenetically unique in establishing a bona fide necro-inflammatory reaction activating mast cells, nerves, vascular endothelium and immune cells in addition to disruption of tight junctions (Hodges and Gill, 2010).

# 3. Probiotics for prevention and treatment of diarrhoea

#### Maintenance of homeostasis and luminal effects

As shown in Figure 2, both the commensals in a normal microbiota as well as ingested probiotic products will assist in defending the gut against colonisation by exogenous microorganisms. This mechanism is called colonisation resistance (Wolvers *et al.*, 2010). In a single cell to single

Table 1. Contributions of electrogenic versus electroneutral components of ion absorption at the basis of *Clostridium difficile* diarrhoea mechanisms.<sup>a,b</sup>

Diarrhoea because of	Mechanism through	Molecules involved	Relevant for C. difficile	Role of probiotics <sup>c</sup>
Increased secretion of electrolytes (CLCA) <sup>1</sup>	Overproduction of cAMP gives activation of protein kinase A and opens CFTR and Cl <sup>-</sup> secretion <sup>2</sup>	CFTR and CLCA <sup>1</sup>	Increased CI <sup>-</sup> secretion through neuropeptides (substance P, CGRP and neurotensin)	Bifidobacteria dose dependently inhibit (CFTR) Cl <sup>-</sup> secretion <sup>3</sup> and promote intestinal homeostasis one step downstream epithelial Ca <sup>2+</sup> mobilisation
Reduced sodium absorption	Luminal membrane Na+ and H+ exchange isoforms <sup>1,3</sup>	NHE3 (aka SLC9A3)	TcdB inactivates Rho-kinase inhibitor altering activity and distribution of NHE3 <sup>4</sup>	Butyrate produced by probiotics increases NaCl absorption by NHE3 stimulation and transcription <sup>5</sup>
Reduced chloride absorption, increased HCO <sub>3</sub> secretion	Apical anion exchange mediating Cl <sup>-</sup> absorption (seen in congenital chloride diarrhoea)	DRA	As for other infectious diarrhoea	LPA or <i>Lactobacillus acidophilus</i> increase surface expression of DRA giving increased chloride absorption <sup>6,7</sup>
Reduced water absorption	ENS links directly with AQP8	AQP, ANG, OXT	Hypothesis: lactic acid upregulates <sup>9</sup> AQP4, which facilitates oedema elimination in diseases causing vasogenic <sup>10</sup> (vessel leak) oedema	Consumption of <i>L acidophilus</i> led to higher gene expression of water and ion homeostasis regulators <sup>11</sup>
Reduced sodium and glucose absorption	Inactivation of SGLT-1	SGLT-1	As for other infectious diarrhoea <sup>12</sup>	Microbiota influences expression of SGLT-1 <sup>13</sup>
Loss of barrier function, increased paracellular permeability	Altered tight junctions <sup>14,15</sup>	claudin-1, ZO-1, ZO-2, occludin	TcdA modifies cytoskeleton and disrupts tight junctions	Probiotics upregulate genes coding for <i>de novo</i> synthesis of claudin and occludin <sup>16,17</sup>

<sup>&</sup>lt;sup>a</sup> Abbreviations used: ANG = angiogenin; AQP = aquaporins; CFTR = cystic fibrosis transmembrane conductance regulator; CLCA = chloride channel accessory; DRA = down regulated in adenoma; ENS = enteric nervous system; LPA = lysophosphatidic acid; NHE3 = Na/H exchanger3; OXT =oxytocin; SGLT-1 = sodium D-glucose cotransporter; TcdA = toxin A: ZO = zonula occludens (zonulin).

cell communication mechanism called quorum sensing some bacteria are able to down-regulate gene expression of pathogens, thereby decreasing virulence factors and/ or growth (Sherman et al., 2009). Furthermore, lactic acid bacteria produce a plethora of anti-microbial compounds including SCFAs, such as acetic, propionic, caproic and butyric acid, but also hydrogen peroxide, ethanol, acetaldehyde, diactyl, and carbon dioxide – all derived as either oxygen-catabolites or sugar-catabolites. Similarly, toxic compounds as fat and amino acid metabolites are produced, such as 3- and 4-hydroxy fatty acids, phenyllactic acid, aromatic and heterocyclic molecules. De novo protein synthesis by lactic acid bacteria results in antifungals, bacteriocins like reuterin and reutericyclin, and a host of low molecular mass peptides and cyclic peptides (see De Vuyst et al., 2009). Next to the bactericidal and bacteriostatic actions, these compounds can also downregulate expression of virulence factors, e.g. adherence molecules normally expressed by pathogens (Cadieux *et al.*, 2009). Not only does the production of these compounds prevent dysbiosis in the gut, but this also explains why lactic acid bacteria have been used successfully for over three thousand years to conserve and sensorically improve food (milk, beer, sausage, sauerkraut, pickles, cheese, wine, etc.). Furthermore, SCFAs, like butyric acid, can also double in function as colonocyte fuel and can stimulate gut motility. Needless to say that efficient probiotics should share at least some of these, largely metabolomic, characteristics to be effective *in vivo*.

#### Mucosal effects

Antimicrobial factors are not only made by luminal lactic acid bacteria but also produced by the Paneth cells and secreted in the lumen at the mucosal surface, aiding in host

<sup>&</sup>lt;sup>b</sup> Specific references given as superscript letters: <sup>1</sup>Hodges and Gill, 2010; <sup>2</sup>Ohland *et al.*, 2012; <sup>3</sup>Heuvelin *et al.*, 2010; <sup>4</sup>Hayashi *et al.*, 2004; <sup>5</sup>Malakooti *et al.*, 2011; <sup>6</sup>Borthakur *et al.*, 2008; <sup>7</sup>Singla *et al.*, 2011; <sup>8</sup>Ishihara *et al.*, 2008; <sup>9</sup>Morishima *et al.*, 2008; <sup>10</sup>Saadoun and Papadopoulos., 2010; <sup>11</sup>Van Baarlen *et al.*, 2011; <sup>12</sup>Dean *et al.*, 2006; <sup>13</sup>Swartz *et al.*, 2012; <sup>14</sup>Ulluwishewa *et al.*, 2011; <sup>15</sup>Veshnyakova *et al.*, 2012; <sup>16</sup>Karczewski *et al.*, 2010; <sup>17</sup>Wells, 2011. <sup>c</sup> Strains as examples, specifics in references/primary papers.

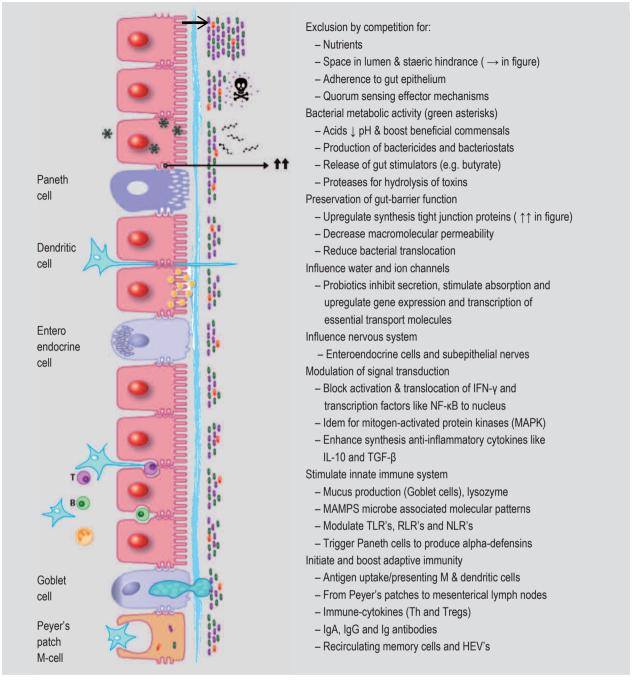


Figure 2. Microbiota, gut mechanisms and cells involved in prevention or reversal of diarrhoea. IFN = interferon;  $NF\kappa\beta$  = nuclear factor kappa beta; IL = interleukin; TGF =  $transforming\ growth\ factor$ ; MAMPS = microbe-associated molecular patterns; TRL = Toll-like receptor; RLR = retinoic acid inducible gene 1 like receptor; NRL = nucleotide oligomerisation domain like receptor; IG = immunoglobulin; IEV = IG high endothelial venules.

defence by affecting numbers and/or composition of the colonising microbiota. As an integral part of the immediate response innate immune system, Paneth cells produce defensins and other antibiotic peptides and proteins. Because of their juxtaposition to epithelial progenitor (stem) cells at the base of the crypts of Lieberkűhn and very high local concentrations of defensins, they are probably involved in maintaining gut renewal. Reduced Paneth cell defensin

expression can predispose for pathology, seen in necrotising enterocolitis and ileal Crohn's disease (Salzman *et al.*, 2007).

Probiotics can enhance release of defensins, e.g. as demonstrated in acute infectious enteritis (see Sherman *et al.*, 2009). Trefoil-factors are anti-bacterial peptides that are also secreted by mucin-producing cells in response to various noxious stimuli (Sherman *et al.*, 2009) or

probiotics (Van Huynegem et al., 2009). The enhanced mucus layer overlying the epithelial lining of the gut serves as an additional antibacterial shield, hampering binding of the pathogens (Sherman et al., 2009). Probiotics, such as Lactobacillus plantarum, can upregulate MUC2 and MUC3 genes, which code for mucus-protein production in humans. Mucus and trefoil factors work in concert with each other and many of the more than twelve hundred (!) antimicrobial peptides nature can call upon for host defence (Nakatsuji and Gallo, 2012). The gut wall exhibits a large degree of luminal chemo-sensitivity sensing a vast array of signals ranging from nutrients, chemicals, mechanical factors and microorganisms (Nguyen, 2012; Raybould, 2012). Entero-endocrine cells are specialised luminal sensors as are sub-epithelial nerve fibres that will respond to those compounds freely diffusing across the epithelium (e.g. SCFAs; Dockray, 2003).

Another direct relation between the nervous system and the gut is the presence, and exquisite sensitivity to probiotics, of opioid and cannabinoid receptors enabling manipulation of visceral perception (including pain; Rousseaux et al., 2007). As described in detail in Table 1, an intimate association between sensing, the nervous system, and ion and water homeostasis exist (Hodges and Gill, 2010). Sensing is also an integral part of the immune system via pattern recognition receptors (PRR) expressed on immune cells like dendritic cells and other tissues like the gut epithelium. PRRs recognise evolutionary conserved molecular structures known as microbe-associated molecular patterns or pathogen-associated molecular patterns and signal effector mechanisms in the innate immune system (Olive, 2012). PRRs can be divided in three families: Toll-like receptors, retinoic acid inducible gene 1 like receptors recognising viruses, and nucleotide oligomerisation domain like receptors. All are three families amenable to modulation by probiotics (Feleszko et al., 2006), excellently reviewed and described in their innate and adaptive immunity context by Wells (2011).

Based on these and other sensing mechanisms, relevant signal transduction pathways (see Figure 2 for NFkappaB and mitogen-activated protein kinase) can be switched on after recognition of pathogens leading to a cascade of events ending in the production of proinflammatory cytokines (as described above, cytokine storm) for defence or anti-inflammatory cytokines when tolerance is needed (Bron *et al.*, 2011; Hodges and Gill., 2010; Sherman *et al.*, 2009; Van Baarlen *et al.*, 2009). Selected probiotic strains and environmental markers of microbial exposure (Ege *et al.*, 2011) can selectively modulate these pathways and enhance or suppress cytokine production switching the immune system between better defence (pathogens, tumour cells) and/or tolerance (to avoid allergy and auto-immunity; Guarner *et al.*, 2006;).

M (microfold) cells, exclusively located over the Peyer's patches, in the gut epithelium continuously sample the lumen for particles like microorganisms, transferring antigens to dendritic cells in the submucosa. Intraepithelial T and B lymphocytes produce cytokines (T) and immunoglobulins (B; antibodies) of IgA isotype mainly (Bron *et al.*, 2012). Finally, the gut barrier, formed by only a single layer of epithelial cells, is critically dependent on tight junctions separating the gut lumen from the lamina propria. Bacteria from the microbiota and probiotics alike target the tight junction proteins and thereby modulate the barrier and thus permeability (Ulluwishewa *et al.*, 2011).

#### Submucosal effects

The 'business end' of the gut immune system is mainly present in the lumen as sIgA immunoglobulin in the mucus layer on top of the epithelial cells and as intraepithelial lymphocytes. However, the actual initiation of the immune response takes place in the Peyers's patches, large lymphocyte follicles in the submucosa. After the antigens are presented (by dendritic cells in villi or through M cells) and T and B cells are recruited the actual immune response is started in the draining mesenterical lymph nodes from where the activated T and B cells are transported back to the villi via the blood vessels (Brandtzaeg and Pabst, 2004; Bron et al., 2012). This seemingly elaborate mechanism ensures that the villi have more room for their primary function, uptake of nutrients and water, and that specific immune effector-cells can be initiated at one single site (after pathogen recognition) and then be evenly redistributed over the entire length of the gut, thereby providing uniform specific protection and memory. The entire process is critically controlled by dendritic cells and effector-cells are always under control of T regulator cells, ensuring not only a decent start of the specific response but also, and maybe more importantly, a timely end to the response (Wells, 2011) avoiding self-inflicted pathology (Chinen and Rudensky, 2012; Van Driel and Ang, 2008).

#### Clinical use of probiotics

With over 700 clinical trials in healthy volunteers and patients one might conclude that probiotics have come of age and their use is as evident as the mechanisms they modulate such as described above. Unfortunately, effects of probiotics are always dose and strain specific making comparison of clinical results with different preparations very difficult. In an effort to at least indicate in which areas probiotics can be recommended on scientific grounds, Floch *et al.* (2011) made an update of existing data. In their analysis they listed evidence as: 'A = strong positive studies in literature', 'B = positive-controlled studies, but some negative studies not supporting the primary outcome' and 'C = some positive studies, but not enough for certainty'. They concluded that A claims can only be

given for infectious diarrhoea in children, antibioticassociated diarrhoea (AAD), pouchitis, ulcerative colitis (maintenance), immune response and atopic eczema. In most of these clinical indications a combination of probiotic strains instead of a monospecific single strain was used (Chapman *et al.*, 2011).

#### Clinical use of probiotics in Clostridium difficile infection

In the studies by Floch *et al.* (2011), prevention of (recurrent) C. difficile associated diarrhoea was given a disappointing B/C marking and only one bacterial product with one strain (Lactobacillus rhamnosus GG; ATCC 53103) was included. Consequently, even with a substantial number of studies (Malaguarnera et al., 2012) and very positive metaanalysis showing probiotics are associated with a reduction in AAD (Hempel et al., 2012; Videlock and Cremoni, 2012), a reliable probiotic formulation for CDI has still to be clinically (significance in intent-to-treat) proven. Still a positive attitude towards the future success of anti-CDI probiotics can be seen and specific recommendations for use are given (Hickson, 2011). Single strain versus multistrain preparations are still discussed controversially (Chapman et al., 2011). There is some evidence for single strain applications, such as non-toxigenic C. difficile strains, for treatment (Phase 2 studies) based on the idea of a monoclonal pathogenesis of toxigenic C. difficile (Hell et al. 2011). But a number of factors have to be taken into account when developing a new probiotic formulation in this field, these being: age of the host, optimal dose, stability, safety, mucosal adherence, gastric acid and bile resistance, matrix of delivery, specific strains, interaction within combination products and optimal duration of treatment (Verna and Lucak, 2010). The high efficiency of faecal microbiota transplantation is a fundamental fact that can be relied on in probiotic CDI treatment (Brandt., 2012; Tschuddin-Sutter et al., 2012). Based on this, a recent metanalysis by Johnston et al., 2012) and the fact that C. difficile has unique necro-inflammatory pathogenesis, as described in detail in Section 2, we postulate that only a multistrain cocktail (resembling a 'healthy' human microbiota) could come close to addressing all mechanistical needs (Figure 2 and Table 1) in the CDI setting.

#### 4. Design and methods of a clinical study

For the reasons listed in the previous section a product (Ecologic®AAD, Winclove Bio Industries BV, Amsterdam, the Netherlands) was assembled consisting of equal ratios of the following 10 bacterial strains with a total dose of 5 g/sachet and of 109 cfu/g: *Bifidobacterium bifidum* W23, *Bifidobacterium lactis* W18, *Bifidobacterium longum* W51, *Enterococcus faecium* W 54, *Lactobacillus acidophilus* W37 and W55, *Lactobacillus paracasei* W20, *L. plantarum* W62, *L. rhamnosus* W71, *Lactobacillus salivarius* W24 and a mixture of 5% mineral elements, in Austria branded as OMNiBiOTiC® 10 AAD)).

#### **Case definition**

A patient was identified as a laboratory confirmed, symptomatic CDI patient who received adjunctive probiotic therapy at the time when oral metronidazol or vancomycin was initiated. Participants consumed sachets containing 5 g Ecologic® AAD twice daily. Patients were studied by queering surveillance data, consecutively followed by interviewing staff by phone. Retrospectively, medical records were reviewed to ascertain clinical signs of CDI, therapy, medical history and outcome.

Severe CDI was defined by clinical signs of severe colitis and laboratory findings confirming a severe course; recurrent CDI was defined as described by Bauer *et al.* (2009). The decision to initiate adjunctive probiotic therapy was made by the individual attending physician in cooperation with an infectious diseases consultant. On the basis of retrospective chart review all patients met the following criteria: diarrhoea, antibiotic treatment with metronidazole or vancomycin and multistrain probiotics.

#### Clinical setting and inclusion criteria

A 1,200 bed, tertiary care, university hospital (five different clinical departments) participated during the period from 1 November 2010 to 31 July 2011. After laboratory proof of *C. difficile* (toxigenic culture), a standardised interview was carried out for each patient, asking for date and reason of admission, clinical symptoms, onset of clinical symptoms, underlying diseases, comorbities and antibiotic history. If the patient was selected for the study, patients' records were searched for antibiotic history, additional medication like PPIs and cortison, fever, leukocyte counts, *C*-reactive protein (CRP), radiology and endoscopy results. A follow-up was done after six month.

### Treatment description and definition of resolution

To test whether the product was actually performing and no strain to strain inhibitory effects were introduced by combining so many strains, a pilot study including a series of ten elderly patients, complemented by a detailed chart review, was performed. Resolution was defined as no further laboratory signs of inflammation and/or fever, and reduction of stool frequency less than 3 times/24 h for at least 72 h according to Bauer *et al.* (2009).

All patients received oral vancomycin 125 mg qid for at least 10 days, 8 patients received additionally oral metronidazole 500 mg tid, 4 patients got a combination of oral vancomycin and iv treatment with metronidazole, and 9 were still under concomitant iv treatment with other antibiotics because of their underlying disease.

A complementary epidemiological investigation was done outside the hospital CDI surveillance system for both the period of the investigated cases and for the time period 2009-2011 (Table 2) to gain more background information of the local/hospital epidemiological situation.

### 5. Results of a clinical study

Table 2 clearly shows that the caseload of CDI is proportionally much higher in the group under 70 years of age, with 521 cases under 70 years of age versus 452 in the group over 70. In absolute numbers, the proportion of *C. difficile* fatal cases (causative and contributive cases) was 21 (<70) over 29 (>70), but in a relative way this was 4% over 6.5% or a 63% increase in the >70 age bracket. No differences could be seen per 5 year age bracket >70. This clearly shows that the Salzburg cohort behaves as described in the literature (reviewed in Islam  $et\ al.$ , 2012): relatively more CDI in older people and absolutely (and relatively) more deaths contributed to or caused by *C. difficile* in >70 years. From this base line situation we selected the cases.

# Patients characteristics, risk factors, and clinical presentation

The demographic characteristics, comorbidities, and clinical presentations of all patients are listed in Table 3. The mean age of the patients was 82 years (range 72-89); the majority were men (7 out of 10). All patients were hospitalised at the onset of CDI. Only one out of the 10 patients had no history of antimicrobials; 9 of 10 received antimicrobial medication (range 1 to 6, mean 3.7 different antibiotics) in the last three months before onset of CDI. The most frequently administered antibiotics were ciprofloxacin and amoxicillin+clavulanic acid (each 5 out of 9 patients) and piperacillin+tazobactam (4 out of 9 patients). 8 patients got PPIs and/or cortison before and during the CDI-therapy. 4 out of 10 patients had received different single-strain probiotics before. All but one patient suffered from severe underlying diseases like malignancies, renal failure or chronic vascular diseases. 6 of 10 patients showed fever (defined as body temperature ≥38 °C at the time the stool samples were taken). Mean leukocyte count was 13,500 (range 4,200 to 25,500) and mean CRP was 13.7 g/dl (range 3 to 26.2 g/dl).

#### Laboratory and radiography results

In all patients, CDI had been confirmed by laboratory results (stool culture for *C. difficile* and toxin A- and B-positive ELISA test) before they were included in the survey. Radiography and endoscopy brought no further useful information: in 3 cases abdominal X-rays were done but without description of the colon; in one case an abdominal CT scan was performed, but, as the patient was already known for chronic radiogenic colitis, there was no valid information about CDI. In 2 out of 10 cases endoscopy was initiated. One showed no sign of enteritis, the other was aborted because of bleeding and stenosis.

#### Case reports

From the 10 patients who received adjunctive probiotic therapy 3 patients were selected to represent our case series population; these patients are discussed below. The demographic characteristics, comorbidities, and clinical presentations of all patients are listed in Tables 3 and 4. None of this three cases experienced a relapse for more than six months, however, as described below, one of them (patient 1) had already been treated with a multistrain probiotic for a short time period before and had a relapse two weeks later.

#### Patient 1 (Case 3 in Tables 3 and 4)

A 72 year-old male with tuberculosis, recurrent glomerulonephritis, and urinary tract infection (UTI) (due to extended spectrum  $\beta$ -lactamase producing *Escherichia coli*) who had been treated with hydrocortison and several antibiotics (ciprofloxacin, rifampicin, amoxicillin+clavulanic acid and sulfonamide+trimethoprim) for six months was admitted to our hospital with the second relapse of CDI. He tested positive for *C. difficile* (ribotype 413) in the stool specimen taken at admission. After an initial treatment with metronidazole he was treated with vancomycin and a multistrain probiotic. Three days later, the patient experienced full clinical resolution. Even after further treatment with ciprofloxacin and pivmecillinam for more than a month, no CDI-relapse occurred.

Table 2. Population characteristics of Clostridium difficile infection cases at University Hospital Salzburg from 2009 to 2011.

Age group	Survived	Died	Causative	Contributive	Inconclusive	% causative + contributive
<70 years 70-74 years 75-79 years	479 103 88	42 16 13	6 2 1	15 6 6	21 8 6	4.0 6.7 6.9
>80 years	209	23	3	11	9	6.0

Table 3. Demographic characteristics, underlying disease, manifestation of *Clostridium difficile* infection (CDI) (primary episode, first or second relapse) and risk factors.

Case ID	Age	Sex	Underlying disease	Primary CDI episode	Relapse 1	Relapse 2	Antimicrobials history	Corticosteroids/PPI <sup>1</sup>
1	88	m	CDI		Х		None	PPI
2	89	f	uterus carcinoma	Х			Unknown antibiotic, Ciprofloxacin	None
3	72	m	glomerulonephritis			Χ	Ciprofloxacin, AmoxClav, Rifampicin, SulfTrim	Cortison
4	85	f	epilepsia	Х			Cefazolin, PipTaz	PPI
5	85	m	bladder carcinoma			Х	Ciprofloxacin, PipTaz, Ceftriaxon, SulfTrim, Vancomycin, AmoxClav	PPI
6	80	m	bronchitis, recurrent urinary tract infection	Х			Ciprofloxacin, Levofloxacin, SulfTrim	PPI
7	76	m	renal replacement therapy	Х			Ciprofloxacin, AmoxiClav, PipTaz	Cortison, PPI
8	79	m	endocarditis, CDI		Х		Clarithromycin, Moxifloxacin, Metronidazole	Cortison, PPI
9	81	m	peripheral arterial occlusive disease	Х			AmoxClav, Clarithromycin, Clindamycin, Ciprofloxacin, PipTaz	None
10	85	f	sepsis, recurrent erysipelas, recurrent CDI		X		AmoxClav, Meropenem, Metronidazole, Vancomycin, AmpSulbactam	PPI

<sup>&</sup>lt;sup>1</sup> PPI = proton pump inhibitors.

Table 4. Treatment, laboratory findings and outcomes of Clostridium difficile infection cases.<sup>1,2</sup>

Case ID	Stool C. difficile positive	Repeated stool C. difficile positive	PCR ribotype	MTZ	Probiotics history	Fever ≥38 °C	Radiography <sup>3</sup>	Endoscopy	Leucocite count/1000	CRP g/dl	Clinical resolution
1	Υ	N	023	Υ	SSP	Υ	N	N	17.7	3	complete4
2	Υ	N	014	N	N	Ν	Y, colon not described	N	8.98	6.4	complete
3	Υ	N	413	Υ	N	Υ	Y, colon not described	N	4.2	8	complete
4	Υ	N	433	Υ	SSP	Υ	N	N	12.66	15.1	complete
5	Υ	N	014	Y	MSP	Υ	Y, CT: known radiogenic colitis	Y, aborted, bleeding and stenosis	11.4	20.3	complete
6	Υ	N	nd	Υ	SSP	Ν	Y, colon not described	Y, no sign of enteritis	10.46	6.8	complete
7	Υ	N	nd	Υ	N	Ν	N	N	12.11	16.86	complete
8	Υ	N	053	N	N	Ν	N	N	18.52	13.2	complete
9	Υ	N	nd	Υ	SSP	Υ	N	N	25.48	26.2	complete
10	Υ	nd	053	Υ	MSP	Υ	N	N	13.45	20.9	complete

<sup>&</sup>lt;sup>1</sup> All patients received four times an oral dosage 125 mg vancomycin and twice daily a multistrain probiotic.

<sup>&</sup>lt;sup>2</sup> Abbreviations used: CRP = C-reactive protein; MSP = multistrain probiotic; MTZ = metronidazole; N = no; nd = not done; SSP = single strain probiotic; Y = yes.

<sup>&</sup>lt;sup>3</sup> Radiography with plain film, CT or sonography.

<sup>&</sup>lt;sup>4</sup> Patient died of pneumonia three months later.

#### Patient 2 (Case 5 in Tables 3 and 4)

An 85 year-old male was admitted to our hospital with a known bladder carcinoma and recurrent CDI with diarrhoea. He had already been tested positive for *C. difficile* in stool specimens one month before, after he had received ceftriaxon, and tested positive again in the stool specimen taken at admission (ribotype 014). The first CDI episode was treated with vancomycin and a multistrain probiotic. The latter was prescribed for sixteen days, however, the patient was discharged from the hospital at day three. At readmission, he was treated first with metronidazole for five days; after consulting an infectious disease specialist, the therapy was switched to vancomycin, combined with a multistrain probiotic anew. Three days later, the patient experienced full clinical resolution.

#### Patient 3 (Case 8 in Tables 3 and 4)

A 79 year-old male who underwent transcutaneous aortic valve replacement developed endocarditis and was treated with clindamycin and ceftriaxon for one week, then followed by imipenem and moxifloxacin for 2 weeks. No diarrhoea was documented during this period nor stool samples were taken. One month later, after continously receiving moxifloxacin, he was readmitted with CDI, reporting diarrhoea at home for already two weeks. He tested positive for *C. difficile* (ribotype 053) in the stool specimen taken at admission and was treated with vancomycin and a multistrain probiotic. Five days later, the patient experienced full clinical resolution.

#### Treatment and outcomes

All patients under survey received  $4 \times 125$  mg oral vancomycin and  $2 \times$  the multistrain probiotic. Five patients suffered from recurrent CDI. Complete resolution of clinical presentation occurred in 9 patients (90%), and one of the observed subjects died within a 3-months follow-up period from pneumonia, apparently without a connection to the CDI episodes. No adverse events were reported. A repeated stool testing was performed in 9 out of 10 patients and these proofed to be negative. Molecular characterisation of the strains was done in 70% (7 out of 10); PCR-ribotyping revealed thereby 5 different strains ( $2 \times 014$ ,  $2 \times 053$  and one of each 023, 433, 413) (Table 4). No clustering or transmission was seen among the investigated patients.

Data from our surveillance system indicated 9 fatal courses directly related to CDI out of 151 cases (case-fatality ratio 6%) during the observation period. 84 of the observed patients were males; the mean age was 67 (range 19-94). None of the fatal cases had a documented treatment with probiotics.

## 6. Discussion

Stool microbiota is best understood as a complex, living, interdependent ecosystem. During periods of health, bacterial gut residents suppress growth of C. difficile in the colon. Broad-spectrum antimicrobials have the potential to disrupt the balanced ecology of the stool microbiota, creating an opportunity for C. difficile spores to germinate resulting in overgrowth and attendant production of toxins, which are responsible for most of the clinical symptoms of CDI and (pseudomembraneous)-colitis. Antibiotics like clindamycin are known to impair colonisation resistance, however, second- and third-generation cephalosporins (for which all clinical isolates of C. difficile are resistant) and fluoroquinolones are frequently used in hospital infections/ patients and cause iatrogenic CDI (Kelly, 2009). Patients can keep shedding (bacteria, toxins and spores) for some weeks despite full clinical recovery. Those asymptomatic carriers emphasise the need for transmission reduction and universal infection control measures. Using gloves for avoiding direct skin contact and hand hygiene with plain soap and running water to remove spores followed by an alcoholic hand rub is preferred over alcohol-based hand rubs alone for symptomatic patients or patients with a recent known CDI-episode. To reduce the environmental burden, spores should be removed with sporicidal agents (chlorine) on surfaces adjacent to the patients, as well as for toilets and showers (Kelly 2009). Detergents based on peroxides or glutaraldehyde are also effective (Tschudin-Sutter, 2012). The fundamental problem in CDI is not the presence of the pathogenic organism per se, but the absence of healthy microbiota to keep the growth of the pathogen suppressed. Accordingly, one would anticipate that the restoration of bacterial homeostasis in the colon could resolve diarrhoea states caused by uncontrolled growth of C. difficile.

C. difficile is a leading cause of AAD. The severity of CDI ranges from mild cases, which require little more than the discontinuation of antimicrobials to intractable diarrhoea, to relapsing infection and severe life-threatening illness. Mortality/fatality rates as high as 26% have been reported in old and very old patients, and patients with underlying disease like progressive solid tumours. Especially haematology-oncology patients, having systemic diseases and receiving high dose chemotherapy, are at risk for CDI (Hautmann et al., 2011). Both the recurrence and overgrowth after initial dysbiosis can be partly explained by spore forming ability, specific adhesions in the colon and hypervirulent strains via additional production of a binary toxin. The cost per case ranges from approx. 2,500 USD (total cost estimate 3.5 billion) to 4,000 USD in the UK (Hickson, 2011), with health care system costs between 5,000 (USA) and 8,000 (EU) USD per primary episode and almost 14,000 USD for a case of recurrent CDI (Hautmann et al., 2011) with a total burden worldwide in the tens of

billions. All available data show that CDI is much more difficult to prevent and cure than ordinary diarrhoea. In addition, the spore forming ability results in enormous recurrence rates

Recent meta-analysis on CDI treatment successes (Johnston et al., 2012) in faecal transplantation in CDI therapy (Brandt, 2012; Van Nood et al., 2013) and this case series shows that even in patients at high risk, with multiple severe underlying diseases, administration of multistrain probiotics might be beneficial by shortening the diseases course as well as by preventing further relapses in patients with recurrent CDI. Furthermore, this paper presents a theoretical and practical basis to initiate well-designed clinical trials (Morrow et al., 2012) to evaluate multistrain probiotic treatment in CDI patient groups with different underlying diseases.

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