Feasibility of AsthmaCritic, a decision-support system for asthma and COPD which generates patient-specific feedback on routinely recorded data in general practice

Manon M Kuilboer, Marc AM van Wijk, Mees Mosseveld, Emiel van der Does, Ben P Ponsioen, Johan C de Jongste, Shelley E Overbeek and Johan van der Lei


Background. Introducing decision-support systems as a tool to stimulate the dissemination of clinical guidelines in daily practice has been disappointing. Researchers have argued that integration of such systems with clinical practice is a prerequisite for acceptance. The big question concerns the feasibility of a true integration—if only routinely recorded data are used for such a system, can patient-specific feedback be produced?

Objective. The aim of this study was to assess the feasibility of generating patient-specific feedback based on routinely recorded data in general practice by AsthmaCritic, a decision-support system for asthma and chronic obstructive pulmonary disease (COPD).

Methods. We built the decision-support system AsthmaCritic and assessed its ability to detect asthma and COPD patient records and generate patient-specific feedback by retrospective analysis of routinely recorded data in 103 713 electronic patient records from primary care practices. We grouped feedback into categories of comments by age group (<12 years and ≥12 years). The main outcome measures were the number and percentage of 'triggered' (selected) asthma and COPD patient records, and the number and percentage of records on which AsthmaCritic produced at least one feedback comment during the 1-year study period, by category of comments.

Results. AsthmaCritic detected 8784 (8.5%) asthma and COPD patient records. During the study period, AsthmaCritic generated 255 664 feedback comments (mean 3.4 per patient visit). The most frequently generated category of comments in the case of patients aged ≥12 years was ‘non-compliant prescription’ (23.7%), whereas the most frequent category in the case of patients <12 years was ‘non-compliant route’ (31.1%).

Conclusions. This study shows that, using routinely recorded data only, AsthmaCritic is able to detect asthma and COPD patient records for further analysis and to produce patient-specific feedback.

Keywords. Asthma, COPD, decision-support systems, family practice, guideline adherence.

Introduction

Asthma and chronic obstructive pulmonary disease (COPD) are chronic diseases with a high prevalence, accounting for significant health care expenditure. In recent years, the treatment of asthma and COPD has changed considerably. The consecutive guidelines for asthma and COPD issued by the Dutch College of General Practitioners, for example, illustrate the
Feasibility of decision support based on routinely recorded data

The development of new treatment regimens faces the challenge of coping with the changing and ever-increasing amount of medical knowledge. In view of the current emphasis on evidence-based medicine, clinical practice guidelines are considered to be an important tool for disseminating new medical knowledge. Nevertheless, their use in daily practice has been disappointingly low. Computer-based decision-support systems may facilitate the implementation of guidelines in daily practice. However, to be successful, many investigators argue that these systems need to be integrated with computer-based patient records. In the absence of such integration, physicians have to record data already available in the electronic medical record a second time.

In The Netherlands, most GPs have replaced their paper-based patient records with computer-based records; the practitioners themselves record patient data into the computer during patient encounters. To code patient data, they use the International Classification of Primary Care (ICPC) for symptoms, procedures and diagnosis. Prescriptions are coded according to the Anatomical, Therapeutic and Chemical (ATC) coding system of the World Health Organization. The GP may also record data as free text.

As the first, essential step to demonstrate the feasibility of integrated support, we developed AsthmaCritic, a computer-based decision-support system for asthma and COPD, and let it analyse routinely recorded data in electronic patient records of GPs. In this paper, we first describe the system, followed by a description and discussion of our feasibility study.

AsthmaCritic

The objective of the decision-support system AsthmaCritic is to review the physician’s treatment in the light of the most recently published guidelines. The system generates patient-specific feedback in the form of critiquing comments. These comments review the physician’s diagnostic and therapeutic interventions, thus enabling physicians to reflect on their decisions, while being focused on the patient at hand. AsthmaCritic generates these comments based on data routinely recorded by the GP in an electronic patient record.

The knowledge base of AsthmaCritic is derived predominantly from the asthma and COPD guidelines of the Dutch College of General Practitioners. Building the knowledge base has been a 3-year iterative process under guidance of a medical content board consisting of four local experts [two GPs (BP and ED), a pulmonologist (SO) and a paediatric pulmonologist (JJ)] and seven national experts. Members of the medical content board reviewed each new version of the knowledge base.

At the end of each patient contact, the electronic patient record activates AsthmaCritic. AsthmaCritic first searches the medical record for clues, triggers, that indicate the possibility of asthma or COPD: ICPC codes for asthma (R96), chronic bronchitis (R91), emphysema (R95) and other chronic pulmonary diseases (R83.4), and the ATC code for prescriptions used in the treatment of asthma or COPD (R03). When AsthmaCritic encounters a trigger, the record is selected for a full analysis. AsthmaCritic subsequently reviews different aspects of the physician’s treatment and may generate feedback. The system does not question the correctness of the data recorded by the physician. For example, if the physician records a diagnosis asthma, AsthmaCritic does not judge the physician’s opinion.

AsthmaCritic presents feedback to the GP as a list of brief comments. The system is able to provide for each comment one or more of the following kinds of additional information: elaborated advice, a further explanation, the applied patient data or the underlying medical knowledge. By selecting a comment (‘clicking the comment’), the GP can access the additional information. If, for example, the system detects a decrease in peak flow, a short comment ‘Alarming situation: decreasing peak flow’ is included in the list; by selecting that comment, the GP can inspect the elaborated advice, the patient data, the interpretation of the measurement and the relevant sections of the guidelines.

Methods

Study design
To assess the feasibility of our approach, we analysed electronic patient records of >100 000 patients in 28 general practices. This analysis consisted of two stages. AsthmaCritic first examined all complete records to detect triggers, i.e. the identification of data pointing to asthma or COPD; records containing a trigger, the so-called triggered records, were marked for further analysis. Of the triggered records, the system subsequently reviewed each patient contact within the study period (January to December 1996). Reflecting different aspects of treatment, we divided AsthmaCritic’s comments into 12 categories. Table 1 shows a short description and a brief example for each category. The category ‘alarming situations’, for example, comprises those comments that detect a deterioration of the patient’s condition. Adhering to the Guidelines of the Dutch College of General Practitioners, we divided the population into two age groups; one including patients <12 years old, and one including patients ≥12 years old.

Setting
The Department of Medical Informatics of the Erasmus Medical Center Rotterdam collaborates with general practices located in different parts of the country that make their data available for research in primary care; in 1996, the study period, the number of collaborating
practices was 28. From these practices, we retrieved the
electronic patient records of all patients enrolled in 1996;
these records subsequently were analysed by Asthma-
Critic.

**Measurements**

We counted the number and calculated the percentage
of AsthmaCritic’s triggered records. For the triggered
records, we counted the number of comments and the
number of contacts, and calculated the average number
of comments per contact. For the different categories
of comments, we calculated the percentage of triggered
records in which at least one comment from that cat-
egory was made during the study period (counting each
instance of a generated comment would yield unrealistic
frequencies because of the retrospective nature of the
study; physicians could not change their behaviour
in response to generated comments, therefore, once a
comment was generated and the circumstances did not
change, a comment was generated at each contact).

**Results**

During the study period, 103 713 patients were enrolled
in the 28 practices. Of the 103 713 records, 8784 (8.5%)
were selected by AsthmaCritic for further analysis: 53.6%
were triggered by diagnosis and 46.4% by medication.
Of the 8784 patients with a trigger in their record, 8412
had at least one encounter with the GP during the study
period. Of the 8412 patients with at least one encounter,
6190 (73.6 %) were ≥12 years old (3352 female) and 2222
(26.4 %) were <12 years old (1005 girls). An overview of
the results is presented in Table 2.

**Table 1** Characterization of categories of comments

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alarming situations</td>
<td>Signs of deterioration</td>
<td>A decrease in peak flow</td>
</tr>
<tr>
<td>Change in therapy advised</td>
<td>Changes in medication recommended</td>
<td>Start a short course of oral corticosteroids</td>
</tr>
<tr>
<td>Contraindications</td>
<td>Contraindication present</td>
<td>Known NSAID sensitivity</td>
</tr>
<tr>
<td>Dose deviations</td>
<td>Non-compliant dose</td>
<td>Dose lower than recommended</td>
</tr>
<tr>
<td>Frequency deviations</td>
<td>The dose frequency is non-compliant</td>
<td>More doses per day than recommended</td>
</tr>
<tr>
<td>Non-compliant route</td>
<td>The route of administration deviates from the guidelines</td>
<td>A powder inhaler in a 3-year old child</td>
</tr>
<tr>
<td>Inconsistent route</td>
<td>Multiple different inhaler devices prescribed</td>
<td>A metered dose inhaler combined with a powder inhaler</td>
</tr>
<tr>
<td>Non-compliant prescriptions</td>
<td>Medication is prescribed as ‘on demand’ or ‘fixed’</td>
<td>Inhaled corticosteroids are recommended to be prescribed ‘on demand’</td>
</tr>
<tr>
<td>Interactions</td>
<td>Possible interactions between different drugs</td>
<td>Chinolones and xanthine derivatives may interact and decrease metabolic clearance, causing nausea, vomiting, headache and/or vertigo</td>
</tr>
<tr>
<td>Early reduction</td>
<td>Therapy is reduced sooner than recommended</td>
<td>Reduction of inhaled steroid within 2 weeks</td>
</tr>
<tr>
<td>Side effects</td>
<td>Side effect detected</td>
<td>Thrush with inhaled corticosteroids</td>
</tr>
<tr>
<td>Many antibiotics</td>
<td>Frequent courses of antibiotics</td>
<td>Frequent prescription of antibiotics without having started a course with corticosteroids</td>
</tr>
</tbody>
</table>

**Table 2** Descriptive statistics of triggered records by age group (total patient population; n = 103 713)

<table>
<thead>
<tr>
<th></th>
<th>≥12 years</th>
<th>&lt;12 years</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>No. of triggered records</td>
<td>8784</td>
<td>8412</td>
<td></td>
</tr>
<tr>
<td>No. of triggered records with ≥1 contact</td>
<td>6190 (73.6%)</td>
<td>2222 (26.4%)</td>
<td>8412</td>
</tr>
<tr>
<td>No. of males</td>
<td>2838 (45.8%)</td>
<td>1217 (54.8%)</td>
<td>4055</td>
</tr>
<tr>
<td>No. of females</td>
<td>3352 (54.2%)</td>
<td>1005 (45.2%)</td>
<td>4357</td>
</tr>
<tr>
<td>No. of comments</td>
<td>237 179</td>
<td>18 485</td>
<td>255 664</td>
</tr>
<tr>
<td>No. of contacts</td>
<td>62 389</td>
<td>12 320</td>
<td>74 709</td>
</tr>
<tr>
<td>Average no. of contacts/triggered record</td>
<td>10</td>
<td>5.5</td>
<td>9</td>
</tr>
<tr>
<td>Average no. of comments/contact</td>
<td>3.6</td>
<td>1.5</td>
<td>3.4</td>
</tr>
</tbody>
</table>
Of the 8412 patients who had at least one encounter with their GP in 1996, AsthmaCritic performed an analysis of all encounters during 1996, taking all information preceding each encounter into account. The 8412 patients who had seen their GP in 1996 had a total of 74 709 encounters with their GP; an average of nine contacts per patient (patients aged ≥12 years had an average of 10 contacts, mode: 5, SD: 9; and patients aged <12 years had an average of 5.5 contacts, mode: 2, SD: 4). AsthmaCritic reviewed all 74 709 encounters in 1996 and generated in total 255 664 comments, an average of 3.4 comments per encounter. For the different categories of comments, we calculated the percentage of the triggered records in which at least one comment from that category was made during the study period. The results for patients aged 12 years and older are shown in Table 3 and for patients younger than 12 years in Table 4. The most frequently generated category of comments in patients aged 12 years and older was ‘non-compliant prescription’ [of the 6190 triggered records, 1467 (23.7%) at least once in the study period] whereas the most frequent category in patients younger than 12 years was ‘non-compliant route’ of administration (31.1%).

**Discussion**

Integrating decision-support systems with electronic patient records is an important factor in the applicability of such systems in daily practice. In a previous study, we showed that electronic patient records contain sufficient information for experts to review the treatment of asthma and COPD. Based on this study, we built AsthmaCritic, a system that generates critiquing comments using data routinely recorded by GPs in their electronic patient records. In this study, AsthmaCritic selected 8.5% of >100 000 records as belonging to patients with asthma or COPD, which matches with the 5–10% prevalence rate known from Dutch registration networks. Of the selected records, AsthmaCritic analysed the medical record for each of the 74 709 encounters, and generated a total of 255 664 comments, an average of 3.4 per encounter.

For patients older than 12 years, the most frequent comment of AsthmaCritic was the category ‘non-compliant prescriptions’ (23.7%). Although comments in this category are also frequent in patients younger than 12 years (13.7 %), the most frequent comment in these younger patients was the category ‘non-compliant route’ (31.1%). Compared with the guidelines for patients aged ≥12 years, determining the optimal route of administration is difficult in patients younger than 12 years; the route depends on age and the patient’s clinical condition. It is, therefore, not surprising that comments in the category ‘non-compliant route’ are much more frequent in patients younger than 12 years old than in those aged ≥12 years old.

Because decision-support systems regard data with a limited scope, physician interpretation of comments will be needed to determine AsthmaCritic’s clinical relevance. The extent to which physician judgement is required depends on a comments category. For example, in 22.3% of the patients aged over 12 years, AsthmaCritic pointed out the presence of contraindications. Many of these contraindications, however, are relative. AsthmaCritic will point out that asthma is a contraindication for the prescription of cyclo-oxygenase inhibitors.
inhibitors. The physician, however, may accept that risk. Another example that underscores the importance of physician interpretation is comments dealing with the frequent use of antibiotics. AsthmaCritic will generate comments when the patient receives four or more courses of antibiotics over a period of 12 months. In 8.6% of the patients aged 12 years and older and 6.1% of those younger than 12 years, AsthmaCritic pointed out that the fourth course of antibiotics in 12 months had been prescribed, and recommended the use of anti-inflammatory medication. However, although the Dutch guidelines recommend anti-inflammatory medication instead of repeated use of antibiotics, the physician may have good reasons to prescribe antibiotics. Other comments alert to clear deviations from the guidelines. For example, 11.0% of the patients aged 12 years and older, and 13.0% of the patients younger than 12 years old received medication with a dose outside the recommended range; frequently, the physician had prescribed too low a dose. Although most comments of AsthmaCritic are associated with specific recommendations (e.g. the recommendation to start long-acting bronchodilators), comments in the category ‘alarming situations’ (14.7% of the patients aged ≥12 years) and 10.6% of the patients <12 years old) and ‘inconsistent route’ (9.7% in patients aged ≥12 years and 3.6% in patients <12 years old) only point out that the patient requires evaluation. AsthmaCritic, for example, detects decreasing peak flow measurements or increased consumption of bronchodilators and draws the attention of the physician to these trends; the clinical response is left to the physician.

Our study with actual electronic patient records from primary care practices shows that AsthmaCritic is both able to select asthma or COPD patient records and to generate patient-specific comments. The number of comments (on average, 3.4 per encounter) is considerable for daily practice. The acceptance of a decision-support system, however, depends not only on the number of comments, but also on the kind of comments generated and the way feedback is presented. If physicians’ behaviour were to be influenced, it is not clear whether the number of comments will increase or decrease in response to that changed behaviour. On the one hand, one can expect the number of generated comments to decrease because the physician may decide to follow the guidelines (e.g. change his dosing schemas or start prescribing non-antibiotic anti-inflammatory medication in the appropriate cases). On the other hand, the system may stimulate a more complete recording of medical data, thereby increasing the system’s ability to generate (more specific) comments. The acceptance of these comments may differ from comments made before the change in behaviour. Field studies will be needed to assess these effects.

AsthmaCritic is developed to be part of a physicians’ working environment. Integration with daily practice is the key. In addition to being able to deliver patient-specific feedback, integration implies leaving the physician in control and—if available—using routinely recorded data. As we have argued, leaving the physician in control is required from a medical point of view. In addition, if a decision-support system has to fit daily practice, the physician should be able to control the system to match his or her available time and needs at any moment. AsthmaCritic, therefore, has to provide the physician with tools enabling him to execute such control. Using routinely recorded data prevents the physician from having to record data twice and prevents workflow interruptions. In a previous study, we observed that routinely recorded data are sufficient for human reviewers to generate patient-specific feedback. This study shows that a computer-based decision-support system can generate patient-specific feedback based on routinely recorded data, thereby enabling the physician to reflect on the treatment for an individual patient based on current guidelines. Additional studies will have to assess the validity and usability of the feedback produced and whether AsthmaCritic is able to change physicians’ behaviour with respect to diagnosis and treatment of asthma and COPD.

Acknowledgements

We wish to thank the members of our national medical content board for their share in the development of the AsthmaCritic knowledge base: Dr B Bottema, Dr PNR Dekhuyzen, Dr EJ Duiverman, Dr EEM van Essen, Dr ThB Voorn, Dr MHJ Vaessen and Dr A van der Kuy.

References


7 Geijer RMM, van Schayck CP, van Weel C et al. NHG-Standaard COPD: Behandeling [Guidelines of the Dutch College of
Feasibility of decision support based on routinely recorded data


